EAST NORTHAMPTONSHIRE COUNCIL

**Tender for encircle CONTRACT:**

**PRINT & DISTRIBUTION OF COUNCIL MAGAZINE**

**Tender – DOCUMENT Four**

**Response Document: To be Completed and Returned**

When completed, please return **one hard copy and a copy electronically saved on a CD** ofthe response document (Document Four).

Please ensure that Appendices 1, 2 and 3 are completed.

Please mark envelopes/packages with only: “**Tender Response: ENCIRCLE Private and Confidential)**”and with no company markings to:

**The Chief Executive, East Northamptonshire Council, Cedar Drive, Thrapston, Northamptonshire, NN14 4LZ.**

|  |
| --- |
| **To be received no later than 12 NOON on Friday 17th March 2017.****Late submissions will be disregarded.** |

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**STANDARD SELECTION QUESTIONNAIRE (SQ)**

**1** **POTENTIAL SUPPLIER INFORMATION**

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 1.1 (a) | Full name of the potential supplier submitting the information |  |
| 1.1 (b) (i) | Registered office address (if applicable) |  |
| 1.1 (b) (ii) | Registered website address (if applicable) |  |
| 1.1 (c) | Trading Status:i) public limited companyii) limited companyiii) limited liability partnershipiv) other partnershipv) sole tradervi) third sectorvii) other (please specify) | [ ] [ ] [ ] [ ] [ ] [ ] [ ]  |
| 1.1 (d) | Company/charity registration number (if applicable) |  |
| 1.1 (e) | Are you a Small, Medium or Micro Enterprise (SME)? | Yes [ ] No [ ]  |
| 1.1 (f) | Contact details for person completing the form:i) Nameii) Position in Organisationiii) Phone numberiv) Email address |  |

**2 GROUNDS FOR EXCLUSION**

|  |  |  |
| --- | --- | --- |
|  **Question No.** | **Question** | **Response** |
| 2.1 (a) | Regulations 57(1) and (2):The detailed grounds for exclusion are set out on this [web page](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf), which should be referred to before completing these questions.Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation has been convicted anywhere in the world of any of the offences within the summary below and listed on the [web page](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf).  |
| Participation in a criminal organisation | Yes [ ] No [ ] If yes, please provide details in 2.1 (b) |
| Corruption | Yes [ ] No [ ] If yes, please provide details in 2.1 (b) |
| Fraud | Yes [ ] No [ ] If yes, please provide details in 2.1 (b) |
| Terrorist offences or offences linked to terrorist activities | Yes [ ] No [ ] If yes, please provide details in 2.1 (b) |
| Money laundering or terrorist financing | Yes [ ] No [ ] If yes, please provide details in 2.1 (b) |
| Child labour and other forms of trafficking in human beings | Yes [ ] No [ ] If yes, please provide details in 2.1 (b) |
| Breach of environmental obligations? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |
| Breach of social obligations? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |
| Breach of labour obligations? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |
| Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation’s assets are being administered by a liquidator or by the court, where it is an arrangement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the law and regulations of any State? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |

|  |  |  |
| --- | --- | --- |
|  | Guilty of grave professional misconduct? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |
| Entered into agreements with other economic operators aimed at distorting competition? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |
| Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |
| Been involved in the preparation of the procurement procedure? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |
| Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions? | Yes [ ] No [ ] If yes, please provide details at 2.1 (b) |
| Has it been established, for your organisation, by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK) that the organisation is in breach of obligations related to the payment of tax or social security contributions? If ‘yes’, please also confirm that you have paid, or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and /or fines. | Yes [ ] No [ ]  |
| 2.1 (b) | If you have answered ‘yes’ to any of the above, explain what measures have been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion (self cleaning) |  |

**3** **ECONOMIC AND FINANCIAL STANDING**

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 3.1 | Are you able to provide a copy of your audited accounts for the last two years, if requested?If no, can you provide one of the following (answer with a ‘yes’ or ‘no’ in the relevant box):1. A statement of the turnover, Profit and Loss Account, Income Statement, Balance Sheet/Statement of Financial Position and Statement of Cash Flow for the most recent year of trading for this organisation
2. A statement of the cash flow forecast for the current year and a bank letter outlining the current cash and credit position
3. Alternative means of demonstrating financial status if any of the above are not available (e.g. forecast of turnover for the current year and a statement of funding provided by the owners and/or bank, charity accruals accounts or an alternative means of demonstrating financial status).
 | Yes [ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ]  |
| 3.2 | Where we have specified a minimum level of economic and financial standing and/or a minimum financial threshold within the evaluation criteria for this procurement, please self certify by answering ‘yes or ‘no’ that you meet those requirements | Yes [ ] No [ ]  |
| 3.3 | If applicable, are you able to provide parent company accounts if requested at a later stage? | Yes [ ] No [ ] N/A [ ]  |
| 3.4 | If applicable, would the parent company be willing to provide a guarantee if necessary? | Yes [ ] No [ ] N/A [ ]  |
| 3.5 | If no, would you be able to obtain a guarantee elsewhere (e.g. from a bank)? | Yes [ ] No [ ]  |

**4 TECHNICAL AND PROFESSIONAL ABILITY**

4.1 Please provide details of up to three contracts, in any combination from either the public or private sector; voluntary, charity or social enterprise (VSCE) that are relevant to our requirement. VCSEs may include examples of grant funded work. Contracts for supplies or services should have been performed during the last three years. Works contracts may be from the last five years.

 The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided below.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Contract 1** | **Contract 2** | **Contract 3** |
| Name of Customer organisation |  |  |  |
| Point of contact in the organisation |  |  |  |
| Position in the organisation |  |  |  |
| Email address |  |  |  |
| Phone number |  |  |  |
| Description of contract |  |  |  |
| Contract start date |  |  |  |
| Contract completion date |  |  |  |
| Estimated contract value |  |  |  |

Where you cannot provide at least one example for question 4.1, in no more than

500 words please provide an explanation for this, e.g. your organisation is a new start-up or you have provided services in the past but not under contract.

|  |
| --- |
|  |

|  |  |
| --- | --- |
| 4.2 | Where you intend to sub-contract a proportion of the contract, please demonstrate how you have previously maintained healthy supply chains with your sub-contractor(s).Evidence should include, but not be limited to, details of your supply chain management tracking systems to ensure performance of the contract and prompt payment or membership of the UK Prompt Payment Code (or equivalent) |
| **Response:**  |

**5 REQUIREMENTS UNDER MODERN SLAVERY ACT 2015**

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 5.1 | Are you a relevant commercial organisation as defined by section 54 (Transparency in supply chains etc.) of the Modern Slavery Act 2015 (i.e., with a turnover of >£36m) | Yes [ ] No [ ]  |
| 5.2 | If you have answered ‘yes’ to question 5.1, are you compliant with the annual reporting requirements contained within section 54 of the Modern Slavery Act 2015 | Yes[ ] Please provide the relevant URLNo[ ] Please provide an explanation |

**6 ADDITIONAL QUESTIONS**

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| **6.1** | **Insurance:**Please self certify whether you already have or are able to obtain, prior to the commencement of the contract the levels of insurance cover indicated below.Employers (Compulsory) Liability Insurance\* = £5mPublic Liability Insurance = £ 3mProfessional Indemnity Insurance = £ 3mProduct Liability Insurance = £ 1m\* It is a legal requirement that all companies hold Employer’s (Compulsory) Liability Insurance of £5 million as a minimum. Please note that this requirement does not apply to Sole Traders. | Yes [ ] No [ ] Yes[ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ]  |

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| **6.2** | **Health and Safety** |  |
| 6.2 (a) | Does your company have a formal health and safety policy or statement?  | Yes [ ] No [ ]  |
| 6.2 (b) | Does your company have a health and safety system accredited to BS8800 or equivalent? | Yes [ ] No [ ]  |
| 6.2 (c) | Does your company have a specific director, partner or other person responsible for the implementation of your company’s health and safety policy.If ‘yes’, please provide details | Yes [ ] No [ ]  |
| 6.2 (d) | Does your company employ a full time health and safety professional or health and safety consultant?If ‘yes’, please provide details of the qualifications, experience and any membership of an appropriate professional body. If ‘no’, please indicate who provides advice on health and safety | Yes [ ] No [ ]  |
| 6.2 (e) | Does your organisation provide health and safety training to:i) staff?ii) sub-contractors?If ‘yes’, please provide details of the content and type of training, e.g. induction, management, task specific etc | Yes [ ] No [ ]  |
| 6.2 (f) | Does your company maintain accident records? | Yes [ ] No [ ]  |
| 6.2 (g) | Do you consult staff on health and safety matters?If ‘yes’, please describe how | Yes [ ] No [ ]  |
| 6.2 (h) | Do you undertake risk assessments?If ‘yes’, please provide details? | Yes [ ] No [ ]  |

|  |  |  |
| --- | --- | --- |
| 6.2 (i) | Has your organisation, over the past 5 years, been or is in the process of being investigated/ prosecuted for any health and safety offence?If ‘yes’, please provide details | Yes [ ] No [ ]  |
| 6.2 (j) | Has your organisation, over the past 5 years, been or is in the process of having any civil action brought against it for any health and safety offence?If ‘yes’, please provide details | Yes [ ] No [ ]  |
| 6.2 (k) | Has your organisation been served with any prohibition/ improvement notices for breaches of health and safety legislation in the past 3 years?If ‘yes’, please provide details including subsequent action taken by the organisation | Yes [ ] No [ ]  |

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| **6.3** | **Environmental Management** |  |
| 6.3 (a) | Does your organisation have a policy regarding the safe management of the environment?If ‘yes’, please provide its key features in relation to the delivery of this contract. | Yes [ ] No [ ]  |

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| **6.4** | **Equal Opportunities** |  |
| 6.4 (a) | Do you have an Equality and Diversity/Human Rights Policy or statement which complies with your statutory obligation under UK/EU equalities and discrimination legislation (or equivalent legislation and regulations in the countries where you employ staff)?If ‘yes’, **please summarise the key points of this policy as it relates to the treatment of customers/ service users as well as employees** | Yes [ ] No [ ]  |

|  |  |  |
| --- | --- | --- |
| 6.4 (b) | In the past 3 years has any claim or finding of unlawful discrimination or harassment been made against your organisation by any court or industrial tribunal or equivalent body?If ‘yes’, please give details | Yes [ ] No [ ]  |
| 6.4 (c) | In the past 3 years has your organisation been the subject of formal investigation by the Equality and Human Rights Commission or equivalent body?If ‘yes, please give details. | Yes [ ] No [ ]  |
| 6.4 (d) | Does your organisation have a grievance process and practice that covers complaints made in respect of equalities and diversity?If ‘yes’, please provide brief details | Yes [ ] No [ ]  |
| 6.4 (e) | Does your organisation hold any Equality awards or is it working towards achieving any this year?If ‘yes’, please provide details. | Yes [ ] No [ ]  |

**7** **DECLARATION**

I declare that to the best of my knowledge the answers submitted to these questions are correct. I understand that the information will used in the selection process to assess my organisation’s suitability to be invited to participate further in this procurement, and I am signing on behalf of …………………… (**Insert name of Supplier**).

I understand that the Council may reject my submission if there is a failure to answer all relevant questions fully or if I provide false/misleading information

I also declare that there is no conflict of interest in relation to the Council’s requirement.

**SQ Completed by:**

|  |  |
| --- | --- |
| 7.1 Name |  |
| 7.2 Role in Organisation |  |
| 7.3 Date |  |
| 7.4 Signature |  |

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**TENDER SUBMISSION**

**Please note that only those organisations which pass the standard Selection Questionnaire (SQ) will have their Tender submissions evaluated.**

Please see Document One for details on how responses will be evaluated.

Please type your responses in Arial 12 point and expand the response boxes as necessary.

**QUALITY**

|  |  |  |  |
| --- | --- | --- | --- |
| **Question 1:** | 14% | **Word limit:** |  |
| How do you ensure a consistent quality product is provided?Please enclose your printed sample of ENCircle using the PDF provided with the tender documents. |
| **Answer:** |
| **Question 2:** | 10% | **Word limit:** |  |
| Please describe your distribution methodology for ensuring all households in East Northamptonshire and all the other specified delivery points in East Northamptonshire receive a copy of ENCircle within the specified time period.Please include any performance monitoring processes you have in place to demonstrate compliance with the specified performance requirements.Please state whether or not you intend to deliver ENCircle with any other products – and if so, please state what those other products are. |
| **Answer:** |
| **Question 3: 8% Word limit:**  Please describe how you propose to work with ENC to ensure a high quality product is delivered within the specified performance requirements to every domestic household in the district.  |
| **Answer:** |
| **Question 4: 8% Word limit:** Please provide an example timetable for producing an edition of ENCircle, bearing in mind the need to include the latest news that remains relevant after delivery and also bearing in mind the specified delivery dates for 2017/2018. |
| **Answer:**  |

## PRICE

Please complete the following Pricing Schedule, ensuring that you have provided a cost in each of the relevant boxes. All prices quoted should exclude VAT.

Please note that all additional costs must be identified and inserted where indicated in the table below, additional costs which are not identified at this stage cannot be charged for during the Contract Term unless by the express agreement of the Council.

| **Price Area** | **Price** |
| --- | --- |
| A. | Print |  |
| B. | Distribution |  |
| C. | Additional Costs |  |
| D | Total |  |

**For the purposes of evaluating bids, the total price will be compared between bidders and scores awarded on the basis of this figure.**

**Please provide your Total contract price for the life time of the contract, i.e., 3 years (intial term of 2 years + option to extend for up a further 12 months)**

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**Appendix 1: Form of Tender**

Date: ..........................................

**Tender for Encircle: Printing and Distribution of the Council Magazine – East Northamptonshire Council**

I/We the undersigned, hereby tender and offer to provide the Contract as listed above which is more particularly referred to in the Invitation to Tender supplied to me/us for the purpose of tendering for the provision of the Contract and upon the terms thereof.

I/We undertake in the event of acceptance of our Tender to execute the Contract within 15 business days of such acceptance and if required in the interim provide the Contract in accordance with the Contract if necessary.

I/We understand that the Council reserves the right to accept or refuse this Tender whether it is lower, the same, or higher than any other Tender.

I/We confirm that the information supplied to you and forming part of this Tender including (for the avoidance of doubt) any information supplied to you as part of my/our initial expression of interest in tendering, was true when made and remains true and accurate in all respects.

I/We confirm that this Tender will remain valid for 90 days from the date of this Form of Tender.

I/We confirm and undertake that if any of such information becomes untrue or misleading that I/we shall notify you immediately and update such information as required.

I/We confirm that the undersigned are authorised to commit the Bidder to the contractual obligations contained in the Invitation to Tender and the Contract.

Signed by:

Name…………………………………….

Position………………………………….

Name…………………………………….

Position………………………………….

for and on behalf of

………………………………………………………………………………………(Bidder)

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**APPENDIX 2: COLLUSIVE TENDERING CERTIFICATE**

We certify that this is a bona fide tender, and that we have not fixed or adjusted the amount of the tender by or under or in accordance with any agreement or arrangement with any other person. We also certify that we have not done so and we undertake that we will not do so at any time before the hour and date specified for the return of this tender any of the following acts:

1. Communicating to a person other than the person calling for these tenders the amount or approximate amount of the proposed tender, except where the disclosure, in confidence, of the approximate amount of the tender was necessary to obtain insurance premium quotations required for the preparation of the tender;
2. Entering into agreement or arrangement with any other person that he shall refrain from tendering or as to the amount of any tender to be submitted;
3. Offering or paying or giving or agreeing to any sum of money or valuable consideration directly or indirectly to any person for doing or having done or causing or having caused to be done in relation of any tender or proposed tender for that said work or thing of any sort described above.

In this certificate, the word ‘person’ includes any persons and any body or association, corporate or unincorporated; and ‘any agreement or arrangement’ includes any such transaction, formal or informal, whether legally binding or not.

SIGNED: ………………………………………………………………………..

NAME: …………………………………………………………………………

ON BEHALF OF:……………………………………………………………………

DATE: …………………………………………………………………………

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**APPENDIX 3: CONFIDENTIAL & COMMERCIALLY SENSITIVE INFORMATION**

**The following form should be submitted with all bids to indicate areas of the submission that are considered to be either *confidential* or *commercially sensitive*.**

**Confidential material** is as defined in Section 41 of the Freedom of Information Act, 2000 (FoI) where the disclosure of the information would constitute an actionable breach of confidence.

**Commercially sensitive** material is as defined in Section 43 of the FoI Act and relates to *‘information, the disclosure of which would be likely to prejudice the commercial interests of any person’*.

Bidders should make themselves aware of the definition of each term as well as the circumstances in which FoI & Environmental Information Regulations 2004 (EIR) exemptions apply.

**Confidential Material Checklist**

|  |
| --- |
|  |

Name of Organisation:

Confidential documents not for disclosure to third parties under the FoI & EIR. The Council may be obliged to disclose information in or relating to this bid following a request for information under FoI or EIR therefore please outline in the table below all items which you consider are genuinely confidential and which are not for disclosure in respect of your application.

|  |  |  |
| --- | --- | --- |
| **Information / Document**  | **Reference / Page No.** | **Reasons for non-disclosure**  |
|  |  |  |
|  |  |  |
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The applicant acknowledges that the confidential information listed in this schedule is of indicative value only and the Council may be obliged to disclose it following a request under FOI or EIR.

The Council shall act reasonably and use its discretion, ensuring that the latest guidance/case law is taken into account, when making a decision to release or withhold information pertaining to the above if it is requested. By indicating what information believed to be confidential the Council will consider those views however the Council will make the final decision to disclose information or not.

**Commercially Sensitive Material Checklist**

**Commercially sensitive documents not for disclosure to third parties under FoI or EIR**

|  |
| --- |
|  |

Name of Organisation:

The Council may be obliged to disclose information in or relating to this bid exercise following a request for information under FoI or EIR therefore please outline in the table below items which are considered genuinely commercially sensitive and which are not for disclosure in respect of this bid.

|  |  |  |  |
| --- | --- | --- | --- |
| Information / Document | Reference / Page No. | Reasons for non-disclosure (cite exemption(s) to be considered) | Duration of confidentiality |
|  |  |  |  |
|  |  |  |  |
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|  |  |  |  |

The applicant acknowledges that the commercially sensitive information listed in this schedule is of indicative value only and the Council may be obliged to disclose it pursuant to a request under FOI or EIR.

The Council shall act reasonably and use its discretion, ensuring that the latest guidance/case law is taken into account, when making a decision to release or withhold information pertaining to the above if it is requested. By indicating what information is believed to be commercially sensitive the Council will consider those views however the Council will make the final decision to disclose information or not.

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