



Framework: Collaborative Delivery Framework

Supplier: [Redacted]

Company Number: 03246693

Geographical Area: Lincolnshire Northamptonshire

Contract Name: Midlands Lower Risk Debris Screens DA - [Redacted]

Project Number: [Redacted] 0004622C

Contract Type: Professional Service Contract

Option: Option E

Contract Number: [Redacted]

Stage: OBC_to_FBC

Revision	Status		Originator		Reviewer		Date

PROFESSIONAL SERVICE CONTRACT under the Collaborative Delivery Framework

CONTRACT DATA

Project Name Midlands Lower Risk Debris Screens DA - WMD
Project Number 0004622C

This contract is made on 19 February 2024 between the Client and the Consultant

This contract is made pursuant to the Framework Agreement (the "Agreement") dated 01st day of April 2019 and Framework Agreement Extension dated 1st April 2023 between the Client and the Consultant in relation to the Collaborative Delivery Framework. The entire agreement and the following Schedules are incorporated into this Contract by reference

Schedules 1 to 23 Inclusive of the Framework schedules are relied upon within this contract.

The following documents are incorporated into this contract by reference
000XXXX Lower Risk Debris Screen DA Scope - DP 2 DP3_Final.doc, V 2.0, 18/12/2023

Part One - Data provided by the Client

Statements given in all Contracts

1 General

The conditions of contract are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NECA Professional Service Contract June 2017.

Main Option Option E Option for resolving and avoiding disputes W2

Secondary Options

X2: Changes in the law
X9: Transfer of rights
X10: Information modelling
X11: Termination by the Client
X18: Limitation of liability
X20: Key Performance Indicators
Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996
Y(UK)3: The Contracts (Rights of Third Parties) Act 1999
Z: Additional conditions of contract

The service is Provision of Design Authority services. This role is to provide external assurance to the design and construction phases of the project, ensuring compliance to the (CIRIA - Culvert, screen and outfall manual, C7668, 2019) at set project stages. This will also provide a documented and auditable trail should a deviation from these standards be required (for example due to the space or environment available for the screen).

The Client is
Address for communications
Address for electronic communications
The Service Manager is
Address for communications
Address for electronic communications

The Scope is in 000XXXX Lower Risk Debris Screen DA Scope - DP 2 DP3_Final.doc, V 2.0, 18/12/2023

The language of the contract is English

The law of the contract is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

The period for reply is 2 weeks

The period for retention is 6 years following Completion or earlier termination

The following matters will be included in the Early Warning Register

Early warning meetings are to be held at intervals no longer than 2 weeks

2 The Consultant's main responsibilities

The key dates and conditions to be met key date
'none set' 'none set'
'none set' 'none set'
'none set' 'none set'
The Consultant prepares forecasts of the total Defined Cost plus Fee and expenses at intervals no longer than 4 weeks

3 Time

The starting date is 29 February 2024
The Client provides access to the following persons, places and things access date

The Consultant submits revised programmes at intervals no longer than 4 weeks
The completion date for the whole of the service is 31 March 2027

The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is 4 weeks

4 Quality management

The period after the Contract Date within which the Consultant is to submit a quality policy statement and quality plan is 4 weeks
The period between Completion of the whole of the service and the defects date is 26 weeks

5 Payment

The currency of the contract is the £ sterling
The assessment interval is Monthly
The forecast of the Prices is
The expenses stated by the Client are as stated in Schedule 9

The interest rate is 2.00% per annum (not less than 2) above the

Base	rate of the	Bank of England
The locations for which the Consultant provides a charge for the cost of support people and office overhead are		All UK Offices

6 Compensation events

These are additional compensation events

- Carbon Methodology - Adherence to and compliance with the Carbon Methodology dated 08 June 2023
- 'not used'
- 'not used'
- 'not used'
- 'not used'

8 Liabilities and insurance

These are additional Client's liabilities

- 'not used'
- 'not used'
- 'not used'

The minimum amount of cover and the periods for which the Consultant maintains insurance are

EVENT	MINIMUM AMOUNT OF COVER	PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE SERVICE OR TERMINATION
The Consultant's failure to use the skill and care normally used by professionals providing services similar to the service	£5,000,000 in respect of each claim, without limit to the number of claims	12 years after Completion
Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the Consultant) arising from or in connection with the Consultant Providing the Service	in respect of each claim, without limit to the number of claims	12 months after Completion
Death of or bodily injury to the employees of the Consultant arising out of and in the course of their employment in connection with the contract	Legal minimum in respect of each claim, without limit to the number of claims	For the period required by law
The Consultant's total liability to the Client for all matters arising under or in connection with the contract, other than the excluded matters is limited to		

Resolving and avoiding disputes

The tribunal is litigation in the courts

The Adjudicator is
Address for communications

'to be confirmed'
'to be confirmed'

Address for electronic communications

The Adjudicator nominating body is

[To be confirmed](#)

The Institution of Civil Engineers

2 Clauses

21 Disputes

Delete existing clause W2.1

22 Prevention

The text of clause 18 Prevention is deleted.
Delete the text of clause 60.1(12) and replace by:
The service is affected by any of the following events
• War, civil war, rebellion, revolution, insurrection, military or usurped power;
• Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants,
• Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
• Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
• Natural disaster,
• Fire and explosion,
• Impact by aircraft or other aerial device or thing dropped from them.

23 Disallowed Costs

Add the following in second bullet of 11.2 (18) add:
(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken).
Add the following additional bullets after 'and the cost of':
• Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans
• Reorganisation of the Consultant's project team
• Additional costs or delays incurred due to Consultant's failure to comply with published and known guidance or document formats
• Exceeding the Scope without prior instruction that leads to abortive cost
• Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors
• Production or preparation of self-promotional material
• Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)
• Any hours exceeding 8 per day unless with prior written agreement of the Service Manager
• Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager
• Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager
• Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to Consultant performance
• Costs associated with rectifications that are due to Consultant error or omission
• Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the Consultant's involvement
• Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
• Was incurred as a result of the Client issuing a Yellow or Red Card to prepare a Performance Improvement Plan
• Was incurred as a resulting of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

26 The Schedule of Cost Components

The Schedule of Cost Components are as detailed in the Framework Schedule 9.

223 Linked contracts

Issues requiring redesign or rework on this contract due to a fault or error of the Consultant will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract under this project or programme.

224 Requirement for Invoice

Add the following sentence to the end of clause 51.1:
The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the Service Manager's certificate.
Delete existing clause 51.2 and replace with:
51.2 Each certified payment is made by the later of
• one week after the paying Party receives an invoice from the other Party and
• three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.
If a certified payment is late, or if a payment is late because the Service Manager has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

225 Risks and insurance

The Consultant is required to submit insurances annually as Clause 24 of the Framework Agreement

2 29 Payment for Service Provided to Date

Delete existing clause 11.2 (21) and replace with:
"11.2 (21) The Price for Service Provided to Date is the total Defined Cost which the Service Manager forecasts will have been paid by the Consultant before the next assessment date plus the Fee. The Price for Service Provided to Date shall not exceed the forecast for the same as provided under clause 20.5"

2111 PSC - Fee adjustment for non compliance with Scope

Delete existing 11.2 (8) and replace with the following clause
The Fee is the amount calculated by applying the fee percentage to the amount of the Defined Cost excluding the cost of Subcontractors that have not complied with procurement by best value processes as defined in the Scope. 80% of the fee percentage is applied to the amount of the Defined Cost for Subcontractors that have not complied with procurement by best value processes as defined in the Scope.

Secondary Options

OPTION X2: Changes in the law

The *law of the project* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

OPTION X10: Information modelling

The period after the Contract Date within which the *Consultant* is to submit a first Information Execution Plan for acceptance is 2 weeks

OPTION X18: Limitation of liability

The *Consultant's* liability to the *Client* for indirect or consequential loss is limited to

██████████

The *Consultant's* liability to the *Client* for Defects that are not found until after the *defects date* is limited to

██████████

The *end of liability* date is 6 years after the Completion of the whole of the *service*

OPTION X20: Key Performance Indicators (not used with Option X12)

The *incentive schedule* for Key Performance Indicators is in Schedule 17

A report of performance against each Key Performance Indicator is provided at intervals of 3 months

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

The period for payment is 14 days after the date on which payment becomes due

Y(UK)3: The Contracts (Rights of Third Parties Act) 1999

term *beneficiary*

Not Used Not Used

Part Two - Data provided by the *Consultant*

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The *Consultant* is
Name

Address for communications

Address for electronic communications

The *fee percentage* is

Option E

10.00%

The *key persons* are

Name (1)
Job
Responsibilities
Qualifications
Experience

Name (2)
Job
Responsibilities
Qualifications
Experience

Name (3)
Job
Responsibilities
Qualifications
Experience

Name (4)
Job
Responsibilities
Qualifications
Experience

Name (5)
Job
Responsibilities
Qualifications
Experience

Name (6)
Job
Responsibilities
Qualifications
Experience

Name (7)

Job
Responsibilities
Qualifications
Experience

The following matters will be included in the Early Warning Register

3 Time

The programme identified in the Contract Data is

Resolving and avoiding disputes

The *Senior Representatives* of the *Consultant* are

Name (1) [redacted]
Address for communications [redacted]

Address for electronic communications [redacted]

Name (2) [redacted]
Address for communications [redacted]

Address for electronic communications [redacted]

X10: Information Modelling

The *information execution plan* identified in the Contract Data is

Contract Execution

Client execution

Signed Underhand by [PRINT NAME]

[Redacted Signature]

[Redacted Signature]

Signature

19/02/2024

Date

for and on behalf of the

[Redacted Name]

Programme Manager

Role

Consultant execution

Signed Underhand by [PRINT NAME]

[Redacted Signature]

[Redacted Signature]

09/02/2024

Date

for and on behalf of

[Redacted Name]

Associate Director

Role