

Merlin and Apache AH Mk1 Engine Support

1. Part 1: Form A: Organisation and Contact Details

1.1. Organisation Details

1.1.1. Full name of organisation tendering (or of organisation acting as lead contact where a consortium bid is being submitted).

1.1.2. Address line 1

1.1.3. Address Line 2

1.1.4. Address Line 3

1.1.5. Town

1.1.6. County

1.1.7. Post Code

1.1.8. Company or Charity Registration Number

1.1.9. Please provide your Data Universal Numbering System (DUNS) number. If your organisation does not have a DUNS number, please obtain a DUNS number from Dun & Bradstreet (which is free of charge) and provide the Duns number to the Authority no later than 15 working days after the closing date for return of this PQQ.

1.1.10. VAT Registration Number

1.1.11. Name of immediate parent company

1.1.12. Name of ultimate parent company

1.1.13. Type of organisation

- (i) a public limited company.
- (ii) a limited company.
- (iii) a limited liability partnership.
- (iv) other partnership.
- (v) sole trader.
- (vi) other (please specify).

1.1.14. If other, please specify.

1.2. Contact Details

1.2.1. Name

1.2.2. Address Line 1

1.2.3. Address Line 2

1.2.4. Address Line 3

1.2.5. Town

1.2.6. County

1.2.7. Post Code

1.2.8. Country

1.2.9. Telephone Number

1.2.10. Mobile Number

1.2.11. Email

1.3. Consortia and Sub-Contracting

1.3.1. Please confirm the following:

- a) Your organisation is bidding to provide the services required itself.
- b) Your organisation is bidding in the role of Prime Contractor and intends to use third parties to provide some services.
- c) The Potential Provider is a consortium.

1.3.2. If your answer is (b) or (c) please indicate by confirming the relevant company/organisation name, the composition of the supply chain, indicating which member of the supply chain (which may include the Potential Provider solely or together with other providers) will be responsible for the elements of the requirement.

1.4. For completion by non-UK businesses only

1.4.1. Registration with professional body - Is your business registered with the appropriate trade or professional register(s) in the EU member state where it is established (as set out in Schedule 3 of the DSPCR) under the conditions laid down by that member state).

1.4.2. If “yes”, please provide a copy of the certificate of registration or similar record.

1.4.3. Is it a legal requirement in the State where you are established for you to be licensed or a member of a relevant organisation in order to provide the requirement in this procurement?

1.4.4. If yes, please provide details of what is required and confirm that you have complied with this.

2. Part 1: Form B - Grounds for Mandatory Rejection

2.1. Please state 'Yes' or 'No' to each question.

2.1.1. a) Conspiracy within the meaning of section 1 or section 1A of the Criminal Law Act 1977 or article 9 or 9A of the Criminal Attempts and Conspiracy (Northern Ireland) Order 1983, or in Scotland the Offence of conspiracy, where that conspiracy relates to participation in a criminal organisation as defined in Article 2 of Council Framework Decision 2008/841/JHA.

2.1.2. b) Involvement in serious organised crime or directing serious organised crime within the meaning of section 28 or 30 of the Criminal Justice and Licensing (Scotland) Act 2010.

2.1.3. c) Corruption within the meaning of section 1 of the Public Bodies Corrupt Practices Act 1889 or section 1 of the Prevention of Corruption Act 1906*.

2.1.4. d) The offence of bribery.

2.1.5. e) Bribery within the meaning of section 1, 2 or 6 of the Bribery Act 2010.

2.1.6. f) Bribery or corruption within the meaning of section 68 and 69 of the Criminal Justice (Scotland) Act 2003.

2.1.7. g) Fraud, where the offence relates to fraud affecting the financial interests of the European Communities as defined by Article 1 of the Convention relating to the protection of the financial interests of the European Union*, within the meaning of:

- (i) the offence of cheating the Revenue;
- (ii) the offence of conspiracy to defraud;
- (iii) fraud or theft within the meaning of the Theft Act 1968* the Theft Act (Northern Ireland) 1969*, the Theft Act 1978* or the Theft (Northern Ireland) Order 1978*;
- (iv) fraud within the meaning of section 2, 3 or 4 of the Fraud Act 2006;
- (v) in Scotland, the offence of fraud;
- (vi) in Scotland, the offence of theft;
- (vii) fraudulent trading within the meaning of section 458 of the Companies Act 1985, article 451 of the Companies Act (Northern Ireland) Order 1986 or section 993 of the Companies Act 2006;
- (viii) fraudulent evasion within the meaning of section 170 of the Customs and Excise Management Act 1979 or section 72 of the Value Added Tax Act 1994*;
- (ix) an offence in connection with taxation in the European Union within the meaning of section 71 of the Criminal Justice Act 1993;
- (x) destroying, defacing or concealing of documents or procuring the execution of a valuable security within the meaning of section 20 of the Theft Act 1968* or section 19 of the Theft Act (Northern Ireland) 1969* or making, adapting, supplying or offering to supply articles for use in frauds within the meaning of section 7 of the Fraud Act 2006;
- (xi) in Scotland the offence of uttering; or
- (xii) in Scotland, the criminal offence of attempting to pervert the course of justice.

2.1.8. h) Money laundering within the meaning of section 93A, 93B, or 93C of the Criminal Justice Act 1988, section 45, 46 or 47 of the Proceeds of Crime (Northern Ireland) Order 1996 or the Money Laundering Regulations 2003 or money laundering or terrorist financing within the meaning of the Money Laundering Regulations 2007*.

2.1.9. i) Terrorist offences or offences linked to terrorist activities, as defined in Articles 1 and 3 of Framework Decision 2002/475/JHA*.

2.1.10. j) An offence in connection with proceeds of drug trafficking within the meaning of section 49, 50 or 51 of the Drug Trafficking Act 1994.

2.1.11. k) In Scotland, the offence of incitement to commit any of the crimes described in Regulation 23(1).

2.1.12. l) Any other offence within the meaning of Article 39(1) of the Defence and Security Procurement Directive 2009/81/EC as defined by the national law of any member State.

3. Part 1: Form C - Grounds for discretionary rejection

3.1. Please state 'Yes' or 'No' to each question.

3.1.1. a) Being an individual, is a person in respect of whom a debt relief order has been made or is bankrupt or has had a receiving order or administration order or bankruptcy restrictions order or debt relief restrictions order made against him or has made any composition or arrangement with or for the benefit of creditors or has made any conveyance or assignment for the benefit of creditors or appears unable to pay or to have no reasonable prospect of being able to pay, a debt within the meaning of Section 268 of the Insolvency Act 1986, or Article 242 of the Insolvency (Northern Ireland) Order 1989, or in Scotland has granted a trust deed for creditors or become otherwise apparently insolvent, or is the subject of a petition presented for sequestration of his estate, or is the subject of any similar procedure under the law of any other State.

3.1.2. b) Being a partnership constituted under Scots law, has granted a trust deed or become otherwise apparently insolvent, or is the subject of a petition presented for sequestration of its estate.

3.1.3. c) Being a company or any other entity within the meaning of section 255 of the Enterprise Act 2002 has passed a resolution or is the subject of an order by the court for the company's winding up otherwise than for the purpose of bona fide reconstruction or amalgamation, or has had a receiver, manager or administrator on behalf of a creditor appointed in respect of the company's business or any part of the company's business or is the subject of similar procedures under the law of any other State?

3.2. Please state 'Yes' or 'No' to each question

3.2.1. a) Been convicted of a criminal offence relating to the conduct of its business or profession, including, for example, any infringements of any national or foreign law on protecting security of information or the export of defence or security goods.

3.2.2. b) Committed an act of grave misconduct in the course of its business or profession, including a breach of obligations regarding security of information or security of supply required by the contracting authority in accordance with Regulation 38 or 39 of the DSPCR during a previous contract.

3.2.3. c) Been told by a contracting authority, that the Potential Provider does not to possess the reliability necessary to exclude risks to the security of the United Kingdom*.

3.2.4. d) Failed to fulfil obligations relating to the payment of social security contributions under the law of any part of the United Kingdom or of the member State in which it is established.

3.2.5. e) Failed to fulfil obligations relating to the payment of taxes under the law of any part of the United Kingdom or of the member State in which it is established.

4. PART 1: FORM D - Economic and Financial Standing

4.1. Financial Information

4.1.1. What was your overall turnover in each of the last two financial years?

4.2. Please provide the information indicated below

4.2.1. A copy of your audited accounts for the most recent two years or for the period that is available if trading for less than two years.

4.2.2. A statement of your turnover, profit & loss account and cash flow for the most recent year of trading.

4.2.3. A statement of your cash flow forecast for the current year and a bank letter outlining the current cash and credit position.

4.2.4. Alternative means of demonstrating financial status if trading for less than a year.

4.3. Insurance

4.3.1. Employer's liability insurance is a legal requirement (except for businesses employing only the owner / close family members) and this should be at least £5 million. Please confirm that you have this in place.

5. PART 1: FORM E - Technical and Professional Ability

5.1. Experience and Contract Examples

5.1.1. Customer Organisation (name)

5.1.2. Contact Name

5.1.3. Contact Telephone Number

5.1.4. Contact Email Address

5.1.5. Contract Start Date

5.1.6. Contract Completion Date

5.1.7. Contract Value

5.1.8. Brief description of contract (max 150 words) including evidence as to your technical capability in this market.

5.2. Experience and Contract Examples

5.2.1. If you cannot provide at least one example, please briefly explain why (100 words max)

5.3. Electronic Trading

5.3.1. Do you possess the technical equipment and know-how to conduct electronic trading with the Authority by being already connected to the CP&F system?

5.3.2. If you answered "No" to the above question, please confirm that you possess the technical ability to take all necessary measures to connect to the CP&F system if the Authority decides to award the Contract to you?

5.4. Mandatory Selection Criterion for the Government's Cyber Essentials Scheme

5.4.1. Your organisation currently has a current and valid Cyber Essentials certificate, which has been awarded by one of the government approved Cyber Essentials accreditation bodies within the last 12 months

5.4.2. Your organisation does not currently have a current and valid Cyber Essentials certificate which has been awarded by one of the government approved Cyber Essentials accreditation bodies BUT you are working towards gaining it, and will be in a position to confirm that you have been

awarded a current and valid Cyber Essentials certificate by one of the government approved accreditation bodies by the Commencement Date of the Contract.

5.4.3. Your organisation does not have a current and valid Cyber Essentials certificate which has been awarded by one of the government approved Cyber Essentials accreditation bodies, but you can demonstrate (or will be able to demonstrate by the Commencement Date of the Contract) that your organisation meets the technical requirements prescribed by the Cyber Essentials Scheme, as detailed in the following link:

<https://www.cyberstreetwise.com/cyberessentials/files/requirements.pdf> and that you can provide evidence of verification by a technically competent and independent third party (which has taken place within the last 12 months) that your organisation demonstrates current compliance with Cyber Essentials technical requirements.

5.4.4. Please confirm you are working with your proposed supply chain to ensure where appropriate that they either have a Cyber Essentials certificate, are working towards obtaining one or that they can demonstrate and provide evidence that they have the technical requirements prescribed by the Cyber Essentials Scheme as detailed in the link above, and that you will have this in place by the Commencement Date of each of your supplier Contracts. (Answer ‘No’ if this does not apply, i.e. there is no supply chain).

5.4.5. If you have any comments you wish to offer in support of your answers, for example if you want to explain why not every member of your supply chain holds the appropriate level of certification, you can provide them here. The Authority may, at its discretion, consider your comments when determining whether it will pass or fail your answers. Note: You are not obliged to provide any comments; the option to provide them is to allow you to offer the rationale for your answers where you feel it necessary to do so.

6. PART 2: FORM F: Project Questions

6.1. Project Related Questions

6.1.1. Please provide details of the Potential Provider’s experience encompassing (technical expertise, tools, material, technical equipment and facilities) available to perform the contract.

6.1.2. Please provide evidence that your Quality System is certified to AQAP 2105, 2110 and 2120 (or will achieve certification with 3 months of Contract award)

6.1.3. Please provide details of Design Approved Organisation Scheme (DAOS) approval for relevant Engine propulsion systems and associated equipment or provide details on how you expect to achieve DAOS approval for these types within 6 months of Contract Award. As a minimum, the Potential Providers will be expected to satisfy the requirements of RA 5850 – Military Design Approved Organization (MRP 21 Subpart J).

6.1.4. Please provide details of Manufacture Approved Organisation Scheme (MAOS) approval for relevant Engine propulsion systems and associated equipment or EASA/CAA BCAR equivalent or provide details on how you expect to achieve MAOS approval within 6 months of Contract Award. As a minimum, bidders will be expected satisfy the requirements of the RA 4800 series - Military Maintenance Approved Organization.

7. PART 2: FORM G: Defence and Security Questions

7.1. Security Information (1)

7.1.1. Company name

7.1.2. Facility Security Clearances

7.2. Security of Information (2)

7.2.1. If a Potential Provider or subcontractors do not possess the required FSC, it is to state whether:

- a) the Company has applied for and waiting for a FSC; or has previously applied unsuccessfully for a FSC; or has not applied for FSC but is willing to apply for FSC; and
- b) the Company is also prepared to take all necessary security measures to obtain an FSC under their relevant national rules.

7.2.2. The time limit for acquiring FSC is March 2019. Please confirm your understanding that FSC or provisional status must be in place before this date.

7.3. Security of Supply (1)

7.3.1. The Potential Provider is to describe how it will manage the supply chain to mitigate any risks to the performance of the contract and satisfy any additional needs the Authority may have as a result of crisis arising from identified sources of supply (in particular those that are outside the European Union) which the Potential Provider has as its disposal or on which it will significantly rely.

7.4. Intellectual Property Rights

7.4.1. The Potential Provider is to state its internal rules with regard to Intellectual Property (IP), in particular with regard to its business approach to dealing with intellectual property to include its ability to: • Protect and maintain the confidentiality of IP both provided by the Authority and generated by the Potential Provider • Acquire and maintain access to the IP including technology transfer to or from a parent company or a subsidiary company or a subcontractor or third party located outside the UK or EU on which the Potential Provider will significantly rely to meet the Authority's requirement; • Grant licence rights or assign IP to the Authority as necessary to meet the Authority's requirement.

8. PART 2: FORM H: Earned Value Management

8.1. Level 3 Contract Requirements for Earned Value Management

8.1.1. Has the contractor previously implemented an Earned Value Management System to a recognised standard (e.g. ANSI EIA 748). Describe the organisation's tools and processes that facilitate it. If EVM has not been previously utilised or is not to a recognised standard please outline how you would establish your company as an EVM capable supplier to a recognised standard and over what timescale.

9. PART 2: FORM I: Tax Compliance

9.1. Supplier's Tax Compliance

9.1.1. The Potential Provider must state whether from 1 April 2013 it has experienced any Occasions Of Tax Non-Compliance (OOTNC) by answering "Yes" or "No" to 1(a) or 1(b) below: a. the Potential Provider's tax affairs have given rise to a criminal conviction for tax related offences which is unspent, or to a penalty for civil fraud or evasion; and / or b. any of the Potential Provider's tax returns submitted on or after 1 October 2012 has been found to be incorrect as a

result of: - HMRC (or equivalent tax authority) successfully challenging the supplier under the General Anti-Abuse Rule (GAAR) or the “Halifax” abuse principle and all appeal avenues are completed; or - a tax authority in a jurisdiction in which the Potential Provider is established successfully challenging it under any tax rules or legislation that have an effect equivalent or similar to the GAAR or the “Halifax” abuse principle; or - the failure of an avoidance scheme which the supplier was involved in and which was, or should have been, notified under the Disclosure of Tax Avoidance Scheme (DOTAS) or any equivalent or similar regime in a jurisdiction in which the supplier is established.

9.1.2. If you answer “Yes” to 1(a) or 1(b) above, you must also provide details of the OOTNC and any mitigating factors that you consider relevant and wish the Authority to take into consideration. This could include: a. the date and brief description of the OOTNC, the tax to which it applied, and the type of “non-compliance”, e.g. GAAR, the “Halifax” abuse principle. If the OOTNC relates to a DOTAS, the number of the relevant scheme; b. if the OOTNC relates to non-UK tax laws or administrative provisions, a full explanation of the OOTNC and the relevant non-UK tax law or administrative provisions; c. the date of the original non-compliance, the date of any judgement against the supplier, or date when the tax return was amended; d. any fine, penalty or criminal conviction applied; e. any corrective action undertaken by the supplier to date or planned corrective action to be taken, including changes in financial, accounting, audit or management procedures since the OOTNC; and f. any changes in personnel or ownership since the OOTNC

10. PART 2: FORM J: Sustainable Procurement

10.1. Suppliers Ability and Commitment for Sustainable Procurement

10.1.1. Please describe the Company’s environmental management system and how it integrates with other organisational management systems? Please provide copies of any relevant certificates provide details of how the Company has implemented its environmental management system for other relevant contracts and whether accreditation was gained (e.g. ISO 14001, ISO 14064, EMAS or equivalent)?

11. PART 2: FORM K: Equality in Contracting

11.1. Enter Subsection's name here

11.1.1. In the last three years has any finding of unlawful discrimination been made against your organisation by any court or industrial or employment tribunal or equivalent body?

11.1.2. In the last three years has your organisation been the subject of a formal investigation by the Equality and Human Rights Commission or an equivalent body on grounds of alleged unlawful discrimination?

12. Signature

12.1. Confirmation

12.1.1. Part 1

- Form A
- Form B
- Form C
- Form D

12.1.2. Part 2

- Form E
- Form F
- Form G

12.2. Form Completed By

12.2.1. Name

12.2.2. Date

12.2.3. Signature on behalf of the Potential Provider