





Framework: Client Support Framework

Supplier:

**Company Number:** 

Geographical Area: Midlands

Project Name: Saltfleet to Gibraltar Point Beach Management ECCPM

Project Number: ENV0002657C

**Contract Type:** Professional Service Contract

Option: Option E

Contract Number: 31948

Revision	Status		Originator		Reviewer		Date	

### PROFESSIONAL SERVICE CONTRACT - Under the Client Support Framework CONTRACT DATA

### **Project Name**

Saltfleet to Gibraltar Point Beach Management ECCPM

### Project Number

ENV0002657C

This contract is made on 15 February 2021 between the *Client* and the *Consultant* 

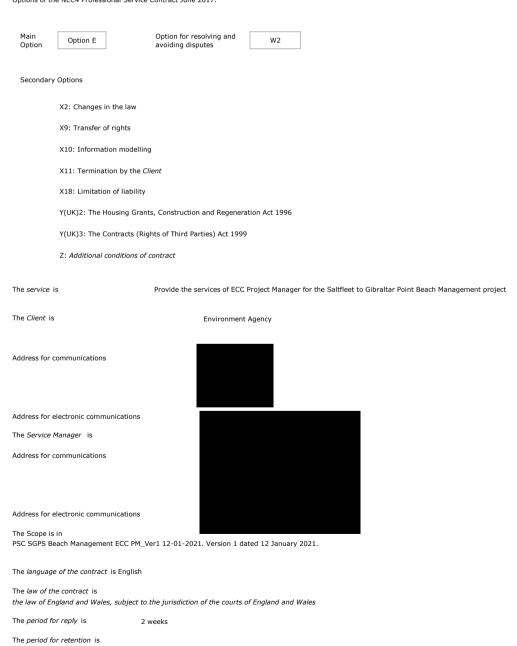
- This contract is made pursuant to the Framework Agreement (the "Agreement") dated 02nd day of July 2019 between the Client and the Consultant in relation to the Client Support Framework. The entire Agreement and the following schedules are incorporated into this contract by reference
- Schedules 1 through to 14 inclusive of the Framework schedules are relied upon within this contract.
- The following documents are incorporated into this contract by reference PSC SGPS Beach Management ECC PM\_Ver1 12-01-2021. Version 1 dated 12 January 2021.

### Part One - Data provided by the Client

### Statements given in all Contracts

### 1 General

The conditions of contract are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017.



following Completion or earlier termination

6 years

The following matters will be included in the Early Warning Register

Early warning meetings are to be held at intervals no longer than 2 weeks

### 2 The Consultant's main responsibilities

The key dates and conditions to be met are condition to be met

'none set' 'none set' 'none set' 'none set' 'none set'

The  ${\it Consultant}$  prepares forecasts of the total Defined Cost plus Fee and  ${\it expenses}$  at intervals no longer than

4 weeks

key date

All UK Offices

3 Time

The starting date is 15 February 2021

The  ${\it Client}$  provides access to the following persons, places and things

access date

The  ${\it Consultant}$  submits revised programmes at intervals no longer than

4 weeks

The completion date for the whole of the service is 31 March 2025

The period after the Contract Date within which the Consultant is to

submit a first programme for acceptance is

### 4 Quality management

The period after the Contract Date within which the Consultant is to

submit a quality policy statement and quality plan is 4 weeks

The period between Completion of the whole of the  $\ensuremath{\textit{service}}$  and the  $\ensuremath{\textit{defects date}}$  is

26 weeks

### 5 Payment

The currency of the contract is the f sterling The assessment interval is Monthly

The expenses stated by the Client are as stated in Schedule 6.

The interest rate is 2 00% per annum (not less than 2) above the Bank of England

rate of the Base

The locations for which the *Consultant* provides a charge for the cost of support people and office overhead are

The exchange rates are those published in

### 6 Compensation events

These are additional compensation events

- Managing and mitigating the impact of Covid 19 and working in accordance with Public Health England guidance, as may vary from time to time. between 1st November 2020 and 31st March 2021 'not used'
- 3. 'not used'
- 'not used'
- 4. 5. 'not used'

### 8 Liabilities and insurance

These are additional Client's liabilities

- 1. 'not used'
- 'not used'
- 'not used'

The minimum amount of cover and the periods for which the Consultant maintains insurance are

EVENT MINIMUM AMOUNT OF The Consultant's failure to £5 million in respect of each claim, without limit to the number of claims

PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE SERVICE OR TERMINATION 12 years

use the skill and care normally used by professionals providing services similar to the

Loss of or damage to property and liability for property and liability for bodily injury to or death of a person (not an employee of each claim, without limit to the number of claims from or in connection with the *Consultant* Providing the Service

Which ever is the greater of 12 months £5m or the amount

employment in connection to the number of claims with the contract

Death of or bodily injury to employees of the 5m or the amount law 5m or the amount law required by law in respect and in the course of their of each claim, without limit

The Consultant's total liability to the Client for all £5 million matters arising under or in connection with the contract, other than the excluded matters is limited to

### Resolving and avoiding disputes

The tribunal is litigation in the courts

The Adjudicator is 'to be confirmed' Address for communications 'to be confirmed

Address for electronic communications 'to be confirmed'

The Adjudicator nominating body is The Institution of Civil Engineers

### Z Clauses

### Z1 Disputes

Delete existing clause W2.1

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replace with: The *service* is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the *Consultant* and sub consultants,
   Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device, Natural disaster

- Impact by aircraft or other aerial device or thing dropped from them.

**Z3 Disallowed Costs**In second bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken).

- Add the following additional bullets after 'and the cost of ':

   Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans
- Reorganisation of the Consultant's project team.

  Additional costs or delays incurred due to Consultant's failure to comply with published and known guidance or document formats.

  Exceeding the Scope without prior instruction that leads to abortive cost

  Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.

- Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.
   Production or preparation of self-promotional material.
   Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)
   Any hours exceeding 8 per day unless with prior written agreement of the Service Manager
   Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager
   Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager
   Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to Consultant performance.
   Costs associated with rectifications that are due to Consultant error or omission.

- Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the Consultant's involvement
   Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
   Was incurred as a result of the Client issuing a Yellow or Red Card to prepare a Performance Improvement Plan

- Was incurred as a resulting of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

When appointing Consultants on a secondment basis only:

19.1 The Client will from starting date to Completion Date indemnify the Consultant against any and all liabilities, proceedings, costs, losses, claims and demands whatsoever arising directly or indirectly out of the activities of the Consultant in providing the services save where such claims, in the reasonable opinion of the Client, arise from or are contributed to by:

19.1.1 Misrepresentation or negligence by or on behalf of the Consultant;

19.1.2 The Consultant has acted contrary to the Service Manager's reasonable instructions or wholly outside the scope of the Consultant's duties as defined by the Service Manager.

### **Z6 The Schedule of Cost Components**

The Schedule of Cost Components are as detailed in the Framework Schedule 6

### **Z7** Linked contracts

Issues requiring redesign or rework on this contract due to a fault or error of the Consultant under this contract or a previous contract will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract under this project or programme.

### **Z8** Requirement for Invoice

Insert the following sentence at the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the Service Manager's certificate. Delete existing clause 51.2 and insert the following:

- 51.2 Each certified payment is made by the later of
- one week after the paying Party receives an invoice from the other Party and

• three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.

If a certified payment is late, or if a payment is late because the Service Manager has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

### **79 Conflict of Interest**

The Consultant immediately notifies the Client of any circumstances giving rise to or potentially giving rise to conflicts of interest relating to the Consultant (including without limitation its reputation and standing) and/or the Client of which it is aware or which it anticipates may justify the Client taking action to protect its interests. Should the Parties be unable to remove the conflict of interest to the satisfaction of the Client, the Client, in its sole discretion, may terminate this Contract.

### Z10 Change in Control

**Z10 Change in Control**The Consultant shall notify the Client as soon as reasonably practicable, in writing, of any agreement, proposal or negotiations which will or may result in a Consultant Change in Control and shall give further notice to the Client when any Change in Control has occurred. The Client may terminate this contract with immediate effect by notice in writing and without compensation to the Consultant within six (6) months of being notified that a Change of Control has occurred, or, where no notification has been made, the date that the Client becomes aware of the Change of Control, but shall not be permitted to terminate where the Client's prior written acceptance was granted prior to the Change in Control. A Change of Control is defined as per the Deed of Agreement, Z14.4.

Contracts with a duration of less than two years, which are extended over this duration by the Service Manager due to Client Scope increases, may apply a rate review as follows. The Consultant will charge the Client the contract staff rates for a minimum of two full years, and at the next annual rate review where a new staff rate list is accepted (as stated in Schedule 6), the new staff rate will apply to the contract as per Schedule 6. No Compensation Event is permitted for this different contract staff rate.

No waiver shall be effective unless it is expressly stated to be a waiver and communicated to the other Party by the Service Manager in writing in accordance with the Contract, and with express reference to Clause Z12. The failure of either party to insist upon strict performance of the Contract, or any failure or delay in exercising any right or remedy shall not constitute a waiver or dimunition of the obligations established by the Contract.

### **Secondary Options**

### **OPTION X2: Changes in the law**

The *law of the project* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

### **OPTION X10: Information modelling**

The period after the Contract Date within which the *Consultant* is to submit a first Information Execution Plan for acceptance is

2

### **OPTION X18: Limitation of liability**

The Consultant's liability to the Client for indirect or consequential loss is limited to

£1,000,000.00

The Consultant's liability to the Client for Defects that are not found until after the defects date is limited to

£1,000,000.00

The *end of liability date* is Completion of the whole of the *service* 

6 Years

after the

### Y(UK2): The Housing Grants, Construction and Regeneration Act 1996

The period for payment is 14 Days after the date on which payment becomes due

### Y(UK3): The Contracts ( Rights of Third Parties Act) 1999

term beneficiary

### Part Two - Data provided by the Consultant

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

### 1 General

### The Consultant is

Name and company number

Address for communications

Arcadis Consulting (UK) Ltd



Address for electronic communications

The fee percentage is



The *key persons* are

Name (1) Job Responsibilities Qualifications Experience



The key persons are

Name (2) Job Responsibilities Qualifications Experience

The key persons are

Name (3) Job Responsibilities Qualifications Experience

The key persons are

Name (4) Job Responsibilities Qualifications Experience

The key persons are

Name (5) Job Responsibilities Qualifications Experience

The  $\ensuremath{\textit{key persons}}$  are

Name (6) Job Responsibilities Qualifications Experience

The key persons are

Name (7) Job Responsibilities

Qualifications Experience

The following matters will be included in the Early Warning Register

The Consultant may be prevented or delayed in providing the service by rea

3 Time

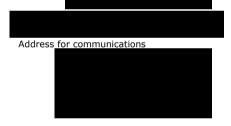
The programme identified in the Contract Data is

### Resolving and avoiding disputes

The Senior Representatives of the Consultant are



Address for electronic communications



Address for electronic communications

**X10: Information Modelling** 

The information execution plan identified in the Contract Data is

# **Contract Execution**

**Client** execution

Signed under hand by

for and on behalf of the Environment Agency



### **Consultant** execution

**Consultant** execution



# PSC scope – ECC PM

# **NEC4** professional services contract (PSC)

412\_13\_SD06

# **Environment Agency NEC4 professional services contract (PSC) Scope**

### **Project / contract information**

Project name	Saltfleet to Gibraltar Point Beach Management ECCPM		
Project SOP reference	ENV0002657C		
Contract reference	31948		
Date	12 January 2021		
Version number	1		
Author			

## **Revision history**

Revision date	Summary of changes	Version number
13/1/2021	First issue	1

This Scope should be read in conjunction with the version of the Minimum Technical Requirements current at the Contract Date. In the event of conflict, this Scope shall prevail. The *services* are to be compliant with the version of the Minimum Technical Requirements.

### 1 Objectives of the project (project outcomes)

### **Objective**

The works are designed to enable the current standard of defence to be maintained at 0.5% annual exceedance probability (AEP) or a 1 in 200 year standard of protection.



### **Outcome Specification**

The overall objective of this commission is to deliver flood risk management protection measures and environmental protection or enhancement measures

The works consist of beach nourishment to targeted areas of the Lincolnshire coastline between Mablethorpe and Skegness. The works are required for the 2021, 2022, 2023 & 2024 beach nourishment campaigns. The works are to be programmed to start March-April 20121 (following the Easter Weekend) and dredging operations are to be completed prior to the school summer holidays. Although the frontage is between Mablethorpe and Skegness,

historically nourishment is not undertaken south of Ingoldmells. The total frontage length is 20km. The works are designed to enable the current standard of defence to be maintained at 0.5% annual exceedance probability (AEP) or a 1 in 200 year standard of protection.

### 2 Project team

- 1 The design consultant is TBC
- 2 The Contractor is Van Oord UK Ltd
- 3 The Supervisor is TBC from TBC
- The *Contractor* will be appointed using the NEC4 Engineering and Construction Contract option C.
- 5 Cost management will be provided by the PCM project cost advisor.
- 6 Principal Desig7 BIM Informatio
- 8 The Environmental Clerk of Works is TBC

### 3 Consultant provides the services of an ECC PM

The ECC Project Manager (ECC PM) is to carry out the duties of Project Manager as required by the *Client*'s NEC4 ECC. The ECC Project Manager is to maintain close contact with the *Client* in order that their actions reflect the *Client*'s objectives for the project.

The ECC PM will be needed to support the works contract by being available at all times, be it on site or remotely, outside normal working hours as the works will be 24/7 whilst on site including Bank Holidays.

The ECC PM will need to cover the project with the following support during the different stages of the project.

Planning phase (Jan, Feb & Mar) – at least 3 days per month

Operation phase (Apr, May & Jun) – 5 days per week (+ contactable / cover at weekends and Bank Holidays)

Post Operation phase (Jul, Aug, Sep) - at least 3 days per month

Reduced activity phase (Oct, Nov & Dec) - at least 1 day per month

2 The ECC PM shall undertake the following service

Carry out the duties of *Project Manager* as required by the *Client's* NEC4 Engineering and Construction Contract. The ECC PM is to maintain close contact with the *Client* in order that their actions reflect the *Client's* objectives for the project. The ECC PM shall not amend the Scope without first obtaining the *Clients* acceptance.

The ECC PM is to carry out their duties strictly in accordance with the *Client's* version of the ECC. This is particularly important when dealing with Early Warnings and Compensation Events.

The Environment Agency may enter into ECC contracts with more than one supplier. The ECC PM is required to manage the contract(s) and look at how they can be operated to be aligned where possible.

If the ECC PM believes it is necessary to act other than strictly in accordance with the Contract they must seek and obtain written confirmation in advance from the *Client's* project manager and project executive.

The ECC PM is to report monthly on the tasks they have undertaken and time to be charged for that month and provide a forecast of the final cost for their services all as detailed in the *Client's* NEC4 Professional Services Contract.

The ECC PM is to co-ordinate the design package review process between the Contractor, Client and the Clients Technical Support Consultant

During construction of the works the ECC PM is to chair / attend (to be agreed with the EA PM and/or EA PE) all contract progress meetings and produce & distribute meeting minutes. For typical meeting agendas see Pre-Start meeting and Progress meeting agenda [413 13 SD13] available on ASite.

In addition during the construction period the ECC PM will report monthly on the construction works using the *Client*'s Monthly Work Progress Summary (MWPS) [*Client* document ref 413\_13\_SD15] and Tracker Schedule for Early Warnings & Compensation Events [on FastDraft NEC4 Manager]. Post-construction this report should be updated when changes (e.g. to costs, forecasts, defect corrections etc) occur.

The ECC PM needs to discharge the duties they have under the modifications made by the *Client* to the NEC4 ECC including their additional conditions of contract (Z clauses). Some examples are:

Certification under the *Client* NEC ECC The target cost figure used should only include Compensation Events that have been implemented.

The ECC PM may also be required to provide the following additional services for this project:

Lessons learnt meeting & report after completion

Updating efficiency register, lesson learnt Log, Issues Log and reporting monthly during construction

Co-ordination of performance assessment/KPIs and reporting quarterly

Completion of Contract Closure Report

### 4 Definition of completion and defects

1. Completion is only achieved when all of the services have been provided and accepted by the *Client*. Completion may not be awarded until the Contractor has provided the *Client* with; two paper copies and one digital version of the H&S File and O&M Manual: population of the *Client*'s latest version of the Project Cost Tool: and BIM information has been uploaded onto the *Client*'s data storage system. These are an absolute requirement of Completion.

2. A Defect is any service provided which is not in accordance with the Scope, the law or acceptable good practice in the industry. This includes any service which is not in accordance with the work practices stated as being employed by the Consultant to ensure the quality of their services is consistent with their quality plan. Completion is only achieved when all of the *services* have been provided and accepted by the *Client*. Population of the *Client*'s latest version of the Project Cost Tool, is an absolute requirement of Completion.

### 5 Constraints on how the consultant provides the *services*

The ECC *Project Manager* is not to delegate their duties or powers without prior written agreement from the *Client*.

The ECC *Project Manager* shall not amend the Scope without the acceptance of the *Client*. (Project Executive/Project Manager).

The ECC *Project Manager* is required to hold a current CITB 5 day SMSTS qualification. The *Client* is not able to fund this training as part of this commission.

The ECC *Project Manager* must use the FastDraft NEC manager platform for administering the ECC contract and must undertake or have undertaken training in the use of the platform, training material and e-learning packages are available through the ASite knowledge management workspace. The *Client* is not able to fund this training required through this commission.

The ECC *Project Manager* shall not issue a *Project Manager*'s Instruction and/or approve any Compensation Event without the acceptance of the *Client*. (Project Executive/Project Manager).

The ECC PM is to make full use of the Client's web based project collaboration tool (A-Site).

### 6 Standards to be achieved

### 6.1 Health and safety

Health, safety and welfare is of paramount importance to the *Client* and one of the objectives for the contract is that the works should be undertaken in a manner that achieves highest possible standards. Health, safety and welfare provisions must be seen as integral parts of carrying out the works and not as stand-alone considerations. The *Project Manager* will take reasonable steps, when considering documents supplied to him by the Contractor, that the **management arrangements** adopted by the Contractor for safety are suitable.

The contract requires the Contractor to produce a schedule of activities for which risk assessments and method statements must be prepared. The schedule and method statements will meet the dual requirements of the Construction Design and Management Regulations and the requirements of sub-clause 31.2 of the contract.

The Contractor will be free to add to the schedule as the work progresses. Prior to the start of construction work, and again after any revisions prior to implementation of the revisions, the Contractor must forward the schedule to you, with the programme for acceptance.

The level of detail required will depend on the activity. As a minimum the Contractor must ensure that risk assessments and method statements are prepared and submitted for review in accordance with the Works Information covering:

- full, timing and sequence of construction including the use and design of temporary works, materials, plant and equipment proposed by the Contractor;
- Indication of activities that represent a higher than normal level of health and safety risk.

Some additional information may be required in respect of compliance with the environmental action plan and the minimisation of environmental impacts of the activities.

Method statements supplied in support of the works information are to be formatted for the benefit of those personnel undertaking the works, and contain language and detail appropriate for those individuals. They shall take account of experience, to ensure that account is taken of the matters identified above

In particular the *Project Manager* will be required to:

 before the start of construction work, or thereafter in the case of a proposal for a revision, receive from the Contractor the schedule of risk assessments and method statements for acceptance;

Take reasonable steps to ensure that the persons carrying out risk assessment on behalf of the Contractor are competent for the type of risks, and have adequate resources including time, to properly consider, in an appropriate time, risks identified in the schedule.

Take reasonable steps, for ensuring the effectiveness of method statements as regards language, appropriate detail and quality of briefing arrangements for example by review at progress meetings of risk assessments and method statements to be employed for higher risk or unusual tasks in the coming period.

- Seek a more specialised opinion, about the content of a submission from the Principal Designer, *Client* project manager and/or ncpms safety advisor or safety, health and environment manager as appropriate.
- Ensure that the Contractor completes, updates and holds on behalf of the *Client*, the schedule of risk assessments and method statements.

### 6.2 Co-operation with the Principal Designer

There will be a Principal Designer for this scheme. The Principal Designer duties will include for a review of any site based works and notifying the HSE of these, as well as a review of the design. The Principal Designer will comment and include for any work required following review. The ECC PM will need to ensure that any instructions from the Principal Designer are properly administered

### 6.3 Specifications or standards to be used

In managing the Contract the ECC PM and Contractor should make full use of the *Client* ECC standard commercial and contract forms that have been developed for this purpose. Some examples are:

- contract administration must always be done with reference to the contract including the Standard ECC Scope[Client document ref 412 13 SD03]
- Project Manager's Instruction [Client's Contract Management system]
- Contractor's Technical Query [Client's Contract Management system]

- Weekly Site Record [Client document ref 413\_13\_SD14]
- Early Warning [Client's Contract Management system]
- Compensation Event [Client's Contract Management system]

The ECC PM is to make full use of the *Client's* web based project collaboration tool. Whenever practical all contract records are to be distributed and stored using this project collaboration tool.

### 7 Requirements of the programme

### 7.1 Programme

Start 4th January 2021 Completion 30th December 2024

a)

### 8 Services and other things provided by the Client

### 8.1 Contract to be administered

The *Client* will provide a bound copy of the contract to be administered to the ECC PM / Supervisor. This will include the ECC Scope and Site Information. Other information referred to in the contract will be available on Project Collaboration Tool.

### 8.2 Training to be provided by the *Client*

The Client will provide access to and training on their web based Project Collaboration Tool.

### 8.3 Data and information management and intellectual property rights

All of the data listed as being supplied to the *Consultant* as part of this study remains the Intellectual Property of the *Client*.

### 8.4 Data custodianship

The data custodian for project deliverables from this commission will be the area PSO team.

### 8.5 Licensing information

Licences for LiDAR Data, Ordnance Survey mapping, model, survey, hydrometric and historical data will be provided to the *Consultant* upon award of this commission.

### 8.6 Metadata

The *Client* populates a metadata database called the Information Asset Register (IAR). It is a requirement that all information produced by modelling work is appropriately tagged with metadata. The *Client* project manager will supply an IAR spreadsheet (and any supplementary local metadata requirements if appropriate) where all relevant metadata can be recorded and handed over on project completion.

### 8.7 Data security

All model and survey information will be provided to the *Consultant* in an encrypted format (using WinZip 128 bit encryption) according to *Client* data security policy. It is expected that once the commission is completed, all the original data sent to the *Consultant*, which is classed as commercially sensitive, is returned in an encrypted format using WinZip 128 bit encryption.

Project deliverables such as model files, survey data or anything of a personal nature such as questionnaires or address data must also be returned in an encrypted format using WinZip 128 bit encryption.

Further details regarding security measures will be discussed at the start-up meeting for this commission

### 8.8 Timesheets

Timesheets as normally utilised by the *Consultants* shall be submitted with fee notes unless otherwise agreed with the *Client's* project manager. Electronic submissions would be acceptable.

### 8.9 Payment procedure

Payment is subject to the procedure agreed in or under the framework

### 8.10 Quality

The quality management system complies with the requirements of ISO9001 and ISO14001.

Please detail any other requirements of the Quality Pan

# **Appendices**

**Appendix 1 BIM Protocol – Production and Delivery Table** 

All *Client* issued information referenced within the Information Delivery Plan requires verifying by the *Consultant* unless it is referenced elsewhere within the *Scope*.

www.Pow.bim4.info

You need google chrome for this link to work. Once the table is completed it should be printed for issue in the tender document, so that the correct baseline position can be seen by suppliers