Request for Quotation

District Level Licensing: An Expert Opinion and an Evaluation Framework and Monitoring Strategy aligned and agreed by all providers across England.

November 2023

Request for Quotation

**Provide an expert opinion of Natural England’s District Level Licensing Evaluation Framework and Monitoring Strategy; and facilitate the development of an Evaluation Framework and Monitoring Strategy aligned and agreed by all providers across England.**

You are invited to submit a quotation for the requirement described in the specification, Section 2.

Please confirm by email, receipt of these documents and whether you intend to submit a quote or not.

Your response should be returned to the following email address by:

Email: cathy.fitzroy@naturalengland.org.uk

Date: **11th December 2023**

Time: 5pm

Ensure you include the name of the quotation and ‘Final Submission’ in the subject field to make it clear that it is your response.

Contact Details and Timetable

Cathy Fitzroy will be your contact for any questions linked to the content of the quote or the process. Please submit any clarification questions via email and note that, unless commercially sensitive, both the question and the response will be circulated to all tenderers.

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| --- | --- |
| Action | Date |
| Date of issue of RFQ | 13-Nov-2023 at 09:00 GMT |
| Deadline for clarifications questions | 30-Nov-2023 **at 17**:00 GMT |
| Deadline for receipt of Quotation | 11-Dec-2023 **at** 17:00 GMT |
| Intended date of Contract Award | 18-Dec-2023 |
| Intended Contract Start Date | 03-Jan-2024 |
| Intended Delivery Date / Contract Duration  | 03-Jan-2024 to 31-Mar-2024 |

Section 1: General Information

Glossary

Unless the context otherwise requires, the following words and expressions used within this Request for Quotation shall have the following meanings (to be interpreted in the singular or plural as the context requires):

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|  |  |
| “Authority” | means Natural England.who is the Contracting Authority.  |
| “Contract” | means the contract to be entered into by the Authority and the successful supplier. |
| “Response” | means the information submitted by a supplier in response to the RFQ. |
| “RFQ” | means this Request for Quotation and all related documents published by the Authority and made available to suppliers. |

Conditions applying to the RFQ

You should examine your Response and related documents ensuring it is complete and in accordance with the stated instructions prior to submission.

Your Response must contain sufficient information to enable the Authority to evaluate it fairly and effectively. You should ensure that you have prepared your Response fully and accurately and that prices quoted are arithmetically correct for the units stated.

By submitting a Response, you, the supplier, are deemed to accept the terms and conditions provided in the RFQ. Confirmation of this is required in Annex 2.

Failure to comply with the instructions set out in the RFQ may result in the supplier’s exclusion from this quotation process.

Acceptance of Quotations

By issuing this RFQ the Authority does not bind itself to accept any quotation and reserves the right not to award a contract to any supplier who submits a quotation.

Costs

The Authority will not reimburse you for any costs and expenses which you incur preparing and submitting your quotation, even if the Authority amends or terminates the procurement process.

Self-Declaration and Mandatory Requirements

The RFQ includes a self-declaration response (Annex 1) which covers basic information about the supplier, as well as any grounds for exclusion. If you do not comply with them, your quotation will not be evaluated.

Any mandatory requirements will be set out in Section 2, Specification of Requirements and, if you do not comply with them, your quotation will not be evaluated.

Clarifications

Any request for clarification regarding the RFQ and supporting documentation must be submitted via email no later than the deadline for clarifications set out in the Timetable. The Authority shall be under no obligation to respond to queries raised after the clarification deadline.

The Authority will respond to all reasonable clarifications as soon as possible but cannot guarantee a minimum response time. The Authority will publish all clarifications and its responses to all suppliers via email unless deemed commercially sensitive.

If a supplier believes that a request for clarification is commercially sensitive, it should clearly state this when submitting the clarification request. However, if the Authority considers either that:

* the clarification and response are not commercially sensitive; and
* all suppliers may benefit from its disclosure,

then the Authority will notify the supplier (via email), and the supplier will have an opportunity to withdraw the request for clarification by sending a further message requesting the withdrawal of the clarification request. If not withdrawn by the supplier within 2 working days of the Authority’s notification, the Authority may publish the clarification request and its response to all suppliers and the Authority shall not be liable to the supplier for any consequences of such publication.

The Authority reserves the right to seek clarification of any aspect of a quotation and/or provide additional information during the evaluation phase to carry out a fair evaluation. Where the Authority seeks clarification on any aspect of the quotation, the supplier must respond within the timeframe requested by the Authority.

Amendments

The Authority may amend the RFQ at any time prior to the deadline for receipt. If it amends the RFQ the Authority will notify you via email.

Suppliers may modify their quotation prior to the deadline for Responses. No Responses may be modified after the deadline for Responses.

 Suppliers may withdraw their quotations at any time by submitting a notice via the email to the named contact.

Conditions of Contract

The Authority’s Standard Good and Services Terms & Conditions (used for purchases under £50k) can be located on the [Natural England Website](https://www.gov.uk/government/organisations/natural-england/about/procurement) and will be applicable to any contract awarded as a result of this quotation process. The Authority will not accept any changes to these terms and conditions proposed by a supplier.

Suppliers should note that the quotation provided by the successful bidder will form part of the Contract.

Prices

Prices must be submitted in £ sterling, exclusive of VAT.

Disclosure

All Central Government Departments, their Executive Agencies and Non Departmental Public Bodies are subject to control and reporting within Government. In particular, they report to the Cabinet Office and HM Treasury for all expenditure. Further the Cabinet Office has a cross-Government role delivering overall Government policy on public procurement, including ensuring value for money and related aspects of good procurement practice. For these purposes, the Authority may disclose within Government any details contained in your quotation. The information will not be disclosed outside Government during the procurement.

In addition, the Authority is subject to the Freedom of Information Act 2000 and the Environmental Information Regulations 2004, which provide a public right of access to information held by public bodies. In accordance with these two statutes, the Authority may be required to disclose information contained in your quotation to any person who submits a request for information pursuant to those statutes.

Further to the Government’s transparency agenda, all UK Government organisations must advertise on Contract Finder in accordance with the following publication thresholds:

* Central Contracting Authority’s: £12,000
* Sub Central Contracting Authority’s and NHS Trusts: £30,000

For the purpose of this RFQ the Authority is classified as a Central Contracting Authority with a publication threshold of £12,000 inclusive of VAT.

If this opportunity is advertised via Contracts Finder, we are obliged to publish details of the awarded contract including who has won the contract, **the** contract value, and indicate whether the winning supplier is a small and medium-sized enterprise (“SMEs”) or voluntary organisation or charity. A copy of the contract must also be published with confidential information redacted.

By submitting a Response, you consent to these terms as part of the procurement.

Disclaimers

Whilst the information in this RFQ and any supporting information referred to herein or provided to you by the Authority have been prepared in good faith the Authority does not warrant that this information is comprehensive or that it has been independently verified.

The Authority does not:

* make any representation or warranty (express or implied) as to the accuracy, reasonableness or completeness of the RFQ;
* accept any liability for the information contained in the RFQ or for the fairness, accuracy or completeness of that information; or
* accept any liability for any loss or damage (other than in respect of fraudulent misrepresentation or any other liability which cannot lawfully be excluded) arising as a result of reliance on such information or any subsequent communication.

Any supplier considering entering into contractual relationships with the Authority following receipt of the RFQ should make its own investigations and independent assessment of the Authority and its requirements for the goods and/or services and should seek its own professional financial and legal advice.

Protection of Personal Data

In order to comply with the General Data Protection Regulations 2018 the supplier must agree to the following:

 You must only process any personal data in strict accordance with instructions from the Authority.

* You must ensure that all the personal data that we disclose to you or you collect on our behalf under this agreement are kept confidential.
* You must take reasonable steps to ensure the reliability of employees who have access to personal data.
* Only employees who may be required to assist in meeting the obligations under this agreement may have access to the personal data.
* Any disclosure of personal data must be made in confidence and extend only so far as that which is specifically necessary for the purposes of this agreement.
* You must ensure that there are appropriate security measures in place to safeguard against any unauthorised access or unlawful processing or accidental loss, destruction or damage or disclosure of the personal data.
* On termination of this agreement, for whatever reason, the personal data must be returned to us promptly and safely, together with all copies in your possession or control.

General Data Protection Regulations 2018

For the purposes of the Regulations the Authority is the data processor.

The personal information that we have asked you provide on individuals (data subjects) that will be working for you on this contract will be used in compiling the tender list and in assessing your offer. If you are unsuccessful the information will be held and destroyed within two years of the award of contracts. If you are awarded a contract it will be retained for the duration of the contract and destroyed within seven years of the contract’s expiry.

We may monitor the performance of the individuals during the execution of the contract, and the results of our monitoring, together with the information that you have provided, will be used in determining what work is allocated under the contract, and in any renewal of the contract or in the award of future contracts of a similar nature. The information will not be disclosed to anyone outside the Authority without the consent of the data subject, unless the Authority is required by law to make such disclosures.

Equality, Diversity & Inclusion (EDI)

The Client is striving to create a diverse and inclusive working environment where every individual has equality of opportunity to progress and to apply their unique insights to making the UK a great place for living. The Service Provider is expected to respect this commitment in all dealings with Natural England staff and service users.

Suppliers are expected to;

* support Defra group to achieve its Public Sector Equality Duty as defined by the Equality Act 2010, and to support delivery of [Defra group’s Equality & Diversity Strategy](https://www.gov.uk/government/publications/defra-group-equality-diversity-and-inclusion-strategy-2020-to-2024/defra-group-equality-diversity-and-inclusion-strategy-2020-to-2024).
* meet the standards set out in the [Government’s Supplier Code of Conduct](https://www.gov.uk/government/publications/supplier-code-of-conduct)
* work with Defra group to ensure equality, diversity and inclusion impacts are addressed (positive and negative) in the goods, services and works we procure, barriers are removed and opportunities realised.

Sustainable Procurement

Addressing global sustainability impacts and realising additional community benefits within commercial activity is core to Defra group’s approach, working with its supply chain is key to achieving sustainable outcomes. In addition to supporting Defra group to meet its outcomes we look to understand and reduce negative sustainability impacts associated with our commercial activity and realise benefits.

The Client encourages its suppliers to share these values, work to address negative impacts and realise opportunities, measure performance and success.

Suppliers are expected to have an understanding of the Sustainable Development Goals, the interconnections between them and the relevance to the Goods, Services and works procured on the Client’s behalf.

Conflicts of Interest

The concept of a conflict of interest includes but is not limited to any situation where an Involved Person or Relevant Body has directly or indirectly, a financial, economic or other personal interest which might be perceived to compromise their impartiality and independence in the context of the procurement procedure and/or affect the integrity of the contract award.

We expect suppliers to mitigate appropriately against any real or perceived conflict of interest through their work with government. A supplier with a position of influence gained through a contract should not use that position to unfairly disadvantage any other supplier or reduce the potential for future competition

Where the supplier is aware of any circumstances giving rise to a conflict of interest or has any indication that a conflict of interest exists or may arise you should inform the Authority of this as soon as possible (whether before or after they have submitted a quotation). Tenderers should remain alert to the possibility of conflicts of interest arising at all stages of the procurement and should update the Authority if any new circumstances or information arises, or there are any changes to information already provided to the Authority. Failure to do so, and/or to properly manage any conflicts of interest may result in a quotation being rejected.

Provided that it has been carried out in an open, fair and transparent manner, routine pre-market engagement carried out by the Authority should not represent a conflict of interest for the supplier.

Section 2: The Invitation

Specification of Requirements

Background to Natural England

Natural England (NE) is the Government’s advisor on the natural environment. It provides practical advice, grounded in science, on how best to safeguard England’s natural wealth for the benefit of everyone. Natural England’s remit is to ensure sustainable stewardship of the land and sea so that people and nature can thrive. It is our responsibility to see that England’s rich natural environment can adapt and survive intact for future generations to enjoy.

Further information about the Authority can be found at: [Natural England](https://www.gov.uk/government/organisations/natural-england).

Background to District Level Licensing

District level licensing (DLL) for great crested newts (GCN) is a strategic approach to the licensing requirements associated with development where this protected species is present or may be present. The impact of development is considered at a landscape scale and, working through local habitat delivery partners, the creation or restoration of habitat is targeted to areas where the species will most benefit.

District Level Licensing is provided by Natural England across 19 geographic areas. NatureSpace Partnership provide a scheme across 10 areas and three local authorities provide for their own authority area.

Further information regarding District Level Licensing can be found in Annex 3.

Specification of Requirements

In 2021 DLL conducted a ‘Theory of Change’ (ToC) which led to the development of key evaluation questions, indicators for monitoring, gaps in available data and provided a structure for data analysis and reporting. The combined outputs of the ToC led to the development of an Evaluation Framework.

In 2022 Natural England undertook an interim evaluation of DLL against three questions from the evaluation framework. It was not possible to address all evaluation questions at that time because of issues including:

* Limited data availability in terms of frequency and relevance to ToC outcomes
* Staff Resource
* Insufficient data to evaluate wider ecological benefits.

Throughout 2023 Natural England has been undertaking a review of its Evaluation Framework for DLL. The objectives of the review are to:

* Review and update, as needed, the Theory of Change
* Review and finalise the evaluation framework providing a consistent, focused, and transparent approach for annual and longer-term monitoring, reporting and evaluation.
* Review the monitoring strategy ensuring alignment with the evaluation framework to facilitate its population.

The final stage of the review will be to:

* Produce procedure-level guidance to support consistent and robust implementation of the monitoring strategy and evaluation framework.

Purpose of this Contract

The contractor is required to:

1. Undertake a rapid independent review of Natural England’s DLL ToC and Evaluation Framework and provide recommendations for improvements.
2. Collaboratively with all DLL providers in England facilitate a jointly agreed DLL Evaluation Framework (Natural England’s DLL ToC and Evaluation Framework should be used as a basis). The agreed framework should have clear indicators of success that can be monitored by all DLL providers.
3. Collaboratively with all DLL providers in England develop a jointly agreed monitoring strategy that ensures data collection is aligned across providers to agreed common standards.

**The requirements of this contract:**

Natural England will complete internally the DLL ‘Theory of Change,’ Evaluation Framework and Monitoring Strategy. The role of the contractor will be to utilise their evaluation expertise to rapidly review the ToC and Framework and provide recommendations for improvements.

The contractor will need to actively engage and work with all providers of DLL, this includes Natural England, NatureSpace Partnership and three different Local Planning Authorities. The contractor will utilise the Natural England Evaluation Framework as a foundation to develop an Evaluation Framework for all DLL schemes across England that is agreed by all providers. It will be important to ensure that the correct evaluation questions and sub-questions have been identified to address the desired outcomes.

In consultation with all providers the contractor will determine if the indicators of success for the evaluation framework; can be efficiently and effectively evidenced.

Following agreement by all providers of an England Evaluation Framework, the contractor will develop collaboratively with partners a monitoring strategy that clearly specifies the data and evidence required to populate the framework. This should include frequency / timing of data collection and make recommendations for common standards ensuring consistency and robustness of all data and evidence used to monitor and evaluate District Level Licensing across England.

Out of Scope

The contractor will not be required to initiate a new evaluation approach. It is expected the existing Theory of Change and Evaluation Framework will be developed and refined collaboratively with partners.

Methods

As part of the bid to tender for this contract, the contractor is required to specify the approaches they propose to use to provide an expert opinion and recommendations of Natural England’s existing ToC, Evaluation Framework and Monitoring Strategy. Examples of methods include but are not restricted to:

* Desk based analysis of data and evaluation documents.
* Workshop(s) with providers of DLL schemes to facilitate collaborative thinking.
* Meetings with specialists to ensure most appropriate data / evidence is recommended.

Please note that virtual meetings and workshops are acceptable

Outputs

The contractor is asked to provide the documents listed below using Microsoft Office software and, in a form, which can be readily edited by Natural England. Any detailed supporting information should be presented in annexes.

A brief report providing an expert’s opinion about Natural England’s ToC and Evaluation Framework with recommendations for improvements.

An Evaluation Framework agreed by all providers as described above

Amonitoring strategy agreed by all providers as described above

The outputs of the contract must be finalised and invoiced for by end of March 2024.

It is anticipated that this contract will be awarded for a period of 3 months to end no later than 31/03/24 Prices will remain fixed for the duration of the contract award period. We may at our sole discretion extend this contract to include related or further work. Any extension shall be agreed in advance of any work commencing and may be subject to further competition.

**Timetable**

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| --- | --- | --- |
| Project Milestone | Detail | Date |
| Project inception meeting and agreement of methodology | Meeting to discuss the proposed approach to the project.The bidder should confirm that there will be availability to attend a project inception meeting during the week highlighted in the next column. | 3rd or 4th January 2024 |
| Project Plan | A project plan is required to be sent to the NE Project Officer, following the Inception Meeting. This will set out in detail the refined methodology setting out key tasks, dependencies, and project timeline. | Within 2 weeks of the inception meeting  |
| Nature Space Partnership and Local Planning Authority Engagement  | Stakeholder engagement as per the project plan. | February 2024 |
| Deliverable Project report | Draft reports together with presentation to NE on the findings. Final versions | 15th March 2024 29th March 2024 |

**Contract Management**

This contract shall be managed on behalf of the Authority by Cathy Fitzroy (cathy.fitzroy@naturalengland.org.uk).

The outputs of the contract are included in the specification above. Fortnightly check-ins with the Project Officer via a Teams call or email, to discuss progress and/or any issues, will be required from January 2024 onwards, for the duration of the contract.

The project outputs are to be produced in a written final report in Word and pdf formats, as well as any accompanying visuals/graphics, spreadsheets, and models. All reports will be clearly presented, concise, written in plain English with a target audience of an educated lay person. Reports will be supplied by email in Microsoft Word and pdf formats.

It is NE’s expectation that all final project reports are published, NE however reserves the right to determine if and how results should be published.

Payment

The Authority will raise purchase orders to cover the cost of the services and will issue to the awarded supplier following contract award.

The Authority’s preference is for all invoices to be sent electronically, quoting a valid Purchase Order number. Payment can be made in two instalments, with 100% to be invoiced upon agreement of the final deliverables.

It is anticipated that this contract will be awarded for a period of 3 months to end no later than **31**/03/24. Prices will remain fixed for the duration of the contract award period. We may at our sole discretion extend this contract to include related or further work. Any extension shall be agreed in writing in advance of any work commencing and may be subject to further competition.

Evaluation Methodology

We will award this contract in line with the most economically advantageous tender (MEAT) as set out in the following award criteria:

Technical – 50%

Commercial – 50%

Evaluation criteria

Evaluation weightings are 50% technical and 50% commercial, the winning tenderer will be the highest scoring combined score.

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| --- | --- | --- | --- | --- |
| Award Criteria | Weighting (%) | Evaluation Topic & Weighting | Sub-Criteria | Weighted Question |
| Technical | 50% | Service / Product Proposal | Understanding of requirement | 1 QuestionQ1 (15% of technical score available) |
| Methodology | 1 QuestionsQ2 (45% of technical score available) |
| Key personnel | 1 QuestionQ3 (40% of technical score available) |
| Commercial | 50% | Whole life cost of the proposed Contract | Commercial Model | 1 Question (100% of commercial score available) |

Technical (50%)

Technical evaluations will be based on responses to specific questions covering key criteria which are outlined below. Scores for questions will be based on the following:

|  |  |  |
| --- | --- | --- |
| Description | Score  | Definition |
| Very good  | 100 | Addresses all the Authority’s requirements with all the relevant supporting information set out in the RFQ. There are no weaknesses and therefore the tender response gives the Authority complete confidence that all the requirements will be met to a high standard.  |
| Good | 70 | Addresses all the Authority’s requirements with all the relevant supporting information set out in the RFQ. The response contains minor weaknesses and therefore the tender response gives the Authority confidence that all the requirements will be met to a good standard.  |
| Moderate | 50 | Addresses most of the requirements with most of the relevant supporting information set out in the RFQ. The response contains moderate weaknesses and therefore the tender response gives the Authority confidence that most of the requirements will be met to a suitable standard.  |
| Weak  | 20 | Substantially addresses the requirements but not all and provides supporting information that is of limited or no relevance or a methodology containing significant weaknesses and therefore raises concerns for the Authority that the requirements may not all be met. |
| Unacceptable | 0 | No response or provides a response that gives the Authority no confidence that the requirement will be met.  |

Technical evaluation is assessed using the evaluation topics and sub-criteria stated in the Evaluation Criteria section above.

Separate submissions for each technical question should be provided and will be evaluated in isolation. Tenderers should provide answers that meet the criteria of each technical question.

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| --- | --- |
| Understanding of the requirement | Detailed Evaluation Criteria |
| Q1 Understanding of Natural England’s requirement500 words | Outline your understanding of Natural England requirements in this specification. |

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| --- | --- |
| Methodology | Detailed Evaluation Criteria |
| Q2 Outline the approaches you will use to deliver this contract, giving justification for the methods proposed.800 words | Please include a provisional project plan, including details of how the project will meet the key deliverables. We would also ask that you allocate the number of days to the delivery of each task and deliverable.Include a short assessment of risks and dependencies affecting the project including input required from Natural England, and your proposals for how these will be managed and mitigated.Provide a brief description of how the work will be quality assured. |

|  |  |
| --- | --- |
| Experience of the project team | Detailed Evaluation Criteria |
| Q3 Provide details of the project team and the key personnel, with their seniority, who will be involved in delivering the project800 words (N.B. CVs will be excluded from this word count) | You should demonstrate the team’s skills and experience in:* Experience of successfully delivering evaluation of large-scale projects, including expert knowledge of Theory and Change
* Facilitating stakeholder engagement.
* Analysis of quantitative and qualitative data and report writing.
* delivering contracts for public sector clients, particularly contracts with an environmental focus.

Please indicate a CV and the number of days each member of the team has allocated on this project as well as who will lead on each task.In addition, please provide two (2) examples of your current or previous work within government departments, wider public sector, or equivalent that are similar in subject matter or scope to this requirement. |

Commercial (**50**%)

The Contract is to be awarded as a fixed price which will be paid according to the completion of the deliverables stated in the Specification of Requirements.

Suppliers are required to submit a total cost to provide the deliverables stated in the Specification of Requirements. In addition to this the Commercial Response template must be completed to provide a breakdown of the whole life costs against each deliverable used in the delivery of this requirement.

Calculation Method

The method for calculating the weighted scores is as follows:

* Commercial

Score = (Lowest Quotation Price / Supplier’s Quotation Price ) x 50% (Maximum available marks)

* Technical

Score = (Bidder’s Total Technical Score / Highest Technical Score) x 50% (Maximum available marks)

The total score (weighted) (TWS) is then calculated by adding the total weighted commercial score (WC) to the total weighted technical score (WT): WC + WT = TWS.

Information to be returned

Please note, the following information requested must be provided. Incomplete tender submissions may be discounted.

Please complete and return the following information:

* completed Commercial Response template
* separate response submission for each technical question (in accordance with the response instructions)
* completed Mandatory Requirements (Annex 1)
* completed Acceptance of Terms and Conditions (Annex 2)

Award

Once the evaluation of the Response(s) is complete all suppliers will be notified of the outcome via email.

The successful supplier will be issued the contract via a Purchase Order.

Annex 1 Mandatory Requirements

Part 1 Potential Supplier Information

Please answer the following self-declaration questions in full and include this Annex in your quotation response.

Part 1.1 Potential Supplier Information:

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| --- | --- | --- |
| Question no. | Question | Response |
| 1.1(a) | Full name of the potential supplier submitting the information |  |
| 1.1(b)  | Registered office address (if applicable) |  |
| 1.1(c) | Company registration number (if applicable) |  |
| 1.1(d) | Charity registration number (if applicable) |  |
| 1.1(e) | Head office DUNS number (if applicable) |  |
| 1.1(f) | Registered VAT number  |  |
| 1.1(g) | Are you a Small, Medium or Micro Enterprise (SME)? | (Yes / No) |

Note: See EU definition of SME <https://ec.europa.eu/growth/smes/business-friendly-environment/sme-definition_en>

Part 1.2 Contact details and declaration

By submitting a quotation to this RFQ I declare that to the best of my knowledge the answers submitted and information contained in this document are correct and accurate.

I declare that, upon request and without delay you will provide the certificates or documentary evidence referred to in this document.

I understand that the information will be used in the selection process to assess my organisation’s suitability to be invited to participate further in this procurement.

I understand that the authority may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section.

I am aware of the consequences of serious misrepresentation.

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| --- | --- | --- |
| Question no.  | Question | Response |
| 1.2(a) | Contact name |  |
| 1.2(b) | Name of organisation |  |
| 1.2(c) | Role in organisation |  |
| 1.2(d) | Phone number |  |
| 1.2(e) | E-mail address  |  |
| 1.2(f) | Postal address |  |
| 1.2(g) | Signature (electronic is acceptable) |  |
| 1.2(h) | Date |  |

Part 2 Exclusion Grounds

Part 2.1 Grounds for mandatory exclusion

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| Question no.  | Question | Response |
| 2.1(a) | Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation been convicted anywhere in the world of any of the offences within the summary below. |
|  | Participation in a criminal organisation.  | (Yes / No)If yes please provide details at 2.1 (b) |
|  | Corruption.  | ((Yes / No)If yes please provide details at 2.1 (b) |
|  | Fraud.  | (Yes / No)If yes please provide details at 2.1 (b) |
|  | Terrorist offences or offences linked to terrorist activities | (Yes / No)If yes please provide details at 2.1 (b) |
|  | Money laundering or terrorist financing | (Yes / No)If yes please provide details at 2.1 (b) |
|  | Child labour and other forms of trafficking in human beings | (Yes / No)If yes please provide details at 2.1 (b) |
| 2.1(b) | If you have answered yes to question 2.1(a), please provide further details.Date of conviction, specify which of the grounds listed the conviction was for, and the reasons for conviction.Identity of who has been convictedIf the relevant documentation is available electronically please provide the web address, issuing authority, precise reference of the documents. |  |
| 2.1 (c) | If you have answered Yes to any of the points above have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (i.e. Self-Cleaning) | (Yes / No) |
| 2.1(d) | Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions? | (Yes / No) |
| 2.1(e) | If you have answered yes to question 2.3(a), please provide further details. Please also confirm you have paid or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines. |  |

Part 2.2 Grounds for discretionary exclusion

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| Question no.  | Question | Response |
| 2.2(a) | The detailed grounds for discretionary exclusion of an organisation are set out on this [webpage](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf), which should be referred to before completing these questions. Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation |
| 2.2(b) | Breach of environmental obligations?  | (Yes / No)If yes please provide details at 2.2 (f) |
| 2.2(c) | Breach of social obligations?  | (Yes / No)If yes please provide details at 2.2 (f) |
| 2.2(d) | Breach of labour law obligations?  | (Yes / No)If yes please provide details at 2.2 (f) |
| 2.2(e) | Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions? | (Yes / No)If yes please provide details at 2.2 (f) |
| 2.2 (f) | If you have answered Yes to any of the above, explain what measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self Cleaning) |  |

Annex 2 Acceptance of Terms and Conditions

I/We accept in full the terms and conditions appended to this Request for Quote document.

Company \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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Annex 3

Further information

Webinars explaining how DLL works can be found at the links below:

* [District Level Licensing for great crested newts – our story](https://www.youtube.com/watch?v=LNc8_OJKiLw), an overview of the scheme
* [eDNA Survey and Data Collection](https://www.youtube.com/watch?v=zQ7KknOOxXo) – our evidence bases
* [Species Distribution Modelling](https://www.youtube.com/watch?v=3rW2wogDclE) – how we use the evidence to create models
* [Habitat Delivery for the NE-led scheme](https://www.youtube.com/watch?v=gVeBuJ3U--w)– good context for the habitat supply part of the scheme
* [District Level Licensing: How to apply](https://www.youtube.com/watch?v=Z1ZOrUGu91A&feature=youtu.be) – this will be the most useful to our customers who are interested in applying

A Framework for District Licensing of Development Affecting Great Crested Newts - [GCN District Level Licensing Framework - TIN176 (naturalengland.org.uk)](http://publications.naturalengland.org.uk/publication/5106496688095232)

