



Applicable requirements identified by Client		Other documents / Comments	Y / N	Identified by Supplier	
Section	Topic			Agreed? Y / N	Reference to explanation
					shifts worked
14.2	Knowledge		Y	Y	
14.2.1	English language		Y	Y	
14.2.2	Access Card and Worksite Briefing		Y	Y	
14.2.3	Visitors to sites		Y	Y	
14.3	General competence				
14.3.1	Evidencing competence of safety critical staff		Y	Y	By way of assessment and interview
14.3.2	Identification of safety critical staff		Y	Y	Supplier or Client Hi-Vis and armbands
14.3.3	Competent external safety critical personnel		Y	Y	
14.3.4	Training		Y	Y	Ongoing (Refresher)
14.3.5	Asset specific competence		Y	Y	
14.4	Medical requirements		Y	Y	
14.5	Identification of Suppliers staff		Y	Y	
14.6	Clothing		Y	Y	
15	Permits and licences				
15.1	LU specific permits and licences		Y	Y	
15.2	Permits, licences and certificates for Supplier's staff		Y	Y	
16	The Principles of Access				
16.1	Introduction		Y	Y	
16.2	Access to Stations		Y	Y	
16.3	Access to Track		Y	Y	
16.4	Access to depots		Y	Y	
17	Applying for Planned Access	Arrangements to be made by Client	N	N	
17.1	Introduction		N	N	
18	Applying for Generic Access		N	Y	We will apply for a



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
					generic sabre number to allow 'Safety Team' to carry out safety audits, site inspections.
18.1	Constraints that apply to Generic Access		N	N	
19	Access for fault repair		N	N	
20	Operational Assurance		N	Y	OAN supplied by Client (LU)
21	Closures and possessions	Arrangements to be made by Client	N	N	
21.1	Requirements for closures		N	N	
21.2	Requirements for possessions		N	N	
22	Controls at point of access		Y	Y	
22.1	Publication of works		Y	Y	
22.2	Checks at point of access		Y	Y	
22.3	Signing-on with the Station Supervisor - The Station Visitors Record Sheet and Person in Charge Evacuation Register (PICER)		Y	Y	
22.4	Track specific requirements		Y	Y	
22.4.1	Protection Master		Y	Y	
22.4.2	Possessions (Possession Master, Technical Officer, Cable Lineman, Signal Operator, etc.)		Y	Y	
23	Removal of supplier's personnel from LU Premises		Y	Y	D&A failures; Breaches of H&S etc
24	Incidents		Y	Y	Reported immediately
25	Notification of regulatory concern or action		Y	Y	Reported immediately
26	Confidential Incident Reporting and Analysis System (CIRAS)		Y	Y	
27	Monitoring		Y	Y	



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
27.1	LU inspections		Y	Y	
27.2	Monitoring the supply chain		Y	Y	
27.3	Health, safety and environmental audits, inspection and tours by the Supplier's personnel		Y	Y	Supplier Safety Team
27.4	Work location inspection and audit		Y	Y	Supplier Safety Team
27.5	Timescales for rectifying non-compliances		Y	Y	*See comments below
28	Radio transmitters and transceivers		Y	Y	
29	Mobile phones		Y	Y	
30	Knives		Y	Y	
31	Site health, safety and environment committee		Y	Y	Client led
32	Site housekeeping and security		Y	Y	
33	Accidental damage, obstruction or interference with assets		Y	Y	Reported immediately
34	Delivery of materials		Y	Y	
35	Conveyance of loads	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
35.1	Conveyance of loads on lifts and escalators		Y	Y	
35.2	Conveyance of hazardous materials and substances		Y	Y	
36	Asbestos (non asbestos removal projects)		Y	Y	If required, asbestos removal will be managed by LUL
37	Working in or near lifts and escalators		N	N	
38	Work on or adjacent to utilities and High Voltage cables (buried services)	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
39	Working on or about the track		Y	Y	
40	Access to electrical sub-stations, working equipment, relay and		N	N	



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
	other secure rooms				
41	Entering areas with gaseous fire suppression systems		N	N	
42	Fire prevention	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
42.1	General requirements		Y	Y	
42.2	Temporary fire points		Y	Y	
42.3	Timber		Y	Y	
42.4	Composites		Y	Y	
42.5	Sheeting materials		Y	Y	
42.6	Gas cylinders		Y	Y	
42.6.1	Use of gas cylinders in below ground locations		Y	Y	
42.6.2	Storage of gas cylinders (above ground)		Y	Y	
42.7	Flammable and highly flammable materials		Y	Y	
42.7.1	Use of flammable and highly flammable materials below ground		Y	Y	
42.7.2	Storage of flammable and highly flammable materials below ground		Y	Y	
43	Hot work and fire hazards		N	N	
43.1	Hot work	Grinding; Rail Saws?	N	Y	We will complete hot works permit if required, this will be completed 10 days prior to hot works commencing.
43.2	Reasonable notice of works		N	N	
43.3	Precautions		N	N	
43.3.1	Buildings, assets etc.		N	N	
43.3.2	Gas cylinders		N	N	
43.3.3	Gas detection		N	N	
44	Storage	Arrangements to be made by the Client. Supplier to comply with	Y	Y	



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
		arrangements			
44.1	General requirements for storage		Y	Y	
44.2	Trackside storage		Y	Y	
44.3	Hazardous materials and substances		Y	Y	
44.4	Allocation of space on operational property		Y	Y	
45	Plant and equipment		Y	Y	
46	Clearance approvals		Y	Y	
47	Access equipment		Y	Y	
48	Temporary works		Y	Y	
49	Temporary fences and hoardings		Y	Y	
50	Temporary lighting and power supplies	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
50.1	General requirements		Y	Y	
50.2	Lighting in tunnels and shafts		Y	Y	
51	Screening of lights and positioning		Y	Y	
52	Environmental requirements	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
52.1	General environmental requirements		Y	Y	
52.2	Environmental nuisance		Y	Y	
52.3	Water		Y	Y	
52.4	Waste management		Y	Y	
52.5	Noise and vibration		Y	Y	
52.6	Archaeology, historical interest and listed buildings		Y	Y	
52.7	Wildlife and Habitats		Y	Y	
52.8	Resource Use		Y	Y	
52.9	Pest control		Y	Y	
52.10	Land and water pollution prevention		Y	Y	



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
53	Quality requirements	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
53.1	Records		Y	Y	
53.2	Retention period		Y	Y	
53.3	Availability of records for inspection		Y	Y	We will produce records on request and within the 3 day timescale
53.4	Statistical process control, audit and inspection procedures		Y	Y	
53.5	General quality requirements		Y	Y	
53.6	Quality Plan		Y	Y	We will record for every project
53.7	Testing and inspection		Y	Y	
53.8	Certification of conformity		Y	Y	
53.9	Quarantine		Y	Y	Agree Quarantine area?
53.10	Traceability		Y	Y	
53.11	Maintenance and servicing		Y	Y	
53.12	Design		Y	Y	Arrangements to be made by the Client. Supplier to comply with arrangements?
53.13	Computer aided design		Y	Y	
53.14	Asset commissioning and handover		Y	Y	



Other requirements / comments

* Timescales for rectifying non-compliances

HAZARD		Definition
	Response	
A1	Immediate within 24hrs	Contravention of a legal requirement and/or of LUL rules, regulations standards or practise likely to cause permanent disability, loss of life or body and/or extensive loss of structure, equipment or material.
A2	Within 14 Days	Contravention of a legal requirement contravention of LUL rules, regulations and/or standards of practise.
B	Within 28 Days	A condition or practise likely to cause serious injury or illness (resulting in temporary disability) or property damage that is disruptive but less severe than Class A. Also a non-conformance with HSE guidance notes.
C	Within 3 Months	A condition or practise likely to cause minor (non-disabling) injury or illness or non-disruptive property damage. Any instance of bad working practise.

Note: Morson International express here, Acknowledgement of Additional contract document requirements list

Schedule 7

Form of Deed of Novation

THIS DEED is made day of 201[]

BETWEEN:

LONDON UNDERGROUND LIMITED a company registered in England and Wales under number 01900907 and having its registered office at Windsor House, 42-50 Victoria Street, London SW1 0TL (the "**Company**"); and

[] a company registered in [England and Wales] under number [] and having its registered office at [] (the "**Contractor**"); and

[] a company registered in [England and Wales] under number [] and having its registered office at [] (the "**New Company**").

WHEREAS:

- (A) The Company has a framework agreement dated [] and referenced [insert contract number] with the Contractor pursuant to which contracts may be entered into for the provision of [describe in brief the scope of supply] (together the "**Contract**").
- (B) The Company wishes to transfer [part of] its benefit and burden under the Contract to the New Company.
- (C) The Contractor and the New Company have agreed to such transfer upon the terms and conditions of this Deed.

IT IS AGREED AS FOLLOWS:

- 1. In this Deed:
 - 1.1 "**Transfer Date**" means [].
- 2. With effect from the Transfer Date:

Executed as a Deed by [CONTRACTOR]
acting by

)
)
) Authorised Signatory

and
.....

) Authorised Signatory

Executed as a Deed by [NEW COMPANY]
acting by

)
)
) Authorised Signatory

and
.....

) Authorised Signatory