

FRAMEWORK AGREEMENT AND TERMS AND CONDITIONS

| | |
|----------------------------|---|
| The Authority | NHS England whose principal office is at Wellington Place, Leeds. |
| The Supplier | AWARDED SUPPLIERS |
| Commencement Date | |
| Expiry Date | As set out under Clause 2.1.2 of Schedule 1 |
| Extension Period(s) | Period(s) of up to a total of 15 months. |
| Type of Goods | Human Albumin & Normal and Anti-D Immunoglobulin |
| Contract Reference | CM/PHS/23/5703 |

The Authority placed a contract notice 2024/S 000-024312 on 02/08/2024 in the Find a Tender (FTS) Portal inviting potential service providers (including the Supplier) to tender for the provision of Human Albumin & Normal and Anti-D Immunoglobulin (divided into Lots) to Participating Authorities identified in the contract notice under framework agreements.

On the basis of the Supplier's Offer, the Authority selected the Supplier to enter a framework agreement(s) to provide Goods to those Participating Authorities who place Orders for Lots in accordance with this Framework Agreement.

This Framework Agreement is made on the date set out above subject to the terms set out in the schedules and appendix listed below ("**Schedules**"). The Authority and the Supplier undertake to comply with the provisions of the Schedules in the performance of this Framework Agreement.

The Definitions in Schedule 4 apply to the use of all capitalised terms in this Framework Agreement.

Schedules

| | |
|-------------------|---|
| Schedule 1 | Key Provisions |
| Schedule 2 | General Terms and Conditions |
| Schedule 3 | Information and Data Provisions |
| Schedule 4 | Definitions and Interpretations |
| Schedule 5 | Specification |
| Schedule 6 | Award Schedule |
| Schedule 7 | Ordering Procedure |
| Schedule 8 | Participating Authorities |
| Appendix A | Call-off Terms and Conditions for the Supply of Goods |

Signed by the authorised representative of THE AUTHORITY

Signed by the authorised representative of THE SUPPLIER

Schedule 1

Key Provisions

Standard Key Provisions

1 Application of the Key Provisions

- 1.1 The standard Key Provisions at Clauses 1 to 7 of this Schedule 1 shall apply to this Framework Agreement.
- 1.2 The optional Key Provisions at Clauses 9 to 19 of this Schedule 1 shall only apply to this Framework Agreement where they have been checked and information completed as applicable.
- 1.3 Extra Key Provisions shall only apply to this Framework Agreement where such provisions are set out at the end of this Schedule 1.

2 Duration and scope

- 2.1 In relation to each Good in each of the Supplier Lots specified in the Award Schedule, the terms of this Framework Agreement shall:
 - 2.1.1 subject to the provisions of Clause 14 of this Schedule Schedule 1 (if applicable), apply with effect from the 1st April 2025 ("the **Effective Date**"); and
 - 2.1.2 unless terminated earlier in accordance with the terms of this Framework Agreement or the general law, shall continue to apply until the 31st December 2027 ("the **Expiry Date**") unless the Authority elects to exercise its option to extend in accordance with Clause 17.2 of Schedule 2. For the avoidance of doubt each Good shall be deemed to have its own Expiry Date.
- 2.2 The Authority may extend the duration of this Framework Agreement by further period(s) of up to a total of 15 months in accordance with Clause 17.2 of Schedule 2.
- 2.3 Insofar as the terms of this Framework Agreement apply to each Good in each of the Supplier Lots specified in the Award Schedule (as described in Clause 2.1 of this Schedule 1), the Parties agree that:
 - 2.3.1 each set of terms as they apply to each Good in each of the Supplier Lots specified in the Award Schedule shall each be a framework agreement within the meaning of Regulation 33(2) of the Regulations; and

2.3.2 for the purposes of Regulation 33 of the Regulations, the term of each such framework agreement shall be the period:

- (i) (subject to the provisions of Clause 14 of this Schedule Schedule 1 (if applicable)) commencing on the Effective Date; and
- (ii) ending on the Expiry Date for that Good for that Supplier Lot, unless the framework agreement is terminated earlier or unless the Authority elects to exercise its option to extend the framework agreement.

2.4 In relation to each Good in each of the Supplier Lots specified in the Award Schedule, the Supplier shall ensure that it is able to fulfil Orders placed at any time on or after the Effective Date.

3 Contract Managers, Supplier Net Zero Corporate Champion and Supplier Net Zero Contract Champion

3.1 The Contract Managers at the commencement of this Framework Agreement are:

3.1.1 for the Authority:

Darryn Boardman, Senior Strategic Category Manager

3.1.2 for the Supplier:

AWARDED SUPPLIERS

4 Names and addresses for notices

4.1 Notices served under this Framework Agreement are to be delivered to:

4.1.1 for the Authority:

Darryn Boardman, Senior Strategic Category Manager

4.1.2 for the Supplier:

AWARDED SUPPLIERS

4.2 The Authority may serve any notices served under this Framework Agreement on the Supplier by sending a message to the Supplier (to the individual designated by the Supplier for receipt of such notification through such portal from time to time) through the portal used for the procurement and/or management of this Framework Agreement (as such portal is designated from time to time for that purpose by the Authority) to the account details on that

portal by the Supplier from time to time for the purposes of receiving such notices or communications relating to this Framework Agreement.

5 Management levels for escalation and dispute resolution

5.1 The management levels at which a Dispute will be dealt with are as follows:

| Level | Authority representative | Supplier representative |
|--------------|---------------------------------|--------------------------------|
| 1 | Contract Manager | Contract Manager |
| 2 | Category Manager | Category Manager |
| 3 | Lead Category Manager | Lead Category Manager |

6 Order of precedence

6.1 Subject always to Clause 1.10 of Schedule 4, should there be a conflict between any other parts of this Framework Agreement the order of priority for construction purposes shall be:

- 6.1.1 the provisions on the front page (page 1) of this Framework Agreement for the Supply of Goods;
- 6.1.2 Schedule 1: Key Provisions;
- 6.1.3 Schedule 5: Specification;
- 6.1.4 Schedule 2: General Terms and Conditions;
- 6.1.5 Schedule 6: Award Schedule;
- 6.1.6 Schedule 3: Information and Data Provisions;
- 6.1.7 Schedule 4: Definitions and Interpretations;
- 6.1.8 the order in which all subsequent schedules, if any, appear; and
- 6.1.9 any other documentation forming part of the Framework Agreement in the date order in which such documentation was created with the more recent documentation taking precedence over older documentation to the extent only of any conflict.

7 Participating Authorities

7.1 The Contracting Authorities and the Participating Authorities referred to in Schedule 8 are entitled to place Orders. For the avoidance of doubt, any successor bodies of those entities shall be entitled to place Orders and shall be

deemed Participating Authorities for the purposes of this Framework Agreement.

7.2 NHS Service Providers shall be allowed to place Orders for the purchase of Goods pursuant to this Framework Agreement as if they were Participating Authorities provided that:

7.2.1 such Orders are strictly limited to the purchase of Goods required for the provision of Services to or on the behalf of the relevant Participating Authority pursuant to an NHS Service Agreement and the NHS Service Provider shall not otherwise onwards sell Goods bought pursuant to an Order to other third parties;

7.2.2 the relevant Participating Authority is ultimately responsible for reimbursing the NHS Service Provider for the cost of such Goods pursuant to the relevant NHS Service Agreement and that the costs to the Participating Authority does not (and shall not) exceed the Contract Price;

7.2.3 all supply of Goods by the Supplier to an NHS Service Provider shall be at the Contract Price; and

7.2.4 the relevant Participating Authority has provided its written consent to the NHS Service Provider awarding a Contract pursuant to this Framework Agreement and to the purchase of Goods and confirmed that such award and purchases are limited to the purchase of Goods needed for the provision of Services to the relevant Participating Authority pursuant to an NHS Service Agreement.

Optional Key Provisions

8 Net Zero and Social Value Commitments ☒ (only applicable to the Framework Agreement if this box is checked and the standards are listed)

Supplier carbon reduction plans and reporting

8.1 The Supplier shall put in place, maintain and implement a board approved, publicly available, carbon reduction plan in accordance with the requirements and timescales set out in the NHS Net Zero Supplier Roadmap (see Greener NHS » Suppliers (england.nhs.uk) (<https://www.england.nhs.uk/greenernhs/get-involved/suppliers/>)), as may be updated from time to time.

8.2 A supplier assessment for benchmarking and reporting progress against the requirements detailed in the Net Zero Supplier Roadmap will be available in 2023 ("**Evergreen Supplier Assessment**"). The Supplier will report its

progress through published progress reports and continued carbon emissions reporting through the Evergreen Supplier Assessment once this becomes available and as may be updated from time to time.

- 8.3 The Supplier has appointed a Supplier Net Zero Corporate Champion (as set out in the Supplier's tender response) who shall be responsible for overseeing the Supplier's compliance with Clauses 8.1 and 8.2 of this Schedule 1 and any net zero requirements forming part of any Contracts. Without prejudice to the Authority's other rights and remedies under this Framework Agreement, if the Supplier fails to comply with Clauses 8.1 and 8.2 of this Schedule 1, the Authority may escalate such failure to the Supplier Net Zero Corporate Champion who shall within ten (10) Business Days of such escalation confirm in writing to the Authority the steps (with associated timescales) that the Supplier will be taking to remedy such failure. The Supplier shall then remedy such failure by taking such confirmed steps by such timescales (and by taking any other reasonable additional steps that may become necessary) to ensure that such failure is remedied by the earliest date reasonably possible.

Net zero and social value in the delivery of the contract

- 8.4 The Supplier shall deliver its net zero and social value contract commitments in accordance with the requirements and timescales set out in the Specification forming part of this Framework Agreement and any Contracts ("**Net Zero and Social Value Contract Commitments**").
- 8.5 The Supplier shall report its progress on delivering its Net Zero and Social Value Contract Commitments through progress reports, as set out in the Specification forming part of this Framework Agreement and any Contracts or otherwise agreed in writing with the Authority.
- 8.6 The Supplier has appointed a Supplier Net Zero and Social Value Contract Champion (as set out in the Supplier's tender response) who shall be responsible for overseeing the Supplier's compliance with Clauses 8.4 and 8.5 of this Schedule 1 and any net zero and social value requirements forming part of any Contracts. Without prejudice to the Authority's other rights and remedies under this Framework Agreement, if the Supplier fails to comply with Clauses 8.4 and 8.5 of this Schedule 1, the Authority may escalate such failure to the Supplier Net Zero and Social Value Contract Champion who shall within ten (10) Business Days of such escalation confirm in writing to the Authority the steps (with associated timescales) that the Supplier will be taking to remedy such failure. The Supplier shall then remedy such failure by taking such confirmed steps by such timescales (and by taking any other reasonable

additional steps that may become necessary) to ensure that such failure is remedied by the earliest date reasonably possible.

9 Quality assurance standards ☐ (only applicable to the Framework Agreement if this box is checked and the standards are listed)

9.1 The following quality assurance standards shall apply, as appropriate, to the manufacture, supply, and/or installation of the Goods: **[insert standards]**.

10 Different levels and/or types of insurance ☐ (only applicable to the Framework Agreement if this box is checked and the table sets out the requirements. If this box is not checked then the insurance provisions at Clause 16 of Schedule 2 will apply)

10.1 The Supplier shall put in place and maintain in force the following insurances with the following minimum cover per claim:

| Type of insurance required | Minimum cover |
|---|-------------------|
| [Employer's liability insurance] | £5,000,000 |
| [Public liability insurance] | £5,000,000 |
| [Product liability insurance] | £5,000,000 |

11 Guarantee ☐ (only applicable to the Framework Agreement if this box is checked)

11.1 Promptly following the execution of this Framework Agreement, and if required by the Authority, the Supplier shall, if it has not already delivered an executed deed of guarantee to the Authority, deliver the executed deed of guarantee to the Authority as required by the procurement process followed by the Authority. Failure to comply with this Key Provision shall be an irremediable breach of this Framework Agreement.

12 Termination for convenience ☐ (only applicable to the Framework Agreement if this box is checked)

12.1 The Authority may terminate this Framework Agreement in whole (in relation to all of the Goods) or in part (in relation to any particular Good(s) by giving to the Supplier not less than three (3) months' notice in writing.

13 Mobilisation Plan ☒ (only applicable to the Framework Agreement if this box is checked)

13.1 Where:

- 13.1.1 the Supplier was required to submit a Mobilisation Plan as part of its tender response, then such Mobilisation Plan shall be annexed to this Framework Agreement; or
- 13.1.2 if the Supplier was not required to submit a Mobilisation Plan as part of its tender response, then:
- (i) the Supplier shall prepare and deliver to the Authority within 20 Business Days of the Commencement Date for the Authority's written approval a draft Mobilisation Plan;
 - (ii) following receipt of the draft Mobilisation Plan from the Supplier, the Authority shall:
 - (i) review and comment on the draft Mobilisation Plan as soon as reasonably practicable; and
 - (ii) notify the Supplier in writing that it approves or rejects the draft Mobilisation Plan no later than 30 Business Days after the date on which the draft Mobilisation Plan is first delivered to the Authority;
 - (iii) if the Authority approves the Mobilisation Plan then it shall be annexed to this Framework Agreement;
 - (iv) if the Authority rejects the draft Mobilisation Plan:
 - (i) the Authority shall inform the Supplier in writing of its reasons for its rejection; and
 - (ii) the Supplier shall then revise the draft Mobilisation Plan (taking reasonable account of the Authority's comments) and shall re-submit a revised draft Implementation and Mobilisation Plan to the Authority for the Authority's approval within 10 Business Days of the date of the Authority's notice of rejection. The provisions of Clause 13.1.2(i) to 13.1.2(iv) shall apply again to any resubmitted draft Mobilisation Plan, provided that either Party may refer any disputed matters for resolution by the Dispute Resolution Procedure at any time.

13.2 The Supplier shall comply with the provisions of the Mobilisation Plan (as varied from time to time by the Authority).

- 13.3 The Supplier will ensure that it is able to implement its Mobilisation Plan including deploying all resources reasonably necessary to do so.
- 13.4 If, at any time, the Supplier becomes aware that it will not (or is unlikely to) be able to comply with the Mobilisation Plan, it shall immediately notify the Authority of the fact of the delay, the reasons for the delay, the consequences of the delay for the rest of the Mobilisation Plan and how the Supplier proposes to mitigate the delay. The Supplier shall use all reasonable endeavours to mitigate the delay.
- 13.5 At least ten (10) Business Days before the Effective Date, the Supplier will give notice to the Authority of its state of readiness to commence Orders, including the Supplier's progress as against the plans and timescale set out in the Mobilisation Plan towards achieving the Initial Stock Levels and/or Framework Stock Levels (if applicable as per below Clauses)
- 13.6 The Authority may suggest to the Supplier reasonable and proportionate amendments to the Mobilisation Plan at any time. The Supplier will incorporate into the Mobilisation Plan all such reasonable and proportionate suggestions made by the Authority in respect of such Mobilisation Plan. Should the Supplier not incorporate any suggestion made by the Authority into such Mobilisation Plan it will explain the reasons for not doing so to the Authority.

14 Condition(s) Precedent ☒ (only applicable to the Framework Agreement if this box is checked)

- 14.1 Where any condition precedent(s) contained in this Clauses 14 of this Schedule 1 are checked as being applicable to this Framework Agreement (the "**Applicable Condition(s) Precedent**"), the Effective Date will not be deemed to have occurred and therefore no Orders may be placed and the Supplier may not supply any Goods under this Framework Agreement until the date each and every one of the Applicable Condition(s) Precedent have either been:

14.1.1 satisfied by way of:

- (i) sufficient and appropriate evidence having been provided to the Authority's satisfaction that each of the Applicable Condition(s) Precedent has been satisfied; and
- (ii) the Supplier having received written confirmation from the Authority that the Applicable Condition(s) Precedent has been satisfied; and/or

14.1.2 waived expressly and in writing by the Authority. The Authority in its absolute discretion may elect to waive any or all of the Applicable

Condition(s) Precedent. To be valid, any such waiver must be in writing, signed by an authorised representative of the Authority and stating expressly on the face of it that it is intended to be a waiver of the relevant Applicable Condition(s) Precedent by reference to this Clause,

such date being deemed to be the new Effective Date.

- 14.2 The Authority shall use reasonable endeavours to complete any steps that it is required to take so that an Applicable Condition Precedent is satisfied (including providing written confirmation that it has been satisfied or undertaking any assessment of information or evidence provided by the Supplier) but shall not be liable for any failure or delay in doing so.
- 14.3 Without prejudice to any other right or remedy the Authority may have, if any of the Applicable Condition(s) Precedent are not satisfied in accordance with Clause 14.1.1 of this Schedule 1 above at the Effective Date, then unless the Authority agrees to waive the failure to satisfy any of those Applicable Condition(s) Precedent in accordance with Clause 14.1.2 of this Schedule 1 above, no Orders may be placed and the Supplier may not supply any Goods under this Framework Agreement until all of the Applicable Condition(s) Precedent have been satisfied in accordance with Clause 14.1.1 of this Schedule 1 or the Authority agrees to waive the failure to satisfy any of those Applicable Condition(s) Precedent in accordance with Clause 14.1.2 of this Schedule 1 and the Authority may do any of the following (at its absolute discretion):
- 14.3.1 without prejudice to Clause 14.1 of this Schedule 1, give notice to the Supplier that the Effective Date has not occurred and that therefore no Orders (either for any or all of the Goods) may be placed and the Supplier may not supply any Goods under this Framework Agreement until all of the Applicable Condition(s) Precedent have been satisfied;
- 14.3.2 give notice to the Supplier that this Framework Agreement is suspended (either for any or all of the Goods) pursuant to Clause 19 of Schedule 2 and that therefore no Orders (either for any or all of the Goods) may be placed and the Supplier may not supply any Goods under this Framework Agreement until all of the Applicable Condition(s) Precedent have been satisfied;
- 14.3.3 require the Supplier to provide and act upon the information required under Clause 20.2 of Schedule 2 and/or provide and implement a Service Failure Remedial Proposal in accordance with Clause 20 of

Schedule 2 so that the Applicable Condition(s) Precedent may be satisfied in accordance with Clause 14.1 of this Schedule 1;

- 14.3.4 agree by written notice to the Supplier to waive the failure to satisfy any or all of the Applicable Condition(s) Precedent (either for any or all of the Goods) either with or without conditions (and such conditions may include complying with Clause 14.3.3 of this Schedule 1 above and/or varying the Applicable Condition(s) Precedent); and/or
- 14.3.5 the Authority may deem failure to satisfy the Applicable Condition(s) Precedent as a material breach which is not capable of remedy and terminate this Framework Agreement (either for any or all of the Goods) for a material breach which is not capable of remedy (in accordance with Clause 17.4.2 of Schedule 2) or, if the Supplier has not fulfilled the terms of any Service Failure Remedial Proposal or other remedial steps agreed pursuant to Clause 14.3.3 of this Schedule 1 above, for failure to comply with agreed Service Failure Remedial Proposals (in accordance with Clause 20.6 of Schedule 2).
- 14.4 The Authority's rights under Clause 14.3 of this Schedule 1 above are not mutually exclusive and are without prejudice to any other right or remedy which the Authority may have.
- 14.5 Any Orders purportedly placed prior to the Applicable Condition(s) Precedents being satisfied or waived shall be at the Participating Authority's own risk and shall not be deemed Orders pursuant to this Framework Agreement. Should any Participating Authority seek to place such Orders then the Supplier shall notify the Authority immediately and notify the relevant Participating Authority immediately that the Supplier is unable to accept the Order nor supply the Goods pursuant to the Framework Order or any Contract under this Framework Agreement and provide an explanation as to why.
- 14.6 For the avoidance of any doubt, where the Authority takes any of the steps set out in Clause 14.3 of this Schedule 1 above the effect of which is that the Effective Date does not occur on the date envisaged or that such Effective Date is postponed or the Framework Agreement is suspended, the Framework Agreement shall still expire on the Expiry Date and no extension of the date shall be allowed as a result of the Authority taking any such steps (unless such Expiry Date is extended in accordance with the terms of this Framework Agreement).
- 14.7 **MA Condition Precedent ☒ (only applicable to the Framework Agreement if this box is checked)**

14.7.1 The Supplier must, in relation to each and every Good in the Award Schedule, prior to or on the Commencement Date be in possession of the valid marketing authorisation(s) from the Licensing Authority required for supply of the Goods (such validity to be determined by the Authority) (the "**MA Condition Precedent**"). The Authority may request that the Supplier delivers to the Authority evidence of the grant of such valid marketing authorisation(s). For the avoidance of doubt a marketing authorisation which has been expired or has been suspended or withdrawn by the Licensing Authority does not constitute a valid marketing authorisation for the purposes of this Clause 14.7.1 of this Schedule 1.

14.8 **QA Condition Precedent** ☐ (only applicable to the Framework Agreement if this box is checked)

14.8.1 The Supplier must, in relation to each Good specified in the Award Schedule as being subject to the QA Condition Precedent:

- (i) have provided to the Authority at least [30] Business Days prior to the Effective Date all evidence reasonable requested by the Authority that the Good(s) complies with all of the requirements of the QA assessments as set out in [Document No. 4 Contract Technical Specification for Licensed Medicines and the "Guidance for performing a pharmaceutical quality assessment of licensed medicines for the NHS"] document published with the tender (including where the Authority, acting reasonably, consider a "High Risk" assessment is appropriate); and
- (ii) that the Authority has, acting reasonably, confirmed in writing that is satisfied that the Supplier so complies.

14.9 **Stock Level Condition Precedent** ☒ (only applicable to the Framework Agreement if this box is checked)

14.9.1 The Supplier must, in relation to each Good specified in the Award Schedule within 15 Business Days prior to the Effective Date hold, as a minimum, the Initial Stock Level (as defined below) for that Good (the "**Stock Level Condition Precedent**").

14.10 **Other Condition Precedent(s)** ☐ (only applicable to the Framework Agreement if this box is checked)

14.10.1 [Insert any further Condition(s) Precedent here.]

15 Initial Stock Level ☒ (only applicable to the Framework Agreement if this box is checked)

15.1 The following definitions shall apply:

15.1.1 **"Initial Stock Levels"** shall be as set out in the Award Schedule for the relevant Good(s);

15.1.2 **"Initial Stock Period"** shall be period:

- (i) commencing on the Effective Date; and
- (ii) expiring on the later of 30/06/2025 and 3 months following the Effective Date.

15.2 During the Initial Stock Period the Supplier shall not at any time hold less than the Initial Stock Level and shall endeavour to hold as a minimum the, or in excess of the, Framework Stock Level (as set out in Clause 16 of this Schedule 1 below) as soon as possible following the Effective Date.

15.3 For the avoidance of any doubt, the Initial Stock Level shall be in addition to the anticipated stock necessary to fulfil Orders at all times during the Initial Stock Level Period and must be stored by the Supplier in the United Kingdom and be available for delivery within 24 hours.

15.4 Nothing in this Clause 15 shall excuse the Supplier from liability for failure to supply the Goods at any time and the Supplier should ensure that it holds sufficient amount of Goods to fulfil all Orders during this period.

15.5 The Authority shall have the right to audit the Supplier's compliance with the Initial Stock Levels. Upon reasonable notice, the Supplier shall allow the Authority or its authorised representative(s) access to any premises and facilities, books and records (whether electronic or otherwise and in whatever medium) reasonably required to audit the Supplier's compliance with the Initial Stock Levels. This right is in addition to any other audit rights, including those set out in Clause 28 of Schedule 2.

16 Framework Stock Level ☒ (only applicable to the Framework Agreement if this box is checked)

16.1 The following definitions shall apply:

16.1.1 **"Framework Stock Level"** shall be as set out in the Award Schedule for the relevant Good(s);

16.1.2 **"Framework Stock Level Period"** means a period:

- (i) Commencing upon the expiry of the Initial Stock Period; and
- (ii) expiring on the commencement of the Tail-off Period (as defined in Clause 17 of this Schedule 1 below.

16.2 During the Framework Stock Level Period, the Supplier must hold as a minimum the Framework Stock Level and continue to do so thereafter and throughout the Framework Stock Level Period. The Supplier shall not at any time during the Framework Stock Level Period hold less than the Framework Stock Level.

16.3 For the avoidance of any doubt, the Framework Stock Level shall be in addition to the anticipated stock necessary to fulfil Orders at all times during the Framework Stock Level Period and must be stored by the Supplier in the United Kingdom and be available for delivery within 24 hours.

16.4 Nothing in this Clause 16 of this Schedule 1 shall excuse the Supplier from liability for failure to supply the Goods at any time and the Supplier should ensure that it holds sufficient amount of Goods to fulfil all Orders during this period.

16.5 The Authority shall have the right to audit the Supplier's compliance with the Framework Stock Levels. Upon reasonable notice, the Supplier shall allow the Authority or its authorised representative(s) access to any premises and facilities, books and records (whether electronic or otherwise and in whatever medium) reasonably required to audit the Supplier's compliance with the Framework Stock Levels. This right is in addition to any other audit rights, including those set out in Clause 28 of Schedule 2.

17 Tail-off Stock Level ☒ (only applicable to the Framework Agreement if this box is checked)

17.1 The following definitions shall apply:

17.1.1 **"Tail-off Stock Level"** shall be determined in accordance with the following:

| Number of months until expiry of Term | Tail-off Stock Level |
|---------------------------------------|----------------------|
| | |

| | |
|----------|---|
| 6 months | An equivalent of the lesser of the Framework Stock Level or 6 'months' anticipated stock for that Good for that Supplier Lot based on average sales under this Framework Agreement for the period of 4 weeks immediately prior to the relevant month (in addition to the anticipated stock necessary to fulfil Orders). |
| 5 months | An equivalent of the lesser of the Framework Stock Level or 5 'months' anticipated stock for that Good for that Supplier Lot based on average sales under this Framework Agreement for the period of 4 weeks immediately prior to the relevant month (in addition to the anticipated stock necessary to fulfil Orders). |
| 4 months | An equivalent of the lesser of the Framework Stock Level or 4 'months' anticipated stock for that Good for that Supplier Lot based on average sales under this Framework Agreement for the period of 4 weeks immediately prior to the relevant month (in addition to the anticipated stock necessary to fulfil Orders). |
| 3 months | An equivalent of the lesser of the Framework Stock Level or 3 'months' anticipated stock for that Good for that Supplier Lot based on average sales under this Framework Agreement for the period of 4 weeks immediately prior to the relevant month (in addition to the anticipated stock necessary to fulfil Orders). |
| 2 months | An equivalent of the lesser of the Framework Stock Level or 2 'months' anticipated stock for that Good for that Supplier Lot based on average sales under this Framework Agreement for the period of 4 weeks immediately prior to the relevant month (in addition to the anticipated stock necessary to fulfil Orders). |
| 1 months | An equivalent of the lesser of the Framework Stock Level or 1 'month's anticipated stock for that Good for that Supplier Lot based on average sales under this Framework Agreement for the period of 4 weeks immediately prior to the relevant month (in addition to the anticipated stock necessary to fulfil Orders). |

17.1.2 **"Tail-off Period"** shall be a period:

- (i) commencing 6 months prior to the expiry of this Framework Agreement; and
- (ii) expiring on the expiry of this Framework Agreement.

17.2 The Supplier will prior the Tail-off Period work with the Authority and (both parties acting reasonably) agree a plan for the phased reduction of the Framework Stock Level prior to expiry of the Term.

17.3 During the Tail-off Period the Supplier must hold as a minimum the relevant Tail-off Stock Level. The Supplier shall not at any time during the Tail-off Period hold less than the relevant Tail-off Stock Level which must be stored by the Supplier in the United Kingdom and be available for delivery within 24 hours.

17.4 Nothing in this Clause 17 of this Schedule 1 shall excuse the Supplier from liability for failure to supply the Goods at any time and the Supplier should ensure that it holds sufficient amount of Goods to fulfil all Orders during this period.

17.5 The Authority shall have the right to audit the Supplier's compliance with the Tail-off Stock Levels. Upon reasonable notice, the Supplier shall allow the Authority or its authorised representative(s) access to any premises and facilities, books and records (whether electronic or otherwise and in whatever medium) reasonably required to audit the Supplier's compliance with the Tail-off Stock Levels. This right is in addition to any other audit rights, including those set out in Clauses 28 of Schedule 2.

18 Shortfall Credit ☒ (only applicable to the Framework Agreement if this box is checked)

18.1 Where the Supplier is required to hold, as a minimum:

- 18.1.1 the Initial Stock Level during the Initial Stock Period in accordance with Clause 15 of this Schedule 1;
- 18.1.2 the Framework Stock Level during the Contract Stock Period in accordance with Clause 18 of this Schedule 1; and/or
- 18.1.3 the Tail-Off Stock Level during the Tail-off Period in accordance with Clause 17 of this Schedule 1,

and where the Supplier fails to hold the required level of stock (as at the start of the relevant month), the Supplier shall for each and any month that it fails to hold the required level of stock pay to the Authority the Shortfall Credit.

18.2 The Shortfall Credit shall be calculated as follows:

$$\text{SC} = (\text{TL} - \text{AL}) \times \text{CP} \times \text{P}$$

where:

SC = the amount of Shortfall Credit due to the Authority;

TL = the target Initial Stock Level, Framework Stock Level or Tail-off Stock Level that the Supplier should hold at the start of the relevant month;

AL = the actual stock level that the Supplier does hold at the start of the relevant month;

TM = the target months of stock associated with the Initial Stock Level, Framework Stock Level or Tail-off Stock Level that the Supplier should hold at the start of the relevant month;

AM = the actual months of stock that the Supplier does hold at the start of the relevant month;

CP = the Framework Price of the Good in question;

P = See table below

| Actual Stock Level \ Period | Initial Stock Period | Framework Stock Level Period | Tail Off Period |
|--|----------------------|------------------------------|-----------------|
| If TM minus AM is less than 1 | P = 5% | P = 0% | P = 0% |
| If TM minus AM is equal to or greater than 1 | P = 5% | P = 5% | P = 5% |

18.3 If the Authority wishes to claim any sum from the Supplier under this Clause 18 of this Schedule 1, the Authority shall give a written notice to the Supplier to

that effect. The Supplier shall pay any such sum within thirty (30) days from the date of such written notice.

- 18.4 The Supplier acknowledges that payment of the Shortfall Credits are proportionate when considering the Authority's legitimate interest in ensuring that the Supplier performs its obligations under this Framework Agreement including holding sufficient levels of stock of the Goods in order to ensure surety of supply of the Goods in accordance with Orders.

19 Stock Level Failure and reporting ☒ (only applicable to the Framework Agreement if this box is checked)

19.1 Should the Supplier:

19.1.1 hold less than the Initial Stock Level during any Initial Stock Level Period;

19.1.2 the Framework Stock Level during any Framework Stock Level Period; or

19.1.3 the relevant Tail-off Stock Level during any Tail-off Period,

(as the case may be), or become aware that there is any likelihood that it may come to hold less than the relevant stock level (each a "**Stock Level Failure**") at any time during the Term, then the Supplier must inform the Authority immediately and without delay, and in any event within 24 hours of becoming aware of the Stock Level Failure.

- 19.2 The Supplier must provide to the Authority as soon as possible and in any event within 24 hours of becoming aware of a Stock Level Failure (including any potential Stock Level Failure) the following information (which shall be treated as a Service Failure Remedial Proposal in accordance with the provisions of Clause 20 of Schedule 2):

19.2.1 confirmation as to whether the Supplier can remedy the Stock Level Failure and return to hold the, or more than, the Initial Stock Level, Framework Stock Level or Tail-off Stock Level (as applicable);

19.2.2 information as to how the Supplier will remedy the Stock Level Failure and return to hold the, or more than, the Initial Stock Level, Framework Stock Level or Tail-off Stock Level (as applicable) within the timescale advised under Clause 19.2.3 of this Schedule 1 below; and

- 19.2.3 the timeframe for remedying the Stock Level Failure and the date by which the Supplier expects to hold the, or more than, the Initial Stock Level, Framework Stock Level or Tail-off Stock Level (as applicable).
- 19.3 The Supplier shall report to the Authority on a monthly basis and in writing the following:
 - 19.3.1 full details of the actual Initial Stock Levels, Framework Stock Levels and Tail-off Stock Levels for the month (or such other period of time required by the Authority) immediately preceding the report;
 - 19.3.2 anticipated Initial Stock Levels, Framework Stock Levels and Tail-off Stock Levels for the month (or such other period of time required by the Authority) immediately following the report; and
 - 19.3.3 the anticipated Initial Stock Levels, Framework Stock Levels and Tail-off Stock Levels for a minimum period of four (4) months (or such other period of time required by the Authority) following such report or up to the anticipated expiry of this Framework Agreement if shorter than four (4) months.
- 19.4 The Supplier shall rotate stock held within the Initial Stock Level and/or the Framework Stock Level (as applicable) so as to ensure that any requirements set out in:
 - 19.4.1 Appendix A (Call Off Terms and Conditions for the Supply of Goods) regarding Post-Delivery Shelf Life; and
 - 19.4.2 in the Specificationregarding shelf life, are met throughout the Term.

Extra Key Provisions

20 Price Variations

- 20.1 For each Good in each of the Supplier Lots specified in the Award Schedule, the Framework Price payable for the Good shall be reviewed and varied in accordance with the Price Review Mechanism.

21 Additional Goods

- 21.1 Subject to Clauses 21.2 to 21.4 of this Schedule 1, additional goods may be added to this Framework Agreement by the Supplier during the Term if they are within the same product range as any existing Goods supplied from time to time under this Framework Agreement. Additional goods will be deemed to be within

such product range if they are made with the same active ingredient(s) and the Supplier is the sole source of supply of such additional goods.

- 21.2 If the Supplier wishes to add additional goods to this Framework Agreement, it shall submit a proposal to the Authority in writing stating the identity and Framework Price of the additional goods.
- 21.3 The Authority shall inform the Supplier in writing if the additional goods (being the subject matter of the notice given by the Supplier under Clause 21.2 of this Schedule 1) are to be added to the Framework Agreement and the date of such addition. The Authority reserves the right not to add the additional goods to this Framework Agreement for any reason whatsoever.
- 21.4 The Framework Price of the additional goods shall be the price offered by the Supplier under Clause 21.2 of this Schedule 1.
- 21.5 Where additional goods are added to this Framework Agreement such additional goods shall be deemed to form part of the Goods for the purposes of interpretation of this Framework Agreement and the Call-off Terms and Conditions.

22 Price Guarantee Provisions

- 22.1 The Supplier acknowledges and agrees that the Authority has entered into this Framework Agreement on the basis of the pricing information supplied to and accepted by the Authority as specified in the Award Schedule. The Supplier shall not charge for Goods the subject of this Framework Agreement to Participating Authorities at a lower price than has been accepted by the Authority as specified by the Supplier in the Award Schedule unless it is in accordance with Clause 20 of this Schedule 1.
- 22.2 If the Supplier charges for Goods the subject of this Framework Agreement to a Participating Authority at a lower price than that specified in the Award Schedule, in breach of Clause 22.1 of this Schedule 1, this breach shall be deemed to be a material breach of this Framework Agreement, and shall entitle the Authority to terminate this Framework Agreement in accordance with Clause 17.4 of Schedule 2 of this Framework Agreement.
- 22.3 The right to terminate this Framework Agreement given by Clause 22.2 of this Schedule 1 shall be without prejudice to any other right or remedy of the Authority in respect of the breach concerned or any other breach.
- 22.4 The Authority may, at its sole discretion, decide to accept the Supplier's breach of Clause 22.1 of this Schedule 1 and instead of terminating this Framework Agreement the Authority shall substitute the lower price offered by the Supplier in breach of Clause 22.1 of this Schedule 1 for the original price specified in the Award Schedule.
- 22.5 Any waiver by the Authority of Clause 22.2 of this Schedule 1, pursuant to Clause 22.4 of this Schedule 1, shall not be considered as a waiver of any

subsequent breach of the same or any other provision of this Framework Agreement.

- 22.6 Where the Contract Price is or may become subject to any pricing requirements of any voluntary scheme agreed with government and/or statutory pricing regulation (including, but not limited to, the statutory scheme for branded medicines, the voluntary scheme for branded medicines pricing, access and growth (VPAG) and any patient access schemes (PAS)) , the Parties shall comply with such requirements as required by Law from time to time and specifically as required by the statutory pricing regulation scheme (and any future regulation) or to the extent applicable to the Supplier from time to time as an industry member of a voluntary scheme, including any reductions in price by reason of the application of such schemes. Where such pricing requirements of any voluntary scheme or statutory pricing scheme results in a lower price for the Goods, then the Framework Price for that Good shall be amended accordingly to that lower price from the date such voluntary scheme or statutory pricing scheme applies.

23 Further Supplier Termination Rights

- 23.1 The Supplier may terminate this Framework Agreement in relation to any particular Good(s) at any time following the (initial) Expiry Date for the relevant Good(s) by giving six (6) months' written notice to the Authority to such effect. For the avoidance of doubt, a valid termination in respect of all of the remaining Goods shall have the effect of terminating this Framework Agreement as a whole.
- 23.2 For the avoidance of doubt, in the event that the Supplier gives notice to terminate this Framework Agreement in whole or part under Clause 23.1 of this Schedule 1, the Supplier shall supply the Goods in accordance with the terms of this Framework Agreement pursuant to any Order that may be placed by, or on behalf of, Participating Bodies, prior to the expiry of such termination notice.

24 Additional warranties

- 24.1 In addition to the warranties set out at Clause 10 of Schedule 2 of this Framework Agreement, the Supplier warrants and undertakes that the Goods will pass any reasonable and proportionate tests and trials required by the Authority (whether carried out by the Authority or by a third party on behalf of the Authority) to satisfy the Authority that the Goods are not injurious to health and/or meet the specifications or any samples of the Goods provided to the Authority during the procurement process leading to the establishment of this Framework Agreement.

Schedule 2

General Terms and Conditions

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1 Supplier's appointment

- 1.1 The Authority appoints the Supplier as a potential supplier of the Goods and the Supplier shall be eligible to be considered for the award of Orders during the Term.
- 1.2 In consideration of the Authority agreeing to appoint the Supplier to this Framework Agreement in accordance with Clause 1.1 of this Schedule 2 and the mutual exchange of promises and obligations under this Framework Agreement, the Supplier undertakes to supply Goods under Orders placed with the Supplier:
 - 1.2.1 of the exact quality, type and as otherwise specified in the Specification;
 - 1.2.2 at the Contract Price; and
 - 1.2.3 in such quantities, at such times and to such locations as may be specified in an Order.
- 1.3 The Supplier agrees that the Call-Off Terms and Conditions for the Supply of Goods shall apply to all supplies of Goods made by the Supplier to a Participating Authority pursuant to this Framework Agreement. The Supplier agrees that it will not in its dealings with a Participating Authority seek to impose or rely on any other contractual terms which in any way vary or contradict the relevant Contract.
- 1.4 The Supplier shall comply fully with its obligations set out in this Framework Agreement, the Specification, the Call-off Terms and Conditions for the Supply of Goods and any other provisions of Contracts entered into under and in accordance with this Framework Agreement (to include, without limitation, the KPIs and all obligations in relation to the quality, performance characteristics, supply, delivery and installation and training in relation to use of the Goods).
- 1.5 If there are any quality, performance and/or safety related reports, notices, alerts or other communications issued by the Supplier or any regulatory or other body in relation to the Goods, the Supplier shall promptly provide the Authority with a copy of any such reports, notices, alerts or other communications.
- 1.6 Upon receipt of any such reports, notices, alerts or other communications pursuant to Clause 1.5 of this Schedule 2, the Authority shall be entitled to request further information from the Supplier and/or a meeting with the Supplier, and the Supplier shall cooperate fully with any such request.
- 1.7 In complying with its obligations under this Framework Agreement, the Supplier shall, and shall procure that all Staff shall, act in accordance with the NHS values as set out in the NHS Constitution from time to time.

2 Authority commitments

- 2.1 Unless otherwise set out in clause 2.2 and in the Award Schedule, the Supplier acknowledges that:
- 2.1.1 there is no obligation on the Authority or on any other Participating Authority to purchase any Goods from the Supplier during the Term;
 - 2.1.2 no undertaking or any form of statement, promise, representation or obligation has been made by the Authority and/or any other Participating Authority in respect of the total quantities or value of the Goods to be ordered by them pursuant to this Framework Agreement and the Supplier acknowledges and agrees that it has not entered into this Framework Agreement on the basis of any such undertaking, statement, promise or representation;
 - 2.1.3 in entering this Framework Agreement, no form of exclusivity has been granted by the Authority and/or other Participating Authority;
 - 2.1.4 the Authority and/or other Participating Authorities are at all times (including during the Term of this Framework Agreement) entitled to enter into other contracts and framework agreements with other suppliers and/or the Supplier for the provision of any or all goods which are the same as, equivalent, partially equivalent or similar to the Goods; and
 - 2.1.5 the Authority shall have no liability to it in respect of or arising out of the volume of Orders received by the Supplier during the continuance of this Framework Agreement.
- 2.2 The Authority commits to purchase Plasma Products and the Supplier commits to fulfilling its obligations in respect of Plasma Products so purchased, as set out in Part 3 (Authority Commitment to Purchase Plasma Products) of Schedule 6 (Award Schedule). This commitment to purchase Plasma Products shall survive the termination or expiry of this Framework, until all Plasma Products committed to be purchased by the Authority by the date of expiry, termination, or giving of notice to terminate have been purchased by Participating Authorities or by the Authority.

3 Ordering procedure

- 3.1 Any Participating Authority may enter into Contracts by placing an Order in accordance with the Ordering Procedure.
- 3.2 The Supplier acknowledges that each Participating Authority is independently responsible for placing Orders in accordance with the Ordering Procedure and the conduct of its Contracts under the Framework Agreement and that the Authority is not responsible or accountable for and shall have no liability whatsoever in relation to:

- 3.2.1 the conduct of any Participating Authority in relation to the Framework Agreement, including any Participating Authority's failure to follow the Ordering Procedure; or
- 3.2.2 the performance or non-performance of any Contracts between the Supplier and any Participating Authority entered into pursuant to the Framework Agreement.

4 Replacing the Supplier on the Framework

- 4.1 The Authority may replace the Supplier by appointing an alternative supplier of the Goods (in addition to any reserve supplier already awarded) for the relevant Lot(s) without re-opening competition should the following circumstances occur within six (6) months following the Effective Date:
 - 4.1.1 the Supplier has failed to satisfy any condition precedents set out in this Framework Agreement (whether or not the Authority terminate this Framework Agreement or not);
 - 4.1.2 this Framework Agreement is terminated in accordance with its provisions;
 - 4.1.3 the Supplier, for whatsoever reason declines to accept an Order under this Framework Agreement; and/or
 - 4.1.4 the Supplier is unable to fulfil or declines any Order.
- 4.2 To appoint the alternative supplier, the Authority may re-tender the Lot(s) in question or, at its option (and notwithstanding any restriction on the number of Lots that a supplier may be awarded set out in the Invitation to Offer), may invite potential alternative suppliers to replace the Supplier in the following order:
 - 4.2.1 where the Supplier submitted the lowest-priced compliant tender (as defined in the Terms of Offer) for the Good(s) and Lot(s) in question, the supplier which submitted the next lowest-priced compliant tender for the Good(s) and the Lot(s) in question and then (if that supplier does not accept the Authority's invitation) the other suppliers who submitted compliant tenders for the Lot(s) in question, in order of price (lowest first);
 - 4.2.2 where the Supplier did not submit the lowest-priced compliant tender (as defined in the Terms of Offer) for the Good for the Lot(s) in question, the supplier which submitted the lowest-priced compliant tender for the Good for the Lot(s) in question and then (if that supplier

does not accept the Authority's invitation) the other suppliers who submitted compliant tenders for the Lot(s) in question, in order of price (lowest first);

- 4.2.3 any other supplier of the Good to other Lot(s), in order of the lowest-priced compliant tender (as defined in the Terms of Offer) first; and
- 4.2.4 any supplier which submitted a compliant tender for the Good but was not successful in being awarded any Lot, in order of the lowest-priced compliant tender (as defined in the Terms of Offer) first,

and (where an alternative supplier is appointed pursuant to one of Clause 4.1.1 to 4.1.4 above) upon acceptance, such alternate supplier shall be appointed in place of the Supplier for the remainder of the Term of this Framework Agreement plus any extension under Clause 17.2 of Schedule 2 to this Framework Agreement.

- 4.3 The Supplier acknowledges and agrees that Clauses 4 and 4.2 above are clear, precise and unequivocal review clauses which fully satisfy the requirements of Regulation 72(1)(a) of the Regulations.

5 Reasonable assistance

- 5.1 Upon the written request of any Participating Authority, the Supplier shall provide such Participating Authority with any reasonable and proportionate information that it holds about the Goods it supplies under this Framework Agreement including, without limitation, the compatibility and interoperability of such Goods with other products, to enable the Participating Authority to complete any necessary due diligence before purchasing such Goods.

6 Supplier performance

- 6.1 The Supplier shall perform all Contracts entered into under this Framework Agreement by the Authority or any other Participating Authority in accordance with:
 - 6.1.1 the requirements of this Framework Agreement; and
 - 6.1.2 the provisions of the respective Contracts.

7 Business continuity

- 7.1 Throughout the Term, the Supplier will ensure its Business Continuity Plan provides for continuity during a Business Continuity Event. The Supplier confirms and agrees such Business Continuity Plan details and will continue to detail robust arrangements that are reasonable and proportionate to:

- 7.1.1 the criticality of the procurement of medicines to the Participating Authorities;
- 7.1.2 the criticality of this Framework Agreement to the Participating Authorities;
- 7.1.3 the impact of and any disruption caused by EU exit;
- 7.1.4 any reasonably foreseeable risks; and
- 7.1.5 the size and scope of the Supplier's business operations,

regarding continuity of the supply of Goods during and following a Business Continuity Event.

- 7.2 The Supplier shall test its Business Continuity Plan at reasonable intervals, and in any event no less than once every twelve (12) months or such other period as may be agreed between the Parties taking into account the criticality of this Framework Agreement to Participating Authorities and the size and scope of the Supplier's business operations. The Supplier shall promptly provide to the Authority, at the Authority's written request, copies of its Business Continuity Plan, reasonable and proportionate documentary evidence that the Supplier tests its Business Continuity Plan in accordance with the requirements of this Clause 7.2 of this Schedule 2 and reasonable and proportionate information regarding the outcome of such tests. The Supplier shall provide to the Authority a copy of any updated or revised Business Continuity Plan within fourteen (14) Business Days of any material update or revision to the Business Continuity Plan.
- 7.3 The Authority may suggest reasonable and proportionate amendments to the Supplier regarding the Business Continuity Plan at any time. Where the Supplier, acting reasonably, deems such suggestions made by the Authority to be relevant and appropriate, the Supplier will incorporate into the Business Continuity Plan all such suggestions made by the Authority in respect of such Business Continuity Plan. Should the Supplier not incorporate any suggestion made by the Authority into such Business Continuity Plan it will explain the reasons for not doing so to the Authority.
- 7.4 Should a Business Continuity Event occur at any time, the Supplier shall implement and comply with its Business Continuity Plan and provide regular written reports to the Authority on such implementation.
- 7.5 During and following a Business Continuity Event, the Supplier shall use reasonable endeavours to continue to fulfil its obligations in accordance with this Framework Agreement.

8 The Authority's obligations

- 8.1 The Authority shall provide reasonable cooperation to the Supplier and shall, as appropriate, provide copies of or give the Supplier access to such of the

Policies that are relevant to the Supplier complying with its obligations under this Framework Agreement.

- 8.2 The Authority shall comply with the Authority's Obligations, if any.

9 Contract management

- 9.1 Each Party shall appoint and retain a Contract Manager who shall be the primary point of contact for the other Party in relation to matters arising from this Framework Agreement. Should the Contract Manager be replaced, the Party replacing the Contract Manager shall promptly inform the other Party in writing of the name and contact details for the new Contract Manager. Any Contract Manager appointed shall be of sufficient seniority and experience to be able to make decisions on the day to day operation of this Framework Agreement. The Supplier confirms and agrees that it will be expected to work closely and cooperate fully with the Authority's Contract Manager.
- 9.2 Each Party shall ensure that its representatives (to include, without limitation, its Contract Manager) shall attend review meetings to review the performance of the Supplier under this Framework Agreement and to discuss matters arising generally under this Framework Agreement. Each Party shall ensure that those attending such meetings have the authority to make decisions regarding the day to day operation of the Framework Agreement. Review meetings shall take place at the frequency specified in the Specification or (should the Specification not state the frequency) whenever deemed necessary by the Authority and agreed in writing between the Parties.
- 9.3 Two weeks prior to any review meeting (or at such time and frequency as may be specified in the Specification) the Supplier shall provide a written contract management report to the Authority regarding the supply of the Goods and the operation of this Framework Agreement. Unless otherwise agreed by the Parties in writing, such contract management report shall contain:
- 9.3.1 details of the performance of the Supplier under this Framework Agreement and any Contracts when assessed in accordance with the KPIs, as relevant to the Framework Agreement and any Contracts, since the last such performance report;
 - 9.3.2 details of any complaints by Participating Authorities in relation to the supply of Goods, their nature and the way in which the Supplier has responded to such complaints since the last review meeting written report;
 - 9.3.3 the information specified in the Specification as being relevant to the operation of this Framework Agreement;
 - 9.3.4 a status report in relation to the implementation of any current Remedial Proposals by either Party; and
 - 9.3.5 such other information as reasonably required by the Authority.

- 9.4 Unless specified otherwise in the Specification, the Authority may (at its sole discretion) take minutes of each review meeting and circulate draft minutes to the Supplier within a reasonable time following such review meeting. If the Authority elects to take minutes of the review meeting and circulate them to the Supplier, the Supplier shall inform the Authority in writing of any suggested amendments to the minutes within five (5) Business Days of receipt of the draft minutes. If the Supplier does not respond to the Authority within such five (5) Business Days the minutes will be deemed to be approved. Where there are any differences in interpretation of the minutes, the Parties will use their reasonable endeavours to reach agreement. If agreement cannot be reached, the Parties will each produce minutes of the review meeting and shall retain a copy of such minutes for its own records.

10 Management Information

- 10.1 The Supplier shall provide such Management Information as the Authority may request from time to time within seven (7) Business Days of the date of the request. The Supplier shall supply the management information to the Authority in such form as may be specified by the Authority and, where requested to do so, the Supplier shall also provide such Management Information to another Contracting Authority whose role it is to analyse such Management Information in accordance with UK government policy (to include, without limitation, for the purposes of analysing public sector expenditure and planning future procurement activities) ("**Third Party Body**"). The Supplier confirms and agrees that the Authority may itself provide the Third Party Body with management information relating to the Goods ordered and any payments made under this Framework Agreement or any Contracts and any other information relevant to the operation of this Framework Agreement.
- 10.2 Upon receipt of Management Information supplied by the Supplier to the Authority and/or the Third Party Body, or by the Authority to the Third Party Body, the Parties hereby consent to the Third Party Body and the Authority:
- 10.2.1 storing and analysing the Management Information and producing statistics; and
 - 10.2.2 sharing the Management Information or any statistics produced using the management information with any other Contracting Authority.
- 10.3 If the Third Party Body and/or the Authority shares the Management Information or any other information provided under Clause 10.2 of this Schedule 2, any Contracting Authority receiving the management information shall, where such management information is subject to obligations of confidence under this Framework Agreement and such Management Information is provided direct by the Authority to such Contracting Authority, be informed of the confidential nature of that information by the Authority and shall be requested by the Authority not to disclose it to any body that is not a Contracting Authority (unless required to do so by Law).

- 10.4 The Authority may make changes to the type of Management Information which the Supplier is required to supply and shall give the Supplier at least one (1) month's written notice of any changes.

11 Price and payment

- 11.1 The Contract Price for all Contracts shall be calculated as set out in the Award Schedule and the payment provisions for all Contracts shall be as set out in the Call-off Terms and Conditions for the Supply of Goods.
- 11.2 Where any payments are to be made under this Framework Agreement by either Party in addition to any payments to be made by Participating Authorities under any Contracts, the details of such payments and the invoicing arrangements shall be set out in Clause 2.2 of this Schedule 2 and in the Award Schedule.
- 11.3 Where the Authority is entitled to receive any sums (including, without limitation, any costs, charges or expenses or Shortfall Credits) from the Supplier under this Framework Agreement, the Authority may invoice the Supplier for such sums. Such invoices shall be paid by the Supplier within 30 days of the date of such invoice.
- 11.4 If a Party fails to pay any undisputed sum properly due to the other Party under this Framework Agreement, the Party due such sum shall have the right to charge interest on the overdue amount at the applicable rate under the Late Payment of Commercial Debts (Interest) Act 1998, accruing on a daily basis from the due date up to the date of actual payment, whether before or after judgment.

12 Warranties

- 12.1 The Supplier warrants and undertakes that:
- 12.1.1 it will comply with the terms of all Contracts entered into by Participating Authorities under this Framework Agreement;
 - 12.1.2 it will fully and promptly respond to all requests for information and/or requests for answers to questions regarding this Framework Agreement, the Goods and any Contracts, the Goods, any complaints and any Disputes at the frequency, in the timeframes and in the format as requested by the Authority from time to time (acting reasonably);
 - 12.1.3 all information included within the Supplier's responses to any documents issued by the Authority as part of the procurement relating to the award of this Framework Agreement (to include, without limitation, as referred to in the Specification and Terms of Offer) and all accompanying materials is accurate;

- 12.1.4 it has and shall as relevant maintain all rights, consents, authorisations, licences and accreditations required to enter into and comply with its obligations under this Framework Agreement;
- 12.1.5 it has the right and authority to enter into this Framework Agreement and that it has the capability and capacity to fulfil its obligations under this Framework Agreement;
- 12.1.6 it is a properly constituted entity and it is fully empowered by the terms of its constitutional documents to enter into and to carry out its obligations under this Framework Agreement and the documents referred to in this Framework Agreement;
- 12.1.7 all necessary actions to authorise the execution of and performance of its obligations under this Framework Agreement have been taken before such execution;
- 12.1.8 there are no pending or threatened actions or proceedings before any court or administrative agency which would materially adversely affect the financial condition, business or operations of the Supplier;
- 12.1.9 there are no material agreements existing to which the Supplier is a party which prevent the Supplier from entering into or complying with this Framework Agreement;
- 12.1.10 it has and will continue to have the capacity, funding and cash flow to meet all its obligations under this Framework Agreement;
- 12.1.11 where a court (or other competent authority) makes a finding or determination that any of the Intellectual Property Rights required for the purposes of supplying the Goods is invalid or unenforceable for whatever reason, it will promptly notify the Authority of the same;
- 12.1.12 it has satisfied itself as to the nature and extent of the risks assumed by it under this Framework Agreement and has gathered all information necessary to perform its obligations under this Framework Agreement and all other obligations assumed by it;
- 12.1.13 it shall:
- (i) comply with all relevant Law and Guidance and shall use Good Industry Practice to ensure that there is no slavery or human trafficking in its supply chains;
 - (ii) comply with its Net Zero and Social Value Commitments; and
 - (iii) notify the Authority immediately if it becomes aware of any actual or suspected incidents of slavery or human trafficking in its supply chains; and

- 12.1.14 it shall at all times conduct its business in a manner that is consistent with any anti-slavery Policy of the Authority and shall provide to the Authority any reports or other information that the Authority may request as evidence of the Supplier's compliance with this Clause 12.1.14 and/or as may be requested or otherwise required by the Authority in accordance with its anti-slavery Policy.
- 12.2 The Supplier warrants that all information, data and other records and documents required by the Authority as set out in the Specification and Terms of Offer shall be submitted to the Authority in the format and in accordance with any timescales set out in the Specification and Terms of Offer.
- 12.3 The Supplier warrants and undertakes to the Authority that it shall comply with any eProcurement Guidance as it may apply to the Supplier and shall carry out all reasonable acts required of the Supplier to enable the Authority to comply with such eProcurement Guidance.
- 12.4 The Supplier warrants and undertakes to the Authority that, as at the Commencement Date, it has notified the Authority in writing of any Occasions of Tax Non-Compliance or any litigation that it is involved in that is in connection with any Occasions of Tax Non-Compliance. If, at any point during the Term, an Occasion of Tax Non-Compliance occurs, the Supplier shall:
- 12.4.1 notify the Authority in writing of such fact within five (5) Business Days of its occurrence; and
- 12.4.2 promptly provide to the Authority:
- (i) details of the steps which the Supplier is taking to address the Occasion of Tax Non-Compliance and to prevent the same from recurring, together with any mitigating factors that it considers relevant; and
 - (ii) such other information in relation to the Occasion of Tax Non-Compliance as the Authority may reasonably require.
- 12.6 The Supplier further warrants and undertakes to the Authority that it will inform the Authority in writing immediately upon becoming aware that any of the warranties set out in Clause 12 of this Schedule 2 have been breached or there is a risk that any warranties may be breached.
- 12.7 Any warranties provided under this Framework Agreement are both independent and cumulative and may be enforced independently or collectively at the sole discretion of the enforcing Party.

13 Statutory compliance

- 13.1 The Supplier shall comply with all Law and Guidance relevant to its obligations under this Framework Agreement and any Contracts.

- 11.2 Without limitation to Clause 13.1 of this Schedule 2, the Supplier shall be responsible for obtaining any statutory licences, authorisations, consents or permits required in connection with its performance of its obligations under this Framework Agreement and any Contracts.

14 Independence of Participating Authorities

- 14.1 The Authority has established this Framework Agreement as a central purchasing body for and on behalf of such Participating Authorities as may from time to time be Participating Authorities.
- 14.2 The supply contracts resulting from any Orders will be between the Supplier and the Participating Authorities concerned and the Authority shall not be a party to such supply contracts. The Supplier acknowledges that each Participating Authority is independently responsible for the conduct of its award of Contracts under this Framework Agreement and that the Authority is not responsible or accountable for and shall have no liability whatsoever in relation to:
- 14.2.1 the conduct of Participating Authorities other than the Authority in relation to the operation of this Framework Agreement; or
 - 14.2.2 the performance or non-performance of any Participating Authorities other than the Authority under any Contracts between the Supplier and such other Participating Authorities entered into under this Framework Agreement.

15 Limitation of liability

- 15.1 Nothing in this Framework Agreement shall exclude or restrict the liability of either Party:
- 15.1.1 for death or personal injury resulting from its negligence;
 - 15.1.2 for fraud or fraudulent misrepresentation;
 - 15.1.3 in any other circumstances where liability may not be limited or excluded under any applicable law;
 - 15.1.4 to make any payments agreed in accordance with Clause 11.2 of this Schedule 2;
 - 15.1.5 Shortfall Credits;
 - 15.1.6 any payments due under Clause 18.5 of this Schedule 2; or
 - 15.1.7 under Clause 2.5 of Schedule 3.
- 15.2 Subject to Clause 15.1, 15.3, 15.5 and 15.7 of this Schedule 2, the total liability of the Supplier to the Authority under or in connection with this Framework Agreement whether arising in contract, tort, negligence, breach of statutory duty

or otherwise shall be limited in aggregate to the greater of: (a) five million GBP (£5,000,000); or (b) one hundred and twenty five percent (125%) of the total Contract Price paid or payable by the Authority to the Supplier under this Framework Agreement.

15.3 If the total Contract Price paid or payable by the Authority to the Supplier over the Term:

15.3.1 is less than or equal to one million pounds (£1,000,000), then the figure of five million pounds (£5,000,000) at Clause 15.2 of this Schedule 2 shall be replaced with one million pounds (£1,000,000);

15.3.2 is less than or equal to three million pounds (£3,000,000) but greater than one million pounds (£1,000,000), then the figure of five million pounds (£5,000,000) at Clause 15.2 of this Schedule 2 shall be replaced with three million pounds (£3,000,000);

15.3.3 is equal to, exceeds or will exceed ten million pounds (£10,000,000), but is less than fifty million pounds (£50,000,000), then the figure of five million pounds (£5,000,000) at Clause 15.2 of this Schedule 2 shall be replaced with ten million pounds (£10,000,000) and the figure of one hundred and twenty five percent (125%) at Clause 15.2 of this Schedule 2 shall be deemed to have been deleted and replaced with one hundred and fifteen percent (115%); and

15.3.4 is equal to, exceeds or will exceed fifty million pounds (£50,000,000), then the figure of five million pounds (£5,000,000) at Clause 15.2 of this Schedule 2 shall be replaced with fifty million pounds (£50,000,000) and the figure of one hundred and twenty five percent (125%) at Clause 15.2 of this Schedule 2 shall be deemed to have been deleted and replaced with one hundred and five percent (105%).

15.4 Subject to Clause 15.1, 15.5 and 15.7 of this Schedule 2, the total liability of the Authority to the Supplier under or in connection with this Contract whether arising in contract, tort (including negligence), breach of statutory duty or otherwise shall be limited in aggregate to the sum of one million pounds (£1,000,000).

15.5 There shall be no right to claim losses, damages and/or other costs and expenses under or in connection with this Framework Agreement whether arising in contract (to include, without limitation, under any relevant indemnity), tort, negligence, breach of statutory duty or otherwise to the extent that any losses, damages and/or other costs and expenses claimed are in respect of loss of production, loss of business opportunity or are in respect of indirect loss of any nature suffered or alleged.

15.6 Each Party shall at all times take all reasonable steps to minimise and mitigate any loss for which that Party is entitled to bring a claim against the other pursuant to this Framework Agreement.

- 15.7 The liability of the Supplier and any Participating Authorities under any Contracts entered into pursuant to this Framework Agreement shall be as set out in the Call-off Terms and Conditions for the Supply of Goods forming part of such Contracts.

16 Insurance

- 16.1 Subject to Clauses 16.2 and 16.3 of this Schedule 2 and unless otherwise confirmed in writing by the Authority, as a minimum level of protection, the Supplier shall put in place and/or maintain in force at its own cost with a reputable commercial insurer, insurance arrangements in respect of employer's liability, public liability and product liability in accordance with Good Industry Practice with (in each case) the minimum cover per claim of the greater of five million pounds (£5,000,000) or any sum as required by Law unless otherwise agreed with the Authority in writing. These requirements shall not apply to the extent that the Supplier is a member and maintains membership of each of the indemnity schemes run by the NHS Litigation Authority.
- 16.2 Without limitation to any insurance arrangements as required by Law, the Supplier shall put in place and/or maintain the different types and/or levels of indemnity arrangements explicitly required by the Authority, if specified in the Key Provisions.
- 16.3 Provided that the Supplier maintains all indemnity arrangements required by Law, the Supplier may self-insure in order to meet other relevant requirements referred to at Clauses 16.1 and 16.2 of this Schedule 2 on condition that such self-insurance arrangements offer the appropriate levels of protection provided that either (i) the Supplier notified the Authority during the tender process of their intention to self-insure or (ii) having not previously notified the Authority that it intends to self-insure and following the Commencement Date intends to self-insure, the Supplier notifies the Authority in prior writing of its intention to self-insure and such intention to self-insure are approved by the Authority in writing prior to the Commencement Date.
- 16.4 The amount of any indemnity cover and/or self-insurance arrangements shall not relieve the Supplier of any liabilities under this Framework Agreement. It shall be the responsibility of the Supplier to determine the amount of indemnity and/or self-insurance cover that will be adequate to enable it to satisfy its potential liabilities under this Framework Agreement. Accordingly, the Supplier shall be liable to make good any deficiency if the proceeds of any indemnity cover and/or self-insurance arrangement is insufficient to cover the settlement of any claim.
- 16.5 The Supplier warrants that it shall not take any action or fail to take any reasonable action or (in so far as it is reasonable and within its power) permit or allow others to take or fail to take any action, as a result of which its insurance cover may be rendered void, voidable, unenforceable, or be suspended or impaired in whole or in part, or which may otherwise render any sum paid out under such insurances repayable in whole or in part.

- 16.6 The Supplier shall from time to time and in any event within five (5) Business Days of written demand provide documentary evidence to the Authority that insurance arrangements taken out by the Supplier pursuant to Clause 16 of this Schedule 2 and the Key Provisions are fully maintained and that any premiums on them and/or contributions in respect of them (if any) are fully paid.
- 16.7 Upon the expiry or earlier termination of this Framework Agreement, the Supplier shall ensure that any on-going liability it has or may have arising out of this Framework Agreement shall continue to be the subject of appropriate indemnity arrangements for the period of twenty one (21) years from termination or expiry of this Framework Agreement or until such earlier date as that liability may reasonably be considered to have ceased to exist.

17 Term and termination

- 17.1 This Framework Agreement shall commence on the Commencement Date and, unless terminated earlier in accordance with the terms of this Framework Agreement or the general law, shall continue until the end of the Term.
- 17.2 The Authority shall be entitled to extend this Framework Agreement for further period(s) (either by way of a single extension or a series of multiple extensions) by giving the Supplier written notice no less than three (3) months prior to the specified Expiry Date (as may have been previously extended), provided that the duration of this Framework Agreement shall be no longer than the Extension Period. The Authority shall be entitled to extend the Framework Agreement in relation to all or any of the Goods and any extension shall apply to all or any of the Goods as the Authority may specify in the notice given pursuant to this Clause 17.2. For the avoidance of doubt, in the event that this Framework Agreement is extended, the Framework Price of the Goods subject to any extension shall remain fixed at the price payable (for such Goods) immediately preceding the extension subject always to any price variation made in accordance with Clause 20 of Schedule 1.
- 17.3 In the case of a breach of any of the terms of this Framework Agreement by either Party that is capable of remedy (including any failure to pay sums due under this Framework Agreement), the non-breaching Party shall, without prejudice to its other rights and remedies under this Framework Agreement, issue a Breach Notice and shall allow the Party in breach the opportunity to remedy such breach in the first instance via a remedial proposal put forward by the Party in breach ("**Remedial Proposal**") before exercising any right to terminate this Framework Agreement in accordance with Clause 17.4.2 of this Schedule 2. Such Remedial Proposal must be agreed with the non-breaching Party (such agreement not to be unreasonably withheld or delayed) and must be implemented by the Party in breach in accordance with the timescales referred to in the agreed Remedial Proposal. Once agreed, any changes to a Remedial Proposal must be approved by the Parties in writing. Any failure by the Party in breach to:

- 17.3.1 put forward and agree a Remedial Proposal with the non-breaching Party in relation to the relevant default or breach within a period of ten

(10) Business Days (or such other period as the non-breaching Party may agree in writing) from written notification of the relevant default or breach from the non-breaching Party;

17.3.2 comply with such Remedial Proposal (including, without limitation, as to its timescales for implementation, which shall be thirty (30) days unless otherwise agreed between the Parties); and/or

17.3.3 remedy the default or breach notwithstanding the implementation of such Remedial Proposal in accordance with the agreed timescales for implementation,

shall be deemed, for the purposes of Clause 17.4.2 of this Schedule 2, a material breach of this Framework Agreement by the Party in breach not remedied in accordance with an agreed Remedial Proposal. For the avoidance of doubt, where the Authority is entitled to issue a Service Failure Notice pursuant to Clause 20.3 of this Schedule 2 in relation to a breach of this Framework Agreement then the Authority may exercise its rights under Clause 20 of this Schedule 2 instead of the rights under this Clause 17.3 and shall not be required not be required to comply with this Clause 17.3 prior to terminating this Framework Agreement pursuant to 20.6.2 of this Schedule 2.

17.4 Either Party may terminate this Framework Agreement forthwith by issuing a Termination Notice in writing to the other Party if such other Party commits a material breach of any of the terms of this Framework Agreement which is:

17.4.1 not capable of remedy; or

17.4.2 in the case of a breach capable of remedy, which is not remedied in accordance with a Remedial Proposal.

17.5 The Authority may terminate this Framework Agreement forthwith by issuing a Termination Notice in writing to the Supplier:

17.5.1 if the Supplier, or any third party guaranteeing the obligations of the Supplier under this Framework Agreement, ceases or threatens to cease carrying on its business; suspends making payments on any of its debts or announces an intention to do so; is, or is deemed for the purposes of any Law to be, unable to pay its debts as they fall due or insolvent; enters into or proposes any composition, assignment or arrangement with its creditors generally; takes any step or suffers any step to be taken in relation to its winding-up, dissolution, administration (whether out of court or otherwise) or reorganisation (by way of voluntary arrangement, scheme of arrangement or otherwise) otherwise than as part of, and exclusively for the purpose of, a bona fide reconstruction or amalgamation; has a liquidator, trustee in bankruptcy, judicial custodian, compulsory manager, receiver, administrative receiver, administrator or similar officer appointed (in each case, whether out of court or otherwise) in respect of it or any of its assets; has any security over any of its assets

enforced; or any analogous procedure or step is taken in any jurisdiction;

- 17.5.2 if the Supplier undergoes a change of control within the meaning of sections 450 and 451 of the Corporation Tax Act 2010 (other than for an intra-group change of control) without the prior written consent of the Authority and the Authority shall be entitled to withhold such consent if, in the reasonable opinion of the Authority, the proposed change of control will have a material impact on the performance of this Framework Agreement or the reputation of the Authority;
 - 17.5.3 if the Supplier purports to assign, sub-contract, novate, create a trust in or otherwise transfer or dispose of this Framework Agreement in breach of Clause 32.1 of this Schedule 2;
 - 17.5.4 pursuant to and in accordance with the Key Provisions and Clauses 17.6, 20.6.2, 22.7.2, 27.8, 29.2, 29.4, 30.2 and 33.2 of this Schedule 2 or any other provision of this Framework Agreement that provides for termination of this Framework Agreement;
 - 17.5.5 if the warranty given by the Supplier pursuant to Clause 12.4 of this Schedule 2 is materially untrue, the Supplier commits a material breach of its obligation to notify the Authority of any Occasion of Tax Non-Compliance as required by Clause 12.4 of this Schedule 2, or the Supplier fails to provide details of proposed mitigating factors as required by Clause 12.4 of this Schedule 2 that in the reasonable opinion of the Authority are acceptable;
 - 17.5.6 where a court (or other competent authority) or the Authority (acting reasonably) makes a finding or determination that any of the Intellectual Property Rights required for the purposes of supplying the Goods is invalid or unenforceable for whatever reason;
 - 17.5.7 on the occurrence of, or at any time following, any NHSE Event;
 - 17.5.8 the Supplier declines to accept an Order;
 - 17.5.9 if any marketing authorisation in relation to the Goods is withdrawn, suspended and/or not renewed by the Licensing Authority at any time during the Term; or
 - 17.5.10 pursuant to and in accordance with any termination rights set out in the Data Protection Protocol, as applicable to this Framework Agreement.
- 17.6 If the Authority, acting reasonably, has good cause to believe that there has been a material deterioration in the financial circumstances of the Supplier and/or any third party guaranteeing the obligations of the Supplier under this Framework Agreement and/or any material Sub-contractor of the Supplier when compared to any information provided to and/or assessed by the Authority as

part of any procurement process or other due diligence leading to the award of this Framework Agreement to the Supplier or the entering into a Sub-contract by the Supplier, the following process shall apply:

- 17.6.1 the Authority may (but shall not be obliged to) give notice to the Supplier requesting adequate financial or other security and/or assurances for due performance of its material obligations under this Framework Agreement on such reasonable and proportionate terms as the Authority may require within a reasonable time period as specified in such notice;
- 17.6.2 a failure or refusal by the Supplier to provide the financial or other security and/or assurances requested in accordance with Clause 17.6 of this Schedule 2 in accordance with any reasonable timescales specified in any such notice issued by the Authority shall be deemed a breach of this Framework Agreement by the Supplier and shall be referred to and resolved in accordance with the Dispute Resolution Procedure; and
- 17.6.3 a failure to resolve such breach in accordance with such Dispute Resolution Procedure by the end of the escalation stage of such process (as set out in Clause 26.3 of this Schedule 2) shall entitle, but shall not compel, the Authority to terminate this Framework Agreement in accordance with Clause 17.4.1 of this Schedule 2.

In order that the Authority may act reasonably in exercising its discretion in accordance with Clause 17.6 of this Schedule 2, the Supplier shall provide the Authority with such reasonable and proportionate up-to-date financial or other information relating to the Supplier or any relevant third party entity upon request.

- 17.7 The Authority may terminate this Framework Agreement forthwith by issuing a Termination Notice in writing to the Supplier where:
 - 17.7.1 the Framework Agreement has been substantially amended to the extent that the Regulations require a new procurement procedure;
 - 17.7.2 the Authority has become aware that the Supplier should have been excluded under Regulation 57(1) or (2) of the Regulations from the procurement procedure leading to the award of the Framework Agreement; or
 - 17.7.3 there has been a failure by the Supplier and/or one of its Sub-contractors to comply with legal obligations in the fields of environmental, social or labour Law. Where the failure to comply with legal obligations in the fields of environmental, social or labour Law is a failure by one of the Supplier's Sub-contractors, the Authority may request the replacement of such Sub-contractor and the Supplier shall comply with such request as an alternative to the

Authority terminating this Framework Agreement under this Clause 17.7.3.

- 17.8 If the Authority novates this Framework Agreement to any body that is not a Contracting Authority, from the effective date of such novation, the rights of the Authority to terminate this Framework Agreement in accordance with Clause 17.5.1 to Clause 17.5.3 of this Schedule 2 shall be deemed mutual termination rights and the Supplier may terminate this Framework Agreement forthwith by notice in writing to the entity assuming the position of the Authority if any of the circumstances referred to in such Clauses apply to the entity assuming the position of the Authority.
- 17.9 The Supplier agrees and acknowledges that the Authority (on its own behalf and on the behalf of any Participating Authority) is entitled to recover any costs the Authority and/or any Participating Authorities may incur in consequence of the Authority terminating this Framework Agreement pursuant to this Clause 17.
- 17.10 The Supplier agrees that upon termination for any reason or expiry of this Framework Agreement it shall not be entitled to make a claim against the Authority in relation to costs incurred by the Supplier in providing the Goods or costs incurred in acquiring equipment and/or materials used in the provision of the Goods or in engaging third parties in connection with the Goods the subject of this Framework Agreement.
- 17.11 For the avoidance of doubt, the Authority shall be entitled to terminate the Framework Agreement pursuant to this Clause 17 of this Schedule 2 in whole (in relation to all of the Goods) or in part (in relation to any particular Good(s)) and any termination shall apply to all of the Goods or particular Goods as the Authority may specify in any notice given under Clause 17 of this Schedule 2.

18 Consequences of expiry or earlier termination of this Framework Agreement

- 18.1 Upon expiry or earlier termination of this Framework Agreement, the Authority and the Supplier agree that all Contracts entered into under this Framework Agreement will continue in full force and effect unless otherwise terminated under the terms and conditions of such Contracts. The expiry or earlier termination of this Framework Agreement for whatever reason shall not in any way affect the validity of any Order raised by a Participating Authority prior to the date of such expiry or termination.
- 18.2 The Supplier shall cooperate fully with the Authority or, as the case may be, any replacement supplier during any re-procurement and handover period prior to and following the expiry or earlier termination of this Framework Agreement. This cooperation shall extend to providing access to all information relevant to the operation of this Framework Agreement, as reasonably required by the Authority to achieve a fair and transparent re-procurement and/or an effective transition without disruption to routine operational requirements. Any Personal Data Processed by the Supplier on behalf of the Authority shall be returned to

the Authority or destroyed in accordance with the relevant provisions of the Data Protection Protocol.

- 18.3 The expiry or earlier termination of this Framework Agreement for whatever reason shall not affect any rights or obligations of either Party which accrued prior to such expiry or earlier termination.
- 18.4 The expiry or earlier termination of this Framework Agreement shall not affect any obligations which expressly or by implication are intended to come into or continue in force on or after such expiry or earlier termination.
- 18.5 Where the Authority terminates this Framework Agreement due to the Supplier's breach of the Framework Agreement and Participating Authorities are required to purchase goods from other third parties to make good the inability to place Orders for such Goods with the Supplier following the termination of this Framework Agreement, then the Authority may recover from the Supplier the amount by which the Participating Authorities' cost of purchasing other goods from a third party exceeds the amount that would have been payable by the Participating Authorities' to the Supplier in respect of the Goods replaced by such purchase during what would otherwise have been the Term of this Framework Agreement provided that the Participating Authorities' uses all reasonable endeavours to mitigate their losses. If the Authority wishes to claim any sum from the Supplier under this Clause, the Authority shall give a written notice to the Supplier to that effect. The Supplier shall pay any such sum within thirty (30) days from the date of such written notice. The Supplier acknowledges that payment of such amounts are proportionate when considering the Authority's legitimate interest in ensuring that the Supplier performs its obligations under this Framework Agreement, including the supply of Goods to Participating Authorities at the Contract Price. Such payments shall not be recoverable to the extent that a Participating Authority has already recovered such sums under the terms of the Contract.

19 Suspension of Supplier's appointment

- 19.1 Without prejudice to the Authority's rights to terminate this Framework Agreement, if a right for the Authority to terminate this Framework Agreement arises (irrespective of whether the circumstances leading to such right are capable of remedy) in accordance with Clause 17 of this Schedule 2 and/or the Supplier has failed to satisfy any Applicable Condition Precedent, the Authority may suspend the Supplier's appointment to receive new Orders under this Framework Agreement by giving notice in writing to the Supplier and all Participating Authorities.
- 19.2 If the Authority provides notice to the Supplier in accordance with Clause 19.1 of this Schedule 2, the Supplier's appointment shall be suspended for the period set out in the notice or such other period notified to the Supplier by the Authority in writing from time to time provided that such suspension shall be lifted where:
- 19.2.1 the circumstances leading to the Authority's right to terminate this Framework Agreement have been remedied;

19.2.2 the Authority has satisfied itself that the risk and/or impact of the circumstances giving rise to the Authority's right to terminate this Framework Agreement no longer requires such suspension; or

19.2.3 the Authority exercises its rights to terminate this Framework Agreement in accordance with Clause 17 of this Schedule 2.

20 Service Failures

20.1 Where the Supplier is in breach of, or is aware that it likely to be in imminent breach of, any of the following terms of this Framework Agreement:

20.1.1 Initial Stock Level (Clause 15 of Schedule 1);

20.1.2 Framework Stock Level (Clause 16 of Schedule 1);

20.1.3 Tail-off Stock Level (Clause 17 of Schedule 1);

20.1.4 Stock Level Failure and reporting (Clause 19 of Schedule 1)

20.1.5 Business Continuity Plan (Clause 7 of this Schedule 2);

20.1.6 Management Information (Clause 10 of this Schedule 2);

20.1.7 Sales Information (Clause 24 of this Schedule 2); or

20.1.8 Key Performance Indicators (Schedule 5),

the Supplier must inform the Authority as soon as possible and in any event within 24 hours of becoming aware of the breach or the likely imminent breach.

20.2 The Supplier must as soon as possible and in any case within 24 hours of becoming aware of the breach or the likely imminent breach of the terms set out in Clause 20.1 above provide to the Authority in writing the following:

20.2.1 confirmation as to whether the Supplier is confident it can remedy such breach;

20.2.2 information as to how the Supplier intends remedying such breach; and

20.2.3 the timeframe for rectifying the breach.

20.3 Without prejudice to any other rights or remedy the Authority may have, where the Supplier is in breach of any the terms set out in Clause 20.1 above the Authority may, without prejudice to its other rights and remedies under this

Framework Agreement, issue notice of the breach and allow the Supplier the opportunity to remedy such breach in the first instance via a remedial proposal put forward by the Supplier ("**Service Failure Remedial Proposal**") before exercising any right to serve a Service Failure Notice under this Clause 20.1. Such Service Failure Remedial Proposal must be agreed with the Authority (such agreement not to be unreasonably withheld or delayed) and must be implemented by the Supplier in accordance with the timescales referred to in the agreed Service Failure Remedial Proposal. Once agreed, any changes to a Service Failure Remedial Proposal must be approved by the Parties in writing. Should the Supplier fail to:

- 20.3.1 put forward and agree a Service Failure Remedial Proposal with the Authority in relation to the relevant default or breach within a period of ten (10) Business Days (or such other period as the Authority may agree in writing) from written notification of the relevant default or breach from the Authority;
 - 20.3.2 comply with such Service Failure Remedial Proposal (including, without limitation, as to its timescales for implementation, which shall be thirty (30) days unless otherwise agreed between the Parties); and/or
 - 20.3.3 remedy the default or breach notwithstanding the implementation of such Service Failure Remedial Proposal in accordance with the agreed timescales for implementation.
- 20.4 Without prejudice to any of its other rights and remedies under this Framework Agreement, the Authority may serve on the Supplier in writing a notice detailing the relevant default or breach, the steps the Supplier was required to take under the Service Failure Remedial Proposal and confirm that the Supplier had failed to remedy the default or breach in accordance with the agreed timescales for implementation ("**Service Failure Notice**").
- 20.5 Following the service of the first and second Service Failure Notices, the Authority may, where relevant, amend the Framework Agreement as follows:
- 20.5.1 require the Supplier to provide the information pursuant to Clause 19.3 of Schedule 1 on a more frequent basis;
 - 20.5.2 require amendments to the Business Continuity Plan pursuant to Clause 7.5; and/or

- 20.5.3 require the Supplier to provide further Management Information pursuant to Clause 9 of this Schedule 2.
- 20.6 Following the service of the third or any subsequent Service Failure Notices (pursuant to either this Framework Agreement and/or any Contract), the Authority may (in addition to the rights and remedies set out at Clause 20.4 or 20.5 above):
- 20.6.1 suspend the Supplier's appointment to receive new Orders under this Framework Agreement in accordance with Clause 19 by giving notice in writing to the Supplier and all Participating Authorities; or
- 20.6.2 deem that, for the purposes of Clause 17.4.2 of this Schedule 2, there has been a material breach of this Framework Agreement that is not capable of remedy by the Supplier and may terminate the Framework Agreement pursuant to Clause 17.4.2.
- 20.7 The Supplier acknowledges and agrees that any Service Failure Notice shall be deemed to be a "comparable sanction" for the purposes of Regulation 57(8)(g) of the Regulations and (where applicable) that the Authority may give notice to the appropriate authority in relation to any debarment lists.

21 Complaints

- 21.1 The Supplier shall notify the Authority of any formal written complaints made by other Participating Authorities relating to the Supplier's noncompliance with any of its obligations under any Contract within two (2) Business Days of the Supplier becoming aware of such complaints.
- 21.2 Without prejudice to any rights and remedies that the Participating Authority may have under the relevant Contract and/or the Authority may have under this Framework Agreement, the Supplier shall use its reasonable endeavours to resolve such complaint within ten (10) Business Days and in so doing, shall deal with the complaint fully, expeditiously and fairly.
- 21.3 Within two (2) Business Days of a written request by the Authority, the Supplier shall provide further reasonable details of the complaint to the Authority, including details of the steps being taken to progress its resolution and, following its resolution, details of how and when the complaint was resolved.

22 Modern slavery and environmental, social and labour laws

Environmental, social and labour law requirements

- 22.1 The Supplier shall comply in all material respects with applicable environmental, social and labour Law requirements in force from time to time in relation to the Goods. Where the provisions of any such Law are implemented

by the use of voluntary agreements, the Supplier shall comply with such agreements as if they were incorporated into English law subject to those voluntary agreements being cited in the Specification and Terms of Offer. Without prejudice to the generality of the foregoing, the Supplier shall:

- 22.1.1 comply with all Policies and/or procedures and requirements set out in the Specification and Terms of Offer in relation to any stated environmental, social and labour requirements, characteristics and impacts of the Goods and the Supplier's supply chain;
- 22.1.2 maintain relevant policy statements documenting the Supplier's significant labour, social and environmental aspects as relevant to the Goods being supplied and as proportionate to the nature and scale of the Supplier's business operations; and
- 22.1.3 maintain plans and procedures that support the commitments made as part of the Supplier's significant labour, social and environmental policies, as referred to at Clause 22.1.2 of this Schedule 2.

Modern slavery

22.2 The Supplier shall, and shall procure that each of its Sub-contractors shall, comply with:

- 22.2.1 the Modern Slavery Act 2015 ("**Slavery Act**"); and
- 22.2.2 the Authority's anti-slavery policy as provided to the Supplier by the Authority from time to time ("**Anti-Slavery Policy**").

22.3 The Supplier shall:

- 22.3.1 implement due diligence procedures for its Sub-contractors and other participants in its supply chains in accordance with Good Industry Practice with the aim of avoiding slavery or trafficking in its supply chains;
- 22.3.2 respond promptly to all slavery and trafficking due diligence questionnaires issued to it by the Authority from time to time and shall ensure that its responses to all such questionnaires are complete and accurate;
- 22.3.3 upon request from the Authority, prepare and deliver to the Authority each year, an annual slavery and trafficking report setting out the steps it has taken to ensure that slavery and trafficking is not taking place in any of its supply chains or in any part of its business;

- 22.3.4 maintain a complete set of records to trace the supply chain of all goods and services purchased and/or supplied by the Supplier in connection with all contracts or framework agreements with the Authority;
 - 22.3.5 implement a system of training for its employees to ensure compliance with the Slavery Act; and
 - 22.3.6 ensure that any Sub-contracts contain anti-slavery provisions consistent with the Supplier's obligations under this Clause 22 of this Schedule 2.
- 22.4 The Supplier undertakes on an ongoing basis that:
- 22.4.1 it conducts its business in a manner consistent with all applicable Laws including the Slavery Act and all analogous legislation in place in any part of the world in which its supply chain operates;
 - 22.4.2 its responses to all slavery and trafficking due diligence questionnaires issued to it by the Authority from time to time are complete and accurate; and
 - 22.4.3 neither the Supplier nor any of its Sub-contractors, nor any other persons associated with it (including any Staff):
 - (i) has been convicted of any offence involving slavery or trafficking; or
 - (ii) has been, or is currently, the subject of any investigation, inquiry or enforcement proceedings by any governmental, administrative or regulatory body relating to any offence committed regarding slavery or trafficking,not already notified to the Authority in writing in accordance with Clause 22.5 of this Schedule 2.
- 22.5 The Supplier shall notify the Authority as soon as it becomes aware of:
- 22.5.1 any breach, or potential breach, of the Anti-Slavery Policy; or
 - 22.5.2 any actual or suspected slavery or trafficking in its supply chain.
- 22.6 If the Supplier notifies the Authority pursuant to Clause 22.5 of this Schedule 2, it shall respond promptly to the Authority's enquiries, co-operate with any investigation, and allow the Authority to audit any books, premises, facilities,

records and/or any other relevant documentation in accordance with this Framework Agreement.

22.7 If the Supplier is in breach of Clause 22.3 or the undertaking at Clause 22.4 of this Schedule 2 in addition to its other rights and remedies provided under this Framework Agreement, the Authority may:

22.7.1 by written notice require the Supplier to remove from performance of any contract or framework agreement with the Authority (including this Framework Agreement) any Sub-contractor, Staff or other persons associated with it whose acts or omissions have caused the breach; or

22.7.2 terminate this Framework Agreement by issuing a Termination Notice to the Supplier.

Further corporate social responsibility requirements

22.8 The Supplier shall comply with any further corporate social responsibility requirements set out in the Specification.

Provision of further information

22.9 The Supplier shall meet reasonable requests by the Authority for information evidencing the Supplier's compliance with the provisions of Clause 22 of this Schedule 2. For the avoidance of doubt, the Authority may audit the Supplier's compliance with Clause 22 of this Schedule 2 in accordance with Clause 28 of this Schedule 2.

23 Electronic product information

23.1 Where requested by the Authority, the Supplier shall provide the Authority the Product Information in such manner and upon such media as agreed between the Supplier and the Authority from time to time for the sole use by the Authority.

23.2 The Supplier warrants that the Product Information is complete and accurate as at the date upon which it is delivered to the Authority and that the Product Information shall not contain any data or statement which gives rise to any liability on the part of the Authority following publication of the same in accordance with Clause 23 of this Schedule 2.

23.3 If the Product Information ceases to be complete and accurate, the Supplier shall promptly notify the Authority in writing of any modification or addition to or any inaccuracy or omission in the Product Information.

23.4 The Supplier grants the Authority a perpetual, non-exclusive, royalty free licence to use and exploit the Product Information and any Intellectual Property Rights in the Product Information for the purpose of illustrating the range of

goods and services (including, without limitation, the Goods) available pursuant to the Authority's contracts from time to time. Subject to Clause 23.5 of this Schedule 2, no obligation to illustrate or advertise the Product Information is imposed on the Authority, as a consequence of the licence conferred by this Clause 23.4 of this Schedule 2.

- 23.5 The Authority may reproduce for its sole use the Product Information provided by the Supplier in the Authority's product catalogue from time to time which may be made available on any NHS communications networks in electronic format and/or made available on the Authority's external website and/or made available on other digital media from time to time.
- 23.6 Before any publication of the Product Information (electronic or otherwise) is made by the Authority, the Authority will submit a copy of the relevant sections of the Authority's product catalogue to the Supplier for approval, such approval not to be unreasonably withheld or delayed. For the avoidance of doubt the Supplier shall have no right to compel the Authority to exhibit the Product Information in any product catalogue as a result of the approval given by it pursuant to this Clause 23.6 of this Schedule 2 or otherwise under the terms of this Framework Agreement.
- 23.7 The Supplier shall indemnify the Authority from against all costs, claims, charges, demands, liabilities, damages, losses and expenses incurred or suffered by the Authority arising out of or in connection with the Product Information save for where this is a result of the Authority's wilful or negligent misrepresentation of the Product Information.
- 23.8 If requested in writing by the Authority, and to the extent not already agreed as part of the Specification and Terms of Offer, the Supplier and the Authority shall discuss and seek to agree in good faith arrangements to use any Electronic Trading System.

24 Sales Information

- 24.1 If requested by the Authority, the Supplier shall provide the Authority with statements giving accurate and complete details of the quantity and value of the Goods supplied by the Supplier to Participating Authorities pursuant to this Framework Agreement. The frequency, format and level of detail to be included in such statements shall be as specified by the Authority in the Invitation to Offer, or as otherwise agreed between the Authority and the Supplier.
- 24.2 The Supplier shall keep at its normal place of business detailed, accurate and up to date records of the quantity and value of the Goods sold by it to any Participating Authority pursuant to this Framework Agreement, together with accurate details of the identity of the Participating Authority to which such Goods were sold. Subject to any other auditing process being agreed between the Authority and the Supplier in writing, the Authority shall be entitled by prior appointment to enter the Supplier's normal place of business during normal office hours and to inspect such records in order to verify whether any statement

supplied by the Supplier to the Authority pursuant to Clause 24.1 of this Schedule 2 is accurate and complete.

25 Change management

- 25.1 The Supplier acknowledges to the Authority that the requirements for the Goods may change during the Term and the Supplier shall not unreasonably withhold or delay its consent to any reasonable variation or addition to the Specification, as may be requested by the Authority from time to time.
- 25.2 Any change to the Goods or other variation to this Framework Agreement shall only be binding once it has been agreed in writing and signed by an authorised representative of both Parties.
- 25.3 Any change to the Data Protection Protocol shall be made in accordance with the relevant provisions of that protocol.
- 25.4 The Supplier shall neither be relieved of its obligations to supply the Goods in accordance with the terms and conditions of this Framework Agreement nor be entitled to an increase in the Framework Prices as the result of:
- 25.4.1 a General Change in Law; or
 - 25.4.2 a Specific Change in Law where the effect of that Specific Change in Law is reasonably foreseeable at the Commencement Date.
- 25.5 If a Specific Change in Law occurs or will occur during the Term (other than as referred to in Clause 25.4.2), the Supplier shall:
- 25.5.1 notify the Authority as soon as reasonably practicable of the likely effects of the Specific Change in Law including whether any variation is required to the Framework Prices and/or this Framework Agreement; and
 - 25.5.2 provide the Authority with evidence:
 - (i) that the Supplier has minimised any increase in costs or maximised any reduction in costs, including in respect of the costs of its Sub-Contractors;
 - (ii) as to how the Specific Change in Law has affected the cost of providing the Goods; and
 - (iii) demonstrating that any expenditure that has been avoided has been taken into account in amending the Framework Prices.

- 25.6 Any change in the Framework Prices or relief from the Supplier's obligations resulting from a Specific Change in Law (other than as referred to in Clause 25.4.2) shall be implemented by written agreement of the Parties' authorised representative.
- 25.7 Where there is a General Change in Law or Specific Change in Law, the Authority may (acting reasonably) suggest variation(s) to the terms of this Framework Agreement and the Supplier shall, acting reasonably, agree to such changes and may only refuse such variation(s) if the variation(s) (a) would materially and adversely affect the risks to the health and safety of any person and that it is not otherwise possible to achieve the desired outcome (b) would require the Goods to be supplied in a way that infringes any Law or that it is not lawfully possible to otherwise achieve the relevant aim or (c) it is technically impractical to implement provided that the Supplier can demonstrate to the Authority's reasonable satisfaction that the proposed variation would be significantly detrimental to the provision of the Goods or the Supplier does not have, or cannot procure, the technical capacity and capability required to implement the proposed variation within the terms of this Framework Agreement.

26 Dispute resolution

- 26.1 During any Dispute, including a Dispute as to the validity of this Framework Agreement, it is agreed that the Supplier shall continue its performance of the provisions of the Framework Agreement (unless the Authority requests in writing that the Supplier does not do so).
- 26.2 In the case of a Dispute arising out of or in connection with this Framework Agreement the Supplier and the Authority shall make every reasonable effort to communicate and cooperate with each other with a view to resolving the Dispute and follow the procedure set out in Clause 26.3 of this Schedule 2 as the first stage in the Dispute Resolution Procedure.
- 26.3 If any Dispute arises out of the Framework Agreement either Party may serve a notice on the other Party to commence formal resolution of the Dispute. The Parties shall first seek to resolve the Dispute by escalation in accordance with the management levels as set out in Clause 5 of the Key Provisions. Respective representatives at each level, as set out in Clause 5 of the Key Provisions, shall have five (5) Business Days at each level during which they will use their reasonable endeavours to resolve the Dispute before escalating the matter to the next level until all levels have been exhausted. Level 1 will commence on the date of services of the Dispute notice. The final level of the escalation process shall be deemed exhausted on the expiry of five (5) Business Days following escalation to that level unless otherwise agreed by the Parties in writing.

- 26.4 If the procedure set out in Clause 26.3 of this Schedule 2 has been exhausted and fails to resolve such Dispute, as part of the Dispute Resolution Procedure, the Parties will attempt to settle it by mediation. The Parties shall, acting reasonably, attempt to agree upon a mediator. In the event that the Parties fail to agree a mediator within five (5) Business Days following exhaustion of all levels of the escalation procedure at Clause 26.3 of this Schedule 2, the mediator shall be nominated and confirmed by the Centre for Effective Dispute Resolution, London.
- 26.5 The mediation shall commence within twenty eight (28) days of the confirmation of the mediator in accordance with Clause 26.5 of this Schedule 2 or at such other time as may be agreed by the Parties in writing. Neither Party will terminate such mediation process until each Party has made its opening presentation and the mediator has met each Party separately for at least one hour or one Party has failed to participate in the mediation process. After this time, either Party may terminate the mediation process by notification to the other party (such notification may be verbal provided that it is followed up by written confirmation). The Authority and the Supplier will cooperate with any person appointed as mediator providing them with such information and other assistance as they shall require and will pay their costs, as they shall determine or in the absence of such determination such costs will be shared equally.
- 26.6 The Supplier shall continue to perform its obligations under this Framework Agreement and any Order during any Dispute.
- 26.7 Nothing in this Framework Agreement shall prevent:
- 26.7.1 the Authority taking action in any court in relation to any death or personal injury arising or allegedly arising in connection with the supply of the Goods; or
- 26.7.2 either Party seeking from any court any interim or provisional relief that may be necessary to protect the rights or property of that Party or that relates to the safety of patients or the security of Confidential Information, pending resolution of the relevant Dispute in accordance with the Dispute Resolution Procedure.
- 26.8 Clause 26 of this Schedule 2 shall survive the expiry of or earlier termination of this Framework Agreement for any reason.

27 Force majeure

- 27.1 Subject to Clause 27.2 of this Schedule 2 neither Party shall be liable to the other for any failure to perform all or any of its obligations under this Framework Agreement nor liable to the other Party for any loss or damage arising out of the failure to perform its obligations to the extent only that such performance is rendered impossible by a Force Majeure Event.
- 27.2 The Supplier shall only be entitled to rely on a Force Majeure Event and the relief set out in Clause 27 of this Schedule 2 and will not be considered to be in

default or liable for breach of any obligations under this Framework Agreement if:

- 27.2.1 the Supplier has fulfilled its obligations pursuant to Clause 7 of this Schedule 2;
 - 27.2.2 the Force Majeure Event does not arise directly or indirectly as a result of any wilful or negligent act or default of the Supplier; and
 - 27.2.3 the Supplier has complied with the procedural requirements set out in Clause 27 of this Schedule 2.
- 27.3 Where a Party is (or claims to be) affected by a Force Majeure Event it shall use reasonable endeavours to mitigate the consequences of such a Force Majeure Event upon the performance of its obligations under this Framework Agreement and to resume the performance of its obligations affected by the Force Majeure Event as soon as practicable.
- 27.4 Where the Force Majeure Event affects the Supplier's ability to perform part of its obligations under the Framework Agreement the Supplier shall fulfil all such contractual obligations that are not so affected and shall not be relieved from its liability to do so.
- 27.5 If either Party is prevented or delayed in the performance of its obligations under this Framework Agreement by a Force Majeure Event, that Party shall as soon as reasonably practicable serve notice in writing on the other Party specifying the nature and extent of the circumstances giving rise to its failure to perform or any anticipated delay in performance of its obligations.
- 27.6 Subject to service of such notice, the Party affected by such circumstances shall have no liability for its failure to perform or for any delay in performance of its obligations affected by the Force Majeure Event only for so long as such circumstances continue and for such time after they cease as is necessary for that Party, using its best endeavours, to recommence its affected operations in order for it to perform its obligations.
- 27.7 The Party claiming relief shall notify the other in writing as soon as the consequences of the Force Majeure Event have ceased and of when performance of its affected obligations can be resumed.
- 27.8 If the Supplier is prevented from performance of its obligations as a result of a Force Majeure Event, the Authority may at any time if the Force Majeure Event subsists for thirty (30) days or more terminate this Framework Agreement by issuing a Termination Notice on the Supplier.
- 27.9 Following such termination in accordance with Clause 27.8 of this Schedule 2 and subject to Clause 27.10 of this Schedule 2, neither Party shall have any liability to the other.

- 27.10 Any rights and liabilities of either Party which have accrued prior to such termination in accordance with Clause 27.8 of this Schedule 2 shall continue in full force and effect unless otherwise specified in this Framework Agreement.

28 Records retention and right of audit

- 28.1 Subject to any statutory requirement and Clause 28.2 of this Schedule 2, the Supplier shall keep secure and maintain for the Term and six (6) years afterwards, or such longer period as may be agreed between the Parties, full and accurate records of all matters relating to this Framework Agreement.
- 28.2 Where any records could be relevant to a claim for personal injury such records shall be kept secure and maintained for a period of twenty one (21) years from the date of expiry or earlier termination of this Framework Agreement.
- 28.3 The Authority shall have the right to audit the Supplier's compliance with this Framework Agreement. The Supplier shall permit or procure permission for the Authority or its authorised representative during normal business hours having given advance written notice of no less than five (5) Business Days, access to any premises and facilities, books and records reasonably required to audit the Supplier's compliance with its obligations under this Framework Agreement.
- 28.4 Should the Supplier sub-contract any of its obligations under this Framework Agreement, the Authority shall have the right to audit and inspect such third party. The Supplier shall procure permission for the Authority or its authorised representative during normal business hours no more than once in any twelve (12) months, having given advance written notice of no less than five (5) Business Days, access to any premises and facilities, books and records used in the performance of the Supplier's obligations under this Framework Agreement that are sub-contracted to such third party. The Supplier shall cooperate with such audit and inspection and accompany the Authority or its authorised representative if requested.
- 28.5 The Supplier shall grant to the Authority or its authorised representative, such access to those records as they may reasonably require in order to check the Supplier's compliance with this Framework Agreement for the purposes of:
- 28.5.1 the examination and certification of the Authority's accounts; or
- 28.5.2 any examination pursuant to section 6(1) of the National Audit Act 1983 of the economic efficiency and effectiveness with which the Authority has used its resources.
- 28.6 The Comptroller and Auditor General may examine such documents as they may reasonably require which are owned, held or otherwise within the control of the Supplier and may require the Supplier to provide such oral and/or written explanations as they consider necessary. Clause 28 of this Schedule 2 does not constitute a requirement or agreement for the examination, certification or inspection of the accounts of the Supplier under sections 6(3)(d) and 6(5) of the National Audit Act 1983.

- 28.7 The Supplier shall provide reasonable cooperation to the Authority, its representatives and any regulatory body in relation to any audit, review, investigation or enquiry carried out in relation to the subject matter of this Framework Agreement.
- 28.8 The Supplier shall provide all reasonable information as may be reasonably requested by the Authority to evidence the Supplier's compliance with the requirements of this Framework Agreement.

29 Conflicts of interest and the prevention of fraud

- 29.1 The Supplier shall take appropriate steps to ensure that neither the Supplier nor any Staff are placed in a position where, in the reasonable opinion of the Authority, there is or may be an actual conflict, or a potential conflict, between the pecuniary or personal interests of the Supplier and the duties owed to the Authority under the provisions of this Framework Agreement. The Supplier will disclose to the Authority full particulars of any such conflict of interest which may arise.
- 29.2 The Authority reserves the right to terminate this Framework Agreement immediately by notice in writing and/or to take such other steps it deems necessary where, in the reasonable opinion of the Authority, there is or may be an actual conflict, or a potential conflict, between the pecuniary or personal interests of the Supplier and the duties owed to the Authority under the provisions of this Framework Agreement. The actions of the Authority pursuant to this Clause 29.2 of this Schedule 2 shall not prejudice or affect any right of action or remedy which shall have accrued or shall subsequently accrue to the Authority.
- 29.3 The Supplier shall take all reasonable steps to prevent Fraud by Staff and the Supplier (including its owners, members and directors). The Supplier shall notify the Authority immediately if it has reason to suspect that any Fraud has occurred or is occurring or is likely to occur.
- 29.4 If the Supplier or its Staff commits Fraud the Authority may terminate this Framework Agreement and recover from the Supplier the amount of any direct loss suffered by the Authority resulting from the termination.

30 Equality and human rights

- 30.1 The Supplier shall:
- 30.1.1 ensure that (a) it does not, whether as employer or as supplier of the Goods and any associated services, engage in any act or omission that would contravene the Equality Legislation, and (b) it complies with all its obligations as an employer or supplier of the Goods and any associated services as set out in the Equality Legislation and take reasonable endeavours to ensure its Staff do not unlawfully discriminate within the meaning of the Equality Legislation;

- 30.1.2 in the management of its affairs and the development of its equality and diversity policies, cooperate with the Authority in light of the Authority's obligations to comply with its statutory equality duties whether under the Equality Act 2010 or otherwise. The Supplier shall take such reasonable and proportionate steps as the Authority considers appropriate to promote equality and diversity, including race equality, equality of opportunity for disabled people, gender equality, and equality relating to religion and belief, sexual orientation and age; and
- 30.1.3 the Supplier shall impose on all its Sub-contractors and suppliers, obligations substantially similar to those imposed on the Supplier by Clause 30 of this Schedule 2.
- 30.2 If the Supplier fails to comply with the provisions of Clause 30.1 and/or contravenes the Equality Legislation, the Authority may terminate this Framework Agreement and recover from the Supplier the amount of any direct loss suffered by the Authority resulting from the termination.
- 30.3 The Supplier shall also indemnify the Authority against all costs, claims, charges, demands, liabilities, damages, losses and expenses incurred or suffered by the Authority arising out of or in connection with any investigation conducted or any proceedings brought under the Equality Legislation due directly or indirectly to any act or omission by the Supplier, its agents, employees or sub-contractors.
- 30.4 The Supplier shall meet reasonable requests by the Authority for information evidencing the Supplier's compliance with the provisions of Clause 30 of this Schedule 2.

31 Notice

- 31.1 Subject to Clause 27.5 of this Schedule 2, any notice required to be given by either Party under this Framework Agreement shall be in writing quoting the date of the Framework Agreement and shall be delivered by hand or sent by recorded delivery or by email to the person referred to in the Key Provisions or such other person as one Party may inform the other Party in writing from time to time.
- 31.2 Additionally or alternatively to Clause 31.1 of this Schedule 2, the Authority may serve any notices served under this Framework Agreement on the Supplier by sending a message to the Supplier through the portal used for the procurement and/or management of this Framework Agreement (as such portal is designated from time to time for that purpose by the Authority) to the account details on that portal by the Supplier from time to time for the purposes of receiving such notices or communications relating to this Framework Agreement.
- 31.3 A notice shall be treated as having been received:

- 31.3.1 if delivered by hand within normal business hours when so delivered or, if delivered by hand outside normal business hours, at the next start of normal business hours; or
- 31.3.2 if sent by recorded delivery mail on a normal Business Day, at 9.00 am on the second Business Day subsequent to the day of posting, or, if the notice was not posted on a Business Day, at 9.00 am on the third Business Day subsequent to the day of posting; or
- 31.3.3 if sent by email, if sent within normal business hours when so sent or, if sent outside normal business hours, at the next start of normal business hours provided the sender has either received an electronic confirmation of delivery or has telephoned the recipient to inform the recipient that the email has been sent;
- 31.3.4 if sent on the portal in accordance with Clause 31.2 and sent within normal business hours when so sent or, if sent outside normal business hours, at the next start of normal business hours.

32 Assignment, novation and Sub-contracting

- 32.1 The Supplier shall not assign, Sub-contract, novate, create a trust in, or in any other way dispose of the whole or any part of this Framework Agreement without the prior consent in writing of the Authority, such consent not to be unreasonably withheld or delayed. If the Supplier Sub-contracts any of its obligations under this Framework Agreement, every act or omission of the Sub-contractor shall for the purposes of this Framework Agreement be deemed to be the act or omission of the Supplier and the Supplier shall be liable to the Authority as if such act or omission had been committed or omitted by the Supplier itself.
- 32.2 Any authority given by the Authority for the Supplier to Sub-contract any of its obligations under this Framework Agreement shall not impose any duty on the Authority to enquire as to the competency of any authorised Sub-contractor. The Supplier shall ensure that any authorised Sub-contractor has the appropriate capability and capacity to perform the relevant obligations and that the obligations carried out by such Sub-contractor are fully in accordance with this Framework Agreement.
- 32.3 Where the Authority considers the grounds for exclusion under Regulation 57 of the Regulations apply to any Sub-contractor then:
 - 32.3.1 if the Authority finds there are compulsory grounds for exclusion, the Supplier shall ensure, or shall procure, that such Sub-contractor is replaced or not appointed; or
 - 32.3.2 if the Authority finds there are non-compulsory grounds for exclusion, the Authority may require the Supplier to ensure, or to procure, that such Sub-contractor is replaced or not appointed and the Supplier shall comply with such a requirement.

- 32.4 The Authority shall upon written request have the right to review any Sub-contract entered into by the Supplier in respect of the provision of the Goods and the Supplier shall provide a certified copy of any Sub-contract within five (5) Business Days of the date of a written request from the Authority. For the avoidance of doubt, the Supplier shall have the right to redact any confidential pricing information in relation to such copies of Sub-contracts.
- 32.5 The Authority may at any time transfer, assign, novate, sub-contract or otherwise dispose of its rights and obligations under this Framework Agreement or any part of this Framework Agreement and the Supplier warrants that it will carry out all such reasonable further acts required to effect such transfer, assignment, novation, sub-contracting or disposal. If the Authority novates this Framework Agreement to any body that is not a Contracting Authority, from the effective date of such novation, the party assuming the position of the Authority shall not further transfer, assign, novate, sub-contract or otherwise dispose of its rights and obligations under this Framework Agreement or any part of this Framework Agreement without the prior written consent of the Supplier, such consent not to be unreasonably withheld or delayed by the Supplier.

33 Prohibited Acts

33.1 The Supplier warrants and represents that:

33.1.1 it has not committed any offence under the Bribery Act 2010 or done any of the following ("**Prohibited Acts**"):

- (i) offered, given or agreed to give any officer or employee of the Authority any gift or consideration of any kind as an inducement or reward for doing or not doing or for having done or not having done any act in relation to the obtaining or performance of this or any other agreement with the Authority or for showing or not showing favour or disfavour to any person in relation to this or any other agreement with the Authority; or
- (ii) in connection with this Framework Agreement paid or agreed to pay any commission other than a payment, particulars of which (including the terms and conditions of the agreement for its payment) have been disclosed in writing to the Authority; and

33.1.2 it has in place adequate procedures to prevent bribery and corruption, as contemplated by section 7 of the Bribery Act 2010.

33.2 If the Supplier or its Staff (or anyone acting on its or their behalf) has done or does any of the Prohibited Acts or has committed or commits any offence under the Bribery Act 2010 with or without the knowledge of the Supplier in relation to this or any other agreement with the Authority:

33.2.1 the Authority shall be entitled:

- (i) to terminate this Framework Agreement and recover from the Supplier the amount of any loss resulting from the termination;
 - (ii) to recover from the Supplier the amount or value of any gift, consideration or commission concerned; and
 - (iii) to recover from the Supplier any other loss or expense sustained in consequence of the carrying out of the Prohibited Act or the commission of the offence under the Bribery Act 2010;
- 33.2.2 any termination under Clause 33.2.1 of this Schedule 2 shall be without prejudice to any right or remedy that has already accrued, S. or subsequently accrues, to the Authority; and
- 33.2.3 notwithstanding Clause 26 of this Schedule 2, any dispute relating to:
- (i) the interpretation of Clause 33 of this Schedule 2; or
 - (ii) the amount or value of any gift, consideration or commission,
- shall be determined by the Authority, acting reasonably, and the decision shall be final and conclusive.

34 General

- 34.1 Each of the Parties is independent of the other and nothing contained in this Framework Agreement shall be construed to imply that there is any relationship between the Parties of partnership or of principal/agent or of employer/employee nor are the Parties hereby engaging in a joint venture and accordingly neither of the Parties shall have any right or authority to act on behalf of the other nor to bind the other by agreement or otherwise, unless expressly permitted by the terms of this Framework Agreement.
- 34.2 Without prejudice to Clause 34.1 above, the Authority, on its own behalf and as agent for each of the Participating Authorities, shall have the right to (but is not obliged to):
- 34.2.1 have conduct of all claims and disputes against the Supplier pursuant to this Framework Agreement or any Order (including having the right to conduct enforcement actions pursuant to individual Orders on the behalf of the relevant Participating Authority);
 - 34.2.2 agree any variations to this Framework Agreement on behalf of all Participating Authorities without their specific consent;
 - 34.2.3 have the right to enforce the terms, conditions, undertakings, representations, warranties and other provisions of:
 - (i) this Framework Agreement; or

(ii) any Order

on the behalf of all and any Participating Authorities; and

34.2.4 recover, on the behalf of the relevant Participating Authority, loss suffered by any of the Participating Authorities under this Framework Agreement or any Order.

Nothing in this Clause shall allow both the Authority and a Participating Authority to recover or claim for the same loss. The Authority shall not be required to comply with any additional procedures or requirements that the Supplier purports to require the Authority to follow in order to recover any loss.

34.3 Failure or delay by either Party to exercise an option or right conferred by this Framework Agreement shall not of itself constitute a waiver of such option or right.

34.4 The delay or failure by either Party to insist upon the strict performance of any provision, term or condition of this Framework Agreement or to exercise any right or remedy consequent upon such breach shall not constitute a waiver of any such breach or any subsequent breach of such provision, term or condition.

34.5 Any provision of this Framework Agreement which is held to be invalid or unenforceable in any jurisdiction shall be ineffective to the extent of such invalidity or unenforceability without invalidating or rendering unenforceable the remaining provisions of this Framework Agreement and any such invalidity or unenforceability in any jurisdiction shall not invalidate or render unenforceable such provisions in any other jurisdiction.

34.6 Each Party acknowledges and agrees that it has not relied on any representation, warranty or undertaking (whether written or oral) in relation to the subject matter of this Framework Agreement and therefore irrevocably and unconditionally waives any rights it may have to claim damages against the other Party for any misrepresentation or undertaking (whether made carelessly or not) or for breach of any warranty unless the representation, undertaking or warranty relied upon is set out in this Framework Agreement or unless such representation, undertaking or warranty was made fraudulently.

34.7 Each Party shall bear its own expenses in relation to the preparation and execution of this Framework Agreement including all costs, legal fees and other expenses so incurred.

34.8 The rights and remedies provided in this Framework Agreement are cumulative and not exclusive of any rights or remedies provided by general law, any rights or remedies provided elsewhere under this Framework Agreement or by any other contract or document. In this Clause 34.8 of this Schedule 2, right includes any power, privilege, remedy, or proprietary or security interest.

34.9 A person who is not a party to this Framework Agreement shall have no right to enforce any terms of it which confer a benefit on such person. No such

person shall be entitled to object to or be required to consent to any amendment to the provisions of this Framework Agreement.

- 34.10 This Framework Agreement, any variation in writing signed by an authorised representative of each Party and any document referred to (explicitly or by implication) in this Framework Agreement or any variation to this Framework Agreement, contain the entire understanding between the Supplier and the Authority relating to the operation of this Framework Agreement to the exclusion of all previous agreements, confirmations and understandings and there are no promises, terms, conditions or obligations whether oral or written, express or implied other than those contained or referred to in this Framework Agreement. Nothing in this Framework Agreement seeks to exclude either Party's liability for Fraud. Any tender conditions and/or disclaimers set out in the Authority's procurement documentation leading to the award of this Framework Agreement shall form part of this Framework Agreement.
- 34.11 This Framework Agreement, and any Dispute or claim arising out of or in connection with it or its subject matter (including any non-contractual claims), shall be governed by, and construed in accordance with, the laws of England and Wales.
- 34.12 Subject to Clause 26 of this Schedule 2, the Parties irrevocably agree that the courts of England and Wales shall have exclusive jurisdiction to settle any Dispute or claim that arises out of or in connection with this Framework Agreement or its subject matter.
- 34.13 All written and oral communications and all written material referred to under this Framework Agreement shall be in English.

Schedule 3

Information and Data Provisions

1 Confidentiality

- 1.1 In respect of any Confidential Information it may receive directly or indirectly from the other Party ("**Discloser**") and subject always to the remainder of Clause 1 of this Schedule 3, each Party ("**Recipient**") undertakes to keep secret and strictly confidential and shall not disclose any such Confidential Information to any third party without the Discloser's prior written consent provided that:
- 1.1.1 the Recipient shall not be prevented from using any general knowledge, experience or skills which were in its possession prior to the Commencement Date;
- 1.1.2 the provisions of Clause 1 of this Schedule 3 shall not apply to any Confidential Information:
- (i) which is in or enters the public domain other than by breach of this Framework Agreement or other act or omissions of the Recipient;
 - (ii) which is obtained from a third party who is lawfully authorised to disclose such information without any obligation of confidentiality;
 - (iii) which is authorised for disclosure by the prior written consent of the Discloser;
 - (iv) which the Recipient can demonstrate was in its possession without any obligation of confidentiality prior to receipt of the Confidential Information from the Discloser; or
 - (v) which the Recipient is required to disclose purely to the extent to comply with the requirements of any relevant stock exchange.
- 1.2 Nothing in Clause 1 of this Schedule 3 shall prevent the Recipient from disclosing Confidential Information where it is required to do so by judicial, administrative, governmental or regulatory process in connection with any action, suit, proceedings or claim or otherwise by applicable Law, including the Freedom of Information Act 2000 ("**FOIA**"), Codes of Practice on Access to Government Information, on the Discharge of Public Authorities' Functions or on the Management of Records ("**Codes of Practice**") or the Environmental Information Regulations 2004 ("**Environmental Regulations**").
- 1.3 The Authority may disclose the Confidential Information of the Supplier:

- 1.3.1 on a confidential basis, to any Central Government Body or other Contracting Authority for any proper purpose of the Authority or of the relevant Central Government Body or other Contracting Authority (the Parties agree that all Contracting Authorities receiving such Confidential Information shall be entitled to further disclose the Confidential Information to other Contracting Authorities on the basis that the information is confidential and is not to be disclosed to a third party which is not part of any Contracting Authority);
- 1.3.2 on a confidential basis, to any consultant, contractor or other person engaged by the Authority and/or the Contracting Authority receiving such information;
- 1.3.3 to any relevant party for the purpose of the examination and certification of the Authority's accounts;
- 1.3.4 to any relevant party for any examination pursuant to section 6 of the National Audit Act 1983 of the economy, efficiency and effectiveness with which the Authority has used its resources;
- 1.3.5 to Parliament and Parliamentary Committees or if required by any Parliamentary reporting requirement; or
- 1.3.6 on a confidential basis, to a proposed successor body in connection with any proposed or actual assignment, novation or other disposal of rights, obligations, liabilities or property in connection with this Framework Agreement,

and for the purposes of the foregoing, references to disclosure on a confidential basis shall mean the Authority making clear the confidential nature of such information and that it must not be further disclosed except in accordance with Law or this Clause 1 of this Schedule 3.

- 1.4 The Supplier may only disclose the Authority's Confidential Information, and any other information provided to the Supplier by the Authority in relation to the operation of this Framework Agreement, to the Supplier's Staff or professional advisors who are directly involved in the performance of or advising on the Supplier's obligations under this Framework Agreement. The Supplier shall ensure that such Staff or professional advisors are aware of and shall comply with the obligations in Clause 1 of this Schedule 3 as to confidentiality and that all information, including Confidential Information, is held securely, protected against unauthorised use or loss and, at the Authority's written discretion, destroyed securely or returned to the Authority when it is no longer required. The Supplier shall not, and shall ensure that the Staff do not, use any of the Authority's Confidential Information received otherwise than for the purposes of performing the Supplier's obligations in this Framework Agreement.

- 1.5 For the avoidance of doubt, save as required by Law or as otherwise set out in this Schedule 3, the Supplier shall not, without the prior written consent of the Authority (such consent not to be unreasonably withheld or delayed), announce that it has entered into this Framework Agreement and/or that it has been appointed as a Supplier to the Authority and/or make any other announcements about this Framework Agreement.
- 1.6 Clause 1 of this Schedule 3 shall remain in force:
- 1.6.1 without limit in time in respect of Confidential Information which comprises Personal Data or which relates to national security; and
- 1.6.2 for all other Confidential Information for a period of three (3) years after the expiry or earlier termination of this Framework Agreement unless otherwise agreed in writing by the Parties.

2 Data protection

- 2.1 The Parties acknowledge their respective duties under Data Protection Legislation and shall give each other all reasonable assistance as appropriate or necessary to enable each other to comply with those duties. For the avoidance of doubt, the Supplier shall take reasonable steps to ensure it is familiar with the Data Protection Legislation and any obligations it may have under such Data Protection Legislation and shall comply with such obligations.
- 2.2 Where the Supplier is Processing Personal Data and/or otherwise sharing Personal Data under or in connection with this Framework Agreement, the Parties shall comply with the Data Protection Protocol in respect of such matters.
- 2.3 Where any Personal Data is Processed by any Sub-contractor of the Supplier in connection with this Framework Agreement, the Supplier shall procure that such Sub-contractor shall comply with the relevant obligations set out in Clause 2 of this Schedule 3 and any relevant Data Protection Protocol, as if such Sub-contractor were the Supplier.
- 2.4 The Supplier and the Authority shall ensure that Personal Data is safeguarded at all times in accordance with the Law, and this obligation will include (if transferred electronically) only transferring Personal Data (a) if essential, having regard to the purpose for which the transfer is conducted; and (b) that is encrypted in accordance with any international data encryption standards for healthcare, and as otherwise required by those standards applicable to the Authority under any Law and Guidance (this includes, data transferred over wireless or wired networks, held on laptops, CDs, memory sticks and tapes).
- 2.5 The Supplier shall indemnify and keep the Authority indemnified against, any loss, damages, costs, expenses (including without limitation legal costs and expenses), claims or proceedings whatsoever or howsoever arising from the

Supplier's unlawful or unauthorised Processing, destruction and/or damage to Personal Data in connection with this Framework Agreement.

3 Freedom of Information and Transparency

- 3.1 The Parties acknowledge the duties of Contracting Authorities under the FOIA, Codes of Practice and Environmental Regulations and shall give each other all reasonable assistance as appropriate or necessary to enable compliance with those duties.
- 3.2 The Supplier shall assist and cooperate with the Authority to enable it to comply with its disclosure obligations under the FOIA, Codes of Practice and Environmental Regulations. The Supplier agrees:
 - 3.2.1 that this Framework Agreement and any recorded information held by the Supplier on the Authority's behalf for the purposes of this Framework Agreement are subject to the obligations and commitments of the Authority under the FOIA, Codes of Practice and Environmental Regulations;
 - 3.2.2 that the decision on whether any exemption to the general obligations of public access to information applies to any request for information received under the FOIA, Codes of Practice and Environmental Regulations is a decision solely for the Authority;
 - 3.2.3 that where the Supplier receives a request for information under the FOIA and Environmental Regulations and the Supplier itself is subject to the FOIA and Environmental Regulations it will liaise with the Authority as to the contents of any response before a response to a request is issued and will promptly (and in any event within two (2) Business Days) provide a copy of the request and any response to the Authority;
 - 3.2.4 that where the Supplier receives a request for information under the FOIA, Codes of Practice and Environmental Regulations and the Supplier is not itself subject to the FOIA, Codes of Practice and Environmental Regulations, it will not respond to that request (unless directed to do so by the Authority) and will promptly (and in any event within two (2) Business Days) transfer the request to the Authority;
 - 3.2.5 that the Authority, acting in accordance with the Codes of Practice issued and revised from time to time under both section 45 of FOIA, and regulation 16 of the Environmental Regulations, may disclose information concerning the Supplier and this Framework Agreement; and
 - 3.2.6 to assist the Authority in responding to a request for information, by processing information or environmental information (as the same are defined in FOIA and the Environmental Regulations) in accordance with a records management system that complies with

all applicable records management recommendations and codes of conduct issued under section 46 of FOIA, and providing copies of all information requested by the Authority within five (5) Business Days of that request and without charge.

- 3.3 The Parties acknowledge that, except for any information which is exempt from disclosure in accordance with the provisions of the FOIA, Codes of Practice and Environmental Regulations, the content of this Framework Agreement is not Confidential Information.
- 3.4 Notwithstanding any other term of this Framework Agreement, the Supplier consents to the publication of this Framework Agreement in its entirety (including variations), subject only to the redaction of information that is exempt from disclosure in accordance with the provisions of the FOIA, Codes of Practice and Environmental Regulations.
- 3.5 In preparing a copy of this Framework Agreement for publication under Clause 3.4 of this Schedule 3, the Authority may consult with the Supplier to inform decision making regarding any redactions but the final decision in relation to the redaction of information will be at the Authority's absolute discretion.
- 3.6 The Supplier shall assist and cooperate with the Authority to enable the Authority to publish this Framework Agreement.
- 3.7 Where any information is held by any Sub-contractor of the Supplier in connection with this Framework Agreement, the Supplier shall procure that such Sub-contractor shall comply with the relevant obligations set out in Clause 3 of this Schedule 3, as if such Sub-contractor were the Supplier.

4 Information Security

- 4.1 Without limitation to any other information governance requirements set out in this Schedule 3, the Supplier shall:
 - 4.1.1 notify the Authority forthwith of any information security breaches or near misses (including without limitation any potential or actual breaches of confidentiality or actual information security breaches) in line with the Authority's information governance Policies; and
 - 4.1.2 fully cooperate with any audits or investigations relating to information security and any privacy impact assessments undertaken by the Authority and shall provide full information as may be reasonably requested by the Authority in relation to such audits, investigations and assessments.

Schedule 4

Definitions and Interpretations

1 Definitions

- 1.1 In this Framework Agreement the following words shall have the following meanings unless the context requires otherwise, other than in relation to the Call-off Terms and Conditions for the Supply of Goods at Appendix A of this Framework Agreement. The definitions and Interpretations that apply to the Call-off Terms and Conditions for the Supply of Goods are as set out at Appendix A of this Framework Agreement.

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| "Anti-Slavery Policy" | has the meaning given under Clause 22.2.2 of Schedule 1; |
| "Authority" | means the authority named on the front page of the Framework Agreement at page 1; |
| "Authority's Obligations" | means the Authority's further obligations, if any, referred to in the Specification; |
| "Award Schedule" | means the document set out at Schedule 6; |
| "Breach Notice" | means a written notice of breach given by one Party to the other, notifying the Party receiving the notice of its breach of this Framework Agreement; |
| "Business Continuity Event" | means any event or issue that could impact on the operations of the Supplier and its ability to fulfil its obligations under this Framework Agreement including pandemic and any Force Majeure Event; |
| "Business Continuity Plan" | means the Supplier's business continuity plan which includes its plans for continuity of the supply of the Goods during a Business Continuity Event; |
| "Business Day" | means any day other than Saturday, Sunday, Christmas Day, Good Friday or a statutory bank holiday in England and Wales; |
| "Call-off Terms and Conditions for the Supply of Goods" | means the call-off terms and conditions for Contracts as set out at Appendix A of this Framework Agreement forming part of the Contract(s) placed under this Framework Agreement; |
| "Change in Law" | means any change in Law which impacts on the supply of the Goods which comes into force after the Commencement Date; |

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| "Central Government Body" | means a body listed in one of the following sub-categories of the Central Government classification of the Public Sector Classification Guide, as published and amended from time to time by the Office for National Statistics: (a) Government Department; (b) Non-Departmental Public Body or Assembly Sponsored Public Body (advisory, executive, or tribunal); (c) Non-Ministerial Department; or (d) Executive Agency; |
| "Codes of Practice" | shall have the meaning given to the term in Clause 1.2 of Schedule 3; |
| "Commencement Date" | means the date of this Framework Agreement; |
| "Comparable Supply" | means the supply of goods to another customer of the Supplier that are the same or similar to any of the Goods; |
| "Confidential Information" | means information, data and material of any nature, which either Party may receive or obtain in connection with the conclusion and/or operation of the Framework Agreement including any procurement process which is: (a) Personal Data including without limitation which relates to any patient or other service user or his or her treatment or clinical or care history; (b) designated as confidential by either party or that ought reasonably to be considered as confidential (however it is conveyed or on whatever media it is stored); and/or (c) Policies and such other documents which the Supplier may obtain or have access to through the Authority's intranet; |
| "Contract" | means any contract entered into under this Framework Agreement with the Supplier by any Participating Authority as further defined in the Call-off Terms and Conditions for the Supply of Goods; |
| "Contracting Authority" | means any contracting authority as defined in Regulation 2 of the Regulations, other than the Authority; |
| "Contract Manager" | means for the Authority and for the Supplier the individuals specified in the Key Provisions or such other person notified by a Party to the other Party from time to time in accordance with Clause 9.1 of Schedule 2; |
| "Contract Price" | means the price exclusive of VAT that is payable to the Supplier by a Participating Authority under any Contract for the full and proper |

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| | performance by the Supplier of its obligations under such Contracts (as calculated in accordance with the provisions of the Award Schedule) and as confirmed in the relevant Order Form relating to the particular Contract; |
| "Controller" | shall have the same meaning as set out in the GDPR; |
| "Data Protection Legislation" | means (i) the Data Protection Act 2018; (ii) the UK GDPR and (iii) any other Laws of England and Wales relating to the protection of Personal Data and the privacy of individuals (all as amended, updated, replaced or re-enacted from time to time; |
| "Data Protection Protocol" | means any document of that name as provided to the Supplier by the Authority (as amended from time to time in accordance with its terms), which shall include, without limitation, any such document appended to Schedule 3 (Information and Data Provisions) of this Framework Agreement; |
| "Dispute(s)" | means any dispute, difference or question of interpretation or construction arising out of or in connection with this Framework Agreement, any matters of contractual construction and interpretation relating to the Framework Agreement, or any matter where this Framework Agreement directs the Parties to resolve an issue by reference to the Dispute Resolution Procedure; |
| "Dispute Notice" | means a written notice served by one Party to the other stating that the Party serving the notice believes there is a Dispute; |
| "Dispute Resolution Procedure" | means the process for resolving disputes as set out in Clause 26 of Schedule 2; |
| "DOTAS" | means the Disclosure of Tax Avoidance Schemes rules which require a promoter of tax schemes to tell HM Revenue and Customs of any specified notifiable arrangements or proposals and to provide prescribed information on those arrangements or proposals within set time limits as contained in Part 7 of the Finance Act 2004 and in secondary legislation made under vires contained in Part 7 of the Finance Act 2004 and as extended to National Insurance Contributions by the National Insurance Contributions (Application of Part 7 of the Finance Act 2004) Regulations 2012, SI 2012/1868 made under s.132A Social Security Administration Act 1992; |
| "Effective Date" | has the meaning given under Clause 2.1.1 of Schedule 1; |

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| "Electronic Trading System(s)" | means such electronic data interchange system and/or world wide web application and/or other application with such message standards and protocols as the Authority may specify from time to time; |
| "Environmental Regulations" | shall have the meaning given to the term in Clause 1.2 of Schedule 3; |
| "eProcurement Guidance" | means the NHS eProcurement Strategy available via http://www.gov.uk/government/collections/nhs-procurement together with any further Guidance issued by the Department of Health and Social Care in connection with it; |
| "Equality Legislation" | means any and all legislation, applicable guidance and statutory codes of practice relating to equality, diversity, non-discrimination and human rights as may be in force in England and Wales from time to time including, but not limited to, the Equality Act 2010, the Part-time Workers (Prevention of Less Favourable Treatment) Regulations 2000, the Fixed-term Employees (Prevention of Less Favourable Treatment) Regulations 2002 (SI 2002/2034), the Human Rights Act 1998 and the National Minimum Wage Regulations 2015 (as amended by the National Minimum Wage (Amendment) Regulations 2016); |
| "EU References" | shall have the meaning given to the term in Clause 1.16 of this Schedule 4; |
| "Evergreen Supplier Assessment" | shall have the meaning given to the term in Clause 8.2 of Schedule; |
| "Expiry Date" | has the meaning given under Clause 2.1.2 of Schedule 1; |
| Exit Day | shall have the meaning in the European Union (Withdrawal) Act 2018; |
| "Extension Period" | the period set out at the head of this Framework Agreement, being the maximum duration for which the Authority can extend this Framework Agreement; |
| "FOIA" | shall have the meaning given to the term in Clause 1.2 of Schedule 3; |
| "Force Majeure Event" | <p>means any event beyond the reasonable control of the Party in question to include, without limitation:</p> <ul style="list-style-type: none"> (a) war including civil war (whether declared or undeclared), riot, civil commotion or armed conflict materially affecting either Party's ability to perform its obligations under this Framework Agreement; (b) acts of terrorism |

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| | <p>(c) flood, storm or other natural disasters;</p> <p>(d) fire;</p> <p>(e) unavailability of public utilities and/or access to transport networks to the extent no diligent supplier could reasonably have planned for such unavailability as part of its business continuity planning;</p> <p>(f) government requisition or impoundment to the extent such requisition or impoundment does not result from any failure by the Supplier to comply with any relevant regulations, laws or procedures (including such laws or regulations relating to the payment of any duties or taxes) and subject to the Supplier having used all reasonable legal means to resist such requisition or impoundment;</p> <p>(g) compliance with any local law or governmental order, rule, regulation or direction applicable outside of England and Wales that could not have been reasonably foreseen;</p> <p>(h) industrial action which affects the ability of the Supplier to supply the Goods, but which is not confined to the workforce of the Supplier or the workforce of any Sub-contractor of the Supplier; and</p> <p>(i) a failure in the Supplier's and/or Authority's supply chain to the extent that such failure is due to any event suffered by a member of such supply chain, which would also qualify as a Force Majeure Event in accordance with this definition had it been suffered by one of the Parties;</p> <p>but excluding, for the avoidance of doubt, any event or other consequences arising as a result of or in connection with the withdrawal of the United Kingdom from the European Union;</p> |
| "Framework Agreement" | means the form of framework agreement at the front of this document and all schedules and appendices attached to the form of framework agreement; |
| "Framework Price(s)" | means the price exclusive of VAT that is payable in respect of the Goods as calculated in accordance with the provisions of the Award Schedule; |
| "Framework Providers" | means the Supplier and other suppliers appointed as framework providers under this Framework Agreement; |
| "Fraud" | means any offence under any law in respect of fraud in relation to this Framework Agreement or defrauding or attempting to defraud or |

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| | conspiring to defraud the government, parliament or any Contracting Authority; |
| "General Anti-Abuse Rule" | means (a) the legislation in Part 5 of the Finance Act 2013; and (b) any future legislation introduced into parliament to counteract tax advantages arising from abusive arrangements to avoid national insurance contributions; |
| "General Change in Law" | means a Change in Law where the change is of a general legislative nature (including taxation or duties of any sort affecting the Supplier) or which affects or relates to a Comparable Supply; |
| "Good Industry Practice" | means the exercise of that degree of skill, diligence, prudence, risk management, quality management and foresight which would reasonably and ordinarily be expected from a skilled and experienced supplier engaged in the manufacture and/or supply of goods similar to the Goods under the same or similar circumstances as those applicable to this Framework Agreement, including in accordance with any codes of practice published by relevant trade associations; |
| "Goods" | means any and all goods, materials or items that the Supplier is required to supply to Participating Authorities under Contracts placed under this Framework Agreement, details of such Goods, materials or other items being set out in the Specification, the Award Schedule and any Order; |
| "Guidance" | means any applicable guidance, supplier code of conduct, direction or determination and any policies, advice or industry alerts which apply to the Goods, to the extent that the same are published and publicly available or the existence or contents of them have been notified to the Supplier by the Authority and/or have been published and/or notified to the Supplier by the Department of Health and Social Care, Monitor, NHSE, the MHRA, the EMA, the European Commission, the Care Quality Commission, NICE and/or any other regulator or competent body; |
| "Halifax Abuse Principle" | means the principle explained in the CJEU Case C-255/02 Halifax and others; |
| "Intellectual Property Rights" | means all patents, copyright, design rights, registered designs, trademarks, know-how, database rights, confidential formulae and any other intellectual property rights and the rights to apply for patents and trademarks and registered designs; |
| "Invitation to Offer" | means the invitation to offer issued by the Authority comprising: Document No. 01 Invitation to Offer Covering Letter |

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| | Document No. 02 Terms of Offer Document No. 03 Framework Agreement and Terms and Conditions Document No. 04b Management Information Schedule Document No. 04c Management Information Template Document No. 04d Participating Authorities Document No. 05a Offer Schedule Document No. 05b Contact Details Document No. 06 Form of Offer Document No. 07a Quality Control Technical Sheet Document No. 08 Confidential Information Schedule |
| "Key Provisions" | means the key provisions set out in Schedule 1; |
| "KPI" | means the key performance indicators as set out in Schedule 5; |
| "Law" | means any applicable legal requirements including without limitation: <ul style="list-style-type: none"> (a) any applicable statute or proclamation, delegated or subordinate legislation, bye-law, order, regulation or instrument as applicable in England and Wales; (b) any applicable European Union obligation, directive, regulation, decision, law or right (including any such obligations, directives, regulations, decisions, laws or rights that are incorporated into the law of England and Wales or given effect in England and Wales by any applicable statute, proclamation, delegated or subordinate legislation, bye-law, order, regulation or instrument); (c) any enforceable community right within the meaning of section 2(1) European Communities Act 1972; (d) any applicable judgment of a relevant court of law which is a binding precedent in England and Wales; (e) requirements set by any regulatory body as applicable in England and Wales; (f) any relevant code of practice as applicable in England and Wales; and (g) any relevant collective agreement and/or international law provisions (to include without limitation as referred to in (a) to (f) above). |
| "Licensing Authority" | means the MHRA or such other licensing authority as the Authority shall determine; |
| "Lot(s)" | shall have the meaning ascribed in the Terms of Offer; |

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| "MHRA" | means the Medicines and Healthcare products Regulatory Agency; |
| "Net Zero and Social Value Commitments" | means the Supplier's net zero and social value commitments, each as set out in the Key Provisions and/or the Specification; |
| Net Zero and Social Value Contract Commitments" | shall have the meaning given to the term in Clause 8.4 of Schedule 1; |
| "NHS" | means the National Health Service; |
| "NHS Services Agreement" | means an agreement for the provision of services which requires the use of or supply of the Goods as between (i) a Participating Authority and (ii) an NHS Service Provider; |
| "NHS Service Providers" | means a third party who provides a service to a Participating Authority which requires the use of or supply of the Goods pursuant to a NHSE Services Agreement; |
| "NHSE" | means the Authority; |
| "NHSE Event" | <p>means any event by which NHSE procures or seeks to procure goods and/or services which are the same as or similar to the Goods/Services that are the subject of this Framework Agreement, which shall include (without limitation) the following such events:</p> <ul style="list-style-type: none"> (a) the award of a contract by NHSE to the Supplier (and/or any other supplier(s)) for the provision of any or all goods and/or services which are the same as or similar to the Goods/Services that are the subject of this Framework Agreement; (b) the conclusion of a framework agreement with the Supplier (and/or any other supplier(s)) for the provision of any or all goods and/or services which are the same as or similar to the Goods/Services that are the subject of this Framework Agreement; (c) the entering into a contract(s) or framework agreement(s) with the Supplier (and/or any other supplier(s)) for the provision of any or all goods and/or services which are the same as or similar to the Goods/Services that are the subject of this Framework Agreement; or (d) the commencement of delivery of goods and/or services by the Supplier (and/or any other supplier(s)) to NHSE (or any bodies nominated by NHSE) pursuant to the contract or framework agreement specified in (c) above (as the case may be). |

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| "Occasion of Tax Non-Compliance" | <p>means:</p> <p>(a) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 is found on or after 1 April 2013 to be incorrect as a result of:</p> <ul style="list-style-type: none"> (i) a Relevant Tax Authority successfully challenging the Supplier under the General Anti-Abuse Rule or the Halifax Abuse Principle or under any tax rules or legislation that have an effect equivalent or similar to the General Anti-Abuse Rule or the Halifax Abuse Principle; (ii) the failure of an avoidance scheme which the Supplier was involved in, and which was, or should have been, notified to a Relevant Tax Authority under the DOTAS or any equivalent or similar regime; and/or <p>(b) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 gives rise, on or after 1 April 2013, to a criminal conviction in any jurisdiction for tax related offences which is not spent at the Commencement Date or to a civil penalty for fraud or evasion;</p> |
| "Offer" | means the offer submitted by the Supplier to the Authority in response to the Invitation to Offer; |
| "Offer Price(s)" | means the price for each of the Goods submitted as part of the Supplier's Offer and now set out in Table 1 of Part 1a of the Award Schedule. For the avoidance of doubt, the Offer Price excludes any adjustment, whether under the Price Review Mechanism or otherwise; |
| "Order Form" | means the order form on which Orders are to be placed (such order form being in such form as the Participating Authority and the Supplier shall agree from time to time); |
| "Ordering Procedure" | means the procedure enabling Participating Authorities to call-off Goods and enter into Contracts under this Framework Agreement, as set out in Schedule 7; |
| "Orders" | means orders for Goods placed under this Framework Agreement by Participating Authorities; |
| "Participating Authority" | means a Contracting Authority entitled to place Orders under this Framework Agreement including the Authority and any other Contracting Authority as set out in the Key Provisions; |
| "Participating UK Region" | means a UK Region in respect of which the relevant Authority has entered into a Contract with the Supplier in substantially the same form as this Contract for the performance of the Services; |

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| "Party" | means the Authority or the Supplier as appropriate and Parties means both the Authority and the Supplier; |
| "Personal Data" | shall have the same meaning as set out in the UK GDPR; |
| "Plasma" | means blood plasma, the liquid component of whole blood; |
| "Plasma Products" or "PDMPs" | means products derived or created from Plasma as further described in Schedule 5 (Specification) and including immunoglobulin and albumin; |
| "Policies" | means the policies, rules and procedures of the Authority as notified to the Supplier from time to time; |
| "Price Review Mechanism" | means the mechanism to review and vary the Framework Prices set out in Part 2 of Schedule 6 (Award Schedule) |
| "Process" | shall have the same meaning as set out in the UK GDPR. Processing and Processed shall be construed accordingly; |
| "Product Information" | means information concerning the Goods as may be reasonably requested by the Authority and supplied by the Supplier to the Authority in accordance with Clause 23 of Schedule 2 for inclusion in the Authority's product catalogue from time to time; |
| "Prohibited Acts" | has the meaning given under Clause 33.1.1 of Schedule 2; |
| "Regulations" | means the Public Contracts Regulations 2015 (SI 2015/102) as amended or replaced from time to time; |
| "Relevant Tax Authority" | means HM Revenue and Customs, or, if applicable, a tax authority in the jurisdiction in which the Supplier is established; |
| "Remedial Proposal" | has the meaning given under Clause 17.3 of Schedule 2; |
| "Specification" | means the document set out in Schedule 5 (including the Quality Control Technical Sheet) as amended and/or updated in accordance with this Framework Agreement; |
| "Specific Change in Law" | means a Change in Law that relates specifically to the business of the Authority and which would not affect a Comparable Supply; |
| "Staff" | means all persons employed or engaged by the Supplier to perform its obligations under this Framework Agreement including any Sub-contractors and person employed or engaged by such Sub-contractors; |

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| "Sub-contract" | means a contract between two or more suppliers at any stage of remoteness from the Supplier in a sub-contracting chain, made wholly or substantially for the purpose of performing (or contributing to the performance of) the whole or any part of this Framework Agreement; |
| "Sub-contractor" | means a party to a Sub-contract other than the Supplier; |
| "Supplier" | means the supplier named on the front page of Framework Agreement at page 1; |
| "Supplier Code of Conduct" | means the code of that name published by the Government Commercial Function originally dated September 2017, as may be amended, restated, updated, re-issued or re-named from time to time; |
| "Supplier Lot(s)" | means the Lots to which the Supplier has been appointed under this Framework Agreement as specified in the Award Schedule; |
| "Supplier Net Zero Corporate Champion" | shall have the meaning given to the term in Clause 8.3 of Schedule 1; |
| "Supplier Net Zero and Social Value Contract Champion" | shall have the meaning given to the term in Clause 8.6 of Schedule 1; |
| "Supply Year" | means each subsequent period of twelve (12) months commencing on the Effective Date for the relevant Good and "Supply Year 1" , "Supply Year 2" , etc. shall mean the first or second Supply Year, etc. If, in respect of a Participating UK Region, the Effective Date for the relevant Good is achieved at a later date than in England, the first Supply Year for that Participating UK Region runs for the remainder of the Supply year under the Contract for England and each subsequent Supply Year shall be aligned with the Supply Year under the Contract for England. If the Effective Date is delayed due to the non-satisfaction of the Applicable Condition(s) Precedent, Supply Year 1 shall be reduced accordingly to ensure that the Supply Years for all the Goods remain aligned. If this Contract is terminated before the date when the Term would otherwise expire, the final Supply Year shall be the period from the end of the last full Supply Year to the date of termination; |
| "Term" | means the period commencing on the Commencement Date and ending on the latest of the Expiry Dates specified in the Award Schedule; |
| "Termination Notice" | means a written notice of termination given by one Party to the other notifying the Party receiving the notice of the intention of the Party giving the notice to terminate this Framework Agreement on a specified date and setting out the grounds for termination; |

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| "Terms of Offer" | means the document entitled 'Terms of Offer' issued by the Authority as part of the Invitation to Offer; |
| "Third Party Body" | has the meaning given under Clause 10 of Schedule 2; |
| "UK GDPR" | has the meaning given to it in section 3(10) (as supplemented by section 205(4)) of the Data Protection Act 2018; and |
| "UK Region" | means – a) England; b) Northern Ireland; c) Scotland; and/or d) Wales; |
| "VAT" | means value added tax chargeable under the Value Added Tax Act 1994 or any similar, replacement or extra tax. |

- 1.2 References to any Law shall be deemed to include a reference to that Law as amended, extended, consolidated, re-enacted, restated, implemented, replaced or transposed from time to time.
- 1.3 References to any legal entity shall include any body that takes over responsibility for the functions of such entity.
- 1.4 References in this Framework Agreement to a "Schedule", "Appendix", "Paragraph" or to a "Clause" are to schedules, appendices, paragraphs and Clauses of this Framework Agreement.
- 1.5 References in this Framework Agreement to a day or to the calculation of time frames are references to a calendar day unless expressly specified as a Business Day.
- 1.6 Unless set out in the Award Schedule as a chargeable item and subject to Clause 34.7 of Schedule 2, the Supplier shall bear the cost of complying with its obligations under this Framework Agreement.
- 1.7 The headings are for convenience only and shall not affect the interpretation of this Framework Agreement.
- 1.8 Words denoting the singular shall include the plural and vice versa.
- 1.9 Where a term of this Framework Agreement provides for a list of one or more items following the word "including" or "includes" then such list is not to be interpreted as an exhaustive list. Any such list shall not be treated as excluding any item that might have been included in such list having regard to the context of the contractual term in question. General words are not to be given a

restrictive meaning where they are followed by examples intended to be included within the general words.

- 1.10 Where there is a conflict between the Supplier's responses to the Authority's requirements and any other part of this Framework Agreement, such other part of this Framework Agreement shall prevail.
- 1.11 Where a document is required under this Framework Agreement, the Parties may agree in writing that this shall be in electronic format only.
- 1.12 Any guidance notes in grey text do not form part of this Framework Agreement.
- 1.13 Any Breach Notice issued by a Party in connection with this Framework Agreement shall not be invalid due to it containing insufficient information. A Party receiving a Breach Notice ("**Receiving Party**") may ask the Party that issued the Breach Notice ("**Issuing Party**") to provide any further information in relation to the subject matter of the Breach Notice that it may reasonably require to enable it to understand the Breach Notice and/or to remedy the breach. The Issuing Party shall not unreasonably withhold or delay the provision of such further information as referred to above as may be requested by the Receiving Party but no such withholding or delay shall invalidate the Breach Notice.
- 1.14 Any terms defined as part of a Schedule or other document forming part of this Framework Agreement shall have the meaning as defined in such Schedule or document.
- 1.15 For the avoidance of doubt, and to the extent not prohibited by any Law, the term "expenses" (as referred to under any indemnity provisions forming part of this Framework Agreement) shall be deemed to include any fine and any related costs imposed by a commissioner, regulator or other competent body.
- 1.16 Any reference in this Framework Agreement which immediately before Exit Day was a reference to (as it has effect from time to time):
 - 1.16.1 any EU regulation, EU decision, EU tertiary legislation or provision of the EEA agreement ("**EU References**") which is to form part of domestic law by application of section 3 of the European Union (Withdrawal) Act 2018 shall be read on and after Exit Day as a reference to the EU References as they form part of domestic law by virtue of section 3 of the European Union (Withdrawal) Act 2018 as modified by domestic law from time to time; and

- 1.16.2 any EU institution or EU authority or other such EU body shall be read on and after Exit Day as a reference to the UK institution, authority or body to which its functions were transferred.

Schedule 5

Part A – Key Performance Indicators

1. Performance Monitoring and Performance Credits

- 1.1. The Supplier acknowledges that accurate monitoring is an obligation of the Supplier under this Framework Agreement and any inaccuracy may constitute a material breach of this Framework Agreement. Annex 1 specifies the minimum monitoring requirements.
- 1.2. Annex 1 identifies those KPIs (as defined below) that the Authority views as fundamental and which, as a result, attract Performance Credits and sets out the mechanism for calculating Performance Credits.
- 1.3. To the extent that the Supplier's obligations to supply the Goods including KPIs that do not have associated Performance Credits, they shall remain an integral part of the Framework Agreement and the Supplier must meet the obligations and KPIs regardless of the fact that no Performance Credits apply in the event of failure to achieve the same. The fact that no Performance Credits apply to a KPI shall not prejudice the Authority's other rights and remedies under this Framework Agreement or at law in respect of failure by the Supplier to meet such obligations and KPIs.

2. In this Schedule 5 Part A, the following terms shall have the following meanings –

- 2.1. **"Data"** means information or data that the Supplier is required to provide to the Authority in accordance with the terms and conditions of this Framework Agreement;
- 2.2. **"Data Provision Failure"** means a failure to provide Data to the Authority in accordance with the relevant terms of this Framework Agreement, including a failure to (a) provide the Data in the timescales required (b) not providing correct, sufficient, accurate or full Data (c) providing incomplete, erroneous, insufficient or inaccurate Data (d) providing Data in an incorrect format or not through the correct processes or systems.
- 2.3. **"Delivery Times"** has the meaning given in Schedule 4 to the Call-off Terms and Conditions;
- 2.4. **"NP Code"** means the name, form, strength and pack description of each Good to be supplied by the Supplier under this Framework Agreement shown as a line item in the reports detailed in Annex 2 below;
- 2.5. **"Ordered"** means Orders which have been placed by Participating Authorities with the Supplier;
- 2.6. **"Packs"** means the packs in which the relevant Good or Goods is/are to be supplied according to their NP Code;

3. KPIs

3.1. **KPIs:** Table 1, Annex 1 to this Schedule sets out the required levels for the KPIs of this Framework Agreement.

4. **Warranty:** The Supplier warrants and undertakes that each report provided by the Supplier pursuant to (i) this Framework Agreement and (ii) Annexes 1 and 2 of this Schedule 5. The Supplier must prepare a Remedial Proposal in the circumstances set out in clauses 14.3 and 19.2 of Schedule 1 and clauses 17.3 and 20 of Schedule 2 of this Framework Agreement.

5. Calculation of Performance Credits

5.1. **Application of Performance Credits:** The parties agree that:

5.1.1. Performance Credits are to be calculated on a Quarterly basis save for Performance Level number 2 (as specified in Table 1, Annex1 to this Schedule), in respect of which Performance Credits are to be calculated on a monthly basis.

5.1.2. Performance Failure Points, as specified in Table 1, Annex1 to this Schedule 5, will accrue for each Red Level status or Amber Level status achieved in a previous Performance Period.

5.2. **Amount of Performance Credit:** Further:

5.2.1. a Red Level status will be applied to the Supplier's performance against any Performance Level which, calculated as an average over the relevant Performance Period, has been Amber Level for two or more consecutive Performance Periods;

5.2.2. the number of Performance Failure Points accrued by the Supplier during the relevant period shall be totaled for all of the KPIs to arrive at the number of points for the Performance Period. The total number of Performance Failure Points shall be converted into a monetary figure.

5.3. **Value attributable to Performance Failure Points:** Each Performance Failure Point shall be worth 0.001 of the Framework Price of the Plasma Product(s) associated with the Failure Point, multiplied by the Anticipated Quantity of the Plasma Product(s) for the period.

5.4. **Payment of Performance Credits:** If the Authority wishes to claim any sum from the Supplier under this Schedule 5, the Authority shall give a written notice to the Supplier to that effect. The Supplier shall pay any such sum within thirty (30) days from the date of such written notice.

5.5. **Double Counting:** To the extent that the same event triggers Performance Credits under multiple KPIs, the Authority will only claim the largest Performance Credit incurred.

5.6. Authority right to waive: Without having any obligation or creating any expectation that it will do so, the Authority may from time to time waive any entitlement to Performance Credits. To be valid, any such waiver shall be in writing expressly referring to the Performance Credits that have accrued.

6. Data Queries and Resolution:

6.1. The proposed reporting cycle provides 10 Business Days from receipt of reports for their contents to be queried and a further 10 Business Days for the parties to resolve any queries. If queries are not resolved within these timescales, the remainder of the data/ information will be confirmed as agreed. The parties will then use all reasonable endeavours to resolve any remaining queries within the next 10 Business Days.

7. Issuing of a KPI Breach Notice or Warning Notice

- 7.1. The Authority may issue a “**KPI Breach Notice**” on each occurrence that a Red Level status is achieved for any KPI.
- 7.2. The Authority may issue a “**Warning Notice**” if the Supplier has incurred three (or more) consecutive KPI Breach Notices in any Supply Year (whether it is for the same or different KPIs).
- 7.3. The consequences of the Authority issuing a KPI Breach Notice or a Warning Notice shall be as set out in Table 8.3 below -

Table 8.3

| | |
|---|---|
| <p>Consequences of issuing KPI Breach Notice</p> | <p>The Authority may (at its discretion) require any or all of the following by setting them out in the KPI Breach Notice –</p> <ul style="list-style-type: none"> • increased monitoring/reporting, which may include increased reporting frequency and/or more detailed information and/or different types of information; • require a Remedial Proposal to be prepared and submitted by the Supplier in accordance with Clause 17.3 of Schedule 2 to this Framework Agreement; • require a Service Failure Remedial Proposal to be prepared and submitted by the Supplier in accordance with Clause 20 of Schedule 2 to this Framework Agreement and/or |
|---|---|

| | |
|---|---|
| | <ul style="list-style-type: none"> • give notice of a dispute and require escalation in accordance with Clause 5 of Schedule 1 to this Framework Agreement. |
| Consequences of issuing Warning Notice | <p>The Authority may (at its discretion) exercise any of the following rights –</p> <ul style="list-style-type: none"> • in relation to any subsequent procurement of the Goods, or other goods of the same or substantially similar description to the Goods, treat the issue of a Warning Notice as a "comparable sanction" evidencing significant or persistent deficiencies by the Supplier in the performance of a substantive requirement under a prior public contract for the purposes of Regulation 57(8)(g) of the Public Contracts Regulations 2015, as further provided for in paragraph 5.3 below; • any of the rights specified above as consequences of issuing a KPI Breach Notice; • give notice of suspension of the Supplier from the Framework Agreement in accordance with Clause 19 of Schedule 2 to this Framework Agreement; and/or • give notice of immediate termination of the Framework Agreement in accordance with Clause 17.4.2 of Schedule 2 to this Framework Agreement. |

7.4. If the Authority elects (in relation to any subsequent procurement of the Goods, or other goods of the same or substantially similar description to the Goods) to treat the issue of a Warning Notice as a "comparable sanction" evidencing significant or persistent deficiencies by the Supplier in the performance of a substantive requirement under a prior public contract for the purposes of Regulation 57(8) of the Public Contracts Regulations 2015, then the Authority may choose to exclude the Supplier from that procurement in accordance with Regulation 57(8), subject to the Supplier's ability to demonstrate "self cleaning" in accordance with Regulation 57(13) to 57(17)(inclusive).

7.5. In order to demonstrate "self cleaning" and avoid exclusion from future procurements, the Supplier will be required to provide evidence to the effect that measures taken by the Supplier operator are sufficient to

demonstrate its reliability, including (but not limited to) demonstrating that the Supplier has taken concrete technical, organisational and personnel measures that are appropriate to prevent further occurrences of the circumstances which gave rise to the issue of a Warning Notice.

7.6. For the purpose of subsequent procurements of the Goods, or other goods of the same or substantially similar description to the Goods, the following provisions of this Schedule 5 Part A shall survive termination of this Framework Agreement –

7.6.1. in Table 8.3, the first bullet point opposite the heading "Consequences of issuing Warning Notice; and

7.6.2. paragraphs 8.3 and 8.4 above.

7.7. The Authority reserves the right to use and publish the performance of the Supplier and each Framework Provider against KPIs without restriction (including, but not limited to, a "league table" or scorecards ranking and/or comparing each Framework Provider's compliance with the KPIs

ANNEX 1 – KPIs and Performance Levels

| Ref | KPI ⁶ | Performance Bands | | | Performance Failure Points | | Report Frequency | Report Due Date ¹ | Response Due Date ² | Action Date ³ | Reporting Mechanism |
|-----|---|-------------------|----------------------|-----------|----------------------------|-----------|------------------|------------------------------|--------------------------------|--------------------------|--|
| | | Green Level | Amber Level | Red Level | Amber Level | Red Level | | | | | |
| 1 | Report the quantity of Packs delivered to each Participating Authority and the Delivery Date ⁴ | Report \provided | Report \not provided | N/A | N/A | N/A | Monthly | 15 | 10 | 20 | Supplier Monthly Report ^{7,8} |
| 2 | On Time In Full (OTIF): For X out of 100, deliver to Participating Authorities the requested quantity by the requested Delivery Date ⁵ | $X \geq 95$ | $95 > X \geq 90$ | $90 > X$ | 5 | 100-X | Monthly | 15 | 10 | 20 | Supplier Monthly Report ^{7,8} |

1. The day in the month immediately following the Performance Period, or the next Business Day if the due date falls on a weekend or bank holiday.
2. The number of Business Days after receipt of the report to respond or provide feedback.
3. The number of Business Days after receipt of the report within which the parties shall complete any actions unless mutually agreed otherwise.
4. Together with any other information reasonably requested by the Authority from time to time.
5. Suppliers shall maintain a 24 hour delivery service between Monday & Friday (orders placed after 17:00 on Thursday may be delivered on Monday) plus an emergency service to facilitate weekend or out of hours deliveries if required by the Participating Authority.
6. Any non-compliance with the Performance Level is to be reported immediately.
7. The Authority may seek corroboration of the reported performance from data collated from other sources e.g. Participating Authorities
8. See Annex 2 of this Schedule 5 for minimum report requirements

ANNEX 2 – MINIMUM REPORTING REQUIREMENTS

The Supplier must supply to the Authority (in such format as may be prescribed by the Authority from time to time) the information set out below:

1. Supplier Monthly Reporting Activity Report (Draft)

The Supplier shall provide to the Authority by the due date specified in Table 1, a report, split by UK Region, including as a minimum:

- i. Purchasing Authority(ies)
- ii. NP Code
- iii. Product Description & Presentation
- iv. Pack Quantity
- v. Quantity of Packs Delivered
- vi. Delivery Date

2. Supplier Monthly OTIF Report (Draft)

The Supplier shall provide to the Authority by the due date specified in Table 1, a report, split by UK Region, including as a minimum:

- i. Purchasing Authority(ies)
- ii. NP Code
- iii. Product Description & Presentation
- iv. Requested Quantity
- v. Requested Delivery Date
- vi. Actual Quantity Delivered
- vii. Actual Delivery Date
- viii. OTIF (per line)
- ix. OTIF (overall for period)

3. Supplier Monthly Stock Report (Draft)

The Supplier shall provide to the Authority by the due date specified in Table 1, a report including as a minimum:

- i. The average quantity of stock physically located in the UK;

Details (quantity of product and expected date) of any replenishment deliveries to increase UK stock, that are expected in the next 3 months. The expected date must reflect when the products will be available to deliver to Purchasing Authorities.

ANNEX 3 – Not Used

Part B - Specification

Schedule 6

Award Schedule

Part 1a – Offer Prices for Plasma Products

Table 1

| Lot | Offer Price per Market Share Band | | | | | | | | | |
|-------------------|-----------------------------------|--------|--------|--------|--------|--------|--------|--------|---------|---------|
| | 0-20% | | 20-40% | | 40-60% | | 60-80% | | 80-100% | |
| Market Share Band | 0-20% | | 20-40% | | 40-60% | | 60-80% | | 80-100% | |
| Albumin (LS & HS) | | | | | | | | | | |
| 5% IVIg | | | | | | | | | | |
| fSCIg | | | | | | | | | | |
| Anti-D | | | | | | | | | | |
| Market Share Band | 0-10% | 10-20% | 20-30% | 30-40% | 40-50% | 50-60% | 60-70% | 70-80% | 80-90% | 90-100% |
| 10% IVIg | | | | | | | | | | |
| SCIg | | | | | | | | | | |

Note: If the market share awarded to a supplier is equal to the market share at the upper end of one band and the lower end of the next band (e.g. 20%, then the lowest price offered from either of the two relevant bands shall apply to the awarded market share).

The Framework Price for a Product for each Supply Year shall be the calculated in accordance with Part 2 (Price Review) of this Schedule 6.

Part 1b – Framework Price

Table 2

| Lot | Awarded Market Share (%) | Anticipated Quantity from the Supplier (100%) | 90% Quantity Commitment to Purchase | | | | Framework Price for 2025 | Reserve Price for 2025 |
|-------------------|--------------------------|---|-------------------------------------|---------|----------|------------------|--------------------------|------------------------|
| | | | Total | England | Scotland | Northern Ireland | | |
| Albumin (LS & HS) | | | | | | | | |
| 5% IVIg | | | | | | | | |
| 10% IVIg | | | | | | | | |
| SCIg | | | | | | | | |
| fSCIg | | | | | | | | |
| Anti-D | | | | | | | | |

The Authority does not guarantee that the Supplier will receive orders equating to the awarded market share, it does however commit to purchase at least 90% of the Anticipated Quantity

The Authority may amend the Anticipated Quantity for each Supply Year as part of the annual forecasting process, provided the Anticipated Quantity for a Supply Year is shared with the supplier at least 6 months prior to the start of the relevant Supply Year.

[Reserve Supplier exemptions [DN delete if not needed)]

The supplier has been appointed as a Reserve Supplier for the lots indicated above. For the lots where the supplier is appointed as a Reserve Supplier, the following clauses shall not apply

Schedule 1: Clauses 13, 14.9, 15, 16, 17, 18, and 19.

Schedule 2: Clause 2.2.]

Part 1c – Initial and Framework Minimum Stock Holding

Table 3

| Lot | Initial Stock Level (g) | Framework Stock Level (g) |
|-------------------|-------------------------|---------------------------|
| Albumin (LS & HS) | | |
| 5% IVIg | | |
| 10% IVIg | | |
| SCIg | | |
| fSCIg | | |
| Anti-D | | |

[DN The initial stock level will be the calculated as $\frac{2}{3}$ x the number of months of stock committed by the supplier x the market share awarded x the anticipated annual volume for that Lot / number of months in the Supply Year]

[DN The framework stock level will be the calculated as the number of months of stock committed by the supplier x the market share awarded x the anticipated annual volume for that Lot / number of months in the Supply Year]

[DN The Authority may amend the framework stock level for a Supply Year, to reflect any change to the Anticipated Quantity for that Supply Year]

Part 1d – Anticipated Order volumes that the supplier must have sufficient quantity of product available to satisfy

Table 4

| Lot | Q1 2025 (g) | Q2 2025 (g) | Q3 2025 (g) | Q4 2025 (g) |
|-------------------|-------------|-------------|-------------|-------------|
| Albumin (LS & HS) | | | | |
| 5% IVIg | | | | |
| 10% IVIg | | | | |
| SCIg | | | | |
| fSCIg | | | | |
| Anti-D | | | | |

[DN The anticipated order volumes will be the calculated as the market share awarded for the Lot x the total anticipated demand for that period for that Lot]

Part 1e – Rebate Scheme Adjustments

Table 5

| Supply Year | 2025 | 2026 | 2027 | 2028 |
|-----------------------------------|-------------|-------------|-------------|-------------|
| Rebate Adjustment for Supply Year | | | | |

Part 2 – Price Review

1. Definitions

1.1. In this Part 2 of Schedule 6, the following terms have the following meanings

–

"Inflation Adjustment" means adjustment to the Framework Prices to take account of inflation, as set out in this Part 2;

"Rebate Adjustment" means adjustment to the Framework Prices as a consequence of any applicable Rebate Scheme, as set out in this Part 2;

"Rebate Scheme" means any medicines rebate scheme which is applicable to the Plasma Products, including the Voluntary Scheme for Branded Medicines Pricing, Access and Growth ("**VPAG**") and any replacement scheme

2. Framework Price Calculation

2.1. The Framework Price payable by Participating Authorities will be the relevant Offer Price for the awarded market share (as set out in Part 1 of this Schedule 6) adjusted for any Rebate Scheme in operation at the time of purchase (Rebate Adjustment) and inflation (Inflation Adjustment).

2.2. The Rebate Adjustment will be applied from the beginning of Supply Year 1.

2.3. The Inflation Adjustment will be applied from the beginning of Supply Year 2.

2.4. The Framework Prices will be adjusted in accordance with the following formula –

The Framework Price for Supply Year (N) = **FP(N) = {OP + IA(N)} x {1 + RA(N)}**

Where:

OP = Offer Price

RA(N) = Rebate Adjustment for Supply Year (N) (if applicable); and

IA(N) = Inflation Adjustment for Supply Year (N) (if applicable),

2.5. The Framework Price will be rounded to the nearest pence i.e. £0.01.

3. Rebate Adjustment

3.1. The applicable VPAG scheme rate for Plasma Derived Medicinal Products for each Rebate Scheme year is set out in Part 1e of this Schedule 6. Offer Prices in Part 1 above are stated assuming the applicable Rebate Scheme rate is zero (0%).

3.2. The Rebate Adjustment for each 12-month period will align with the start and end of each Rebate Scheme year. Dependent upon the alignment of each Supply Year and the Rebate Scheme year, it may be necessary to adjust the Framework Prices more than once per calendar year.

4. Inflation Adjustment

4.1. The Inflation Adjustment for Supply Year (N) (applicable for the second and subsequent Supply Year) = $IA(N)$, where:

$$IA(N) = OP \times (a + (b \times (ON/O0))) - OP;$$

OP = Offer Price;

a = 0.1 and represents the non-variable element of the Offer Price;

b = 0.9 and represents the variable element of the Offer Price;

O represents the Harmonised Index for Consumer Prices for EU countries (HICP) for European Union – 27 Countries (from 2020), published by Eurostat

O0 = represents the average annual Price Index figure for the base period which is the year before Supply Year 1;

ON = represents the average annual output Price Index figure for the Supply Year (N-1) (i.e., the supply year immediately prior to Supply Year N);

O0 divided by ON shall be calculated to four (4) decimal places;

“x” means multiplied by; and

“/” means divided by.

4.2. Example: For Supply Year 2024

O0 = HICP for 2022 = 118.82

ON = HICP for 2023 = 126.38

OP = £10

$$IA(N) = OP \times (a + (b \times (ON/O0))) - OP = 10 \times (0.1 + (0.9 \times (126.38/118.82))) - 10$$
$$= 10 \times (0.1 + (0.9 \times 1.0636)) - 10 = 10 \times 1.0572 - 10 = £0.57$$

4.3. Adjustments to Framework prices will be based on the latest indices' information available immediately prior to the Supply Year to be adjusted. If the previous year annual figures are not available in time to adjust the prices for the next Supply Year, then the data for the latest 12 months that are available shall be used. This is anticipated to be the data for the period October to September.

4.4. The Index shall be taken from the dataset available at:

https://doi.org/10.2908/PRC_HICP_AIND

4.5. Further information about the dataset is available at:

[Statistics | Eurostat \(europa.eu\)](#)

Table 1: HICP Index values for 2013 to 2023

| Year | Index Value | Increase/Year |
|---------------------------------|-------------|---------------|
| 2013 | 99.49 | N/A |
| 2014 | 99.89 | 0.40% |
| 2015 | 100 | 0.11% |
| 2016 | 100.18 | 0.18% |
| 2017 | 101.74 | 1.56% |
| 2018 | 103.57 | 1.80% |
| 2019 | 105.04 | 1.42% |
| 2020 | 105.76 | 0.69% |
| 2021 | 108.82 | 2.89% |
| 2022 | 118.82 | 9.19% |
| 2023 | 126.38 | 6.36% |
| Change over 10-Years | 26.89 | N/A |
| Average change per annum | 2.96 | 2.46% |

4.6. In the event that any material changes are made to the indices (e.g., a revised statistical base date) during the Term of this Framework Agreement and before final adjustment of the final Framework price, then the re-basing methodology outlined by the publisher of the index to match the original index to the new series shall be applied. In the event no methodology has been published, the methodology published by the Office for National Statistics shall be used.

4.7. In the event the agreed index or indices cease to be published (e.g., because of a change in the Standard Industrial Classification) the Authority and the Supplier shall agree an appropriate replacement index or indices, which shall cover to the maximum extent possible the same economic activities as the original index or indices. The methodology used for rebasing, outlined by the publisher of the index or the Office for National Statistics (as in Clause 0 above) shall then be applied.

4.8. When selecting a replacement index, indices published with a 'B' or 'F' marker, or a suppressed value, in the last 3 years are not valid for Variation of Price clauses and shall not be used. Where the price index has an 'F' marker or suppression applied to it during the term of the Framework, the Authority and the Supplier shall agree an appropriate replacement index or indices. The replacement index or indices shall cover, to the maximum extent possible, the same economic activities as the original index or indices.

- 4.9. Notwithstanding the above, any extant index / indices agreed in the Contract shall continue to be used as long as it is / they are available and subject to the publishers revisions policy. Payments calculated using the extant index / indices during its / their currency shall not be amended retrospectively as a result of any change to the index or indices.
- 4.10. The Supplier shall notify the Authority of any significant changes in the purchasing / manufacturing plan on the basis of which these provisions were drawn up and agreed, or of any other factor having a material bearing on the operation of these provisions such as to cause a significant divergence from their intended purpose, in order that both parties may consider whether any change in this provision would be appropriate.
- 4.11. Prices shall be adjusted taking into account the effect of the above formula as soon as possible after publication of the relevant indices or at a later date if so agreed between the Authority and the Supplier. Where an index value is subsequently amended, the Authority and the Supplier shall agree a fair and reasonable adjustment to the price, as necessary.
- 4.12. Claims under this Part 2 shall be submitted to the Authority's Contract Manager, certified to the effect that the "requirements of Part 4 (Price Review) of Schedule 6 (Commercial Schedule)" have been met.

Part 3 – Authority Commitment to Purchase Plasma Products

1. Definitions

- 1.1. In this Part 5 of Schedule 6, the following terms have the following meanings

–

"Participating UK Region Share" means the types and volumes of PDMPs that the Authority for the relevant Participating UK Region has commissioned from time to time under its Contract with the Supplier;

"Relevant Participating Authority" means a Participating Authority within the Participating UK Region for which the Authority is responsible; and

"Anticipated Quantity from the Supplier" means the quantity of PDMPs that the Authority requested the Supplier to supply in the relevant Supply Year and

that are physically located in the UK and available for supply to Participating Authorities.

“Unused Quantity” means, where the actual quantity of PDMPs ordered by Participating Authority’s is less than 90% of the Anticipated Quantity from the Supplier, then:

Unused Quantity = 90% of Anticipated Quantity from the Supplier – actual quantity of PDMPs ordered by Participating Authorities.

2. Authority Commitment

- 2.1. In each Supply Year, the Authority commits to pay the Supplier for any Unused Quantity (up to the relevant Participating UK Region Share) that has not been purchased by a Relevant Participating Authority within that Supply Year, provided the Products are physically located in the UK and available for supply to Relevant Participating Authorities.
- 2.2. If so requested by the Authority, the Supplier shall continue to hold any Unused Quantity that has been purchased by the Authority under paragraph 2.1 above and shall ensure it is managed and maintained on behalf of the Authority in accordance with the requirements of the Framework Agreement and to the same standards as the Supplier’s own stock.

PDMP Stock Management

3. The Supplier must operate a FIFO (“first in – first out”) stock system.
4. The Supplier may invoice the Authority for any Unused Quantity that is not purchased within the time limits set out above.
5. The Supplier must continue to make the Unused Quantity available to purchase by Participating Authorities, whilst it has an agreed minimum remaining shelf life.
6. The value of any Unused Quantity previously invoiced via the above mechanism, which is subsequently purchased by a Participating Authority, must be credited to the Authority or, subject to the agreement of the Authority, may be offset against future purchases.

7. The Supplier will provide a monthly report of the quantity of each PDMP held in stock by the Supplier (including any wholesaler, intermediary, agent or third party on its behalf) in the UK and its age profile.

8. Terms of Purchase:

8.1. Subject to paragraph 8.2 below, the Call-off Terms and Conditions for the Supply of Goods shall apply *mutatis mutandis* to any Unused Quantity that has been purchased by the Authority under paragraph 2.1 above [and a Contract shall be formed in respect of each purchase of the Unused Quantity].

8.2. Until the date of delivery of Plasma Products comprising the Unused Quantity to a Participating Authority, the Supplier shall:

8.2.1. store the Unused Quantity separately from all other goods held by the Supplier so that they remain readily identifiable as the Authority's property;

8.2.2. maintain the Unused Quantity in satisfactory condition and keep them insured to their full replacement value;

8.2.3. not be entitled to and shall not purport to exercise any lien (legal, equitable or otherwise) over the Unused Quantity; and

give the Authority such information as the Authority may reasonably require from time to time relating to the Unused Quantity (including, without limitation, volumes held, condition, state of processing, place and condition of storage and any other relevant matters).

Schedule 7

Ordering Procedure

1 Awards under the Framework Agreement

- 1.1 If a Participating Authority decides to source any Goods through the Framework Agreement then it may satisfy its requirements for the Goods by awarding a Contract in accordance with the terms laid down in this Framework Agreement without re-opening competition.
- 1.2 Any Participating Authority ordering Goods under the Framework Agreement without re-opening competition shall:
- 1.2.1 identify the relevant Lot which its Goods' requirements fall into;
- 1.2.2 send an Order to the Framework Provider(s) in proportion to the market share awarded to each Supplier, as set out in Clause 3 of this Schedule 7 following the evaluation of its Offer;
- 1.2.3 if the Framework Provider identified in accordance with paragraph 1.2.2 above decline the Order for whatever reason, then the Participating Authority shall send an Order to the Framework Provider indicated by the Authority.

2 Form of Order

- 2.1 Subject to Clauses 1.1 and 1.2 of this Schedule 7, each Participating Authority may place an Order with the Supplier by serving an Order Form.
- 2.2 In the event that the Participating Authority places an Order with the Supplier pursuant to the Ordering Procedure, the Supplier agrees that it shall be obliged to fulfil the Order.

3 Lots

- 3.1 The Supplier has been appointed under this Framework Agreement to the Lot(s) specified in the Award Schedule.
- 3.2 Such Lot(s) have been awarded as follows]:

| NAME OF LOT | FRAMEWORK PROVIDER | AWARDED MARKET SHARE |
|-------------|--------------------|----------------------|
| [Lot 1] | [to be inserted] | [to be inserted] |

| | | |
|----------------|-------------------------|-------------------------|
| [Lot 2] | [to be inserted] | [to be inserted] |
|----------------|-------------------------|-------------------------|

Schedule 8

Participating Authorities

Appendix A

Call-off Terms and Conditions for the Supply of Goods

Where an Order is placed by the Authority that refers to the Framework Agreement, the Contract is made between the Authority and the Supplier on the date of that Order Form. The Contract is subject to the terms set out in the schedules of these Call-off Terms and Conditions listed below ("**Schedules**").

The Authority and the Supplier undertake to comply with the provisions of the Schedules in the performance of the Contract.

The Supplier shall supply to the Authority, and the Authority shall receive and pay for, the Goods on the terms of the Contract.

For the avoidance of doubt, any actions or work undertaken by the Supplier prior to the receipt of an Order Form covering the relevant Goods shall be undertaken at the Supplier's risk and expense and the Supplier shall only be entitled to invoice for Goods covered by a valid Order Form.

The Definitions in Schedule 4 of these Call-off Terms and Conditions apply to the use of all capitalised terms in the Contract.

Schedules

| | |
|---|---------------------------------|
| Schedule 1 of these Call-off Terms and Conditions | Key Provisions |
| Schedule 2 of these Call-off Terms and Conditions | General Terms and Conditions |
| Schedule 3 of these Call-off Terms and Conditions | Information and Data Provisions |
| Schedule 4 of these Call-off Terms and Conditions | Definitions and Interpretations |

Schedule 1 of these Call-off Terms and Conditions

Key Provisions

1 Application of the Key Provisions

- 1.1 The standard Key Provisions at Clauses 1 to 10 of this Schedule 1 of these Call-off Terms and Conditions shall apply to this Contract.
- 1.2 Extra Key Provisions shall only apply to this Contract where such provisions are set out as part of the Order Form.

2 Marketing Authorisation

- 2.1 The award of this Contract shall be conditional upon the Supplier being in possession of a valid marketing authorisation(s) from the Licensing Authority required for supply of the Goods (such validity to be determined by the Licensing Authority) on or prior to the Commencement Date or on such other date as is agreed between the Parties (such date always being prior to the delivery of any Goods under this Contract). The Authority may request that the Supplier delivers to the Authority evidence of the grant of such valid marketing authorisation(s). For the avoidance of doubt a marketing authorisation which has been expired or has been suspended or withdrawn by the Licensing Authority does not constitute a valid marketing authorisation for the purposes of this Clause 2.1.
- 2.2 If the Supplier fails to be in possession of the documentation required by Clause 2.1 of this Schedule 1 of these Call-off Terms and Conditions by the agreed date then the Authority shall be entitled to terminate this Contract with immediate effect on giving written notice to the Supplier.
- 2.3 The Authority may in its sole discretion at any time agree to waive compliance with the requirement in Clause 2.1 of this Schedule 1 of these Call-off Terms and Conditions by giving the Supplier notice in writing.

3 Term

- 3.1 This Contract commences on the Commencement Date.
- 3.2 The Term of this Contract shall be as set out in the Order Form.
- 3.3 The Term may be extended in accordance with Clause 17.2 of Schedule 2 of these Call-off Terms and Conditions provided that the duration of this Contract shall be no longer than any maximum duration applicable to the Contract if such maximum duration is set out in the Framework Agreement (including any options to extend).

4 Contract Managers

- 4.1 The Contract Managers at the commencement of this Contract shall be as set out in the Order Form or as otherwise agreed between the Parties in writing.

5 Names and addresses for notices

- 5.1 Unless otherwise agreed by the Parties in writing, notices served under this Contract are to be delivered to such persons at such addresses as referred to in the Order Form.

6 Management levels for escalation and dispute resolution

- 6.1 Unless otherwise agreed by the Parties in writing, the management levels at which a dispute will be dealt with are as follows:

| Level | Authority representative | Supplier representative |
|--------------|----------------------------------|----------------------------------|
| 1 | Contract Manager | Contract Manager |
| 2 | Assistant Director or equivalent | Assistant Director or equivalent |

7 Order of precedence

- 7.1 Subject always to Clause 1.10 of Schedule 4 of these Call-off Terms and Conditions, should there be a conflict between any other parts of this Contract the order of priority for construction purposes shall be:

- 7.1.1 the Order Form;
- 7.1.2 the applicable provisions of the Framework Agreement other than the Specification;
- 7.1.3 the provisions on the front page (page 1) of these Call-off Terms and Conditions for the Supply of Goods;
- 7.1.4 Schedule 1 of these Call-off Terms and Conditions: Key Provisions;
- 7.1.5 the Specification;
- 7.1.6 Schedule 2 of these Call-off Terms and Conditions: General Terms and Conditions;
- 7.1.7 Schedule 3 of these Call-off Terms and Conditions: Information and Data Provisions;
- 7.1.8 Schedule 4 of these Call-off Terms and Conditions: Definitions and Interpretations; and

- 7.1.9 any other documentation forming part of the Contract in the date order in which such documentation was created with the more recent documentation taking precedence over older documentation to the extent only of any conflict.

8 Failure to Supply

- 8.1 The Supplier shall deliver the exact quantity of Goods specified in an Order Form within fourteen (14) days of receipt by the Supplier of the Order Form, or within such other time period as may have been agreed in writing between the Parties in accordance with Clause 2 of Schedule 2 of these Call-Off Terms and Conditions ("**Delivery Time**") to the location address specified by the Authority. Time shall be of the essence in relation to such Delivery Time.
- 8.2 If the Supplier is unable to supply the Goods in accordance with Clause 8.1 of this Schedule 1 of these Call-Off Terms and Conditions due to circumstances beyond its reasonable control, the Supplier shall be entitled to provide essentially similar goods to the Authority provided that:
- 8.2.1 the Supplier notifies the Authority without delay and within the Delivery Time when it becomes aware that it will not be able to supply the Goods in accordance Clause 8.1 of this Schedule 1 of these Call-Off Terms and Conditions;
 - 8.2.2 the notice referred to in Clause 8.2.1 of this Schedule 1 of these Call-Off Terms and Conditions stipulates the reason for the Supplier's inability to supply the Goods so ordered;
 - 8.2.3 the Supplier supplies to the Authority all information set out on PharmaQC or any drug quality assurance database that replaces PharmaQC in respect of the essentially similar goods;
 - 8.2.4 the essentially similar goods are approved in writing by the Authority; and
 - 8.2.5 the Supplier provides such quantities of alternative essentially similar goods as are necessary to make up any shortfall in the Goods to the Authority prior to expiry of the Delivery Time.
- 8.3 If the Supplier fails to deliver the exact quantity of Goods or essentially similar goods within the Delivery Time in accordance with Clauses 8.1 and/or 8.2 of this Schedule 1 of these Call-Off Terms and Conditions, then the Authority shall be entitled to:
- 8.3.1 terminate this Contract with immediate effect on giving written notice to the Supplier; and/or
 - 8.3.2 pursue Performance Credits as outlined in Schedule 5.

- 8.4 If the Authority wishes to claim any sum from the Supplier under Clause 8.3 of this Schedule 1 of these Call-Off Terms and Conditions, the Authority shall give a written notice to the Supplier to that effect. The Supplier shall pay any such sum within thirty (30) days from the date of such written notice.
- 8.5 The Supplier acknowledges that payment of the amounts listed above is proportionate when considering the Authority's legitimate interest in ensuring that the Supplier performs its obligations under this Contract including the complete and prompt delivery of Goods.
- 8.6 The Framework Manager, on the behalf of the Authority, may enforce the rights and recover the sums available to the Authority under this Clause.
- 8.7 Where essentially similar goods are supplied to the Authority such essentially similar goods shall be deemed to be Goods for the purposes of interpretation of this Contract.

9 Shelf Life

- 9.1 Where any Goods are supplied under this Contract, the Post Delivery Shelf Life:
- 9.1.1 shall not, subject to Clause 9.1.2 of this Schedule 1 of these Call-Off Terms and Conditions, be less than twelve (12) months; or
 - 9.1.2 in respect of certain Goods may be less than twelve (12) months if stated as such by the Supplier in the Offer. Where the Supplier has stated in the Offer that the Post Delivery Shelf Life may be less than twelve (12) months, the Post Delivery Shelf Life shall be no less than the time period so stated.
- 9.2 In the event that the Supplier supplies Goods with a Post Delivery Shelf Life of less than the relevant periods referred to at Clause 9.1 of this Schedule 1 of these Call-off Terms and Conditions, the Supplier shall, upon written request by the Authority and at no cost to the Authority, immediately replace those Goods with Goods that have a Post Delivery Shelf Life equal to or greater than the relevant periods referred to at Clause 9.1 of this Schedule 1 of these Call-off Terms and Conditions. Alternatively, the Authority shall be entitled, at its sole discretion, to terminate the Contract with immediate effect on giving written notice to the Supplier, treat the Goods so delivered as Rejected Goods and the provisions of Clauses and 4.3.1, 4.4 and 4.5 of Schedule 2 of these Call-off Terms and Conditions shall apply to such Goods.

10 Net Zero and Social Value Commitments

Supplier carbon reduction plans and reporting

- 10.1 The Supplier shall put in place, maintain and implement a board approved, publicly available, carbon reduction plan in accordance with the requirements and timescales set out in the NHS Net Zero Supplier Roadmap (see [Greener](#)

(<https://www.england.nhs.uk/greenernhs/get-involved/suppliers/>)), as may be updated from time to time.

- 10.2 A supplier assessment for benchmarking and reporting progress against the requirements detailed in the Net Zero Supplier Roadmap will be available in 2023 ("**Evergreen Supplier Assessment**"). The Supplier shall report its progress through published progress reports and continued carbon emissions reporting through the Evergreen Supplier Assessment once this becomes available and as may be updated from time to time.
- 10.3 The Supplier has appointed a relevant person (as designated in Schedule 1, Clause 8.3 of the Framework Agreement) ("**Supplier Net Zero Corporate Champion**") who is responsible for overseeing the Supplier's compliance with Clauses 10.1 and 10.2 of this Schedule 1 of these Call-off Terms and Conditions. Without prejudice to the Authority's other rights and remedies under this Contract, if the Supplier fails to comply with Clauses 10.1 and 10.2 of this Schedule 1 of these Call-off Terms and Conditions, the Authority may escalate such failure to the Supplier Net Zero Corporate Champion who shall within ten (10) Business Days of such escalation confirm in writing to the Authority the steps (with associated timescales) that the Supplier will be taking to remedy such failure. The Supplier shall then remedy such failure by taking such confirmed steps by such timescales (and by taking any other reasonable additional steps that may become necessary) to ensure that such failure is remedied by the earliest date reasonably possible.

Net zero and social value in the delivery of the contract

- 10.4 The Supplier shall deliver its net zero and social value contract commitments in accordance with the requirements and timescales set out in the Specification forming part of this Contract ("**Net Zero and Social Value Contract Commitments**").
- 10.5 The Supplier shall report its progress on delivering its Net Zero and Social Value Contract Commitments through progress reports, as set out in the Specification forming part of this Contract.
- 10.6 The Supplier has appointed a relevant person (as designated in Schedule 1, Clause 8.6 of the Framework Agreement) ("**Supplier Net Zero and Social Value Contract Champion**") who shall be responsible for overseeing the Supplier's compliance with Clauses 10.4 and 10.5 of this Schedule 1 of these Call-off Terms and Conditions. Without prejudice to the Authority's other rights

and remedies under this Contract, if the Supplier fails to comply with Clauses 10.4 and 10.5 of this Schedule 1 of these Call-off Terms and Conditions, the Authority may escalate such failure to the Supplier Net Zero and Social Value Contract Champion who shall within ten (10) Business Days of such escalation confirm in writing to the Authority the steps (with associated timescales) that the Supplier will be taking to remedy such failure. The Supplier shall then remedy such failure by taking such confirmed steps by such timescales (and by taking any other reasonable additional steps that may become necessary) to ensure that such failure is remedied by the earliest date reasonably possible.

Schedule 2 of these Call-off Terms and Conditions

General Terms and Conditions

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1 Supply of Goods

- 1.1 The Supplier shall supply the Goods ordered by the Authority under this Contract:
- 1.1.1 promptly and in any event within any time limits as may be set out in this Contract;
 - 1.1.2 in accordance with all other provisions of this Contract;
 - 1.1.3 using reasonable skill and care in their delivery;
 - 1.1.4 using reasonable skill and care in their installation, associated works and training to the extent that such installation, works or training is a requirement of this Contract;
 - 1.1.5 in accordance with the provisions of the Framework Agreement as applicable and/or the provisions of the Order Form;
 - 1.1.6 in accordance with the Law and with Guidance;
 - 1.1.7 in accordance with Good Industry Practice;
 - 1.1.8 in accordance with the Policies; and
 - 1.1.9 in a professional and courteous manner.

In complying with its obligations under this Contract, the Supplier shall, and shall procure that all Staff shall, act in accordance with the NHS values as set out in the NHS Constitution from time to time.

- 1.2 The Supplier shall comply fully with its obligations set out in the Specification and/or the Order Form (to include, without limitation, the KPIs and all obligations in relation to the quality, performance characteristics, supply, delivery and installation and training in relation to use of the Goods).
- 1.3 Unless otherwise agreed by the Parties in writing, the Goods shall be new, consistent with any sample, and shall comply with any applicable specification set out in this Contract (to include, without limitation, the requirements set out in the Specification and the Supplier's response to such requirements) and any applicable manufacturers' specifications.
- 1.4 Without prejudice to Clause 2.1 of Schedule 1 of these Call-off Terms and Conditions, the Supplier shall ensure that all relevant consents, authorisations, licences and accreditations (including but not limited to a valid marketing authorisation issued by the Licensing Authority) required to supply the Goods are in place prior to the delivery of any Goods to the Authority.

- 1.5 The Supplier shall immediately and in any event within seven (7) days inform the Authority in writing if any marketing authorisation in relation to the Goods is:

1.5.1 withdrawn by the Licensing Authority for whatever reason;

1.5.2 suspended by the Licensing Authority for whatever reason; or

1.5.3 not renewed by the Licensing Authority following its expiry for whatever reason,

and, in each case, provide all relevant details and reasons to the Authority. If any marketing authorisation in relation to the Goods is withdrawn, suspended and/or not renewed by the Licensing Authority at any time during the Term the Authority shall be entitled to terminate this Contract with immediate effect on giving written notice to the Supplier.

- 1.6 If there are any incidents that in any way relate to or involve the use of the Goods by the Authority, the Supplier shall cooperate fully with the Authority in relation to the Authority's application of the Policies on reporting and responding to all incidents, including serious incidents requiring investigation, and shall respond promptly to any reasonable and proportionate queries, questions and/or requests for information that the Authority may have in this context in relation to the Goods.
- 1.7 If there are any quality, performance and/or safety related reports, notices, alerts or other communications issued by the Supplier or any regulatory or other body in relation to the Goods, the Supplier shall promptly provide the Authority with a copy of any such reports, notices, alerts or other communications.
- 1.8 Upon receipt of any such reports, notices, alerts or other communications pursuant to Clause 1.5 of this Schedule 2 of these Call-off Terms and Conditions, the Authority shall be entitled to request further information from the Supplier and/or a meeting with the Supplier, and the Supplier shall cooperate fully with any such request.

2 Delivery

- 2.1 The Supplier shall deliver the Goods in accordance with any delivery timescales, delivery dates and delivery instructions (to include, without limitation, as to delivery location and delivery times) set out in the Specification, the Order Form or as otherwise agreed with the Authority in writing.
- 2.2 Delivery shall be completed when the Goods have cleared all relevant export and import customs, all relevant export and import duties have been paid by the Supplier for the relevant Goods, the Goods been unloaded at the location specified by the Authority and such delivery has been received by a duly authorised agent, employee or location representative of the Authority. The Authority shall procure that such duly authorised agent, employee or location representative of the Authority is at the delivery location at the agreed delivery

date and times in order to accept such delivery. Any arrangement by which the Goods are collected by the Authority in return for a discount on the Contract Price shall be agreed by the Parties in writing (where due to an emergency such arrangements cannot be committed to writing prior to collection, the Parties shall confirm such arrangements in writing as soon as possible following collection). Where the Authority collects the Goods, collection is deemed delivery for the purposes of the Contract.

- 2.3 The Supplier shall ensure that a delivery note shall accompany each delivery of the Goods. Such delivery note shall contain the information specified in the Specification or as otherwise agreed with the Authority in writing. Where such information requirements as to the content of delivery notes are not specified or separately agreed, such delivery notes shall, as a minimum, contain the Authority's order number, the name and address of the Authority, a description and quantity of the Goods, and shall show separately any extra agreed charges for containers and/or any other item not included in the Contract Price or, where no charge is made, whether the containers are required to be returned.
- 2.4 With the prior written agreement of both Parties, the arrangements set out in Clause 2.3 of this Schedule 2 of these Call-off Terms and Conditions may be suspended in favour of alternative arrangements (including use of an Electronic Trading System and new logistics process) provided that such alternative arrangements improve service levels and/or reduce costs for the benefit of the Authority.
- 2.5 Part deliveries and/or deliveries outside of the agreed delivery times/dates may be refused unless the Authority has previously agreed in writing to accept such deliveries. Where delivery of the Goods is refused by the Authority in accordance with this Clause 2.5 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier shall be responsible for all risks, costs and expenses associated with the re-delivery of the Goods in accordance with the agreed delivery times/dates. Where the Authority accepts delivery more than five (5) days before the agreed delivery date, the Authority shall be entitled to charge the Supplier for the costs of insurance and storage of the Goods until the agreed date for delivery.
- 2.6 Unless otherwise set out in the Specification or agreed with the Authority in writing, the Supplier shall be responsible for carriage, insurance, transport, all relevant licences (including, at its own risk and expense, carry out all applicable export and import clearance requirements in relation to the Goods), all related costs (including all applicable export and import duties and taxes), and all other costs associated with the delivery of the Goods to the delivery location and unloading of the Goods at that location. Without limitation to the foregoing provision of this Clause 2.6 of this Schedule 2 of these Call-off Terms and Conditions, unless otherwise stated in the Specification or agreed with the Authority in writing, the Supplier shall be responsible for obtaining all export and import licences for the Goods and shall be responsible for any delays to the delivery time due to such licences not being available when required. In the case of any Goods supplied from outside the United Kingdom, the Supplier shall

ensure that accurate information is provided to the Authority as to the country of origin of the Goods and the Supplier shall be liable to the Authority for any extra duties or taxes for which the Authority may be accountable in relation to the Goods.

- 2.7 If the Supplier has notified the Authority in the Offer (or otherwise in writing) that it has appointed, or it intends to appoint, a third party (including, without limitation, a full line national or regional pharmaceutical wholesalers as appointed by the Department of Health and Social Care) to act as its distribution agent:-

2.7.1 such appointment shall not relieve the Supplier of its obligations under this Contract; and

2.7.2 the Supplier shall be liable for the acts or omissions of its distribution agent. Without prejudice to the generality of the foregoing, the Supplier agrees that any delivery time agreed between the authority and the distribution agent in writing shall be binding on the Supplier.

3 Passing of risk and ownership

- 3.1 Risk in the Goods shall pass to the Authority when the Goods are delivered as specified in this Contract or, in the case of Goods which require installation by the Supplier, when that installation process is complete.

- 3.2 Ownership of the Goods shall pass to the Authority on the earlier of:

3.2.1 full payment for such Goods; or

3.2.2 where the goods are consumables or are non-recoverable (e.g. used in clinical procedures), at the point such Goods are taken into use. For the avoidance of doubt, where ownership passes in accordance with this Clause 3.2.2 of this Schedule 2 of these Call-off Terms and Conditions, then the full Contract Price for such Goods shall be recoverable by the Supplier from the Authority as a debt if there is non-payment of a valid undisputed invoice issued by the Supplier to the Authority in relation to such Goods.

- 3.3 All tools, equipment and materials of the Supplier required in the performance of the Supplier's obligations under this Contract shall be and remain at the sole risk of the Supplier, whether or not they are situated at a delivery location.

4 Inspection, rejection, return and recall

- 4.1 As relevant and proportionate to the Goods in question and subject to reasonable written notice, the Supplier shall permit any person authorised by the Authority, to inspect work being undertaken in relation to the Goods and/or the storage facilities used in the storage of the Goods at all reasonable times at the Supplier's premises or at the premises of any Sub-contractor or agent of the Supplier in order to confirm that the Goods are being manufactured and/or

stored in accordance with Good Industry Practice and in compliance the requirements of this Contract and/or that stock holding and quality assurance processes are in accordance with the requirements of this Contract.

- 4.2 Without prejudice to the provisions of Clause 4.6 of this Schedule 2 of these Call-off Terms and Conditions and subject to Clause 4.7 of this Schedule 2 of these Call-off Terms and Conditions, the Authority shall visually inspect the Goods within a reasonable time following delivery (or such other period as may be set out as part of the requirements in the Specification, if any) and may by written notice reject any Goods found to be damaged or otherwise not in accordance with the requirements of this Contract ("**Rejected Goods**"). The whole of any delivery may be rejected if a reasonable sample of the Goods taken indiscriminately from that delivery is found not to conform in all material respects to the requirements of the Contract.
- 4.3 Without prejudice to the provisions of Clause 4.5 of this Schedule 2 of these Call-off Terms and Conditions, upon the rejection of any Goods in accordance with the Key Provisions and/or Clauses 4.2 and/or 4.6 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier shall at the Authority's written request:
- 4.3.1 collect the Rejected Goods at the Supplier's risk and expense within ten (10) Business Days of issue of written notice from the Authority rejecting the Goods; and
 - 4.3.2 when rejected in accordance Clauses 4.2 and/or 4.6 of this Schedule 2 of these Call-off Terms and Conditions, without extra charge, promptly (and in any event within twenty (20) Business Days or such other time agreed by the Parties in writing acting reasonably) supply replacements for the Rejected Goods to the Authority subject to the Authority not cancelling its purchase obligations in accordance with Clause 4.5 of this Schedule 2 of these Call-off Terms and Conditions.

If the Supplier requests and the Authority accepts that the Rejected Goods should be disposed of by the Authority rather than returned to the Supplier, the Authority reserves the right to charge the Supplier for the costs associated with the disposal of the Rejected Goods and the Supplier shall promptly pay any such costs.

- 4.4 Risk and title in respect of any Rejected Goods shall pass to the Supplier on the earlier of: (a) collection by the Supplier in accordance with Clause 4.3 of this Schedule 2 of these Call-off Terms and Conditions; or (b) immediately following the expiry of ten (10) Business Days from the Authority issuing written notification rejecting the Goods. If Rejected Goods are not collected within ten (10) Business Days of the Authority issuing written notification rejecting the Goods, the Authority may return the Rejected Goods at the Supplier's risk and expense and charge the Supplier for the cost of storage from the expiry of ten (10) Business Days from the date of notification of rejection.

- 4.5 Where the Authority rejects any Goods in accordance with the Key Provisions, Clauses 4.2 and/or 4.6 of this Schedule 2 of these Call-off Terms and Conditions and the Authority no longer requires replacement Goods, the Authority may by written notice cancel its purchase obligations in relation to such quantity of Rejected Goods. Should the Authority have paid for such Rejected Goods the Supplier shall refund such payment to the Authority within thirty (30) days of the Authority cancelling such purchase obligations and informing the Supplier that the Authority does not require replacements for such Rejected Goods.
- 4.6 Without prejudice to any other provisions of this Contract or any other warranties or guarantees applicable to the Goods supplied and subject to Clause 4.7 of this Schedule 2 of these Call-off Terms and Conditions, if at any time following the date of the delivery of any Goods, all or any part of such Goods are found to be defective or otherwise not in accordance with the requirements of this Contract ("**Defective Goods**"), the Supplier shall, at the Authority's discretion:
- 4.6.1 upon written request and without charge, promptly (and in any event within twenty (20) Business Days or such other time agreed by the Parties in writing acting reasonably) remedy the deficiency by repairing such Defective Goods; or
- 4.6.2 upon written notice of rejection from the Authority, treat such Defective Goods as Rejected Goods in accordance with Clauses 4.2 to 4.5 of this Schedule 2 of these Call-off Terms and Conditions.
- 4.7 The Supplier shall be relieved of its liabilities under Clauses 4.2 to 4.5 (inclusive) and/or Clause 4.6 of this Schedule 2 of these Call-off Terms and Conditions to the extent only that the Goods are damaged, there are defects in the Goods and/or the Goods fail to comply with the requirements of this Contract due, in each case, to any acts or omissions of the Authority.
- 4.8 The Authority's rights and remedies under Clause 4.6 of this Schedule 2 of these Call-off Terms and Conditions shall cease within a reasonable period of time from the date on which the Authority discovers or might reasonably be expected to discover that the Goods are Defective Goods or within such other period as may be set out as part of the requirements in the Specification, if any. For the avoidance of doubt, Goods not used before their expiry date shall in no event be considered Defective Goods following the date of expiry provided that at the point such Goods were delivered to the Authority they met any shelf life requirements set out in the Specification.
- 4.9 Where the Supplier is required by Law, Guidance, and/or Good Industry Practice to order a product recall ("**Requirement to Recall**") in respect of the Goods, the Supplier shall:
- 4.9.1 promptly (taking into consideration the potential impact of the continued use of the Goods on patients, service users and the

Authority as well as compliance by the Supplier with any regulatory requirements) notify the Authority in writing of the recall together with the circumstances giving rise to the recall;

- 4.9.2 from the date of the Requirement to Recall treat the Goods the subject of such recall as Defective Goods in accordance with Clause 4.6 of this Schedule 2 of these Call-off Terms and Conditions;
- 4.9.3 consult with the Authority as to the most efficient method of executing the recall of the Goods and use its reasonable endeavours to minimise the impact on the Authority of the recall; and
- 4.9.4 indemnify and keep the Authority indemnified against, any loss, damages, costs, expenses (including without limitation legal costs and expenses), claims or proceedings suffered or incurred by the Authority as a result of such Requirement to Recall.

5 Staff

- 5.1 The Supplier will employ sufficient Staff to ensure that it complies with its obligations under this Contract. This will include, but not be limited to, the Supplier providing a sufficient reserve of trained and competent Staff during Staff holidays or absence.
- 5.2 The Supplier shall ensure that all Staff are aware of, and at all times comply with, the Policies.
- 5.3 The Supplier shall employ only such persons as are careful, skilled and experienced in the duties required of them, and will ensure that every such person is properly and sufficiently trained and instructed and shall maintain throughout the Term all appropriate licences and registrations with any relevant bodies (at the Supplier's expense) and has the qualifications to carry out their duties.
- 5.4 The Supplier shall comply with the Authority's staff vetting procedures and other staff protocols, as may be relevant to this Contract and which are notified to the Supplier by the Authority in writing.

6 Business continuity

- 6.1 Throughout the Term, the Supplier will ensure its Business Continuity Plan provides for continuity during a Business Continuity Event. The Supplier confirms and agrees such Business Continuity Plan details and will continue to detail robust arrangements that are reasonable and proportionate to:
 - 6.1.1 the criticality of this Contract to the Authority;
 - 6.1.2 the impact of and any disruption caused by EU Exit;
 - 6.1.3 any reasonably foreseeable risks; and

6.1.4 the size and scope of the Supplier's business operations,

regarding continuity of the supply of Goods during and following a Business Continuity Event.

- 6.2 The Supplier shall test its Business Continuity Plan at reasonable intervals, and in any event no less than once every twelve (12) months or such other period as may be agreed between the Parties taking into account the criticality of this Contract to the Authority and the size and scope of the Supplier's business operations. The Supplier shall promptly provide to the Authority, at the Authority's written request, copies of its Business Continuity Plan, reasonable and proportionate documentary evidence that the Supplier tests its Business Continuity Plan in accordance with the requirements of this Clause 7.2 of this Schedule 2 of these Call-off Terms and Conditions and reasonable and proportionate information regarding the outcome of such tests. The Supplier shall provide to the Authority a copy of any updated or revised Business Continuity Plan within fourteen (14) Business Days of any material update or revision to the Business Continuity Plan.
- 6.3 Should a Business Continuity Event occur at any time, the Supplier shall implement and comply with its Business Continuity Plan and provide regular written reports to the Authority on such implementation.
- 6.4 During and following a Business Continuity Event, the Supplier shall use reasonable endeavours to continue to supply the Goods in accordance with this Contract.

7 The Authority's obligations

- 7.1 Subject to the Supplier supplying the Goods in accordance with this Contract, the Authority will pay the Supplier for the Goods in accordance with Clause 11 of this Schedule 2 of these Call-off Terms and Conditions.
- 7.2 The Authority shall, as appropriate, provide copies of or give the Supplier access to such of the Policies that are relevant to the supply and delivery of the Goods.
- 7.3 The Authority shall comply with the Authority's Obligations.
- 7.4 The Authority shall provide the Supplier with any reasonable and proportionate cooperation necessary to enable the Supplier to comply with its obligations under this Contract. The Supplier shall at all times provide reasonable advance written notification to Authority of any such cooperation necessary in circumstances where such cooperation will require the Authority to plan for and/or allocate specific resources in order to provide such cooperation.

8 Contract management

- 8.1 Each Party shall appoint and retain a Contract Manager who shall be the primary point of contact for the other Party in relation to matters arising from

this Contract. Should the Contract Manager be replaced, the Party replacing the Contract Manager shall promptly inform the other Party in writing of the name and contact details for the new Contract Manager. Any Contract Manager appointed shall be of sufficient seniority and experience to be able to make decisions on the day to day operation of the Contract. The Supplier confirms and agrees that it will be expected to work closely and cooperate fully with the Authority's Contract Manager.

- 8.2 Each Party shall ensure that its representatives (to include, without limitation, its Contract Manager) shall attend review meetings on a regular basis to review the performance of the Supplier under this Contract and to discuss matters arising generally under this Contract. Each Party shall ensure that those attending such meetings have the authority to make decisions regarding the day to day operation of the Contract. Review meetings shall take place at the frequency specified in the Specification. Should the Specification not state the frequency, then the first such meeting shall take place on a date to be agreed on or around the end of the first month after the Commencement Date. Subsequent meetings shall take place at monthly intervals or as may otherwise be agreed in writing between the Parties.
- 8.3 Two weeks prior to each review meeting (or at such time and frequency as may be specified in the Specification) the Supplier shall provide a written contract management report to the Authority regarding the supply of the Goods and the operation of this Contract. Unless otherwise agreed by the Parties in writing, such contract management report shall contain:
- 8.3.1 details of the performance of the Supplier when assessed in accordance with the KPIs since the last such performance report;
 - 8.3.2 details of any complaints by the Authority in relation to the supply of Goods, their nature and the way in which the Supplier has responded to such complaints since the last review meeting written report;
 - 8.3.3 the information specified in the Specification;
 - 8.3.4 a status report in relation to the implementation of any current Remedial Proposals by either Party; and
 - 8.3.5 such other information as reasonably required by the Authority.
- 8.4 Unless specified otherwise in the Specification, the Authority shall take minutes of each review meeting and shall circulate draft minutes to the Supplier within a reasonable time following such review meeting. The Supplier shall inform the Authority in writing of any suggested amendments to the minutes within five (5) Business Days of receipt of the draft minutes. If the Supplier does not respond to the Authority within such five (5) Business Days the minutes will be deemed to be approved. Where there are any differences in interpretation of the minutes, the Parties will use their reasonable endeavours to reach agreement. If agreement cannot be reached the matter shall be referred to, and resolved in

accordance with, the dispute resolution process set out in Clause 5 of the Key Provisions and Clause 26.3 of this Schedule 2 of these Call-off Terms and Conditions.

- 8.5 The Supplier shall provide such management information as the Authority may request from time to time within seven (7) Business Days of the date of the request. The Supplier shall supply the management information to the Authority in such form as may be specified by the Authority and, where requested to do so, the Supplier shall also provide such management information to another Contracting Authority, whose role it is to: (a) analyse such management information in accordance with UK government policy (to include, without limitation, for the purposes of analysing public sector expenditure and planning future procurement activities); or (b) manage the Framework Agreement with the Supplier ("**Third Party Body**"). The Supplier confirms and agrees that the Authority may itself provide the Third Party Body with management information relating to the Goods purchased, any payments made under this Contract and any other information relevant to the operation of this Contract.
- 8.6 Upon receipt of management information supplied by the Supplier to the Authority and/or the Third Party Body, or by the Authority to the Third Party Body, the Parties hereby consent to the Third Party Body and the Authority:
- 8.6.1 storing and analysing the management information and producing statistics; and
 - 8.6.2 sharing the management information, or any statistics produced using the management information with any other Contracting Authority.
- 8.7 If the Third Party Body and/or the Authority shares the management information or any other information provided under Clause 8.6 of this Schedule 2 of these Call-off Terms and Conditions, any Contracting Authority receiving the management information shall, where such management information is subject to obligations of confidence under this Contract and such management information is provided direct by the Authority to such Contracting Authority, be informed of the confidential nature of that information by the Authority and shall be requested by the Authority not to disclose it to any body that is not a Contracting Authority (unless required to do so by Law).
- 8.8 The Authority may make changes to the type of management information which the Supplier is required to supply and shall give the Supplier at least one (1) month's written notice of any changes.

9 Price and payment

- 9.1 The Contract Price shall be calculated in accordance with the provisions of the Framework Agreement, as confirmed in the Order Form.

9.2 Unless otherwise stated in the Framework Agreement and/or the Order Form, the Contract Price:

9.2.1 shall remain fixed during the Term; and

9.2.2 is the entire price payable by the Authority to the Supplier in respect of the provision of the Goods and includes, without limitation:

- (i) packaging, packing materials, addressing, labelling, loading, delivery to and unloading at the delivery location, all appropriate tax (excluding VAT) and duty (including any import and/or export tariff, tax and/or duty), administration, any installation costs and associated works, the costs of all associated documentation and information supplied or made accessible to the Authority in any media, and any training in relation to the use, storage, handling or operation of the Goods;
- (ii) any royalties, licence fees or similar expenses in respect of the making, use or exercise by the Supplier of any Intellectual Property Rights for the purposes of performing this Contract, and any licence rights granted to the Authority in accordance with Clause 11 of this Schedule 2 of these Call-off Terms and Conditions; and
- (iii) costs and expenses in relation to supplies and materials used by the Supplier or any third party in the manufacture of the Goods, and any other costs incurred by the Supplier in association with the manufacture, supply or installation of the Goods.

9.3 Unless stated otherwise in the Framework Agreement and/or the Order Form:

9.3.1 where the Framework Agreement and/or the Order Form confirms that the payment profile for this Contract is monthly in arrears, the Supplier shall invoice the Authority, within fourteen (14) days of the end of each calendar month, the Contract Price in respect of the Goods supplied in compliance with this Contract in the preceding calendar month; or

9.3.2 where Clause 9.3.1 of this Schedule 2 of these Call-off Terms and Conditions does not apply, the Supplier shall invoice the Authority for Goods at any time following completion of the supply of the Goods in compliance with this Contract.

Each invoice shall contain such information and be addressed to such individual as the Authority may inform the Supplier from time to time. Each invoice may be submitted electronically by the Supplier if it complies with the standard on electronic invoicing as set out in the European standard and any

of the syntaxes published in Commission Implementing Decision (EU) 2017/2870.

- 9.4 The Contract Price is exclusive of VAT, which, if properly chargeable, the Authority shall pay at the prevailing rate subject to receipt from the Supplier of a valid and accurate VAT invoice. Such VAT invoices shall show the VAT calculations as a separate line item.
- 9.5 Where the Contract Price is or may become subject to any pricing requirements of any voluntary and/or statutory pricing regulation schemes, the Parties shall comply with such pricing requirements as required by Law from time to time and specifically as required by the statutory pricing regulation scheme (and any future regulation) or to the extent applicable to the Supplier from time to time as an industry member of a voluntary scheme, including any reductions in price by reason of the application of such schemes.
- 9.6 The Authority shall verify and pay each valid and undisputed invoice in accordance with this Clause 9.6 within thirty (30) days of receipt of such invoice at the latest. However, the Authority shall use its reasonable endeavours to pay such undisputed invoices sooner in accordance with any applicable government prompt payment targets. If there is undue delay in verifying the invoice in accordance with this Clause 9.6 of this Schedule 2 of these Call-off Terms and Conditions, the invoice shall be regarded as valid and undisputed for the purposes of Clause 9.6 of these Call-off Terms and Conditions after a reasonable time has passed.
- 9.7 Where the Authority raises a query with respect to an invoice the Parties shall liaise with each other and agree a resolution to such query within thirty (30) days of the query being raised. If the Parties are unable to agree a resolution within thirty (30) days the query shall be referred to dispute resolution in accordance with Clause 26 of this Schedule 2 of these Call-off Terms and Conditions. For the avoidance of doubt, the Authority shall not be in breach of any of its payment obligations under this Contract in relation to any queries or disputed invoice sums unless the process referred to in this Clause 9.7 of this Schedule 2 of these Call-off Terms and Conditions has been followed and it has been determined that the queried or disputed invoice amount is properly due to the Supplier and the Authority has then failed to pay such sum within a reasonable period following such determination.
- 9.8 Where the Supplier enters into a Sub-contract, the Supplier shall include in that Sub-contract:
- 9.8.1 provisions having the same effect as Clauses 9.6 to 9.7 of this Schedule 2 of these Call-off Terms and Conditions; and
- 9.8.2 a provision requiring the counterparty to that Sub-contract to include in any Sub-contract which it awards provisions having the same effect as Clauses 9.6 to 9.8 of this Schedule 2 of these Call-off Terms and Conditions.

In this Clause 9 of this Schedule 2 of these Call-off Terms and Conditions, a "**Sub-contract**" means a contract between two or more suppliers, at any stage of remoteness from the Authority in a subcontracting chain, made wholly or substantially for the purpose of performing (or contributing to the performance of) the whole or any part of this Contract.

9.9 The Authority reserves the right to set-off:

9.9.1 any monies due to the Supplier from the Authority against any monies due to the Authority from the Supplier under this Contract; and

9.9.2 any monies due to Authority from the Supplier as against any monies due to the Supplier from the Authority under this Contract.

9.10 Where the Authority is entitled to receive any sums (including, without limitation, any costs, charges or expenses) from the Supplier under this Contract, the Authority may invoice the Supplier for such sums. Such invoices shall be paid by the Supplier within 30 days of the date of such invoice.

9.11 If a Party fails to pay any undisputed sum properly due to the other Party under this Contract, the Party due such sum shall have the right to charge interest on the overdue amount at the applicable rate under the Late Payment of Commercial Debts (Interest) Act 1998, accruing on a daily basis from the due date up to the date of actual payment, whether before or after judgment.

10 Warranties

10.1 The Supplier warrants and undertakes that:

10.1.1 it shall comply with the Framework Agreement;

10.1.2 the Goods shall be suitable for the purposes and/or treatments as referred to in the Specification, be of satisfactory quality, fit for their intended purpose and shall comply with the standards and requirements set out in this Contract;

10.1.3 unless otherwise confirmed by the Authority in writing (to include, without limitation, as part of the Specification), it will ensure that the Goods comply with requirements five (5) to eight (8), as set out at Annex 1 of the Cabinet Office Procurement Policy Note - Implementing Article 6 of the Energy Efficiency Directive (Action Note 07/14 3rd June 2014), to the extent such requirements apply to the relevant Goods;

10.1.4 it shall ensure that prior to actual delivery to the Authority the Goods are manufactured, stored and/or distributed using reasonable skill and care and in accordance with Good Industry Practice;

- 10.1.5 without prejudice to the generality of the warranty at 10.1.4 of this Schedule 2 of these Call-off Terms and Conditions, it shall ensure that, the Goods are manufactured, stored and/or distributed in accordance with good manufacturing practice and/or good distribution practice, as may be defined under any Law and/or Guidance relevant to the Goods, and in accordance with any specific instructions of the manufacturer of the Goods;
- 10.1.6 it shall ensure that all facilities used in the manufacture, storage and distribution of the Goods are kept in a state and condition necessary to enable the Supplier to comply with its obligations in accordance with this Contract;
- 10.1.7 it has, or the manufacturer of the Goods has, manufacturing and warehousing capacity sufficient to comply with its obligations under this Contract;
- 10.1.8 it will ensure sufficient stock levels to comply with its obligations under this Contract;
- 10.1.9 it shall ensure that the transport and delivery of the Goods mean that they are delivered in good and useable condition;
- 10.1.10 where the Goods are required to be stored at a certain temperature, it shall provide, or shall procure the provision of, complete and accurate temperature records for each delivery of the Goods during the period of transport and/or storage of the Goods from the point of manufacture to the point of delivery to the Authority;
- 10.1.11 where there is any instruction information, including without limitation patient information leaflets, that accompany the Goods, it shall provide a sufficient number of copies to the Authority and provide updated copies should the instruction information change at any time during the Term;
- 10.1.12 all Goods delivered to the Authority shall comply with any shelf life requirements set out in the Specification;
- 10.1.13 it has and shall maintain a properly documented system of quality controls and processes covering all aspects of its obligations under this Contract and/or under Law and/or Guidance (including but not limited to the requirements of the Licensing Authority and the Department of Health and Social Care) and shall at all times comply with such quality controls and processes and make available to the Authority and/or the Department of Health and Social Care on demand the results of such quality control monitoring;
- 10.1.14 it shall not make any significant changes to its system of quality controls and processes in relation to the Goods without notifying the

Authority in writing at least twenty one (21) days in advance of such change (such notice to include the details of the consequences which follow such change being implemented);

- 10.1.15 it shall not make any significant changes to the Goods without the prior written consent of the Authority, such consent not to be unreasonably withheld or delayed;
- 10.1.16 any equipment it uses in the manufacture, delivery, or installation of the Goods shall comply with all relevant Law, Guidance and Good Industry Practice, be fit for its intended purpose and maintained fully in accordance with the manufacturer's specification;
- 10.1.17 where any act of the Supplier requires the notification to and/or approval by any regulatory or other competent body in accordance with any Law, Guidance and Good Industry Practice, the Supplier shall comply fully with such notification and/or approval requirements;
- 10.1.18 it has and shall as relevant maintain all rights, consents, authorisations, licences and accreditations required to supply the Goods;
- 10.1.19 receipt of the Goods by or on behalf of the Authority and use of the Goods or of any other item or information supplied, or made available, to the Authority will not infringe any third party rights, to include without limitation any Intellectual Property Rights;
- 10.1.20 it will comply with all Law, Guidance, Good Industry Practice, Policies and the Supplier Code of Conduct in so far as is relevant to the supply of the Goods;
- 10.1.21 it will promptly notify the Authority of any health and safety hazard which has arisen, or the Supplier is aware may arise, in connection with the Goods and take such steps as are reasonably necessary to ensure the health and safety of persons likely to be affected by such hazards;
- 10.1.22 it shall:
 - (i) comply with all relevant Law and Guidance and shall use Good Industry Practice to ensure that there is no slavery or human trafficking in its supply chains: and
 - (ii) notify the Authority immediately if it becomes aware of any actual or suspected incidents of slavery or human trafficking in its supply chains; and
- 10.1.23 it shall at all times conduct its business in a manner that is consistent with any anti-slavery Policy of the Authority and shall provide to the Authority any reports or other information that the Authority may

request as evidence of the Supplier's compliance with this Clause 10.1.23 and/or as may be requested or otherwise required by the Authority in accordance with its anti-slavery Policy;

- 10.1.24 it shall comply with its Net Zero and Social Value Commitments and shall provide to the Authority information that the Authority may request as evidence of the Supplier's compliance with this Clause 10.1.24;
- 10.1.25 it will fully and promptly respond to all requests for information and/or request for answers to questions regarding this Contract, the Goods, any complaints and any Disputes at the frequency and in the format that the Authority may from time to time reasonably require;
- 10.1.26 all information included within the Supplier's responses to any documents issued by the Authority as part of the procurement relating to the award of this Contract (to include, without limitation, as referred to in the Specification, the Terms of Offer and/or Order Form) and all accompanying materials is accurate;
- 10.1.27 it has the right and authority to enter into this Contract and that it has the capability and capacity to fulfil its obligations under this Contract;
- 10.1.28 it is a properly constituted entity and it is fully empowered by the terms of its constitutional documents to enter into and to carry out its obligations under this Contract and the documents referred to in this Contract;
- 10.1.29 all necessary actions to authorise the execution of and performance of its obligations under this Contract have been taken before such execution;
- 10.1.30 there are no pending or threatened actions or proceedings before any court or administrative agency which would materially adversely affect the financial condition, business or operations of the Supplier;
- 10.1.31 there are no material agreements existing to which the Supplier is a party which prevent the Supplier from entering into or complying with this Contract;
- 10.1.32 it has and will continue to have the capacity, funding and cash flow to meet all its obligations under this Contract;
- 10.1.33 where a court (or other competent authority) makes a finding or determination that any of the Intellectual Property Rights required for the purposes of supplying the Goods is invalid or unenforceable for whatever reason, it will promptly notify the Authority of the same; and
- 10.1.34 it has satisfied itself as to the nature and extent of the risks assumed by it under this Contract and has gathered all information necessary

to perform its obligations under this Contract and all other obligations assumed by it.

10.2 Where the sale, manufacture, assembly, importation, storage, distribution, supply, delivery, or installation of the Goods under this Contract relates to medical devices and/or medicinal products (both as defined under any relevant Law and Guidance), the Supplier warrants and undertakes that it will comply with any such Law, Guidance and with Good Industry Practice relating to such activities in relation to such medical devices and/or medicinal products. In particular, but without limitation, the Supplier warrants that:

10.2.1 at the point such Goods are supplied to the Authority, all such Goods which are medical devices shall have valid CE marking or UKCA marking as required by Law and Guidance and that all relevant marking, authorisation, registration, approval and documentation requirements as required under Law and Guidance relating to the sale, manufacture, assembly, importation, storage, distribution, supply, delivery, or installation of such Goods shall have been complied with. Without limitation to the foregoing provisions of this Clause 10.2 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier shall, upon written request from the Authority, make available to the Authority evidence of the grant of such valid CE marking or UKCA Marking, and evidence of any other authorisations, registrations, approvals or documentation required;

10.2.2 at the point such Goods are supplied to the Authority, all such Goods which are medicinal products shall have a valid marketing authorisation issued by the Licensing Authority and as required by Law, Guidance and Good Industry Practice in order to supply the Goods to the Authority and that all relevant authorisation, labelling, registration, approval and documentation requirements as required under Law and Guidance relating to the sale, manufacture, assembly, importation, storage, distribution, supply or delivery of such Goods shall have been complied with. Without limitation to the foregoing provisions of this Clause 10.2 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier shall, upon written request from the Authority, make available to the Authority evidence of the grant of any required valid marketing authorisation, and evidence of any other authorisations, labelling, registrations, approvals or documentation required; and

10.2.3 it shall maintain, and no later than any due date when it would otherwise expire, obtain a renewal of, any authorisation, registration or approval (including without limitation CE marking, UKCA marking and/or marketing authorisation) required in relation to the Goods in accordance with Law and Guidance until such time as the Goods expire or the Authority notifies the Supplier in writing that it has used or disposed of all units of the Goods supplied under this Contract.

- 10.3 If the Supplier is in breach of Clause 10.2 of this Schedule 2 of these Call-off Terms and Conditions, then, without prejudice to any other right or remedy of the Authority, the Authority shall be entitled to reject and/or return the Goods and the Supplier shall, subject to Clause 13.2 of this Schedule 2 of these Call-off Terms and Conditions, indemnify and keep the Authority indemnified against, any loss, damages, costs, expenses (including without limitation legal costs and expenses), claims or proceedings suffered or incurred by the Authority as a result of such breach.
- 10.4 The Supplier agrees to use reasonable endeavours to assign to the Authority upon request the benefit of any warranty, guarantee or similar right which it has against any third party manufacturer or supplier of the Goods in full or part.
- 10.5 The Supplier warrants that all information, data and other records and documents required by the Authority as set out in the Specification and Terms of Offer shall be submitted to the Authority in the format and in accordance with any timescales set out in the Specification and Terms of Offer.
- 10.6 The Supplier warrants and undertakes to the Authority that it shall comply with any eProcurement Guidance as it may apply to the Supplier and shall carry out all reasonable acts required of the Supplier to enable the Authority to comply with such eProcurement Guidance.
- 10.7 The Supplier warrants and undertakes to the Authority that, as at the Commencement Date, it has notified the Authority in writing of any Occasions of Tax Non-Compliance or any litigation that it is involved in that is in connection with any Occasions of Tax Non-Compliance. If, at any point during the Term, an Occasion of Tax Non-Compliance occurs, the Supplier shall:
- 10.7.1 notify the Authority in writing of such fact within five (5) Business Days of its occurrence; and
- 10.7.2 promptly provide to the Authority:
- (i) details of the steps which the Supplier is taking to address the Occasion of Tax Non-Compliance and to prevent the same from recurring, together with any mitigating factors that it considers relevant; and
 - (ii) such other information in relation to the Occasion of Tax Non-Compliance as the Authority may reasonably require.

- 10.8 The Supplier further warrants and undertakes to the Authority that it will inform the Authority in writing immediately upon becoming aware that any of the warranties set out in Clause 10 of this Schedule 2 of these Call-off Terms and Conditions have been breached or there is a risk that any warranties may be breached.
- 10.9 Any warranties provided under this Contract are both independent and cumulative and may be enforced independently or collectively at the sole discretion of the enforcing Party.

11 Intellectual property

- 11.1 Unless specified otherwise in the Specification, the Supplier hereby grants to the Authority, for the life of the use of Goods by the Authority, an irrevocable, royalty-free, non-exclusive licence (with the right to sub-license to any supplier or other third party contracted by, engaged by and/or collaborating with the Authority) of any Intellectual Property Rights required for the purposes of receiving and using, and to the extent necessary to receive and use, the Goods (to include any associated technical or other documentation and information supplied or made accessible to the Authority in any media) in accordance with this Contract.

12 Indemnity

- 12.1 The Supplier shall be liable to the Authority for, and shall indemnify and keep the Authority indemnified against, any loss, damages, costs, expenses (including without limitation legal costs and expenses), claims or proceedings in respect of:
- 12.1.1 any injury or allegation of injury to any person, including injury resulting in death;
 - 12.1.2 any loss of or damage to property (whether real or personal); and/or
 - 12.1.3 any breach of Clause 10.1.19 and/or Clause 11 of this Schedule 2 of these Call-off Terms and Conditions;
 - 12.1.4 that arise or result from the Supplier's negligent acts or omissions or breach of contract in connection with the performance of this Contract including the supply of the Goods, except to the extent that such loss, damages, costs, expenses (including without limitation legal costs and expenses), claims or proceedings have been caused by any act or omission by, or on behalf of, or in accordance with the instructions of, the Authority.
- 12.2 Liability under Clauses 12.1.1 and 12.1.3 of this Schedule 2 of these Call-off Terms and Conditions and Clause 2.5 of Schedule 3 of these Call-off Terms and Conditions shall be unlimited. Liability under Clauses 4.9.4, 10.3 and 12.1.2 of this Schedule 2 of these Call-off Terms and Conditions shall be subject to

the limitation of liability set out in Clause 15 of this Schedule 2 of these Call-off Terms and Conditions.

- 12.3 In relation to all third party claims against the Authority, which are the subject of any indemnity given by the Supplier under this Contract, the Authority shall use its reasonable endeavours, upon a written request from the Supplier, to transfer the conduct of such claims to the Supplier unless restricted from doing so. Such restrictions may include, without limitation, any restrictions:

12.3.1 relating to any legal, regulatory, governance, information governance, or confidentiality obligations on the Authority; and/or

12.3.2 relating to the Authority's membership of any indemnity and/or risk pooling arrangements.

Such transfer shall be subject to the Parties agreeing appropriate terms for such conduct of the third party claim by the Supplier (to include, without limitation, the right of the Authority to be informed and consulted on the ongoing conduct of the claim following such transfer and any reasonable cooperation required by the Supplier from the Authority).

13 Limitation of liability

- 13.1 Nothing in this Contract shall exclude or restrict the liability of either Party:

13.1.1 for death or personal injury resulting from its negligence;

13.1.2 for fraud or fraudulent misrepresentation;

13.1.3 payments due under Clause 8 of Schedule 1 of these Call-off Terms and Conditions; or

13.1.4 in any other circumstances where liability may not be limited or excluded under any applicable law.

- 13.2 Subject to Clauses 12.2, 13.1, 13.3 and 13.5 of this Schedule 2 of these Call-off Terms and Conditions, the total liability of each Party to the other under or in connection with this Contract whether arising in contract, tort, negligence, breach of statutory duty or otherwise shall be limited in aggregate to the greater of: (a) five million GBP (£5,000,000); or (b) one hundred and twenty five percent (125%) of the total Contract Price paid or payable by the Authority to the Supplier for the Goods.

- 13.3 There shall be no right to claim losses, damages and/or other costs and expenses under or in connection with this Contract whether arising in contract (to include, without limitation, under any relevant indemnity), tort, negligence, breach of statutory duty or otherwise to the extent that any losses, damages and/or other costs and expenses claimed are in respect of loss of production, loss of business opportunity or are in respect of indirect loss of any nature suffered or alleged. For the avoidance of doubt, without limitation, the Parties

agree that for the purposes of this Contract the following costs, expenses and/or loss of income shall be direct recoverable losses (to include under any relevant indemnity) provided such costs, expenses and/or loss of income are properly evidenced by the claiming Party:

- 13.3.1 extra costs incurred purchasing replacement or alternative goods;
- 13.3.2 costs incurred in relation to any product recall;
- 13.3.3 costs associated with advising, screening, testing, treating, retreating or otherwise providing healthcare to patients;
- 13.3.4 the costs of extra management time; and/or
- 13.3.5 loss of income due to an inability to provide health care services,

in each case to the extent to which such costs, expenses and/or loss of income arise or result from the other Party's breach of contract, negligent act or omission, breach of statutory duty, and/or other liability under or in connection with this Contract.

13.4 Each Party shall at all times take all reasonable steps to minimise and mitigate any loss for which that Party is entitled to bring a claim against the other pursuant to this Contract.

13.5 If the total Contract Price paid or payable by the Authority to the Supplier over the Term:

- 13.5.1 is less than or equal to one million pounds (£1,000,000), then the figure of five million pounds (£5,000,000) at Clause 13.2 of this Schedule 2 of these Call-off Terms and Conditions shall be replaced with one million pounds (£1,000,000);
- 13.5.2 is less than or equal to three million pounds (£3,000,000) but greater than one million pounds (£1,000,000), then the figure of five million pounds (£5,000,000) at Clause 13.2 of this Schedule 2 of these Call-off Terms and Conditions shall be replaced with three million pounds (£3,000,000);
- 13.5.3 is equal to, exceeds or will exceed ten million pounds (£10,000,000), but is less than fifty million pounds (£50,000,000), then the figure of five million pounds (£5,000,000) at Clause 13.2 of this Schedule 2 of these Call-off Terms and Conditions shall be replaced with ten million pounds (£10,000,000) and the figure of one hundred and twenty five percent (125%) at Clause 13.2 of this Schedule 2 of these Call-off Terms and Conditions shall be deemed to have been deleted and replaced with one hundred and fifteen percent (115%); and
- 13.5.4 is equal to, exceeds or will exceed fifty million pounds (£50,000,000), then the figure of five million pounds (£5,000,000) at Clause 13.2 of

this Schedule 2 of these Call-off Terms and Conditions shall be replaced with fifty million pounds (£50,000,000) and the figure of one hundred and twenty five percent (125%) at Clause 13.2 of this Schedule 2 of these Call-off Terms and Conditions shall be deemed to have been deleted and replaced with one hundred and five percent (105%).

- 13.6 Clause 13 of this Schedule 2 of these Call-off Terms and Conditions shall survive the expiry of or earlier termination of this Contract for any reason.

14 Insurance

- 14.1 Subject to Clauses 14.2 and 14.3 of this Schedule 2 of these Call-off Terms and Conditions and unless otherwise confirmed in writing by the Authority, as a minimum level of protection, the Supplier shall put in place and/or maintain in force at its own cost with a reputable commercial insurer, insurance arrangements in respect of employer's liability, public liability and product liability in accordance with Good Industry Practice with (in each case) the minimum cover per claim of the greater of five million pounds (£5,000,000) or any sum as required by Law unless otherwise agreed with the Authority in writing. These requirements shall not apply to the extent that the Supplier is a member and maintains membership of each of the indemnity schemes run by the NHS Litigation Authority.
- 14.2 Without limitation to any insurance arrangements as required by Law, the Supplier shall put in place and/or maintain the different types and/or levels of indemnity arrangements specified in the Framework Agreement, if any.
- 14.3 Provided that the Supplier maintains all indemnity arrangements required by Law, the Supplier may self insure in order to meet other relevant requirements referred to at Clauses 14.1 and 14.2 of this Schedule 2 of these Call-off Terms and Conditions on condition that such self insurance arrangements offer the appropriate levels of protection and are approved by the Authority in writing prior to the Commencement Date.
- 14.4 The amount of any indemnity cover and/or self insurance arrangements shall not relieve the Supplier of any liabilities under this Contract. It shall be the responsibility of the Supplier to determine the amount of indemnity and/or self insurance cover that will be adequate to enable it to satisfy its potential liabilities under this Contract. Accordingly, the Supplier shall be liable to make good any deficiency if the proceeds of any indemnity cover and/or self insurance arrangement is insufficient to cover the settlement of any claim.
- 14.5 The Supplier warrants that it shall not take any action or fail to take any reasonable action or (in so far as it is reasonable and within its power) permit or allow others to take or fail to take any action, as a result of which its insurance cover may be rendered void, voidable, unenforceable, or be suspended or impaired in whole or in part, or which may otherwise render any sum paid out under such insurances repayable in whole or in part.

- 14.6 The Supplier shall from time to time and in any event within five (5) Business Days of written demand provide documentary evidence to the Authority that insurance arrangements taken out by the Supplier pursuant to Clause 14 of this Schedule 2 of these Call-off Terms and Conditions and/or the provisions of the Framework Agreement are fully maintained and that any premiums on them and/or contributions in respect of them (if any) are fully paid.
- 14.7 Upon the expiry or earlier termination of this Contract, the Supplier shall ensure that any ongoing liability it has or may have arising out of this Contract shall continue to be the subject of appropriate indemnity arrangements for the period of twenty one (21) years from termination or expiry of this Contract or until such earlier date as that liability may reasonably be considered to have ceased to exist.

15 Term and termination

- 15.1 This Contract shall commence on the Commencement Date and unless terminated earlier in accordance with the terms of this Contract or the general law, shall continue until the end of the Term.
- 15.2 The Authority shall:
- 15.2.1 subject to Clause 15.2.2 of this Schedule 2 of these Call-off Terms and Conditions, be entitled to extend the Term on one or more occasions by giving the Supplier written notice no less than three (3) months prior to the date on which this Contract would otherwise have expired, provided that the duration of this Contract shall be no longer than the total term referred to in the Key Provisions; or
 - 15.2.2 where the Term or any extension of the Term expires at a date the same as or after expiry of the Framework Agreement (including any extensions of the Framework Agreement in accordance with its terms), only be entitled to extend the Term with the prior written agreement of the Supplier, such agreement not to be unreasonably withheld or delayed.
- 15.3 In the case of a breach of any of the terms of this Contract by either Party that is capable of remedy (including, without limitation any breach of any KPI and, subject to Clause 9.9 of this Schedule 2 of these Call-Off Terms and Conditions, any breach of any payment obligations under this Contract), the non-breaching Party shall, without prejudice to its other rights and remedies under this Contract, issue a Breach Notice and shall allow the Party in breach the opportunity to remedy such breach in the first instance via a remedial proposal put forward by the Party in breach ("**Remedial Proposal**") before exercising any right to terminate this Contract in accordance with Clause 15.4.2 of this Schedule 2 of these Call-off Terms and Conditions. Such Remedial Proposal must be agreed with the non-breaching Party (such agreement not to be unreasonably withheld or delayed) and must be implemented by the Party in

breach in accordance with the timescales referred to in the agreed Remedial Proposal. Once agreed, any changes to a Remedial Proposal must be approved by the Parties in writing. Any failure by the Party in breach to:

- 15.3.1 put forward and agree a Remedial Proposal with the non-breaching Party in relation to the relevant default or breach within a period of ten (10) Business Days (or such other period as the non-breaching Party may agree in writing) from written notification of the relevant default or breach from the non-breaching Party;
- 15.3.2 comply with such Remedial Proposal (including, without limitation, as to its timescales for implementation, which shall be thirty (30) days unless otherwise agreed between the Parties); and/or
- 15.3.3 remedy the default or breach notwithstanding the implementation of such Remedial Proposal in accordance with the agreed timescales for implementation,

shall be deemed, for the purposes of Clause 15.4.2 of this Schedule 2 of these Call-off Terms and Conditions, a material breach of this Contract by the Party in breach not remedied in accordance with an agreed Remedial Proposal.

- 15.4 Either Party may terminate this Contract by issuing a Termination Notice to the other Party if such other Party commits a material breach of any of the terms of this Contract which is:

- 15.4.1 not capable of remedy; or
- 15.4.2 in the case of a breach capable of remedy, which is not remedied in accordance with a Remedial Proposal.

- 15.5 The Authority may terminate this Contract by issuing a Termination Notice to the Supplier:

- 15.5.1 if the Supplier, or any third party guaranteeing the obligations of the Supplier under this Contract, ceases or threatens to cease carrying on its business; suspends making payments on any of its debts or announces an intention to do so; is, or is deemed for the purposes of any Law to be, unable to pay its debts as they fall due or insolvent; enters into or proposes any composition, assignment or arrangement with its creditors generally; takes any step or suffers any step to be taken in relation to its winding-up, dissolution, administration (whether out of court or otherwise) or reorganisation (by way of voluntary arrangement, scheme of arrangement or otherwise) otherwise than as part of, and exclusively for the purpose of, a bona fide reconstruction or amalgamation; has a liquidator, trustee in bankruptcy, judicial custodian, compulsory manager, receiver, administrative receiver, administrator or similar officer appointed (in each case, whether out of court or otherwise) in respect of it or any

- of its assets; has any security over any of its assets enforced; or any analogous procedure or step is taken in any jurisdiction;
- 15.5.2 if the Supplier undergoes a change of control within the meaning of sections 450 and 451 of the Corporation Tax Act 2010 (other than for an intra-group change of control) without the prior written consent of the Authority and the Authority shall be entitled to withhold such consent if, in the reasonable opinion of the Authority, the proposed change of control will have a material impact on the performance of this Contract or the reputation of the Authority;
 - 15.5.3 if the Supplier purports to assign, subcontract, novate, create a trust in or otherwise transfer or dispose of this Contract in breach of Clause 32.1 of this Schedule 2 of these Call-off Terms and Conditions;
 - 15.5.4 pursuant to and in accordance with any termination rights set out in any Key Provisions and Clauses 1.5, 15.6, 27.8, 29.2, 29.4, 30.2 and 34.2 of this Schedule 2 of these Call-off Terms and Conditions;
 - 15.5.5 if the warranty given by the Supplier pursuant to Clause 10.7 of this Schedule 2 of these Call-off Terms and Conditions is materially untrue, the Supplier commits a material breach of its obligation to notify the Authority of any Occasion of Tax Non-Compliance as required by Clause 10.7 of this Schedule 2 of these Call-off Terms and Conditions, or the Supplier fails to provide details of proposed mitigating factors as required by Clause 10.7 of this Schedule 2 of these Call-off Terms and Conditions that in the reasonable opinion of the Authority are acceptable; or
 - 15.5.6 pursuant to and in accordance with any termination rights set out in the Data Protection Protocol, as applicable to this Contract.
- 15.6 If the Authority, acting reasonably, has good cause to believe that there has been a material deterioration in the financial circumstances of the Supplier and/or any third party guaranteeing the obligations of the Supplier under this Contract and/or any material Sub-contractor of the Supplier when compared to any information provided to and/or assessed by the Authority as part of any procurement process or other due diligence leading to the award of this Contract to the Supplier or the entering into a Sub-contract by the Supplier, the following process shall apply:
- 15.6.1 the Authority may (but shall not be obliged to) give notice to the Supplier requesting adequate financial or other security and/or assurances for due performance of its material obligations under this Contract on such reasonable and proportionate terms as the Authority may require within a reasonable time period as specified in such notice;

- 15.6.2 a failure or refusal by the Supplier to provide the financial or other security and/or assurances requested in accordance with Clause 15.6 of this Schedule 2 of these Call-off Terms and Conditions in accordance with any reasonable timescales specified in any such notice issued by the Authority shall be deemed a breach of this Contract by the Supplier and shall be referred to and resolved in accordance with the Dispute Resolution Procedure; and
- 15.6.3 a failure to resolve such breach in accordance with such Dispute Resolution Procedure by the end of the escalation stage of such process (as set out in Clause 26.3 of this Schedule 2 of these Call-off Terms and Conditions) shall entitle, but shall not compel, the Authority to terminate this Contract in accordance with Clause 15.4.1 of this Schedule 2 of these Call-off Terms and Conditions.

In order that the Authority may act reasonably in exercising its discretion in accordance with Clause 15.6 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier shall provide the Authority with such reasonable and proportionate up-to-date financial or other information relating to the Supplier or any relevant third party entity upon request.

- 15.7 The Authority may terminate this Contract by issuing a Termination Notice to the Supplier where:
 - 15.7.1 the Contract has been substantially amended to the extent that the Regulations require a new procurement procedure;
 - 15.7.2 the Authority has become aware that the Supplier should have been excluded under Regulation 57(1) or (2) of the Regulations from the procurement procedure leading to the award of the Contract; or
 - 15.7.3 there has been a failure by the Supplier and/or one of its Sub-contractors to comply with legal obligations in the fields of environmental, social or labour Law. Where the failure to comply with legal obligations in the fields of environmental, social or labour Law is a failure by one of the Supplier's Sub-contractors, the Authority may request the replacement of such Sub-contractor and the Supplier shall comply with such request as an alternative to the Authority terminating this Contract under this Clause 15.7.4.
- 15.8 If the Authority novates this Contract to any body that is not a Contracting Authority, from the effective date of such novation, the rights of the Authority to terminate this Contract in accordance with Clause 15.5.1 to Clause 15.5.3 of this Schedule 2 of these Call-off Terms and Conditions shall be deemed mutual termination rights and the Supplier may terminate this Contract by issuing a Termination Notice to the entity assuming the position of the Authority if any of the circumstances referred to in such Clauses apply to the entity assuming the position of the Authority.

16 Consequences of expiry or earlier termination of this Contract

- 16.1 Upon expiry or earlier termination of this Contract, the Authority agrees to pay the Supplier for the Goods which have been supplied by the Supplier and not rejected by the Authority in accordance with this Contract prior to expiry or earlier termination of this Contract.
- 16.2 The Supplier shall cooperate fully with the Authority or, as the case may be, any replacement supplier during any re-procurement and handover period prior to and following the expiry or earlier termination of this Contract. This cooperation shall extend to providing access to all information relevant to the operation of this Contract, as reasonably required by the Authority to achieve a fair and transparent re-procurement and/or an effective transition without disruption to routine operational requirements. Any Personal Data Processed by the Supplier on behalf of the Authority shall be returned to the Authority or destroyed in accordance with the relevant provisions of the Data Protection Protocol.
- 16.3 The expiry or earlier termination of this Contract for whatever reason shall not affect any rights or obligations of either Party which accrued prior to such expiry or earlier termination.
- 16.4 The expiry or earlier termination of this Contract shall not affect any obligations which expressly or by implication are intended to come into or continue in force on or after such expiry or earlier termination.
- 16.5 The expiry or earlier termination of the Framework Agreement shall not affect this Contract. For the avoidance of doubt, any obligations set out in the Framework Agreement that form part of this Contract shall continue to apply for the purposes of this Contract notwithstanding any termination of the Framework Agreement.

17 Suspension of Supplier's appointment

- 17.1 Without prejudice to the Authority's rights to terminate this Contract, if a right for the Authority to terminate this Contract arises (irrespective of whether the circumstances leading to such right are capable of remedy) in accordance with Clause 17 of this Schedule 2 of these Call-off Terms and Conditions, the Authority may suspend the Supplier's appointment under this Contract by giving notice in writing to the Supplier.
- 17.2 If the Authority provides notice to the Supplier in accordance with Clause 17.1 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier's appointment shall be suspended for the period set out in the notice or such other period notified to the Supplier by the Authority in writing from time to time provided that such suspension shall be lifted where:
 - 17.2.1 the circumstances leading to the Authority's right to terminate this Contract have been remedied;

- 17.2.2 the Authority has satisfied itself that the risk and/or impact of the circumstances giving rise to the Authority's right to terminate this Contract no longer requires such suspension; or
- 17.2.3 the Authority exercises its rights to terminate this Contract in accordance with Clause 17 of this Schedule 2 of these Call-off Terms and Conditions.

18 Packaging, identification and end of use

- 18.1 The Supplier shall comply with all obligations imposed on it by Law and Guidance and in accordance with Good Industry Practice relevant to the Goods in relation to packaging, identification, and obligations following end of use by the Authority.
- 18.2 Unless otherwise specified in the Specification or otherwise agreed with the Authority in writing, the Goods shall be securely packed in trade packages of a type normally used by the Supplier for commercial deliveries of the same or similar goods in the same quantities within the United Kingdom.
- 18.3 The Supplier shall comply with any labelling requirements in respect of Goods (a) specified in the Specification; (b) agreed with the Authority in writing; or (c) required to comply with Law and Guidance and, as a minimum, the following details shall be shown on the outside of every package:
 - 18.3.1 a description of the Goods which shall include, without limitation, the weight of the Goods where available and any order number allocated to the Goods by the Authority and/or the Supplier;
 - 18.3.2 the quantity in the package where available;
 - 18.3.3 any special directions for storage;
 - 18.3.4 the expiry date of the contents where applicable;
 - 18.3.5 the batch number; and
 - 18.3.6 the name and address of the manufacturer of the Goods and the Supplier.
- 18.4 The Supplier shall ensure that all Goods that are required by Law or Guidance to bear any safety information, environmental information, mark, tab, expiry date, batch number, seal, barcode, brand, label, serial numbers or other device indicating place of origin, inspection by any government or other body or standard of quality at the point such Goods are delivered shall comply with such requirements.
- 18.5 Unless otherwise set out in the Specification or agreed with the Authority in writing, the Supplier shall collect without charge any returnable containers and/or packages (including pallets) within twenty one (21) days of the date of

the relevant delivery. Empty containers and/or packages not so removed may be returned by the Authority at the Supplier's expense or otherwise disposed of at the Authority's discretion. The Supplier shall credit the Authority in full for any containers for which the Authority has been charged upon their collection or return and/or disposal by the Authority in accordance with this Clause 18 of this Schedule 2 of these Call-off Terms and Conditions.

19 Coding requirements

- 19.1 Unless otherwise confirmed and/or agreed by the Authority in writing and subject to Clause 19.1 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier shall ensure full compliance with any Guidance issued by the Department of Health and Social Care in relation to adoption of GS1 and PEPPOL standards (to include without limitation any supplier compliance timeline and other policy requirements published by the Department of Health and Social Care in relation to the adoption of GS1 and PEPPOL standards for master data provision and exchange, barcode labelling and purchase-to-pay transacting).
- 19.2 Once compliance with any published timelines has been achieved by the Supplier pursuant to Clause 19.1 of this Schedule 2 of these Call-off Terms and Conditions the Supplier shall, during the Term, maintain the required level of compliance relating to the Goods in accordance with any requirements and Guidance referred to as part of this Contract.
- 19.3 Once product information relating to Goods is placed by the Supplier into a GS1 certified data pool, the Supplier shall, during the Term, keep such information updated with any changes to the product data relating to the Goods.

20 Modern slavery and environmental, social and labour laws

Environmental, social and labour law requirements

- 20.1 The Supplier shall comply in all material respects with applicable environmental and social and labour Law requirements in force from time to time in relation to the Goods. Where the provisions of any such Law are implemented by the use of voluntary agreements, the Supplier shall comply with such agreements as if they were incorporated into English law subject to those voluntary agreements being cited in the Specification. Without prejudice to the generality of the foregoing, the Supplier shall:
 - 20.1.1 comply with all Policies and/or procedures and requirements set out in the Specification in relation to any stated environmental, social and labour requirements, characteristics and impacts of the Goods and the Supplier's supply chain;
 - 20.1.2 maintain relevant policy statements documenting the Supplier's significant labour, social and environmental aspects as relevant to

the Goods being supplied and as proportionate to the nature and scale of the Supplier's business operations; and

- 20.1.3 maintain plans and procedures that support the commitments made as part of the Supplier's significant labour, social and environmental policies, as referred to at Clause 20.1.2 of this Schedule 2 of these Call-off Terms and Conditions.

Modern slavery

- 20.2 The Supplier shall, and shall procure that each of its Sub-contractors shall, comply with:

- 20.2.1 the Modern Slavery Act 2015 ("**Slavery Act**"); and

- 20.2.2 the Authority's anti-slavery policy as provided to the Supplier by the Authority from time to time ("**Anti-Slavery Policy**").

- 20.3 The Supplier shall:

- 20.3.1 implement due diligence procedures for its Sub-contractors and other participants in its supply chains in accordance with Good Industry Practice with the aim of avoiding slavery or trafficking in its supply chains;

- 20.3.2 respond promptly to all slavery and trafficking due diligence questionnaires issued to it by the Authority from time to time and shall ensure that its responses to all such questionnaires are complete and accurate;

- 20.3.3 upon request from the Authority, prepare and deliver to the Authority each year, an annual slavery and trafficking report setting out the steps it has taken to ensure that slavery and trafficking is not taking place in any of its supply chains or in any part of its business;

- 20.3.4 maintain a complete set of records to trace the supply chain of all goods and services purchased and/or supplied by the Supplier in connection with all contracts or framework agreements with the Authority;

- 20.3.5 implement a system of training for its employees to ensure compliance with the Slavery Act; and

20.3.6 ensure that any Sub-contracts contain anti-slavery provisions consistent with the Supplier's obligations under Clause 20 of this Schedule 2 of these Call-off Terms and Conditions.

20.4 The Supplier undertakes on an ongoing basis that:

20.4.1 it conducts its business in a manner consistent with all applicable Laws including the Slavery Act and all analogous legislation in place in any part of the world in which its supply chain operates;

20.4.2 its responses to all slavery and trafficking due diligence questionnaires issued to it by the Authority from time to time are complete and accurate; and

20.4.3 neither the Supplier nor any of its Sub-contractors, nor any other persons associated with it (including any Staff):

(i) has been convicted of any offence involving slavery or trafficking; or

(ii) has been, or is currently, the subject of any investigation, inquiry or enforcement proceedings by any governmental, administrative or regulatory body relating to any offence committed regarding slavery or trafficking,

not already notified to the Authority in writing in accordance with Clause 20.5 of this Schedule 2 of these Call-off Terms and Conditions.

20.5 The Supplier shall notify the Authority as soon as it becomes aware of:

20.5.1 any breach, or potential breach, of the Anti-Slavery Policy; or

20.5.2 any actual or suspected slavery or trafficking in its supply chain.

20.6 If the Supplier notifies the Authority pursuant to Clause 20.5 of this Schedule 2 of these Call-off Terms and Conditions, it shall respond promptly to the Authority's enquiries, co-operate with any investigation, and allow the Authority to audit any books, premises, facilities, records and/or any other relevant documentation in accordance with this Contract.

20.7 If the Supplier is in breach of Clause 20.3 or the undertaking at Clause 20.4 of this Schedule 2 of these Call-off Terms and Conditions in addition to its other rights and remedies provided under this Contract, the Authority may:

20.7.1 by written notice require the Supplier to remove from performance of any contract or framework agreement with the Authority (including this Contract) any Sub-contractor, Staff or other persons associated with it whose acts or omissions have caused the breach; or

20.7.2 terminate this Contract by issuing a Termination Notice to the Supplier.

Further corporate social responsibility requirements

20.8 The Supplier shall comply with any further corporate social responsibility requirements set out in the Specification.

Provision of further information

20.9 The Supplier shall meet reasonable requests by the Authority for information evidencing the Supplier's compliance with the provisions of Clause 20 of this Schedule 2 of these Call-off Terms and Conditions. For the avoidance of doubt, the Authority may audit the Supplier's compliance with Clause 20 of this Schedule 2 of these Call-off Terms and Conditions in accordance with Clause 28 of this Schedule 2 of these Call-off Terms and Conditions.

21 Electronic product information

21.1 Where requested by the Authority, the Supplier shall provide the Authority the Product Information in such manner and upon such media as agreed between the Supplier and the Authority from time to time for the sole use by the Authority.

21.2 The Supplier warrants that the Product Information is complete and accurate as at the date upon which it is delivered to the Authority and that the Product Information shall not contain any data or statement which gives rise to any liability on the part of the Authority following publication of the same in accordance with Clause 21 of this Schedule 2 of these Call-off Terms and Conditions.

21.3 If the Product Information ceases to be complete and accurate, the Supplier shall promptly notify the Authority in writing of any modification or addition to or any inaccuracy or omission in the Product Information.

21.4 The Supplier grants the Authority a perpetual, non-exclusive, royalty free licence to use and exploit the Product Information and any Intellectual Property Rights in the Product Information for the purpose of illustrating the range of goods and services (including, without limitation, the Goods) available pursuant to the Authority's contracts from time to time. Subject to Clause 21.5 of this Schedule 2 of these Call-off Terms and Conditions, no obligation to illustrate or advertise the Product Information is imposed on the Authority, as a consequence of the licence conferred by this Clause 21.4 of this Schedule 2 of these Call-off Terms and Conditions.

- 21.5 The Authority may reproduce for its sole use the Product Information provided by the Supplier in the Authority's product catalogue from time to time which may be made available on any NHS communications networks in electronic format and/or made available on the Authority's external website and/or made available on other digital media from time to time.
- 21.6 Before any publication of the Product Information (electronic or otherwise) is made by the Authority, the Authority will submit a copy of the relevant sections of the Authority's product catalogue to the Supplier for approval, such approval not to be unreasonably withheld or delayed. For the avoidance of doubt the Supplier shall have no right to compel the Authority to exhibit the Product Information in any product catalogue as a result of the approval given by it pursuant to this Clause 21.6 of this Schedule 2 of these Call-off Terms and Conditions or otherwise under the terms of this Contract.
- 21.7 The Supplier shall indemnify the Authority from against all costs, claims, charges, demands, liabilities, damages, losses and expenses incurred or suffered by the Authority arising out of or in connection with the Product Information save for where this is a result of the Authority's wilful or negligent misrepresentation of the Product Information.
- 21.8 If requested in writing by the Authority, and to the extent not already agreed as part of the Specification or otherwise under Clause 2.4 of Schedule 2 of these Call-off Terms and Conditions, the Supplier and the Authority shall discuss and seek to agree in good faith arrangements to use any Electronic Trading System.

22 Sales information

- 22.1 If requested by the Authority, the Supplier shall provide the Authority with statements giving accurate and complete details of the quantity and value of the Goods supplied by the Supplier to the Authority pursuant to this Contract. The frequency, format and level of detail to be included in such statements shall be as specified by the Authority in the Order (or, if no such description is set out in or attached to the Order, as set out in or attached to any documentation inviting the Supplier to tender for the appointment to supply the Goods.
- 22.2 The Supplier shall keep at its normal place of business detailed, accurate and up to date records of the amount and value of the Goods sold by it to any Authority on or after the date of this Contract and pursuant to this Contract together with accurate details of the identity of the Authority to which such goods were sold. Subject to any other auditing process being agreed between the Authority and the Supplier the Authority shall be entitled on reasonable notice to enter the Supplier's premises during normal office hours and to inspect such records in order to verify whether any statement supplied by the Supplier to the Authority pursuant to Clause 22.1 of this Schedule 2 of these Call-off Terms and Conditions is accurate and complete.

23 Accidents and Untoward Incidents

- 23.1 When delivering the Goods at the Authority's premises, the Supplier shall procure that its employees are aware of the nature of the hospitals/units and NHS Trusts and other such bodies and the special care they should have for patients generally.
- 23.2 The Supplier is responsible for instituting a safe system of working in these circumstances and should take particular care that vehicles or equipment are not left open or unattended. In the event of an accident or an untoward incident the Supplier and/or his employee(s) will be required to submit a report of the occurrence to the authorised officer.

24 Training

- 24.1 If requested by the Authority, the Supplier shall as soon as reasonably practicable and at the Supplier's expense provide reasonable assistance to the Authority in the training of such persons as the Authority may reasonably specify in the use of the Goods.

25 Change management

- 25.1 The Supplier acknowledges to the Authority that the Authority's requirements for the Goods may change during the Term and the Supplier shall not unreasonably withhold or delay its consent to any reasonable variation or addition to the Specification, as may be requested by the Authority from time to time.
- 25.2 Subject to Clause 25.3 below, any change to the Goods or other variation to this Contract shall only be binding once it has been agreed in writing and signed by an authorised representative of both Parties.
- 25.3 Any change to the Data Protection Protocol shall be made in accordance with the relevant provisions of that protocol.
- 25.4 The Supplier shall neither be relieved of its obligations to supply the Goods in accordance with the terms and conditions of this Contract nor be entitled to an increase in the Contract Price as the result of:
- 25.4.1 a General Change in Law; or
 - 25.4.2 a Specific Change in Law where the effect of that Specific Change in Law is reasonably foreseeable at the Commencement Date.

26 Dispute resolution

- 26.1 During any Dispute, including a Dispute as to the validity of this Contract, it is agreed that the Supplier shall continue its performance of the provisions of the Contract (unless the Authority requests in writing that the Supplier does not do so).
- 26.2 In the case of a Dispute arising out of or in connection with this Contract the Supplier and the Authority shall make every reasonable effort to communicate and cooperate with each other with a view to resolving the Dispute and follow the procedure set out in Clause 26.3 of this Schedule 2 of these Call-off Terms and Conditions as the first stage of the Dispute Resolution Procedure
- 26.3 If any Dispute arises out of the Contract either Party may serve a notice on the other Party to commence formal resolution of the Dispute. The Parties shall first seek to resolve the Dispute by escalation in accordance with the management levels as set out in Clause 6 of the Key Provisions. Respective representatives at each level, as set out in Clause 6 of the Key Provisions, shall have five (5) Business Days at each level during which they will use their reasonable endeavours to resolve the Dispute before escalating the matter to the next level until all levels have been exhausted. Level 1 will commence on the date of service of the Dispute Notice. The final level of the escalation process shall be deemed exhausted on the expiry of five (5) Business Days following escalation to that level unless otherwise agreed by the Parties in writing.
- 26.4 If the procedure set out in Clause 26.3 of this Schedule 2 of these Call-off Terms and Conditions has been exhausted and fails to resolve such Dispute, as part of the Dispute Resolution Procedure, the Parties will attempt to settle it by mediation. The Parties shall, acting reasonably, attempt to agree upon a mediator. In the event that the Parties fail to agree a mediator within five (5) Business Days following the exhaustion of all levels of the escalation procedure at Clause 26 of this Schedule 2 of these Call-off Terms and Conditions, the mediator shall be nominated and confirmed by the Centre for Effective Dispute Resolution, London.
- 26.5 The mediation shall commence within twenty eight (28) days of the confirmation of the mediator in accordance with Clause 26.4 of this Schedule 2 of these Call-off Terms and Conditions or at such other time as may be agreed by the Parties in writing. Neither Party will terminate such mediation until each Party has made its opening presentation and the mediator has met each Party separately for at least one hour or one Party has failed to participate in the mediation process. After this time, either Party may terminate the mediation process by notification to the other party (such notification may be verbal provided that it is followed up by written confirmation). The Authority and the Supplier will cooperate with any person appointed as mediator providing them with such information and other assistance as they shall require and will pay their costs, as they shall determine or in the absence of such determination such costs will be shared equally.
- 26.6 Nothing in this Contract shall prevent:

- 26.6.1 the Authority taking action in any court in relation to any death or personal injury arising or allegedly arising in connection with the supply of the Goods; or
 - 26.6.2 either Party seeking from any court any interim or provisional relief that may be necessary to protect the rights or property of that Party or that relates to the safety of patients or the security of Confidential Information, pending resolution of the relevant Dispute in accordance with the Dispute Resolution Procedure.
- 26.7 Clause 26 of this Schedule 2 of these Call-off Terms and Conditions shall survive the expiry of or earlier termination of this Contract for any reason.

27 Force majeure

- 27.1 Subject to Clause 27.2 of this Schedule 2 of these Call-off Terms and Conditions neither Party shall be liable to the other for any failure to perform all or any of its obligations under this Contract nor liable to the other Party for any loss or damage arising out of the failure to perform its obligations to the extent only that such performance is rendered impossible by a Force Majeure Event.
- 27.2 The Supplier shall only be entitled to rely on a Force Majeure Event and the relief set out in Clause 27 of this Schedule 2 of these Call-off Terms and Conditions and will not be considered to be in default or liable for breach of any obligations under this Contract if:
- 27.2.1 the Supplier has fulfilled its obligations pursuant to Clause 6 of this Schedule 2 of these Call-off Terms and Conditions;
 - 27.2.2 the Force Majeure Event does not arise directly or indirectly as a result of any wilful or negligent act or default of the Supplier; and
 - 27.2.3 the Supplier has complied with the procedural requirements set out in Clause 27 of this Schedule 2 of these Call-off Terms and Conditions.
- 27.3 Where a Party is (or claims to be) affected by a Force Majeure Event it shall use reasonable endeavours to mitigate the consequences of such a Force Majeure Event upon the performance of its obligations under this Contract and to resume the performance of its obligations affected by the Force Majeure Event as soon as practicable.
- 27.4 Where the Force Majeure Event affects the Supplier's ability to perform part of its obligations under the Contract the Supplier shall fulfil all such contractual obligations that are not so affected and shall not be relieved from its liability to do so.
- 27.5 If either Party is prevented or delayed in the performance of its obligations under this Contract by a Force Majeure Event, that Party shall as soon as reasonably practicable serve notice in writing on the other Party specifying the nature and

extent of the circumstances giving rise to its failure to perform or any anticipated delay in performance of its obligations.

- 27.6 Subject to service of such notice, the Party affected by such circumstances shall have no liability for its failure to perform or for any delay in performance of its obligations affected by the Force Majeure Event only for so long as such circumstances continue and for such time after they cease as is necessary for that Party, using its best endeavours, to recommence its affected operations in order for it to perform its obligations.
- 27.7 The Party claiming relief shall notify the other in writing as soon as the consequences of the Force Majeure Event have ceased and of when performance of its affected obligations can be resumed.
- 27.8 If the Supplier is prevented from performance of its obligations as a result of a Force Majeure Event, the Authority may at any time if the Force Majeure Event subsists for thirty (30) days or more, terminate this Contract by issuing a Termination Notice to the Supplier.
- 27.9 Following such termination in accordance with Clause 27.8 of this Schedule 2 of these Call-off Terms and Conditions and subject to Clause 27.10 of this Schedule 2 of these Call-off Terms and Conditions, neither Party shall have any liability to the other.
- 27.10 Any rights and liabilities of either Party which have accrued prior to such termination in accordance with Clause 27.8 of this Schedule 2 of these Call-off Terms and Conditions shall continue in full force and effect unless otherwise specified in this Contract.

28 Records retention and right of audit

- 28.1 Subject to any statutory requirement and Clause 28.2 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier shall keep secure and maintain for the Term and six (6) years afterwards, or such longer period as may be agreed between the Parties, full and accurate records of all matters relating to this Contract.
- 28.2 Where any records could be relevant to a claim for personal injury such records shall be kept secure and maintained for a period of twenty one (21) years from the date of expiry or earlier termination of this Contract.
- 28.3 The Authority shall have the right to audit the Supplier's compliance with this Contract. The Supplier shall permit or procure permission for the Authority or its authorised representative during normal business hours having given advance written notice of no less than five (5) Business Days, access to any premises and facilities, books and records reasonably required to audit the Supplier's compliance with its obligations under this Contract.
- 28.4 Should the Supplier sub-contract any of its obligations under this Contract, the Authority shall have the right to audit and inspect such third party. The Supplier

shall procure permission for the Authority or its authorised representative during normal business hours no more than once in any twelve (12) months, having given advance written notice of no less than five (5) Business Days, access to any premises and facilities, books and records used in the performance of the Supplier's obligations under this Contract that are sub-contracted to such third party. The Supplier shall cooperate with such audit and inspection and accompany the Authority or its authorised representative if requested.

- 28.5 The Supplier shall grant to the Authority or its authorised representative, such access to those records as they may reasonably require in order to check the Supplier's compliance with this Contract for the purposes of:

28.5.1 the examination and certification of the Authority's accounts; or

28.5.2 any examination pursuant to section 6(1) of the National Audit Act 1983 of the economic efficiency and effectiveness with which the Authority has used its resources.

- 28.6 The Comptroller and Auditor General may examine such documents as they may reasonably require which are owned, held or otherwise within the control of the Supplier and may require the Supplier to provide such oral and/or written explanations as they consider necessary. Clause 28 of this Schedule 2 of these Call-off Terms and Conditions does not constitute a requirement or agreement for the examination, certification or inspection of the accounts of the Supplier under sections 6(3)(d) and 6(5) of the National Audit Act 1983.

- 28.7 The Supplier shall provide reasonable cooperation to the Authority, its representatives and any regulatory body in relation to any audit, review, investigation or enquiry carried out in relation to the subject matter of this Contract.

- 28.8 The Supplier shall provide all reasonable information as may be reasonably requested by the Authority to evidence the Supplier's compliance with the requirements of this Contract.

29 Conflicts of interest and the prevention of fraud

- 29.1 The Supplier shall take appropriate steps to ensure that neither the Supplier nor any Staff are placed in a position where, in the reasonable opinion of the Authority, there is or may be an actual conflict, or a potential conflict, between the pecuniary or personal interests of the Supplier and the duties owed to the Authority under the provisions of this Contract. The Supplier will disclose to the Authority full particulars of any such conflict of interest which may arise.

- 29.2 The Authority reserves the right to terminate this Contract immediately by notice in writing and/or to take such other steps it deems necessary where, in the reasonable opinion of the Authority, there is or may be an actual conflict, or a potential conflict, between the pecuniary or personal interests of the Supplier and the duties owed to the Authority under the provisions of this Contract. The

actions of the Authority pursuant to this Clause 29.2 of this Schedule 2 of these Call-off Terms and Conditions shall not prejudice or affect any right of action or remedy which shall have accrued or shall subsequently accrue to the Authority.

- 29.3 The Supplier shall take all reasonable steps to prevent Fraud by Staff and the Supplier (including its owners, members and directors). The Supplier shall notify the Authority immediately if it has reason to suspect that any Fraud has occurred or is occurring or is likely to occur.
- 29.4 If the Supplier or its Staff commits Fraud the Authority may terminate this Contract and recover from the Supplier the amount of any direct loss suffered by the Authority resulting from the termination.

30 Equality and human rights

- 30.1 The Supplier shall:

30.1.1 ensure that (a) it does not, whether as employer or as supplier of the Goods, and any associated services engage in any act or omission that would contravene the Equality Legislation, and (b) it complies with all its obligations as an employer or supplier of the Goods and any associated services as set out in the Equality Legislation and take reasonable endeavours to ensure its Staff do not unlawfully discriminate within the meaning of the Equality Legislation;

30.1.2 in the management of its affairs and the development of its equality and diversity policies, cooperate with the Authority in light of the Authority's obligations to comply with its statutory equality duties whether under the Equality Act 2010 or otherwise. The Supplier shall take such reasonable and proportionate steps as the Authority considers appropriate to promote equality and diversity, including race equality, equality of opportunity for disabled people, gender equality, and equality relating to religion and belief, sexual orientation and age; and

30.1.3 the Supplier shall impose on all its Sub-contractors and suppliers, obligations substantially similar to those imposed on the Supplier by Clause 30 of this Schedule 2 of these Call-off Terms and Conditions.

- 30.2 If the Supplier fails to comply with the provisions of Clause 30.1 and/or contravenes the Equality Legislation, the Authority may terminate this Framework Agreement and recover from the Supplier the amount of any direct loss suffered by the Authority resulting from the termination.

- 30.3 The Supplier shall indemnify the Authority against all costs, claims, charges, demands, liabilities, damages, losses and expenses incurred or suffered by the Authority arising out of or in connection with any investigation conducted or any proceedings brought under the Equality Legislation due directly or indirectly to any act or omission by the Supplier, its agents, employees or sub-contractors.

- 30.4 The Supplier shall meet reasonable requests by the Authority for information evidencing the Supplier's compliance with the provisions of Clause 30 of this Schedule 2 of these Call-off Terms and Conditions.

31 Notice

- 31.1 Subject to Clause 27.5 of Schedule 2 of these Call-off Terms and Conditions, any notice required to be given by either Party under this Contract shall be in writing quoting the date of the Contract and shall be delivered by hand or sent by recorded delivery or by email to the person referred to in the Order Form or such other person as one Party may inform the other Party in writing from time to time or to a director of the relevant Party at the head office, main UK office or registered office of such Party.
- 31.2 The Authority may (but is not obliged to) serve any notices served under this Contract on the Supplier by sending a message to the Supplier through the portal used for the procurement and/or management of this Order (as such portal is designated from time to time for that purpose by the Authority) to the account details on that portal by the Supplier from time to time for the purposes of receiving such notices or communications relating to this Order.
- 31.3 A notice shall be treated as having been received:
- 31.3.1 if delivered by hand within normal business hours when so delivered or, if delivered by hand outside normal business hours, at the next start of normal business hours; or
 - 31.3.2 if sent by recorded delivery mail on a normal Business Day, at 9.00 am on the second Business Day subsequent to the day of posting, or, if the notice was not posted on a Business Day, at 9.00 am on the third Business Day subsequent to the day of posting;
 - 31.3.3 if sent by email, if sent within normal business hours when so sent or, if sent outside normal business hours, at the next start of normal business hours provided the sender has either received an electronic confirmation of delivery or has telephoned the recipient to inform the recipient that the email has been sent; or
 - 31.3.4 if sent on the portal in accordance with Clause 31.2 and sent within normal business hours when so sent or, if sent outside normal business hours, at the next start of normal business hours.

32 Assignment, novation and sub-contracting

- 32.1 In this Clause 32 of this Schedule 2 of these Call-off Terms and Conditions, a "**Sub-contract**" means a contract between two or more suppliers, at any stage of remoteness from the Authority in a sub-contracting chain, made wholly or substantially for the purpose of performing (or contributing to the performance of) the whole or any part of this Contract.

- 32.2 The Supplier shall not, except where Clause 32.3 of this Schedule 2 of these Call-off Terms and Conditions applies, assign, sub-contract, novate, create a trust in, or in any other way dispose of the whole or any part of this Contract without the prior consent in writing of the Authority, such consent not to be unreasonably withheld or delayed. If the Supplier sub-contracts any of its obligations under this Contract, every act or omission of the Sub-contractor shall for the purposes of this Contract be deemed to be the act or omission of the Supplier and the Supplier shall be liable to the Authority as if such act or omission had been committed or omitted by the Supplier itself.
- 32.3 Notwithstanding Clause 32.1 of this Schedule 2 of these Call-off Terms and Conditions, the Supplier may assign to a third party ("**Assignee**") the right to receive payment of any sums due and owing to the Supplier under this Contract for which an invoice has been issued. Any assignment under this Clause 32.3 of this Schedule 2 of these Call-off Terms and Conditions shall be subject to:
- 32.3.1 the deduction of any sums in respect of which the Authority exercises its right of recovery under Clause 9.9 of this Schedule 2 of these Call-off Terms and Conditions;
 - 32.3.2 all related rights of the Authority in relation to the recovery of sums due but unpaid;
 - 32.3.3 the Authority receiving notification of the assignment and the date upon which the assignment becomes effective together with the Assignee's contact information and bank account details to which the Authority shall make payment;
 - 32.3.4 the provisions of Clause 9 of this Schedule 2 of these Call-off Terms and Conditions continuing to apply in all other respects after the assignment which shall not be amended without the prior written approval of the Authority; and
 - 32.3.5 payment to the Assignee being full and complete satisfaction of the Authority's obligation to pay the relevant sums in accordance with this Contract.
- 32.4 Any authority given by the Authority for the Supplier to sub-contract any of its obligations under this Contract shall not impose any duty on the Authority to enquire as to the competency of any authorised Sub-contractor. The Supplier shall ensure that any authorised Sub-contractor has the appropriate capability and capacity to perform the relevant obligations and that the obligations carried out by such Sub-contractor are fully in accordance with this Contract.
- 32.5 Where the Supplier enters into a Sub-contract in respect of any of its obligations under this Contract relating to the manufacture, supply, delivery or installation of or training in relation to the Goods, the Supplier shall include provisions in each such Sub-contract, unless otherwise agreed with the Authority in writing, which:

- 32.5.1 contain at least equivalent obligations as set out in this Contract in relation to such manufacture, supply, delivery or installation of or training in relation to the Goods to the extent relevant to such sub-contracting;
- 32.5.2 contain at least equivalent obligations as set out in this Contract in respect of confidentiality, information security, data protection, Intellectual Property Rights, compliance with Law and Guidance and record keeping;
- 32.5.3 contain a prohibition on the Sub-contractor sub-contracting, assigning or novating any of its rights or obligations under such Sub-contract without the prior written approval of the Authority (such approval not to be unreasonably withheld or delayed);
- 32.5.4 contain a right for the Authority to take an assignment or novation of the Sub-contract (or part of it) upon expiry or earlier termination of this Contract;
- 32.5.5 requires the Supplier or other party receiving goods under the contract to consider and verify invoices under that contract in a timely fashion;
- 32.5.6 provides that if the Supplier or other party fails to consider and verify an invoice in accordance with Clause 32.5.5 of this Schedule 2 of these Call-off Terms and Conditions, the invoice shall be regarded as valid and undisputed for the purpose of Clause 32.5.7 of this Schedule 2 of these Call-off Terms and Conditions after a reasonable time has passed;
- 32.5.7 requires the Supplier or other party to pay any undisputed sums which are due from it to the Sub-contractor within a specified period not exceeding thirty (30) days of verifying that the invoice is valid and undisputed;
- 32.5.8 permitting the Supplier to terminate, or procure the termination of, the relevant Sub-contract in the event the Sub-contractor fails to comply in the performance of its Sub-contract with legal obligations in the fields of environmental, social or labour Law where the Supplier is required to replace such Sub-contractor in accordance with Clause 15.7.3 of this Schedule 2 of these Call-off Terms and Conditions;
- 32.5.9 permitting the Supplier to terminate, or procure the termination of, the relevant Sub-contract where the Supplier is required to replace such Sub-contractor in accordance with Clause 32.6 of this Schedule 2 of these Call-off Terms and Conditions; and

- 32.5.10 requires the Sub-contractor to include a clause to the same effect as this Clause 32.5 of this Schedule 2 of these Call-off Terms and Conditions in any Sub-contract which it awards.
- 32.6 Where the Authority considers the grounds for exclusion under Regulation 57 of the Regulations apply to any Sub-contractor then:
- 32.6.1 if the Authority finds there are compulsory grounds for exclusion, the Supplier shall ensure, or shall procure, that such Sub-contractor is replaced or not appointed; or
- 32.6.2 if the Authority finds there are non-compulsory grounds for exclusion, the Authority may require the Supplier to ensure, or to procure, that such Sub-contractor is replaced or not appointed and the Supplier shall comply with such a requirement.
- 32.7 The Supplier shall pay any undisputed sums which are due from it to a Sub-contractor within thirty (30) days of verifying that the invoice is valid and undisputed. Where the Authority pays the Supplier's valid and undisputed invoices earlier than thirty (30) days from verification in accordance with any applicable government prompt payment targets, the Supplier shall use its reasonable endeavours to pay its relevant Sub-contractors within a comparable timeframe from verifying that an invoice is valid and undisputed.
- 32.8 The Authority shall upon written request have the right to review any Sub-contract entered into by the Supplier in respect of the provision of the Goods and the Supplier shall provide a certified copy of any Sub-contract within five (5) Business Days of the date of a written request from the Authority. For the avoidance of doubt, the Supplier shall have the right to redact any confidential pricing information in relation to such copies of Sub-contracts.
- 32.9 The Supplier shall also include in every Sub-contract:
- 32.9.1 a right for the Supplier to terminate that Sub-contract if the relevant Sub-contractor fails to comply in the performance of its contract with legal obligations in the fields of environmental, social or labour law; and
- 32.9.2 a requirement that the Sub-contractor includes a provision having the same effect as Clause 32.9.1 of this Schedule 2 of these Call-off Terms and Conditions in any Sub-contract which it awards.
- 32.10 The Authority may at any time transfer, assign, novate, sub-contract or otherwise dispose of its rights and obligations under this Contract or any part of this Contract and the Supplier warrants that it will carry out all such reasonable further acts required to effect such transfer, assignment, novation, sub-contracting or disposal. If the Authority novates this Contract to any body that is not a Contracting Authority, from the effective date of such novation, the party assuming the position of the Authority shall not further transfer, assign,

novate, sub-contract or otherwise dispose of its rights and obligations under this Contract or any part of this Contract without the prior written consent of the Supplier, such consent not to be unreasonably withheld or delayed by the Supplier.

33 Other participants

- 33.1 The Authority reserves the right to include within the Contract the requirements of any other healthcare establishments outside the boundaries of the National Health Service (such healthcare establishments being as specified in the Contract) and the Supplier shall be required to supply the Goods on the same terms as quoted in the Contract it being the intention of the Parties that Goods supplied hereunder are for consumption and not for resale only by such other healthcare establishments as are referred to in the relevant Order. The Supplier will only be required to make a delivery to any such other healthcare establishment outside of the UK by separate agreement with the Authority.

34 Prohibited Acts

- 34.1 The Supplier warrants and represents that:

34.1.1 it has not committed any offence under the Bribery Act 2010 or done any of the following ("**Prohibited Acts**"):

- (i) offered, given or agreed to give any officer or employee of the Authority any gift or consideration of any kind as an inducement or reward for doing or not doing or for having done or not having done any act in relation to the obtaining or performance of this or any other agreement with the Authority or for showing or not showing favour or disfavour to any person in relation to this or any other agreement with the Authority; or
- (ii) in connection with this Contract paid or agreed to pay any commission other than a payment, particulars of which (including the terms and conditions of the agreement for its payment) have been disclosed in writing to the Authority; and

34.1.2 it has in place adequate procedures to prevent bribery and corruption, as contemplated by section 7 of the Bribery Act 2010.

- 34.2 If the Supplier or its Staff (or anyone acting on its or their behalf) has done or does any of the Prohibited Acts or has committed or commits any offence under the Bribery Act 2010 with or without the knowledge of the Supplier in relation to this or any other agreement with the Authority:

34.2.1 the Authority shall be entitled:

- (i) to terminate this Contract and recover from the Supplier the amount of any loss resulting from the termination;

- (ii) to recover from the Supplier the amount or value of any gift, consideration or commission concerned; and
 - (iii) to recover from the Supplier any other loss or expense sustained in consequence of the carrying out of the Prohibited Act or the commission of the offence under the Bribery Act 2010;
- 34.2.2 any termination under Clause 34.2.1 of this Schedule 2 of these Call-off Terms and Conditions shall be without prejudice to any right or remedy that has already accrued, or subsequently accrues, to the Authority; and
- 34.2.3 notwithstanding Clause 26 of this Schedule 2 of these Call-off Terms and Conditions, any dispute relating to:
 - (i) the interpretation of Clause 34 of this Schedule 2 of these Call-off Terms and Conditions; or
 - (ii) the amount or value of any gift, consideration or commission,shall be determined by the Authority, acting reasonably, and the decision shall be final and conclusive.

35 General

- 35.1 Each of the Parties is independent of the other and nothing contained in this Contract shall be construed to imply that there is any relationship between the Parties of partnership or of principal/agent or of employer/employee nor are the Parties hereby engaging in a joint venture and accordingly neither of the Parties shall have any right or authority to act on behalf of the other nor to bind the other by agreement or otherwise, unless expressly permitted by the terms of this Contract.
- 35.2 Failure or delay by either Party to exercise an option or right conferred by this Contract shall not of itself constitute a waiver of such option or right.
- 35.3 The delay or failure by either Party to insist upon the strict performance of any provision, term or condition of this Contract or to exercise any right or remedy consequent upon such breach shall not constitute a waiver of any such breach or any subsequent breach of such provision, term or condition.
- 35.4 Any provision of this Contract which is held to be invalid or unenforceable in any jurisdiction shall be ineffective to the extent of such invalidity or unenforceability without invalidating or rendering unenforceable the remaining provisions of this Contract and any such invalidity or unenforceability in any jurisdiction shall not invalidate or render unenforceable such provisions in any other jurisdiction.

- 35.5 Each Party acknowledges and agrees that it has not relied on any representation, warranty or undertaking (whether written or oral) in relation to the subject matter of this Contract and therefore irrevocably and unconditionally waives any rights it may have to claim damages against the other Party for any misrepresentation or undertaking (whether made carelessly or not) or for breach of any warranty unless the representation, undertaking or warranty relied upon is set out in this Contract or unless such representation, undertaking or warranty was made fraudulently.
- 35.6 Each Party shall bear its own expenses in relation to the preparation and execution of this Contract including all costs, legal fees and other expenses so incurred.
- 35.7 The rights and remedies provided in this Contract are independent, cumulative and not exclusive of any rights or remedies provided by general law, any rights or remedies provided elsewhere under this contract or by any other contract or document. In this Clause 35.7 of this Schedule 2 of these Call-off Terms and Conditions, right includes any power, privilege, remedy, or proprietary or security interest.
- 35.8 Subject to Clause 35.9, a person who is not a party to this Contract shall have no right to enforce any terms of it which confer a benefit on such person. No such person shall be entitled to object to or be required to consent to any amendment to the provisions of this Contract.
- 35.9 Without prejudice to Clause 35.8 above, the Framework Manager, agent for the Authority, shall have the right to (but it is not obliged to):
- 35.9.1 have conduct of all claims and disputes against the Supplier pursuant to this Order (including having the right to conduct enforcement actions pursuant to this Order on the behalf of the Authority);
 - 35.9.2 have the right to enforce the terms, conditions, undertakings, representations, warranties and other provisions of this Order on the behalf of the Authority; and
 - 35.9.3 recover, on the behalf of the Authority, any loss suffered by the Authority under this Order.
- Nothing in this Clause shall allow both the Authority and a Participating Authority to recover or claim for the same loss.
- 35.10 This Contract, any variation in writing signed by an authorised representative of each Party and any document referred to (explicitly or by implication) in this Contract or any variation to this Contract, contain the entire understanding between the Supplier and the Authority relating to the supply of the Goods to the exclusion of all previous agreements, confirmations and understandings and there are no promises, terms, conditions or obligations whether oral or written, express or implied other than those contained or referred to in this

Contract. Nothing in this Contract seeks to exclude either Party's liability for Fraud. Any tender conditions and/or disclaimers set out in the Authority's procurement documentation leading to the award of this Contract shall form part of this Contract.

- 35.11 This Contract, and any dispute or claim arising out of or in connection with it or its subject matter (including any non-contractual claims), shall be governed by, and construed in accordance with, the laws of England and Wales.
- 35.12 Subject to Clause 26 of this Schedule 2 of these Call-off Terms and Conditions, the Parties irrevocably agree that the courts of England and Wales shall have exclusive jurisdiction to settle any Dispute or claim that arises out of or in connection with this Contract or its subject matter.
- 35.13 All written and oral communications and all written material referred to under this Contract shall be in English.

Schedule 3 of these Call-off Terms and Conditions

Information and Data Provisions

1 Confidentiality

1.1 In respect of any Confidential Information it may receive directly or indirectly from the other Party ("**Discloser**") and subject always to the remainder of Clause 1 of this Schedule 3 of these Call-off Terms and Conditions, each Party ("**Recipient**") undertakes to keep secret and strictly confidential and shall not disclose any such Confidential Information to any third party without the Discloser's prior written consent provided that:

1.1.1 the Recipient shall not be prevented from using any general knowledge, experience or skills which were in its possession prior to the Commencement Date;

1.1.2 the provisions of Clause 1 of this Schedule 3 of these Call-off Terms and Conditions shall not apply to any Confidential Information:

- (i) which is in or enters the public domain other than by breach of this Contract or other act or omissions of the Recipient;
- (ii) which is obtained from a third party who is lawfully authorised to disclose such information without any obligation of confidentiality;
- (iii) which is authorised for disclosure by the prior written consent of the Discloser;
- (iv) which the Recipient can demonstrate was in its possession without any obligation of confidentiality prior to receipt of the Confidential Information from the Discloser; or
- (v) which the Recipient is required to disclose purely to the extent to comply with the requirements of any relevant stock exchange.

1.2 Nothing in Clause 3 of this Schedule 3 of these Call-off Terms and Conditions shall prevent the Recipient from disclosing Confidential Information where it is required to do so by judicial, administrative, governmental or regulatory process in connection with any action, suit, proceedings or claim or otherwise by applicable Law, including the Freedom of Information Act 2000 ("**FOIA**"), Codes of Practice on Access to Government Information on the Discharge of Public Authorities' Functions or on the Management of Records ("**Codes of Practice**") or the Environmental Information Regulations 2004 ("**Environmental Regulations**").

1.3 The Authority may disclose the Confidential Information of the Supplier:

- 1.3.1 on a confidential basis to any Central Government Body or other Contracting Authority for any proper purpose of the Authority or of the relevant Central Government Body or other Contracting Authority (the Parties agree that all Contracting Authorities receiving such Confidential Information shall be entitled to further disclose the Confidential Information to other Contracting Authorities on the basis that the information is confidential and is not to be disclosed to a third party which is not part of any Contracting Authority);
- 1.3.2 on a confidential basis to any consultant, contractor or other person engaged by the Authority and/or the Contracting Authority receiving such information;
- 1.3.3 to any relevant party for the purpose of the examination and certification of the Authority's accounts;
- 1.3.4 to any relevant party for any examination pursuant to section 6(1) of the National Audit Act 1983 of the economy, efficiency and effectiveness with which the Authority has used its resources;
- 1.3.5 to Parliament and Parliamentary Committees or if required by any Parliamentary reporting requirements; or
- 1.3.6 on a confidential basis to a successor body in connection with any proposed or actual, assignment, novation or other disposal of rights, obligations, liabilities or property in connection with this Contract,

and for the purposes of this Contract, references to disclosure on a confidential basis shall mean the Authority making clear the confidential nature of such information and that it must not be further disclosed except in accordance with Law or Clause 1 of this Schedule 3 of these Call off Terms and Conditions.

- 1.4 The Supplier may only disclose the Authority's Confidential Information, and any other information provided to the Supplier by the Authority in relation to this Contract, to the Supplier's Staff or professional advisors who are directly involved in the performance of or advising on the Supplier's obligations under this Contract. The Supplier shall ensure that such Staff or professional advisors are aware of and shall comply with the obligations in Clause 1 of this Schedule 3 of these Call-off Terms and Conditions as to confidentiality and that all information, including Confidential Information, is held securely, protected against unauthorised use or loss and, at the Authority's written discretion, destroyed securely or returned to the Authority when it is no longer required. The Supplier shall not, and shall ensure that the Staff do not, use any of the Authority's Confidential Information received otherwise than for the purposes of performing the Supplier's obligations in this Contract.
- 1.5 For the avoidance of doubt, save as required by Law or as otherwise set out in this Schedule 3 of these Call-off Terms and Conditions, the Supplier shall not,

without the prior written consent of the Authority (such consent not to be unreasonably withheld or delayed), announce that it has entered into this Contract and/or that it has been appointed as a Supplier to the Authority and/or make any other announcements about this Contract.

- 1.6 Clause 1 of this Schedule 3 of these Call-off Terms and Conditions shall remain in force:

1.6.1 without limit in time in respect of Confidential Information which comprises Personal Data or which relates to national security; and

1.6.2 for all other Confidential Information for a period of three (3) years after the expiry or earlier termination of this Contract unless otherwise agreed in writing by the Parties.

2 Data protection

- 2.1 The Parties acknowledge their respective duties under Data Protection Legislation and shall give each other all reasonable assistance as appropriate or necessary to enable each other to comply with those duties. For the avoidance of doubt, the Supplier shall take reasonable steps to ensure it is familiar with the Data Protection Legislation and any obligations it may have under such Data Protection Legislation and shall comply with such obligations.

- 2.2 Where the Supplier is Processing Personal Data and/or the Parties are otherwise sharing Personal Data under or in connection with this Contract, the Parties shall comply with the Data Protection Protocol in respect of such matters.

- 2.3 The Supplier and the Authority shall ensure that any patient related Personal Data is safeguarded at all times in accordance with the Law, and this obligation will include (if transferred electronically) only transferring patient related Personal Data (a) if essential, having regard to the purpose for which the transfer is conducted; and (b) that is encrypted in accordance with any international data encryption standards for healthcare, and as otherwise required by those standards applicable to the Authority under any Law and Guidance (this includes, data transferred over wireless or wired networks, held on laptops, CDs, memory sticks and tapes).

- 2.4 Where any Personal Data is Processed by any Sub-contractor of the Supplier in connection with this Contract, the Supplier shall procure that such Sub-contractor shall comply with the relevant obligations set out in Clause 2 of this Schedule 3 of these Call-off Terms and Conditions and any relevant Data Protection Protocol as if such Sub-contractor were the Supplier.

- 2.5 The Supplier shall indemnify and keep the Authority indemnified against, any loss, damages, costs, expenses (including without limitation legal costs and expenses), claims or proceedings whatsoever or howsoever arising from the

Supplier's unlawful or unauthorised Processing, destruction and/or damage to Personal Data in connection with this Contract.

3 Freedom of Information and Transparency

- 3.1 The Parties acknowledge the duties of Contracting Authorities under the FOIA, Codes of Practice and Environmental Regulations and shall give each other all reasonable assistance as appropriate or necessary to enable compliance with those duties.
- 3.2 The Supplier shall assist and cooperate with the Authority to enable it to comply with its disclosure obligations under the FOIA, Codes of Practice and Environmental Regulations. The Supplier agrees:
 - 3.2.1 that this Contract and any recorded information held by the Supplier on the Authority's behalf for the purposes of this Contract are subject to the obligations and commitments of the Authority under the FOIA, Codes of Practice and Environmental Regulations;
 - 3.2.2 that the decision on whether any exemption to the general obligations of public access to information applies to any request for information received under the FOIA, Codes of Practice and Environmental Regulations is a decision solely for the Authority;
 - 3.2.3 that where the Supplier receives a request for information under the FOIA, Codes of Practice and Environmental Regulations and the Supplier itself is subject to the FOIA, Codes of Practice and Environmental Regulations it will liaise with the Authority as to the contents of any response before a response to a request is issued and will promptly (and in any event within two (2) Business Days) provide a copy of the request and any response to the Authority;
 - 3.2.4 that where the Supplier receives a request for information under the FOIA, Codes of Practice and Environmental Regulations and the Supplier is not itself subject to the FOIA, Codes of Practice and Environmental Regulations, it will not respond to that request (unless directed to do so by the Authority) and will promptly (and in any event within two (2) Business Days) transfer the request to the Authority;
 - 3.2.5 that the Authority, acting in accordance with the Codes of Practice issued and revised from time to time under both section 45 of FOIA, and regulation 16 of the Environmental Regulations, may disclose information concerning the Supplier and this Contract; and
 - 3.2.6 to assist the Authority in responding to a request for information, by processing information or environmental information (as the same are defined in FOIA and the Environmental Regulations) in accordance with a records management system that complies with all applicable records management recommendations and codes of

conduct issued under section 46 of FOIA, and providing copies of all information requested by the Authority within five (5) Business Days of that request and without charge.

- 3.3 The Parties acknowledge that, except for any information which is exempt from disclosure in accordance with the provisions of the FOIA, Codes of Practice and Environmental Regulations, the content of this Contract is not Confidential Information.
- 3.4 Notwithstanding any other term of this Contract, the Supplier consents to the publication of this Contract in its entirety (including variations), subject only to the redaction of information that is exempt from disclosure in accordance with the provisions of the FOIA, Codes of Practice and Environmental Regulations.
- 3.5 In preparing a copy of this Contract for publication under Clause 3.4 of this Schedule 3 of these Call-off Terms and Conditions, the Authority may consult with the Supplier to inform decision making regarding any redactions but the final decision in relation to the redaction of information will be at the Authority's absolute discretion.
- 3.6 The Supplier shall assist and cooperate with the Authority to enable the Authority to publish this Contract.
- 3.7 Where any information is held by any Sub-contractor of the Supplier in connection with this Contract, the Supplier shall procure that such Sub-contractor shall comply with the relevant obligations set out in Clause 3 of this Schedule 3 of these Call-off Terms and Conditions, as if such Sub-contractor were the Supplier.

4 Information Security

- 4.1 Without limitation to any other information governance requirements set out in this Schedule 3 of these Call-off Terms and Conditions, the Supplier shall:
 - 4.1.1 notify the Authority forthwith of any information security breaches or near misses (including without limitation any potential or actual breaches of confidentiality or actual information security breaches) in line with the Authority's information governance Policies; and
 - 4.1.2 fully cooperate with any audits or investigations relating to information security and any privacy impact assessments undertaken by the Authority and shall provide full information as may be reasonably requested by the Authority in relation to such audits, investigations and assessments.

Schedule 4 of these Call-off Terms and Conditions

Definitions and Interpretations

1 Definitions

- 1.1 In this Contract the following words shall have the following meanings unless the context requires otherwise:

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| "Anti-Slavery Policy" | has the meaning given under Clause 22.2.2 of Schedule 2 of these Call-off Terms and Conditions; |
| "Authority" | means the authority named on the Order Form; |
| "Authority's Obligations" | means the Authority's further obligations, if any, referred to in the Specification and/or the Order Form; |
| "Breach Notice" | means a written notice of breach given by one Party to the other, notifying the Party receiving the notice of its breach of this Contract; |
| "Business Continuity Event" | means any event or issue that could impact on the operations of the Supplier and its ability to supply the Goods including a pandemic, and any Force Majeure Event; |
| "Business Continuity Plan" | means the Supplier's business continuity plan which includes its plans for continuity of the supply of the Goods during a Business Continuity Event; |
| "Business Day" | means any day other than Saturday, Sunday, Christmas Day, Good Friday or a statutory bank holiday in England and Wales; |
| "Call-off Terms and Conditions" | means these Call-off Terms and Conditions for the Supply of Goods; |
| "Change in Law" | means any change in Law which impacts on the supply of the Goods which comes into force after the Commencement Date; |
| "Central Government Body" | means a body listed in one of the following sub-categories of the Central Government classification of the Public Sector Classification Guide, as published and amended from time to time by the Office for National Statistics: (a) Government Department; |

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| | <p>(b) Non-Departmental Public Body or Assembly Sponsored Public Body (advisory, executive, or tribunal);</p> <p>(c) Non-Ministerial Department; or</p> <p>(d) Executive Agency.</p> |
| "Codes of Practice" | shall have the meaning given to the term in Clause 1.2 of Schedule 3 of these Call-off Terms and Conditions; |
| "Commencement Date" | means the date of the Order Form; |
| "Comparable Supply" | means the supply of goods to another customer of the Supplier that are the same or similar to any of the Goods; |
| "Confidential Information" | <p>means information, data and material of any nature, which either Party may receive or obtain in connection with the conclusion and/or operation of the Contract including any procurement process which is:</p> <p>(a) Personal Data including without limitation which relates to any patient or other service user or his or her treatment or clinical or care history;</p> <p>(b) designated as confidential by either party or that ought reasonably to be considered as confidential (however it is conveyed or on whatever media it is stored); and/or</p> <p>(c) Policies and such other documents which the Supplier may obtain or have access to through the Authority's intranet;</p> |
| "Contract" | means the Order Form, the provisions on the front page and all Schedules of these Call-off Terms and Conditions, the Specification, [the Offer] and the applicable provisions of the Framework Agreement; |
| "Contracting Authority" | means any contracting authority as defined in Regulation 2(1) of the Regulations (as amended), other than the Authority; |
| "Contract Manager" | means for the Authority and for the Supplier the individuals specified in the Order Form or as otherwise agreed between the Parties in writing or such other person notified by a Party to the other Party from time to time in |

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| | accordance with Clause 8.1 of Schedule 2 of these Call-off Terms and Conditions; |
| "Controller" | shall have the same meaning as set out in the UK GDPR; |
| "Contract Price" | means the price exclusive of VAT that is payable to the Supplier by the Authority under the Contract for the full and proper performance by the Supplier of its obligations under the Contract calculated in accordance with the provisions of the Framework Agreement and as confirmed in the Order Form; |
| "Data Protection Legislation" | means (i) the Data Protection Act 2018 (ii) the UK GDPR and any other applicable laws of England and Wales relating to the protection of Personal Data and the privacy of individuals (all as amended, updated, replaced or re-enacted from time to time; |
| "Data Protection Protocol" | means any document of that name as provided to the Supplier by the Authority (as amended from time to time in accordance with its terms) which shall include, without limitation, any such document appended to the Order Form; |
| "Defective Goods" | has the meaning given under Clause 4.6 of Schedule 2 of these Call-off Terms and Conditions; |
| "Delivery Failure Credit" | means any sums set out in the Order Form or in the Framework Agreement as being payable to the Participating Authority pursuant to Clause 8 of Schedule 1 as a result of the Supplier's failure to shall deliver the exact quantity of Goods specified in an Order Form within the Delivery Time to the location address specified by the Authority; |
| "Delivery Times" | has the meaning given under Clause 8.1 of Schedule 1 of these Call-off Terms and Conditions; |
| "Dispute(s)" | means any dispute, difference or question of interpretation or construction arising out of or in connection with this Contract, including any dispute, difference or question of interpretation relating to the Goods, any matters of contractual construction and interpretation relating to the Contract, or any matter where this Contract directs the Parties to resolve an issue by reference to the Dispute Resolution Procedure; |

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| "Dispute Notice" | means a written notice served by one Party to the other stating that the Party serving the notice believes there is a Dispute; |
| "Dispute Resolution Procedure" | means the process for resolving disputes as set out in Clause 26 of Schedule 2 of these Call-off Terms and Conditions; |
| "DOTAS" | means the Disclosure of Tax Avoidance Schemes rules which require a promoter of tax schemes to tell HM Revenue and Customs of any specified notifiable arrangements or proposals and to provide prescribed information on those arrangements or proposals within set time limits as contained in Part 7 of the Finance Act 2004 and in secondary legislation made under vires contained in Part 7 of the Finance Act 2004 and as extended to National Insurance Contributions by the National Insurance Contributions (Application of Part 7 of the Finance Act 2004) Regulations 2012, SI 2012/1868 made under s.132A Social Security Administration Act 1992; |
| "Electronic Trading System(s)" | means such electronic data interchange system and/or world wide web application and/or other application with such message standards and protocols as the Authority may specify from time to time; |
| "Environmental Regulations" | the Environmental Information Regulations 2004, together with any guidance and/or codes of practice issued by the Information Commissioner or any Central Government Body in relation to such Regulations; |
| "eProcurement Guidance" | means the NHS eProcurement Strategy available via: http://www.gov.uk/government/collections/nhs-procurement together with any further Guidance issued by the Department of Health and Social Care in connection with it; |
| "Equality Legislation" | means any and all legislation, applicable guidance and statutory codes of practice relating to equality, diversity, non-discrimination and human rights as may be in force in England and Wales from time to time including, but not limited to, the Equality Act 2010, the Part-time Workers (Prevention of Less Favourable Treatment) Regulations 2000, the Fixed-term Employees (Prevention of Less Favourable Treatment) Regulations 2002 (SI 2002/2034), |

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| | the Human Rights Act 1998 and the National Minimum Wage Regulations 2015 (as amended by the National Minimum Wage (Amendment) Regulations 2016); |
| "EU References" | shall have the meaning given to the term in Clause 1.15 of this Schedule 4 of these Call-off Terms and Conditions; |
| "Evergreen Assessment" | shall have the meaning given to the term in Clause 10 of Schedule 1 of these Call-off Terms and Conditions; |
| "Exit Day" | shall have the meaning in the European Union (Withdrawal) Act 2018; |
| "FOIA" | means the Freedom of Information Act 2000 and any subordinate legislation made under that Act from time to time, together with any guidance and/or codes of practice issued by the Information Commissioner or any relevant Central Government Body in relation to such Act; |
| "Force Majeure Event" | <p>means any event beyond the reasonable control of the Party in question to include, without limitation:</p> <ul style="list-style-type: none"> (a) war including civil war (whether declared or undeclared), riot, civil commotion or armed conflict materially affecting either Party's ability to perform its obligations under this Contract; (b) acts of terrorism; (c) flood, storm or other natural disasters; (d) fire; (e) unavailability of public utilities and/or access to transport networks to the extent no diligent supplier could reasonably have planned for such unavailability as part of its business continuity planning; (f) government requisition or impoundment to the extent such requisition or impoundment does not result from any failure by the Supplier to comply with any relevant regulations, laws or procedures (including such laws or regulations relating to the payment of any duties or taxes) and subject to the Supplier having used all reasonable legal means to resist such requisition or impoundment; |

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| | <p>(g) compliance with any local law or governmental order, rule, regulation or direction that could not have been reasonably foreseen;</p> <p>(h) industrial action which affects the ability of the Supplier to supply the Goods, but which is not confined to the workforce of the Supplier or the workforce of any Sub-contractor of the Supplier; and</p> <p>(i) a failure in the Supplier's and/or Authority's supply chain to the extent that such failure is due to any event suffered by a member of such supply chain, which would also qualify as a Force Majeure Event in accordance with this definition had it been suffered by one of the Parties;</p> <p>but, for the avoidance of doubt, not including EU Exit unless and to the extent that a consequence of EU Exit falls within one of the above defined circumstances;</p> |
| "Framework Agreement" | means the Framework Agreement referred to in the Order Form; |
| "Framework Manager" | means the Contracting Authority who has entered into and manages the Framework Agreement; |
| "Fraud" | means any offence under any law in respect of fraud in relation to this Contract or defrauding or attempting to defraud or conspiring to defraud the government, parliament or any Contracting Authority; |
| "General Anti-Abuse Rule" | <p>means</p> <p>(a) the legislation in Part 5 of the Finance Act 2013; and</p> <p>(b) any future legislation introduced into parliament to counteract tax advantages arising from abusive arrangements to avoid national insurance contributions;</p> |
| "General Change in Law" | means a Change in Law where the change is of a general legislative nature (including taxation or duties of any sort affecting the Supplier) or which affects or relates to a Comparable Supply; |
| "Good Industry Practice" | means the exercise of that degree of skill, diligence, prudence, risk management, quality management and foresight which would reasonably and ordinarily be |

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| | expected from a skilled and experienced supplier engaged in the manufacture and/or supply of goods similar to the Goods under the same or similar circumstances as those applicable to this Contract, including in accordance with any codes of practice published by relevant trade associations; |
| "Goods" | means any and all goods, materials or items that the Supplier is required to supply to the Authority under this Contract; |
| "Guidance" | means any applicable guidance, direction or determination and any policies, advice or industry alerts which apply to the Goods, to the extent that the same are published and publicly available or the existence or contents of them have been notified to the Supplier by the Authority and/or have been published and/or notified to the Supplier by the Department of Health and Social Care, Monitor, NHS England, the MHRA, the Care Quality Commission and/or any other regulator or competent body; |
| "Halifax Abuse Principle" | means the principle explained in the CJEU Case C-255/02 Halifax and others; |
| "Intellectual Property Rights" | means all patents, copyright, design rights, registered designs, trade marks, know-how, database rights, confidential formulae and any other intellectual property rights and the rights to apply for patents and trade marks and registered designs; |
| "Invitation to Offer" | means the document referred to in the Framework Agreement as supplemented by any further information set out and/or referred to in the Order Form and as amended and/or updated in accordance with this Contract; |
| "Key Provisions" | means the key provisions set out in Schedule 1 of these Call-off Terms and Conditions and/or as part of the Order Form; |
| "KPI" | means the key performance indicators as set out in the Specification and/or the Order Form, if any; |
| "Law" | means any applicable legal requirements including without limitation: (a) any applicable statute or proclamation or any delegated or subordinate legislation or regulation as applicable in England and Wales; |

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| | <p>(b) any retained EU law (as defined by section 6(7) of the European Union (Withdrawal) Act 2018);</p> <p>(c) (subject to EU Exit) any applicable European Union directive, regulation, decision or law;</p> <p>(d) (subject to EU Exit) any enforceable community right within the meaning of section 2(1) European Communities Act 1972;</p> <p>(e) any applicable judgment of a relevant court of law which is a binding precedent in England and Wales;</p> <p>(f) requirements set by any regulatory body as applicable in England and Wales;</p> <p>(g) any relevant code of practice as applicable in England and Wales;</p> <p>(h) any relevant collective agreement and/or international law provisions (to include without limitation as referred to in (a) to (f) above).</p> |
| "Licensing Authority" | means the MHRA or the EMA or such other licensing authority as the Authority shall determine; |
| "Lots" | means the Goods divided into lots as referred to in the Find a Tender (FTS) Notice]; |
| "MHRA" | means the Medicines and Healthcare products Regulatory Agency; |
| "Net Zero and Social Value Commitments" | means the Supplier's net zero and social value commitments, each as set out in the Key Provisions and/or the Specification; |
| "Net Zero and Social Value Contract Commitments" | shall have the meaning given in Clause 7.4 of Schedule 1 of these Call-off Terms and Conditions; |
| "NHS" | means the National Health Service; |
| "NHS England" | means NHS England; |
| "Occasion of Tax Non-Compliance" | <p>means:</p> <p>(a) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 is found on or after 1 April 2013 to be incorrect as a result of:</p> |

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| | <p>(i) a Relevant Tax Authority successfully challenging the Supplier under the General Anti-Abuse Rule or the Halifax Abuse Principle or under any tax rules or legislation that have an effect equivalent or similar to the General Anti-Abuse Rule or the Halifax Abuse Principle;</p> <p>(ii) the failure of an avoidance scheme which the Supplier was involved in, and which was, or should have been, notified to a Relevant Tax Authority under the DOTAS or any equivalent or similar regime; and/or</p> <p>(b) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 gives rise, on or after 1 April 2013, to a criminal conviction in any jurisdiction for tax related offences which is not spent at the Commencement Date or to a civil penalty for fraud or evasion;</p> |
| "Offer" | means the offer submitted by the Supplier to the Authority in response to the Invitation to Offer; |
| "Order Form" | means the order form used by the Participating Authority to place an order in writing for the Goods under the Framework Agreement (such order form being in such form as the Participating Authority and the Supplier shall agree from time to time); |
| "Other KPI" | means any additional KPIs set out in the Specification, tender documentation or Annex 3 to Schedule 5; |
| "Party" | means the Authority or the Supplier as appropriate and Parties means both the Authority and the Supplier; |
| "Personal Data" | shall have the same meaning as set out in the UK GDPR; |
| "Policies" | means the policies, rules and procedures of the Authority as notified to the Supplier from time to time; |
| "Post Delivery Shelf Life" | means the shelf life of the Goods remaining at the point of the completion of the delivery of the Goods in accordance with this Contract (e.g. if the Goods have two (2) years shelf life at the point of the completion of their manufacture and the completion of their delivery under this Contract is at a point six (6) months after the completion of their manufacture, the post-delivery shelf life shall be eighteen (18) months); |

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| "Process" | shall have the same meaning as set out in the UK GDPR. Processing and Processed shall be construed accordingly; |
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| "Product Information" | means information concerning the Goods as may be reasonably requested by the Authority and supplied by the Supplier to the Authority in accordance with Clause 21 of Schedule 2 of these Call-off Terms and Conditions for inclusion in the Authority's product catalogue from time to time; |
| "Regulations" | means the Public Contracts Regulations 2015 (SI 2015/102) as amended; |
| "Rejected Goods" | has the meaning given under Clause 4.2 of Schedule 2 of these Call-off Terms and Conditions; |
| "Relevant Tax Authority" | means HM Revenue and Customs, or, if applicable, a tax authority in the jurisdiction in which the Supplier is established; |
| "Remedial Proposal" | has the meaning given under Clause 17.3 of Schedule 2 of these Call-off Terms and Conditions; |
| "Requirement to Recall" | has the meaning given under 4.9 of Schedule 2 of these Call-off Terms and Conditions; |
| "Slavery Act" | has the meaning given in Clause 22.2.1 of Schedule 2 of these Call-off Terms and Conditions; |
| "Specification" | means the specification set out in the Framework Agreement as supplemented by any further information set out and/or referred to in the Order Form and as amended and/or updated in accordance with this Contract; |
| "Specific Change in Law" | means a Change in Law that relates specifically to the business of the Authority and which would not affect a Comparable Supply; |
| "Staff" | means all persons employed or engaged by the Supplier to perform its obligations under this Contract including any Sub-contractors and person employed or engaged by such Sub-contractors; |
| "Sub-contract" | means a contract between two or more suppliers at any stage of remoteness from the Supplier in a sub-contracting |

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| | chain, made wholly or substantially for the purpose of performing (or contributing to the performance of) the whole or any part of this Contract; |
| "Sub-contractor" | means a party to a Sub-contract other than the Supplier; |
| "Supplier" | means the supplier named on the Order Form; |
| "Supplier Code of Conduct" | means the code of that name published by the Government Commercial Function originally dated September 2017, as may be amended, restated, updated, re-issued or re-named from time to time; |
| "Supplier Net Zero Corporate Champion" | shall have the meaning given to the term in Clause 10.3 of Schedule 1 of these Call-off Terms and Conditions; |
| "Supplier Net Zero and Social Value Contract Champion" | Shall have the meaning given to the term in Clause 10.6 of Schedule 1 of these Call-off Terms and Conditions; |
| "Term" | means the term as referred to in the Key Provisions; |
| "Termination Notice" | means a written notice of termination given by one Party to the other notifying the Party receiving the notice of the intention of the Party giving the notice to terminate this Contract on a specified date and setting out the grounds for termination; |
| "Terms of Offer" | means the document referred to in the Framework Agreement as supplemented by any further information set out and/or referred to in the Order Form and as amended and/or updated in accordance with this Contract; |
| "Third Party Body" | has the meaning given under Clause 8.5 of Schedule 2 of these Call-off Terms and Conditions; and |
| "VAT" | means value added tax chargeable under the Value Added Tax Act 1994 or any similar, replacement or extra tax. |

- 1.2 References to any Law shall deemed to include a reference to that Law as amended, extended, consolidated, re-enacted, restated, implemented or transposed from time to time.
- 1.3 References to any legal entity shall include any body that takes over responsibility for the functions of such entity.
- 1.4 References in this Contract to a "Schedule", "Appendix", "Paragraph" or to a "Clause" are to schedules, appendices, paragraphs and clauses of this Contract.

- 1.5 References in this Contract to a day or to the calculation of time frames are references to a calendar day unless expressly specified as a Business Day.
- 1.6 Unless set out in the Contract as a chargeable item and subject to Clause 35.6 of Schedule 2 of these Call-off Terms and Conditions, the Supplier shall bear the cost of complying with its obligations under this Contract.
- 1.7 The headings are for convenience only and shall not affect the interpretation of this Contract.
- 1.8 Words denoting the singular shall include the plural and vice versa.
- 1.9 Where a term of this Contract provides for a list of one or more items following the word "including" or "includes" then such list is not to be interpreted as an exhaustive list. Any such list shall not be treated as excluding any item that might have been included in such list having regard to the context of the contractual term in question. General words are not to be given a restrictive meaning where they are followed by examples intended to be included within the general words.
- 1.10 Where there is a conflict between the Supplier's responses to the requirements set out in the Specification and any other part of this Contract, such other part of this Contract shall prevail.
- 1.11 Where a document is required under this Contract, the Parties may agree in writing that this shall be in electronic format only.
- 1.12 Any Breach Notice issued by a Party in connection with this Contract shall not be invalid due to it containing insufficient information. A Party receiving a Breach Notice ("**Receiving Party**") may ask the Party that issued the Breach Notice ("**Issuing Party**") to provide any further information in relation to the subject matter of the Breach Notice that it may reasonably require to enable it to understand the Breach Notice and/or to remedy the breach. The Issuing Party shall not unreasonably withhold or delay the provision of such further information as referred to above as may be requested by the Receiving Party but no such withholding or delay shall invalidate the Breach Notice.
- 1.13 Any terms defined as part of a Schedule or other document forming part of this Contract shall have the meaning as defined in such Schedule or document.
- 1.14 For the avoidance of doubt, and to the extent not prohibited by any Law, the term "expenses" (as referred to under any indemnity provisions forming part of this Contract) shall be deemed to include any fine and any related costs imposed by a commissioner, regulator or other competent body.

1.15 Any reference in this Contract which immediately before Exit Day was a reference to (as it has effect from time to time):

1.15.1 any EU regulation, EU decision, EU tertiary legislation or provision of the EEA agreement ("**EU References**") which is to form part of domestic law by application of section 3 of the European Union (Withdrawal) Act 2018 shall be read on and after Exit Day as a reference to the EU References as they form part of domestic law by virtue of section 3 of the European Union (Withdrawal) Act 2018 as modified by domestic law from time to time; and

1.15.2 any EU institution or EU authority or other such EU body shall be read on and after Exit Day as a reference to the UK institution, authority or body to which its functions were transferred.