

Work instructions:

Asset:	Work Instruction (W.I.) title:	W.I. No.:	Revision:	Date:
LT130 - Armature			Revision 2	05/06/2018
LT115 - Armature			Revision 2	05/06/2018
LT130 - Armature				May-2018
LT130 - Armature				May-2018
LT130 - Armature				June-2018
LT130 - Armature			Revision 2	05/06/2018
LT130 - Armature			Revision 2	May-2018
LT130 - Armature			Revision 2	05/06/2018
LT130 - Armature			Revision 2	11/12/2018
LT115 - Armature			Revision 2	05/06/2018
LT115 - Armature			Revision 2	May-2018
LT115 - Armature			Revision 2	11/12/2018
LT130 - Armature			Revision 4	11/12/2018
LT115 - Armature			Revision 4	11/12/2018
LT115 - Armature				May-2018
LT115 - Armature				May-2018
LT115 - Armature				May-2018
All			Revision 2	Sep-2018
LT130 - Armature				May-2018
LT130 - Armature				May-2018
All				05/06/2018
All				05/06/2018
LT130 - Armature				05/06/2018
LT130 - Armature			Revision 2	05/06/2018
LT130 - Armature			Revision 2	23/08/2018

Schedule 4
Example Form of Order

Transport for London
LUL Nominee SSL Limited



Purchase order

Vendor address

Invoice to

Delivery address

Contact

Requested by

Telephone

Email

Information

Purchase order no.

Creation date

Vendor no.

Currency

Payment terms

Instructions to vendor

The supply of goods/services under this purchase order is subject to the Purchase Order Conditions of Contract which are available on www Tf.gov.uk or available on request from the contact named below. Supply of goods or services under this purchase order indicates your acceptance of such conditions.

PLEASE NOTE THAT THIS PURCHASE ORDER CONSTITUTES A CALL-OFF FROM THE CONTRACT RELATING TO THE SUPPLY OF **ARMATURE OVERHAULS AND THE PERFORMANCE RELATED SERVICES - OUTLINE AGREEMENT** AND THAT THE TERMS AND CONDITIONS FOR THAT FRAMEWORK APPLY TO THIS PURCHASE ORDER. PLEASE THEREFORE NOTE THAT THE REFERENCE TO PURCHASE ORDER CONDITIONS IN THE ABOVE STANDARD STATEMENT DOES NOT APPLY TO THIS TRANSACTION.

STAGE ONE INSPECTION ONLY REQUIRED ON ARRIVAL AT REV
PLEASE ACKNOWLEDGE ORDER, CONFIRMING PRICE(S) AND DELIVERY PROMISE IN

Procurement Department:

LUL Nominee SSL Limited

Registered Office: Windsor House, 40-50 Victoria Street, London, W1B 1TL (Registered in England and Wales no: 0424205)

VAT number: 716 2770 08. LUL Nominee SSL Limited is a company controlled by a local authority within the meaning of Part V of the Local Government and Housing Act 1989. The controlling Authority is Transport for London.

Date:

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If you have problems reading this text please call

Information

Purchase order no.
Creation date
Vendor no.
Currency
Payment terms

Instructions to vendor

WRITING TO COMPLY WITH THE LATEST QOSHH REGULATIONS THE SUPPLIER MUST PROVIDE IF APPLICABLE A MATERIAL DATA SHEET WITH THE PRODUCT

ORIGINAL PAPERWORK AND FULL TEST CERTIFICATE REPORT TO BE RETURNED WITH SHIPMENT ITEM ON COMPLETION OF WORK. TO R&N WILL NOT ACCEPT ANY ITEMS WITH METAL BANDING. PLT ENSURE AN ACCEPTABLE ALTERNATIVE IS USED. ORDER TO BE ACKNOWLEDGED, CONFIRMING PRICE(S) AND DELIVERY PROMISE IN WRITING. DISPATCH NOTE

As of 15-03-2015 we will require the following information as a minimum requirement. Please be aware that if we do not receive this information, your deliveries may be subject to an NCR. As a minimum, the certificate of conformity shall contain the following:

Unique identification of the certificate of conformity

The name and contact address of the issuer of the certificate of conformity

Supplier's Division order number

The description of the object of the certificate of conformity

Identified items require to have their individual serial numbers stated on the document

The statement of conformity

A complete and clear list of drawing numbers and standards used

The date and place of issue of the certificate of conformity

The signature (or equivalent sign of validation), name and function of

the authorised person(s) acting on behalf of the supplier

all associated documents (e.g. Material analysis, certification, test

reports and certificates) must be referenced on the certificate of

conformity to maintain traceability between documents

Procurement Department

Date:

Schedule 5

Contract Variation Procedure

- 1 The cost of any Variation Order shall be agreed between the parties taking account of the reasons why the Variation Order was required.
- 2 The Company may propose a variation by completing Part A of the Variation Proposal and supplying three (3) copies of it to the Supplier. Within five (5) Working Days of receipt, or such other time as may be agreed by the Company, the Supplier shall complete Part B of the Variation Proposal and shall supply two (2) copies of the Variation Proposal to the Company. The Company shall be entitled, at any time within thirty (30) days of receipt, to instruct and authorise the Supplier to proceed with the variation on the terms so set out by each party by completing and signing Part C of one copy of the Variation Proposal (which, following such signature, will be referred to as a "**Variation Order**") and supplying such Variation Order to the Supplier. The relevant part(s) of the relevant Contract shall thereupon be varied accordingly.
- 3 The Supplier may propose a variation, after requesting the issue by the Company of a Variation Proposal variation number, by completing Parts A and B of a Variation Proposal and supplying two (2) copies of it to the Company. The Company shall be entitled, at any time within thirty (30) days of receipt, to instruct the Supplier to proceed with the variation on the terms so set out by the Supplier by completing and signing Part C of one copy of the Variation Proposal (which, following such signature, will be referred to as a "**Variation Order**") and supplying such Variation Order to the Supplier. The relevant part(s) of the relevant Contract shall thereupon be varied accordingly.
- 4 The Supplier may indicate in a Variation Proposal that the price is an estimated price but, if it does so, it shall supply a firm price to the Company in writing at least seven (7) days before the expiry of the time within which the Company is entitled to instruct the Supplier to proceed with the variation.
- 5 The price indicated by the Supplier must be the full price and shall cover all costs associated with the variation. If appropriate a range of prices may be shown corresponding to the quantity of Goods to be supplied and extent of the Services to be carried out.
- 6 In an emergency, both parties shall use their reasonable endeavours to expedite the actions permitted or required under the Contract Variation Procedure.
- 7 The Company will not accept any retrospective claims for additional work caused by a variation which has not been approved by the Company in accordance with the Contract Variation Procedure before the commencement of such additional work.

- 8 All authorised additional work resulting from any Variation Proposal shall be priced in accordance with any applicable rates set out in Schedule 2.
- 9 The Supplier shall at all times act reasonably and shall price each Variation Proposal at the least possible additional cost to the Company that it is reasonably and economically practicable for the Supplier to offer and which has the least possible impact on the terms of the Agreement and the relevant Contract, including, but not limited to the Specification and the Order Programme.
- 10 Strict adherence to the procedure described in this Schedule 5 shall be a condition precedent to any addition to the price for the Goods and Services. If the Supplier does not adhere to each paragraph in this Schedule 5 then the Supplier shall not be entitled to any addition to the price notwithstanding that the Supplier may have supplied additional or varied Goods and/or Services.

Appendix 1
Form of Variation Proposal/Variation Order

To:	From:
------------	--------------

Contract Reference Number:
Order Number:
Variation Number:
Variation Title:

PART A (TO BE COMPLETED BY THE ORIGINATOR OF THE VARIATION ORDER)

Description of change:	
Reason for changes and impact (if any) on Contract:	
Variation Proposal Authorised by:	Proposal Date:

PART B (TO BE COMPLETED BY THE SUPPLIER)

Price Breakdown Note: If a further breakdown is needed please append details as a separate sheet.	
Expected Order Delivery Date and/or Order Completion Date:	
Supplier's Representative:	
Print Name:	Signature:
Date:	
Completed document to be returned to the Company's Representative	

PART C (TO BE COMPLETED BY THE COMPANY'S REPRESENTATIVE)

Comment on Parts A and B:	
Variation Authorisation	
Company's Representative:	
Print Name:	Signature:
Date:	

Schedule 6
QUENSH and Quality and Safety Plan

F0780 A18 Contract Menu

This Contract Menu must be used in conjunction with
Category 1 Standard S1552 "Contract QUENSH Conditions"

Contract Menu

Contract No: TFL-000789

Contract Name Rotating Machines and the performance of related services –
Traction Motors

Client: [REDACTED]

Supplier: _____

Principal Contractor:

Yes ☒

No ☐

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Guidance

The menu is a tool which is used by the Client to identify conditions that apply to specific contracts and communicate these conditions to the Supplier.

How to complete the menu

- 1) The Client evaluates the scope of work and enters 'Y' or 'N' in the 'Identified by the Client' column of the menu against each condition selected as applicable or not applicable to the Contract. In the 'Other documents / comments' column the Client can make references to other documents which are supplementary information which is available although not contained within the QUENSH manual but should be considered by the Supplier when they review the conditions. Copies of any additional documents identified in the menu shall be made available to the Supplier. All documents referenced in the Menu shall be current issue, unless otherwise advised. This column can also be used to communicate information (comments) to the Supplier which may be of use to the Supplier when reviewing the conditions.
- 2) The Client fills in 'Client menu (Invitation to Tender)' section on the last page of the menu and issues the menu as part of the ITT.
 - a) The Supplier receives the ITT, evaluates the scope of work and, as a requirement of the tendering process, inserts 'Y' or 'N' in the 'Identified by the Supplier' column of the menu against each condition selected as being applicable. These selections may be different from those identified by the Client. Where the Supplier's selection differs from the Client's selection, a clear explanation of the reason for these differences shall be given by the Supplier. A reference to these explanations shall be put in the 'Reference to explanation' column on the menu.
 - b) The Supplier representative signs and dates the 'Supplier menu (Tender)' on the last page of the menu and submits it with the tender, for consideration by the Client.
 - c) Differences in the Client and Supplier menu selections will be discussed and resolved with the Client at subsequent tender review meetings. The agreed final version of the menu selections shall form a mandatory part of the Contract and shall be complied with by all Suppliers and their sub-contractors.
 - d) The menu shall be subject to project version and document control.

Queries on the menu

Any queries in relation to the Contract QUENSH Conditions selected on the menu are to be referred to the Client representative, see contact details/address on last page of the menu.



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Contract menu
Requirements in QUENSH

Applicable requirements identified by Client			Applicable requirements identified by Supplier		
Section	Topic	Other documents / Comments	Y / N	Y / N	Reference to explanation - see Section 2a in attached Guidance Notes
4	Agreement of the applicable QUENSH contract conditions				
5	Supplier's selection of sub-contractors		Y	Y	
6	Identification of Safety Critical Activities		Y	Y	
7	Works Environmental Management		N		
8	Emergency Plan		Y	Y	
9	Method Statements		Y	Y	
10	Health, Safety and Environment File		N		
11	Pre-start LU health, safety and environment meeting		N		
12	Supplier's site induction		N		
13	Site Person in Charge		N		
14	Staff requirements				
14.1	Behaviours				
14.1.1	Alcohol and drugs		Y	Y	
14.2	Control of hours worked				
14.2.1	Working Time Regulations		N		
14.2.2	Fatigue		N		
14.3	Knowledge				
14.3.1	English language		N		
14.3.2	Access Card and Worksite Briefing		N		
14.3.3	Visitors to sites		Y	Y	
14.4	General competence				
14.4.1	Evidencing competence of safety critical staff		Y	Y	
14.4.2	Identification of safety critical staff		Y	Y	
14.4.3	Competent external safety critical personnel		N		

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Applicable requirements identified by Client			Applicable requirements identified by Supplier		
Section	Topic	Other documents / Comments	Y / N	Y / N	Reference to explanation - see Section 2a in attached Guidance Notes
14.4.4	Training		N	Y	
14.4.5	Asset specific competences		N		
14.5	Medical requirements		N		
14.6	Identification of Suppliers staff		N		
14.7	Clothing		N		
15	Permits and licences		N		
15.1	LU specific permits and licences		N		
16.2	Permits, licences and certificates for Supplier's staff		N		
16	The Principles of Access		N		
16.1	Introduction		N		
16.2	Access to Stations		N		
16.3	Access to Track		N		
16.4	Access to depots		N		
17	Applying for Planned Access		N		
17.1	Introduction		N		
18	Applying for General Access		N		
18.1	Constraints that apply to Generic Access		N		
19	Access for fault repair		N		
20	Operational Assurance		N		
21	Closures and possessions		N		
21.1	Requirements for closures		N		
21.2	Requirements for possessions		N		
22	Controls at point of access		N		
22.1	Publication of works		N		
22.2	Checks at point of access		N		
22.3	Signing-on with the Station Supervisor		N		
22.4	Track specific requirements		N		
22.4.1	Person providing protection		N		

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Applicable requirements identified by Client			Applicable requirements identified by Supplier		
Section	Topic	Other documents / Comments	Y / N	Y / N	Reference to explanation - see Section 2a in attached Guidance Notes
22.4.2	Possessions		N		
23	Removal of supplier's personnel from LU Premises		N		
24	Incidents		N		
25	Notification of regulatory concern or action		N		
26	Confidential Incident Reporting and Analysis System (CIRAS)		N		
27	Monitoring				
27.1	LU inspections		Y	Y	
27.2	Monitoring the supply chain		Y	Y	
27.3	Health, safety and environmental surveillance by the supplier's personnel		N		
27.4	Work location inspection and audit		Y	Y	
27.5	Timescales for rectifying non-compliances		Y	Y	
28	Radio transmitters and transceivers		N		
29	Mobile phones		N		
30	Knives		N		
31	Site health, safety and environment committee		N		
32	Site housekeeping and security		N		
33	Accidental damage, obstruction or interference with assets		N		
34	Delivery of materials		Y	Y	
35	Conveyance of loads				
35.1	Conveyance of loads on lifts and escalators		N		
35.2	Conveyance of hazardous materials and substances		N		
36	Asbestos (non asbestos removal projects)		N		
37	Working in or near lifts and escalators		N		
38	Work on or adjacent to utilities and High Voltage cables (buried services)		N		
39	Working on or about the track		N		
40	Access to electrical sub-stations, working equipment, relay and		N		

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Applicable requirements identified by Client			Applicable requirements identified by Supplier		
Section	Topic	Other documents / Comments	Y / N	Y / N	Reference to explanation - see Section 2a in attached Guidance Notes
	other secure rooms		N		
41	Entering areas with gaseous fire suppression systems				
42	Fire prevention				
42.1	General requirements		N		
42.2	Temporary fire points		N		
42.3	Timber		N		
42.4	Corrodes		N		
42.5	Sheeting materials		N		
42.6	Gas cylinders				
42.6.1	Use of gas cylinders in below ground locations		N		
42.6.2	Storage of gas cylinders (above ground)		N		
42.7	Flammable and highly flammable materials				
42.7.1	Use of flammable and highly flammable materials below ground		N		
42.7.2	Storage of flammable and highly flammable materials below ground		N		
43	Hot work and fire hazards				
43.1	Hot work		N		
43.2	Reasonable notice of works		N		
43.3	Precautions				
43.3.1	Buildings and assets		N		
43.3.2	Gas cylinders		N		
43.3.3	Gas detection		N		
44	Storage				
44.1	General requirements for storage		N		
44.2	Trackside storage		N		
44.3	Hazardous materials and substances		N		
44.4	Allocation of space on operational property		N		
45	Plant and equipment		N		
46	Clearance approvals		N		

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Applicable requirements identified by Client			Applicable requirements identified by Supplier		
Section	Topic	Other documents / Comments	Y / N	Y / N	Reference to explanation - see Section 2a in attached Guidance Notes
47	Access equipment		N		
48	Temporary works		N		
49	Temporary fences and hoardings		N		
50	Temporary lighting and power supplies		N		
50.1	General requirements		N		
50.2	Lighting in tunnels and shafts		N		
51	Screening of lights and positioning		N		
52	Environmental requirements		N		
52.1	General environmental requirements		N		
52.2	Environmental nuisance		N		
52.3	Water		N		
52.4	Waste management		N		
52.5	Noise and vibration		N		
52.6	Archaeology, historical interest and listed buildings		N		
52.7	Wildlife and Habitats		N		
52.8	Resource Use		N		
52.9	Pest control		N		
52.10	Land and water pollution prevention		N		
53	Quality requirements		N		
53.1	Records		N		
53.2	Retention period		N		
53.3	Availability of records for inspection		N		
53.4	Statistical process control, audit and inspection procedures		N		
53.5	General quality requirements		N		
53.6	Quality Plan		N		
53.7	Testing and inspection		N		
53.8	Certification of conformity		N		
53.9	Quarantine		N		

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Applicable requirements Identified by Client			Applicable requirements Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Y / N
53.10	Traceability		Y	Y
53.11	Maintenance and servicing		N	
53.12	Design		N	
53.13	Computer aided design		N	
53.14	Asset commissioning and handover		N	

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Other requirements / comments

Quality plan requires details of actual inspection and test regime to be applied to / for each Product range, and certification to be supplied with the Product Item or Material.

Reference clause 53.2: In line with TfL Information Management requirement is 7 years unless stated otherwise.

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Client/Supplier approval

Client Menu (Invitation to Tender)

Prepared by: [REDACTED] Signature: [REDACTED]

Approved by
(the Client's
representative): [REDACTED] Signature: [REDACTED]

Title: [REDACTED]

Address: Operations – London Underground |

Phone No: [REDACTED]

Email: [REDACTED]

Revision of this menu: _____

Supplier Menu (Tender)

Approved by
(the Supplier's): [REDACTED] Signature: [REDACTED]

Title: [REDACTED]

Address: [REDACTED]

Phone No: [REDACTED]

Email: [REDACTED]

Revision of this menu: _____

Contract Menu (Final Approval of Menu)

Evidence shall be recorded of any amendments to the Client's menu which were agreed in establishing the Contract Menu.

Client's
representative
approval: [REDACTED] Signature: [REDACTED]

Supplier's
representative
acceptance: [REDACTED] Signature: [REDACTED]

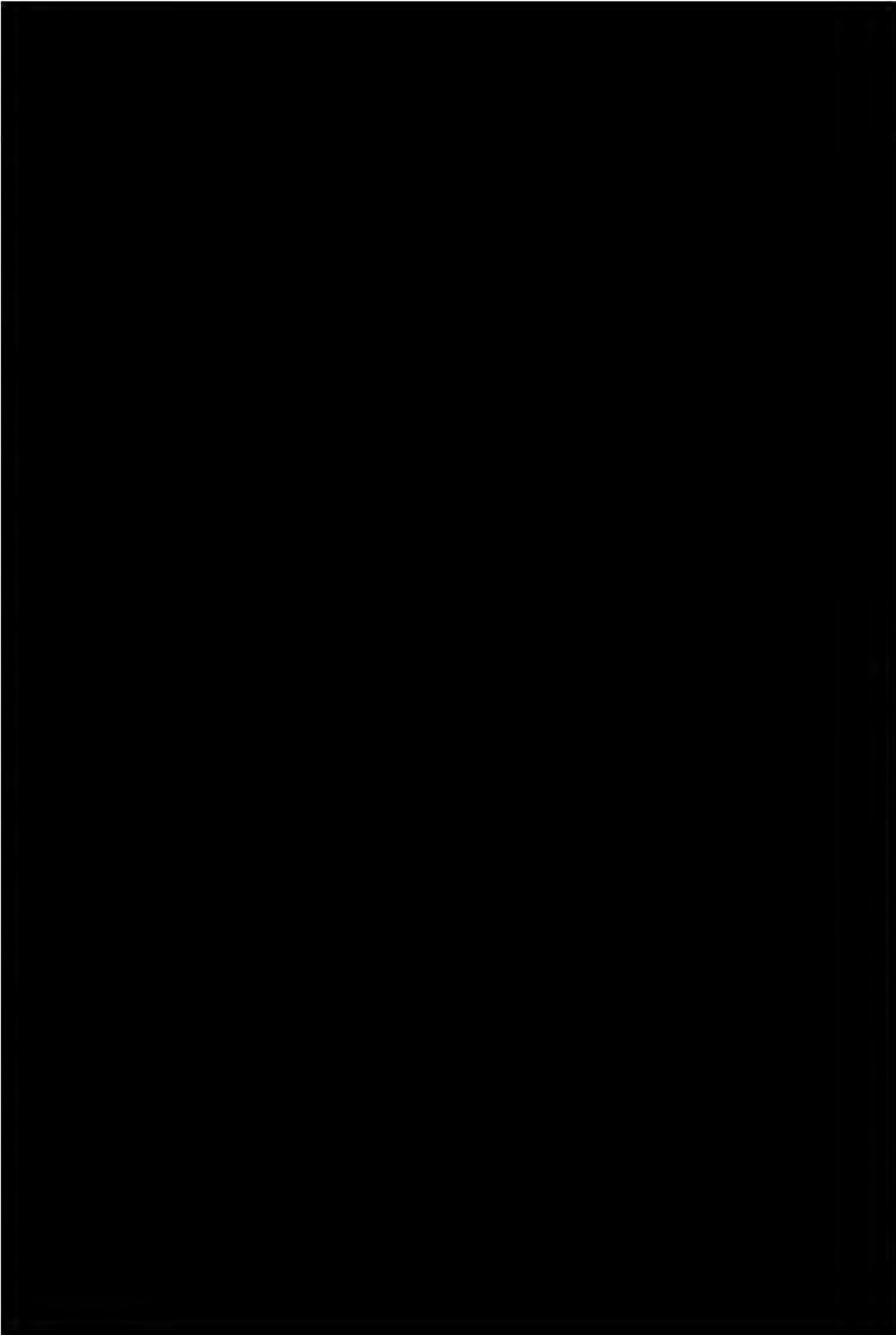
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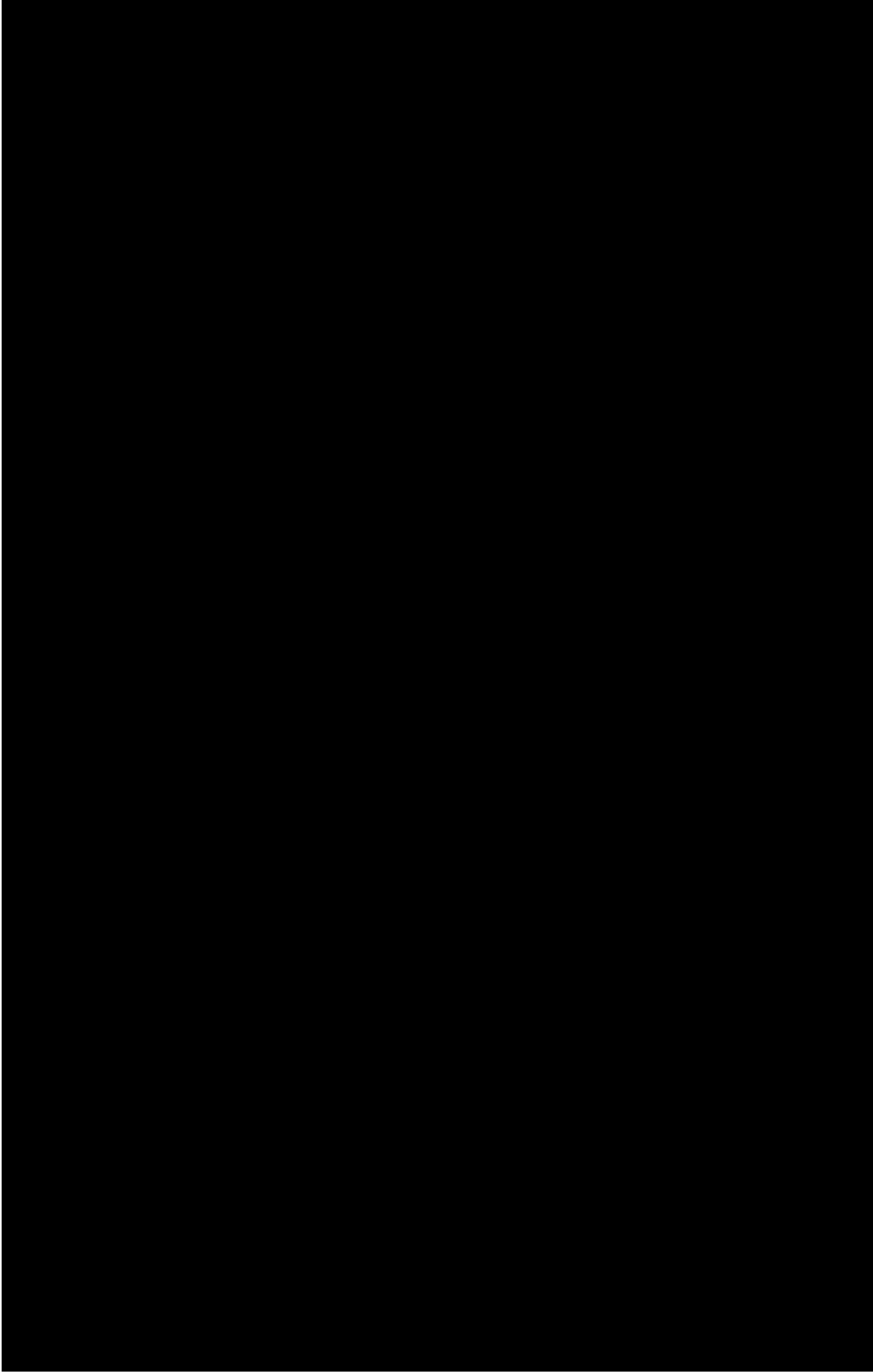
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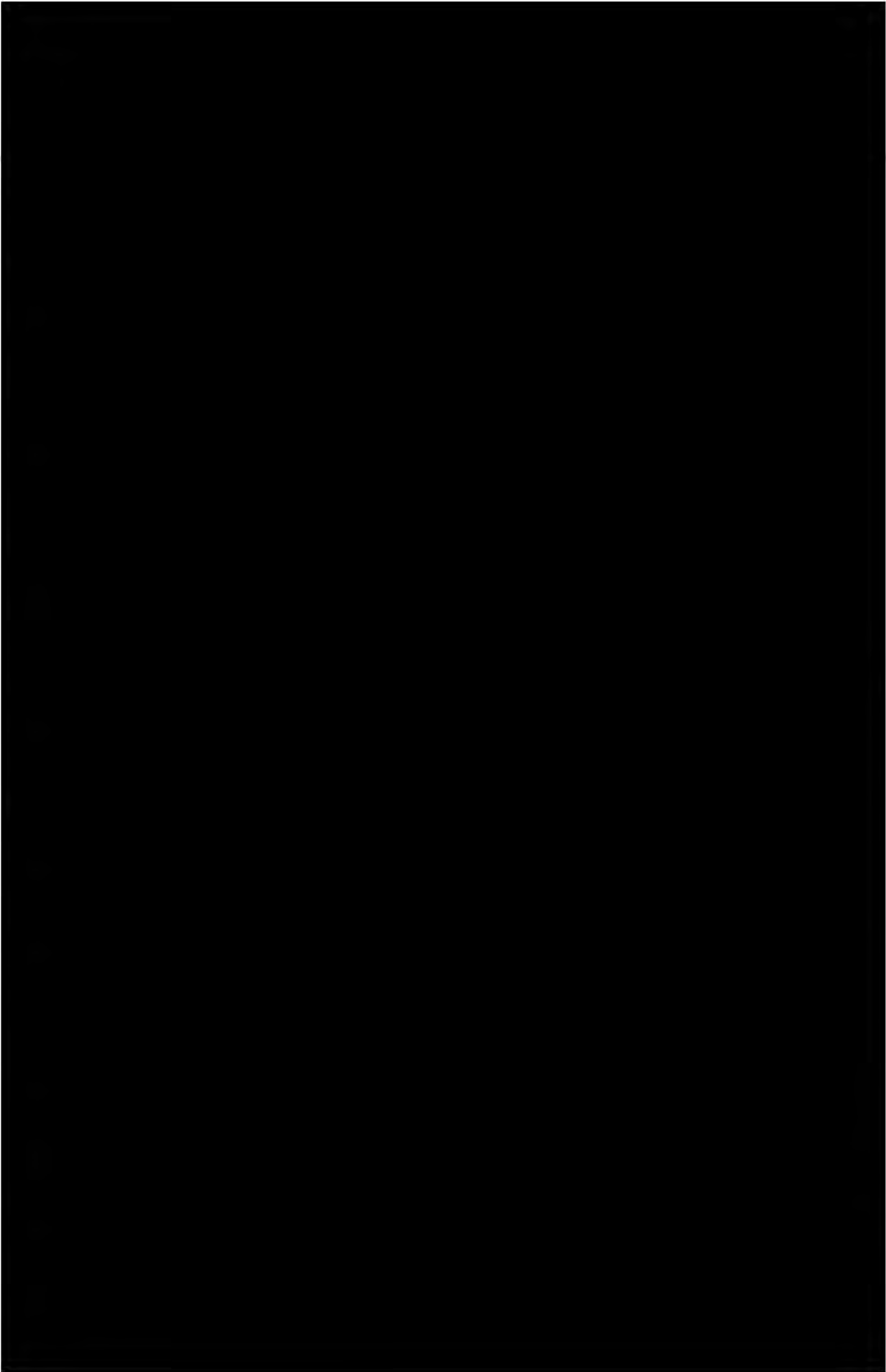
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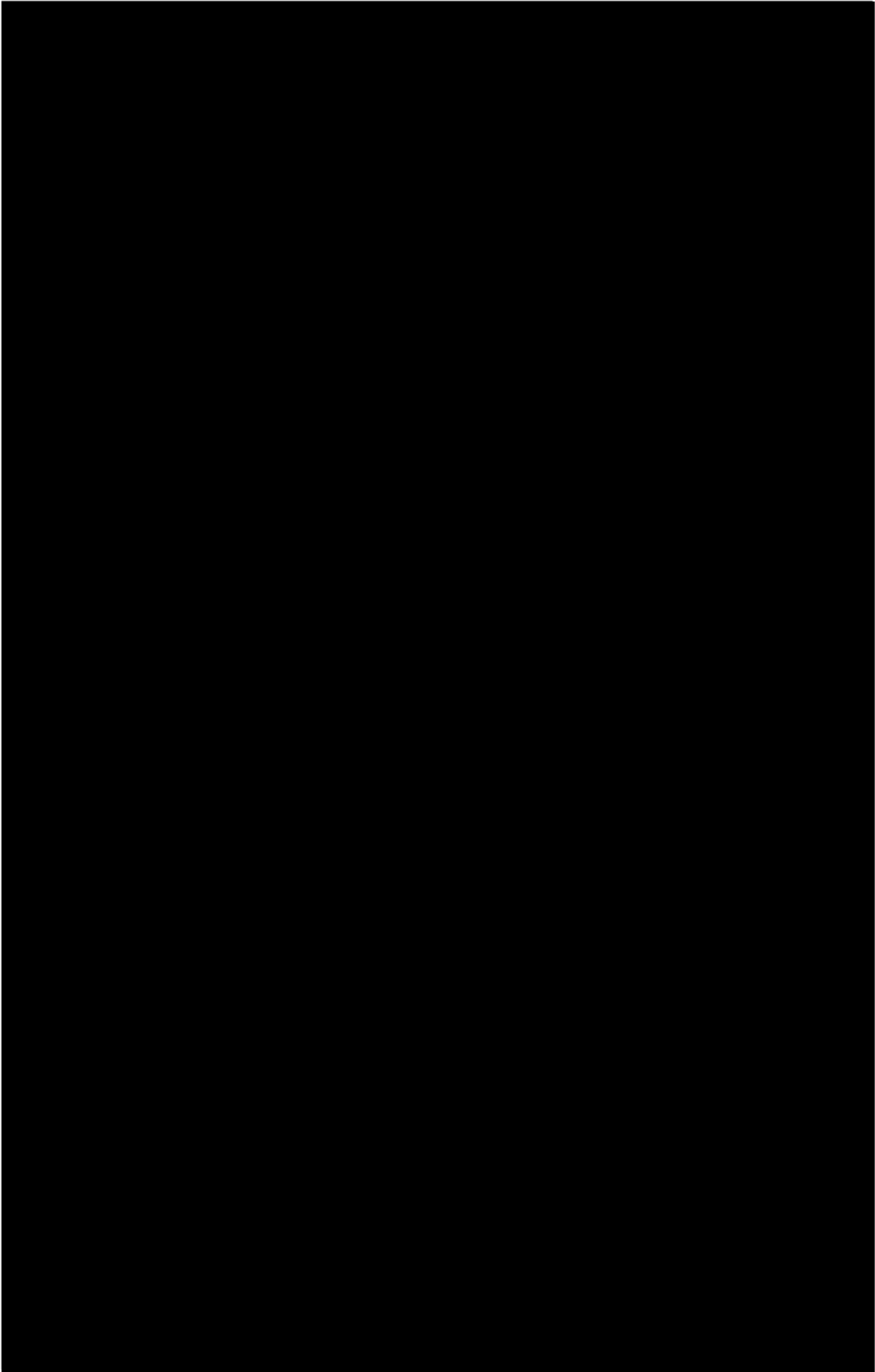
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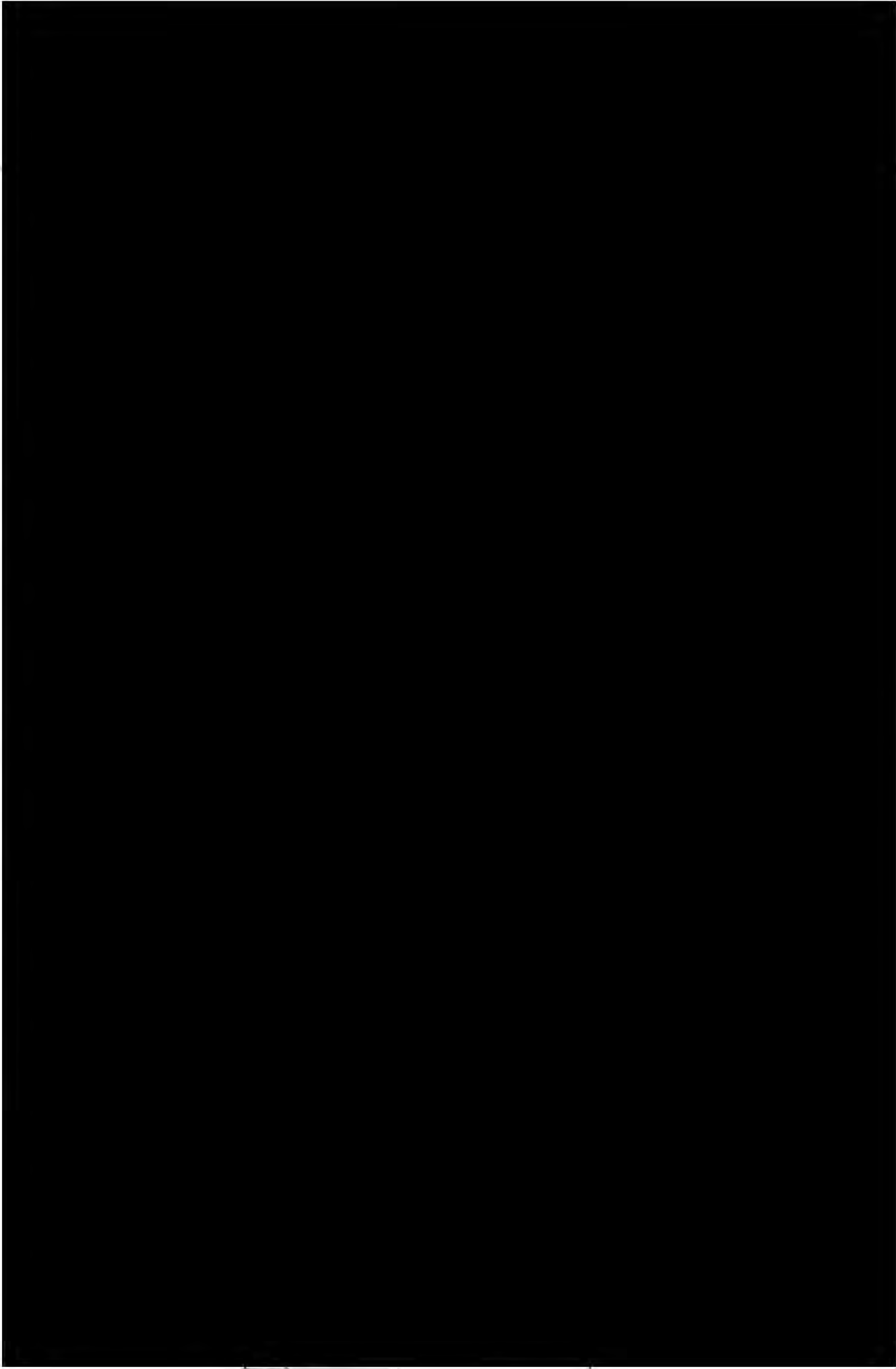












Schedule 7

Deed of Novation

THIS DEED is made day of 201[]

BETWEEN:

LONDON UNDERGROUND LIMITED a company registered in England and Wales under number 01900907 and having its registered office at 55 Broadway, London SW1H 0BD (the "**Company**"); and

[] a company registered in [England and Wales] under number [] and having its registered office at [] (the "**Supplier**"); and

[] a company registered in [England and Wales] under number [] and having its registered office at [] (the "**New Company**").

WHEREAS:

- (A) The Company has a framework agreement dated [] and referenced [insert contract number] with the Supplier pursuant to which contracts may be entered into for the provision of [describe in brief the scope of supply] (together the "**Contract**").
- (B) The Company wishes to transfer [part of] its benefit and burden under the Contract to the New Company.
- (C) The Supplier and the New Company have agreed to such transfer upon the terms and conditions of this Deed.

IT IS AGREED AS FOLLOWS:

- 1. In this Deed:
 - 1.1 "**Transfer Date**" means [].
- 2. With effect from the Transfer Date:
 - 2.1 the New Company undertakes to perform the obligations of the Company under the Contract and be bound by its terms in every way as if the New Company is and had been named at all times as a party to the Contract in lieu of the Company;
 - 2.2 the Supplier releases and discharges the Company from all demands and claims whatsoever in respect of the Contract and accepts the liability of the New Company in relation to the Contract in lieu of the liability of the Company and agrees to be bound by the terms of the Contract in

every way as if the New Company were and had been a party to the Contract at all times in lieu of the Company;

2.3 for the avoidance of doubt, it is hereby expressly agreed that:

2.3.1 any and all rights, claims, counter-claims, demands and other remedies of the Supplier against the Company accrued under or in connection with the Contract prior to the date hereof shall be exercisable and enforceable by the Supplier against the New Company; and

2.3.2 any and all rights, claims, counter-claims, demands and other remedies of the Company against the Supplier accrued under or in connection with the Contract prior to the date hereof shall be exercisable by the New Company against the Supplier.

2.4 the Company transfers its rights and obligations under the Contract to the New Company.

3. A person who is not a party to this Deed may not enforce any of its terms by virtue of the Contracts (Rights of Third Parties) Act 1999.

Executed as a deed by the parties and delivered on the date of this Deed

Executed as a deed by affixing the Common Seal of)

London Underground Limited)

in the presence of:-)

.....

[Authorised Signatory]

Executed as a Deed by [SUPPLIER])

acting by)

) Authorised Signatory

and

)

) Authorised Signatory

Executed as a Deed by [NEW COMPANY]

)

acting by

)

) Authorised Signatory

and

)

) Authorised Signatory

Schedule 8

Form of Parent Company Guarantee

THIS GUARANTEE is made the

day of

201

BETWEEN:

- (1) [] a company registered in England and Wales under number [] and having its registered office at [] (the "Guarantor");

- (2) London Underground Limited, a company registered in England and Wales under number 01900907 and having its registered office at 55 Broadway, London SW1H 0BD (the "**Company**" which expression shall include its successors in title and assigns); and

- (3) [] a company registered in England and Wales under number [] and having its registered office at [] (the "Supplier").

WHEREAS:

- (A) This Guarantee is supplemental to a framework agreement pursuant to which contracts may be made (together the "**Contract**") for the carrying out of the repair of Rotating Machines and the performance of related services at Supplier's premises made between (1) the Company and (2) the Supplier.

- (B) The Guarantor has agreed to guarantee to the Company the due and punctual performance of the Contract by the Supplier in the manner hereinafter appearing.

- (C) The Supplier is a party to this Guarantee in order to confirm its request that the Guarantor provide this Guarantee on the terms set out herein.

NOW IT IS HEREBY AGREED as follows:

1. The Guarantor unconditionally guarantees to the Company the proper and punctual performance and observance by the Supplier of all its obligations, warranties, duties, undertakings and responsibilities under the Contract and shall forthwith make good any default thereunder on the part of the Supplier and the Guarantor shall pay or be responsible for the payment by the Supplier to the Company of all sums of money, liabilities, awards, losses, damages, costs, charges and expenses that may be or become due and payable under or arising out of the Contract in accordance with its terms or otherwise by reason or in consequence of any such default on the part of the Supplier.

2. This Guarantee shall be a continuing guarantee and indemnity and accordingly shall remain in full force and effect until all obligations, warranties, duties and undertakings now or hereafter to be carried out or performed or observed by the Supplier under or arising out of the Contract have been duly and completely performed and observed in full.

3. The Guarantee is in addition to and not in substitution for any other security or warranty which the Company may at any time hold for the performance of any obligations, warranties, duties and undertakings under the Contract and may be enforced by the Company without first taking any proceedings or exhausting any right or remedy against the Supplier or any other person or taking any action to enforce any other security, bond or guarantee.
4. The Guarantor shall be under no greater obligation or greater liability under this Guarantee than it would have been under the Contract if it had been named as the Supplier in the Contract.
5. The obligations and liabilities hereunder shall remain in full force and effect and shall not be affected, lessened, impaired or discharged by:
 - (a) any alteration or variation to the terms of the Contract;
 - (b) any alteration in the extent or nature or sequence or method or timing or scope of the works, services or supplies to be carried out under the Contract;
 - (c) any extension of time being given to the Supplier or any other indulgence or concession to the Supplier or any forbearance, forgiveness or any other thing done, omitted or neglected to be done under the Contract;
 - (d) any other bond, security or guarantee now or hereafter held for all or any part of the obligations of the Supplier under the Contract;
 - (e) the release, modification, exchange or waiver of any such bond, security or guarantee;
 - (f) any amalgamation or reconstruction or dissolution including liquidation of the Supplier;
 - (g) the making of a winding up order, the appointment of a provisional liquidator, the passing of a resolution for winding up, liquidation, administration, receivership or insolvency of the Supplier;
 - (h) any legal limitation, disability or incapacity relating to the Supplier (whether or not known to you);
 - (i) any invalidity in, irregularity affecting or unenforceability of the obligations of the Supplier under the Contract;
 - (j) the termination of the Contract; or
 - (k) anything the Company or the Supplier may do or omit or neglect to do including, but without limitation, the assertion of or failure or delay to assert any right or remedy of the Company or the pursuit of any right or remedy by the Company.
6. Until all amounts which may be or become payable and all liabilities, obligations, warranties, duties and undertakings in respect of the Supplier's obligations have been irrevocably paid, performed or discharged in full, the Guarantor shall not, after a claim has been made or by virtue of any payment, performance or discharge by it under this Guarantee:

- (a) be subrogated to any rights, security or moneys held, received or receivable by the Company or be entitled to any right of contribution or indemnity in respect of any payment made or moneys received on account of the Guarantor's liability under this Guarantee;
 - (b) claim, rank, prove or vote as a creditor of the Supplier or its estate in competition with the Company unless the Company so directs; or
 - (c) receive, claim or have the benefit of any payment distribution or security from or on account of the Supplier, or exercise any right of set-off against the Supplier unless the Company so directs.
7. This Guarantee is irrevocable.
8. The benefit of this Guarantee may be assigned by the Company at any time to any assignee of the benefit of the whole of the Contract. No further or other assignments shall be permitted.
9. The Guarantor:
- (a) gives the guarantee contained in this Guarantee as principal obligor and not merely as surety;
 - (b) agrees to indemnify the Company on written demand against any loss or liability suffered by it if any provision set out in the Contract guaranteed by the Guarantor becomes unenforceable, invalid or illegal, and
 - (c) waives any right it may have of first requiring the Company to proceed against, or enforce any other rights or security or claim payment from, any person before claiming from the Guarantor under this Guarantee.
10. Until all amounts which may be or become payable in respect of the Supplier's obligations have been irrevocably paid in full by the Guarantor, the Company may:
- (a) refrain from applying or enforcing any other moneys, security or rights held or received by the Company in respect of those amounts, or apply and enforce the same in such manner and order as it sees fit (whether against those amounts or otherwise) and the Guarantor shall not be entitled to the benefit of the same; and
 - (b) hold in a suspense account any moneys received from the Supplier on account of these Supplier's obligations or on account of the Guarantor's liability under this Guarantee.
11. The Company is entitled to make any number of demands under this Guarantee.
12. The invalidity, illegality or unenforceability in whole of or in part of any provisions of this Guarantee shall not affect the validity, legality and enforceability of the remaining part or provisions of this Guarantee.

13. This Guarantee may be executed in any number of counterparts each of which shall be an original and all of such counterparts taken together shall be deemed to constitute one and the same instrument.
14. No person other than TfL (as such term is defined in the Contract) and its subsidiaries (as defined in section 1159 of the Companies Act 2006) shall have any right to claim or remedy under or pursuant to this Guarantee and the provisions of the Contracts (Rights of Third Parties) Act 1999 are hereby excluded.
15. This Guarantee, executed and delivered as a deed, shall be governed by and interpreted according to the laws of England and the Courts of England shall have exclusive jurisdiction save that the Company shall have the right to bring proceedings in the courts of any other jurisdiction in which any of the Guarantor's assets may be situated.
16. Not used

Executed as a deed by the parties and delivered on the date of this Guarantee

Executed as a Deed by [GUARANTOR]

)

acting by

)

) Authorised Signatory

and

)

) Authorised Signatory

Executed as a deed by affixing the Common Seal of

)

London Underground Limited

)

in the presence of:-

)

.....

[Authorised Signatory]

Executed as a Deed by [SUPPLIER]

)

acting by

)

) Authorised Signatory

and

)

) Authorised Signatory

Schedule 9

Form of Collateral Warranty

THIS AGREEMENT is made the

day of 20[1

BETWEEN : -

- (1) **London Underground Limited** registered in England and Wales under number: 01900907 and having its registered office at 55 Broadway, London SW1H 0BD (the "Company");

(2) [] a company registered in England and Wales under number: [] and having its registered office at [] (the "Sub-Contractor"); and

(3) [] a company registered in England and Wales under number: [] and having its registered office at [] (the "Supplier").

WHEREAS :

- (A) The Company has entered into a framework agreement, pursuant to which contracts may be made, with the Supplier (together the "**Main Contract**") pursuant to which the Supplier is to undertake and complete the following supply: the repair of Rotating Machines and the performance of related services (the "**Supply**").

(B) The Sub-Contractor has submitted a tender to the Supplier for the carrying out and completion of certain parts (the "**Sub-Contract Supply**") of the Supply referred to above as more particularly described in the tender.

NOW IN CONSIDERATION of the payment of £1 (one pound) by the Company to the Sub-Contractor (receipt of which the Sub-Contractor hereby acknowledges) IT IS HEREBY AGREED as follows:

1. The Sub-Contractor warrants to the Company that:

(a) the Sub-Contract Supply have been and will be carried out with the skill and care to be expected of appropriately qualified and experienced professional contractors with experience in carrying out works or services of a similar type, nature and complexity to the Sub-Contract Supply;

(b) reasonable skill and care has been and will continue to be exercised in connection with:

- (i) the design of any goods, works or services to the extent that the Sub-Contractor has or will be responsible for such design;
 - (ii) the selection of all goods and materials comprised in the Sub-Contract Supply (in so far as such goods and materials have been or will be selected by the Sub-Contractor);
 - (iii) the satisfaction of any performance specification or requirement in so far as the same are included or referred to in the contract between the Supplier and the Sub-Contractor in relation to the Sub-Contract Supply (the "**Sub-Contract**");
 - (iv) the execution and completion of the Sub-Contract Supply;
 - (v) the Sub-Contract Supply will, on completion of the Main Contract, comply with all Applicable Laws and Standards (as such capitalised terms are defined in the Main Contract);
- (c) the Sub-Contract Supply will be reasonably fit for the purposes for which they are intended (awareness of which purposes the Sub-Contractor hereby acknowledges) and in particular but without limitation will be so fit for the period and with a rate of deterioration reasonably to be expected of high quality, reliable, well designed and engineered goods, materials and construction; and
- (d) it has the right to grant to the Company all licences (including without limitation all rights to sub-licence) of all intellectual property rights as contemplated in this Agreement.

For the purposes of construing the warranties in this Clause 1 references to the Sub-Contract Supply shall include any part of the Sub-Contract Supply. Each warranty shall be construed as a separate warranty and shall not be limited by reference to, or reference from, the terms of any other warranty or any other term of the Sub-Contract.

2. The Sub-Contractor shall, save in so far as he is delayed by any event in respect of which the Supplier is granted an extension of time under the Main Contract for completion of the Supply:
- (a) Execute and complete the Sub-Contract Supply in accordance with the provisions of the Sub-Contract; and

(b) ensure that the Supplier shall not become entitled to any extension of time for completion of the Supply or to claim any additional payment under the Main Contract due to any failure or delay by the Sub-Contractor.

3. The Sub-Contractor shall from time to time supply the Company and the Supplier with such information as either may reasonably require.

4. To the extent that the intellectual property rights in any and all Documents have not already vested in the Company or the Supplier, the Sub-Contractor hereby grants to the Company an irrevocable, non-exclusive, non-terminable, royalty-free licence to copy and make full use of any and all Documents and all amendments and additions to them and any works, designs or inventions of the Sub-Contractor incorporated or referred to in them for the following purposes:

(a) understanding the Supply;

(b) operating, maintaining, repairing, modifying, altering, enhancing, re-figuring, correcting, replacing, re-procuring and re-tendering the Supply;

(c) extending, interfacing with, integrating with, connecting into and adjusting the Supply;

(d) enabling the Company to carry out the operation, maintenance repair, renewal and enhancement of the Underground Network (as such capitalised terms are defined in the Main Contract);

(e) executing and completing the Supply; and

(f) enabling the Company to perform its functions and duties as Infrastructure Manager and Operator of the Underground Network (as such capitalised terms are defined in the Main Contract)

provided always that the Supplier shall not be liable for the consequences of any use of the Documents as aforesaid for any other purpose. Such licence shall carry the right to grant sub-licences and shall be transferable to third parties without the prior consent of the Sub-Contractor.

For the purposes of this Clause, the term "**Documents**" shall mean documents, items of information, data, reports, drawings, specifications, plans, software, designs, inventions and any other materials provided by or on behalf of the Sub-Contractor in connection with the Sub-Contract (whether in existence or to be made).

5. The Sub-Contractor agrees:
 - (a) on request at any time to give the Company or any persons authorised by the Company access to the material referred to in Clause 4 and at the Company's expense to provide copies of any such material; and
 - (b) at the Sub-Contractor's expense to provide the Company with a set of all such material on completion of the Sub-Contract Supply.
6. The parties hereby agree that:
 - (a) this Agreement shall be personal to the Sub-Contractor;
 - (b) the Company may assign the benefit of this Agreement to any third party;
 - (c) the rights and remedies contained in this Agreement are cumulative and shall not exclude any other right or remedy available to either party in law or equity.
7. Not used
8. If any dispute of any kind whatsoever arises between the parties in connection with this Agreement or the Sub-Contract Supply which raises issues which are in opinion of the Company the same as or substantially the same as issues raised in a related dispute (the "**Related Dispute**") between the Company and the Supplier and such Related Dispute has already been referred to a conciliator or arbitrator appointed under the provisions to that effect contained in the Main Contract, then the Sub-Contractor hereby agrees that the Company may at his discretion by giving notice in writing to the Sub-Contractor refer the dispute arising out of this Agreement or the Sub-Contract Supply to the adjudicator, conciliator, arbitrator or other party (the "**Appointed Party**") appointed to determine the Related Dispute. In this event the Appointed Party shall have power to give such directions for the determination of the dispute and the Related Dispute as he may think fit and to make such awards as may be necessary in the same way as if the procedure of the High Court as to joining one or more defendants or joint co-defendants or third parties was available to the parties and to him.
9. (a) Neither the Sub-Contractor nor the Supplier shall exercise or seek to exercise any right which may be or become available to it to terminate or treat as terminated the Sub-Contract or discontinue or suspend the performance of any of its duties or obligations thereunder or treat the Sub-Contract as determined without first giving to the Supplier or the Sub-Contractor (as applicable) not less than 35 days prior written notice of its intention to do so, with a copy to the Company, specifying the Sub-

Contractor's or Supplier's grounds for terminating or treating as terminated the Sub-Contract or discontinuing or suspending its performance thereof or treating the Sub-Contract as determined.

- (b) If the Main Contract is terminated for any reason, within 35 days of such termination the Company may give written notice to the Sub-Contractor and to the Supplier (a "Step-in Notice") that the Company or its appointee shall henceforth become the Supplier under the Sub-Contract in accordance with the terms of sub-clause (c) below.
- (c) With effect from the date of the service of any Step-in Notice:
 - (i) the Company or its appointee shall be substituted in the Sub-Contract as the Supplier thereunder in place of the Supplier and references in the Sub-Contract to the Supplier shall be construed as references to the Company or its appointee;
 - (ii) the Sub-Contractor shall be bound to continue with the performance of its duties and obligations under the Sub-Contract and any exercise or purported exercise by the Sub-Contractor prior to the date of the Step-in Notice of any right to terminate or treat as terminated the Sub-Contract or to discontinue or suspend the performance of any of its duties or obligations thereunder or to treat the Sub-Contract as automatically determined shall be of no effect;
 - (iii) the Company shall become bound by the terms and conditions of the Sub-Contract in respect of all obligations and duties of the Supplier thereunder which fall to be performed after the date of the Step-in Notice and shall promptly thereafter make payment of any amounts properly due to the Sub-Contractor as at the date of the Step-in Notice and still outstanding; and
 - (iv) the Supplier shall be released from further performance of the duties and obligations of the Supplier under the Sub-Contract after the date of the Step-in Notice, but without prejudice to any rights and remedies of:
 - (1) the Sub-Contractor against the Supplier in respect of any matter or thing done or omitted to be done by the Supplier on or before the date of the Step-in Notice; and
 - (2) the Supplier against the Sub-Contractor in respect of any matter or thing done or omitted to be done by the Sub-Contractor on or before the date of the Step-in Notice.

- (d) Notwithstanding anything contained in this Agreement and notwithstanding any payments which may be made by the Company to the Sub-Contractor, the Company shall not be under any obligation to the Sub-Contractor and the Sub-Contractor shall not be under any obligation to the Company unless the Company shall have served a Step-in Notice pursuant to Clause 9(b) above.
10. The Sub-Contractor's liabilities, duties and obligations hereunder shall be no greater and of no longer duration than the liabilities, duties and obligations which the Sub-Contractor owes to the Supplier under the Sub-Contract.
11. The Sub-Contractor further undertakes to indemnify the Company from and against the consequences of any breach by the Sub-Contractor of any of the warranties, covenants and undertakings contained in this Agreement.
12. The rights and benefits conferred upon the Company by this Agreement are in addition to any other rights and remedies that the Company may have against the Sub-Contractor including, without prejudice to the generality of the foregoing, any remedies in negligence.
13. Nothing contained in this Agreement shall in any way limit the obligations of the Supplier to the Company arising under the Main Contract or otherwise undertaken by the Supplier to the Company in relation to the Sub-Contract Supply.
14. No amendment to this Agreement shall be valid unless it is in writing and signed by all parties.
15. Any person who is not a party to this Agreement may not enforce any of its terms under the Contracts (Rights of Third Parties) Act 1999.
16. This Agreement shall be governed by and construed in accordance with English law and shall be subject to the exclusive jurisdiction of the Courts of England and Wales.

Executed as deed by the parties and delivered on the date of this Agreement.

Executed as a deed by affixing the Common Seal of)

London Underground Limited)

in the presence of:-)

.....

[Authorised Signatory]

Executed as a Deed by [SUB-CONTRACTOR])

acting by)

) Authorised Signatory

and)

) Authorised Signatory

Executed as a Deed by [SUPPLIER])

acting by)

) Authorised Signatory

and)

) Authorised Signatory

Schedule 10

Supplier Performance

1. CONTRACTOR PERFORMANCE

1.1 KEY PERFORMANCE INDICATORS ("KPIs")

1.1.1 DELIVERY – The target is 100% on time delivery, to the agreed times included in the Specification or as otherwise agreed between the Parties. Failure to meet delivery times will attract the following abatements against the full order value of all parts due to be delivered in the measured period. This will be measured each Accounting Period.

Delivery Performance by Value	Abatement Attracted on Full Value of all orders
>= 99.00%	0%
97.00% - 98.99%	1%
95.00% - 96.99%	2%
90.00% - 94.99%	3%
80.00% - 89.99%	4%
<80.00%	5%

1.1.2 ADDITIONAL WORK – The contractor will inform and seek prior approval and formal sign off from relevant LUL authorised engineering personnel before commencing with any additional repair as listed in the specification.

1.2 SDI PERFORMANCE CRITERIA / SERVICE DELIVERY INDICATORS ("SDIs")

1.2.1 QUALITY – The Contractor will supply Goods with 0% defects. When defects are found the escalation process will begin in the following circumstances:

1.2.1.1 Non Safety Critical Parts

Defects found in 3 or more Accounting Periods over a rolling six Accounting Periods;

Defects found in over 2% of Goods supplied in an Accounting Period.

1.2.1.2 Safety Critical Parts

Any single defect

1.2.2 STOCK HOLDING – The Contractor shall maintain the value of agreed stock holding.

Where the stock holding is:

- below 100% for 4 or more Accounting Periods over a rolling six Accounting Periods;
- below 90% for 2 or 3 Accounting Periods over a rolling six Accounting Periods; or
- below 75% in any single Accounting Period

the escalation process shall begin.

2. ESCALATION PROCESS

In the event of unsatisfactory performance standards, including (but not limited to) failure to reach the targets set by the Service Delivery Indicators, failure to reach the targets set by the key performance indicators (in 1.1. above), faults open beyond the rectification time and any other deficiencies in performance, the escalation process shall be invoked by the Company in their absolute discretion.

The purpose of the escalation process is to provide a structured framework within which the Parties can address unsatisfactory performance standards against timescales and deliverable targets. For the purposes of this process notified levels of poor performance will be termed "Non-Conformances".

This procedure operates with four levels; the lowest level Non-Conformance being Level 1. Should Non-Conformances escalate they will receive an appropriate level of management intervention from the Company and the Contractor. Level 3 gives final review and opportunity for remedial actions to resolve issues before the Non-Conformance reaches Level 4, which will entitle the Company to terminate in accordance with Clause 21 of the Contract.

In the event that a performance issue is not resolved between the Company and the Contractor then the Non-Conformance may be raised formally to a Level 1 or Level 2 Non-Conformance, depending upon the severity of the performance failure. It is possible for a number of Level 1 and/or Level 2 issues to be in hand at any one time.

Summary of Escalation Process



TRIGGER	LEVEL	ACTION	BY	RESULT
Failure to rectify identified non-conformance issued as part of KPIs and/ or SDIs	LEVEL 1	Improvement plan with precise end date required. On going review dates specified.	Contractor	Satisfactory - Stop Unsatisfactory - Level 2
Level 1 re-occurrence Consistent failure to meet required requirement Safety Condition infringements.	LEVEL 2	Improvement plan with precise end date required. Ongoing review dates specified.	Contractor	Satisfactory - Stop Unsatisfactory - Level 3
Level 2 re-occurrence	LEVEL 3	Final review. Final opportunity for remedial action. Precise end date required.	Contractor	Satisfactory - Stop Unsatisfactory - Level 4
Level 3 re-occurrence	LEVEL 4	POSSIBLE TERMINATION		

Issues shall be resolved locally on a day-to-day basis to the mutual satisfaction of all Parties and shall not be raised to Level 1 without prior endeavours to resolve. At this stage of the process, the Contractor may be required to supply a root cause analysis and a recovery plan for the Company's approval.

Level 1

The Level 1 Non-Conformance will be recorded by the Company and a notice submitted to the Contractor. The Contractor shall in response (such response to be within 10 Business Days of service of the notice by the Company) prepare and submit to the Company, a Level 1 Non-Conformance Report. Such report will contain:

- **Confirmation of the date and details of the Level 1 Non-Conformance**
- **The steps to be taken by the Contractor to ensure there is no repetition of such Level 1 Non-Conformance (the "Level 1 Required Action")**

- **The time within which such Level 1 Required Action is to be completed (which shall be a reasonable period and no longer than the "Level 1 Rectification Period").**

The Contractor and the Company will use all reasonable endeavours to agree the Level 1 Rectification Period and the Level 1 Required Action. If the agreed Level 1 Required Action is carried out within the agreed Level 1 Rectification Period then the Non-Conformance will be classed as closed.

Level 2

If the Company determines, that a Non-Conformance should be treated as a Level 2 Non-Conformance; or the Contractor fails to provide the Company with a Level 1 Non-Conformance Report within 10 Business Days; or the Contractor fails to rectify the Level 1 Non-Conformance within the Level 1 Rectification Period, then this shall be a "Level 2 Non-Conformance" and the Company will submit a notice to the Contractor.

The Contractor shall in response (such response to be within 10 Business Days of service of the notice by the Company) prepare and submit to the Company a Level 1 Non-Conformance Report. Such report will contain:

- **The date and details of the Level 2 Non-Conformance.**
- **The Level 2 Required Action.**
- **The Level 2 Rectification Period.**

The Contractor and the Company will use all reasonable endeavours to agree the Level 2 Rectification Period and the Level 2 Required Action.

If the Level 2 Required Action is taken within the agreed Level 2 Rectification Period then the Non-Conformance will be considered resolved. However, a record of the Non-Conformance will be made and Level 2 trends monitored.

Level 3

If The Company determines, that a Non-Conformance should be treated as a Level 3 Non-Conformance; or the Contractor fails to provide the Company with a Level 2 Non-Conformance Report within 10 Business Days; or the Contractor fails to rectify the Level 2 Non-Conformance within the Level 2 Rectification Period, then this shall be a "Level 3 Non-Conformance" and the Company will submit a notice to the Contractor.

The Contractor will provide the Company a report (a "Level 3 Non-Conformance Report"), setting out the steps which the Contractor has taken, or will take, to ensure that no further Non-Conformances of this type shall arise (the "Level 3 Required Action") ; and the period (being no greater than 2 months from the time of occurrence of the Level 3 Non-Conformance for the Contractor to put in place steps to ensure that no further Non-Conformances of the same type occur (the "Level 3 Rectification Period").

Level 4

The Contractor fails to provide the Company by the agreed deadline, a Level 3 Non-Conformance Report; or the Contractor fails to undertake the Level 3 Required Action within the Level 3 Rectification Period; or the Contractor fails to rectify the Level 3 Non-Conformance within the Level 3 Rectification Period.

Schedule 11

Heavy Goods Vehicle Direct Vision Standard Schedule

1 Introduction

In this Schedule, the following terms shall have the corresponding meanings:

"Agreed DVS Plan" means the Initial DVS Plan as updated and approved in accordance with the terms of this Schedule;

"Business Day" means any day excluding Saturday, Sundays or public or bank holidays in England; and

"Initial DVS Plan" means the initial plan set out at Appendix 1 which sets out and proposes how the Supplier shall ensure that:

- (a) from and including 26 October 2019, all Category N3 HGVs used in the provision of the Goods and Services achieve a minimum of a one (1) star Direct Vision Standard rating;
- (b) from and including 26 October 2023 all Category N3 HGVs used in the provision of the Goods and Services achieve a minimum of three (3) star Direct Vision Standard rating.

2 DVS Plan

2.1 The Supplier shall comply with the Initial DVS Plan from the Commencement Date. Within fifteen (15) Business Days of the Commencement Date the Company shall either:

2.1.1 confirm that the Initial DVS Plan is approved, in which case such plan shall become the Agreed DVS Plan; or

2.1.2 provide the Supplier with any comments on and/or amendments to the Initial DVS Plan.

2.2 Within thirty (30) Business Days (for the purpose of paragraph 2.1.2) or 15 Business Days (for the purpose of paragraph 2.3.2) of receipt of any comments and/or amendments from the Company in accordance with paragraph 2.1.2 or paragraph 2.3.2 (as applicable), the Supplier shall:

2.2.1 develop the Initial DVS Plan to reflect such comments and/or amendments; and

2.2.2 submit an updated Initial DVS Plan to the Company for approval.

2.3 Within fifteen (15) Business Days of receipt of the updated Initial DVS Plan, the Company shall confirm that either the updated Initial DVS Plan:

2.3.1 is approved, in which case it shall become the Agreed DVS Plan; or

2.3.2 not approved and provide its further comments and/or amendments to the Supplier and the Supplier shall revise and re-submit the updated Initial DVS Plan for approval in accordance with paragraph 2.2.

The process set out in this paragraph 2.3 shall be repeated until the updated Initial DVS Plan is approved by the Company.

2.4 Where the Company, acting reasonably, has not approved the updated Initial DVS Plan, the Supplier may refer that decision to the dispute resolution process set out in the Agreement.

2.5 Without limiting any other provision of this Agreement, the Supplier shall, at no additional cost to the Company, and as part of the Goods and Services:

2.5.1 implement, observe and comply with the Agreed DVS Plan; and

2.5.2 review and amend the Agreed DVS Plan (as necessary) on each 12 month anniversary of the Commencement Date or earlier if requested by the Company, to reflect:

2.5.2.1 any changes to the nature of the Goods and Services; and

2.5.2.2 any comments and/or amendments made or proposed by the Company.

3 DVS Co-ordinator

3.1 The Supplier shall nominate an employee with the necessary experience, competency and authority to:

3.1.1 be responsible for implementation and compliance with the Agreed DVS Plan; and

3.1.2 act as the Supplier's authorised representative on all matters concerning the Agreed DVS Plan ("HGV DVS Co-ordinator").

4 Self Certification and Reporting

On each 12 month anniversary of the Commencement Date, the Supplier shall submit a report to the Company which sets out the Supplier's progress in respect of implementation of the

Agreed DVS Plan and confirms (with supporting evidence) that the Supplier has complied with the Agreed DVS Plan.

APPENDIX 1 TO SCHEDULE 11

Appendix 15 – Initial HGV DVS Plan Template

HGV DVS Plan Template

Note to bidders

The HGV DVS Plan Template aims to provide a consistent approach for how Bidders plan to meet their HGV DVS commitments to deliver the Services through the WRRR requirements

Contact details

Supplier			
Contract name		Contract ref	
HGV DVS Coordinator		Name	
Job title		Email	
Phone number		Mobile number	

Contract overview

Please provide an overview of the HGV delivery and servicing requirements of the contract to which the HGV DVS requirements are applicable and have been applied (max 250 words)

--

HGV DVS Planned Outputs

Please complete the following tables outlining the planned outputs to meet your HGV DVS commitments to deliver the Services.

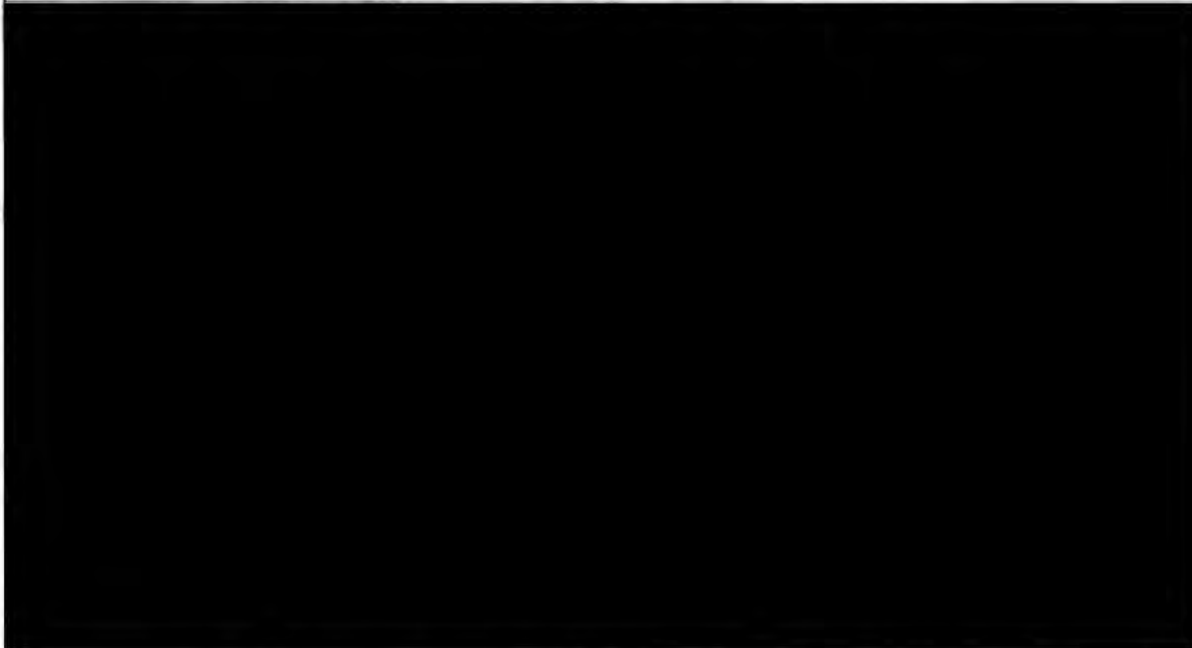
Fleet details	Metric	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5
Does Service Provider operate 'own account' Lorries to deliver the services?	Y/N						
How many own account N3 Category Lorries does the Service Provider operate to deliver the services?	Quantity						
How many sub-contract fleet operators does the Service Provider employ to deliver the services?	Quantity						
How many sub-contract N3 Category Lorries does the Service Provider operate to deliver the services?	Quantity						
What is the total number of N3 Category Lorries employed to deliver the services?	*Check						

HGV DVS Rating	Priority	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5
What is the total number of zero-star rated N3 Category Lorries employed to deliver the services?	High						
What is the total number of one star rated N3 Category Lorries employed to deliver the services?	Medium						
What is the total number of two-star rated N3 Category Lorries employed to deliver the services?	Medium						
What is the total number of three-star rated N3 Category Lorries employed to deliver the services?	Low						
What is the total number of four-star rated N3 Category Lorries employed to deliver the services?	Low						
What is the total number of five-star rated N3 Category Lorries employed to deliver the services?	Low						
What is the total number of N3 Category Lorries employed to deliver the services?	*Check						

HGV DVS method statement

Please provide details of the activities you will undertake to achieve the HGV DVS Planned Outputs. This should include any information and assumptions made to inform the HGV DVS Plan and any engagement with Sub-Contractors, vehicle suppliers and Off-road Site operators that are relevant to the outputs you have indicated in your HGV DVS Plan.

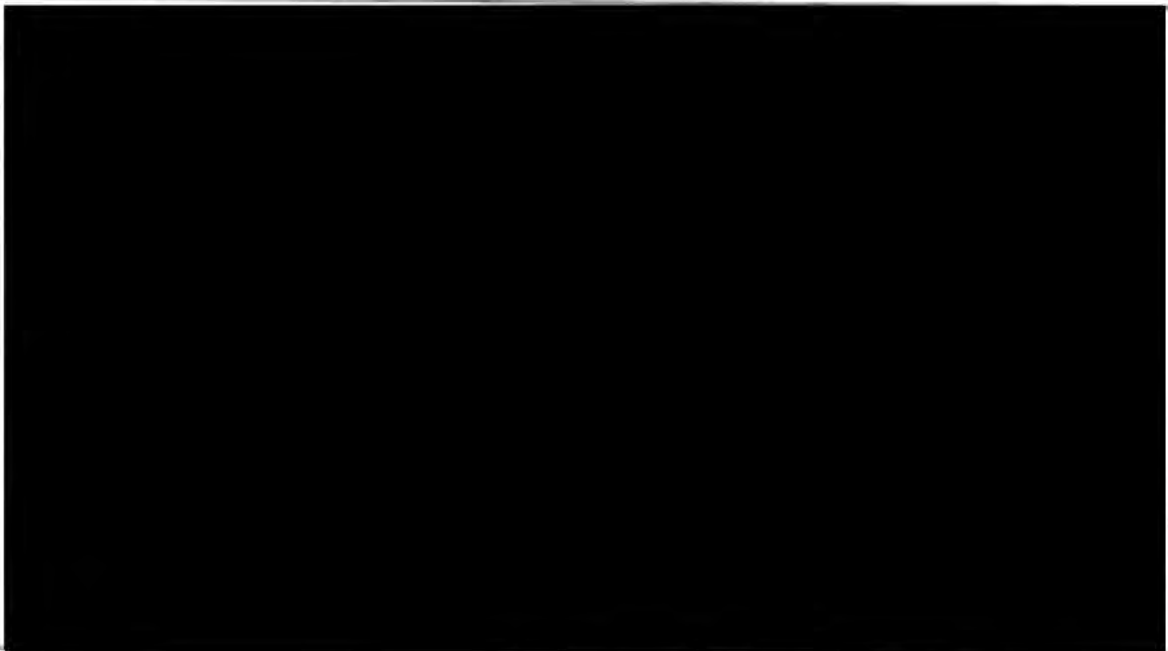
Please provide an overview of how Service Provider own account vehicle fleet used to deliver the Services will meet the outputs of the HGV DVS Plan (Max 250 words)

A large rectangular area that has been completely redacted with a solid black fill, obscuring any text or graphics that might have been present.

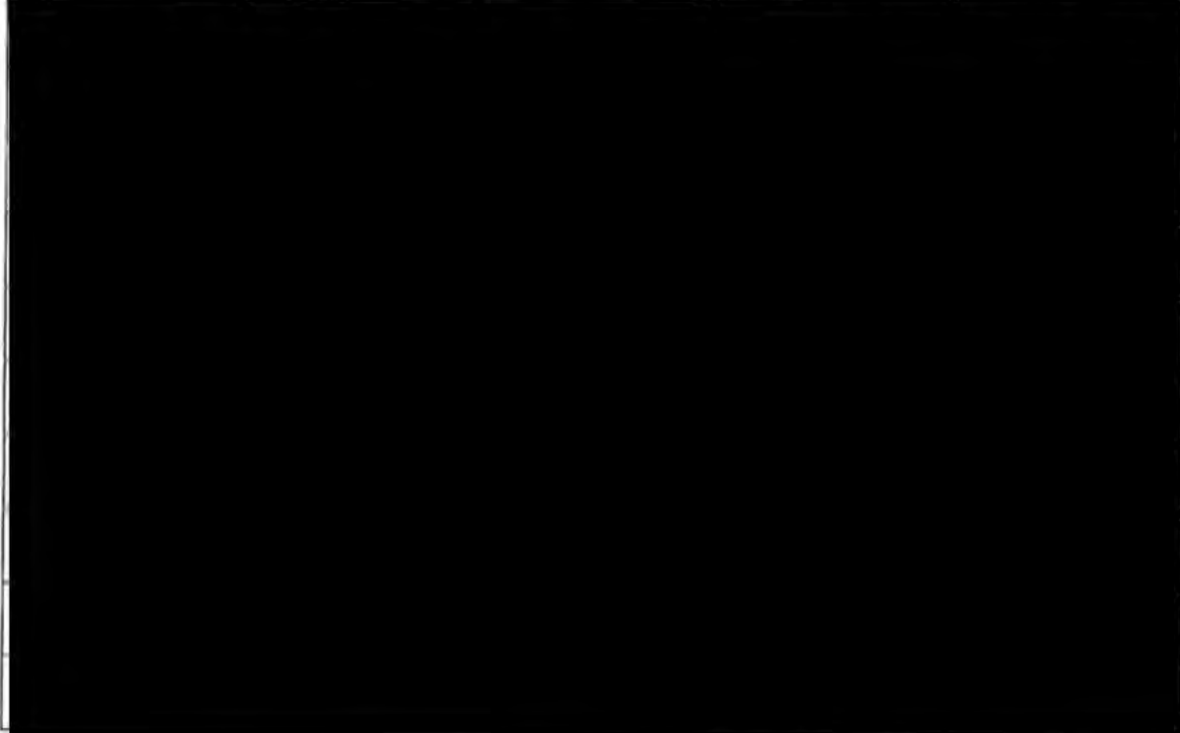
Please provide an overview of the engagement activities with Sub-Contractors that are required to deliver the Services, will meet the outputs of the HGV DVS Plan (max 250 words)

A large rectangular area that has been completely redacted with a solid black fill, obscuring any text or graphics that might have been present.

Please provide an overview of any Service Provider owned or operated Off-road Sites engagement activities with Off-Road Site operators used to deliver the Services will meet the outputs of the HGV DVS Plan (Max 250 words)



Please provide details of the Off-Road Sites that are required to deliver the Services.

Site name	Site operator	Site location	Site type	Ground rating
				

EXECUTION PAGE:

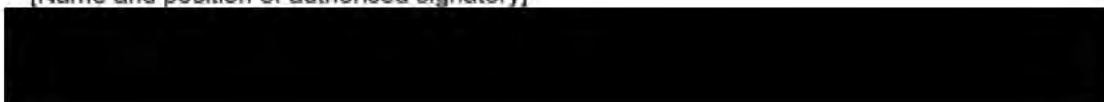
This Agreement has been signed by for and on behalf of the parties on the day and year written above.

Signed by



for and on behalf of **London Underground Limited**

[Name and position of authorised signatory]



Signed



for and on behalf of **PARSONS PEEBLES Limited**

[Name and position of authorised signatory]



