

Contract document PSC 3rd Ed.

Contract for: Fire Compartmentation Design and QS

Services, Kings Pool, York, YO1 7PX

Project Ref: 28927 - ecm 58381 - Kings Pool - Fire

Compartmentation Works (QS)

Non Returnable Documents

Contents
Contract data

Scope

Prepared by:

Date: 9th June 2020

Version: 01

Department for Environment, Food and Rural Affairs Nobel House, 17 Smith Square, London, SW1P 3JR



Non-Returnable documents
PSC 3rd Ed.

Section 1
Contents

Section 1 - Document summary and contents

Docu	Document summary				
	Section	Title	Description		
able Is	1	Document summary and contents list	A guide to the documents and 'tendering' arrangements.		
n-Returnak Documents	2	Contract data part one	Data (supplied by the <i>Employer</i>) required by the conditions of contract specific to this contract.		
Non-Returnable Documents	3	Scope	The specification and description of the services and constraints on how the <i>Supplier</i> is to provide the services.		
ıts	4	Document summary and contents list	A guide to the tender documents		
umer	5	Contract data part two	Data (supplied by the <i>Supplier</i>) required by the conditions of contract specific to this contract.		
00	6	Pricing data	The activity schedule Option A and C.		
le [Risk register		
nab			The risk budget		
Returnable Documents	7	Consultant's schedules	Information required with the tender and the Supplier's technical offer. Includes certificates for completion and return with the tender.		



Contract Documents
PSC 3rd Ed.

Section 2 Contract Data Part one

Data provided by the Employer

Con	Contract for Project Management & Cost Consultancy Services				
1.	General				
•	The conditions of contract are a) the core clauses and the clauses for the Options set out below of the NEC3 Professional Services Contract (June 2005) and amended by June 2006 and September 2011in conjunction with b) agreement reference 9Y8C – TM97UR Property & Design Specification Services. In the event of a conflict between terms, the terms cited under a) above shall apply.				
	A: Priced contract with activity schedule				
	W2: Dispute Resolution Procedure (use when Housing Grants, Construction and Regeneration Act 1996 applies)	n			
	X1: Not used	_			
	X2: Changes in the law				
	X3: Not used				
	X4: Not used				
	X5: Not used				
	X6: Not used				
	X7: Not used				
	X8: Not used				
	X9: Transfer of rights				
	X10: Not used				
	X11: Termination by the <i>Employer</i>				
	X12: Not used				
	X13: Not used				
	X18: Limitation of liability				
	X20: Not used				
	Y(UK)2 The Housing Grants Construction and Regeneration Act 1996 as amended by the Loc Democracy, Economic Development and Construction Act 2009	al			
	Y(UK)3 The Contracts (Rights of Third Parties) Act 1999				
	A Contract Risk Register will be maintained to record early warnings and compensation events. A example of the form of register to be used will be sent to the Agency PM for agreement prior issue.				
	Z: The Additional conditions of contract are in point 10. Option Z				
•	 The services are: To provide the Technical Advisory and Quantity Surveying services for Foss House and Mallard House, York, as detailed in Mott Macdonald's Activity Schedule (Appendix A) 				



• The *Employer* is

The Department for Environment, Food & Rural Affairs (Defra)

Nobel House

17 Smith Square

London

SW1P 3JR

Employer's project manager: Toby Ellis

- The Adjudicator is, the person appointed by the Adjudicator nominating body.
- The referring Party pays the administrative charge made by the *Adjudicator nominating body*.
- The Scope is in Section 3 of this Contract document.
- The law of this contract is the law of England, subject to the jurisdiction of the English Courts.
- The language of this contract is English.
- The period for reply to a communication is 2 weeks.
- The *period for retention* of documents is 6 years following Completion or earlier termination.
- The Adjudicator nominating body is the Institution of Civil Engineers
- The *tribunal* is litigation in the courts.

2. The Parties main responsibilities

• The *Employer* provides access to the following people, places and things.

Access to	access date
Access to Foss & Mallard House as required (assuming compliance with DEFRA security & sign in procedures)	As required from 1st June 2020
Record drawings and O&M Manuals for the existing buildings	
Access required will be arranged for the day requested	
As built construction drawings and details for the structure and buildings to undertake the scope of services.	

• The *Consultant* prepares forecasts of total Time Charge and *expenses* for the *services* at intervals no longer than one month.

3. Time

- The starting date is 12th June 2020
- The completion date for the whole of the services is 31st March 2024



• The completion date for each Section of the services is

Section Description Amount Completion Date

Not Used Not Used Not Used

• The key dates and conditions to be met are

Section	Description	Completion date
Completion	Completion required by	31st March 2024

4. Quality

- The quality policy statement and quality plan are provided within 4 weeks of the *starting date*, if not previously provided by the *Consultant*.
- The defects date is 52 weeks after Completion of the whole of the services.

5. Payment

- The assessment interval is one month based on the schedule submitted as part of Option A.
- The period within which payments are made is 30 days from receipt of the Consultant's VAT invoice.
- The *currency of this contract* is pounds sterling (£).
- The interest rate is 2% per annum above the Bank of England Base Rate.
- There are no *expenses* stated by the *Employer* (*expenses* are deemed to be included in the lump sum prices for the activities).

6. Compensation events

• There is no Contract Data required under this heading

7. Title and confidentiality

There is no Contract Data required under this heading.

Note – levels proposed are for this project specifically.

8. Indemnity and insurance

 The amounts of insurance and the periods following Completion for which the Consultant maintains insurance are

Event	Cover	Period following Completion of the whole of the <i>services</i> or earlier termination
failure of the Consultant to use the skill and care normally used by professionals providing services similar to the services	without limit to the number of claims	



Department for Environment

Food & Rural Affairs

Call-off from the Environment Agency Property Design and Specification Services Contract 9Y8C-TM97UR

personal injury to or death of a person (not an employee of the Consultant) or loss of or damage to property resulting from an action or failure to take action by the Consultant	without limit to the number of claims	12 months
bodily injury to or death of employees of the <i>Consultant</i> arising out of and in course of their employment in connection with this contract	£5M or the amount required by	for the period required by law

- The *Employer* provides the following insurances None.
- The Consultant's total liability to the *Employer* for all matters arising under or connection with this contract, other than excluded matters, is limited to an aggregate of £5 million per individual contract.

9. Disputes and termination

There is no Contract Data required under this heading

10. Option Z: The additional conditions of contract are:

Z 1 Not Used

Z2 The text of Cl 18 Prevention is deleted.

Delete the text of Cl 60.1(11) and replaced by:

The services are affected by any of the following events

- · War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants,
- Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel.
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- · Natural disaster,
- Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

Z3A	Not used
Z3B	Not Used
Z3C	Not Used
Z4	Not used
Z5	Not used
Z 18 Z19:	Not Used Not Used



Department for Environment Food & Rural Affairs

Call-off from the Environment Agency Property Design and Specification Services Contract 9Y8C-TM97UR

Z20: CIC BIM Protocol

In this clause, the Protocol is the CIC Building Information Modelling Protocol, first edition 2013. Terms used in this clause are those defined in the Protocol.

Clauses 1 and 5, of the Protocol are additional conditions of contract.

Clauses 3 and 4 and Appendices 1 and 2 of the Protocol are Scope.

Clause 6 of the Protocol is amended as follows and are additional conditions of contract

6.1 is unamended

6.2 – 6.10 of the Protocol is deleted and replaced with the following:

- 6.2 All pre-existing Materials held and used by a Project Team Member used in connection with the Model shall remain the property of the party introducing them. Details of each party's pre-existing Materials are set out in the Model Production and Delivery Table
- 6.3 All Materials (other than clause 6.2 above), Model, Featured Model and Specified Model, shall be the property of the *Employer*
- 6.4 The Materials (other than clause 6.2 above), Model, Featured Model and Specified Model shall, be the property of the *Employer* and the *Employer* shall be free, should it so wish, to apply at its own expense for patent or other protection in respect of the Materials (other than clause 6.2 above), Model, Featured Model and Specified Model. The *Employer's* intention to apply for such patent or other protection shall be notified to the Project Team Members. Such applications for patents or other registered intellectual property rights shall be filed in the name of the *Employer*.
 - Unless otherwise agreed in writing between the Project Team Member and the *Employer*, the Project Team Member hereby:
- 6.4.1 assigns to the *Employer* all Materials (other than clause 6.2 above), Model, Featured Model and Specified Model
- 6.4.2 grants the *Employer* a non-exclusive, non transferable (save for the purposes of sub-licensing, reorganisation or transfer to a successor body, for the purposes of all the successor body's normal business use), irrevocable, royalty free perpetual licence to the *Employer* in respect of all the Project Team Member's pre-existing Materials necessary in order for the *Employer* to use or exploit the Materials, Model, Featured Model and Specified Model
- 6.7 The Project Team Members undertakes to the *Employer* not to use, exploit or deal with any of the *Employer*'s pre-existing Materials, other than in the performance of the Agreement unless the Project Team Member has first obtained a written licence from the *Employer*, in specific terms to do so.
- 6.8 The *Employer* undertakes to the Project Team Member not to use or exploit the pre-existing Materials, save as provided in Clause 6.4.2
- 6.9 The Project Team Members warrants to the *Employer* that the Project Team Member pre-existing Materials shall not in any way infringe any intellectual property rights of any third party.
- 6.10 If the Project Team Members is prevented from carrying out his obligations under the Agreement due to any infringement or alleged infringement of any Intellectual Property Rights, the *Employer* may without prejudice to any other rights and remedies under the Agreement, exercise the powers and remedies available to it under the Agreement
- 6.11 The Project Team Members shall not be liable if such infringement arises from the use of any design, technique or method of working provided by or specified by the *Employer*. The Project Team Members waives in favour of the *Employer* its rights to object to derogatory treatment of the Materials (other than clause 6.2 above), Model, Featured Model and Specified Model and the Project Team Members also agrees that he will not assert or seek to enforce against the *Employer* and/or any other person, firm or company any of its moral rights as defined in the Copyright Designs and Patents Act 1988 without the prior agreement of the *Employer*.
- 6.13 The Project Team Members shall not be liable for any consequential losses, damage or injuries arising from third party misuse of the Materials, of which the Project Team Members is not aware.

Clause 7 of the Protocol is deleted in its entirety.



Z21: The text in X9 (Transfer of Rights) is amended as follows:

"The following clauses are inserted after X9.1:

- X9.2 All materials shall be the property of the Employer and the Employer shall be free, should it so wish, to apply at its own expense for patent or other protection in respect of the materials. The Employer's intention to apply for such patent or other protection shall be notified to the Consultant. Such applications for patents or other registered intellectual property rights shall be filed in the name of the Employer.
- X9.3 Unless set out in the Scope or otherwise agreed in writing between the parties, the Consultant hereby:
- 9.3.1 assigns to the Employer all materials;
- 9.3.2 grants the Employer a non-exclusive, non-transferable (save for the purposes of sub-licensing, reorganisation or transfer to a successor body, for the purposes of all the successor body's normal business use), irrevocable, royalty free perpetual licence to the Employer in respect of all the Consultant's pre-existing materials necessary in order for the Employer to use or exploit the materials
- X9.4 The Consultant undertakes to the Employer not to use, exploit or deal with any of the Employer's pre-existing materials, other than in the performance of the contract unless the Consultant has first obtained a written licence from the Employer, in specific terms to do so.
- X9.5 For avoidance of doubt, this clause supersedes and takes precedence over core clause 70."



Contract Documents	ection 6 cope
--------------------	------------------

6. 20 The Parties' main responsibilities

6. 20.1 Details of the services

The Consultant shall;

- Undertake technical advisory and Quantity Surveying services for the Fire Compartmentation Refurbishment Works within Kings Pool
- Obtain, via Race Cottam Associates, building control certification for the work

Scope of Work

Review the repair and implementation of fire compartmentation systems within Foss and Mallard House, Kings Pool, York. The works shall be undertaken by DEFRA's facility management provider, Interserve. The *consultant* shall offer technical advisory and quality surveying services in relation to the programme of work and in line with Appendix A.

The existing fire compartmentation has been found to be damaged or incomplete, this project will determine the correct compartmentation strategy for the building and this is implemented through a combination of the following measures:

- Repair of existing and
- Installation of new

These works shall include physical fire compartmentation barriers, penetrations and associated ductwork dampers.

Work to be certified by building control

- Programme
 - TBC in line with the Completion Date

The Consultant shall;

Refer to the activity schedule

Reporting

The Consultant shall;

- Deliver a monthly cost report showing spend to date, performance against project budget and spend profile for the works.
- Deliver a weekly project memo to note actions completed; outstanding issues and future activities.



Compliance

The Consultant shall;

- Comply with the CDM Regulations 2015 insofar as they relate to this Appointment.
- Visit the Site and carry out initial inspections. Advise the *Employer* on areas of concern.
- Participate in an assessment of project risk as part of wider obligations to reduce and mitigate *Employer* residual risk.



Contract document PSC 3rd Ed.

Contract for: Fire Compartmentation Design and QS

Services, Kings Pool, York, YO1 7PX

Project Ref: 28927

Returnable Documents

Document Summary and contents
Contract data part two

Pricing data

Consultant's Schedules

Prepared by: Mott MacDonald

Date: 26th May 2020

Version: 01



Section 4 - Document summary and contents

Document summary				
	Section	Title	Description	
able ts	1	Document summary and contents list	A guide to the documents and 'tendering' arrangements.	
on-Returnab Documents	2	Contract data part one	Data (supplied by the <i>Employer</i>) required by the conditions of contract specific to this contract.	
Non-Returnable Documents	3	Scope	The specification and description of the services and constraints on how the <i>Supplier</i> is to provide the services.	
ıts	4	Document summary and contents list	A guide to the tender documents	
Returnable Documents	5	Contract data part two	Data (supplied by the <i>Consultant</i>) required by the conditions of contract specific to this contract.	
000	6	Pricing data	The activity schedule Option A and C.	
le [Risk register	
nab			The risk budget	
Returi	7	Consultant's schedules	Information required with the tender and the Consultant's technical offer. Includes certificates for completion and return with the tender.	



Contract Documents PSC 3rd Ed.

Section 5 Contract Data Part two

Data provided by the Consultant

Address	lott MacDonald : Mott MacDonald Hous lenham Road	e	Standard requirement
• The key	persons are:		
Name			
Job			
		a ch and N	
Experien	Ce.	ech and N	
	rates are as follows:	20 Tours	



			Staff Gr	ade / Position		
Hourly Rates Proposal	Partner /					
Hourly Rates Proposal			Staff Gr	ade / Position		
(M&E /						
Commencing of the pro- receipt of purchase order	oject date; 1 r.	2/06/2020 s	ubject to	This will alway purpose is Consultant in work and Department's project master simple service a single start	to inform tends to ca how it a other activiter plan. Howe it may be no	how the arry out the affects the ties and the wever for a common more than
The activity schedule is in Section 6, Pricing Data			Only include 'activity scheet or C only).			



Contract Documents	Section 6.1 Pricing Data

6.1 Activity schedule

The services	Kings Pool, York YO1 7PX, Fire Compartmentation Design and QS Services
Contract Number	9Y8C-TM97UR

Stage Activity Code	Description	Lump sum prices for activities £
See Appendix A.	See attached activity schedule REF: App A - Activity Schedule KP FC QSTA	£197,406.25



Statement by Consultant PSC 3 rd Ed.	Consultant's schedule 7.0
---	---------------------------

We confirm that nothing in the information we have given in the *Consultant*'s schedules or appended to them, or the *Employer's* acceptance of our proposal, changes our responsibility to Provide the Services in accordance with the Scope or our liability for design.

Signed	D	ate
Name	Posit	on
Consultant		



Proposed	
Sub consultants	

PSC 3rd Ed.

Consultant's schedule: 7.1

We notify you that it is our intention to employ the following Sub consultants on the services.

If we are awarded a contract for the *services* we agree that this notification does not change the requirement for us to submit the names of proposed Sub consultants to the *Employer* for acceptance as required by Clause 24.

	Name and address of proposed Sub consultant	Nature and extent of service	Proposed procurement method used/to be used to achieve value for money	Proposed conditions of contract to be used to purchase services from Sub consultant	Previous experience with Sub consultant
1.	David Speddings 1 East Parade Sheffield S1 2ET	To provide a technical advisor service to respond to any architectural enquiries and to provide an inspection service from an approved inspector for the Building Control requirements.	Lump Sum Fee	Mott MacDonald sub-consultancy agreement with amendments.	Worked with MML on similar schemes with DEFRA as employer



PSC 3 rd Ed. Schedule: 7	Management PSC 3rd Ed.	Consultant's schedule: 7.2
-------------------------------------	------------------------	----------------------------

Note to framework Consultant: Please describe the management arrangements for the *services*. You are requested to include:

- **1.** An organisation chart (including the key people you have identified in the Contract Data Part two).
- 2. If not already provided to the Employer, CV's (Appendix B) for all key people should be a maximum of two sides of A4 of relevant experience tailored to the services required under this contract.
- **3.** An explanation of how you propose to allocate adequate resources to enable you to comply with the requirements and prohibitions imposed on you by or under the statutory provisions relating to health and safety.

This note is not part of the contract

Summary of items attached to this schedule: C. Ethics Compliance APP C - Ethics policy statement.pdf



 The Quality Plan for this Contract will be developed for this project in line with our organisational quality management systems as stated in Contract Data Part One, Section 4, Quality.



Health and Safety	Consultant's schedule: 7.4

Health and Safety will be in line with Mott MacDonald's standard health and safety procedures.	



Programme PSC 3 rd Ed.	Consultant's schedule: 7.5

riote. This programme should show.	Note:	This	programme	should	show:
------------------------------------	-------	------	-----------	--------	-------

- 1. The information required of a programme submitted for acceptance is in Clause 31.2.
- 2. Any other requirements for a programme stated in the Scope.
- 3. Your statement on how you plan to do the work for each operation on the programme is to be provided below and not on the programme. However, you are still required to submit a fully resourced programme. Your response must include your approach to SHE.

a fully resourced programme. Your response must include your approach to SHE.	



Form of Agreement	7.6
PSC 3 rd Ed.	

Contract Title: Fire Compartmentation Design and QS Services, Kings Pool, York, YO1 7PX

This agreement is made on

Between The Department of Environment, Food & Rural Affairs (the *Employer*) and Mott MacDonald (the *Consultant*)

The *Employer* will pay the *Consultant* the amount due and carry out his duties in accordance with the *conditions of contract* identified in the Contract Data.

The Consultant will Provide the Services in accordance with the conditions of contract identified in the Contract Data.

Execution of this Contract is carried out in accordance with the 1999 EU Directive 99/93(Communication Framework for Electronic Signatures) and the UK Electronic Communications Act 2000. This Contract is formed on the date on which both the Authority and the Contractor have communicated acceptance of its terms on the Authority's e-tendering system ('Bravo').