

CONTRACT PROJECT AUDITING FOR TECHNICAL, ADMINISTRATIVE, FINANCIAL, ACCOUNTING, SOCIAL, ENVIRONMENTAL AND ECONOMIC ASPECTS, ALTERNATIVES, FEASIBILITY AND DETAILED ENGINEERING DESIGNS OF THE SEWER MASTER PLAN AND ITS TECHNICAL, LEGAL AND FINANCIAL COMPONENTS AND

Risk	Impact
Operational risk Auditor's ability to manage the contract.	
Inability or incompatibility on the part of the Auditor due to the fact that it did not inform at the time of the presentation of the proposal.	Delays in the pre-contractual process.
Deficiencies on the part of the Auditor in the preparation of the proposal due to lack of knowledge or lack of expertise.	Delays in the pre-contractual process.
Repetition of the calls due to the fact that the selected bidder did not sign the contract.	Delays in the pre-contractual process.
Delays in the execution of the project due to the absence of permits, licenses and / or authorizations required or arising during the execution of the contract.	Delays in the execution of the contract.
The Auditor generates damages to goods or properties of third parties due to civil liability.	Affecting the reputation of the contracting party.
Non-compliance with the contract due to death and / or accident of the Auditor, legal representative and / or staff.	Delays in the execution of the contract.
Breach of responsibilities due to convictions of the Auditor.	Delays and impact on the quality of the product.
Difficulty of access and / or transportation of the persons or goods required for the fulfillment of the contractual object	Delays and impact on the quality of the product.
Impact on contract deliverables due to the withdrawal of qualified or experienced personnel.	Delays and impact on the quality of the product.
Impact on the deliverables of the contract, due to non-compliance with standards and / or technical specifications.	Affecting the quality of the product.
Delays in the execution of the contract due to the lack of availability of qualified technical team.	Delays and impact on the quality of the product.

Delays in the execution of the contract due to difficulty in accessing information sources.	Delays and impact on the quality of the product.
Errors persisting in the Terms of Reference, prices, description of the requirement, technical annexes, arithmetic operations, etc. published by the entity.	Delays and impacts on the delivery time of the products.
Impact of the quality of the products or delay in delivery in the execution of the contract due to variation in the prices of the services to be offered.	Delays and impacts on the delivery time of the products.
Delays in the execution of the contract due to disagreement between the parties regarding the deliverable products during the execution of the contract or regarding the scope thereof.	Delays and impacts on the delivery time of the products.
Delivery risks	
Non-compliance with the Contract by the Auditor.	Delays and impact on the quality of the product.
Reputational risks. Any risk that may affect the reputation of the Prosperity Fund or HMG.	
Contract delays due to demands established by the different actors.	Delays in the delivery of the product.
Delays in the execution of the contract and affecting the confidentiality of the information due to loss of physical or electronic information supporting the projects.	Delays in the delivery of the product.
Misuse of information or studies resulting from the consultancy.	Affecting the reputation of the contracting party.
Suspensions or extensions of the Contract due to force majeure or unforeseen events or in general due to factors exogenous to the parties.	Delays in the pre-contractual process. Affecting the reputation of the contracting party.
Risks associated with economic capital.	
Difficulty in executing the contract due to the insolvency of the Auditor.	Delays and impact on the quality of the product.
Delays in the filing of the documents and / or payment of accounts due to errors committed by the Auditor.	Impact of the Auditor's cash flow
Context risks The operational context can affect the implementation of the project, for example, poli	

Impact on the contract and the conditions agreed in it, due to regulatory or tax changes, the hiring regime or the Colombian labor regime.	Delays in the delivery of the product.
Effects on the execution of the contract due to work stoppages caused by the Auditor's staff due to the untimely payment of salaries and other benefits to which they are entitled, including contributions in social security, social benefits and all types of compensation.	Delays in the delivery of the product.
Impact on the execution of the contract due to social stoppages caused by communities.	Delays in the delivery of the product.
Difficulties, paralysis or impossibility in the execution of the contract due to illegal groups.	Delays in the delivery of the product.
Difficulties in the execution of the contract due to climatic conditions that generate delay.	Delays in the delivery of the product.
Difficulties in the execution of the contract due to the existence of adverse conditions or terrain conditions.	Delays and impact on the quality of the product.
Difficulties or impossibility of execution of the contract due to alterations or factors of public order.	Delays in the delivery of the product.
Impact on the execution of the contract due to lack of Inter-institutional Coordination.	Delays and impact on the quality of the product.
Strategic risks	
Approval of modifications of some of the products to be delivered and / or approval of modifications of some of the scope of the contract, without approval of the supervision.	Delays and impact on the quality of the product.
Safeguard Risks	
Failure to comply with human rights aspects of the Auditor's staff due to deficiencies in contracting and monitoring during the execution of the contract.	Delays in the delivery of the product. Impact on the Auditor's reputation.

Non-compliance with gender equity issues due to deficiencies in recruitment and selection policies.	Legal risk in the framework of obtaining resources
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Considerations:	
1	The risk matrix is an integral part of the terms of reference and distributing said risks
2	The bidders declare that for the preparation and presentation of the risk matrix
3	This foreseeable risk matrix structure has been developed by the technical and legal team of FINDETER, within the framework of the project
4	In the element of risk allocation, when indicating or describing the risks
5	In accordance with the previous distribution, there is no transfer of risk, consequently, the Contracting Party will not make a risk transfer, unless said risk is eliminated or mitigated, unless said acknowledgment is made
6	If the interested parties consider that there are conditions that could cause an imbalance of the contract due to factors that could be identified in the studies of the project, as well as its context, and that could be considered in the comments stage, so that they can be evaluated and managed. Consistent with the above, based on due diligence and the provisions of the Commercial Code, which the bidder must have when preparing their proposal.

**SOCIAL AND GENDER EQUALITY, ENVIRONMENTAL AND LEGAL FOR THE PROJECT DENOMINATED:
 PLAN FOR RURAL AND URBAN POPULATION CENTERS OF THE MUNICIPALITY OF VALLEDUPAR, INCL
 AND THE PROGRAM OF GENDER EQUALITY AND SOCIAL INCLUSION**

Responsible	Probability	Impact
Auditor	2 = Improbable	3= Moderate
Auditor	3 = Posible	3= Moderate
Auditor	3 = Posible	3= Moderate
Auditor	4= Probable	3= Moderate
Auditor	1 = Raro	3= Moderate
Auditor	2 = Improbable	2= Menor
Auditor	3 = Posible	5= Severe
Auditor	1 = Raro	3= Moderate
Auditor	3 = Posible	4= Mayor
Auditor	3 = Posible	4= Mayor
Auditor	3 = Posible	4= Mayor

Auditor	2 = Improbable	4= Mayor
Shared	3 = Posible	3= Moderate
Auditor	2 = Improbable	4= Mayor
Auditor	2 = Improbable	3= Moderate
Auditor	3 = Posible	4= Mayor
Auditor	2 = Improbable	4= Mayor
Auditor	2 = Improbable	4= Mayor
Auditor	2 = Improbable	4= Mayor
Compartido	3 = Posible	4= Mayor
Auditor	3 = Posible	5= Severe
Auditor	3 = Posible	2= Menor

tical, economic, social factors, conflicts and other environmental factors.

Auditor	1 = Raro	3= Moderate
Auditor	2 = Improbable	4= Mayor
Auditor	3 = Posible	5= Severe
Auditor	2 = Improbable	5= Severe
Auditor	3 = Posible	4= Mayor
Auditor	2 = Improbable	3= Moderate
Auditor	3 = Posible	4= Mayor
Shared	3 = Posible	3= Moderate
Auditor	2 = Improbable	4= Mayor
Auditor	2 = Improbable	5= Severe

Auditor	3 = Posible	3= Moderate
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ference and, therefore, of the contract that is subscribed. This is the result of an exercise in identify

esentation of their offer they knew, accepted, and proposed controls for the contractual risks cont

veloped as a result of a process of knowledge management and lessons learned, with feedback prov
amework of FINDETER's technical assistance strategy - knowledge management

re of the contractual parties, it is understood that it assumes 100% of the risk

will be no claims from the Auditor, based on the occurrence of any of the risks assumed by them, a
ny recognition, nor offer any guarantee, that allows the effects caused by the occurrence of any o
nt or guarantee is expressly agreed in the Contract.

tractual risks not foreseen in the risk matrix proposed by the CONTRACTING PARTY, it must be ann
l, if relevant, incorporated in the aforementioned matrix. As a result it will not be possible to alledg
have been foreseen in the pre-contractual stage based on the knowledge of the private call, the d
at have not been announced by the CONSULTANT at said stage.

and based on the principle of pre-contractual good faith that is dealt with in the provisions of articl
in making its offer, it is understood that all the foreseeable risks of the contract were taken into ac

STUDIES OF
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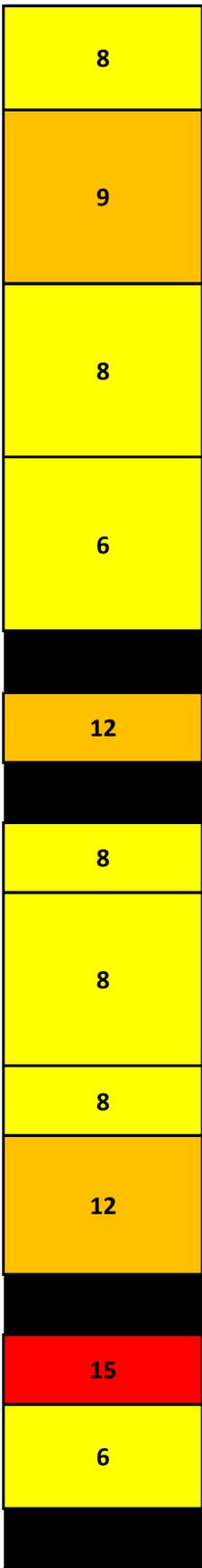
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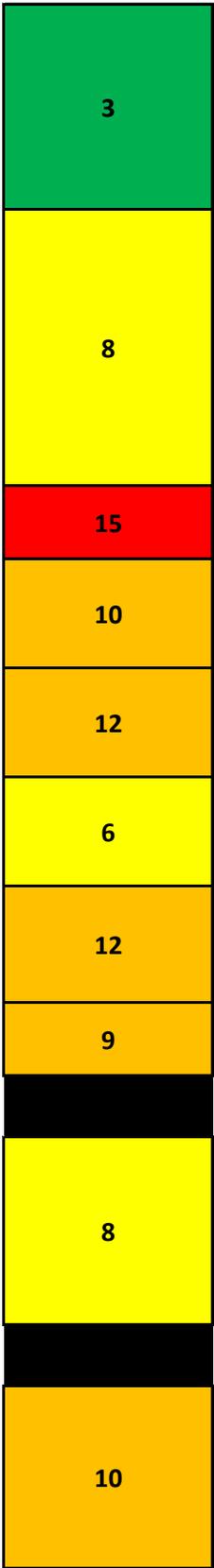
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