



Ministry  
of Justice

**LVPS**

**Buyer Contract**

**Building Choices Early Adopters evaluation: Prison  
and RCT nested process evaluation**

TONIC Consultants Limited  
86-90 Paul Street  
London  
EC2A 4NE  
Company No 06141892  
DUNS 21903037

29<sup>th</sup> November 2024  
Ref: con\_24558

Dear Sir/Madam,

**Award of Buyer Contract under LVPS**  
**Building Choices Early Adopters evaluation: Prison and RCT nested process evaluation**

I am writing to inform you that **The Secretary of State for Justice** (the “**Buyer**”) proposes to make an award of a contract to you to provide the Deliverables on the terms set out in this letter (the “**Buyer Contract**”). The Buyer Contract will be created by the Supplier emailing the Buyer to accept the offer of the Buyer Contract in accordance with the instructions in this letter.

This award is made under the CCS Low Value Purchase System, which is a system established by the Crown Commercial Service under Part 4 of the Public Contracts Regulations 2015 for suppliers who are able to provide goods and/or services where the value of the contract is below the relevant thresholds for Part 2 of the Public Contracts Regulations 2015 to apply (“**LVPS**”).

*The Buyer Contract*

The Buyer Contract shall be as follows:

1. The Supplier shall supply the Deliverables on the terms set out in this letter and the contract conditions specified in Annex A to this letter (the “**Conditions**”);
2. The Charges for the Deliverables are specified in Part 2 of Annex F;
3. Any special terms set out in Annex B to this letter apply to the Buyer Contract (each a “**Special Term**”);
4. No other Supplier terms are part of the Buyer Contract. That includes any terms written in the email accepting the offer of the Buyer Contract or presented at the time of delivery;
5. If any of the Conditions conflict with any of the terms of this letter or with any Special Term, the terms of this letter or the relevant Special Term prevails. If any of the Special Terms conflict with any of the terms of this letter, the relevant Special Term prevails.

The Term shall begin on the date the contract is signed and the Expiry Date shall be **01/01/2026** unless it is otherwise extended or terminated in accordance with the terms and conditions of the Buyer Contract. If the Buyer and the Supplier enter into the Buyer Contract, the Buyer and the Supplier will, each at their own expense, do all acts and things necessary or desirable to give effect to the Buyer Contract.

Accepting the offer of the Buyer Contract

|  |            |
|--|------------|
| Signed for and on behalf of <b><i>The Secretary of State for Justice</i></b> |            |
| Name:  | [REDACTED] |
| Job Title:   | [REDACTED] |
| Signature:   | [REDACTED] |

We accept the terms set out in this letter and its **Annexes**, including the Conditions.

|   |            |
|---|------------|
| Signed for and on behalf of <b><i>TONIC Consultants Limited</i></b> |            |
| Name:   | [REDACTED] |
| Job Title:  | [REDACTED] |
| Signature:  | [REDACTED] |

Contract management information

In order to make the Buyer Contract operate properly, you will need the information set out in Annex C to this letter.

If you accept the Buyer Contract, please provide the following information once you have signed:

- a) Commercially Sensitive Information (not applicable or insert your Commercially Sensitive Information)
- b) Supplier Address for notices (if different to the Supplier's Registered Address)
- c) Supplier's account for payment of Charges

If you have any queries, please contact me at [REDACTED]

Yours faithfully,

[REDACTED]

## Annex A Conditions

### 1. DEFINITIONS USED IN THE BUYER CONTRACT

In this Buyer Contract, unless the context otherwise requires, the following words shall have the following meanings:

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| <b>"Buyer"</b>                              | means the person identified in the letterhead of the Letter  |
| <b>"Buyer Cause"</b>                        | any breach of the obligations of the Buyer or any other default, act, omission, negligence or statement of the Buyer, of its employees, servants, agents in connection with or in relation to the subject-matter of the Buyer Contract and in respect of which the Buyer is liable to the Supplier;  |
| <b>"Buyer Contract"</b>                     | has the meaning given to it in the Letter;   |
| <b>"CCS"</b>                                | the Minister for the Cabinet Office as represented by Crown Commercial Service, which is an executive agency and operates as a trading fund of the Cabinet Office, whose offices are located at 9th Floor, The Capital, Old Hall Street, Liverpool L3 9PP;   |
| <b>"Central Government Body"</b>            | means a body listed in one of the following sub-categories of the Central Government classification of the Public Sector Classification Guide, as published and amended from time to time by the Office for National Statistics: Government Department; Non-Departmental Public Body or Assembly Sponsored Public Body (advisory, executive, or tribunal); Non-Ministerial Department; or Executive Agency |
| <b>"Charges"</b>                            | means the charges specified in Part 2 of Annex F and which in aggregate shall in no circumstances exceed the thresholds set out in regulation 5 of the Regulations;  |
| <b>"Commercially Sensitive Information"</b> | the Confidential Information listed in the email of acceptance comprising of commercially sensitive information relating to the Supplier, its intellectual property rights or its business or which the Supplier has indicated to the Buyer that, if disclosed by the Buyer, would cause the Supplier significant commercial disadvantage or material financial loss;                                      |
| <b>"Confidential Information"</b>           | means all information, whether written or oral (however recorded), provided by the disclosing Party to the receiving Party and which (i) is known by the receiving Party to be confidential; (ii) is marked as or stated to be confidential; or (iii) ought reasonably to be considered by the receiving Party to be confidential;   |
| <b>"Controller"</b>                         | has the meaning given to it in the UK GDPR;  |
| <b>"Data Loss Event"</b>                    | any event that results, or may result, in unauthorised access to Personal Data held by the Processor under this Agreement, and/or actual or potential loss and/or destruction of Personal Data in breach of this Agreement, including any Personal Data Breach.  |
| <b>"Data Protection Impact Assessment"</b>  | an assessment by the Controller carried out in accordance with Section 3 of the UK GDPR and sections 64 and 65 of the DPA 2018.;   |
| <b>"Data Protection Legislation"</b>        | (i) all applicable UK law relating to the processing of personal data and privacy, including but not limited to the UK GDPR, and the Data Protection Act 2018 to the extent that it relates to processing of personal data and privacy; and (ii) (to the extent that it may be applicable) the EU GDPR). The UK GDPR and EU GDPR are defined in section 3 of the Data Protection Act 2018;                 |
| <b>"Data Protection Officer"</b>            | has the meaning given to it in the UK GDPR;  |
| <b>"Data Subject"</b>                       | has the meaning given to it in the UK GDPR;  |

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| <b>"Data Subject Access Request"</b> | a request made by, or on behalf of, a Data Subject in accordance with rights granted pursuant to the Data Protection Legislation to access their Personal Data;  |
| <b>"Deliver"</b>                     | means hand over the Deliverables to the Buyer at the address and on the date specified in Annex C, which shall include unloading and any other specific arrangements agreed in any Special Term.<br><b>"Delivered"</b> , <b>"Deliveries"</b> and <b>"Delivery"</b> shall be construed accordingly;   |
| <b>"Deliverables"</b>                | Offered Deliverables that are ordered under the Buyer Contract and described in Part 1 of Annex F;   |
| <b>"DOTAS"</b>                       | the Disclosure of Tax Avoidance Schemes rules which require a promoter of tax schemes to tell HMRC of any specified notifiable arrangements or proposals and to provide prescribed information on those arrangements or proposals within set time limits as contained in Part 7 of the Finance Act 2004 and in secondary legislation made under vires contained in Part 7 of the Finance Act 2004 and as extended to National Insurance Contributions;   |
| <b>"DPA 2018"</b>                    | Data Protection Act 2018   |
| <b>"Electronic Invoice"</b>          | an invoice which has been issued, transmitted and received in a structured electronic format which allows for its automatic and electronic processing and which complies with (a) the European standard and (b) any of the syntaxes published in Commission Implementing Decision (EU) 2017/1870   |
| <b>"Existing IPR"</b>                | any and all intellectual property rights that are owned by or licensed to either Party and which have been developed independently of the Buyer Contract (whether prior to the date of the Buyer Contract or otherwise)  |
| <b>"Expiry Date"</b>                 | means the date for expiry of the Buyer Contract as set out in the Letter;  |
| <b>"FOIA"</b>                        | means the Freedom of Information Act 2000 together with any guidance and/or codes of practice issued by the Information Commissioner or relevant Government department in relation to such legislation;  |
| <b>"Force Majeure Event"</b>         | any event, occurrence, circumstance, matter or cause affecting the performance by either the Buyer or the Supplier of its obligations arising from acts, events, omissions, happenings or non-happenings beyond the reasonable control of the affected Party which prevent or materially delay the affected Party from performing its obligations under the Buyer Contract and which are not attributable to any wilful act, neglect or failure to take reasonable preventative action by the affected Party, including:<br><br>a) riots, civil commotion, war or armed conflict;<br><br>b) acts of terrorism;<br><br>c) acts of a Central Government Body, local government or regulatory bodies;<br><br>d) fire, flood, storm or earthquake or other natural disaster,<br><br>but excluding any industrial dispute relating to the Supplier, the Supplier Staff, or any other failure in the Supplier or the subcontractor's supply chain; |
| <b>"General Anti-Abuse Rule"</b>     | a) the legislation in Part 5 of the Finance Act 2013; and<br><br>b) any future legislation introduced into parliament to counteract tax advantages arising from abusive arrangements to  |

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|                                     | avoid National Insurance contributions;   |
| <b>"Goods"</b>                      | means the goods to be supplied by the Supplier to the Buyer under the Buyer Contract;   |
| <b>"Good Industry Practice"</b>     | standards, practices, methods and procedures conforming to the Law and the exercise of the degree of skill and care, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced person or body engaged within the relevant industry or business sector;   |
| <b>"Government Data"</b>            | <p>a) the data, text, drawings, diagrams, images or sounds (together with any database made up of any of these) which are embodied in any electronic, magnetic, optical or tangible media, including any of the Buyer's confidential information, and which: i) are supplied to the Supplier by or on behalf of the Buyer; or ii) the Supplier is required to generate, process, store or transmit pursuant to the Buyer Contract; or</p> <p>b) any Personal Data for which the Buyer is the Data Controller;</p>   |
| <b>"Halifax Abuse Principle"</b>    | the principle explained in the CJEU Case C-255/02 Halifax and others;   |
| <b>"Independent Control"</b>        | where a Controller has provided Personal Data to another Party which is not a Processor or a Joint Controller because the recipient itself determines the purposes and means of Processing but does so separately from the Controller providing it with Personal Data and <b>"Independent Controller"</b> shall be construed accordingly;   |
| <b>"Information"</b>                | has the meaning given under section 84 of the FOIA;   |
| <b>"Information Commissioner"</b>   | the UK's independent authority which deals with ensuring information relating to rights in the public interest and data privacy for individuals is met, whilst promoting openness by public bodies;   |
| <b>"Insolvency Event"</b>           | <p>in respect of a person:</p> <p>a) if that person is insolvent;</p> <p>b) if an order is made or a resolution is passed for the winding up of the person (other than voluntarily for the purpose of solvent amalgamation or reconstruction);</p> <p>c) if an administrator or administrative receiver is appointed in respect of the whole or any part of the persons assets or business;</p> <p>d) if the person makes any composition with its creditors or takes or suffers any similar or analogous action to any of the actions detailed in this definition as a result of debt in any jurisdiction;</p> |
| <b>"IR35"</b>                       | <p>the off-payroll rules requiring individuals who work through their company pay the same tax and National Insurance contributions as an employee which can be found online at:</p> <p><a href="https://www.gov.uk/guidance/ir35-find-out-if-it-applies">https://www.gov.uk/guidance/ir35-find-out-if-it-applies</a>;</p>  |
| <b>"Joint Controller Agreement"</b> | the agreement (if any) entered into between the Buyer and the Supplier substantially in the form set out in Appendix 2 of Annex D;  |
| <b>"Joint Control"</b>              | where two or more Controllers jointly determine the purposes and means of Processing and <b>"Joint Controller"</b> shall be construed accordingly;  |
| <b>"Law"</b>                        | any law, subordinate legislation within the meaning of Section 21(1) of the Interpretation Act 1978, bye-law, regulation, order, regulatory policy, mandatory guidance or code of practice, judgment of a relevant court of law, or directives or requirements with which the relevant Party is bound to comply;  |
| <b>"Law Enforcement Processing"</b> | processing under Part 3 of the DPA 2018;  |

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| <b>"Letter"</b>                         | the letter from the Buyer to the Supplier offering to enter into the Buyer Contract;  |
| <b>"Losses"</b>                         | all losses, liabilities, damages, costs, expenses (including legal fees), disbursements, costs of investigation, litigation, settlement, judgment, interest and penalties whether arising in contract, tort (including negligence), breach of statutory duty, misrepresentation or otherwise;   |
| <b>"LVPS"</b>                           | has the meaning given to it in the Letter   |
| <b>"LVPS Contract"</b>                  | the contract between CCS and the Supplier for the admission of the Supplier to the LVPS pursuant to which the Supplier makes the Offered Deliverables available for sale under this Buyer Contract;   |
| <b>"Maximum Liability Amount"</b>       | the amount specified in Annex C of the Letter;  |
| <b>"Minimum Warranty Period"</b>        | the minimum period for which the Supplier warrants the Deliverables specified in Annex C of Letter;   |
| <b>"New IPR"</b>                        | all intellectual property rights in any materials created or developed by or on behalf of the Supplier pursuant to the Buyer Contract but shall not include the Supplier's Existing IPR;  |
| <b>"Occasion of Tax Non-Compliance"</b> | <p>where:</p> <p>a) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 which is found on or after 1 April 2013 to be incorrect as a result of:</p> <p>i) a Relevant Tax Authority successfully challenging the Supplier under the General Anti-Abuse Rule or the Halifax Abuse Principle or under any tax rules or legislation in any jurisdiction that have an effect equivalent or similar to the General Anti-Abuse Rule or the Halifax Abuse Principle;</p> <p>ii) the failure of an avoidance scheme which the Supplier was involved in, and which was, or should have been, notified to a Relevant Tax Authority under the DOTAS or any equivalent or similar regime in any jurisdiction; and/or</p> <p>b) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 which gives rise, on or after 1 April 2013, to a criminal conviction in any jurisdiction for tax related offences which is not spent at the first day of the Term or to a civil penalty for fraud or evasion;</p> |
| <b>"Offered Deliverables"</b>           | Goods and/or Services which meet the description of the service heading relating to the Deliverables and the location of the Deliverables as detailed in the LVPS;  |
| <b>"Party"</b>                          | the Supplier or the Buyer (as appropriate) and "Parties" shall mean both of them;   |
| <b>"Personal Data"</b>                  | has the meaning given to it in the UK GDPR;   |
| <b>"Personal Data Breach"</b>           | has the meaning given to it in the UK GDPR;   |
| <b>"Processing"</b>                     | has the meaning given to it in the UK GDPR;   |
| <b>"Processor"</b>                      | has the meaning given to it in the UK GDPR;   |
| <b>"Processor Personnel"</b>            | all directors, officers, employees, agents, consultants and suppliers of the Processor and/or of any Sub-processor engaged in the performance of its obligations under the Buyer Contract;  |
| <b>"Prohibited Acts"</b>                | <p>a) to directly or indirectly offer, promise or give any person working for or engaged by the Buyer or any other public body a financial or other advantage to:</p> <p>i) induce that person to perform improperly a relevant function or activity; or</p>  |

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|   | <p>ii) reward that person for improper performance of a relevant function or activity;</p> <p>b) to directly or indirectly request, agree to receive or accept any financial or other advantage as an inducement or a reward for improper performance of a relevant function or activity in connection with the Buyer Contract; or</p> <p>c) committing any offence:</p> <p>i) under the Bribery Act 2010 (or any legislation repealed or revoked by such Act); or</p> <p>ii) under legislation or common law concerning fraudulent acts; or</p> <p>iii) defrauding, attempting to defraud or conspiring to defraud the Buyer or other public body; or</p> <p>d) any activity, practice or conduct which would constitute one of the offences listed under (c) above if such activity, practice or conduct had been carried out in the UK;</p> |
| <b>"Protective Measures"</b>                  | appropriate technical and organisational measures which may include: pseudonymising and encrypting Personal Data, ensuring confidentiality, integrity, availability and resilience of systems and services, ensuring that availability of and access to Personal Data can be restored in a timely manner after an incident, and regularly assessing and evaluating the effectiveness of the such measures adopted by it;   |
| <b>"Purchase Order Number"</b>                | means the Buyer's unique number relating to the order for Deliverables to be supplied by the Supplier to the Buyer in accordance with the terms of the Buyer Contract;   |
| <b>"Recall"</b>                               | a request by the Supplier to return Goods to the Supplier or the manufacturer after the discovery of safety issues or defects (including defects in the right intellectual property rights) that might endanger health or hinder performance;  |
| <b>"Relevant Requirements"</b>                | applicable Law relating to bribery, corruption and fraud, including the Bribery Act 2010 and any guidance issued by the Secretary of State pursuant to section 9 of the Bribery Act 2010;  |
| <b>"Relevant Tax Authority"</b>               | HMRC, or, if applicable, the tax authority in the jurisdiction in which the Supplier is established;   |
| <b>"Regulations"</b>                          | the Public Contracts Regulations 2015 and/or the Public Contracts (Scotland) Regulations 2015 (as the context requires) as amended from time to time;  |
| <b>"Request for Information"</b>              | has the meaning set out in the FOIA or the Environmental Information Regulations 2004 as relevant (where the meaning set out for the term "request" shall apply);  |
| <b>"Services"</b>                             | means the services to be supplied by the Supplier to the Buyer under the Buyer Contract;   |
| <b>"Special Term"</b>                         | any special term specified in Annex B to the Letter;   |
| <b>"Staff Vetting Procedures"</b>             | means vetting procedures that accord with good industry practice or, where applicable, the Buyer's procedures for the vetting of personnel as provided to the Supplier from time to time;  |
| <b>"Suitability Assessment Questionnaire"</b> | The questionnaire completed by the Supplier as part of its application for inclusion in the LVPS, as set out at Annex E;   |



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| <b>"Subprocessor"</b>             | any third Party appointed to process Personal Data on behalf of the Processor related to the Buyer Contract;  |
| <b>"Supplier Staff"</b>           | all directors, officers, employees, agents, consultants and contractors of the Supplier and/or of any subcontractor engaged in the performance of the Supplier's obligations under the Buyer Contract;  |
| <b>"Supplier"</b>                 | means the person named as Supplier in the Letter;   |
| <b>"Term"</b>                     | means the period from the start date of the Buyer Contract identified in paragraph 6 of the Letter to the Expiry Date as such period may be extended in accordance with paragraph 6 of the Letter or terminated in accordance with the terms and conditions of the Buyer Contract;  |
| <b>"Transparency Information"</b> | the content of the Buyer Contract, including any changes to the Buyer Contract agreed from time to time, except for:<br><br>(i) any information which is exempt from disclosure in accordance with the provisions of the FOIA, which shall be determined by the Buyer; and<br><br>(ii) Commercially Sensitive Information;  |
| <b>"UK GDPR"</b>                  | the UK General Data Protection Regulation;  |
| <b>"VAT"</b>                      | means value added tax in accordance with the provisions of the Value Added Tax Act 1994;  |
| <b>"Workers"</b>                  | any one of the Supplier Staff which the Buyer, in its reasonable opinion, considers is an individual to which Procurement Policy Note 08/15 (Tax Arrangements of Public Appointees) ( <a href="https://www.gov.uk/government/publications/procurement-policy-note-0815-tax-arrangements-of-appointees">https://www.gov.uk/government/publications/procurement-policy-note-0815-tax-arrangements-of-appointees</a> ) applies in respect of the Deliverables; |
| <b>Working Day"</b>               | means a day (other than a Saturday or Sunday) on which banks are open for business in the City of London.   |
|                                   |   |

## 2. UNDERSTANDING THE BUYER CONTRACT

2.1. In the Buyer Contract, unless the context otherwise requires:

- a) references to numbered clauses are references to the relevant clauses in these terms and conditions;
- b) any obligation on any Party not to do or omit to do anything shall include an obligation not to allow that thing to be done or omitted to be done;
- c) the headings in this Buyer Contract are for information only and do not affect the interpretation of the Buyer Contract;
- d) references to "writing" include printing, display on a screen and electronic transmission and other modes of representing or reproducing words in a visible form;
- e) the singular includes the plural and vice versa;
- f) a reference to any law includes a reference to that law as amended, extended, consolidated or re-enacted from time to time and to any legislation or byelaw made under that law; and
- g) the word 'including', "for example" and similar words shall be understood as if they were immediately followed by the words "without limitation".

### **3. HOW THE BUYER CONTRACT WORKS**

- 3.1. Any Special Terms that the Buyer has included in Annex B supplement or change these Conditions.
- 3.2. This Buyer Contract is a separate contract from the LVPS Contract and survives the termination of the LVPS Contract.
- 3.3. The Supplier acknowledges it has all the information required to perform its obligations under the Buyer Contract before entering into the Buyer Contract. When information is provided by the Buyer no warranty of its accuracy is given to the Supplier.
- 3.4. The Supplier will not be excused from any obligation, or be entitled to additional Charges because it failed to either:
  - a) verify the accuracy of any information provided to the Supplier by or on behalf of the Buyer prior to the first day of the Term; or
  - b) properly perform its own adequate checks.
- 3.5. The Buyer will not be liable for errors, omissions or misrepresentation of any information.
- 3.6. The Supplier warrants and represents all statements made and documents submitted as part of the procurement of Deliverables are and remain true and accurate.
- 3.7. The Buyer and the Supplier acknowledge and agree that the Buyer awarded this Buyer Contract to the Supplier pursuant to Part 4 of the Regulations and that as such the value of this Buyer Contract, including any form of option, any renewal and any modifications, shall be less than the relevant threshold mentioned in Regulation 5 of the Regulations.

### **4. WHAT NEEDS TO BE DELIVERED**

- 4.1. All Deliverables;
  - a) the Supplier must provide Deliverables:
    - i) in accordance with the Offered Deliverables;
    - ii) to a professional standard;
    - iii) using reasonable skill and care;
    - iv) using Good Industry Practice;
    - v) using its own policies, processes and internal quality control measures as long as they do not conflict with the Buyer Contract;
    - vi) on the dates agreed; and
    - vii) that comply with all Law.
  - b) the Supplier must provide Deliverables with a warranty of at least the Minimum Warranty Period (or longer where the Supplier offers a longer warranty period to its Buyers) from Delivery against all obvious defects;
  - c) the Supplier must assign all third party warranties and indemnities covering the Deliverables for the Buyer's benefit.
- 4.2. Goods clauses
  - a) all Goods delivered must be new, or as new if recycled, unused and of recent origin;
  - b) all manufacturer warranties covering the Goods must be assignable to the Buyer on request and for free;
  - c) the Supplier transfers ownership of the Goods on completion of Delivery or payment for those Goods, whichever is earlier;

- d) risk in the Goods transfers to the Buyer on Delivery of the Goods, but remains with the Supplier if the Buyer notices damage following Delivery and lets the Supplier know within three Working Days of Delivery;
- e) the Supplier warrants that it has full and unrestricted ownership of the Goods at the time of transfer of ownership;
- f) the Supplier must Deliver the Goods on the date and to the specified location during the Buyer's working hours;
- g) the Supplier must provide sufficient packaging for the Goods to reach the point of Delivery safely and undamaged;
- h) all Deliveries must have a delivery note attached that specifies the order number, type and quantity of Goods;
- i) the Supplier must provide all tools, information and instructions the Buyer needs to make use of the Goods;
- j) the Supplier must indemnify the Buyer against the costs of any Recall of the Goods and will give notice of actual or anticipated action about the Recall of the Goods;
- k) the Buyer can cancel any order or part order of Goods which has not been Delivered. If the Buyer gives less than 14 days' notice then it will pay the Supplier's reasonable and proven costs already incurred on the cancelled order as long as the Supplier takes all reasonable steps to minimise these costs;
- l) the Supplier must at its own cost repair, replace, refund or substitute (at the Buyer's option and request) any Goods that the Buyer rejects because they do not conform with clause 4.2. If the Supplier does not do this it will pay the Buyer's costs including repair or re-supply by a third party.

#### 4.3. Services clauses

- a) late Delivery of the Services will be a default of the Buyer Contract;
- b) the Supplier must co-operate with the Buyer and third party suppliers on all aspects connected with the Delivery of the Services and ensure that Supplier Staff comply with any reasonable instructions;
- c) the Supplier must at its own risk and expense provide all equipment required to Deliver the Services;
- d) the Supplier must allocate sufficient resources and appropriate expertise to the Buyer Contract;
- e) the Supplier must take all reasonable care to ensure performance does not disrupt the Buyer's operations, employees or other contractors;
- f) the Supplier must ensure all Services, and anything used to Deliver the Services, are of good quality and free from defects;
- g) the Buyer is entitled to withhold payment for partially or undelivered Services, but doing so does not stop it from using its other rights under the Buyer Contract.

## 5. PRICING AND PAYMENTS

5.1. In exchange for the Deliverables, the Supplier shall be entitled to invoice the Buyer for the Charges. The Supplier shall raise invoices promptly and in any event within 90 days from when the Charges are due.

5.2. All Charges:

- a) exclude VAT, which is payable on provision of a valid VAT invoice;
- b) include all costs connected with the supply of Deliverables.

5.3. The Buyer must pay the Supplier the Charges within 30 days of receipt by the Buyer of a valid, undisputed invoice, in cleared funds to the Supplier's account stated in the email accepting the Buyer Contract.

5.4. A Supplier invoice is only valid if it:

- a) includes all appropriate references including the Purchase Order Number and other details reasonably requested by the Buyer;
- b) includes a detailed breakdown of Deliverables which have been Delivered (if any); and
- c) does not include any Management Charge (the Supplier must not charge the Buyer in any way for the Management Charge).

5.5. The Buyer must accept and process for payment an undisputed Electronic Invoice received from the Supplier.

5.6. The Buyer may retain or set-off payment of any amount owed to it by the Supplier if notice and reasons are provided.

5.7. The Supplier must ensure that all subcontractors are paid, in full, within 30 days of receipt of a valid, undisputed invoice. If this does not happen, the Buyer can publish the details of the late payment or non-payment.

5.8. The Supplier has no right of set-off, counterclaim, discount or abatement unless they are ordered to do so by a court.

## **6. THE BUYER'S OBLIGATIONS TO THE SUPPLIER**

6.1. If the Supplier fails to comply with the Buyer Contract as a result of a Buyer Cause:

- a) the Buyer cannot terminate the Buyer Contract under clause 11;
- b) the Supplier is entitled to reasonable and proven additional expenses and to relief from liability under this Buyer Contract;
- c) the Supplier is entitled to additional time needed to Deliver the Deliverables; and
- d) the Supplier cannot suspend the ongoing supply of Deliverables.

6.2. Clause 6.1 only applies if the Supplier:

- a) gives notice to the Buyer within 10 Working Days of becoming aware;
- b) demonstrates that the failure would not have occurred but for the Buyer Cause; and
- c) mitigated the impact of the Buyer Cause.

## **7. RECORD KEEPING AND REPORTING**

- 7.1. The Supplier must ensure that suitably qualified representatives attend progress meetings with the Buyer and provide progress reports when specified in Annex C to the Letter.
- 7.2. The Supplier must keep and maintain full and accurate records and accounts on everything to do with the Buyer Contract:
  - a) during the term of the Buyer Contract;
  - b) for seven years after the date of expiry or termination of the Buyer Contract; and
  - c) in accordance with UK GDPR
- 7.3. The Buyer or an auditor can audit the Supplier.
- 7.4. The Supplier must allow any auditor appointed by the Buyer access to their premises to verify all contract accounts and records of everything to do with the Buyer Contract and provide copies for the audit.
- 7.5. The Supplier must provide information to the auditor and reasonable co-operation at their request.
- 7.6. Where the audit of the Supplier is carried out by an auditor, the auditor shall be entitled to share any information obtained during the audit with the Buyer.
- 7.7. If the Supplier is not providing any of the Deliverables, or is unable to provide them, it must immediately:
  - a) tell the Buyer and give reasons;
  - b) propose corrective action; and
  - c) provide a deadline for completing the corrective action.
- 7.8. If the Buyer, acting reasonably, is concerned as to the financial stability of the Supplier such that it may impact on the continued performance of the Buyer Contract then the Buyer may:
  - a) require that the Supplier provide to the Buyer (for its approval) a plan setting out how the Supplier will ensure continued performance of the Buyer Contract and the Supplier will make changes to such plan as reasonably required by the Buyer and once it is agreed then the Supplier shall act in accordance with such plan and report to the Buyer on demand; and
  - b) if the Supplier fails to provide a plan or fails to agree any changes which are requested by the Buyer or fails to implement or provide updates on progress with the plan, terminate the Buyer Contract immediately for material breach (or on such date as the Buyer notifies).

## **8. SUPPLIER STAFF**

- 8.1. The Supplier Staff involved in the performance of the Buyer Contract must:

- a) be appropriately trained and qualified;
  - b) be vetted using Good Industry Practice and in accordance with the Staff Vetting Procedures; and
  - c) comply with all conduct requirements when on the Buyer's premises.
- 8.2. Where a Buyer decides one of the Supplier's Staff is not suitable to work on the Buyer Contract, the Supplier must replace them with a suitably qualified alternative.
- 8.3. If requested, the Supplier must replace any person whose acts or omissions have caused the Supplier to breach clause 26.
- 8.4. The Supplier must provide a list of Supplier Staff needing to access the Buyer's premises and say why access is required.
- 8.5. The Supplier indemnifies the Buyer against all claims brought by any person employed by the Supplier caused by an act or omission of the Supplier or any Supplier Staff.
- 8.6. The Supplier shall use those persons nominated in the email of acceptance (if any) to provide the Deliverables and shall not remove or replace any of them unless:
- a) requested to do so by the Buyer (not to be unreasonably withheld or delayed);
  - b) the person concerned resigns, retires or dies or is on maternity or long-term sick leave; or
  - c) the person's employment or contractual arrangement with the Supplier or any subcontractor is terminated for material breach of contract by the employee.

## **9. RIGHTS AND PROTECTION**

- 9.1. The Supplier warrants and represents that:
- a) it has full capacity and authority to enter into and to perform the Buyer Contract;
  - b) the Buyer Contract is executed by its authorised representative;
  - c) it is a legally valid and existing organisation incorporated in the place it was formed;
  - d) there are no known legal or regulatory actions or investigations before any court, administrative body or arbitration tribunal pending or threatened against it or its affiliates that might affect its ability to perform the Buyer Contract;
  - e) it maintains all necessary rights, authorisations, licences and consents to perform its obligations under the Buyer Contract;
  - f) it does not have any contractual obligations which are likely to have a material adverse effect on its ability to perform the Buyer Contract; and
  - g) it is not impacted by an Insolvency Event.
- 9.2. The warranties and representations in clauses 3.6 and 9.1 are repeated each time the Supplier provides Deliverables under the Buyer Contract.

9.3. The Supplier indemnifies the Buyer against each of the following:

- a) wilful misconduct of the Supplier, any of its subcontractor and/or Supplier Staff that impacts the Buyer Contract; and
- b) non-payment by the Supplier of any tax or National Insurance.

9.4. If the Supplier becomes aware of a representation or warranty that becomes untrue or misleading, it must immediately notify the Buyer.

## 10. INTELLECTUAL PROPERTY RIGHTS (IPRS)

10.1. Each Party keeps ownership of its own Existing IPRs. The Supplier gives the Buyer a non-exclusive, perpetual, royalty-free, irrevocable, transferable worldwide licence to use, change and sub-license the Supplier's Existing IPR to enable it and its sub-licensees to both:

- a) receive and use the Deliverables; and
- b) use the New IPR.

10.2. Any New IPR created under the Buyer Contract is owned by the Buyer. The Buyer gives the Supplier a licence to use any Existing IPRs for the purpose of fulfilling its obligations under the Buyer Contract and a perpetual, royalty-free, non-exclusive licence to use any New IPRs.

10.3. Where a Party acquires ownership of intellectual property rights incorrectly under this Buyer Contract it must do everything reasonably necessary to complete a transfer assigning them in writing to the other Party on request and at its own cost.

10.4. Neither Party has the right to use the other Party's intellectual property rights, including any use of the other Party's names, logos or trademarks, except as provided in clause 10 or otherwise agreed in writing.

10.5. If any claim is made against the Buyer for actual or alleged infringement of a third party's intellectual property arising out of, or in connection with, the supply or use of the Deliverables (an **"IPR Claim"**), then the Supplier indemnifies the Buyer against all losses, damages, costs or expenses (including professional fees and fines) incurred as a result of the IPR Claim.

10.6. If an IPR Claim is made or anticipated the Supplier must at its own expense and the Buyer's sole option, either:

- a) obtain for the Buyer the rights in clauses 10.1 and 10.2 without infringing any third party intellectual property rights; or
- b) replace or modify the relevant item with substitutes that do not infringe intellectual property rights without adversely affecting the functionality or performance of the Deliverables.

10.7. In spite of any other provisions of the Buyer Contract and for the avoidance of doubt, award of the Buyer Contract by the Buyer and placement of any contract task under it does not constitute an authorisation by the Crown under Sections 55 and 56 of the Patents Act 1977 or Section 12 of the Registered Designs Act 1949. The Supplier acknowledges that any authorisation by the Buyer under its statutory powers must be expressly provided in writing with reference to the acts authorised.

## 11. ENDING THE CONTRACT

### 11.1. Ending the Buyer Contract without a reason:

- a) the Buyer has the right to terminate the Buyer Contract at any time without reason or liability by giving the Supplier not less than 30 days' written notice.

### 11.2. When the Buyer can end the Buyer Contract:

- a) if any of the following events happen, the Buyer has the right to immediately terminate the Buyer Contract by issuing a termination notice in writing to the Supplier:
  - i. there is a Supplier Insolvency Event;
  - ii. if the Supplier is in breach of any obligation which is capable of remedy, and that breach is not remedied within 30 days of the Supplier receiving notice specifying the breach and requiring it to be remedied;
  - iii. there is any material breach of the Buyer Contract;
  - iv. there is a material default of any Joint Controller Agreement relating to the Buyer Contract;
  - v. there is a breach of clauses 3.6, 3.7, 10, 14, 15, 26 or 31;
  - vi. if the Supplier repeatedly breaches the Buyer Contract in a way to reasonably justify the opinion that its conduct is inconsistent with it having the intention or ability to give effect to the terms and conditions of the Buyer Contract;
  - vii. there's a change of control (within the meaning of section 450 of the Corporation Tax Act 2010) of the Supplier which is not pre-approved by the Buyer in writing;
  - viii. if the Buyer discovers that the Supplier was in one of the situations set out in Section 2 of the Suitability Assessment Questionnaire at the time the Buyer Contract was awarded or is in breach of clause 26.1 of this Contract; or
  - ix. the Supplier or its affiliates embarrass or bring the Buyer into disrepute or diminish the public trust in them.
- b) if any of the following non-fault based events happen, the Buyer has the right to immediately terminate the Buyer Contract:
  - i. there is a change to the Buyer Contract which cannot be agreed using clause 24 or resolved using clause 33; or
  - ii. if there is a declaration of ineffectiveness in respect of any change to the Buyer Contract.

### 11.3. When the Supplier can end the Buyer Contract:

- a) the Supplier can issue a reminder notice if the Buyer does not pay an undisputed invoice on time. The Supplier can terminate the Buyer Contract if the Buyer fails to pay an undisputed invoiced sum due and worth over 10% of the total Buyer Contract value or £1,000, whichever is the lower, within 30 days of the date of the reminder notice.

### 11.4. What happens if the Buyer Contract ends

- a) where a Party terminates the Buyer Contract under any of clauses 11.1, 11.2(a), 11.2(b), 11.3, 20.2, 23.4 or 31.3 all of the following apply:
  - i. the Buyer's payment obligations under the terminated Buyer Contract stop immediately;
  - ii. accumulated rights of the Parties are not affected;
  - iii. the Supplier must promptly repay to the Buyer any and all Charges the Buyer has paid in advance in respect of Deliverables not provided by the Supplier as at the termination date;
  - iv. the Supplier must promptly delete or return the Government Data except where required to retain copies by Law;



- v. the Supplier must promptly return any of the Buyer's property provided under the Buyer Contract;
  - vi. the Supplier must, at no cost to the Buyer, give all reasonable assistance to the Buyer and any incoming supplier and co-operate fully in the handover and re-procurement;
- b) in addition to the consequences of termination listed in clause 11.4(a), where the Buyer terminates the Buyer Contract under clause 11.2(a), the Supplier is responsible for the Buyer's reasonable costs of procuring replacement Deliverables for the rest of the term of the Buyer Contract;
  - c) in addition to the consequences of termination listed in clause 11.4(a), if either the Buyer terminates the Buyer Contract under clause 11.1 or the Supplier terminates the Buyer Contract under either of clauses 11.3 or 23.4;
    - i. the Buyer must promptly pay all outstanding Charges incurred to the Supplier; and
    - ii. the Buyer must pay the Supplier reasonable committed and unavoidable Losses as long as the Supplier provides a fully itemised and costed schedule with evidence - the maximum value of this payment is limited to the total sum payable to the Supplier if the Buyer Contract had not been terminated.
  - d) in addition to the consequences of termination listed in clause 11.4(a), where a Party terminates under clause 20.2 each Party must cover its own Losses.
  - e) the following clauses survive the termination of the Buyer Contract: 7, 8.5, 10, 12, 14, 15, 16, 17, 18, 33, 34 and any clauses which are expressly or by implication intended to continue.
- 11.5. Partially ending and suspending the Buyer Contract;
- a) where the Buyer has the right to terminate the Buyer Contract it can terminate or suspend (for any period), all or part of it. If the Buyer suspends the Buyer Contract it can provide the Deliverables itself or buy them from a third party;
  - b) the Buyer can only partially terminate or suspend the Buyer Contract if the remaining parts of it can still be used to effectively deliver the intended purpose;
  - c) the Parties must agree (in accordance with clause 24) any necessary variation required by clause 11.5, but the Supplier may not either:
    - i. reject the variation;
    - ii. increase the Charges, except where the right to partial termination is under clause 11.1;
  - d) the Buyer can still use other rights available, or subsequently available to it if it acts on its rights under clause 11.5.

## 12. HOW MUCH YOU CAN BE HELD RESPONSIBLE FOR

- 12.1. Each Party's total aggregate liability under or in connection with the Buyer Contract (whether in tort, contract or otherwise) is no more than the higher of the Maximum Liability Amount or 150% of the Charges paid or payable to the Supplier.
- 12.2. No Party is liable to the other for:
  - a) any indirect Losses; or

- b) loss of profits, turnover, savings, business opportunities or damage to goodwill (in each case whether direct or indirect).
- 12.3. In spite of clause 12.1, neither Party limits or excludes any of the following:
- a) its liability for death or personal injury caused by its negligence, or that of its employees, agents or subcontractors;
  - b) its liability for bribery or fraud or fraudulent misrepresentation by it or its employees;
  - c) any liability that cannot be excluded or limited by Law; or
  - d) its liability to the extent it arises as a result of a default by the Supplier, any fine or penalty incurred by the Buyer pursuant to Law and any costs incurred by the Buyer in defending any proceedings which result in such fine or penalty.
- 12.4. Each Party must use all reasonable endeavours to mitigate any Loss or damage which it suffers under or in connection with the Buyer Contract, including any indemnities.
- 12.5. If more than one Supplier is party to the Buyer Contract, each Supplier Party is jointly and severally liable for their obligations under the Buyer Contract.

### **13. OBEYING THE LAW**

- 13.1. The Supplier must, in connection with provision of the Deliverables, use reasonable endeavours to:
- a) comply and procure that its subcontractors comply with the Supplier Code of Conduct appearing at ([https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/646497/2017-09-13\\_Official\\_Sensitive\\_Supplier\\_Code\\_of\\_Conduct\\_September\\_2017.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/646497/2017-09-13_Official_Sensitive_Supplier_Code_of_Conduct_September_2017.pdf)) and such other corporate social responsibility requirements as the Buyer may notify to the Supplier from time to time;
  - b) support the Buyer in fulfilling its Public Sector Equality duty under S149 of the Equality Act 2010;
  - c) not use nor allow its subcontractors to use modern slavery, child labour or inhumane treatment;
  - d) meet the applicable Government Buying Standards applicable to Deliverables which can be found online at: <https://www.gov.uk/government/collections/sustainable-procurement-the-government-buying-standards-gbs>

### **14. DATA PROTECTION**

- 14.1. The Supplier must process Personal Data and ensure that Supplier Staff process Personal Data only in accordance with Annex D of the Letter.
- 14.2. The Supplier must not remove any ownership or security notices in or relating to the Government Data.
- 14.3. The Supplier must make accessible back-ups of all Government Data, stored in an agreed off-site location and send the Buyer copies every six months.
- 14.4. The Supplier must ensure that any Supplier system holding any Government Data, including back-up data, is a secure system that complies with the security requirements specified in writing by the Buyer.

14.5. If at any time the Supplier suspects or has reason to believe that the Government Data provided under the Buyer Contract is corrupted, lost or sufficiently degraded, then the Supplier must notify the Buyer and immediately suggest remedial action.

14.6. If the Government Data is corrupted, lost or sufficiently degraded so as to be unusable the Buyer may either or both:

- a) tell the Supplier to restore or get restored Government Data as soon as practical but no later than five Working Days from the date that the Buyer receives notice, or the Supplier finds out about the issue, whichever is earlier; and/or
- b) restore the Government Data itself or using a third party.

14.7. The Supplier must pay each Party's reasonable costs of complying with clause 14.6 unless the Buyer is at fault.

14.8. The Supplier:

- a) must provide the Buyer with all Government Data in an agreed open format within 10 Working Days of a written request;
- b) must have documented processes to guarantee prompt availability of Government Data if the Supplier stops trading;
- c) must securely destroy all Storage Media that has held Government Data at the end of life of that media using Good Industry Practice;
- d) securely erase all Government Data and any copies it holds when asked to do so by the Buyer unless required by Law to retain it; and
- e) indemnifies the Buyer against any and all Losses incurred if the Supplier breaches clause 14 and any Data Protection Legislation.

14.9. In the event that, following the end of the UK's transition period for exit from the EU, CCS determines in its absolute discretion that any of the Standard Contractual Clauses for data transfers issued by the European Commission from time to time applies to any Processing under or in connection with this Buyer Contract, at its own expense, each Party shall do everything necessary to give full effect to the relevant Standard Contractual Clauses as part of this Buyer Contract.

## **15. WHAT YOU MUST KEEP CONFIDENTIAL**

15.1. Each Party must:

- a) keep all Confidential Information it receives confidential and secure;
- b) except as expressly set out in clauses 15.2 to 15.4 or elsewhere in the Buyer Contract, not disclose, use or exploit the disclosing Party's Confidential Information without the disclosing Party's prior written consent; and
- c) immediately notify the disclosing Party if it suspects unauthorised access, copying, use or disclosure of the Confidential Information.

15.2. In spite of clause 15.1, a Party may disclose Confidential Information which it receives from the disclosing Party in any of the following instances:

- a) where disclosure is required by applicable Law or by a court with the relevant jurisdiction if the recipient Party notifies the disclosing Party of the full circumstances, the affected Confidential Information and extent of the disclosure;

- b) if the recipient Party already had the information without obligation of confidentiality before it was disclosed by the disclosing Party;
- c) if the information was given to it by a third party without obligation of confidentiality;
- d) if the information was in the public domain at the time of the disclosure;
- e) if the information was independently developed without access to the disclosing Party's Confidential Information;
- f) on a confidential basis, to its auditors;
- g) on a confidential basis, to its professional advisers on a need-to-know basis; or
- h) to the Serious Fraud Office where the recipient Party has reasonable grounds to believe that the disclosing Party is involved in activity that may be a criminal offence under the Bribery Act 2010.

15.3. In spite of clause 15.1, the Supplier may disclose Confidential Information on a confidential basis to Supplier Staff on a need-to-know basis to allow the Supplier to meet its obligations under the Buyer Contract. The Supplier Staff must enter into a direct confidentiality agreement with the Buyer at its request.

15.4. In spite of clause 15.1, the Buyer may disclose Confidential Information in any of the following cases:

- a) on a confidential basis to the employees, agents, consultants and contractors of the Buyer;
- b) on a confidential basis to any other Central Government Body, any successor body to a Central Government Body or any company that the Buyer transfers or proposes to transfer all or any part of its business to;
- c) if the Buyer (acting reasonably) considers disclosure necessary or appropriate to carry out its public functions;
- d) where requested by Parliament; or
- e) under clauses 5.8 and 16.

15.5. For the purposes of clauses 15.2 to 15.4 references to disclosure on a confidential basis means disclosure under a confidentiality agreement or arrangement including terms as strict as those required in clause 15.

15.6. Transparency Information is not Confidential Information.

15.7. The Supplier must not make any press announcement or publicise the Buyer Contract or any part of it in any way, without the prior written consent of the Buyer and must take all reasonable steps to ensure that Supplier Staff do not either.

## **16. WHEN YOU CAN SHARE INFORMATION**

16.1. The Supplier must tell the Buyer within 48 hours if it receives a Request For Information.

16.2. Within five (5) Working Days of the Buyer's request the Supplier must give the Buyer full co-operation and information needed so the Buyer can:

- a) publish the Transparency Information;

- b) comply with any Freedom of Information Act (FOIA) request; and/or
  - c) comply with any Environmental Information Regulations (EIR) request.
- 16.3. The Buyer may talk to the Supplier to help it decide whether to publish information under clause 16. However, the extent, content and format of the disclosure is the Buyer's decision, in its absolute discretion.

## **17. INVALID PARTS OF THE CONTRACT**

If any part of the Buyer Contract is prohibited by Law or judged by a court to be unlawful, void or unenforceable, it must be read as if it was removed from that Buyer Contract as much as required and rendered ineffective as far as possible without affecting the rest of the Buyer Contract, whether it is valid or enforceable.

## **18. NO OTHER TERMS APPLY**

The provisions incorporated into the Buyer Contract are the entire agreement between the Parties. The Buyer Contract replaces all previous statements, agreements and any course of dealings made between the Parties, whether written or oral, in relation to its subject matter. No other provisions apply.

## **19. OTHER PEOPLE'S RIGHTS IN A CONTRACT**

No third parties may use the Contracts (Rights of Third Parties) Act 1999 ("CRTPA") to enforce any term of the Buyer Contract unless stated (referring to CRTPA) in the Buyer Contract. This does not affect third party rights and remedies that exist independently from CRTPA.

## **20. CIRCUMSTANCES BEYOND YOUR CONTROL**

- 20.1. Any Party affected by a Force Majeure Event is excused from performing its obligations under the Buyer Contract while the inability to perform continues, if it both:
- a) provides written notice to the other Party; and
  - b) uses all reasonable measures practical to reduce the impact of the Force Majeure Event.
- 20.2. Either Party can partially or fully terminate the Buyer Contract if the provision of the Deliverables is materially affected by a Force Majeure Event which lasts for 90 days continuously.

## **21. RELATIONSHIPS CREATED BY THE CONTRACT**

The Buyer Contract does not create a partnership, joint venture or employment relationship. The Supplier must represent themselves accordingly and ensure others do so.

## **22. GIVING UP CONTRACT RIGHTS**

A partial or full waiver or relaxation of the terms of the Buyer Contract is only valid if it is stated to be a waiver in writing to the other Party.

## **23. TRANSFERRING RESPONSIBILITIES**

- 23.1. The Supplier cannot assign, novate or transfer the Buyer Contract or any part of the Buyer Contract without the Buyer's written consent.
- 23.2. The Buyer can assign, novate or transfer its Buyer Contract or any part of it to any Central Government Body, public or private sector body which performs the functions of the Buyer.

- 23.3. When the Buyer uses its rights under clause 23.2 the Supplier must enter into a novation agreement in the form that the Buyer specifies.
- 23.4. The Supplier can terminate the Buyer Contract if it is novated under clause 23.2 to a private sector body that is experiencing an Insolvency Event.
- 23.5. The Supplier remains responsible for all acts and omissions of the Supplier Staff as if they were its own.
- 23.6. If the Buyer asks the Supplier for details about subcontractors, the Supplier must provide details of subcontractors at all levels of the supply chain including:
- a) their name;
  - b) the scope of their appointment; and
  - c) the duration of their appointment.

## **24. CHANGING THE CONTRACT**

- 24.1. Either Party can request a variation to the Buyer Contract which is only effective if agreed in writing and signed by both Parties. The Buyer is not required to accept a variation request made by the Supplier.
- 24.2. For 101(5) of the Regulations, if the Court declares any variation to the Buyer Contract ineffective, the Parties agree that their mutual rights and obligations will be regulated by the terms of the Buyer Contract as they existed immediately prior to that variation and as if the Parties had never entered into that variation.

## **25. HOW TO COMMUNICATE ABOUT THE CONTRACT**

- 25.1. All notices under the Buyer Contract must be in writing and are considered effective on the Working Day of delivery as long as they are delivered before 5:00pm on a Working Day. Otherwise the notice is effective on the next Working Day. An email is effective at 9:00am on the first Working Day after sending unless an error message is received.
- 25.2. Notices to the Buyer or Supplier must be sent to their address in the Letter or in the email of acceptance, respectively.
- 25.3. This clause does not apply to the service of legal proceedings or any documents in any legal action, arbitration or dispute resolution.

## **26. PREVENTING FRAUD, BRIBERY AND CORRUPTION**

- 26.1. The Supplier must not during the Term:
- a) commit a Prohibited Act or any other criminal offence referred to in Section 2 of the Suitability Assessment Questionnaire; or
  - b) do or allow anything which would cause the Buyer, including any of its employees, consultants, contractors, subcontractors or agents to breach any of the Relevant Requirements or incur any liability under them.
- 26.2. The Supplier must during the Term:
- a) create, maintain and enforce adequate policies and procedures to ensure it complies with the Relevant Requirements to prevent a Prohibited Act and require its subcontractors to do the same;

- b) keep full records to show it has complied with its obligations under clause 26 and give copies to the Buyer on request; and
  - c) if required by the Buyer, within 20 Working Days of the first day of the Term, and then annually, certify in writing to the Buyer, that they have complied with clause 26, including compliance of Supplier Staff, and provide reasonable supporting evidence of this on request, including its policies and procedures.
- 26.3. The Supplier must immediately notify the Buyer if it becomes aware of any breach of clauses 26.1 or 26.2 or has any reason to think that it, or any of the Supplier Staff, has either:
- a) been investigated or prosecuted for an alleged Prohibited Act;
  - b) been debarred, suspended, proposed for suspension or debarment, or is otherwise ineligible to take part in procurement programmes or contracts because of a Prohibited Act by any government department or agency;
  - c) received a request or demand for any undue financial or other advantage of any kind related to the Buyer Contract; or
  - d) suspected that any person or Party directly or indirectly related to the Buyer Contract has committed or attempted to commit a Prohibited Act.
- 26.4. If the Supplier notifies the Buyer as required by clause 26.3, the Supplier must respond promptly to their further enquiries, co-operate with any investigation and allow the audit of any books, records and relevant documentation.
- 26.5. In any notice the Supplier gives under clause 26.3 it must specify the:
- a) Prohibited Act;
  - b) identity of the Party who it thinks has committed the Prohibited Act; and
  - c) action it has decided to take.

## **27. EQUALITY, DIVERSITY AND HUMAN RIGHTS**

- 27.1. The Supplier must follow all applicable equality law when they perform their obligations under the Buyer Contract, including:
- a) protections against discrimination on the grounds of race, sex, gender reassignment, religion or belief, disability, sexual orientation, pregnancy, maternity, age or otherwise; and
  - b) any other requirements and instructions which the Buyer reasonably imposes related to equality Law.
- 27.2. The Supplier must take all necessary steps, and inform the Buyer of the steps taken, to prevent anything that is considered to be unlawful discrimination by any court or tribunal, or the Equality and Human Rights Commission (or any successor organisation) when working on the Buyer Contract.

## **28. HEALTH AND SAFETY**

- 28.1. The Supplier must perform its obligations meeting the requirements of:
- a) all applicable Law regarding health and safety; and

- b) the Buyer's current health and safety policy while at the Buyer's premises, as provided to the Supplier.

28.2. The Supplier and the Buyer must as soon as possible notify the other of any health and safety incidents or material hazards they are aware of at the Buyer premises that relate to the performance of the Buyer Contract.

## **29. ENVIRONMENT**

29.1. When working at the Buyer's premises, the Supplier must perform its obligations under the Buyer's current Environmental Policy, which the Buyer must provide.

29.2. The Supplier must ensure that Supplier Staff are aware of the Buyer's Environmental Policy.

## **30. TAX**

30.1. The Supplier must not breach any tax or social security obligations and must enter into a binding agreement to pay any late contributions due, including where applicable, any interest or any fines. The Buyer cannot terminate the Buyer Contract where the Supplier has not paid a minor tax or social security contribution.

30.2. Where the Supplier or any Supplier Staff are liable to be taxed or to pay National Insurance contributions in the UK relating to payment received under the Buyer Contract, the Supplier must both:

- a) comply with the Income Tax (Earnings and Pensions) Act 2003 and all other statutes and regulations relating to income tax, the Social Security Contributions and Benefits Act 1992 (including IR35) and National Insurance contributions; and
- b) indemnify the Buyer against any Income Tax, National Insurance and social security contributions and any other liability, deduction, contribution, assessment or claim arising from or made during or after the Term in connection with the provision of the Deliverables by the Supplier or any of the Supplier Staff.

30.3. If any of the Supplier Staff are Workers who receive payment relating to the Deliverables, then the Supplier must ensure that its contract with the Worker contains the following requirements:

- a) the Buyer may, at any time during the Term, request that the Worker provides information which demonstrates they comply with clause 30.2, or why those requirements do not apply, the Buyer can specify the information the Worker must provide and the deadline for responding;
- b) the Worker's contract may be terminated at the Buyer's request if the Worker fails to provide the information requested by the Buyer within the time specified by the Buyer;
- c) the Worker's contract may be terminated at the Buyer's request if the Worker provides information which the Buyer considers is not good enough to demonstrate how it complies with clause 30.2 or confirms that the Worker is not complying with those requirements; and
- d) the Buyer may supply any information they receive from the Worker to HMRC for revenue collection and management.

## **31. CONFLICT OF INTEREST**

31.1. The Supplier must take action to ensure that neither the Supplier nor the Supplier Staff are placed in the position of an actual or potential conflict between the financial or personal



duties of the Supplier or the Supplier Staff and the duties owed to the Buyer under the Buyer Contract, in the reasonable opinion of the Buyer (a "Conflict of Interest").

31.2. The Supplier must promptly notify and provide details to the Buyer if a Conflict of Interest happens or is expected to happen.

31.3. The Buyer can terminate its Buyer Contract immediately by giving notice in writing to the Supplier or take any steps it thinks are necessary where there is or may be an actual or potential Conflict of Interest.

## **32. REPORTING A BREACH OF THE CONTRACT**

32.1. As soon as it is aware of it the Supplier and Supplier Staff must report to the Buyer any actual or suspected breach of Law, clause 13 or clauses 26 to 31.

32.2. The Supplier must not retaliate against any of the Supplier Staff who in good faith reports a breach listed in clause 32.1.

## **33. RESOLVING DISPUTES**

33.1. If there is a dispute between the Parties, their senior representatives who have authority to settle the dispute will, within 28 days of a written request from the other Party, meet in good faith to resolve the dispute.

33.2. If the dispute is not resolved at that meeting, the Parties can attempt to settle it by mediation using the Centre for Effective Dispute Resolution (CEDR) Model Mediation Procedure current at the time of the dispute. If the Parties cannot agree on a mediator, the mediator will be nominated by CEDR. If either Party does not wish to use, or continue to use mediation, or mediation does not resolve the dispute, the dispute must be resolved using clauses 33.3 to 33.5.

33.3. Unless the Buyer refers the dispute to arbitration using clause 33.4, the Parties irrevocably agree that the courts of England and Wales have the exclusive jurisdiction to:

- a) determine the dispute;
- b) grant interim remedies; and
- c) grant any other provisional or protective relief.

33.4. The Supplier agrees that the Buyer has the exclusive right to refer any dispute to be finally resolved by arbitration under the London Court of International Arbitration Rules current at the time of the dispute. There will be only one arbitrator. The seat or legal place of the arbitration will be London and the proceedings will be in English.

33.5. The Buyer has the right to refer a dispute to arbitration even if the Supplier has started or has attempted to start court proceedings under clause 33.3, unless the Buyer has agreed to the court proceedings or participated in them. Even if court proceedings have started, the Parties must do everything necessary to ensure that the court proceedings are stayed in favour of any arbitration proceedings if they are started under clause 33.4.

33.6. The Supplier cannot suspend the performance of the Buyer Contract during any dispute.

## **34. WHICH LAW APPLIES**

34.1. This Buyer Contract and any claim, dispute or difference (whether contractual or non-contractual) arising out of, or connected to it, are governed by English law.

**Annex B – NOT USED**

**Special Terms**

**[INSERT SPECIAL TERMS TO BE ADDED TO THE CONTRACT WHICH ARE NOT ALREADY CONTAINED IN THE CONTRACT. USE A NEW SUB-PARAGRAPH FOR EACH SPECIAL TERM].**

The following new definition of “[INSERT NEW DEFINED TERM]” is part of the Buyer Contract:

**“[INSERT DEFINED TERM]”: [INSERT DEFINITION]**

**[NOTE: USE IF ANY OF THE SPECIAL TERMS REQUIRE A NEW DEFINITION. DELETE THIS PARAGRAPH IF NOT APPROPRIATE].**

## Annex C

### Contract Management Information

#### PAYMENT

The Buyer (including its various departments, agencies and arm's-length bodies) uses Basware eMarketplace to transmit purchase orders and receive invoices electronically. Supplier guidance on registration, receiving purchase orders and submitting invoices using Basware has been provided at the end of this Annex C.

Where Basware cannot be used invoices should be sent, quoting a valid purchase order number (PO Number), to:

Ministry of Justice  
PO Box 743  
Newport  
Gwent  
NP10 8FZ

[APinvoices-MOJ-U@gov.sscl.com](mailto:APinvoices-MOJ-U@gov.sscl.com)

Within 10 Working Days of receipt of your countersigned copy of this letter, we will send you a unique PO Number. You must be in receipt of a valid PO Number before submitting an invoice.

To avoid delay in payment it is important that the invoice is compliant and that it includes a valid PO Number, PO Number item number (if applicable) and the details (name and telephone number) of your Buyer contact (i.e. Contract Manager). Non-compliant invoices will be sent back to you, which may lead to a delay in payment.

If you have a query regarding an outstanding payment please contact our Accounts Payable section by email to the [APinvoices-MOJ-U@gov.sscl.com](mailto:APinvoices-MOJ-U@gov.sscl.com)

#### DATE AND ADDRESS FOR DELIVERY:

**Date of delivery:** The duration of the contract period.

**Address of delivery:**

[REDACTED]

#### BUYER'S ADDRESS FOR NOTICES:

For the attention of the Contract Manager for con\_24558  
MoJ Commercial & Contract Management Directorate(CCMD)  
3.19, 10 South Colonnade, Canary Wharf London E14 4PU

Email: [REDACTED]

#### BUYER'S AUTHORISED REPRESENTATIVE:

Name: [REDACTED]

Role: [REDACTED]

Email address: [REDACTED]

Address: **[REDACTED]**

## **PROCEDURES AND POLICIES**

The Buyer may require the Supplier to ensure that any person employed in the delivery of the Deliverables has undertaken a Disclosure and Barring Service check.

The Supplier shall ensure that no person who discloses that he/she has a conviction that is relevant to the nature of the Buyer Contract, relevant to the work of the Buyer, or is of a type otherwise advised by the Buyer (each such conviction a "Relevant Conviction"), or is found by the Supplier to have a Relevant Conviction (whether as a result of a police check, a Disclosure and Barring Service check or otherwise) is employed or engaged in the provision of any part of the Deliverables.

## **MINIMUM WARRANTY PERIOD**

The duration of the contract period.

## **MAXIMUM LIABILITY AMOUNT**

The value of the contract.

## **BASWARE SUPPLIER GUIDANCE**

Guidance found at [https://justiceuk.sharepoint.com/:w:/s/P2PSupport/Eeu7M-3ovqBJkjniMOsz5KgBWfOI6YoaByijy0pLJK\\_dZA](https://justiceuk.sharepoint.com/:w:/s/P2PSupport/Eeu7M-3ovqBJkjniMOsz5KgBWfOI6YoaByijy0pLJK_dZA)

## **Annex D**

### **Processing Data**

#### **STATUS OF THE CONTROLLER**

1. The Parties acknowledge that for the purposes of the Data Protection Legislation, the nature of the activity carried out by each of them in relation to their respective obligations under the Buyer Contract dictates the status of each party under the Data Protection Act 2018. A Party may act as:
  - a) “Controller” in respect of the other Party who is “Processor”;
  - b) “Processor” in respect of the other Party who is “Controller”;
  - c) “Joint Controller” with the other Party;
  - d) “Independent Controller” of the Personal Data where the other Party is also “Controller”in respect of certain Personal Data under a Buyer Contract and shall specify in Appendix 1 (*Processing Personal Data*) which scenario they think shall apply in each situation.

#### **WHERE ONE PARTY IS CONTROLLER AND THE OTHER PARTY ITS PROCESSOR**

2. Where a Party is a Processor, the only Processing that it is authorised to do is listed in Appendix 1 (*Processing Personal Data*) by the Controller.
3. The Processor shall notify the Controller immediately if it considers that any of the Controller’s instructions infringe the Data Protection Legislation.
4. The Processor shall provide all reasonable assistance to the Controller in the preparation of any Data Protection Impact Assessment prior to commencing any Processing. Such assistance may, at the discretion of the Controller, include:
  - a) a systematic description of the envisaged Processing and the purpose of the Processing;
  - b) an assessment of the necessity and proportionality of the Processing in relation to the Deliverables;
  - c) an assessment of the risks to the rights and freedoms of Data Subjects; and
  - d) the measures envisaged to address the risks, including safeguards, security measures and mechanisms to ensure the protection of Personal Data
5. The Processor shall, in relation to any Personal Data Processed in connection with its obligations under the Buyer Contract:
  - a) Process that Personal Data only in accordance with Appendix 1 (*Processing Personal Data*), unless the Processor is required to do otherwise by Law. If it is so required the Processor shall notify the Controller before Processing the Personal Data unless prohibited by Law;
  - b) ensure that it has in place Protective Measures, including in the case of the Supplier the measures set out in clause 14.3 of the Conditions, which the Controller may reasonably reject (but failure to reject shall not amount to approval by the Controller of the adequacy of the Protective Measures) having taken account of the:
    - i. nature of the data to be protected;

- ii. harm that might result from a Personal Data Breach;
  - iii. state of technological development; and
  - iv. cost of implementing any measures;
- c) ensure that:
- i. the Processor Personnel do not Process Personal Data except in accordance with the Buyer Contract (and in particular Appendix 1 (Processing Personal Data));
  - ii. it takes all reasonable steps to ensure the reliability and integrity of any Processor Personnel who have access to the Personal Data and ensure that they:
    - (A) are aware of and comply with the Processor's duties under this Annex D, clauses 14 (Data protection), 15 (What you must keep confidential) and 16 (When you can share information) of the Conditions;
    - (B) are subject to appropriate confidentiality undertakings with the Processor or any Subprocessor;
    - (C) are informed of the confidential nature of the Personal Data and do not publish, disclose or divulge any of the Personal Data to any third party unless directed in writing to do so by the Controller or as otherwise permitted by the Buyer Contract; and
    - (D) have undergone adequate training in the use, care, protection and handling of Personal Data;
- d) not transfer Personal Data outside of the EU unless the prior written consent of the Controller has been obtained and the following conditions are fulfilled;
- i. the Controller or the Processor has provided appropriate safeguards in relation to the transfer (whether in accordance with UK GDPR Article 46 or LED Article 37) as determined by the Controller;
  - ii. the Data Subject has enforceable rights and effective legal remedies;
  - iii. the Processor complies with its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred (or, if it is not so bound, uses its best endeavours to assist the Controller in meeting its obligations); and
  - iv. the Processor complies with any reasonable instructions notified to it in advance by the Controller with respect to the Processing of the Personal Data; and
- e) at the written direction of the Controller, delete or return Personal Data (and any copies of it) to the Controller on termination of the Buyer Contract unless the Processor is required by Law to retain the Personal Data.
6. Subject to paragraph 7 of this Annex D, the Processor shall notify the Controller immediately if in relation to it Processing Personal Data under or in connection with the Buyer Contract it:
- a) receives a Data Subject Access Request (or purported Data Subject Access Request);
  - b) receives a request to rectify, block or erase any Personal Data;
  - c) receives any other request, complaint or communication relating to either Party's obligations under the Data Protection Legislation;
  - d) receives any communication from the Information Commissioner or any other regulatory authority in connection with Personal Data Processed under the Buyer Contract;
  - e) receives a request from any third Party for disclosure of Personal Data where compliance with such request is required or purported to be required by Law; or
  - f) becomes aware of a Personal Data Breach.
7. The Processor's obligation to notify under paragraph 6 of this Annex D shall include the provision of further information to the Controller, as details become available.

8. Taking into account the nature of the Processing, the Processor shall provide the Controller with assistance in relation to either Party's obligations under Data Protection Legislation and any complaint, communication or request made under paragraph 6 of this Annex D (and insofar as possible within the timescales reasonably required by the Controller) including by immediately providing:
  - a) the Controller with full details and copies of the complaint, communication or request;
  - b) such assistance as is reasonably requested by the Controller to enable it to comply with a Data Subject Access Request within the relevant timescales set out in the Data Protection Legislation;
  - c) the Controller, at its request, with any Personal Data it holds in relation to a Data Subject;
  - d) assistance as requested by the Controller following any Personal Data Breach; and/or
  - e) assistance as requested by the Controller with respect to any request from the Information Commissioner's Office, or any consultation by the Controller with the Information Commissioner's Office.
9. The Processor shall maintain complete and accurate records and information to demonstrate its compliance with this Annex D. This requirement does not apply where the Processor employs fewer than 250 staff, unless
  - a) the Controller determines that the Processing is not occasional;
  - b) the Controller determines the Processing includes special categories of data as referred to in Article 9(1) of the UK GDPR or Personal Data relating to criminal convictions and offences referred to in Article 10 of the UK GDPR; or
  - c) the Controller determines that the Processing is likely to result in a risk to the rights and freedoms of Data Subjects.
10. The Processor shall allow for audits of its Data Processing activity by the Controller or the Controller's designated auditor.
11. The Parties shall designate a Data Protection Officer if required by the Data Protection Legislation.
12. Before allowing any Subprocessor to Process any Personal Data related to the Buyer Contract, the Processor must:
  - a) notify the Controller in writing of the intended Subprocessor and Processing;
  - b) obtain the written consent of the Controller;
  - c) enter into a written agreement with the Subprocessor which give effect to the terms set out in this Annex D such that they apply to the Subprocessor; and
  - d) provide the Controller with such information regarding the Subprocessor as the Controller may reasonably require.
13. The Processor shall remain fully liable for all acts or omissions of any of its Subprocessors.
14. The Buyer may, at any time on not less than thirty (30) Working Days' notice, revise this Annex D by replacing it with any applicable controller to processor standard clauses or similar terms forming part of an applicable certification scheme (which shall apply when incorporated by attachment to the Buyer Contract).

15. The Parties agree to take account of any guidance issued by the Information Commissioner's Office. The Buyer may on not less than thirty (30) Working Days' notice to the Supplier amend the Buyer Contract to ensure that it complies with any guidance issued by the Information Commissioner's Office.

#### **WHERE THE PARTIES ARE JOINT CONTROLLERS OF PERSONAL DATA**

16. In the event that the Parties are Joint Controllers in respect of Personal Data under the Buyer Contract, the Parties shall implement paragraphs that are necessary to comply with UK GDPR Article 26 based on the terms set out in Appendix 2 to this Annex D.

#### **INDEPENDENT CONTROLLERS OF PERSONAL DATA**

17. With respect to Personal Data provided by one Party to another Party for which each Party acts as Controller but which is not under the Joint Control of the Parties, each Party undertakes to comply with the applicable Data Protection Legislation in respect of their Processing of such Personal Data as Controller.
18. Each Party shall Process the Personal Data in compliance with its obligations under the Data Protection Legislation and not do anything to cause the other Party to be in breach of it.
19. Where a Party has provided Personal Data to the other Party in accordance with paragraph 7 of this Annex D above, the recipient of the Personal Data will provide all such relevant documents and information relating to its data protection policies and procedures as the other Party may reasonably require.
20. The Parties shall be responsible for their own compliance with Articles 13 and 14 UK GDPR in respect of the Processing of Personal Data for the purposes of the Buyer Contract.
21. The Parties shall only provide Personal Data to each other:
- a) to the extent necessary to perform their respective obligations under the Buyer Contract;
  - b) in compliance with the Data Protection Legislation (including by ensuring all required data privacy information has been given to affected Data Subjects to meet the requirements of Articles 13 and 14 of the UK GDPR); and
  - c) where it has recorded it in Appendix 1 (Processing Personal Data).
22. Taking into account the state of the art, the costs of implementation and the nature, scope, context and purposes of Processing as well as the risk of varying likelihood and severity for the rights and freedoms of natural persons, each Party shall, with respect to its Processing of Personal Data as Independent Controller, implement and maintain appropriate technical and organisational measures to ensure a level of security appropriate to that risk, including, as appropriate, the measures referred to in Article 32(1)(a), (b), (c) and (d) of the UK GDPR, and the measures shall, at a minimum, comply with the requirements of the Data Protection Legislation, including Article 32 of the UK GDPR.
23. A Party Processing Personal Data for the purposes of the Buyer Contract shall maintain a record of its Processing activities in accordance with Article 30 UK GDPR and shall make the record available to the other Party upon reasonable request.
24. Where a Party receives a request by any Data Subject to exercise any of their rights under the Data Protection Legislation in relation to the Personal Data provided to it by the other Party pursuant to the Buyer Contract ("**Request Recipient**"):



- a) the other Party shall provide any information and/or assistance as reasonably requested by the Request Recipient to help it respond to the request or correspondence, at the cost of the Request Recipient; or
  - b) where the request or correspondence is directed to the other Party and/or relates to that other Party's Processing of the Personal Data, the Request Recipient will:
    - i. promptly, and in any event within five (5) Working Days of receipt of the request or correspondence, inform the other Party that it has received the same and shall forward such request or correspondence to the other Party; and
    - ii. provide any information and/or assistance as reasonably requested by the other Party to help it respond to the request or correspondence in the timeframes specified by Data Protection Legislation.
25. Each Party shall promptly notify the other Party upon it becoming aware of any Personal Data Breach relating to Personal Data provided by the other Party pursuant to the Buyer Contract and shall:
- a) do all such things as reasonably necessary to assist the other Party in mitigating the effects of the Personal Data Breach;
  - b) implement any measures necessary to restore the security of any compromised Personal Data;
  - c) work with the other Party to make any required notifications to the Information Commissioner's Office and affected Data Subjects in accordance with the Data Protection Legislation (including the timeframes set out therein); and
  - d) not do anything which may damage the reputation of the other Party or that Party's relationship with the relevant Data Subjects, save as required by Law.
26. Personal Data provided by one Party to the other Party may be used exclusively to exercise rights and obligations under the Buyer Contract as specified in Appendix 1 (Processing Personal Data).
27. Personal Data shall not be retained or processed for longer than is necessary to perform each Party's respective obligations under the Buyer Contract which is specified in Appendix 1 (Processing Personal Data).
28. Notwithstanding the general application of paragraphs 2 to 15 of this Annex D to Personal Data, where the Supplier is required to exercise its regulatory and/or legal obligations in respect of Personal Data, it shall act as an Independent Controller of Personal Data in accordance with paragraphs 17 to 27 of this Annex D.

## Appendix 1 - Processing Personal Data and Data Subjects

This Appendix shall be completed by the Controller, who may take account of the view of the Processors, however the final decision as to the content of this Appendix shall be with the Buyer at its absolute discretion.

- (a) The contact details of the Buyer's Data Protection Officer are: **[REDACTED]**
- (b) The contact details of the Supplier's Data Protection Officer are: **[REDACTED]**
- (c) The Processor shall comply with any further written instructions with respect to processing by the Controller.
- (d) Any such further instructions shall be incorporated into this Appendix.

| Description   | Details   |
|---|---|
| Identity of Controller for each Category of Personal Data | <p><b>The Buyer is Controller and the Supplier is Processor</b></p> <p>The Parties acknowledge that in accordance with paragraph 2 to paragraph 15 of Annex D and for the purposes of the Data Protection Legislation, the Buyer is the Controller and the Supplier is the Processor of the following Personal Data:</p> <ul style="list-style-type: none"><li>Qualitative data will be collected by the supplier from programme participants and staff in sites where the Next Generation of Accredited Programmes nested process evaluation is taking place. Suppliers will have sight of anonymised personal data such as offence type (includes basic personal data, criminal data, special category data and pseudonymous data). The qualitative data might also contain sensitive and personal data.</li></ul>  |
| Duration of the Processing                                | Processing of data will be for the duration of the contract (expected to be 12 months) plus 4 months for contingency.   |
| Nature and purposes of the Processing                     | <i>Data storage and handling will be in line with MOJ Data Protection Impact Assessment (DPIA) procedures.</i>  |
| Type of Personal Data                                     | Names and contact details (e.g. phone numbers) will be required for staff and probation-based offenders for researchers to be able to contact them for the evaluation. Contact for prison-based offenders will through prison-based staff. Data being processed will include qualitative data from offender and staff interviews/focus groups on feedback of their experience of taking part in the Next Generation of Accredited Programmes and the randomised controlled trial. This might also include information about physical and mental health issues. This will also include sight of anonymised personal data such as offence type. Data will be anonymised. Audio recordings of interviews/focus groups will be used to produce transcriptions for analysis. This might include the use of media manager which involves a camera – however the camera will be pointed away from programme participants where possible. Research participants will be asked for their consent |

|   |  |
|---|--|
|   | to take part in the research and made aware of audio recordings and any other recordings used for research purposes.   |
| Categories of Data Subject  | Prison and probation based staff and offenders at sites participating in the Next Generation of Accredited Programmes evaluation.  |
| Plan for return and destruction of the data once the Processing is complete<br>UNLESS requirement under Union or Member State law to preserve that type of data | Data from the qualitative data collection will be retained for 12 months after the final report has been published and will then be destroyed in accordance with MOJ DPIA standards and practise of safe deletion of data. |

**Annex E**  
**Suitability Assessment Questionnaire**  
**[REDACTED]**

## Annex F

### Part 1 – Deliverables

#### Specification

|                                    |   |
|------------------------------------|---|
| <b>Title of Request:</b>           | <b>Building Choices Early Adopters evaluation: Prison and RCT nested process evaluation</b> |
| <b>Estimated Total Value:</b>      | £115,000 (excluding VAT)  |
| <b>Duration of Engagement:</b>     | 14 months   |
| <b>Required Commencement Date:</b> | November 2024   |

#### 1. Introduction

HM Prison and Probation Services (HMPPS) are reforming the existing suite of Accredited Programmes for offending behaviour programmes (AcPs), to create a new programme known as 'Building Choices'.

Accredited offending behaviour programmes aim to reduce re-offending by changing the thinking, attitudes and behaviours which lead to offending. They are delivered across prisons and probation and are a key part of the Department's rehabilitation offer.

Building Choices is designed to address multiple risk factors for re-offending, with a move away from an offence specific programme focus to a strength based, person centred approach, enabling targeted personalised support to address complex offending histories. The new programme seeks to reform the existing suite of Accredited Programmes (AcPs), some of which are offence specific, to deliver a more streamlined and coherent service across prison and probation, lightening the operational load longer term.

The roll out of Building Choices has been staggered into two distinct phases: 'Design Test' which was an initial small-scale 'proof of concept' for the programme (completed), and then an 'Early Adopter' / national roll out phase which will involve the programme rolling out across prison and probation regions.

Recommendations for accreditation and use across prisons and probation is provided by the Correctional Services Accreditation and Advice Panel (CSAAP). CSAAP is a group of independent and international experts who assess whether a programme is designed based on the best available evidence, delivered correctly, evaluated and continuously updated. Evidence shows that programmes that are not designed, delivered or targeted can be a waste of resource and at worst increase re-offending.

Further information about the current suite of programmes can be found here: Offending behaviour programmes and interventions - GOV.UK ([www.gov.uk](http://www.gov.uk))

#### 2. Background to the Requirement

Provide background information to the project to help the contractor understand how it fits in to the business objectives of the procurement. Consider including issues such as:

- any history relevant to the procurement
- recent developments
- a description of the business activities in the area relating to the procurement
- business functions & processes
- organisation & staffing

- roles & responsibilities

This project is part of a wider multi-phased, multi-method evaluation strategy that aims to build evidence on implementation, delivery and impact of Building Choices as it progresses through its implementation cycle (from 'proof of concept' Design Test (completed) to Early Adopter/national roll out). These evaluations will help to further develop and iterate the programme design and delivery; as well as providing evidence on a range of outcomes for Building Choices.

During the Building Choices Early Adopter/ national roll out stage there will be a staggered roll out of the programme across prisons and probation until this is complete at the end of 2025.

The overall evaluation involves:

- Impact evaluation: Stage One prison-based RCT providing robust data on the short term impact of the programme against treatment targets for the moderate programme strand (psychometric clinical change data).
- RCT nested process evaluation: a nested process evaluation alongside the RCT prison sites,
- Process evaluation in a selection of probation sites and prison based themed research projects for offender-cohorts of interest e.g: women's prison, high intensity and LDC (learning difficulties and challenges) pathways.

**This requirement will involve conducting the nested process evaluation which will sit alongside the RCT prison sites.**

### **Background to the programme**

Building Choices is underpinned by a number of evidenced based theoretical frameworks such as the Risks-Needs-Responsivity<sup>1</sup>, Cognitive Behaviour Therapeutic principles and the Biopsychosocial Model<sup>2</sup>.

Building Choices comprises of the following components (see Diagram 1):

- What Works For Me Assessment (for all offenders starting the programme);
- Getting Ready (high intensity pathway, for higher risk offenders only) (15 sessions);
- Building Choices (21 sessions, for those identified as medium risk and above);
- Post Programme Review (1 session); (for those who have attended the programme); and
- Moving Forward

Eligibility for the programme is based on an assessment of risk and need, all participants must be medium or above risk and meet a certain need threshold based on internal actuarial risk assessment tools. A pre-programme assessment (What Works for Me) is then undertaken to assess individual responsibility needs and suitability for the programme. WWFM provides initial and ongoing information about what works well for programme participants and what they might need support with, it enables facilitators to ensure delivery is responsive, motivational, and engaging for everyone.

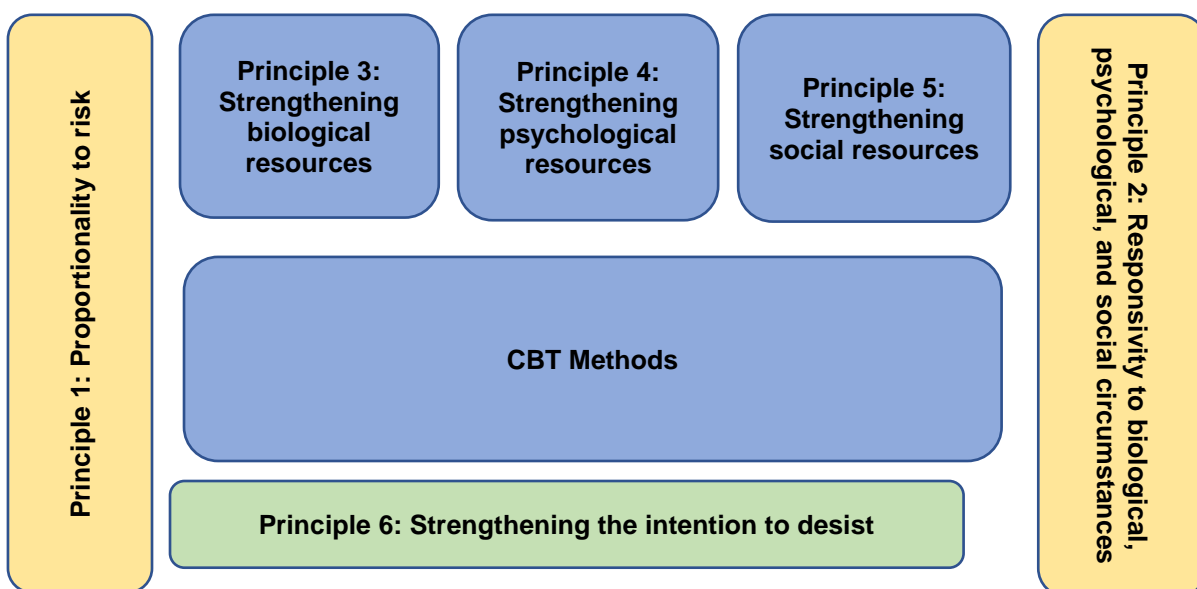
<sup>1</sup> Bonta, J. and Andrews, D. A. (2007). *Risk-Need-Responsivity Model for Offender Assessment and Rehabilitation (User Report 2007–06)*. Ottawa, Ontario: Public Safety Canada. The RNR model provides an evidence-based framework for targeting, assessment, design and implementation of the Next-Gen AcP (Andrews & Bonta, 2010; 2017). Risk is about who to target, based upon an individual's likelihood of reoffending, need is about what programmes should target, and responsivity is about maximizing an individual's ability to learn.

<sup>2</sup> Bloom, B., Owen, B., & Covington, S., Raeder, M (2003) Gender Responsive Strategies: Research, practice and Guiding principles for Women offenders. *National Institute of Corrections*, 2003. A Biopsychosocial model acknowledges the interacting biological, learning processes, and social and cultural conditions which give rise to criminogenic needs, and informs how best to work with people to support change.

Getting Ready and Building Choices involve one-to-one and group work sessions delivered by a trained facilitator. Moving Forward is a generalisation offer which includes resources to support and consolidate programme graduate's learning and will be delivered by Prison Offender Managers and Probation Practitioners.

The NG-AcP interventions framework consists of a set of principles to help inform and organise the NG-AcP rehabilitative process. This theoretical framework is an iteration of the Risk-Need- Responsivity (RNR) model<sup>3</sup> into a Biopsychosocial Model<sup>4</sup>, and desistance informed approaches<sup>5</sup>. The first two principles reflect the risk and responsivity principles of RNR. Principle Three - Five build on the need principle of RNR and set out how criminogenic need is addressed and which Cognitive Behavioural Therapy (CBT) methods to draw on to support change. To align with RNR the Next-Gen AcP will target those broad dynamic risk domains, or criminogenic needs, associated with reoffending. The programmes target criminogenic need through a strengths-based approach called the 'Success Wheel'<sup>6</sup>. This is translated into programme modules and exercises focused on self-regulation, managing life's problems and relationships. The final principle focuses on strengthening intention to move away from offending.

**Diagram 2: Principles which underpin the NG AcP**



<sup>3</sup> See footnote 4 Bonta, J. and Andrews, D. A. (2007).

<sup>4</sup> See footnote 5 Bloom, B., Owen, B., & Covington, S., Raeder, M (2003).

<sup>5</sup> Maruna, S. (2001). Making good: How ex-offenders reform and reclaim their lives. Washington: American Psychological Association. Desistance describes the process of moving away from crime and research has identified a number of factors which appear to aid this process (McNeil et al, 2012; Weaver, 2016; Bowen, 2021) such as recognising individuality and strengths, building hope, self-efficacy and skills, future identities, realistic goals and plans, agency (self-determination), and the importance of relationships with others and links to other services.

<sup>6</sup> The Success Wheel represents the risk domains using strengths based language. Inclusion of the success wheel from the outset provides a bridge between assessment and programme sessions, as it is used to enable participants to consider how those domains apply specifically to themselves and what skills will personally be important to develop.

### 3. Requirement

This requirement covers **the qualitative elements of process evaluation in prison sites focusing on those hosting the RCT.**

1. **Providing context and an explanatory evidence narrative to Randomised Controlled Trial (RCT) results.** The nested process evaluation will provide findings to help explain the RCT results. For example, if the process evaluation findings indicated poor working alliance, challenging group dynamics, lack of motivation and engagement, this could provide context to any non statistically significant RCT results as well as helping to understand what needs to improve for Building Choices.
2. **Evaluating Building Choices delivery, focusing on roll out and scalability in different prison sites and drawing out good practice lessons.** This project will focus on the implementation of Building Choices and provide findings to support the development of the programme during the next national roll out stage.
3. **Providing RCT implementation quality assurance.** Provide qualitative staff perception information on whether the RCT is being delivered as designed and can deliver robust results. (Further information on fidelity to the RCT will be provided through quantitative data on compliance with randomisation which will be collected and analysed by MoJ).

Where appropriate a realist informed process evaluation (i.e. guided by realist informed approaches) will be adopted. A Realist approach aims to understand “what works for whom, in what settings or contexts” (Pawson & Tilley 1997), for example the different types of cohorts (offence, gender, Learning Difficulties and Challenges (LDC) and the different types of settings (prison sites) to be considered. The focus for iterating the Theory of Change model will be on the mechanisms of change and underlying assumptions (see section A for a copy of the Theory of Change Model).

The Stage One RCT will run from January 2025 to Autumn/ Winter 2026/27. It will involve a wait-list design, with the wait-list control group receiving the programme six months after the intervention group. The group included will be on the moderate strand of the programme, which tends to take around 3 months to complete. The nested process evaluation will involve qualitative research in 6 of the RCT sites during the first 6 months of roll out (January - July 2025). The overarching nested process evaluation will involve quantitative and qualitative elements. The quantitative analysis and data collection will be conducted by MoJ.

Overarching process evaluation elements will be conducted by MoJ. This includes a Working Alliance Inventory which will be collected from those programme participants who agree to complete it who are taking part in the RCT. Quality assurance data from HMPPS will provide information about programme fidelity and Management Information data will provide demographic information about programme participants. Data from the HMPPS Success Wheel Measure (SWM) and Horizon Motivation Scale (HMS) (outcomes tool which are designed into programme delivery) will also provide data on distance travelled and motivation. A staff survey is also being scoped to capture views from wider staff cohorts.

**The requirement for this project involves the qualitative elements of the nested process evaluation as set out below.**

- Qualitative interviews will cover the first cycle of programme delivery in 6 of the RCT prison sites. This will include around 20-30 staff interviews and 40-50 interviews with programme



- participants and include a sample from people convicted of sexual offences (PcOsO), Inter-Personal Violence/ Domestic Abuse cohorts as well as those from the general offending cohort. Interviews could be conducted halfway through Building Choices and then after its completion.

### Interviews

Interviews with staff via Teams (where possible and practical) are proposed at potentially 2 time points to capture experiences. Interviews with programme participants will be face to face in prisons or may be via Teams, depending on the advice of prison staff.

### Process Evaluation Sites

Sites will be pre selected by HMPPS. Currently these sites include the following prison sites: **Frankland (high secure), Swinfen Hall, Stafford, Whatton, Berwyn and Wymott**, however, this may be subject to change.

## 4.Aims

This requirement covers a specific nested process evaluation project for Building Choices (a new HMPPS accredited offending behaviour programme), which will run alongside a related prison-based Randomised Controlled Trial (RCT) in 6 prison sites. This process evaluation will start in January 2025 and has 3 key aims as set out below.

1. **Providing context and an explanatory evidence narrative to Randomised Controlled Trial (RCT) results.** The nested process evaluation will provide findings to help explain the RCT results. For example, if the process evaluation findings indicated poor working alliance, challenging group dynamics, lack of motivation and engagement, this could provide context to any non statistically significant RCT results as well helping to understand what needs to improve for Building Choices
2. **Evaluating Building Choices delivery, focusing on roll out and scalability in different prison sites and drawing out good practice lessons.** This project will focus on the implementation of Building Choices and provide findings to support the development of the programme during the next national roll out stage.
3. **Providing RCT implementation quality assurance.** Provide qualitative staff perception information on whether the RCT is being delivered as designed to be collected as part of the interviews with staff.

The process evaluation will be delivered by a combination of MoJ internal and externally commissioned resources. The qualitative elements will be commissioned to an external provider, with the quantitative elements conducted internally by MoJ.

### Overarching research questions for the Building Choices nested RCT process evaluation

**qualitative research:** To provide implementation learning, specifically:

1. Is the programme being implemented and delivered as planned/intended ?
2. Is the programme reaching the intended target group?
3. Is the programme producing the desired outcomes (based on perceptions) ?
4. What can be improved? What is the implementation learning to inform national roll out.

5. Is the RCT being delivered with fidelity? What are the barriers/ challenges to implementation of the randomisation/ wait list design etc.

### 5.Objectives (Measurable Outputs)

The outputs for this requirement are:

- A project plan delivered to set out specific plans for the nested process evaluation and how the organisation intends to answer the research questions set out in section 4. This will include details on methodology, data analysis, ethics and data protection.
- Fieldwork materials first drafts provided (these will not have to be drafted from scratch as the contract provider will be provided with draft versions, joint working with MoJ to finalise i.e. signed off by MoJ senior staff).
- Regular meetings (via Teams) at least every month but these might also be needed weekly during the initial set up phase and during other phases of the evaluation. This will include at least two validation checking sessions of the analysis.
- Provide regular updates on progress and information to key stakeholders, this will be via email where possible.
- Feedback will be required after data is collected at the assessment stage (Spring) and before the report is drafted. This will be in the form of PowerPoint presentations to MoJ and HMPPS via Teams.
- 20-30 staff interviews and 40-50 interviews with programme participants conducted in the specified prison sites which are hosting the Building Choices RCT. (The upper number of interviews should be achieved). The interviews should cover the range of cohorts of interest as set out in the specification and include relevant staff members, (e.g. HMPPS staff, staff involved in the RCT).
- Signed consent forms from all research participants taking part in the research. Research contractors will need to take research consent from staff. Whilst HMPPS staff will be asked to take research consent for programme participants, the research contractors will need to ensure they have sight of or have been informed of their consent to take part in the research interviews.
- Database of analysis undertaken at site level, including final themes.
- Working collaboratively with MoJ Analysis colleagues and HMPPS to triangulate data and pull together a synthesis of findings, including attending up to 2 triangulation workshops in London.
- Final report produced to a publishable standard. Outputs shall be accurately drafted in line with MoJ style guide and proofread before submission to MoJ. Quality of drafting and final reports is of vital importance and where outputs do not meet expected standards, redrafting will be requested by the MoJ, and associated milestone payments may be withheld until products are brought up to acceptable quality. The MoJ social research publication template (will be provided) and to the quality standards as set out and signed-off by MoJ and MoJ Government Social Research Head of Profession.

- Final findings to be presented to MoJ and HMPPS in the form of a PowerPoint presentation via Teams.

## 6. In Scope, Out of Scope

### In scope:

- Qualitative elements of the prisons process evaluation

### Out of scope:

- Quantitative elements of the evaluation

## 7. Location of Assignment

- Some of the requirement can be delivered remotely e.g. regular meetings with MoJ, staff interviews.
- Elements which will require in-person attendance at a particular location i.e. some of the prison interviews (current locations are Swinfen Hall, Stafford, Frankland, Whymott, Whatton, Berwyn), there may be some in-person data analysis/ findings triangulation workshops with MoJ/ HMPPS which would likely take place in London.

## 8. Regulatory requirements

Quality Assurance

**Bidders must outline how they will:**

Commit to undertaking quality assurance of all deliverables and for the contractor to guarantee the accuracy of all outputs to MoJ.

Provide details of the quality assurance procedures they have in place (e.g. validation checking).

### **Risks**

Bidders must identify and assess the risks associated with undertaking the process evaluation and the proposals for managing and overcoming these. Bidders must provide a full risk register and proposed mitigation. This should include any anticipated safeguarding risks for working with offender groups in prison and probation (community) settings and how to mitigate or escalate where necessary such risks. Any safeguarding protocol should be produced in line with the prison and probation sites where the research will take place.

### **Data security and protection/GDPR**

All data will be collated and stored in accordance with the Data Protection Act 2018, Freedom of Information Act 2000, the General Data Protection Regulation (Regulation (EU) 2016/679). All published outputs from the research from research participants will be anonymous, e.g. no names will be published. The supplier must comply throughout the project with the MoJ data protection policy. Further, where MoJ data is used, this data sharing will be subject to MoJ's data sharing agreements and data protection policy.

Ownership of data and Intellectual Property from this project will be retained by the Authority. The Supplier will be required to provide assurance to the Authority that all data will be destroyed in a secure and safe manner within a reasonable timeframe from completion of the project, this should be 12 months after the end date of the final report.

The research and data collection will be carried out for the express purposes of this project and will not be used for other outputs and publications without prior consent by the Authority.

**Bidders** must outline their data security mechanisms and confirm they are able to comply with the above requirements.

**Bidders** should ensure that any primary data is collected with due respect to ethical considerations, with minimal burden on the stakeholders.

## **9. Service Levels**

### Project management

The successful bidder will be expected to use a structured and transparent approach to project management throughout the life of the contract. This will include:

- Appropriate steps to monitor progress and timings.
- Quality assurance processes (such as validation or sense checking of analysis).
- Suitable processes to identify, manage and report risks (including safeguarding risks which can sometimes present in research involving offender groups in prison and probation settings).
- Regular progress updates.
- A mechanism to keep the Authority updated as issues emerge and need to be escalated.

The project manager nominated by the contractor must have sufficient experience, seniority and time allocated to manage the project effectively.

#### **Bidders must:**

- Identify the project team that will be involved in working on the project, outlining their grade/seniority, number of days on the project, skills, experience, and nature of their involvement in the research and levels of security vetting currently held.
- Outline how the contract will be delivered in the event of staff changes during the project (e.g. sickness or if staff leave).
- Give details of how they will keep the MoJ updated on the progress of the project (e.g. weekly updates some of which can be via emails)

Research contract – data collection, analysis and final report. There are key phases of the data collection and analysis which are mapped out in the timetable. These will need prior MOJ approval.

### 10. Security arrangements for Consultants

- Baseline Personnel Security Standards (of which Disclosure Scotland is a part) are a default requirement in any Research contract.

<https://www.gov.uk/government/publications/government-baseline-personnel-security-standard>

- Please note if you require any additional/higher level security requirements

**Bidders** will need to demonstrate that the researchers have in the place the following security clearance at the start of fieldwork: **CTC and enhanced level 2 for access to high secure prisons. Please provide full details of staff security clearance levels including dates and levels of clearance for each member of the team.**

## 11. Timetable

| Estimated date | Project deliverable  | Payment milestone |
|----------------|--|-------------------|
| November 2024  | Scoping and project set up   | 40%               |
| January 2025   | Commencement of fieldwork  |                   |
| March 2025     | Interim feedback session with recorded presentation (products for HMPPS colleagues)  | 30%               |
| June 2025      | Completion of fieldwork<br>Triangulation of data                                     |                   |
| July 2025      | First draft finalised for circulation  | 20%               |
| October 2025   | Final draft of the report produced in collaboration with MoJ to publishable standard | 10%               |

## 12. Any other Key features

n/a

## 13. Outcome

Key outputs are listed in question 5.

### **Escalation process**

Milestones will be agreed with the Supplier for the delivery of the research to ensure timely progress and expected standards and quality of work are achieved in line with an agreed timeline (suggested timeline above). The project will have a manager overseeing the contract who will be responsible for liaising with the Supplier and managing the project according to project management principles e.g. monitoring progress, managing risks and escalating risks and issues. The MoJ project manager will actively manage risks, seek to mitigate them and develop contingency plans if necessary. The Supplier will also be expected to work closely with the MOJ NG AcP evaluations team, HMPPS colleagues and key stakeholders where necessary. The Supplier will be expected to nominate a lead person with overall responsibility for delivery and with the same expectations around project and risk management.

As a first stage, if any difficulties arise, it is anticipated they would be resolved through the respective project managers. If concerns persist or become more serious, the MoJ project manager will escalate concerns to senior leaders in the analytical and directorates and seek guidance on the next steps.

### **Exit strategy**

This is a time limited piece of work. Early findings will be verbally communicated at several timepoints throughout the contract, with a final report and presentation. Findings will be published according to MoJ standards.

- If contractor does not complete work in a diligent and professional manner, i.e. outputs are not up to standard, stated or revised sample size is not achieved (not including factors out of the researchers control), then work will be returned to contractor at their expense as laid out in the contract.
- The obligations to be fulfilled by the Supplier will be measured by successful delivery of the KPIs (Section 10) and Objectives (Measurable Outputs) (Section 5). Before the end of the contract, the Supplier will also provide a copy of other data, analytical procedures and logs, documentation and other relevant information generated as a part of providing the contract services
- Should the contractor experience an event outside of their control we would apply conditions within the contract to allow them extra time to complete work to previously agreed standard.
- All outputs and deliverables will have to be reviewed and approved by MoJ.

If the Supplier fails to successfully fulfil the obligations, MoJ may follow the termination procedures outlined in the Contract.

**[REDACTED]**

### **Supplier bid**

## **Part 2 – Charges**

The total value of this contract will be **£114,969.83 (excluding VAT)**.

**Breakdown of costs**  
**[REDACTED]**

**Payment Milestones**  
**[REDACTED]**