

Professional Service Contract

Contract Data Forms

June 2017 (with amendments January 2019)

Contract Execution

This agreement is made between the *Client*, the *Consultant* and the Named Suppliers.

Terms in this agreement have the meanings given to them in the contract between the Environment Agency and AtkinsRéalis UK Limited. for Site Supervisor services (the *service*).

The Consultant offers to Provide the Services in accordance with these conditions of contract for an amount to be determined in accordance with these conditions of contract.

The Consultant was appointed to the framework and executed the framework agreement (with reference number RM6165).

Executed under hand

by



Contract Data

PART ONE – DATA PROVIDED BY THE CLIENT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017 (with amendments January 2019)

| Main Option | E Option for resolving and avoiding disputes W2 | | | |
|---------------------------------------|--|--|---------|--|
| | | | | |
| Secondary Options | X2, X9, X11, X18, | X2, X9, X11, X18, Y(UK)2, Z1, Z2, Z3,, Z8, Z9, Z12, Z130, Z131 | | |
| | | | | |
| The <i>service</i> is | Provision of ECC Site Supervisor services for Dutch River (Left Bank), Erringden Hillside FAS, Greatham Marsh, Greatham NE, Harehope River Restoration, St Mary's Bridge, Hebble Brook, Copley FAS - Phase 2, Asset Reconditioning, Asset Recovery & Emergency Works, Wheel Hall Farm, and other schemes as required by the North East Hub FCRM programme | | | |
| | | | | |
| The <i>Client</i> is | | | | |
| Name | | Environment Agency | | |
| Address for comm | Address for communications Deanery Road Bristol BS1 5AH | | | |
| Address for electronic communications | | | | |
| The Service Manager | is | | | |
| Name | | | | |
| Address for communications | | | | |
| Address for electronic communications | | | | |
| The Scope is in | C-PSC 24) | Scope ECC Supervisor Service_ v3.0 |) (Sept | |

| | The language of the contract is | English England and Wales, subject to the jurisdiction of the courts of England and Wales | | |
|--|---|--|----------|-----------|
| | The law of the contract is the law of | | | |
| | The period for reply is | 2 weeks | exc | cept that |
| | • The period for reply for | n/a | is | n/a |
| | • The period for reply for | n/a | is | n/a |
| | The period for retention is 6 ye | ear(s) following Comp the Early Warning Reເ | | |
| | | | | |
| | Early warning meetings are to be held a longer than | t intervals no | 2 weeks | S |
| 2 The Consultant's m | longer than | t intervals no | 2 weeks | S |
| If the <i>Client</i> has identified work which is set to meet a stated <i>condition</i> by a <i>key</i> | Ionger than The key dates and conditions to be met a condition to be met (1) (2) | | 2 weeks | S |
| If the <i>Client</i> has identified work which is set to meet a stated <i>condition</i> by a <i>key</i> <i>date</i> | longer than nain responsibilities The key dates and conditions to be met a condition to be met (1) | re | | S |
| 2 The Consultant's m If the <i>Client</i> has identified work which is set to meet a stated <i>condition</i> by a <i>key</i> <i>date</i> If Option A is used If Option C or E is used | Ionger than The key dates and conditions to be met a condition to be met (1) (2) (3) The Consultant prepares forecasts of t | re | key date | |

The starting date is

2 October 2024

The Client provides access to the following persons, places and things

| If the Client has decided the completion date for the whole of the service The completion date for the whole of the service is It If no programme is identified in part two of the Contract Data The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is It | 4 weeks 28 February 2026 2 weeks |
|---|--|
| (3) (3) The Consultant submits revised programmes at intervals no longer than If the Client has decided the completion date for the whole of the service is The completion date for the whole of the service is If no programme is identified in part two of the Contract Date within which the Consultant is to submit a first programme for acceptance is 2 | 28 February 2026 |
| The Consultant submits revised programmes at intervals no longer than 4 If the Client has decided the completion date for the whole of the service is The completion date for the whole of the service is If no programme is identified in part two of the Contract Data The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is 2 | 28 February 2026 |
| Indext longer than 4 If the Client has decided the completion date for the whole of the service is The completion date for the whole of the service is If no programme is identified in part two of the Contract Data The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is 2 | 28 February 2026 |
| If the Client has decided the completion date for the whole of the service is If no programme is identified in part two of the Contract Data The completion date for the whole of the service is If no programme is is identified in part two of the Contract Data The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is If no programme is is is to submit a first programme for acceptance is If no programme is is is to submit a first programme for acceptance is If no programme is is is to submit a first programme for acceptance is If no programme is is is to submit a first programme for acceptance is If no programme is is is to submit a first programme for acceptance is If no programme is is is to submit a first programme for acceptance is If no programme is is is to submit a first programme for acceptance is If no programme is is is to submit a first programme for acceptance is If no programme is is is is to submit a first programme for acceptance is If no programme is | 28 February 2026 |
| the completion date for the whole of the service If no programme is identified in part two of the Contract Data The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is 2 | |
| identified in part two of the Consultant is to submit a first programme for acceptance is 2 | 2 weeks |
| Contract Data | 2 weeks |
| | |
| 4 Quality management | |
| The period after the Contract Date within which the Consultant | |
| pr | 4 weeks, if not previously provided by the <i>Consultant</i> |
| The period between Completion of the whole of the service | |
| and the <i>defects date</i> is 26 | 26 weeks |
| 5 Payment | |
| The currency of the contract is the £s | £ sterling |
| The assessment interval is Mo | Monthly |
| If the <i>Client</i> states any The <i>expenses</i> stated by the <i>Client</i> are | |
| expenses item amount | |
| | |
| | |
| | |
| The <i>interest rate</i> is % per annum (not less than 2) a |) above the |
| Base rate of the Bank of England | bank |
| If the period in which payments are made is not three weeks and Y(UK)2 is | |
| not used If Option C or E is used and the <i>Client</i> states any locations The locations for which the <i>Consultant</i> provides a charge for the cost of support people and office overhead are All UK offices | |

Client Confidential

| If Option C is used | The Consultant's share percentages and the share ranges are | | | |
|--------------------------|---|--------------------------|------------------|----------------|
| | share range | | Consultant's sha | are percentage |
| | less than | | % | % |
| | from | % to | % | % |
| | from | % to | % | % |
| | greater than | | % 0 | % |
| If Option C or E is used | The exchange rates | s are those published in | Financial Times | |
| | on 2 October 20 |)24 (date) | | |

6 Compensation events

| If there are additional | These are additional compensation events |
|-------------------------|--|
| | |
| | |
| | |
| | |
| | |

8 Liabilities and insurance

| If there are additional | |
|-------------------------|--|
| Client's liabilities | |

These are additional *Client's* liabilities

| (1) | |
|-----|--|
| (2) | |
| (3) | |

The Consultant provides these additional insurances

(1) Insurance against

| Minimum | amount | of | cover | is |
|---------|---------|----------|-------|----|
| | annount | <u> </u> | 00101 | .0 |

| n/a | | | |
|-----|--|--|--|
| n/a | | | |

| The deductibles are | n/a |
|----------------------------|-----|
| (2) Insurance against | n/a |
| Minimum amount of cover is | n/a |
| The deductibles are | n/a |
| (3) Insurance against | n/a |
| Minimum amount of cover is | n/a |
| The deductibles are | n/a |

The Consultant's total liability to the Client for all matters

arising under or in connection with the contract, other than the excluded matters is limited to



| Resolving and avoidi | ng disputes | |
|--------------------------------|-----------------------------------|--|
| | The <i>tribunal</i> is | Litigation in the courts |
| | | |
| If the tribunal is arbitration | The arbitration procedure is | 'to be confirmed' |
| | The place where arbitration | |
| | is to be held is | 'to be confirmed' |
| | | will choose an arbitrator if the Parties cannot agree a ure does not state who selects an arbitrator is |
| | | |
| | The Senior Representatives of the | e <i>Client</i> are |
| | Name (1) | |
| | Address for communications | |
| | Address for electronic comm | unications |
| | | |
| | Name (2) | |
| | Address for communications | |
| | Address for electronic comm | unications |
| | | |
| | The Adjudicator is | |
| | Name | 'to be confirmed' |
| | Address for communications | 'to be confirmed' |
| | | |
| | Address for electronic comm | unications ('to be confirmed' |
| | The Adjudicator nominating bo | dy is Institution of Civil Engineers |

| X2: Changes in the la | aw | | | |
|---|--|--|------------------------|--|
| If Option X2 is used | The law of the project is | The law of England and jurisdiction of the courts of | | |
| X5: Sectional Comple | tion | | | |
| If Option X5 is used | The completion date for eac | h section of the service is | | |
| | section | description | <i>completion date</i> | |
| | (1) | - | - | |
| | (2) | - | _ | |
| | (3) | | _ | |
| | (4) | - | - | |
| X7: Delay damages | | | | |
| f Option X7 is used without Option X5 | Delay damages for Comple | etion of the whole of the service | er d | |
| f Option X7 is used with Option X5 | Delay damages for each se | | | |
| | section | description | amount per day | |
| | (1) | - | | |
| | (2) | - | _ | |
| | (3) | _ | - | |
| | (4) | | - | |
| | The delay damages for the | remainder of the service are | _ | |
| X8: Undertakings to (| Others | | | |
| f Option X8 is used | The undertakings to Others | are provided to | | |
| | - | | | |
| | - | | | |
| X9: Transfer of Intelle | ectual Property Rights | | | |
| X10: Information mod | telling | | | |
| If Option X10 is used | | | | |
| | | | | |
| I f no information execution plan is | - | tract Date within which the Con | | |
| identified in part two of the Contract Data | Information Execution Plan for acceptance is 2-weeks | | | |
| K11: Termination by th | e Client | | | |
| | | | | |
| (13: Performance bond | ÷ | | | |

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| If Option X13 is used | The amount of the performance bond is | - | |
|--|---|-------------------------------|--|
| X18: Limitation of liab | ility | | |
| If Option X18 is used | The <i>Consultant's</i> liability to the <i>Client</i> for indirect or consequential loss is limited to | | |
| | The <i>Consultant's</i> liability to the <i>Client</i> for Defects that are not found until after the <i>defects date</i> is limited to The <i>end of liability date</i> is 6 years after the Completion | n of the whole of the service | |
| X20: Key Performance Indicators (not used with Option X12) | | | |
| If Option X20 is used | The incentive schedule for Key Performance Indicators is in A report of performance against each Key Performance | - | |

months

_

Indicator is provided at intervals of

Y(UK)1: Project Bank Account

table for Y(UK)3

Charges made and interest The Consultant is / is not to pay any charges made and to be paid any interest paid by the project bank project bank (Delete as applicable)

| If Option Y(UK)2 is used | The period for payment is | 14 | days after the date on which payment becomes due |
|---|---------------------------|----|--|
| and the final date for payment is not fourteen | | | |
| days after the date on | | | |
| which payment becomes | | | |
| due | | | |

Y(UK)3: The Contracts (Rights of Third Parties) Act 1999

| If Option Y(UK)3 is used | term | beneficiary |
|---|----------------|-------------|
| | _ | - |
| | _ | - |
| | - | - |
| | - | - |
| If Y(UK)3 is used with | term | beneficiary |
| Y(UK)1 the following- entry is added to the- | The provisions | |

Options Y(UK)1

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Z: Additional conditions of contract

If Option Z is used

The additional conditions of contract are

Z1 Disputes:

Option W2 subclause W2.1(4) is deleted. The Parties agree that adjudication under Clause option W2 should only commence if the dispute resolution procedure has been exhausted and that the dispute resolution procedure in the Scope, takes precedence over Option W2.

Z2 Prevention

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replace with:

The service is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants,

• Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,

• Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,

Natural disaster,

• Fire and explosion,

• Impact by aircraft or other aerial device or thing dropped from them.

Z3 Disallowed Costs

In second bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken). Add the following additional bullets after 'and the cost of ' :

• Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans.

• Reorganisation of the Consultant's project team.

• Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats.

· Exceeding the Scope without prior instruction that leads to abortive cost

• Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.

• Production or preparation of self-promotional material.

• Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)

• Any hours exceeding 8 per day unless with prior written agreement of the Service Manager

• Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager

• Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager

• Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to *Consultant* performance.

• Costs associated with rectifications that are due to Consultant error or omission.

• Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement

· Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements

| | - |
|--|---|
| | |
| | |
| | |
| | - |
| | - |
| | |
| | |

Z8 Requirement for Invoice

Insert the following sentence at the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the Service Manager's certificate.

Delete existing clause 51.2 and insert the following:

51.2 Each certified payment is made by the later of

• one week after the paying Party receives an invoice from the other Party and

• three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated. If a certified payment is late, or if a payment is late because the *Service Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made.

Z9 Conflict of Interest

The Consultant immediately notifies the *Client* of any circumstances giving rise to or potentially giving rise to conflicts of interest relating to the *Consultant* (including without limitation its reputation and standing) and/or the *Client* of which it is aware or which it anticipates may justify the Client taking action to protect its interests. Should the Parties be unable to remove the conflict of interest to the satisfaction of the *Client*, the *Client*, in its sole discretion, may terminate this Contract.

Z12 Waiver

No waiver shall be effective unless it is expressly stated to be a waiver and communicated to the other Party by the Service *Manager* in writing in accordance with the Contract, and with express reference to Clause Z12. The failure of either party to insist upon strict performance of the Contract, or any failure or delay in exercising any right or remedy shall not constitute a waiver or diminution of the obligations established by the Contract.

Z 130 Rate adjustment

Z130.1 The Defined Cost for People Rates shall be increased by the same proportion and on the same date as the appropriate *Framework Prices*.

Z130.2 (Option C ONLY) The Prices are adjusted for the outstanding portion of the Prices for the amendment to rates in Z130.1.

Z 131 Change to the Schedule of Cost Components

Add clause 11.2(19) The People Rates are the *people rates* unless later changed in accordance with the contract and provided that at all times and under any circumstance howsoever arising the People Rates do not exceed the equivalent and directly comparable Framework Price as set out in Crown Commercial Services (CCS) Construction Professional Services Framework RM6165.

In the Schedule of Cost Components delete the section titled **People** and replace with:

People

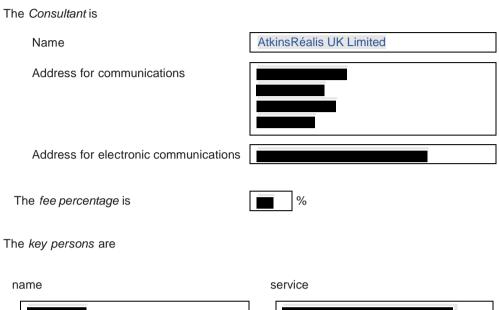
1 The following components of the cost of people.

11 Amounts calculated by multiplying each of the People Rates by the total time appropriate to that rate properly spent on work on the contract.

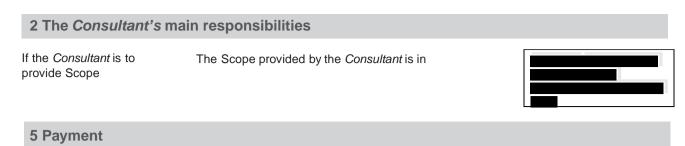
PART TWO – DATA PROVIDED BY THE CONSULTANT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General



The following matters will be included in the Early Warning Register



| If the Consultant states expenses | The expenses stated by the Consultant are any | | | |
|-----------------------------------|---|--------|--|--|
| | item | amount | | |
| | | | | |
| | | | | |
| | | | | |
| If Option A or C is used | The activity schedule is | | | |
| If Option E is used | The forecast of the prices is | | | |

Resolving and avoiding disputes

The Senior Representatives of the Consultant are

| Name (1) | |
|---------------------------------------|--|
| Address for communications | |
| Address for electronic communications | |
| Name (2) | |
| Address for communications | |
| Address for electronic communications | |
| Address for electronic communications | |

| X10: Information mo | odelling |
|--------------------------|---|
| If Option X10 is used | |
| | |
| execution plan is to be | he <i>information execution plan</i> identified the Contract Data is |
| Y(UK)1: Project Bar | nk Account |
| If Option Y(UK)1 is used | The project bank is |
| | |
| | |
| | named suppliers are |
| | |
| Data for the Schodu | ule of Cost Components (used only with Options C or E) |
| Data for the Schedt | The overhead percentages for the cost of support people and office overhead are |
| | location overhead percentage |
| | N/A % |
| | % |
| | % |
| | |
| Data for the Short S | Schedule of Cost Components (used only with Option A) |
| | The people rates are |
| | category of person unit rate |
| | |
| | |
| | |
| | |
| Data for the Schedu | ule of Cost Components (used only with Options C and E) |
| Data for the concat | The people rates are |
| | category of person unit rate |
| | |
| | |
| | |
| | |