



Crown
Commercial
Service

CABINET OFFICE

- and -

CECAN Ltd

ATTACHMENT 5

relating to

**Provision of National Disability Strategy Evaluation
Framework for the Cabinet Office**

CCZZ21A26



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ANNEX 1 – TERMS AND CONDITIONS

1 INTERPRETATION

1.1 In these terms and conditions:

“Agreement”	means the contract between (i) the Customer acting as part of the Crown and (ii) the Supplier constituted by the Supplier’s countersignature of the Award Letter and includes the Award Letter;
“Award Letter”	means the letter (including the Annexes thereto) from the Customer to the Supplier via the e-Sourcing Suite at the point of award;
“Central Government Body”	means a body listed in one of the following sub-categories of the Central Government classification of the Public Sector Classification Guide, as published and amended from time to time by the Office for National Statistics: (a) Government Department; (b) Non-Departmental Public Body or Assembly Sponsored Public Body (advisory, executive, or tribunal); (c) Non-Ministerial Department; or (d) Executive Agency;
“Charges”	means the charges for the Services as specified in the Award Letter;
“Confidential Information”	means all information, whether written or oral (however recorded), provided by the disclosing Party to the receiving Party and which (i) is known by the receiving Party to be confidential; (ii) is marked as or stated to be confidential; or (iii) ought reasonably to be considered by the receiving Party to be confidential;
“Customer”	means the Contracting Authority/Customer named in the Award Letter;
“DPA”	means the Data Protection Act 2018;
“Expiry Date”	means the date for expiry of the Agreement as set out in the Award Letter;
“FOIA”	means the Freedom of Information Act 2000;
“Information”	has the meaning given under section 84 of the FOIA;
“Key Personnel”	means any persons specified as such in the Award Letter or otherwise notified as such by the Customer to the Supplier in writing;
“Party”	means the Supplier or the Customer (as appropriate) and “Parties” shall mean both of them;
“Personal Data”	means personal data (as defined in the DPA) which is processed by the Supplier or any Staff on behalf of the Customer pursuant to or in connection with this Agreement;

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“Purchase Order Number”	means the Customer’s unique number relating to the supply of the Services;
“Request for Information”	has the meaning set out in the FOIA or the Environmental Information Regulations 2004 as relevant (where the meaning set out for the term “request” shall apply);
“Services”	means the services to be supplied by the Supplier to the Customer under the Agreement;
“Specification”	means the specification for the Services (including as to quantity, description and quality) as specified in the Award Letter;
“Start Date”	means the commencement date of the Agreement as set out in the Award Letter;
“Staff”	means all directors, officers, employees, agents, consultants and contractors of the Supplier and/or of any sub-contractor of the Supplier engaged in the performance of the Supplier’s obligations under the Agreement;
“Staff Vetting Procedures”	means vetting procedures that accord with good industry practice or, where requested by the Customer, the Customer’s procedures for the vetting of personnel as provided to the Supplier from time to time;
“Supplier”	means the person named as Supplier in the Award Letter;
“Term”	means the period from the Start Date of the Agreement set out in the Award Letter to the Expiry Date as such period may be extended in accordance with clause 4.2 or terminated in accordance with the terms and conditions of the Agreement;
“VAT”	means value added tax in accordance with the provisions of the Value Added Tax Act 1994; and
“Working Day”	means a day (other than a Saturday or Sunday) on which banks are open for business in the City of London.

1.2 In these terms and conditions, unless the context otherwise requires:

- 1.2.1 references to numbered clauses are references to the relevant clause in these terms and conditions;
- 1.2.2 any obligation on any Party not to do or omit to do anything shall include an obligation not to allow that thing to be done or omitted to be done;
- 1.2.3 the headings to the clauses of these terms and conditions are for information only and do not affect the interpretation of the Agreement;
- 1.2.4 any reference to an enactment includes reference to that enactment as amended or replaced from time to time and to any subordinate legislation or byelaw made under that enactment; and
- 1.2.5 the word ‘including’ shall be understood as meaning ‘including without limitation’.

2 BASIS OF AGREEMENT

2.1 The Award Letter constitutes an offer by the Customer to purchase the Services



subject to and in accordance with the terms and conditions of the Agreement.

- 2.2 The offer comprised in the Award Letter shall be deemed to be accepted by the Supplier on receipt by the Customer, within 7 days of the date of the award letter, of a copy of the Award Letter countersigned by the Supplier.

3 SUPPLY OF SERVICES

- 3.1 In consideration of the Customer's agreement to pay the Charges, the Supplier shall supply the Services to the Customer for the Term subject to and in accordance with the terms and conditions of the Agreement.
- 3.2 In supplying the Services, the Supplier shall:
- 3.2.1 co-operate with the Customer in all matters relating to the Services and comply with all the Customer's instructions;
 - 3.2.2 perform the Services with all reasonable care, skill and diligence in accordance with good industry practice in the Supplier's industry, profession or trade;
 - 3.2.3 use Staff who are suitably skilled and experienced to perform tasks assigned to them, and in sufficient number to ensure that the Supplier's obligations are fulfilled in accordance with the Agreement;
 - 3.2.4 ensure that the Services shall conform with all descriptions, requirements, service levels and specifications set out in the Specification;
 - 3.2.5 comply with all applicable laws; and
 - 3.2.6 provide all equipment, tools and vehicles and other items as are required to provide the Services.
- 3.3 The Customer may by written notice to the Supplier at any time request a variation to the scope of the Services. In the event that the Supplier agrees to any variation to the scope of the Services, the Charges shall be subject to fair and reasonable adjustment to be agreed in writing between the Customer and the Supplier.

4 TERM

- 4.1 The Agreement shall take effect on the Start Date and shall expire on the Expiry Date, unless it is otherwise extended in accordance with clause 4.2 or terminated in accordance with the terms and conditions of the Agreement.
- 4.2 The Customer may extend the Agreement for a period of up to 6 months by giving not less than 10 Working Days' notice in writing to the Supplier prior to the Expiry Date. The terms and conditions of the Agreement shall apply throughout any such extended period.

5 CHARGES, PAYMENT AND RECOVERY OF SUMS DUE

- 5.1 The Charges for the Services shall be as set out in the Award Letter and shall be the full and exclusive remuneration of the Supplier in respect of the supply of the Services. Unless otherwise agreed in writing by the Customer, the Charges shall include every cost and expense of the Supplier directly or indirectly incurred in connection with the performance of the Services.
- 5.2 All amounts stated are exclusive of VAT which shall be charged at the prevailing rate. The Customer shall, following the receipt of a valid VAT invoice, pay to the Supplier a



sum equal to the VAT chargeable in respect of the Services.

- 5.3 The Supplier shall invoice the Customer as specified in the Agreement. Each invoice shall include such supporting information required by the Customer to verify the accuracy of the invoice, including the relevant Purchase Order Number and a breakdown of the Services supplied in the invoice period.
- 5.4 In consideration of the supply of the Services by the Supplier, the Customer shall pay the Supplier the invoiced amounts no later than 30 days after verifying that the invoice is valid and undisputed and includes a valid Purchase Order Number. The Customer may, without prejudice to any other rights and remedies under the Agreement, withhold or reduce payments in the event of unsatisfactory performance.
- 5.5 If the Customer fails to consider and verify an invoice in a timely fashion the invoice shall be regarded as valid and undisputed for the purpose of paragraph 5.4 after a reasonable time has passed.
- 5.6 If there is a dispute between the Parties as to the amount invoiced, the Customer shall pay the undisputed amount. The Supplier shall not suspend the supply of the Services unless the Supplier is entitled to terminate the Agreement for a failure to pay undisputed sums in accordance with clause 16.4. Any disputed amounts shall be resolved through the dispute resolution procedure detailed in clause 19.
- 5.7 If a payment of an undisputed amount is not made by the Customer by the due date, then the Customer shall pay the Supplier interest at the interest rate specified in the Late Payment of Commercial Debts (Interest) Act 1998.
- 5.8 Where the Supplier enters into a sub-contract, the Supplier shall include in that sub-contract:
- 5.8.1 provisions having the same effects as clauses 5.3 to 5.7 of this Agreement; and
 - 5.8.2 a provision requiring the counterparty to that sub-contract to include in any sub-contract which it awards provisions having the same effect as 5.3 to 5.8 of this Agreement.
 - 5.8.3 In this clause 5.8, "sub-contract" means a contract between two or more suppliers, at any stage of remoteness from the Customer in a subcontracting chain, made wholly or substantially for the purpose of performing (or contributing to the performance of) the whole or any part of this Agreement.
- 5.9 If any sum of money is recoverable from or payable by the Supplier under the Agreement (including any sum which the Supplier is liable to pay to the Customer in respect of any breach of the Agreement), that sum may be deducted unilaterally by the Customer from any sum then due, or which may come due, to the Supplier under the Agreement or under any other agreement or contract with the Customer. The Supplier shall not be entitled to assert any credit, set-off or counterclaim against the Customer in order to justify withholding payment of any such amount in whole or in part.

6 PREMISES AND EQUIPMENT

- 6.1 If necessary, the Customer shall provide the Supplier with reasonable access at reasonable times to its premises for the purpose of supplying the Services. All equipment, tools and vehicles brought onto the Customer's premises by the Supplier or

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the Staff shall be at the Supplier's risk.

- 6.2 If the Supplier supplies all or any of the Services at or from the Customer's premises, on completion of the Services or termination or expiry of the Agreement (whichever is the earlier) the Supplier shall vacate the Customer's premises, remove the Supplier's plant, equipment and unused materials and all rubbish arising out of the provision of the Services and leave the Customer's premises in a clean, safe and tidy condition. The Supplier shall be solely responsible for making good any damage to the Customer's premises or any objects contained on the Customer's premises which is caused by the Supplier or any Staff, other than fair wear and tear.
- 6.3 If the Supplier supplies all or any of the Services at or from its premises or the premises of a third party, the Customer may, during normal business hours and on reasonable notice, inspect and examine the manner in which the relevant Services are supplied at or from the relevant premises.
- 6.4 The Customer shall be responsible for maintaining the security of its premises in accordance with its standard security requirements. While on the Customer's premises the Supplier shall, and shall procure that all Staff shall, comply with all the Customer's security requirements.
- 6.5 Where all or any of the Services are supplied from the Supplier's premises, the Supplier shall, at its own cost, comply with all security requirements specified by the Customer in writing.
- 6.6 Without prejudice to clause 3.2.6, any equipment provided by the Customer for the purposes of the Agreement shall remain the property of the Customer and shall be used by the Supplier and the Staff only for the purpose of carrying out the Agreement. Such equipment shall be returned promptly to the Customer on expiry or termination of the Agreement.
- 6.7 The Supplier shall reimburse the Customer for any loss or damage to the equipment (other than deterioration resulting from normal and proper use) caused by the Supplier or any Staff. Equipment supplied by the Customer shall be deemed to be in a good condition when received by the Supplier or relevant Staff unless the Customer is notified otherwise in writing within 5 Working Days.

7 STAFF AND KEY PERSONNEL

- 7.1 If the Customer reasonably believes that any of the Staff are unsuitable to undertake work in respect of the Agreement, it may, by giving written notice to the Supplier:
- 7.1.1 refuse admission to the relevant person(s) to the Customer's premises;
 - 7.1.2 direct the Supplier to end the involvement in the provision of the Services of the relevant person(s); and/or
 - 7.1.3 require that the Supplier replace any person removed under this clause with another suitably qualified person and procure that any security pass issued by the Customer to the person removed is surrendered,
- and the Supplier shall comply with any such notice.
- 7.2 The Supplier shall:
- 7.2.1 ensure that all Staff are vetted in accordance with the Staff Vetting Procedures;
 - 7.2.2 if requested, provide the Customer with a list of the names and addresses

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(and any other relevant information) of all persons who may require admission to the Customer's premises in connection with the Agreement; and
7.2.3 procure that all Staff comply with any rules, regulations and requirements reasonably specified by the Customer.

7.3 Any Key Personnel shall not be released from supplying the Services without the agreement of the Customer, except by reason of long-term sickness, parental leave and termination of employment or other extenuating circumstances.

7.4 Any replacements to the Key Personnel shall be subject to the prior written agreement of the Customer (not to be unreasonably withheld). Such replacements shall be of at least equal status or of equivalent experience and skills to the Key Personnel being replaced and be suitable for the responsibilities of that person in relation to the Services.

8 ASSIGNMENT AND SUB-CONTRACTING

8.1 The Supplier shall not without the written consent of the Customer assign, sub-contract, novate or in any way dispose of the benefit and/ or the burden of the Agreement or any part of the Agreement. The Customer may, in the granting of such consent, provide for additional terms and conditions relating to such assignment, sub-contract, novation or disposal. The Supplier shall be responsible for the acts and omissions of its sub-contractors as though those acts and omissions were its own.

8.2 Where the Customer has consented to the placing of sub-contracts, the Supplier shall, at the request of the Customer, send copies of each sub-contract, to the Customer as soon as is reasonably practicable.

8.3 The Customer may assign, novate, or otherwise dispose of its rights and obligations under the Agreement without the consent of the Supplier provided that such assignment, novation or disposal shall not increase the burden of the Supplier's obligations under the Agreement.

9 INTELLECTUAL PROPERTY RIGHTS

9.1 All intellectual property rights in any materials provided by the Customer to the Supplier for the purposes of this Agreement shall remain the property of the Customer or the respective owner of such intellectual property rights but the Customer hereby grants the Supplier a royalty-free, non-exclusive and non-transferable licence to use such materials as required until termination or expiry of the Agreement for the sole purpose of enabling the Supplier to perform its obligations under the Agreement.

9.2 All intellectual property rights in any materials created or developed by the Supplier pursuant to the Agreement or arising as a result of the provision of the Services shall vest in the Supplier. If, and to the extent, that any intellectual property rights in such materials vest in the Customer by operation of law, the Customer hereby assigns to the Supplier by way of a present assignment of future rights that shall take place immediately on the coming into existence of any such intellectual property rights all its intellectual property rights in such materials (with full title guarantee and free from all third party rights).

9.3 The Supplier hereby grants the Customer:

9.3.1 a perpetual, royalty-free, irrevocable, non-exclusive licence (with a right to sub-license) to use all intellectual property rights in the materials created or



9.3.2 developed pursuant to the Agreement and any intellectual property rights arising as a result of the provision of the Services; and
a perpetual, royalty-free, irrevocable and non-exclusive licence (with a right to sub-license) to use:

- (a) any intellectual property rights vested in or licensed to the Supplier on the date of the Agreement; and
- (b) any intellectual property rights created during the Term but which are neither created or developed pursuant to the Agreement nor arise as a result of the provision of the Services,

including any modifications to or derivative versions of any such intellectual property rights, which the Customer reasonably requires in order to exercise its rights and take the benefit of the Agreement including the Services provided.

9.4 The Supplier shall indemnify, and keep indemnified, the Customer in full against all costs, expenses, damages and losses (whether direct or indirect), including any interest, penalties, and reasonable legal and other professional fees awarded against or incurred or paid by the Customer as a result of or in connection with any claim made against the Customer for actual or alleged infringement of a third party's intellectual property arising out of, or in connection with, the supply or use of the Services, to the extent that the claim is attributable to the acts or omission of the Supplier or any Staff.

10 GOVERNANCE AND RECORDS

10.1 The Supplier shall:

10.1.1 attend progress meetings with the Customer at the frequency and times specified by the Customer and shall ensure that its representatives are suitably qualified to attend such meetings; and

10.1.2 submit progress reports to the Customer at the times and in the format specified by the Customer.

10.2 The Supplier shall keep and maintain until 6 years after the end of the Agreement, or as long a period as may be agreed between the Parties, full and accurate records of the Agreement including the Services supplied under it and all payments made by the Customer. The Supplier shall on request afford the Customer or the Customer's representatives such access to those records as may be reasonably requested by the Customer in connection with the Agreement.

11 CONFIDENTIALITY, TRANSPARENCY AND PUBLICITY

11.1 Subject to clause 11.2, each Party shall:

11.1.1 treat all Confidential Information it receives as confidential, safeguard it accordingly and not disclose it to any other person without the prior written permission of the disclosing Party; and

11.1.2 not use or exploit the disclosing Party's Confidential Information in any way except for the purposes anticipated under the Agreement.

11.2 Notwithstanding clause 11.1, a Party may disclose Confidential Information which it receives from the other Party:

11.2.1 where disclosure is required by applicable law or by a court of competent

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jurisdiction;

11.2.2 to its auditors or for the purposes of regulatory requirements;

11.2.3 on a confidential basis, to its professional advisers;

11.2.4 to the Serious Fraud Office where the Party has reasonable grounds to believe that the other Party is involved in activity that may constitute a criminal offence under the Bribery Act 2010;

11.2.5 where the receiving Party is the Supplier, to the Staff on a need to know basis to enable performance of the Supplier's obligations under the Agreement provided that the Supplier shall procure that any Staff to whom it discloses Confidential Information pursuant to this clause 11.2.5 shall observe the Supplier's confidentiality obligations under the Agreement; and

11.2.6 where the receiving Party is the Customer:

- (a) on a confidential basis to the employees, agents, consultants and contractors of the Customer;
- (b) on a confidential basis to any other Central Government Body, any successor body to a Central Government Body or any company to which the Customer transfers or proposes to transfer all or any part of its business;
- (c) to the extent that the Customer (acting reasonably) deems disclosure necessary or appropriate in the course of carrying out its public functions; or
- (d) in accordance with clause 12 and for the purposes of the foregoing, references to disclosure on a confidential basis shall mean disclosure subject to a confidentiality agreement or arrangement containing terms no less stringent than those placed on the customer under this clause 11.

11.3 The Parties acknowledge that, except for any information which is exempt from disclosure in accordance with the provisions of the FOIA, the content of the Agreement is not Confidential Information and the Supplier hereby gives its consent for the Customer to publish this Agreement in its entirety to the general public (but with any information that is exempt from disclosure in accordance with the FOIA redacted) including any changes to the Agreement agreed from time to time. The Customer may consult with the Supplier to inform its decision regarding any redactions but shall have the final decision in its absolute discretion whether any of the content of the Agreement is exempt from disclosure in accordance with the provisions of the FOIA.

11.4 The Supplier shall not, and shall take reasonable steps to ensure that the Staff shall not, make any press announcement or publicise the Agreement or any part of the Agreement in any way, except with the prior written consent of the Customer.

12 FREEDOM OF INFORMATION

12.1 The Supplier acknowledges that the Customer is subject to the requirements of the FOIA and the Environmental Information Regulations 2004 and shall:

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- 12.1.1 provide all necessary assistance and cooperation as reasonably requested by the Customer to enable the Customer to comply with its obligations under the FOIA and the Environmental Information Regulations 2004;
 - 12.1.2 transfer to the Customer all Requests for Information relating to this Agreement that it receives as soon as practicable and in any event within 2 Working Days of receipt;
 - 12.1.3 provide the Customer with a copy of all Information belonging to the Customer requested in the Request for Information which is in its possession or control in the form that the Customer requires within 5 Working Days (or such other period as the Customer may reasonably specify) of the Customer's request for such Information; and
 - 12.1.4 not respond directly to a Request for Information unless authorised in writing to do so by the Customer.
- 12.2 The Supplier acknowledges that the Customer may be required under the FOIA and the Environmental Information Regulations 2004 to disclose Information concerning the Supplier or the Services (including commercially sensitive information) without consulting or obtaining consent from the Supplier. In these circumstances the Customer shall, in accordance with any relevant guidance issued under the FOIA, take reasonable steps, where appropriate, to give the Supplier advance notice, or failing that, to draw the disclosure to the Supplier's attention after any such disclosure.
- 12.3 Notwithstanding any other provision in the Agreement, the Customer shall be responsible for determining in its absolute discretion whether any Information relating to the Supplier or the Services is exempt from disclosure in accordance with the FOIA and/or the Environmental Information Regulations 2004.

13 PROTECTION OF PERSONAL DATA AND SECURITY OF DATA

- 13.1 The Supplier shall, and shall procure that all Staff shall, comply with any notification requirements under Data Protection Legislation and both Parties shall duly observe all their obligations under Data Protection Legislation which arise in connection with the Agreement.
- 13.2 REPLACED BY ANNEX 6 IN RELATION TO PROTECTION OF PERSONAL DATA
- 13.3 When handling Customer data (whether or not Personal Data), the Supplier shall ensure the security of the data is maintained in line with the security requirements of the Customer as notified to the Supplier from time to time.

14 LIABILITY

- 14.1 The Supplier shall not be responsible for any injury, loss, damage, cost or expense suffered by the Customer if and to the extent that it is caused by the negligence or wilful misconduct of the Customer or by breach by the Customer of its obligations under the Agreement.
- 14.2 Subject always to clauses 14.3 and 14.4:
- 14.2.1 the aggregate liability of the Supplier in respect of all defaults, claims, losses or damages howsoever caused, whether arising from breach of the Agreement, the supply or failure to supply of the Services, misrepresentation (whether tortious or statutory), tort (including negligence), breach of statutory duty or



otherwise shall in no event exceed a sum equal to 125% of the Charges paid or payable to the Supplier; and

14.2.2 except in the case of claims arising under clauses 9.4 and 18.3, in no event shall the Supplier be liable to the Customer for any:

- (a) loss of profits;
- (b) loss of business;
- (c) loss of revenue;
- (d) loss of or damage to goodwill;
- (e) loss of savings (whether anticipated or otherwise); and/or
- (f) any indirect, special or consequential loss or damage.

14.3 Nothing in the Agreement shall be construed to limit or exclude either Party's liability for:

14.3.1 death or personal injury caused by its negligence or that of its Staff;

14.3.2 fraud or fraudulent misrepresentation by it or that of its Staff; or

14.3.3 any other matter which, by law, may not be excluded or limited.

14.4 The Supplier's liability under the indemnity in clause 9.4 and 18.3 shall be unlimited.

15 FORCE MAJEURE

Neither Party shall have any liability under or be deemed to be in breach of the Agreement for any delays or failures in performance of the Agreement which result from circumstances beyond the reasonable control of the Party affected. Each Party shall promptly notify the other Party in writing when such circumstances cause a delay or failure in performance and when they cease to do so. If such circumstances continue for a continuous period of more than two months, either Party may terminate the Agreement by written notice to the other Party.

16 TERMINATION

16.1 The Customer may terminate the Agreement at any time by notice in writing to the Supplier to take effect on any date falling at least 1 month (or, if the Agreement is less than 3 months in duration, at least 10 Working Days) later than the date of service of the relevant notice.

16.2 Without prejudice to any other right or remedy it might have, the Customer may terminate the Agreement by written notice to the Supplier with immediate effect if the Supplier:

- 16.2.1 (without prejudice to clause 16.2.5), is in material breach of any obligation under the Agreement which is not capable of remedy;
- 16.2.2 repeatedly breaches any of the terms and conditions of the Agreement in such a manner as to reasonably justify the opinion that its conduct is inconsistent with it having the intention or ability to give effect to the terms and conditions of the Agreement;
- 16.2.3 is in material breach of any obligation which is capable of remedy, and that breach is not remedied within 30 days of the Supplier receiving notice specifying the breach and requiring it to be remedied;

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- 16.2.4 undergoes a change of control within the meaning of section 416 of the Income and Corporation Taxes Act 1988;
- 16.2.5 breaches any of the provisions of clauses 7.2, 11, 12, 13 and 17;
- 16.2.6 becomes insolvent, or if an order is made or a resolution is passed for the winding up of the Supplier (other than voluntarily for the purpose of solvent amalgamation or reconstruction), or if an administrator or administrative receiver is appointed in respect of the whole or any part of the Supplier's assets or business, or if the Supplier makes any composition with its creditors or takes or suffers any similar or analogous action (to any of the actions detailed in this clause 16.2.6) in consequence of debt in any jurisdiction; or
- 16.2.7 fails to comply with legal obligations in the fields of environmental, social or labour law.
- 16.3 The Supplier shall notify the Customer as soon as practicable of any change of control as referred to in clause 16.2.4 or any potential such change of control.
- 16.4 The Supplier may terminate the Agreement by written notice to the Customer if the Customer has not paid any undisputed amounts within 90 days of them falling due.
- 16.5 Termination or expiry of the Agreement shall be without prejudice to the rights of either Party accrued prior to termination or expiry and shall not affect the continuing rights of the Parties under this clause and clauses 2, 3.2, 6.1, 6.2, 6.6, 6.7, 7, 9, 10.2, 11, 12, 13, 14, 16.6, 17.4, 18.3, 19 and 20.7 or any other provision of the Agreement that either expressly or by implication has effect after termination.
- 16.6 Upon termination or expiry of the Agreement, the Supplier shall:
- 16.6.1 give all reasonable assistance to the Customer and any incoming supplier of the Services; and
- 16.6.2 return all requested documents, information and data to the Customer as soon as reasonably practicable.
- 17 COMPLIANCE**
- 17.1 The Supplier shall promptly notify the Customer of any health and safety hazards which may arise in connection with the performance of its obligations under the Agreement. The Customer shall promptly notify the Supplier of any health and safety hazards which may exist or arise at the Customer's premises and which may affect the Supplier in the performance of its obligations under the Agreement.
- 17.2 The Supplier shall:
- 17.2.1 comply with all the Customer's health and safety measures while on the Customer's premises; and
- 17.2.2 notify the Customer immediately in the event of any incident occurring in the performance of its obligations under the Agreement on the Customer's premises where that incident causes any personal injury or damage to property which could give rise to personal injury.
- 17.3 The Supplier shall:
- 17.3.1 perform its obligations under the Agreement in accordance with all applicable equality Law and the Customer's equality and diversity policy as provided to the Supplier from time to time; and
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17.3.2 take all reasonable steps to secure the observance of clause 17.3.1 by all Staff.

17.4 The Supplier shall supply the Services in accordance with the Customer's environmental policy as provided to the Supplier from time to time.

17.5 The Supplier shall comply with, and shall ensure that its Staff shall comply with, the provisions of:

17.5.1 the Official Secrets Acts 1911 to 1989; and

17.5.2 section 182 of the Finance Act 1989.

18 PREVENTION OF FRAUD AND CORRUPTION

18.1 The Supplier shall not offer, give, or agree to give anything, to any person an inducement or reward for doing, refraining from doing, or for having done or refrained from doing, any act in relation to the obtaining or execution of the Agreement or for showing or refraining from showing favour or disfavour to any person in relation to the Agreement.

18.2 The Supplier shall take all reasonable steps, in accordance with good industry practice, to prevent fraud by the Staff and the Supplier (including its shareholders, members and directors) in connection with the Agreement and shall notify the Customer immediately if it has reason to suspect that any fraud has occurred or is occurring or is likely to occur.

18.3 If the Supplier or the Staff engages in conduct prohibited by clause 18.1 or commits fraud in relation to the Agreement or any other contract with the Crown (including the Customer) the Customer may:

18.3.1 terminate the Agreement and recover from the Supplier the amount of any loss suffered by the Customer resulting from the termination, including the cost reasonably incurred by the Customer of making other arrangements for the supply of the Services and any additional expenditure incurred by the Customer throughout the remainder of the Agreement; or

18.3.2 recover in full from the Supplier any other loss sustained by the Customer in consequence of any breach of this clause.

19 DISPUTE RESOLUTION

19.1 The Parties shall attempt in good faith to negotiate a settlement to any dispute between them arising out of or in connection with the Agreement and such efforts shall involve the escalation of the dispute to an appropriately senior representative of each Party.

19.2 If the dispute cannot be resolved by the Parties within one month of being escalated as referred to in clause 19.1, the dispute may by agreement between the Parties be referred to a neutral adviser or mediator (the "**Mediator**") chosen by agreement between the Parties. All negotiations connected with the dispute shall be conducted in confidence and without prejudice to the rights of the Parties in any further proceedings.

19.3 If the Parties fail to appoint a Mediator within one month, or fail to enter into a written agreement resolving the dispute within one month of the Mediator being appointed, either Party may exercise any remedy it has under applicable law.

20 GENERAL

20.1 Each of the Parties represents and warrants to the other that it has full capacity and

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Customer, and all necessary consents, licences and permissions to enter into and perform its obligations under the Agreement, and that the Agreement is executed by its duly authorised representative.

- 20.2 A person who is not a party to the Agreement shall have no right to enforce any of its provisions which, expressly or by implication, confer a benefit on him, without the prior written agreement of the Parties.
- 20.3 The Agreement cannot be varied except in writing signed by a duly authorised representative of both the Parties.
- 20.4 The Agreement contains the whole agreement between the Parties and supersedes and replaces any prior written or oral agreements, representations or understandings between them. The Parties confirm that they have not entered into the Agreement on the basis of any representation that is not expressly incorporated into the Agreement. Nothing in this clause shall exclude liability for fraud or fraudulent misrepresentation.
- 20.5 Any waiver or relaxation either partly, or wholly of any of the terms and conditions of the Agreement shall be valid only if it is communicated to the other Party in writing and expressly stated to be a waiver. A waiver of any right or remedy arising from a breach of contract shall not constitute a waiver of any right or remedy arising from any other breach of the Agreement.
- 20.6 The Agreement shall not constitute or imply any partnership, joint venture, agency, fiduciary relationship or other relationship between the Parties other than the contractual relationship expressly provided for in the Agreement. Neither Party shall have, nor represent that it has, any Customer to make any commitments on the other Party's behalf.
- 20.7 Except as otherwise expressly provided by the Agreement, all remedies available to either Party for breach of the Agreement (whether under the Agreement, statute or common law) are cumulative and may be exercised concurrently or separately, and the exercise of one remedy shall not be deemed an election of such remedy to the exclusion of other remedies.
- 20.8 If any provision of the Agreement is prohibited by law or judged by a court to be unlawful, void or unenforceable, the provision shall, to the extent required, be severed from the Agreement and rendered ineffective as far as possible without modifying the remaining provisions of the Agreement, and shall not in any way affect any other circumstances of or the validity or enforcement of the Agreement.

21 NOTICES

- 21.1 Any notice to be given under the Agreement shall be in writing and may be served by personal delivery, first class recorded or, subject to clause 21.3, e-mail to the address of the relevant Party set out in the Award Letter, or such other address as that Party may from time to time notify to the other Party in accordance with this clause:
- 21.2 Notices served as above shall be deemed served on the Working Day of delivery provided delivery is before 5.00pm on a Working Day. Otherwise delivery shall be deemed to occur on the next Working Day. An email shall be deemed delivered when sent unless an error message is received.
- 21.3 Notices under clauses 15 (Force Majeure) and 16 (Termination) may be served by email only if the original notice is then sent to the recipient by personal delivery or

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recorded delivery in the manner set out in clause 21.1.

22 GOVERNING LAW AND JURISDICTION

The validity, construction and performance of the Agreement, and all contractual and non-contractual matters arising out of it, shall be governed by English law and shall be subject to the exclusive jurisdiction of the English courts to which the Parties submit.



ANNEX 2 – PRICE SCHEDULE

REDACTED

ANNEX 3 – STATEMENT OF REQUIREMENTS

1. PURPOSE

- 1.1 The Cabinet Office's Disability Unit would like to procure the development of an evaluation approach to the UK National Disability Strategy. This will include a theory of change encompassing both the outcomes of policies (Part 1 of the Strategy), the process of policy developments and service delivery across the UK government (Part 2 of the Strategy), and a proposal of monitoring measures and evaluation indicators. The final output will consist of a report containing the different steps of developing the framework and a strength/weakness analysis of alternative approaches. This report will inform options on how to evaluate the National Disability Strategy. The report will be shared with other experts and disability stakeholders. It should be easily accessible for stakeholders with a variety of impairments and might be made publicly available.

2. BACKGROUND TO THE CONTRACTING AUTHORITY

- 2.1 The Disability Unit is a team based in the Cabinet Office. The Unit's aim is to break down the barriers faced by disabled people in the UK. We have created an evidence-based National Disability Strategy that has the lived experience of disabled people at its core. We are responsible for bringing the views, insights and lived experience of disabled people to the centre of policymaking, and helping government departments to develop, monitor and evaluate policies and services that remove barriers faced by disabled people.

3. BACKGROUND TO REQUIREMENT/OVERVIEW OF REQUIREMENT

- 3.1 At the heart of the Strategy is a focus on the lived experience of disabled people. This is crucial to ensuring the strategy meets its aims of improving day-to-day lives, removing barriers and extending opportunities for disabled people. The lived experience of disabled people will also be the focus of the evaluation approach.
- 3.2 In the Strategy, the UK government commits to publish an annual report which summarises the progress in implementing the Strategy. In that report it will provide information about the immediate actions it has committed to in the Strategy (Part 1) and publish the report by the summer of 2022.
- 3.3 The UK government's annual report will set out progress made on the departmental actions such as employment, education, loneliness and well-being. It will also update on additional action on crime, social participation, and accessible products and services as areas of immediate development.

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- 3.4 However, in the Strategy the UK government recognises that these outcome data do not reflect disabled people's everyday experience, and they do not reflect the experience of disabled children and their families. For that reason, the UK government has committed itself to publish by the summer 2022 an evaluation approach containing a set of indicators and a dashboard that reflect disabled people's lived experience and can be used to track the impact of the National Disability Strategy.
- 3.5 Importantly the Strategy commits that the indicators will be developed following the principles of co-design and through engagement with disabled people. To aid with co-design, the DU/Equality Hub will set up an expert lived experience panel to advise on the development and use of evidence. It is expected that the panel will be informed and discuss the proposed framework.
- 3.6 Finally the Strategy commits to improve its evidence base and data collection on disabled people. The government's evidence base is currently focussed on government department's requirements, primarily administering support provisions, reasonable adjustments, and other services to disabled people. Such evidence does not adequately consider the lived experience and needs of disabled people, or how services impact disabled people's lives. This opens up the possibility of developing new data which better reflect the needs, experiences and aspirations of disabled people. The supplier will be expected to identify and document areas where new or more well-developed data are required.
- 3.7 This project will require to actively work with a range of partners such as Government Departments, academic and third sector stakeholders. The Disability Unit will provide contacts and introductions to enable this process. The supplier is required to develop these relationships further, and ensure that partners are involved throughout the development of the evaluation approach.
- 3.8 The evaluation forms part of a broader programme of work which will be shared with the supplier. It includes:
- 3.8.1 A data improvement project across government.
 - 3.8.2 Developing a recurrent representative survey into the needs, experiences and aspirations of disabled people in the UK.
 - 3.8.3 Scoping the recurrent measurement of public perceptions of disabled people and disability policy.
 - 3.8.4 A quality assurance and governance structure, including a panel of disability lived experience experts.

4. DEFINITIONS

Expression or Acronym	Definition
NDS	National Disability Strategy
Lived experience	Lived experience research is “personal knowledge about the world gained through direct, first-hand involvement in everyday events rather than through representations constructed by other people.” ¹ This focus on direct, first-hand knowledge often involves employing ethnographic methods. However, it could also consist of quantitative data, for example via surveys using questions generated from this immersion.
Disability	We define disability in line with the UK census, which asks the question: ‘Are your day-to-day activities limited because of a health problem or disability which has lasted, or is expected to last, at least 12 months?’.
Charities and DPO’s	We define charities as registered charities representing disability interests. We define disabled people’s organisations as organisations run by and for people with lived experience of disability and health conditions.
Expert panel	Panel set up of disability experts and lived experience to advise on the development and use of disability related evidence

5. SCOPE OF REQUIREMENT

5.1 The evaluation approach will enable to

5.1.1 assess the impact of the policies on disabled people’s lives (going beyond outcomes) - Part 1 of the NDS: **Practical steps now to improve disabled people’s everyday lives**

5.1.2 assess improvement of the process by which the UK government is implementing the NDS and improve its capability of an inclusive policy cycle (design, delivery and evaluation) - Part 2 of the NDS: **Disabled people at the heart of government policy making and service delivery**

¹ [‘Lived Experience’ in Oxford Reference](#)



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- 5.2 The evaluation approach will furthermore incorporate an assessment of how and how much the National Disability Strategy realises its five principles:
- 5.2.1 **Ensure fairness and equality** – we will empower disabled people by promoting fairness and equality in opportunities, outcomes and experiences, including work.
 - 5.2.2 **Consider disability from the start** – we will develop inclusive and accessible approaches and services to avoid creating disabling experiences from the outset.
 - 5.2.3 **Support independent living** – we will actively encourage initiatives that support all disabled people to have choice and control in life.
 - 5.2.4 **Increase participation** – we will enable greater inclusion of a diverse disabled population in the development and delivery of services, products and policies.
 - 5.2.5 **Deliver joined up responses** – we will work across organisational boundaries and improve data and evidence to better understand and respond to complex issues that affect disabled people.
- 5.3 In developing an evaluation approach the supplier will actively consider the following aspects
- 5.3.1 **Collaboration.** Disability Unit's (DU) role is to provide coordination and leadership to all cross-government evaluations relating to the NDS. While the responsibility to evaluate the impact of specific policies remains with the relevant departments, the DU will
 - 5.3.1.1 bring together disparate analysis to build an overarching picture;
 - 5.3.1.2 encourage and supports government departments to carry out evaluations in line with this evaluation strategy
 - 5.3.2 **Co-evaluation.** Evaluation should be no exception to the disability community's mantra of 'nothing about us without us'. The evaluative approach should bring in a range of experts (both by experience - i.e. disabled people and close associates - and by occupation) to provide expertise and challenges concerning a) the evaluation approach; b) what it is that DU is evaluating (e.g. metrics). DU will facilitate the access to experts and stakeholders
 - 5.3.3 **Centre on lived experience.** The focus is on impacts, not just outcomes. In line with the evidence-related aspects of the NDS, the evaluation of the NDS prioritises the lived experiences, needs and



aspirations of disabled people. This means that the focus can not just be on collecting generic outcomes data (e.g. employment statistics) but must include the experiences of disabled people, and outcome measures which are tailored to respond to the needs and aspirations of disabled people.

5.3.4 Open. As far as possible, the process and outcomes of the evaluation work will be open and public. This will enable interested parties - e.g. disabled people, Disabled People's Organisations (DPOs), charities and academia - to assess the government's progress towards improving the lives of disabled people.

5.3.5 Strategy-lead; focussed on fundamental barriers to equality. The evaluation is looking to provide evidence on policy specific processes and outcomes. It will equally provide evidence to increase the central government's understanding of the fundamental barriers that drive the observed inequalities. As a starting point, these should be derived from the 'elements' in the NDS, although they may evolve over time.

5.3.6 Formative assessment. The evaluative approach will be formative rather than summative. This means that it will be designed to inform learning, rather than to determine linear causality.

5.3.7 Complex and cross-cutting. Taking a lived experience approach, which focuses on the fundamental barriers to inequality, means evaluation will transcend the boundaries of individual policies and departments, and will try to explain the inevitable complexity of creating positive social changes.

5.3.8 Encompassing process. Better outcomes for disabled people also requires better, more inclusive and participatory policy-making. It is part of Disability Unit's post-strategy aims to have a role in encouraging and supporting inclusive policy-making practices across government. The evaluation approach will consider DU's impact on the policy making process.

5.3.9 Diverse and intersectional. The evaluation needs to consider the experiences and needs of - as well as the impacts upon - a diverse range of disabled people as well as their carers and families. This means considering the impacts on a range of impairment types, as well as the interaction between disability and other characteristics (e.g. gender and ethnicity).

5.4 The evaluation approach is required to go beyond direct causal assessment and work towards **generational or composite causality** taking into account



indirect, intangible and unknown outcomes and uncertain interrelationships. This is sometimes called a Complex Adaptive System², or a 'Wicked Problem'. Because causal understanding is not reducible to measuring an intervention's component parts, the evaluation will require taking a systems view.

5.4.1 In line with HM Treasury's advice we are aware that "Understanding of complex adaptive systems, and their implications for evaluation, remains quite limited. Added to this, some complexity-appropriate methods described in Appendix 1 are relatively new, and may require specialist knowledge and experience. This may mean looking beyond the usual evaluation providers and tapping a wider field of expertise, including practitioners and academics with expertise in complexity sciences, new research methods or modelling skills"³

5.4.2 In developing the approach, the supplier will make reference to the UK government HM Treasury's [Green Book](#) and where it is required to use relatively new and innovative complexity evaluation approaches, [Magenta Book](#) and the [Supplementary Guide to Handling Complexity in Policy Evaluation](#).

5.4.3 We invite the supplier to actively explore taking a hybrid approach, e.g. combining participatory approaches with participatory systems mapping.

5.4.3.1 **Participatory approaches** are those in which stakeholders (in this case disabled people, carers / family members, academics, charities and DPOs) take an active role in co-designing and co-delivering the evaluation⁴.

5.4.3.2 **Participatory Systems Mapping** is a type of theory-based approach which is "used to generate, progress and test the theory of change in complex environments through an iterative process of developing and testing a formal model of the system (including the intervention)".⁵ A systems model or theory consists of predictive mapping

² HMT (2020), [Magenta Book Supplementary Guide: Handling Complexity in Policy Evaluation](#), p10

³ HMT (2020), [Magenta Book Supplementary Guide: Handling Complexity in Policy Evaluation](#), p35

⁴ HMT (2020), [Magenta Book Supplementary Guide: Handling Complexity in Policy Evaluation](#), p52

⁵ HMT (2020), [Magenta Book Supplementary Guide: Handling Complexity in Policy Evaluation](#), p53



of process-outcome relationships, encompassing the nature of the 'problem', the definition(s) of 'success', under what conditions impacts might be interrupted etc. The Treasury's Magenta Book recommends that in order to understand the intervention(s) and its context, a 'map' of the system should be produced using a process such as logic or systems mapping⁶. It is also recommended that when mapping something that is complex (as it is here), this process can be greatly enhanced by involving stakeholders and treating maps as a 'theory to be tested'⁷.

5.4.4 The supplier can propose alternative approaches which address the need for a system view, co design and addresses the complexity of the issues

5.5 At a minimum we expect the outputs to be:

5.5.1 A final report, written and structured for publication on gov.uk. Disability Unit would expect to review and comment on at least two drafts of this prior to agreeing final versions and approving payment of related invoices. As a minimum, Disability Unit staff would require at least one working week to review and return comments on a draft report.

5.5.2 The report will contain

5.5.2.1 A theory of change

5.5.2.2 A set of measures to monitor progress and indicators for evaluation

5.5.2.3 Statement of methodology and clear rationale of proposed choices, including a strength weakness analysis comparing with other approaches

5.5.3 At least three presentations to the governance board or Equality Hub staff

5.5.4 Any associated outputs e.g. proposals for the development of data or engagement approaches.

⁶ HMT (2020), [Magenta Book](#), p24-26

⁷ HMT (2020), [Magenta Book Supplementary Guide: Handling Complexity in Policy Evaluation](#), p30



6. THE REQUIREMENT

6.1 The Supplier will develop an evaluation approach of the National Disability Strategy.

6.2 It will take the following steps

6.2.1 **Using existing evidence and insights** to form the starting point on the 'why' and the 'how' of the theory base. This will primarily use previous research. The Equality Hub will provide the supplier with all used evidence for the development and implementation of the National Disability Strategy (Quasi-ethnographic research report, UK disability research report, systematic review of lived experience and evidence reviews for the National Strategy). The supplier is actively encouraged to include other evidence not included.

6.2.2 **Intervention logic mapping.** Building on existing evidence and insights, a logic map should be built to map the component parts of the Strategy. This should give good coverage of the expected mechanics and sequences, but may still leave gaps when it comes to processes, interrelationships and hidden assumptions / risks. It's likely, given the sheer breadth of the area of focus, that this will be more than 1 logic map.

6.2.3 **Theory of Change.** The ToC builds on the logic map to provide a more contextualised, systems understanding. The ToC will describe a causal chain system which includes an understanding of context, expectations, assumptions and risks.

6.2.4 **Evaluation indicators.** Using both existing and required (future) sources. We expect the indicators to comprehensively cover all life stages and all impairment types (where possible and meaningful to do so, the [GSS harmonised standards](#) for impairments should be used so as to increase comparability with government published data). The focus should be on the lived experience of disabled people, but evidence on this could be ascertained from other sources (e.g. friends, family, carers, community groups).

6.3 We welcome collaborative teams, in which the Supplier is comprised of 2 or more different organisations which between them consist of the required expertise and skills.

7. KEY MILESTONES AND DELIVERABLES

7.1 The following Contract milestones/deliverables shall apply:



Milestone/Deliverable	Description	Timeframe or Delivery Date
1	Work commences	No later than 1 week of Contract Award
2	Research Protocol developed and agreed	By week 4 of Contract Award
3	Operational plan building on existing evidence and insights	By week 10 of contract award
4	Intervention logic mapping completed	By week 24 of contract award
5	Theory of Change	By Week 32 of award
6	Evaluation indicators and dashboard proposal	By Week 36 of award
7	First draft of final report completed	By Week 37 of award
7	Final draft of final report	By week 38 of award

8. MANAGEMENT INFORMATION/REPORTING

- 8.1 The Supplier should expect to update and share their work with Disability Unit/Equality Hub at each of the milestones set out in section 7. In addition to this, we would like to have fortnightly 1-hour meetings to be kept up to date and to allow space for the supplier to ask questions of the Disability Unit/Equality Hub and vice versa. The supplier should have availability during normal working hours (9am-5.30pm, Mon-Fri) for these meetings, and should have some degree of flexibility around the availability of Disability Unit's staff.
- 8.2 Disability Unit/Equality Hub will want to engage their network of disability experts and advisors at some or all of these stages, and the supplier would need to be prepared to have their work scrutinised, validated and challenged by this network.
- 8.3 Disability Unit/Equality Hub will designate a primary point of contact for this work, and the supplier should update this contact with any significant changes or developments in between regular meetings. Should any questions arise,

the supplier should make contact with Disability Unit/Equality Hub's primary contact as needed.

9. VOLUMES

9.1 Not applicable.

10. CONTINUOUS IMPROVEMENT

- 10.1 The Supplier will be expected to continually improve the way in which the required Services are to be delivered throughout the Contract duration.
- 10.2 The Supplier should present new ways of working to the Authority during quarterly Contract review meetings.
- 10.3 Changes to the way in which the Services are to be delivered must be brought to the Authority's attention and agreed prior to any changes being implemented.

11. SUSTAINABILITY

- 11.1 The Disability Unit would like this evaluation approach to be a 'living' product rather than a static one. As such, it is important that the supplier indicate how they might leave Disability Unit/Equality Hub with the tools, information and processes to update and refresh the product.

12. QUALITY

- 12.1 The evaluation should be completed to a high academic standard.
- 12.2 The final output should be completed to a high standard in terms of the accessibility and ease of use to a wide range of non-specialist users.

13. PRICE

- 13.1 The maximum budget for this work is £90k (exclusive of VAT). In providing a price, the supplier should make it clear how many person days will be devoted to completing this work. If applicable, this should be separated out between different individuals / roles, making clear the skill/experience level and cost of each individual.
- 13.2 The supplier will not be required to travel and can complete all work and meetings remotely. As such, it is not expected that travel and subsistence costs will be incurred.
- 13.3 Prices are to be submitted via the e-Sourcing Suite Attachment 4 – Price Schedule excluding VAT and including all other expenses relating to Contract delivery.

14. STAFF AND CUSTOMER SERVICE

- 14.1 The Supplier shall provide a sufficient level of resource throughout the duration of the Contract in order to consistently deliver a quality service.
- 14.2 The Supplier's staff assigned to the Contract shall have the relevant qualifications and experience to deliver the Contract to the required standard.
- 14.3 The Supplier shall ensure that staff understand the Authority's vision and objectives and will provide excellent customer service to the Authority throughout the duration of the Contract.

15. SERVICE LEVELS AND PERFORMANCE

- 15.1 The Authority will measure the quality of the Supplier's delivery by checking that the Supplier has met all of the requirements set out in 5.1, and delivered all of the outputs set out in 5.2.
- 15.2 The supplier will work closely with the Disability Unit through a Service Level Agreement to manage milestones within the specified timeframe. Disability Unit have the right to terminate the contract if the supplier cannot fulfil the criteria and continues to miss milestones.

KPI/SL A	Service Area	KPI/SLA description	Target
1	Delivery timescales	All delivery timeframes met within 1 week of agreed timeframe.	90%
2	Deliverables enable evaluation	Deliverables will enable assessment of the impact of policies on disabled people's lived, and assessment of improvement of the process by which the UK government implements the National Disability Strategy.	NA
3	Final delivery	Final project delivery completed with allocated 38 week timeframe.	100%

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16. SECURITY AND CONFIDENTIALITY REQUIREMENTS

- 16.1 The supplier will not be required to undertake security vetting.
- 16.2 The supplier will largely be working with information already accessible to them in the public domain. Any documents or information shared with the Supplier by Disability Unit should remain confidential and not be shared further.

17. PAYMENT AND INVOICING

- 17.1 Payment will be made by invoice, 30 days from completion of work. The Disability Unit will provide the supplier with a Purchase Order Number to enable invoicing.
- 17.2 Payment can only be made following satisfactory delivery of pre-agreed certified products and deliverables.
- 17.3 Before payment can be considered, each invoice must include a detailed elemental breakdown of work completed and the associated costs.
- 17.4 Invoices should be submitted to: The Disability Unit/Equality Hub, 10 Victoria Street, London, SW1H 0NB.

18. CONTRACT MANAGEMENT

- 18.1 The contract will be managed through the meetings set out in Section 8.
- 18.2 All meetings shall be conducted remotely via Google Hangouts, so the supplier shall not incur any travel expenses to attend these meetings.

19. LOCATION

- 19.1 The location of the Services will be carried out remotely at the Supplier's chosen location.



ANNEX 4 – SUPPLIERS RESPONSE

REDACTED

ANNEX 5 – CLARIFICATIONS

Not Applicable



ANNEX 6 – ADDITIONAL TERMS & CONDITIONS

1. Data Protection

- 1.1 The Parties acknowledge that for the purposes of the Data Protection Legislation, the Customer is the Controller and the Supplier is the Processor. The only processing that the Supplier is authorised to do is listed in Annex 1 to this Schedule (Processing Personal Data) by the Customer and may not be determined by the Supplier.
- 1.2 The Supplier shall notify the Customer immediately if it considers that any of the Customer's instructions infringe the Data Protection Legislation.
- 1.3 The Supplier shall provide all reasonable assistance to the Customer in the preparation of any Data Protection Impact Assessment prior to commencing any processing. Such assistance may, at the discretion of the Customer, include:
- (a) a systematic description of the envisaged processing operations and the purpose of the processing;
 - (b) an assessment of the necessity and proportionality of the processing operations in relation to the Services;
 - (c) an assessment of the risks to the rights and freedoms of Data Subjects; and
 - (d) the measures envisaged to address the risks, including safeguards, security measures and mechanisms to ensure the protection of Personal Data.
- 1.4. The Supplier shall, in relation to any Personal Data processed in connection with its obligations under this Framework Agreement:
- (a) process that Personal Data only in accordance with Annex 1 (Processing Personal Data), unless the Supplier is required to do otherwise by Law. If it is so required the Supplier shall promptly notify the Customer before processing the Personal Data unless prohibited by Law;
 - (b) ensure that it has in place Protective Measures which have been reviewed and approved by the Customer as appropriate to protect against a Data Loss Event having taken account of the:
 - (i) nature of the data to be protected;
 - (ii) harm that might result from a Data Loss Event;
 - (iii) state of technological development; and
 - (iv) cost of implementing any measures;
 - (c) ensure that :
 - (i) the Supplier Personnel do not process Personal Data except in accordance with this Framework Agreement (and in particular Annex 1 (Processing Personal Data));

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- (ii) it takes all reasonable steps to ensure the reliability and integrity of any Supplier Personnel who have access to the Personal Data and ensure that they:
 - (A) are aware of and comply with the Supplier's duties under this Clause;
 - (B) are subject to appropriate confidentiality undertakings with the Supplier or any Sub-processor;
 - (C) are informed of the confidential nature of the Personal Data and do not publish, disclose or divulge any of the Personal Data to any third Party unless directed in writing to do so by the Customer or as otherwise permitted by this Contract; and
 - (D) have undergone adequate training in the use, care, protection and handling of Personal Data;
 - (d) not transfer Personal Data outside of the EU unless the prior written consent of the Customer has been obtained and the following conditions are fulfilled:
 - (i) the Customer or the Supplier has provided appropriate safeguards in relation to the transfer (whether in accordance with GDPR Article 46 or LED Article 37) as determined by the Customer;
 - (ii) the Data Subject has enforceable rights and effective legal remedies;
 - (iii) the Supplier complies with its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred (or, if it is not so bound, uses its best endeavours to assist the Customer in meeting its obligations); and
 - (iv) the Supplier complies with any reasonable instructions notified to it in advance by the Customer with respect to the processing of the Personal Data;
 - (e) at the written direction of the Customer, delete or return Personal Data (and any copies of it) to the Customer on termination of the this Contract unless the Supplier is required by Law to retain the Personal Data.

1.5 Subject to Clause 1.7, the Supplier shall notify the Customer immediately if it:

- (a) receives a Data Subject Access Request (or purported Data Subject Access Request);
- (b) receives a request to rectify, block or erase any Personal Data;
- (c) receives any other request, complaint or communication relating to either Party's obligations under the Data Protection Legislation;
- (d) receives any communication from the Information Commissioner or any other regulatory authority in connection with Personal Data processed under this Contract;
- (e) receives a request from any third Party for disclosure of Personal Data where compliance with such request is required or purported to be required by Law; or
- (f) becomes aware of a Data Loss Event.

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- 1.6 The Supplier's obligation to notify under Clause 1.5 shall include the provision of further information to the Customer in phases, as details become available.
- 1.7 Taking into account the nature of the processing, the Supplier shall provide the Customer with full assistance in relation to either Party's obligations under Data Protection Legislation and any complaint, communication or request made under Clause 1.5 (and insofar as possible within the timescales reasonably required by the Customer) including by promptly providing:
- (a) the Customer with full details and copies of the complaint, communication or request;
 - (b) such assistance as is reasonably requested by the Customer to enable the Customer to comply with a Data Subject Access Request within the relevant timescales set out in the Data Protection Legislation;
 - (c) the Customer, at its request, with any Personal Data it holds in relation to a Data Subject;
 - (d) assistance as requested by the Customer following any Data Loss Event;
 - (e) assistance as requested by the Customer with respect to any request from the Information Commissioner's Office, or any consultation by the Customer with the Information Commissioner's Office.
- 1.8 The Supplier shall maintain complete and accurate records and information to demonstrate its compliance with this Clause. This requirement does not apply where the Supplier employs fewer than 250 staff, unless:
- (a) the Customer determines that the processing is not occasional;
 - (b) the Customer determines the processing includes special categories of data as referred to in Article 9(1) of the GDPR or Personal Data relating to criminal convictions and offences referred to in Article 10 of the GDPR; and
 - (c) the Customer determines that the processing is likely to result in a risk to the rights and freedoms of Data Subjects.
- 1.9 The Supplier shall allow for audits of its Data Processing activity by the Customer or the Customer's designated auditor.
- 1.10 The Supplier shall designate a Data Protection Officer if required by the Data Protection Legislation.
- 1.11 Before allowing any Sub-processor to process any Personal Data related to this Contract, the Supplier must:
- (a) notify the Customer in writing of the intended Sub-processor and processing;
 - (b) obtain the written consent of the Customer;
 - (c) enter into a written agreement with the Sub-processor which give effect to the terms set out in this Clause 1.11 such that they apply to the Sub-processor; and
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- (d) provide the Customer with such information regarding the Sub-processor as the Customer may reasonably require.
- 1.12. The Supplier shall remain fully liable for all acts or omissions of any Sub-processor.
- 1.13. The Supplier may, at any time on not less than 30 Working Days' notice, revise this Clause by replacing it with any applicable controller to processor standard clauses or similar terms forming part of an applicable certification scheme (which shall apply when incorporated by attachment to this Contract).
- 1.14. The Parties agree to take account of any guidance issued by the Information Commissioner's Office. The Customer may on not less than 30 Working Days' notice to the Supplier amend this Contract to ensure that it complies with any guidance issued by the Information Commissioner's Office.
- 1.15. The Parties acknowledge that for the purposes of the Data Protection Legislation, the Customer is the Controller and the Supplier is the Processor. The only processing that the Supplier is authorised to do is listed in Annex 1 (Processing Personal Data) by the Customer and may not be determined by the Supplier.
- 1.16. The Supplier shall notify the Customer immediately if it considers that any of the Customer's instructions infringe the Data Protection Legislation.
- 1.17. The Supplier shall provide all reasonable assistance to the Customer in the preparation of any Data Protection Impact Assessment prior to commencing any processing. Such assistance may, at the discretion of the Customer, include:
- (a) a systematic description of the envisaged processing operations and the purpose of the processing;
 - (b) an assessment of the necessity and proportionality of the processing operations in relation to the Services;
 - (c) an assessment of the risks to the rights and freedoms of Data Subjects; and
 - (d) the measures envisaged to address the risks, including safeguards, security measures and mechanisms to ensure the protection of Personal Data.
- 1.18. The Supplier shall, in relation to any Personal Data processed in connection with its obligations under this Call Off Contract:
- (a) process that Personal Data only in accordance with Annex 1 (Processing Personal Data), unless the Supplier is required to do otherwise by Law. If it is so required the Supplier shall promptly notify the Customer before processing the Personal Data unless prohibited by Law;
 - (b) ensure that it has in place Protective Measures which have been reviewed and approved by the Customer as appropriate to protect against a Data Loss Event having taken account of the:
 - (i) nature of the data to be protected;
 - (ii) harm that might result from a Data Loss Event;
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- (iii) state of technological development; and
 - (iv) cost of implementing any measures;
 - (c) ensure that :
 - (i) the Supplier Personnel do not process Personal Data except in accordance with this Call Off Contract (and in particular Annex 1 (Processing Personal Data));
 - (ii) it takes all reasonable steps to ensure the reliability and integrity of any Supplier Personnel who have access to the Personal Data and ensure that they:
 - (A) are aware of and comply with the Supplier's duties under this Clause;
 - (B) are subject to appropriate confidentiality undertakings with the Supplier or any Sub-processor;
 - (C) are informed of the confidential nature of the Personal Data and do not publish, disclose or divulge any of the Personal Data to any third Party unless directed in writing to do so by the Customer or as otherwise permitted by this Call Off Contract; and
 - (D) have undergone adequate training in the use, care, protection and handling of Personal Data;
 - (d) not transfer Personal Data outside of the EU unless the prior written consent of the Customer has been obtained and the following conditions are fulfilled:
 - (i) the Customer or the Supplier has provided appropriate safeguards in relation to the transfer (whether in accordance with GDPR Article 46 or LED Article 37) as determined by the Customer;
 - (ii) the Data Subject has enforceable rights and effective legal remedies;
 - (iii) the Supplier complies with its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred (or, if it is not so bound, uses its best endeavours to assist the Customer in meeting its obligations); and
 - (iv) the Supplier complies with any reasonable instructions notified to it in advance by the Customer with respect to the processing of the Personal Data;
 - (e) at the written direction of the Customer, delete or return Personal Data (and any copies of it) to the Customer on termination of the Call Off Contract unless the Supplier is required by Law to retain the Personal Data.

1.19 Subject to Clause 1.21, the Supplier shall notify the Customer immediately if it:

- (a) receives a Data Subject Access Request (or purported Data Subject Access Request);
- (b) receives a request to rectify, block or erase any Personal Data;



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- (c) receives any other request, complaint or communication relating to either Party's obligations under the Data Protection Legislation;
- (d) receives any communication from the Information Commissioner or any other regulatory Customer in connection with Personal Data processed under this Call Off Contract;
- (e) receives a request from any third Party for disclosure of Personal Data where compliance with such request is required or purported to be required by Law; or
- (f) becomes aware of a Data Loss Event.
- 1.20 The Supplier's obligation to notify under Clause 1.19 shall include the provision of further information to the Customer in phases, as details become available.
- 1.21 Taking into account the nature of the processing, the Supplier shall provide the Customer with full assistance in relation to either Party's obligations under Data Protection Legislation and any complaint, communication or request made under Clause 1.19 (and insofar as possible within the timescales reasonably required by the Customer) including by promptly providing:
- (a) the Customer with full details and copies of the complaint, communication or request;
- (b) such assistance as is reasonably requested by the Customer to enable the Customer to comply with a Data Subject Access Request within the relevant timescales set out in the Data Protection Legislation;
- (c) the Customer, at its request, with any Personal Data it holds in relation to a Data Subject;
- (d) assistance as requested by the Customer following any Data Loss Event;
- (e) assistance as requested by the Customer with respect to any request from the Information Commissioner's Office, or any consultation by the Customer with the Information Commissioner's Office.
- 1.22 The Supplier shall maintain complete and accurate records and information to demonstrate its compliance with this Clause. This requirement does not apply where the Supplier employs fewer than 250 staff, unless:
- (a) the Customer determines that the processing is not occasional;
- (b) the Customer determines the processing includes special categories of data as referred to in Article 9(1) of the GDPR or Personal Data relating to criminal convictions and offences referred to in Article 10 of the GDPR; and
- (c) the Customer determines that the processing is likely to result in a risk to the rights and freedoms of Data Subjects.
- 1.23 The Supplier shall allow for audits of its Data Processing activity by the Customer or the Customer's designated auditor.
- 1.24 The Supplier shall designate a Data Protection Officer if required by the Data Protection Legislation.
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- 1.25 Before allowing any Sub-processor to process any Personal Data related to this Call Off Contract, the Supplier must:
- (a) notify the Customer in writing of the intended Sub-processor and processing;
 - (b) obtain the written consent of the Customer;
 - (c) enter into a written agreement with the Sub-processor which give effect to the terms set out in this Clause 1.25 such that they apply to the Sub-processor; and
 - (d) provide the Customer with such information regarding the Sub-processor as the Customer may reasonably require.
- 1.26 The Supplier shall remain fully liable for all acts or omissions of any Sub-processor.
- 1.27 The Supplier may, at any time on not less than 30 Working Days' notice, revise this Clause by replacing it with any applicable controller to processor standard clauses or similar terms forming part of an applicable certification scheme (which shall apply when incorporated by attachment to this Call Off Contract).
- 1.28 The Parties agree to take account of any guidance issued by the Information Commissioner's Office. The Customer may on not less than 30 Working Days' notice to the Supplier amend this Call Off Contract to ensure that it complies with any guidance issued by the Information Commissioner's Office.



Annex 1 –Processing Personal Data Authorised Processing Template

1. The contact details of the Customer's Data Protection Officer is:
REDACTED
2. The contract details of the Supplier Data Protection Officer is:
REDACTED
3. The Processor shall comply with any further written instructions with respect to processing by the Controller.
4. Any such further instructions shall be incorporated into this Annex.

Contract Reference:	
Date:	
Description Of Authorised Processing	Details
Identity of the Controller and Processor	<p>Guidance: You will need to select whether to make use of Option A or Option B or Option C and/or Option D depending on which of the Parties are the data controller for the purposes of the Contract</p> <p>1.1 OPTION A: <i>Customer as Controller</i></p> <p>The Parties acknowledge that for the purposes of the Data Protection Legislation, the Customer is the Controller and the Supplier is the Processor in accordance with Clause 1.1.]</p> <p>OPTION B: <i>Supplier as Controller</i></p> <p>Notwithstanding Clause 1.1 the Parties acknowledge that for the purposes of the Data Protection Legislation, the Supplier is the Controller and the Customer is the Processor.</p> <p>OPTION C: <i>Both Parties are Controller of separate data</i></p>



	<p>Notwithstanding Clause 1.1 the Parties acknowledge that for the purposes of the Data Protection Legislation:</p> <p>(a) the Customer is the Controller and the Supplier is the Processor for the following Personal Data under this Contract:</p> <p>(i) Insert scope of Personal Data which where the purposes and means of the processing is determined by the Customer</p> <p>(b) the Supplier is the Controller and the Customer is the Processor for the following Personal Data under this Contract:</p> <p>(i) Insert scope of Personal Data which where the purposes and means of the processing is determined by the Supplier</p> <p>OPTION D: [Joint Controllers]</p> <p>Notwithstanding Clause [Z/X] the Parties acknowledge that they are joint Controllers for the purposes of the Data Protection Legislation in respect of Insert scope of Personal Data for which the purposes and means of the processing is determined by the both Parties and Annex A to this Schedule shall apply.</p>
Subject matter of the processing	This should be a high level, short description of what the processing is about i.e. its subject matter
Duration of the processing	Clearly set out the duration of the processing including dates
Nature and purposes of the processing	Please be as specific as possible, but make sure that you cover all intended purposes. The nature of the processing means any operation such as collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise

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	making available, alignment or combination, restriction, erasure or destruction of data (whether or not by automated means) etc. The purpose might include: employment processing, statutory obligation, recruitment assessment etc.
Type of Personal Data	Examples here include: name, address, date of birth, NI number, telephone number, pay, images, biometric data etc.
Categories of Data Subject	Examples include: Staff (including volunteers, agents, and temporary workers), customers/ clients, suppliers, patients, students / pupils, members of the public, users of a particular website etc.
	Describe how long the data will be retained for, how it be returned or destroyed.



ANNEX 7 – CHANGE CONTROL FORMS

CHANGE CONTROL NOTICE (CCN)

Contract Title: Contract for the Provision of **Insert title of requirement** (The Contract)

Contract Reference:

Contract Change Number:

Date CCN issued:

**Date Change Effective
from:**

Between: The **Insert Name of Contracting Authority** (The Customer) and **Insert name of Supplier** (The Supplier)

1. The Contract is varied as follows:

1.1. **Insert details of changes to the original contract.**

2. Words and expressions in this Change Control Notice shall have the meanings given to them in the Contract.

3. The Contract, including any previous Contract changes, authorised in writing by both Parties, shall remain effective and unaltered except as amended by this Change Control Notice.

Signature

Print Name and Job Title

Date

Change authorised to
proceed by: (Customer's
representative):

Authorised for and
on behalf of the
Supplier:

Signature

Print Name and Job Title

Date

OFFICIAL



Authorised for and
on behalf of the
Customer:

Signature

Print Name and Job Title

Date