

## **SCHEDULE 3**

### **OFWAT RESPONSIBILITIES**

#### **1. INTRODUCTION**

- 1.1 The responsibilities of Ofwat set out in this Schedule shall constitute Ofwat Responsibilities under this Agreement. Any obligations of Ofwat in Schedule 2.1 (Services Description) and Schedule 4.1 (Delivery Partner Solution) shall not be Ofwat Responsibilities and Ofwat shall have no obligation to perform any obligations unless they are specifically stated to be "Ofwat Responsibilities" in this Schedule.
- 1.2 The responsibilities specified within this Schedule shall be provided to the Delivery Partner free of charge, unless otherwise agreed between the Parties.

#### **2. GENERAL OBLIGATIONS**

Ofwat shall:

- 2.1 perform those obligations of Ofwat which are set out in the Clauses of this Agreement and the Paragraphs of the Schedules (except Schedule 2.1 (Services Description) and Schedule 4.1 (Delivery Partner Solution));
- 2.2 use its reasonable endeavours to provide the Delivery Partner with access to appropriate members of Ofwat's staff (at all levels), to enable the Delivery Partner to discharge its obligations throughout the Term;
- 2.3 provide sufficient and suitably qualified staff to fulfil Ofwat's roles and responsibilities under this Agreement;
- 2.4 use its reasonable endeavours to provide such documentation, data and/or other information that the Delivery Partner reasonably requests that is necessary to perform its obligations under the terms of this Agreement provided that such documentation, data and/or information is available to Ofwat and is authorised for release by Ofwat; and
- 2.5 deliver the Workstreams with support from the Delivery Partner, **except** where Ofwat and the Delivery Partner agree that the Delivery Partner should lead a Workstream from time to time during the Price Review.

#### **3. SPECIFIC RESPONSIBILITIES**

- 3.1 Ofwat will be responsible and accountable for delivering the Price Review which will include:
- 3.1.1 Providing strategic direction to the Price Review;
- 3.1.2 Programme management of the Price Review including managing the process for and making key decisions in accordance with Ofwat's governance framework and managing the process of securing timely decisions in relation to the Price Review through Ofwat's governance framework including via its Board and relevant committees;
- 3.1.3 Final policy decisions on all issues relating to the Price Review;
- 3.1.4 Leading all communications and engagement with external stakeholders (including but not limited to) Companies, investors, Governments, other regulators and the media on both the process and substance of the Price Review;

3.1.5 Leading the development of any modifications required to any Company's Instrument of Appointment to implement the Price Review.

3.2 Ofwat will work with the Delivery Partner in line with the Partnership Principles set out in Schedule 6.1.