

Framework: Collaborative Delivery Framework
Supplier: [Redacted]
Company Number: [Redacted]
Geographical Area: Midlands
Contract Name: Lower Witham - Grand Sluice FBC
Project Number: [Redacted]
Contract Type: Professional Service Contract
Option: Option C
Contract Number: [Redacted]
Stage: OBC_to_FBC

Revision	Status		Originator		Reviewer		Date

PROFESSIONAL SERVICE CONTRACT under the Collaborative Delivery Framework

CONTRACT DATA

Project Name Lower Witham - Grand Sluice FSC

Project Number

This contract is made on: 07 February 2024
between the Client and the Consultant

This contract is made pursuant to the Framework Agreement (the "Agreement") dated 31st day of April 2019 and Framework Agreement Extension dated 1st April 2023 between the Client and the Consultant in relation to the Collaborative Delivery Framework. The entire agreement and the following Schedules are incorporated into this Contract by reference

Schedules 1 to 23 inclusive of the Framework schedules are relied upon within this contract.

The following documents are incorporated into this contract by reference
LWRFPS_FSC_FSC_Scope_Grand_Sluice_Flux_Other_STy_20231128

Part One - Data provided by the Client

Statements given in all Contracts

1 General

The conditions of contract are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC Professional Service Contract June 2017:

Main Option C Option for resolving and avoiding disputes W2

Secondary Options

- X2: Changes in the law
X7: Delay damages
X9: Transfer of rights
X10: Information modelling
X11: Termination by the Client
X18: Limitation of liability
X20: Key Performance Indicators
Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996
Y(UK)3: The Contracts (Rights of Third Parties) Act 1999
2: Additional conditions of contract

The service is Production of FSC for Grand Sluice Lower Witham.

The Client is

Address for communications

Address for electronic communications

The Service Manager is

Address for communications

Address for electronic communications

The Scope is in
LWRFPS_FSC_FSC_Scope_Grand_Sluice_Flux_Other_STy_20231128

The language of the contract is English

The law of the contract is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

The period for reply is 2 weeks

The period for retention is 6 years following Completion or earlier termination

The following matters will be included in the Early Warning Register

Early warning meetings are to be held at intervals no longer than 2 weeks

2 The Consultant's main responsibilities

The key dates and conditions to be met are conditions to be met

Any date

'none set' 'none set'

'none set' 'none set'

'none set' 'none set'

The Consultant prepares forecasts of the total Defined Cost plus Fees and expenses at intervals no longer than 4 weeks

3 Time

The starting date is 05 February 2024

The Client provides access to the following persons, places and things access access date

The Consultant submits revised programmes at intervals no longer than 4 weeks

The completion date for the whole of the service is 31 July 2024

The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is 4 weeks

4 Quality management

The period after the Contract Date within which the Consultant is to submit a quality policy statement and quality plan is 4 weeks

The period between Completion of the whole of the service and the defects date is 26 weeks

5 Payment

The currency of the contract is the £ sterling

The assessment interval is Months

The Client set total of the Prices is

The expenses stated by the Client are as stated in Schedule 9

The interest rate is 2.00% per annum (not less than 2) above the rate of the Bank of England

The locations for which the Consultant provides a charge for the cost of support people and office overhead are All UK Offices

If Option C is used

The Consultant's share percentages and the share ranges are:
less than share range 80 % Consultant's share percentage 0 %
from 80 % 50 120 % as set out in Schedule 17
greater than 120 %

6 Compensation events

These are additional compensation events

- Carbon Methodology - Adherence to and compliance with the Carbon Methodology dated 05 June 2017
- 'not used'
- 'not used'
- 'not used'
- 'not used'

8 Liabilities and insurance

These are additional Client's liabilities

- 'not used'
- 'not used'
- 'not used'

The minimum amount of cover and the periods for which the Consultant maintains insurance are

EVENT PENALTY AMOUNT OF COVER PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE SERVICE OR TERMINATION
The Consultant's failure to in respect of use the skill and care to the number of claims normally used by professionals providing services similar to the service 12 years after Completion

Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the Consultant) arising from or in connection with the Service	in respect of 12 months after Completion to the number of claims
Death of or bodily injury to the employees of the Consultant arising out of and in the course of their employment in connection with the contract	For the period required by law of each claim, without limit to the number of claims
The Consultant's total liability to the Client for all matters arising under or in connection with the contract, excluding matters limited to:	
Resolving and avoiding disputes	
The Tribunal / is litigation in the courts	
The Adjudicator is:	'to be confirmed'
Address for communications:	'to be confirmed'
Address for electronic communications:	To be confirmed
The Adjudicator nominative body is:	The Institution of Civil Engineers

Z Clauses

Z1 Disputes

Delete existing clause W2.1

Z2 Prevention

The text of clause 18 Prevention is deleted.
Delete the text of clause 60.2 (12) and replace by:
The service is affected by any of the following events:
• War, civil war, rebellion, revolution, insurrection, military or usurped power;
• Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants;
• Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel;
• Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device;
• Natural disaster;
• Fire and explosion;
• Impact by aircraft or other aerial device or thing dropped from them.

Z3 Disallowed Costs

Add the following as a second bullet of 11.2 (18) add:
(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken).
Add the following additional bullets after 'and the cost of':
• Materials or delays caused by the Consultant's failure to follow standards in Scope/quality plans;
• Reorganisation of the Consultant's project team
• Additional costs or delays incurred due to Consultant's failure to comply with published and known guidance or document formats;
• Exceeding the Scope without prior instruction that leads to abortive cost
• Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual/arithmetical or design errors
• Production or preparation of self-promotional material
• Excessive charges for project management time or a commission for secondments or full time appointments (greater than 75% of commission value)
• Any hours exceeding 8 per day unless with prior written agreement of the Service Manager
• Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager
• Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager
• Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to Consultant performance
• Costs associated with rectifications that are due to Consultant error or omission
• Costs associated with the identification of opportunities to improve or processes and procedures for project delivery through the Consultant's involvement
• Work incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
• Work incurred as a result of the Client issuing a Yellow or Red Card to prepare a Performance Improvement Plan
• Work incurred as a result of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

Z4 Share on termination

Delete existing clause 53.3 and 53.4 and replace with:
53.3 In the event of termination in respect of a contract relating to services there is no Consultant's share'

Z5 The Schedule of Cost Components

The Schedule of Cost Components are as detailed in the Framework Schedule 9.

Z7 Consultant's share

54.1 The Service Manager assesses the Consultant's share of the difference between the Aggregated Total of the Prices and the Aggregated Price for Service Provided to Date.
The difference is divided into increments falling within each of the share ranges. The limits of a share range are the Aggregated Price for Service Provided to Date divided by the Aggregated Total of the Prices, expressed as a percentage. The Consultant's share equals the sum of the products of the increment within each share range and the corresponding Consultant's share percentage.
54.2 If the Aggregated Price for Service Provided to Date is less than the Aggregated Total of the Prices, the Consultant is paid its share of the excess. If the Aggregated Price for Service Provided to Date is greater than the Aggregated Total of the Prices, the Consultant pays its share of the excess.
54.3 At Completion of the whole of the services, the Price for Service Done to Date exceeds 111% of the total of the Prices, the amount in excess of 111% of the total of the Prices is shared between the Consultant.
54.3.1 prior to the Completion Date, the Price for Service Provided to Date exceeds 110% of the total of the Prices, the Consultant is paid the excess of 110% of the total of the Prices.
54.4 The Service Manager makes a preliminary assessment of the Consultant's share at Completion of the whole of the services using formulae of the final Aggregated Price for Service Provided to Date and the final Aggregated Total of Prices. This share is included in the amount due following Completion of the whole of the services.
54.5 The Service Manager makes a final assessment of the Consultant's share, using the final Aggregated Price for Service Provided to Date and the final Aggregated Total of the Prices. This share is included in the final amount due.
54.5.1 There is a termination event of 24 applies, the Service Manager assesses the Consultant's share after notifying termination. The assessment date as the Aggregated Price for Service Provided to Date the sum of:
• the total of the Defined Cost which the Consultant has paid and
• which is committed to pay for work done before termination and
• the total of
• the Defined Cost which the Consultant or Contractor has paid and
• which is committed to pay
in the parties' contract before the date the termination certificate is issued under this contract.
The assessment price as the Aggregated Total of the Prices the sum of:
• the total of
• the lump sum price for each activity which has been completed and
• a proportion of the lump sum price for each incomplete activity which is the proportion of the work in the activity which has been completed and
and
• the total of
• the lump sum price for each activity which has been completed and
• a proportion of the lump sum price for each incomplete activity which is the proportion of the work in the activity which has been completed
in the parties' contract before the date the termination certificate is issued under this contract
Add:
11.2(2) The Aggregated Total of the Prices is sum of
• the total of the Prices and
• the total of the Prices in the parties' contract
11.2(3) The Aggregated Price for Service Provided to Date is the sum of
• the Price for Service Provided to Date and
• the Price for Service Provided to Date as the Price for Work Done to Date in the parties' contract.

Z23 Linked contracts

Issues requiring redress or rework on this contract due to a fault or error of the Consultant will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract under this project or programme.

Z24 Requirement for Invoice

Add the following sentence to the end of clause 51.1:
The Party to whom payment is due submits an invoice to the other Party for the amount to be paid within one week of the Service Manager's certificate.
Delete existing clause 51.2 and replace with:
51.2 Each verified payment is made by the later of:
• one week after the paying Party receives an invoice from the other Party and
• three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.
If a certified payment is made, or if a certified invoice is received, the Service Manager has not used a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

Z25 Risk and Insurance

The Consultant is required to submit Insurance annually as Clause 24 of the Framework Agreement

Z 29 Payment for Service Provided to Date

Delete existing clause 11.2 (21) and replace with:
'11.2 (21) The Price for Service Provided to Date is the total Defined Cost which the Service Manager forecasts will have been paid by the Consultant before the next assessment date plus the Fee. The Price for Service Provided to Date shall not exceed the forecast for the same as provided under clause 20.2'

Z111 PSC - Fee adjustment for non compliance with Scope

Delete existing 11.2 (18) and replace with the following clause
The Fee is the amount calculated by applying the fee percentage to the amount of the Defined Cost excluding the cost of Subcontractors that have not complied with procurement by best value processes as defined in the Scope. 80% of the fee percentage is applied to the amount of the Defined Cost for Subcontractors that have not complied with procurement by best value processes as defined in the Scope.

1120 PSC - Carbon reduction	
For: (Clause No.)	Clause words
11.2 Definitions	Add as Clause 11.2(26): (26) The Performance Table states the targets the Consultant is to achieve in Providing the Service and sets out the adjustment to payment if measured performance is higher, the same or lower than its target. The Performance Table in the performance table unless later changed in accordance with the contract.
15.1 Early Warning	In Clause 15.1 add as a new bullet between the second and third bullet: 'a result in a target in the Performance Table not being met.
16.2 Accepting Defects	Delete Clause 16.2 and replace with: If the Consultant and the Service Manager are prepared to consider the Service, the Consultant submits a quotation to the Service Manager for acceptance including any contribution of: • allocated Prices • all earlier Completion Date • approved programme • changes in the Performance Table If the quotation is accepted, the Service Manager changes the Scope, the Prices, the Completion Date and the Performance Table accordingly and issues the revised programme.
Performance Requirements	
37	Add as Clause 37:
37.1	From the starting date until the Completion Date, the Consultant reports to the Service Manager its performance against the targets in the Performance Table. Reports are provided at the intervals stated in the Performance Table.
37.2	If the Consultant's performance against a target in the Performance Table is not achieving or is forecast not to achieve the performance target stated, it submits to the Service Manager for acceptance its proposals for improving performance. A reason for not accepting the proposals is that they will not provide the improvement in performance needed to achieve the target in the Performance Table.
37.3	At the dates stated in the Performance Table: • If the performance does not meet the target stated in the Performance Table, the Consultant pays the amount stated in the Performance Table; • If the relevant performance exceeds or meets the target stated in the Performance Table, the Consultant is paid the amount stated in the Performance Table.
37.4	Information in the Performance Table is not Scope.

The performance table is [PSC carbon performance table.xls](#)

The Performance Table for this contract type (Item, Partner, Stage) as set out in the Carbon Reduction table 08 June 2023

Secondary Options

OPTION X2: Changes in the law

The *law of the project* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

OPTION X7: Delay damages

X7 only

Delay damages for Completion of the whole of the *service* are [REDACTED] per day

OPTION X10: Information modelling

The period after the Contract Date within which the *Consultant* is to submit a first Information Execution Plan for acceptance is 2 weeks

OPTION X18: Limitation of liability

The *Consultant's* liability to the *Client* for indirect or consequential loss is limited to

[REDACTED]

The *Consultant's* liability to the *Client* for Defects that are not found until after the *defects date* is limited to

[REDACTED]

The *end of liability* date is 6 years after the Completion of the whole of the *service*

OPTION X20: Key Performance Indicators (not used with Option X12)

The *incentive schedule* for Key Performance Indicators is in Schedule 17

A report of performance against each Key Performance Indicator is provided at intervals of 3 months

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

The period for payment is 14 days after the date on which payment becomes due

Y(UK)3: The Contracts (Rights of Third Parties Act) 1999

term *beneficiary*

Part Two - Data provided by the Consultant

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The Consultant is
Name

[Redacted]

Address for communications

[Redacted]
[Redacted]
[Redacted]
[Redacted]

Address for electronic communications

[Redacted]

The fee percentage is

Option C

14.00%

The key persons are

Name (1)
Job
Responsibilities
Qualifications
Experience

[Redacted]

Name (2)
Job
Responsibilities
Qualifications
Experience

[Redacted]

Name (3)
Job
Responsibilities
Qualifications
Experience

Name (4)
Job
Responsibilities
Qualifications
Experience

Name (5)
Job
Responsibilities
Qualifications
Experience

Name (6)
Job
Responsibilities
Qualifications
Experience

Name (7)

Job
Responsibilities
Qualifications
Experience

The following matters will be included in the Early Warning Register

All items excluded in Table 1 of the Scope

3 Time

The programme identified in the Contract Data is

5 Payment

The *activity schedule* is

Resolving and avoiding disputes

The *Senior Representatives* of the *Consultant* are

Name (1)

Address for communications

Address for electronic communications

Name (2)

Address for communications

Address for electronic communications

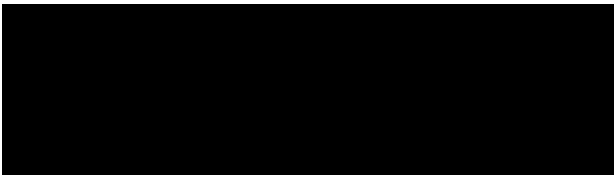
X10: Information Modelling

The *information execution plan* identified
in the Contract Data is

Contract Execution

Client execution

Signed Underhand by [PRINT NAME]



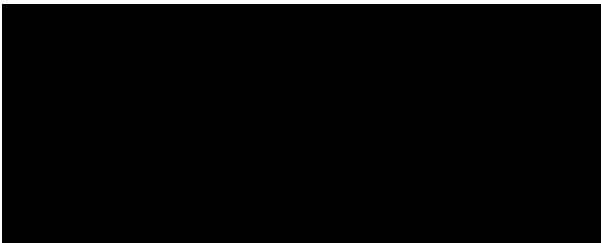
for and on behalf of the [REDACTED]

Project Manager

Role

Consultant execution

Signed Underhand by [F Deepak Jayaram for and on behalf of



Director

Role