

Applicable requirements identified by Client		Applicable requirements identified by Supplier		
Section	Topic	Other documents / Comments	Y / N	Y / N
14.4.1	Evidencing competence of safety critical staff		Y	Y
14.4.2	Identification of safety critical staff		Y	Y
14.4.3	Competent external safety critical personnel		N	N
14.4.4	Training		N	N
14.4.5	Asset specific competence		Y	Y
14.5	Medical requirements		N	N
14.6	Identification of Suppliers staff		N	N
14.7	Clothing		N	N
15	Permits and licences			
15.1	LU specific permits and licences		N	N
15.2	Permits, licences and certificates for Supplier's staff		Y	Y
16	The Principles of Access		N	N
16.1	Introduction		N	N
16.2	Access to Stations		N	N
16.3	Access to Track		N	N
16.4	Access to depots		N	N
17	Applying for Planned Access	Arrangements to be made by Client	N	N
17.1	Introduction		N	N
18	Applying for General Access		N	N
18.1	Constraints that apply to Generic Access		N	N
19	Access for fault repair		N	N
20	Operational Assurance		N	N
21	Closures and possessions		N	N
21.1	Requirements for closures		N	N
21.2	Requirements for possessions		N	N
22	Controls at point of access		N	N
22.1	Publication of works		N	N

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Applicable requirements identified by Client			Applicable requirements identified by Supplier		
Section	Topic	Other documents / Comments	Y / N	Y / N	Reference to explanation - see Section 2a in attached Guidance Notes
22.2	Checks at point of access		N	N	
22.3	Signing-on with the Station Supervisor		N	N	
22.4	Track specific requirements		N	N	
22.4.1	Person providing protection		N	N	
22.4.2	Possessions		N	N	
23	Removal of supplier's personnel from LU Premises		N	N	
24	Incidents		N	N	
25	Notification of regulatory concern or action		N	N	
26	Confidential Incident Reporting and Analysis System (CIRAS)		N	N	
27	Monitoring				
27.1	LU inspections		Y	Y	
27.2	Monitoring the supply chain		Y	Y	
27.3	Health, safety and environmental surveillance by the supplier's personnel		N	N	
27.4	Work location inspection and audit		N	N	
27.5	Timescales for rectifying non-compliances		Y	Y	
28	Radio transmitters and transceivers		N	N	
29	Mobile phones		N	N	
30	Knives		N	N	
31	Site health, safety and environment committee		N	N	
32	Site housekeeping and security		N	N	
33	Accidental damage, obstruction or interference with assets		Y	Y	
34	Delivery of materials		N	N	
35	Conveyance of loads	Arrangements to be made by the Client, Supply to comply with arrangements			
35.1	Conveyance of loads on lifts and escalators		N	N	
35.2	Conveyance of hazardous materials and substances		N	N	

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Applicable requirements identified by Client		Applicable requirements identified by Supplier		
Section	Topic	Other documents / Comments	Y / N	Y / N
36	Asbestos (non asbestos removal projects)		N	N
37	Working in or near lifts and escalators		N	N
38	Work on or adjacent to utilities and High Voltage cables (buried services)		N	N
39	Working on or about the track		N	N
40	Access to electrical sub-stations, working equipment, relay and other secure rooms		N	N
41	Entering areas with gaseous fire suppression systems		N	N
42	Fire prevention		N	N
42.1	General requirements		N	N
42.2	Temporary fire points		N	N
42.3	Timber		N	N
42.4	Composites		N	N
42.5	Sheeting materials		N	N
42.6	Gas cylinders		N	N
42.6.1	Use of gas cylinders in below ground locations		N	N
42.6.2	Storage of gas cylinders (above ground)		N	N
42.7	Flammable and highly flammable materials		N	N
42.7.1	Use of flammable and highly flammable materials below ground		N	N
42.7.2	Storage of flammable and highly flammable materials below ground		N	N
43	Hot work and fire hazards	Required for cutting, grinding etc	N	N
43.1	Hot work		N	N
43.2	Reasonable notice of works		N	N
43.3	Precautions			
43.3.1	Buildings and assets		N	N
43.3.2	Gas cylinders		N	N
43.3.3	Gas detection		N	N

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Applicable requirements identified by Client				Applicable requirements identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Y / N	Reference to explanation - see Section 2a in attached Guidance Notes
		to comply with arrangements			
53.1	Records		Y	Y	
53.2	Retention period		Y	Y	
53.3	Availability of records for inspection		Y	Y	
53.4	Statistical process control, audit and inspection procedures		Y	Y	
53.5	General quality requirements		Y	Y	
53.6	Quality Plan		Y	Y	
53.7	Testing and inspection		Y	Y	
53.8	Certification of conformity		Y	Y	
53.9	Quarantine		Y	Y	
53.10	Traceability		Y	Y	
53.11	Maintenance and servicing		N	N	
53.12	Design		Y	Y	
53.13	Computer aided design		N	N	
53.14	Asset commissioning and handover		Y	Y	

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Section	Topic	Other documents / Comments	Y / N	Y / N	Reference to explanation - see Section 2a in attached Guidance Notes
44	Storage				
44.1	General requirements for storage		Y	Y	
44.2	Trackside storage		N	N	
44.3	Hazardous materials and substances		N	N	
44.4	Allocation of space on operational property		N	N	
45	Plant and equipment		N	N	
46	Clearance approvals		N	N	
47	Access equipment		N	N	
48	Temporary works		N	N	
49	Temporary fences and hoardings		N	N	
50	Temporary lighting and power supplies		N	N	
50.1	General requirements		N	N	
50.2	Lighting in tunnels and shafts		N	N	
51	Screening of lights and positioning		N	N	
52	Environmental requirements				
52.1	General environmental requirements		N	N	
52.2	Environmental nuisance		N	N	
52.3	Water		N	N	
52.4	Waste management	Only applicable to disposal of any LU assets during Contract	Y	Y	
52.5	Noise and vibration		N	N	
52.6	Archaeology, historical interest and listed buildings		N	N	
52.7	Wildlife and Habitats		N	N	
52.8	Resource Use		N	N	
52.9	Pest control		N	N	
52.10	Land and water pollution prevention		N	N	
53	Quality requirements	Arrangements to be made by the Client, Supplier			

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