**Annex L to DEFFORM 47**

COMMERCIAL COMPLIANCE MATRIX

**DEFFORM 47 ANNEX L - Commercial Compliance Matrix**

| **DEFCON No** | **Title** | **Compliant:**  **Yes/No** |
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| 5J | Unique Identifiers |  |
| 14 | Inventions and Designs Crown Rights and Ownership of Patents and Registered Designs |  |
| 16 | Repair and Maintenance Information |  |
| 19 | Free User, Maintenance and Supply of Drawings |  |
| 21 | Retention of Records |  |
| 23 | Special Jigs, Tooling and Test Equipment |  |
| 68 | Supply of Data for Hazardous Articles, Materials and Substances |  |
| 76 | Contractor's Personnel at Government Establishments |  |
| 82 | Special Procedures for Initial Spares |  |
| 117 | Supply of Documentation for NATO Codification |  |
| 126 | International Collaboration Clause |  |
| 127 | Price Fixing Condition for Contracts of Lesser Value (this shall apply to amendments to contract and Ad hoc Tasks valued at less than £250,000) |  |
| 129 | Packaging (For Articles other than munitions) |  |
| 129J | The Use of The Electronic Business Delivery Form |  |
| 501 | Definitions and Interpretations |  |
| 502 | Specifications |  |
| 503 | Formal Amendments to Contract |  |
| 507 | Delivery |  |
| 513 | Value Added Tax |  |
| 514 | Material Breach |  |
| 515 | Bankruptcy and Insolvency |  |
| 516 | Equality |  |
| 518 | Transfer |  |
| 520 | Corrupt Gifts and Payments of Commission |  |
| 522 | Payment and Recovery of Sums Dues |  |
| 524 | Rejection |  |
| 525 | Acceptance |  |
| 526 | Notices |  |
| 527 | Waiver |  |
| 528 | Overseas Expenditure Import and Export Licences |  |
| 529 | Law (English) |  |
| 530 | Dispute Resolution (English Law) |  |
| 531 | Disclosure of Information |  |
| 532B | Protection of Personal Data |  |
| 534 | Subcontracting and Prompt Payment |  |
| 537 | Rights of Third Parties |  |
| 538 | Severability |  |
| 539 | Transparency (Contractor Commercially Sensitive Information” shall mean the information listed in the Contractor Commercial Sensitive Information, Schedule 18 (Contractor Commercial Sensitive Information)) |  |
| 550 | Child Labour and Employment Law |  |
| 566 | Change of Control of Contractor |  |
| 601 | Redundant Materiel (shall apply in respect of DEFCON 611 (Edn 02/16), Issued Property and to the extent that the redundant materiel derives from the property owned by the Authority and issued to the Contractor) |  |
| 602A | Quality Assurance (With Quality Plan) |  |
| 604 | Progress Reports (for the purposes of the Contract, the frequency of reports shall be as detailed in Annex B to the Integrated Project Management Plan (Schedule 6) |  |
| 606 | Change and Configuration Control Procedure |  |
| 607 | Radio Transmissions |  |
| 608 | Access and Facilities to be Provided by the Contractor. |  |
| 609 | Contractors Records |  |
| 611 | Issued Property |  |
| 612 | Loss of or Damage to the Articles |  |
| 619A | Customs Duty Drawback |  |
| 621A | Transport (if the Authority is responsible for transport) |  |
| 621B | Transport (if the Contractor is responsible for transport) |  |
| 624 | Use of Asbestos |  |
| 627 | Quality Assurance - Requirement for a Certificate of Conformity |  |
| 630 | Framework Agreements |  |
| 632 | Third Party Intellectual Property – Rights and Restrictions |  |
| 637 | Defect Investigation and Liability |  |
| 642 | Progress Meetings |  |
| 643 | Price Fixing (Non-qualifying contracts) (this shall apply to amendments to contract and Ad Hoc Tasks valued at more than £250,000). The period in Clause 4 of this DEFCON shall be 5 years. |  |
| 644 | Marking of Articles |  |
| 646 | Law and Jurisdiction (Foreign Suppliers) – If appropriate |  |
| 647 | Financial Management Information |  |
| 649 | Vesting |  |
| 656B | Termination for Convenience (Contracts £5m and Over) |  |
| 658 | Cyber  (Further to DEFCON 658 the Cyber Risk Level of the Contract is Very Low, as defined in Def Stan 05-138) |  |
| 670 | Tax Compliance |  |
| 675 | Advertising Subcontracts |  |
| 678 | SME Spend Data Collection |  |
| 681 | Decoupling Clause – Subcontracting with the Crown |  |
| 691 | Timber and Wood-Containing Products Supplied under the Contract |  |
| 694 | Accounting for Property of the Authority |  |
| 703 | Intellectual Property Rights - Vesting in the Authority |  |

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| **Condition**  **No:** | **Title** | **Compliant:**  **Yes/No** |
| 2 | Interpretation |  |
| 3 | Precedence of Documents |  |
| 4 | Contractual Matters |  |
| 5 | Entire Agreement |  |
| 6 | Assignment |  |
| 7 | Contractor Warranties and Representations |  |
| 8 | Contractor Undertakings |  |
| 9 | Contractor’s Obligations |  |
| 10 | Contract Period |  |
| 11 | Price |  |
| 12 | Payment |  |
| 13 | Options |  |
| 14 | Integrated Project Management Plan (IPMP) |  |
| 15 | Risk |  |
| 16 | Quality Assurance |  |
| 17 | Quality – General Requirements |  |
| 18 | Quality Management Plan |  |
| 19 | Certificate of Conformity |  |
| 20 | Quality Assurance Representative (QAR) |  |
| 21 | Ships Safety and Environmental Protection |  |
| 22 | NOT USED |  |
| 23 | Continuous Improvement |  |
| 24 | Authority’s Authorised Officer |  |
| 25 | Government Furnished Equipment (GFE) |  |
| 26 | Receipt of GFE |  |
| 27 | Custody of GFE |  |
| 28 | Government Furnished Information (GFI) |  |
| 29 | Guarantee |  |
| 30 | Sub-Contracts |  |
| 31 | Matters to be Included in Sub-Contracts |  |
| 32 | NOT USED |  |
| 33 | Care and Protection of Boats |  |
| 34 | Continuing Obligations |  |
| 35 | Debt Factoring |  |
| 36 | Health and Safety |  |
| 37 | Attendance by Makers’ Representatives and Certification Authorities |  |
| 38 | Certification and Classification |  |
| 39 | Changes in Certification and Classification |  |
| 40 | Changes to the Contract |  |
| 41 | Commonality of Equipment and Systems |  |
| 42 | Replacement of Obsolescent Parts and Equipment |  |
| 43 | Liquidated Damages |  |
| 44 | Permissible Delays |  |
| 45 | Termination for a Permissible Delay |  |
| 46 | Take Over/Tow-Out Option |  |
| 47 | Financial Consequences of Termination |  |
| 48 | Major Incident Inquiries |  |
| 49 | Jigs and Tools |  |
| 50 | Inspection, Test and Trials |  |
| 51 | Acceptance |  |
| 52 | Import and Export of Boats and/or Associated Equipment or Spares |  |
| 53 | NOT USED |  |
| 54 | Sustainable Procurement |  |
| 55 | Compatibility with Authority Systems |  |
| 56 | NOT USED |  |
| 57 | PR and Publicity |  |
| 58 | Exit |  |
| 59 | OFFICIAL and OFFICIAL-SENSITIVE Security Condition for UK Contracts |  |
| 60 | Background Information |  |
| 61 | Technical Publications |  |
| 62 | Gainshare |  |
| 63 | Progress Meetings |  |
| 64 | Earned Value Management |  |

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| **Schedule No** | **Title** | **Compliant:**  **Yes/No** |
| 1 | Schedule of Requirements |  |
| 2 | Statement of Technical Requirements (SoTR) |  |
| 3 | Definitions and Interpretations |  |
| 4 | Schedule of Pricing and Required Delivery Dates |  |
| 5 | DEFFORM 111 – Addresses and Other Information |  |
| 6 | Integrated Project Management Plan (IPMP) |  |
| 7 | NOT USED |  |
| 8 | NOT USED |  |
| 9 | Corrective Action Plan Template |  |
| 10a | Boat Certificate of Acceptance |  |
| 10b | Boat Sea Trials Form |  |
| 10c | Care and Custody Certificate |  |
| 11 | Contract Data Requirement List – DEFFORM 315 |  |
| 12 | NOT USED |  |
| 13 | Government Furnished Equipment (GFE) |  |
| 14 | NOT USED |  |
| 15 | Contract Change Proposal Form 1 and Form 2 |  |
| 16 | NOT USED |  |
| 17 | Milestone Payment Plan |  |
| 18 | Tenderer’s Commercially Sensitive Information – DEFFORM 539a |  |
| 19 | Design Rights and Patents (Sub-Contractors agreement) – DEFFORM 177 |  |
| 20 | Gainshare Agreement |  |
| 21 | Makers List |  |
| 22 | Sustainable Procurement Charter |  |
| 23 | NOT USED |  |
| 24 | Contractor Master Schedule |  |
| 24a | Contractor Master Schedule Progress Report |  |
| 25 | NOT USED |  |
| 26 | Protection of Personal Data – DEFCON 532B (If required) |  |