

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Order Form

Call-Off Reference: Jaggaer Prj_2598, DOS6 tender ref 33943.

Call-Off Title: UK-Emissions Trading Scheme ref (UK-ETS) Digital Services Development

Date of Issue: Per below stated 'Call-Off Start Date'.

Call-Off Contract Description:

UK Emissions Trading Scheme (UK ETS) was established in January 2021 with the aim to align it with the UK's world leading net zero commitment.

In March 2022 the UK ETS Authority – made up of the UK Government, Scottish Government, Welsh Government and the Department of Agriculture, Environment and Rural Affairs in Northern Ireland – consulted on proposals to develop the UK ETS, capping a greater proportion of UK emissions to further contribute to delivering net zero and UK carbon reduction targets at lowest cost for industry.

The UK ETS currently includes installations and aviation, and it is planned to be extended to other sectors which include maritime, waste and other industrial sectors (e.g. venting of greenhouse gases from oil and gas). Subject to further consultation on the details of implementation, the UK ETS Authority intends to expand the scope of the UK ETS to include domestic maritime by 2026, and energy from waste and waste incineration in 2028 (preceded by a two-year phasing period from 2026-2028).

The intended UK ETS expansion is to include additional areas and continuous improvements or enhancements of the existing functionality and may require development and extension of the digital services to support the new service requirements.

This agreement is between:

- (1) **Secretary of State for Energy Security and Net Zero**, acting as part of the Crown (**Authority or 'Buyer'**), with Department of Energy Security and Net Zero located at 3-8 Whitehall Place, London SW1A 2EG
- (2) **Network Research Belgium ("NRB SA")**, incorporated and registered in Belgium with company number **BE 0430.502.430** whose registered office is at **Parc industriel des Hauts Sarts, 2ème Avenue, 65, B-4040 Herstal, Belgium (Lead Supplier)**
- (3) **Uni Systems Information Technology Systems Commercial S.M.S.A.**, incorporated and registered in Greece with company number **121831201000** whose registered office is at **19-23, Al. Pantou Str. 176 71, Kallithea, Greece (Consortium Supplier)**

(together the Lead Supplier and Consortium Supplier, constitute the "**Supplier**".)

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Applicable Framework Contract

This Order Form is for the provision of the Call-Off Deliverables, and is issued on the date stated above.

It's issued under the Framework Contract with the reference number RM1043.8 for the provision of Digital Outcomes Deliverables.

The Parties intend that this Call-Off Contract will not, except for the first Statement of Work which shall be executed at the same time that the Call-Off Contract is executed, oblige the Buyer to buy or the Supplier to supply Deliverables.

The Parties agree that when a Buyer seeks further Deliverables from the Supplier under the Call-Off Contract, the Buyer and Supplier will agree and execute a further Statement of Work (in the form of the template set out in Annex 1 to this Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)).

Upon the execution of each Statement of Work it shall become incorporated into the Buyer and Supplier's Call-Off Contract.

Call-Off Lot

Lot 1: Digital Outcomes.

Call-Off Incorporated Terms

The following documents are incorporated into this Call-Off Contract. Where numbers are missing we are not using those schedules. If the documents conflict, the following order of precedence applies:

- 1 This Order Form including the Call-Off Special Terms and Call-Off Special Schedules.
- 2 Joint Schedule 1 (Definitions) RM1043.8
- 3 Framework Special Terms
- 4 The following Schedules in equal order of precedence:
 - Joint Schedules for RM1043.8
 - Joint Schedule 2 (Variation Form)
 - Joint Schedule 3 (Insurance Requirements)
 - Joint Schedule 4 (Commercially Sensitive Information)
 - Joint Schedule 6 (Key Subcontractors)
 - Joint Schedule 10 (Rectification Plan)
 - Joint Schedule 11 (Processing Data) RM1043.8

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

- Call-Off Schedules for RM1043.8
 - Call-Off Schedule 3 (Continuous Improvement)
 - Call-Off Schedule 5 (Pricing Details and Expenses Policy)
 - Call-Off Schedule 6 (Intellectual Property Rights and Additional Terms on Digital Deliverables)
 - Call-Off Schedule 7 (Key Supplier Staff)
 - Call-Off Schedule 8 (Business Continuity and Disaster Recovery)
 - Call-Off Schedule 10 (Exit Management)
 - Call-Off Schedule 13 (Implementation Plan and Testing)
 - Call-Off Schedule 14 (Service Levels and Balanced Scorecard)
 - Call-Off Schedule 15 (Call-Off Contract Management)
 - Call-Off Schedule 20 (Call-Off Specification)

5 CCS Core Terms (version 3.0.11)

6 Joint Schedule 5 (Corporate Social Responsibility) RM1043.8

7 Call-Off Schedule 4 (Call-Off Tender) as long as any parts of the Call-Off Tender that offer a better commercial position for the Buyer (as decided by the Buyer) take precedence over the documents above.

No other Supplier terms are part of the Call-Off Contract. That includes any terms written on the back of, added to this Order Form, or presented at the time of delivery.

Call-Off Special Terms

The following Special Terms are incorporated into this Call-Off Contract:

Special Terms or Conditions
<p>Deliverables will be agreed on a quarterly basis, i.e. in month 2 for months 4, 5 and 6. Payment timing will be subject to delivery to the Contracting Authority's satisfaction.</p> <p>With the Contracting Authority product owner's help, the development will be prioritised to take advantage of when requirements are clarified, and so that delivery of the services can be aligned to any ongoing changes to legislation and policy. At the moment the following events are anticipated during the project timeline:</p> <ul style="list-style-type: none">● Jan to Jun 2024/Q1/Q2 – the new supplier is expected to work closely with the incumbent suppliers to ensure continuity of service and integrate and coordinate new development where required. This will include:<ul style="list-style-type: none">○ handover of source code, design and architecture of the existing application to build the requirement stated in this tender○ application and infrastructure deployment pipeline for the new parts of the digital services○ agree process for provisioning and updating infrastructure with the incumbent DevOps provider and taking responsibility for the new requirements○ Agree process, roles and responsibilities for monitoring and remediation of security and performance related issues with the incumbent supplier

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

- Integrate with level 1 service desk to provide level 2 and 3 support and maintenance for the new work
- Also rolling delivery of UK ETS Digital Services work inc. the start of Waste discovery and alpha
- Jul to Sep 2024/Q3 – (rolling delivery of UK ETS Digital Services work)
- Oct to Dec 2024/Q4 – maritime consultation concludes, completed Installation Operators ('IO') and Aviation Operators ('AO') METS enhancements December 2024. Waste consultation concludes.
Jan to Mar 2025/Q5 – maritime emissions monitoring plans to be ready i.e. system must effectively be live by Jan 2025, and Registry priority items
- Apr to June 2025/Q6 – (rolling delivery of UK ETS Digital Services work)
- Jul to Sep 2025/Q7 – waste MVP (UK ETS Digital Services) requires monitoring plans (like installations) by July '25
- Oct to Dec 2025/Q8 – (rolling delivery of UK ETS Digital Services work)
Jan 2026 – all other requirements to have been met e.g. full delivery of maritime and waste.

The supplier is required to create an outline delivery plan with key deliverables and milestones for the duration of the contract saying how it meets our requirements and how the supplier will deliver it - this will include covering the need to deliver against different requirements in parallel.

The Authority reserves the right to instigate a 'break clause' between private and public beta to allow for assessment and the contracting authority to fully or partially terminate the contract and private beta phase should it not be successful.

In addition (at the discretion of the Contracting Authority), 5% of each payment milestone may be withheld and payable at the satisfactory conclusion of the contract – this will be agreed at the time that each Statement Of Work ('SOW') is prepared.

Agreed amendments to CCS Core Terms (version 3.0.11)

Core Terms Clauses 4.9 and 4.10 (regarding favourable commercial terms available elsewhere), shall not apply to this Call Off contract.

Core Terms Clause 11.2 shall be deleted and replaced with the following: "Each Party's total aggregate liability in each Contract Year under each Call-Off Contract (whether in tort, contract or otherwise) is no more than 100% of the Estimated Yearly Charges unless specified in the Call-Off Order Form."

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Call-Off Start Date: **18/03/2024**

Call-Off Expiry Date: **17/03/2026**

Call-Off Initial Period: 2 (two) years

Call-Off Optional Extension Period: Not anticipated at time of award.

Minimum Notice Period for Extensions: 1 (one) month

Call-Off Contract Value: **GBP £5,647,161.54 (this is the anticipated contract value and is not a commitment to spend. Each Statement of Work will detail the committed expenditure).**

Call-Off Deliverables

See details in Call-Off Schedule 20 (Call-Off Specification)

Warranty Period

The Supplier shall provide digital and Software Deliverables with a minimum warranty of at least 90 days against all obvious defects, and in relation to the warranties detailed in Paragraphs 4 (licensed Software warranty) and 9.6.2 (Specially Written Software and New IPRs) of Call-Off Schedule 6 (IPRs and Additional Terms on Digital Deliverables).

Buyer's Standards

From the Start Date of this Call-Off Contract, the Supplier shall comply with the relevant (and current as of the Call-Off Start Date) Standards referred to in Framework Schedule 1 (Specification). The Buyer requires the Supplier to comply with the following additional Standards for this Call-Off Contract:

National Cyber Security Centre standards

The Supplier is required to perform security vulnerability/penetration testing using a NCSC CHECK approved supplier and ensure that there are no Critical or Major findings in the system. Additionally, the Supplier is required to perform the 'pen' testing annually and remediate any critical and major findings.

Government Design Principles and Standards (GDS)

The Supplier is required to comply with the UK Government's Digital Service Standard (GDS) and specifically Secure by Design guidelines

OWASP ASVS v4 Level 3

The Supplier is required to deliver the application and infrastructure compliant with over 90% pass rate against the ASVS L3 standard

The Technology Code of practice

The Supplier is required to comply with the UK Gov CDDO Technology Code of Practice (TCoP) for development of all new services and infrastructure and continue to comply with the TCoP for enhancements and improvements to existing services.

The 2020 Scrum Guide

Required to comply with following security standards
<https://www.gov.uk/government/publications/security-policy-framework>

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

<https://www.security.gov.uk/guidance/secure-by-design/principles/> Requirement to comply with government fraud and security standards – as defined by NCSC and GDS

Cyber Essentials Scheme

The Buyer requires the Supplier, in accordance with Call-Off Schedule 26 (Cyber Essentials Scheme) to obtain a Cyber Essentials Certificate prior to commencing the provision of any Deliverables under this Call-Off Contract, such certificate shall be available to the Buyer upon request. Details of the Cyber Essentials scheme can be found in PPN 09/23 which can be found on Gov.uk at the following URL:

<https://www.gov.uk/government/publications/ppn-0923-updates-to-the-cyber-essentials-scheme>.

Maximum Liability

The limitation of liability for this Call-Off Contract is stated in Clause 11.2 of the Core Terms as amended by the Framework Award Form Special Terms.

The Estimated Year 1 Charges used to calculate liability in the first Contract Year is £2,570,653.00.

Call-Off Charges

Only the Charging method(s) stated below may be used in each Statement of Work:

- 1 Fixed Price (per individual statements of work)
- 2 Time and Materials (T&M) including Capped T&M.
- 3 A combination of two or more of the above Charging methods.

Where non-UK Supplier Staff (including Subcontractors) are used to provide any element of the Deliverables under this Call-Off Contract, the applicable rate card(s) shall be incorporated into Call-Off Schedule 5 (Pricing Details and Expenses Policy) and the Supplier shall, under each SOW, charge the Buyer a rate no greater than those set out in the applicable rate card for the Supplier Staff undertaking that element of work on the Deliverables.

All changes to the Charges must use procedures that are equivalent to those in Paragraph 4 in Framework Schedule 3 (Framework Prices), a copy of which can be found at https://assets.crowncommercial.gov.uk/wp-content/uploads/RM1043.8_Framework-Schedule-3-Framework-Prices-v1.0-1.odt.

Reimbursable Expenses

See Expenses Policy in Annex 1 to Call-Off Schedule 5 (Pricing Details and Expenses Policy).

Payment Method

Payment shall be in arrears in accordance with any payment schedule and/or payment schedule detailed within individual Statement(s) of Work.

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Buyer's Invoice Address

Details will be provided within the Authority's Purchase Order(s).

Payment Terms

Per Core Terms Clause 4.4. The Buyer must pay the Supplier the Charges within 30 days of receipt by the Buyer of a valid, undisputed invoice.

Buyer's Authorised Representatives

[NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION] (Contract Manager)

Delivery Manager

[EMAIL ADDRESS REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

3-8 Whitehall Place, London SW1A 2EG

[NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION] (Delivery Manager)

[EMAIL ADDRESS REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

3-8 Whitehall Place, London SW1A 2EG

Buyer's Environmental Policy

The Supplier shall comply with the Buyer's Environmental Policy, a copy of which is appended below. The policy might be amended from time to time, copies of which shall be available upon request.



DESNZ
Environmental Policy

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Buyer's Security Policy

Prior to their deployment, supplier resources shall be BPSS vetted. This shall be at the supplier's cost (if a cost is applicable).

Where asked to do so, the Supplier shall comply with applicable elements of the Buyer's security policy, which is currently detailed on the Buyer's intranet pages. Where applicable, the Buyer will provide the Supplier with the appropriate details.

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Supplier's Authorised Representative

[NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION] Service Delivery Manager

[EMAIL ADDRESS REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

67, Ethnikis Antistasis Street, 15231 Chalandri, GREECE

Supplier's Contract Manager

[NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION] Project Management Office (PMO) Manager

[EMAIL ADDRESS REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

67, Ethnikis Antistasis Street, 15231 Chalandri, GREECE

Progress Report Frequency

[Insert report frequency: On the first Working Day of each calendar month]

Progress Meeting Frequency

[Insert meeting frequency: Quarterly on the first Working Day of each quarter]

Supplier's Key Staff

[NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION] Service Delivery Manager

[EMAIL ADDRESS REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

[NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION] Contract Manager

[EMAIL ADDRESS REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

[NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION] Business Development Manager

[EMAIL ADDRESS REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

[Insert Worker Engagement Route (including whether inside or outside IR35 and whether there is a requirement to issue a Status Determination Statement)]

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Key Subcontractor(s)

[REDACTED – COMMERCIALLY SENSITIVE]

In accordance with Joint Schedule 6 (key subcontractors), during the course of this contract, the Supplier must obtain Authority approval for any key subcontractors prior to their services being deployed.

Commercially Sensitive Information

Refer to Joint Schedule 4 (commercially sensitive information).

Balanced Scorecard

See Section 2 “Balanced Scorecard” under Call-Off Schedule 14 (Service Levels and Balanced Scorecard).

Material KPIs

The following Material KPIs shall apply to this Call-Off Contract in accordance with Section 2 “Balanced Scorecard” under Call-Off Schedule 14 (Service Levels and Balanced Scorecard):

Material KPIs	Target	Measured by
Monitor and manage the availability of both the Amazon Web Service and the ETS Registry application service environments on a 24/7/365 basis.	Inadequate target 99.97% Requires improvement target 99.98% Approaching target 99.99% Good target 100%	service performance information from Google Analytics and from the applications
Monitor and manage the availability of both the Amazon Web Service and the ETS METS application service environments on a 24/7/365 basis.	Inadequate target 99.97% Requires improvement target 99.98% Approaching target 99.99% Good target 100%	service performance information from Google Analytics and from the applications
Out of hours (24/7) security monitoring, response and remediation for the Registry and infrastructure platforms.	Inadequate target <90% Requires improvement target 90% Approaching target 95% Good target 100%	service performance information from Google Analytics and from the applications
System outage, security incident, or total data loss of the Registry resolved within 8 hours (business working days).	Inadequate target <90% Requires improvement target 90% Approaching target 95% Good target 100%	service performance information from Google Analytics and from the applications

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

In addition the live services will need to meet service performance KPIs from Google Analytics and from the applications as appropriate.

The following 'performance levers' will also be available to promote good outcomes (bad performance being a basis for reviewing payment amount/timing):

Measurement of:

Velocity – story points per sprint

Sprint burndown – whether points are completed throughout the sprint

Customer satisfaction – show and tell attendees feedback

Cycle time – (time from entering sprint backlog to completion)

Sprint goal success as assessed by product owner

Retrospective issues addressed – number/significance

Defects – number/severity of bugs post delivery

ALSO: traffic light measurement of GDS standards met/not met.

Service Credits

Service Credits are a feature of the RM1043.8 framework. Service Credits will only apply if they are defined in any particular Statement of Works.

The total amount of Service Credits under any particular Statement of Works in any given month shall be limited to five percent (5%) of the Fees for recurring services paid by Client to Supplier during the month in which the non-achievement of a Service Level giving rise to a Service Credit occurred, it being understood that the total amount of Service Credit due in any given period of 12 months ("reference year") shall be limited to five percent (5%) of the Fees for recurring services paid by Client to Supplier during for this reference year period. No Service Credit shall be due:

- i. In case any assumption relating to such Service Levels and/or underlying Services description is found to be incorrect ;
- ii. During a grace period of 3 months from go live of Services ;
- iii. During the Transition Period if the Agreement provides for such a period ;

Additional Insurances

Not applicable.

Guarantee

Not applicable.

Social Value Commitment

Supplier agrees, in providing the Deliverables and performing its obligations under the Call-Off Contract, that it will comply with the social value commitments in Call-Off Schedule 4 (Call-Off Tender).

Statement(s) of Works

During the Call-Off Contract Period, the Buyer and Supplier may agree and execute

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

completed Statement(s) of Works ("SoWs"). Upon execution of a Statement of Work the provisions detailed therein shall be incorporated into the Call-Off Contract to which this Order Form relates.

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

For and on behalf of the Supplier:

Signature: [SIGNATURE REDACTED]

Name: [NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

Role: [REDACTED]

Date: 24/5/2024

For and on behalf of the Supplier:

Signature: [SIGNATURE REDACTED]

Name: [NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

Role: [REDACTED]

Date: 27/5/2024

For and on behalf of the Supplier:

Signature: [SIGNATURE REDACTED]

Name: [NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

Role: [REDACTED]

Date: 27/5/2024

For and on behalf of the Supplier:

Signature: [SIGNATURE REDACTED]

Name: [NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

Role: [REDACTED]

Date: 24/5/2024

For and on behalf of the Buyer:

Signature: [SIGNATURE REDACTED]

Name: [NAME REDACTED – PERSONALLY IDENTIFIABLE INFORMATION]

Role: Deputy Director – ETS Operations

Date: 29/5/2024

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Appendix 1

The initial Statement(s) of Work shall be incorporated into Call Off Schedule 20 (Call-Off Specification) at the time of award of this contract. This shall be considered to be inserted into this Appendix 1 for the purposes of compliance with the RM1043.8 contract terms.

The Buyer and Supplier may complete and execute additional Statement(s) of Works, in the form of the template Statement of Work in Annex 1 to the template Order Form in Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules).

Each executed Statement of Work shall be inserted into this Appendix 1 in chronology.

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Annex 1 (List of Statements of Work included at Call-Off Schedule 20)

SOW # 1 (Maritime METS) as included at Call Off Schedule 20 (Call-Off Specification)

SOW # 2 (METS Enhancements) as included at Call Off Schedule 20 (Call-Off Specification)

SOW # 3 (UK ETS Expansion including Waste) as included at Call Off Schedule 20 (Call-Off Specification)

SOW # 4 (METS as a Platform) as included at Call Off Schedule 20 (Call-Off Specification)

Further SOWs may be awarded during the Term of these contract. These may or may not include the following:

- a) Other including detailed scope for Waste
- b) Support and maintenance for all the above

Call-Off Schedule 20 (Call-Off Specification)

This Schedule sets out the characteristics of the Deliverables that the Supplier will be required to make to the Buyers under this Call-Off Contract.

Summary of workstreams:

1. Maritime METS

Extend and enhance the UK Emissions Trading Scheme Digital Services, 'Manage your UK Emissions Trading Scheme reporting service' (METS) and UK ETS Registry applications to support the planned UK ETS maritime service. Includes support and maintenance services.

2. METS Enhancements

Enhance the existing METS Service. Includes support and maintenance services

3. UK ETS Expansion including Waste

Extend and enhance the UK ETS Digital Services to reflect further development of the UK ETS including expansion to the waste sector (energy from waste and waste incineration). Includes support and maintenance services

4. METS as a Platform

Build a common platform architecture and add the in-scope modules as applications onto that common platform.

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Statement of Work #1: Maritime METS

The Statement of Work is as defined by the Appendix Documents as below

Statement of Work Heading	Document
Glossary	Attachment 1 Statement of Requirements
Overview	
Summary of the work	
Location	
Why the work is being done	
Problem to be solved	
Team	
UK ETS Maritime Users	
Address where the work will take place	
Working arrangements	
Security Clearance	
Special Terms and Conditions	
Non-functional requirements	Annex A Requirements for UK ETS Maritime service
Support and maintenance requirement	
Overview	
Outcome	
Timeline	
UK ETS Programme Overview	
METS Requirements Overview	
High level Deliverables for UK ETS Maritime	
High Level Use Cases for UK ETS Maritime	
Reusability of METS for UK ETS Maritime	
Re-use of Business Themes	
Complexities	
Open Source and Technology Code of Practice	
The UK Emissions Trading Registry	
Changes to the UK Emissions Trading Registry	
UK Emissions Trading Registry Functional Areas by date	
Non-Functional Requirements for UK ETS Maritime, Registry, and METS Enhancements	
Roles	
DDaT and GDS Compliance	
Management Reporting	
KPIs	
Non-functional requirements	
Support and maintenance requirement	

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Overview	Annex D Requirements for Support and Maintenance
Outcome	
Timeline	
Dependencies/Constraints	
Support and Maintenance Requirements	
UK ETS Overview	Annex E UK ETS Digital Services Technical overview
METS overview	
ETS Registry overview	

Delivery plan as per 16. *RM1043.8_Call-Off-Schedule-13-Implementation-Plan-and-Testing-v1.0*

KPIs as per 01. *RM1043.8_Framework-Schedule-6-Order-Form-Template-Statement-of-Work-Template-and-Call-Off-Schedules-v2.0*

Milestone	Workstream	Description	Date	Payment
MS01	Maritime	Maritime full Go-live	Jan 2026	[COST BREAKDOWN REDACTED – COMMERCIAL SENSITIVE]

Mile-stone	Workstream	Date	Description	Functionality	%	Amount
MM01	Maritime	Sep-24	Maritime (User & account management)	METS <ul style="list-style-type: none">- Regulator User Management- User Registration and Sign in- Create SERS Account- Item Management- Verifier User Management- Operator User Management	[REDACTED]	[REDACTED]
MM02	Maritime	Jan-25	Maritime (METS+Registry) go-live for EMP submissions	METS <ul style="list-style-type: none">- EMP Maritime Submit- Non-Compliance- EMP Notification- EMP Variation (Op. led)- EMP Variation (Reg. led)- EMP Maritime Review- Batch Re-issue Registry <ul style="list-style-type: none">- Maritime account management	[REDACTED]	[REDACTED]
MM03	Maritime	Apr-25	METS-Registry integration	- METS-Registry integration (part A accounts)	[REDACTED]	[REDACTED]

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Mile-stone	Workstream	Date	Description	Functionality	%	Amount
MM04	Maritime	Sep-25	AER submission, METS-Registry integration	- AER submission - METS-Registry integration (part B emissions)	[RE-DACTED]	[REDACTED]
MM05	Maritime	Jan-26	Full Maritime service go-live	Maritime remaining work-flows - VIR for AER - Annual Improvement Report - DRE	[RE-DACTED]	[REDACTED]
					100%	[REDACTED]

Maritime Invoice Schedule [REDACTED – COMMERCIALLY SENSITIVE]

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Statement of Work #2: METS Enhancements

The Statement of Work is as defined by the Appendix Documents as below

Statement of Work Heading	Document
Glossary	Attachment 1 Statement of Requirements
Overview	
Summary of the work	
Location	
Why the work is being done	
Problem to be solved	
Team	
UK ETS Maritime Users	
Address where the work will take place	
Working arrangements	
Security Clearance	
Special Terms and Conditions	
Overview	Annex B Requirements for METS enhancements
Outcome	
Timeline	
UK ETS Programme Overview	
DESNZ METS Overview	
METS Enhancements Backlog deliverable items	
Open Source and Technology Code of Practice	
Overview	Annex D Requirements for Support and Maintenance
Outcome	
Timeline	
Dependencies/Constraints	
Support and Maintenance Requirements	
UK ETS Overview	Annex E UK ETS Digital Services Technical overview
METS overview	
ETS Registry overview	

Delivery plan as per 16. *RM1043.8_Call-Off-Schedule-13-Implementation-Plan-and-Testing-v1.0*

KPIs as per 01. *RM1043.8_Framework-Schedule-6-Order-Form-Template-Statement-of-Work-Template-and-Call-Off-Schedules-v2.0*

Milestone	Workstream	Description	Date	%	Payment
ME01	METS Enhancements	Phase-1 go-live	Nov-24	[REDACTED]	[REDACTED]

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Milestone	Workstream	Description	Date	%	Payment
ME02	METS Enhancements	Phase-2 go-live	Mar-25	[REDACTED]	[REDACTED]
ME03	METS Enhancements	Phase-3 go-live	Jun-25	[REDACTED]	[REDACTED]
				100%	[REDACTED]

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Statement of Work #3: Waste

The Statement of Work is as defined by the Appendix Documents as below

Statement of Work Heading	Document
Glossary	Attachment 1 Statement of Requirements
Overview	
Summary of the work	
Location	
Why the work is being done	
Problem to be solved	
Team	
UK ETS Maritime Users	
Address where the work will take place	
Working arrangements	
Security Clearance	
Special Terms and Conditions	
Overview	Annex C Requirements for Waste and other ETS scope expansion services
Outcome	
Timeline	
Dependencies/Constraints	
Requirements for Waste	
Regulator Themes for reuse	
Core ETS Themes for reuse	
Changes to the UK Emissions Trading Registry	
UK Emissions Trading Registry Functional Areas	
Registry Backlog Items	
Overview	Annex D Requirements for Support and Maintenance
Outcome	
Timeline	
Dependencies/Constraints	
Support and Maintenance Requirements	
UK ETS Overview	Annex E UK ETS Digital Services Technical overview
METS overview	
ETS Registry overview	

Delivery plan as per 16. *RM1043.8_Call-Off-Schedule-13-Implementation-Plan-and-Testing-v1.0*

KPIs as per 01. *RM1043.8_Framework-Schedule-6-Order-Form-Template-Statement-of-Work-Template-and-Call-Off-Schedules-v2.0*

Framework Schedule 6 (Order Form Template, Statement of Work Template and Call-Off Schedules)

Call-Off Ref: RM1043.8. Crown Copyright 2022.

UK-Emissions Trading Scheme (UK-ETS) Digital Services Development. Prj_2598

Milestone	Workstream	Description	Functionality	Date	%	Payment
MW01	Waste	Waste MVP	<ul style="list-style-type: none">- Support for new Permit type (Waste)- Permit submission	May-25	[REDACTED]	TBC
MW02	Waste	Waste October go-live	<ul style="list-style-type: none">- Adaptations to remaining METS workflows	Oct-25	[REDACTED]	TBC
MW03	Waste	Waste Jan '26 go-live	<ul style="list-style-type: none">- Registry account opening- Registry integration	Jan-26	[REDACTED]	TBC
					100%	

Statement of Work #4: METS as a Platform

This SOW # 4 (Mets as a Platform) is in accordance with the Supplier's bid in which it offered this innovation, in accordance with the Authority's request to innovate and also to reduce future technical debt. There is no additional fee associated with this SOW. The Supplier will provide the Authority with details of the technical approach and delivery plan, which will then be agreed by the Parties. The scope is to be delivered no later than the Call-Off Contract End date (this being 2-years from the Call-Off Contract Commencement Date).

The platform related activities shall include the following:

[SOW REDACTED – COMMERCIALY SENSITIVE]

Detailed METS as a Platform SOW embedded here:

[SOW REDACTED – COMMERCIALY SENSITIVE]

Potential Future Statement of Work: UK ETS Expansion

This contract, (particularly *Call-Off Schedule 5 (Pricing Details) subsection 1.4, item D*), contains a non-committed sum of £1,975,506.54 for the procurement of "Other ETS Related Works including Waste". At the time that Waste and other related works are sufficiently scoped, the Supplier shall provide one or more fixed priced quotations (based upon the rate card included within this contract), against which the Parties may agree and award Statement(s) of Work for the particular scope Typically this will be on a fixed price basis. At the point that any Statement of Work is agreed and incorporated into this Contract, the quoted fee in that Statement of Work becomes a contractual commitment (payment subject to delivery of the scope), and the non-committed sum available within this contract will be reduced accordingly (as a portion of it has become committed).

Milestone	Workstream	Description	Date	Payment
MS04	Waste & UK ETS Expansion	All Waste & UK ETS Expansion SOWs fully delivered		TBC

Worker Engagement Route (including IR35 status)

For Statement Of Work (SOW) numbers 1, 2 and 3 the Buyer is procuring fixed priced outcomes as defined in individual Statement(s) of Work. In the event that a Statement of Work is procuring resource, this would be defined within that individual Statement of Work, and IR35 assessments would be undertaken by the Parties prior to commencement of the defined scope.

Where the Buyer has assessed its requirement and it is for resource, the IR35 status of the Supplier Staff in Key Roles must be detailed in this Specification and, if applicable, in each Statement of Work.