

NEC4 Contract Tool

This tool is used to create standard Contract Documents using pre-determined parameters

When started you will see the following tabs

Start-up

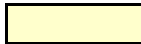
1. Supplier Guidance
2. Data Part 2 (input)
3. Cover Sheet
4. Data Part 1
5. CD for X
6. Data Part 2
7. Contract Execution

PART 1

1. Data Part 2 (input)

There are multiple sections to complete

You can only fill in sections in yellow;



If you want to change any section in the yellow boxes, just overwrite the entries

If you press the 'Clear Data Part 2' button you will be prompted to confirm this action

Once you have completed all your sections save the document and return this to the Client

Requirement	Collect / Refresh Data	Clear Data	Guidance
Compulsory	Contract Name	Towards Equitable (2EQ) Trent - understanding the distributional equity of indices of Operational Services	Automatically drawn from your contract
Compulsory	Project Name	Towards Equitable (2EQ) Trent - understanding the distributional equity of indices of Operational Services	Manually enter Align with project name on Procurement Strategy
Compulsory	SOP Project Code		Automatically drawn from your contract (format ENVXXXXXXX)
Compulsory	SOP Task Code	2	A different 'Task Code' will be needed for each gateway that the project goes through (e.g. SOC, OBC, FBC)
Compulsory	Contract Option	Option A	Automatically drawn from your contract (Typically Options would be Option A, C or E)
Complete after PO is raised	Purchase Order Number	insert PO number when known	This can be entered after the contract is formed and uploaded. The CSO/BSO will do this
Complete after Contract is published on Bravo	Contract number	ecm_63894	This number is obtained from Bravo, obtain from your DGC colleague
Compulsory	Geographic Area	National	Automatically drawn from the Framework coverage (National if CSF, M&M or M&C / Regional if CDF) (Contract selection tab)
Compulsory	Delivery Hub / CDT	National	Automatically drawn from the dropdown in the contract
Compulsory for raising a Requisition for a PO	Project Executive Name		Manually enter
Compulsory for raising a Requisition for a PO	Commercial Lead (DGC or CSM):		Manually enter
	FastDraft User(s) (lines 12 and below?)		Manually enter
PSC Only : Compulsory	Client Service Manager		The Service Manager is the single person role included in the Contract who will manage the contract on FastDraft
PSC Only : Optional	Client FastDraft View	insert details	Those individuals from the Client organisation who will have view access on FastDraft, but do not manage the contract ('viewer' role is needed on all contracts)
PSC Only : Compulsory	Consultant Manager		The Consultant Manager is the single person role included in the Contract who will manage the contract on FastDraft
PSC Only : Compulsory	Consultant Manager (email address)		Insert email address
PSC Only : Compulsory	Consultant FastDraft Application for Payment access		Those individuals from the Consultant organisation who will enter the Application for Payment onto FastDraft, but do not manage the contract
PSC Only : Optional	Consultant FastDraft View		Those individuals from the Consultant organisation who will have view access on FastDraft, but do not manage the contract
ECC Only : Compulsory	ECC PM Manager	Not applicable	The ECC Project Manager is the single person role included in the Contract who will manage the contract on FastDraft
ECC Only : Optional	ECC PM FastDraft View	Not applicable	Those individuals from the ECCPM organisation who will have view access on FastDraft, but do not manage the contract
ECC Only : Optional	Supervisor	Not applicable	The ECC Supervisor is the single person role included in the Contract who will undertake that role for the contract on FastDraft
ECC Only : Compulsory	Contractor Contracts Manager	Not applicable	The ECC Supervisor is the single person role included in the Contract who will undertake that role for the contract on FastDraft
ECC Only : Compulsory	Contractor Contracts Manager (email address)	Not applicable	Insert email address
ECC Only	Contractor FastDraft Application for Payment access	Not applicable	Those individuals from the Contractor's organisation who will enter the Application for Payment onto FastDraft, but do not manage the contract
ECC Only : Optional	Contractor FastDraft View	Not applicable	Those individuals from the Contractor's organisation who will have view access on FastDraft, but do not manage the contract
Compulsory	Framework	Mapping & Modelling Framework	Automatically drawn from the selected Framework in the contract
CDF & CSF Only	Lot Number		Click adjacent cell and Use Dropdown Lot 1 / Lot 2
Compulsory	EA Project Manager	Not applicable	Automatically drawn from the named EA Project Manager (Data Part 1 (input))
Compulsory	Supplier	Jeremy Benn Associates Ltd	Automatically drawn from the selected Supplier in the contract (Company Name)
Compulsory	Contract Value	£24,988.20	ESSENTIAL FIELD Option A or C the Total of the Prices. Option E the Forecast Total of the Defined Cost plus Fee
Compulsory	Stage of delivery	Not applicable	Click adjacent cell and Use Dropdown Selection will be in accordance with the options available for the respective framework
Expected on CDF	Partner contract	Not applicable	Used only on CDF, this is the Contract number for the contracts linked that Project incentivisation
Compulsory	Commencement date	22 February 2022	ESSENTIAL FIELD Automatically drawn - must be the date included in the signed contract
Compulsory	Completion date	20th July 2022	ESSENTIAL FIELD Automatically drawn - must be the date included in the signed contract
Optional	Are sectional completion dates used?	No	Automatically drawn from the contract if option X5 has been used (Yes / No)
Compulsory	Are additional compensation events used?	No	Automatically drawn from the contract if Section 6 of Contract Data Part 1 (input) has been used (Yes / No)
Compulsory	Defects Period (weeks)	26 weeks	Automatically drawn from your contract (Number of weeks)
Compulsory	Delay Damages Amount (£) and unit	No Delay Damages	Automatically drawn from the contract if option X7 has been used (£ per day)
Compulsory to check	Sectional Delay Damages	No Sectional Delay Damages	Automatically drawn from the contract if option X5 and X7 has been used (Apply / Do Not Apply)

The Contract must be submitted to your Portfolio Assurance and Support Commercial Services Manager before submission for upload by CSO/BSO.

Signature Box	
Name	Date

Incomplete contracts will be returned for proper completion before upload.

Revision	Date Issued	Notes
1.8.1	27/08/2021	COVID Instruction update, new Z Clause and Supplier address update
1.8.0	08/07/2021	M&C PSC added, Supplier name change, partner contract removed
1.7.4	08/04/2021	COVID Instruction update and additional guidance added
1.7.3	07/04/2021	Revision Log added, FastDraft amendment
1.7.2	21/01/2021	Location dropdown amendment
1.7.1	16/12/2020	M&M Y(UK)3 changes
1.7.0	02/11/2020	Work stage and scope function added
1.6.9	n/a	Internal working document
1.6.8	27/07/2020	Liability value adjustments
1.6.7	13/07/2020	Supplier address change
1.6.6	n/a	Internal working document
1.6.5	14/05/2020	Location dropdown amendment
1.6.4	14/04/2020	COVID Instruction and remove clearing code
1.6.3	17/02/2020	Partnering Option removed
1.6.2	21/11/2019	National location added
1.6.1	15/11/2019	Supplier name amended
1.6	26/09/2019	FastDraft abstraction added
1.5.4	09/09/2019	Liabilities and Insurances changes (2 versions fixed / variable)
1.5.3	13/08/2019	Pain Gain share review
1.5.2	06/08/2019	Linking retention, X18
1.5.1	06/08/2019	Update dropdown links
1.5	03/08/2019	Button alignment
1.4.9	31/07/2019	Marine & Coastal addresses added
1.4.8	25/07/2019	RMA version of EA Contract Tool
1.4.7	05/07/2019	Project Bank Account
1.4.6	14/06/2019	Functional updates
1.4.5	07/06/2019	Supplier selection update
1.4.4	n/a	Internal working document
1.4.3	20/05/2019	Functional updates and testing
1.4.2	15/05/2019	Functional updates and testing
1.4.1	15/05/2019	Functional updates and testing
1.4	14/05/2019	Company addresses provided
1.3	01/05/2019	Guidance notes added
1.2	02/04/2019	Functional updates and testing
1.1	27/03/2019	Final Draft
1.0	n/a	Internal working document
1	n/a	Internal working document
0	21/03/2019	First Draft

PII threshold

COVID instruction Nov 2020 - March 2021

COVID instruction July - Oct 2020

COVID instruction April - June 2020

Liability table

Contract Front sheet

1 General

The *Consultant* is

Jeremy Benn Associates Ltd
Springfield House
76 Wellington Street
Leeds
West Yorkshire
LS1 2AY

	Email address for communications
--	----------------------------------

	The subcontract <i>fee percentage</i> is
--	--

The *key persons* are

	Name (1)
Associate Director	Job
Project Director	Responsibilities
	Qualifications
	Experience

	Name (2)
Team Leader / Senior Analyst	Job
Project / Data Manager	Responsibilities
	Qualifications
	Experience

	Name (3)
Partner	Job
Technical Lead	Responsibilities
	Qualifications
	Experience

insert name	Name (4)
insert job	Job
insert responsibilities	Responsibilities
insert qualifications	Qualifications
insert experience	Experience

insert name	Name (5)
insert job	Job
insert responsibilities	Responsibilities
insert qualifications	Qualifications
insert experience	Experience

insert name	Name (6)
insert job	Job
insert responsibilities	Responsibilities
insert qualifications	Qualifications
insert experience	Experience

insert name	Name (7)
insert job	Job
insert responsibilities	Responsibilities
insert qualifications	Qualifications
insert experience	Experience

The following matters will be included in the Early Warning Register;

Increase in activities after engagement and/or review activities
Availability of key Service Managers for engagement activities
insert details
insert details
insert details
insert details
insert details
insert details

3 Time

As per W22-0746 - Towards Equitable Outcomes - Proposal.pdf	The programme identified in the Contract Data is;
---	---

5 Payment

As per W22-0746 - Towards Equitable Outcomes - Activity Schedule - PS v2.xlsm	The <i>activity schedule</i> is
---	---------------------------------

£24,988.20	The tendered total of the Prices is
------------	-------------------------------------

Resolving and avoiding disputes

The *Senior Representatives* of the *Consultant* are

	Name (1)
1 Broughton Park	address Line 1
Old Lane North	address Line 2
Broughton	address Line 3
Skipton	address Line 4
North Yorkshire	address Line 5
BD23 3FD	address Line 6

	Email address for communications
--	----------------------------------

	Name (2)
1 Broughton Park	address Line 1
Old Lane North	address Line 2
Broughton	address Line 3
Skipton	address Line 4
North Yorkshire	address Line 5
BD23 3FD	address Line 6

	Email address for communications
--	----------------------------------

X10: Information modelling;

The <i>information execution plan</i> identified in the Contract Data is;	If an <i>information execution plan</i> is to be identified in the Contract Data
TBC	



Framework: Mapping & Modelling Framework
Supplier: Jeremy Benn Associates Ltd
Company Number: 03246693

Geographical Area: National
Project Name: Towards Equitable (2EQ) Trent - understanding the distribution of
Project Number: [REDACTED]

Contract Type: Professional Service Contract
Option: Option A

Contract Number: ecm_63894

Stage:

Revision	Status		Originator		Reviewer		Date

PROFESSIONAL SERVICE CONTRACT under the Mapping and Modelling Framework CONTRACT DATA

Project Name Towards Equitable (2EQ) Trent - understanding the distributional equity of indices of Operational Services

Project Number [REDACTED]

This contract is made on
between the *Client* and the *Consultant*

22 February 2022

This Contract is made pursuant to the Framework Agreement (the "Agreement") dated 16th day of May 2019 between the *Client* and the *Consultant* in relation to the NGSA Mapping and Modelling Support Framework. The entire Agreement and the following schedules are incorporated into this Contract by reference

- Schedules 1 to 22 inclusive
- The following documents are incorporated into this contract by reference
PSC MMF Scope - 2EQ

Part One - Data provided by the *Client* Statements given in all Contracts

1 General

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017.

Main
Option

Option A

Option for resolving and
avoiding disputes

W2

Secondary Options

X2: Changes in the law

X5: Sectional Completion

X7: Delay damages

X9: Transfer of rights

X10: Information modelling

X11: Termination by the *Client*

X18: Limitation of Liability

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

Y(UK)3: The Contracts (Rights of Third Parties) Act 1999

Z: *Additional conditions of contract*

The *service is* *The Consultant shall provide expert advisory input and joint capacity to design, enable and deliver participatory implementation by East Midlands ALT and their staff.*

The *Client* is

Address for communications

[REDACTED]
Sentinel House
9 Wellington Crescent
Fradley
Lichfield
WS13 8RR

Address for electronic communications

The *Service Manager* is

Address for communications

[REDACTED]
Horizon House
Deanery Road
Bristol
BS1 5AH

Address for electronic communications

The *Scope* is in
PSC MMF Scope - 2EQ

The *language of the contract* is English

The *law of the contract* is

the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

The period for reply is 2 weeks

The *period for retention* is 6 years following Completion or earlier termination

The following matters will be included in the Early Warning Register

Early warning meetings are to be held at intervals no longer than 2 weeks

2 The Consultant's main responsibilities

The *key dates* and *conditions* to be met are

conditions to be met
'none set'
'none set'
'none set'

key date
'none set'
'none set'
'none set'

The *Consultant* prepares forecasts of the total *expenses* at intervals no longer than

4 weeks

3 Time

The *starting date* is 22 February 2022

The *Client* provides access to the following persons, places and things
access
project development and delivery plan documents

access date
All required contact details and access to evidence

The *Consultant* submits revised programmes at intervals no longer than

4 weeks

The *completion date* for the whole of the *service* is 20th July 2022

The period after the Contract Date within which the *Consultant* is to submit a first programme for acceptance is

4 weeks

4 Quality management

The period after the Contract Date within which the *Consultant* is to submit a quality policy statement and quality plan is

4 weeks

The period between Completion of the whole of the *service* and the *defects date* is

26 weeks

5 Payment

The *currency of the contract* is the £ sterling

The *assessment interval* is Monthly

The *expenses* stated by the *Client* are as stated in Schedule 9

The *interest rate* is 2.00% per annum (not less than 2) above the
Base rate of the Bank of England

6 Compensation events

These are additional compensation events

1. 'not used'
2. 'not used'
3. 'not used'
4. 'not used'
5. 'not used'

8 Liabilities and insurance

These are additional *Client's* liabilities

1. 'not used'
2. 'not used'

3. 'not used'

The minimum amount of cover and the periods for which the *Consultant* maintains insurance are

EVENT	MINIMUM AMOUNT OF	PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE <i>SERVICE</i> OR TERMINATION
The <i>Consultant's</i> failure to use the skill and care normally used by professionals providing services similar to the <i>Service</i>	£ 5 Million in respect of each claim, without limit to the number of claims	12 Years
Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the <i>Consultant</i>) from or in connection with the <i>Consultant</i> Providing the Service	£ 5 Million in respect of each claim, without limit to the number of claims	12 Months
Death of or bodily injury to the employees of the <i>Consultant</i> arising out of and in the course of their employment in connection with the contract	Which ever is the greater of £5m or the amount <i>required by law</i> in respect of each claim, without limit to the number of claims	For the period required by law
The <i>Consultant's</i> total liability to the <i>Client</i> for all matters arising under or in connection with the contract, other than the excluded matters limited to		£ 5 Million

Resolving and avoiding disputes

The <i>tribunal</i> is	Litigation in the courts
The <i>Adjudicator</i> is	'to be confirmed'
Address for communications	'to be confirmed'
Address for electronic communications	'to be confirmed'
The <i>Adjudicator nominating body</i> is	The Institution of Civil Engineers

Z Clauses

Z1 Disputes

Delete existing clause W2.1

Z2 Prevention

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replaced by:

The *service* is are affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the *Consultant* and sub consultants,
- Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- Natural disaster,
- Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

Z3 Disallowed Costs

Add the following in second bullet of 11.2 (18) add:

(including compensation events with the sub contractor, i.e. payment for work that should not have been undertaken).

Add the following additional bullets after 'and the cost of ' :

- Mistakes or delays caused by the *Consultant's* failure to follow standards in Scopes/quality plans.
- Reorganisation of the *Consultant's* project team.
- Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats.
- Exceeding the Scope without prior instruction that leads to abortive cost
- Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.
- Production or preparation of self-promotional material.
- Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)
- Any hours exceeding 8 per day unless with prior written agreement of the *Service Manager*
- Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the *Service Manager*
- Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the *Service Manager*
- Costs associated with the attendance at additional meetings after programmed completion, if delay is due to *Consultant* performance.
- Costs associated with rectifications that are due to *Consultant* error or omission.
- Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement
- Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
- Was incurred as a result of the *Client* issuing a Yellow or Red Card to prepare a Performance Improvement Plan
- Was incurred as a resulting of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

Z6 The Schedule of Cost Components

The Schedule of Cost Components are as detailed in the Framework Schedule 9.

Z24 Requirement for Invoice

Add the following sentence to the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the *Service Manager's* approval of a fee note.

Delete existing clause 51.2 and replace with:

51.2 Each certified payment is made within one week after the paying Party receives an invoice from the other Party and

If a certified payment is late, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

Secondary Options

OPTION X2: Changes in the law

The *law of the project* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

OPTION X5: Sectional Completion

The <i>completion date</i> for each <i>section</i> of the <i>service</i> is		
<i>section</i>	<i>description</i>	<i>completion date</i>
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		

OPTION X7: Delay damages

X7 only Delay damages for Completion of the whole of the *service* are per day

X7 plus X5	Delay damages for each <i>section</i> of the <i>service</i> are	
	section	description
	1	
	2	
	3	
	4	
	5	
	6	
	7	
	8	
	9	
	10	
	11	
	12	
	13	
	14	
	15	
	16	
	17	
	18	
	19	
	20	

The delay damages for the remainder of the *service* are

OPTION X10: Information modelling

The period after the Contract Date within which the *Consultant* is to submit a first Information Execution Plan for acceptance is 2 weeks

OPTION X18: Limitation of Liability

The *Consultant's* liability to the *Client* for indirect or consequential loss is limited to £5,000,000.00

The *Consultant's* liability to the *Client* for Defects that are not found until after the *defects date* is limited to

£5,000,000.00

The *end of liability date is* 6 Years after the Completion of the whole of the *service*

Y(UK2): The Housing Grants, Construction and Regeneration Act 1996

The period for payment is 14 days after the date on which payment becomes due

Y(UK3): The Contracts (Rights of Third Parties Act) 1999

term beneficiary

Part Two - Data provided by the *Consultant*

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The *Consultant* is

Name Jeremy Benn Associates Ltd

Address for communications
Springfield House
76 Wellington Street
Leeds
West Yorkshire
LS1 2AY

Email address

The subcontract *fee percentage* is

Option A

The *key persons* are

Name (1)
Job Associate Director
Responsibilities Project Director
Qualifications
Experience

The *key persons* are

Name (2)
Job Team Leader / Senior Analyst
Responsibilities Project / Data Manager
Qualifications
Experience

The *key persons* are

Name (3)
Job Partner
Responsibilities Technical Lead
Qualifications
Experience

The *key persons* are

Name (4)
Job
Responsibilities
Qualifications
Experience

The *key persons* are

Name (5)
Job
Responsibilities
Qualifications
Experience

The *key persons* are

Name (6)
Job
Responsibilities
Qualifications
Experience

The *key persons* are

Name (7)

Job
Responsibilities
Qualifications
Experience

The following matters will be included in the Early Warning Register

Increase in activities after engagement and/or review activities
Availability of key Service Managers for engagement activities

5 Payment

The *activity schedule* is

As per W22-0746 - Towards Equitable Outcomes - Activity Schedule

The tendered total of the Prices is
£24,988.20

Resolving and avoiding disputes

The *Senior Representatives* of the *Consultant* are

Name (1)

Address for communications

1 Broughton Park
Old Lane North
Broughton
Skipton
North Yorkshire
BD23 3FD

Address for electronic communications

Name (2)

Address for communications

1 Broughton Park
Old Lane North
Broughton
Skipton
North Yorkshire
BD23 3FD

Address for electronic communications

X10: Information Modelling

The information execution plan identified in the Contract Data is
TBC

Contract Execution

Client execution

Signed Underhand by [redacted]

for and on behalf of the Environment Agency

[redacted]	29/03/2022
Signature	Date
[redacted]	

Project Manager
Role

Consultant execution

Signed Underhand by [redacted]

for and on behalf of Jeremy Benn Associates Ltd

[redacted]	30/03/2022
Signature	Date

Company Director
Role