

# **Professional Service Contract**

## **Contract Data Forms**

June 2017 (with amendments January 2019)

### **Contract Execution**

This agreement is made between the *Client*, the *Consultant* and the Named Suppliers.

Terms in this agreement have the meanings given to them in the contract between the Environment Agency and AtkinsRéalis UK Limited. for Site Supervisor services (the *service*).

The Consultant offers to Provide the Services in accordance with these conditions of contract for an amount to be determined in accordance with these conditions of contract.

The Consultant was appointed to the framework and executed the framework agreement (with reference number RM6165).

Executed under hand .....

by



## **Contract Data**

## PART ONE – DATA PROVIDED BY THE CLIENT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

#### 1 General

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017 (with amendments January 2019)

Main Option	E Option for resolving and avoiding disputes W2			
Secondary Options	X2, X9, X11, X18,	X2, X9, X11, X18, Y(UK)2, Z1, Z2, Z3,, Z8, Z9, Z12, Z130, Z131		
The <i>service</i> is	Provision of ECC Site Supervisor services for Dutch River (Left Bank), Erringden Hillside FAS, Greatham Marsh, Greatham NE, Harehope River Restoration, St Mary's Bridge, Hebble Brook, Copley FAS - Phase 2, Asset Reconditioning, Asset Recovery & Emergency Works, Wheel Hall Farm, and other schemes as required by the North East Hub FCRM programme			
The <i>Client</i> is				
Name		Environment Agency		
Address for comm	Address for communications Deanery Road Bristol BS1 5AH			
Address for electronic communications				
The Service Manager	is			
Name				
Address for communications				
Address for electronic communications				
The Scope is in	C-PSC 24)	Scope ECC Supervisor Service_ v3.0	) (Sept	

	The language of the contract is	English England and Wales, subject to the jurisdiction of the courts of England and Wales		
	The law of the contract is the law of			
	The period for reply is	2 weeks	exc	cept that
	• The period for reply for	n/a	is	n/a
	• The period for reply for	n/a	is	n/a
	The period for retention is 6 ye	ear(s) following Comp the Early Warning Reເ		
	Early warning meetings are to be held a longer than	t intervals no	2 weeks	S
2 The Consultant's m	longer than	t intervals no	2 weeks	S
If the <i>Client</i> has identified work which is set to meet a stated <i>condition</i> by a <i>key</i>	Ionger than The key dates and conditions to be met a condition to be met (1) (2)		2 weeks	S
If the <i>Client</i> has identified work which is set to meet a stated <i>condition</i> by a <i>key</i> <i>date</i>	longer than nain responsibilities The key dates and conditions to be met a condition to be met (1)	re		S
<b>2 The Consultant's m</b> If the <i>Client</i> has identified work which is set to meet a stated <i>condition</i> by a <i>key</i> <i>date</i> If Option A is used If Option C or E is used	Ionger than The key dates and conditions to be met a condition to be met (1) (2) (3) The Consultant prepares forecasts of t	re	key date	

The starting date is

2 October 2024

The Client provides access to the following persons, places and things

If the Client has decided the completion date for the whole of the service       The completion date for the whole of the service is       It         If no programme is identified in part two of the Contract Data       The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is       It	4 weeks 28 February 2026 2 weeks
<ul> <li>(3)</li> <li>(3)</li> <li>The Consultant submits revised programmes at intervals no longer than</li> <li>If the Client has decided the completion date for the whole of the service is</li> <li>The completion date for the whole of the service is</li> <li>If no programme is identified in part two of the Contract Date within which the Consultant is to submit a first programme for acceptance is</li> <li>2</li> </ul>	28 February 2026
The Consultant submits revised programmes at intervals no longer than       4         If the Client has decided the completion date for the whole of the service is       The completion date for the whole of the service is         If no programme is identified in part two of the Contract Data       The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is       2	28 February 2026
Indext longer than       4         If the Client has decided the completion date for the whole of the service is       The completion date for the whole of the service is         If no programme is identified in part two of the Contract Data       The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is       2	28 February 2026
If the Client has decided the completion date for the whole of the service is       If no programme is identified in part two of the Contract Data       The completion date for the whole of the service is       If no programme is is identified in part two of the Contract Data       The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is       If no programme is is is to submit a first programme for acceptance is       If no programme is is is to submit a first programme for acceptance is       If no programme is is is to submit a first programme for acceptance is       If no programme is is is to submit a first programme for acceptance is       If no programme is is is to submit a first programme for acceptance is       If no programme is is is to submit a first programme for acceptance is       If no programme is is is to submit a first programme for acceptance is       If no programme is is is to submit a first programme for acceptance is       If no programme is is is is to submit a first programme for acceptance is       If no programme is	28 February 2026
the completion date for the whole of the service         If no programme is identified in part two of the Contract Data         The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is         2	
identified in part two of the Consultant is to submit a first programme for acceptance is 2	2 weeks
Contract Data	2 weeks
4 Quality management	
The period after the Contract Date within which the Consultant	
pr	4 weeks, if not previously provided by the <i>Consultant</i>
The period between Completion of the whole of the service	
and the <i>defects date</i> is 26	26 weeks
5 Payment	
The currency of the contract is the £s	£ sterling
The assessment interval is Mo	Monthly
If the <i>Client</i> states any The <i>expenses</i> stated by the <i>Client</i> are	
expenses item amount	
The <i>interest rate</i> is % per annum (not less than 2) a	) above the
Base rate of the Bank of England	bank
If the period in which payments are made is not three weeks and Y(UK)2 is	
not used If Option C or E is used and the <i>Client</i> states any locations  The locations for which the <i>Consultant</i> provides a charge for the cost of support people and office overhead are  All UK offices	

#### **Client Confidential**

If Option C is used	The Consultant's share percentages and the share ranges are			
	share range		Consultant's sha	are percentage
	less than		%	%
	from	% to	%	%
	from	% to	%	%
	greater than		% 0	%
If Option C or E is used	The exchange rates	s are those published in	Financial Times	
	on 2 October 20	)24 (date)		

## **6** Compensation events

If there are additional	These are additional compensation events

## 8 Liabilities and insurance

If there are additional	
Client's liabilities	

These are additional *Client's* liabilities

(1)	
(2)	
(3)	

The Consultant provides these additional insurances

(1) Insurance against

Minimum	amount	of	cover	is
	annount	<u> </u>	00101	.0

n/a			
n/a			

The deductibles are	n/a
(2) Insurance against	n/a
Minimum amount of cover is	n/a
The deductibles are	n/a
(3) Insurance against	n/a
Minimum amount of cover is	n/a
The deductibles are	n/a

The Consultant's total liability to the Client for all matters

arising under or in connection with the contract, other than the excluded matters is limited to



Resolving and avoidi	ng disputes	
	The <i>tribunal</i> is	Litigation in the courts
If the tribunal is arbitration	The arbitration procedure is	'to be confirmed'
	The place where arbitration	
	is to be held is	'to be confirmed'
		will choose an arbitrator if the Parties cannot agree a ure does not state who selects an arbitrator is
	The Senior Representatives of the	e <i>Client</i> are
	Name (1)	
	Address for communications	
	Address for electronic comm	unications
	Name (2)	
	Address for communications	
	Address for electronic comm	unications
	The Adjudicator is	
	Name	'to be confirmed'
	Address for communications	'to be confirmed'
	Address for electronic comm	unications ('to be confirmed'
	The Adjudicator nominating bo	dy is Institution of Civil Engineers

X2: Changes in the la	aw			
If Option X2 is used	The law of the project is	The law of England and jurisdiction of the courts of		
X5: Sectional Comple	tion			
If Option X5 is used	The completion date for eac	h section of the service is		
	section	description	<i>completion date</i>	
	<del>(1)</del>	-	-	
	<del>(2)</del>	-	_	
	<del>(3)</del>		_	
	(4)	-	-	
X7: Delay damages				
f Option X7 is used without Option X5	Delay damages for Comple	etion of the whole of the service	er d	
f Option X7 is used with Option X5	Delay damages for each se			
	section	description	amount per day	
	<del>(1)</del>	-		
	<del>(2)</del>	-	_	
	<del>(3)</del>	_	-	
	<del>(4)</del>		-	
	The delay damages for the	remainder of the service are	_	
X8: Undertakings to (	Others			
f Option X8 is used	The undertakings to Others	are provided to		
	-			
	-			
X9: Transfer of Intelle	ectual Property Rights			
X10: Information mod	telling			
If Option X10 is used				
I <del>f no information execution plan is</del>	-	tract Date within which the Con		
identified in part two of the Contract Data	Information Execution Plan for acceptance is 2-weeks			
K11: Termination by th	e Client			
(13: Performance bond	÷			

Professional Service Contract: Contract Data | 9

If Option X13 is used	The amount of the performance bond is	-	
X18: Limitation of liab	ility		
If Option X18 is used	The <i>Consultant's</i> liability to the <i>Client</i> for indirect or consequential loss is limited to		
	The <i>Consultant's</i> liability to the <i>Client</i> for Defects that are not found until after the <i>defects date</i> is limited to The <i>end of liability date</i> is 6 years after the Completion	n of the whole of the service	
X20: Key Performance Indicators (not used with Option X12)			
If Option X20 is used	<ul> <li>The incentive schedule for Key Performance Indicators is in</li> <li>A report of performance against each Key Performance</li> </ul>	-	

months

\_

Indicator is provided at intervals of

#### Y(UK)1: Project Bank Account

table for Y(UK)3

Charges made and interest The Consultant is / is not to pay any charges made and to be paid any interest paid by the project bank project bank (Delete as applicable)

If Option Y(UK)2 is used	The period for payment is	14	days after the date on which payment becomes due
and the final date for payment is not fourteen			
days after the date on			
which payment becomes			
due			

## Y(UK)3: The Contracts (Rights of Third Parties) Act 1999

If Option Y(UK)3 is used	term	beneficiary
	_	-
	_	-
	-	-
	-	-
If Y(UK)3 is used with	term	beneficiary
Y(UK)1 the following- entry is added to the-	The provisions	

Options Y(UK)1

Professional Service Contract: Contract Data | 11

#### Z: Additional conditions of contract

If Option Z is used

The additional conditions of contract are

#### Z1 Disputes:

Option W2 subclause W2.1(4) is deleted. The Parties agree that adjudication under Clause option W2 should only commence if the dispute resolution procedure has been exhausted and that the dispute resolution procedure in the Scope, takes precedence over Option W2.

#### **Z2** Prevention

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replace with:

The service is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants,

• Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,

• Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,

Natural disaster,

• Fire and explosion,

• Impact by aircraft or other aerial device or thing dropped from them.

#### Z3 Disallowed Costs

#### In second bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken). Add the following additional bullets after 'and the cost of ' :

• Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans.

• Reorganisation of the Consultant's project team.

• Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats.

· Exceeding the Scope without prior instruction that leads to abortive cost

• Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.

• Production or preparation of self-promotional material.

• Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)

• Any hours exceeding 8 per day unless with prior written agreement of the Service Manager

• Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager

• Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager

• Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to *Consultant* performance.

• Costs associated with rectifications that are due to Consultant error or omission.

• Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement

· Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements

	-
	-
	-

#### Z8 Requirement for Invoice

Insert the following sentence at the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the Service Manager's certificate.

Delete existing clause 51.2 and insert the following:

51.2 Each certified payment is made by the later of

• one week after the paying Party receives an invoice from the other Party and

• three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated. If a certified payment is late, or if a payment is late because the *Service Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made.

#### **Z9 Conflict of Interest**

The Consultant immediately notifies the *Client* of any circumstances giving rise to or potentially giving rise to conflicts of interest relating to the *Consultant* (including without limitation its reputation and standing) and/or the *Client* of which it is aware or which it anticipates may justify the Client taking action to protect its interests. Should the Parties be unable to remove the conflict of interest to the satisfaction of the *Client*, the *Client*, in its sole discretion, may terminate this Contract.

#### Z12 Waiver

No waiver shall be effective unless it is expressly stated to be a waiver and communicated to the other Party by the Service *Manager* in writing in accordance with the Contract, and with express reference to Clause Z12. The failure of either party to insist upon strict performance of the Contract, or any failure or delay in exercising any right or remedy shall not constitute a waiver or diminution of the obligations established by the Contract.

#### Z 130 Rate adjustment

Z130.1 The Defined Cost for People Rates shall be increased by the same proportion and on the same date as the appropriate *Framework Prices*.

Z130.2 (Option C ONLY) The Prices are adjusted for the outstanding portion of the Prices for the amendment to rates in Z130.1.

#### Z 131 Change to the Schedule of Cost Components

Add clause 11.2(19) The People Rates are the *people rates* unless later changed in accordance with the contract and provided that at all times and under any circumstance howsoever arising the People Rates do not exceed the equivalent and directly comparable Framework Price as set out in Crown Commercial Services (CCS) Construction Professional Services Framework RM6165.

In the Schedule of Cost Components delete the section titled **People** and replace with:

#### People

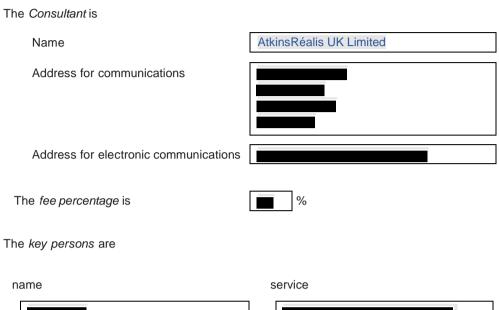
1 The following components of the cost of people.

11 Amounts calculated by multiplying each of the People Rates by the total time appropriate to that rate properly spent on work on the contract.

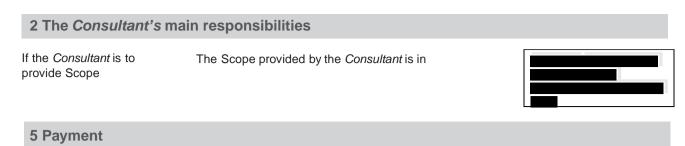
## PART TWO – DATA PROVIDED BY THE CONSULTANT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

#### 1 General



The following matters will be included in the Early Warning Register



If the Consultant states expenses	The expenses stated by the Consultant are any			
	item	amount		
If Option A or C is used	The activity schedule is			
If Option E is used	The forecast of the prices is			

## Resolving and avoiding disputes

The Senior Representatives of the Consultant are

Name (1)	
Address for communications	
Address for electronic communications	
Name (2)	
Address for communications	
Address for electronic communications	
Address for electronic communications	

X10: Information mo	odelling
If Option X10 is used	
execution plan is to be	he <i>information execution plan</i> identified the Contract Data is
Y(UK)1: Project Bar	nk Account
If Option Y(UK)1 is used	The project bank is
	named suppliers are
Data for the Schodu	ule of Cost Components (used only with Options C or E)
Data for the Schedt	The overhead percentages for the cost of support people and office overhead are
	location overhead percentage
	N/A %
	%
	%
Data for the Short S	Schedule of Cost Components (used only with Option A)
	The people rates are
	category of person unit rate
Data for the Schedu	ule of Cost Components (used only with Options C and E)
Data for the concat	The people rates are
	category of person unit rate