



Crown
Commercial
Service

CALL-OFF AGREEMENT AND ORDER FORM

CCS ref:

DS01-217

PART 1 – ORDER FORM

SECTION A

This Order Form is issued in accordance with the provisions of the framework Agreement Digital Services Ref: RM1043. The Supplier agrees to supply the Services specified below on and subject to the terms of this Contract and for the avoidance of doubt this Contract consists of the terms set out in this Order Form, Call-Off Terms, any executed SOWs, together with the Call-Off Schedules thereto.

DATE
ORDER NUMBER
FROM

08/07/2015

52148

the “Customer”

Crown Commercial Service (CCS)

Rosebery Court, St Andrews Business Park, Norwich
NR7 0HS

Acting as an agent on behalf of the departmental
customer:

HUMAN FERTILISATION & EMBRYOLOGY
AUTHORITY (HFEA)

For the DS01-217 project

To

the “Supplier”

READING ROOM LONDON

FAIRFAX HOUSE, 15 FULWOOD PLACE, LONDON,
WC1V 6AY

PRINCIPAL CONTACT DETAILS:

For the
Customer:

Name:

[REDACTED]

Title:

[REDACTED]

Email:

[REDACTED]

Phone Number:

[REDACTED]

For the
Supplier:

Name:

[REDACTED]

Title:

[REDACTED]

Email:

[REDACTED]

Phone Number:

[REDACTED]

SECTION B

1. TERM

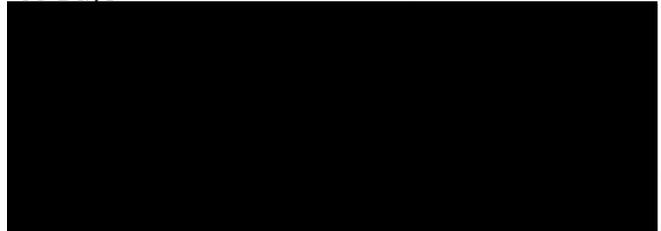
1.1 Commencement Date: 08/07/2015

2. CUSTOMER CORE CONTRACTUAL REQUIREMENTS

2.1 Services required For the provision of Front-end Design & Interaction Design for Website, Clinic Portal, Internal Systems under the DS01-217 project

2.2 Warranty Period 90 Days

2.3 Location/Premises



3. SUPPLIER'S INFORMATION

3.1 Supplier Software and Licences

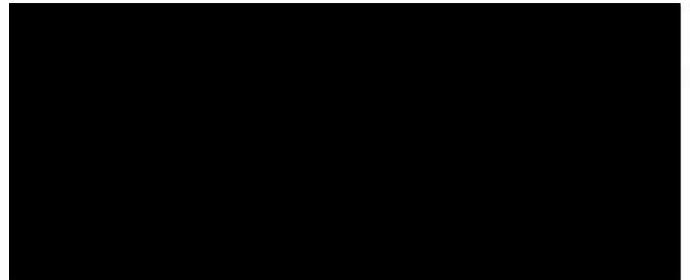
3.2 Commercially Sensitive Information



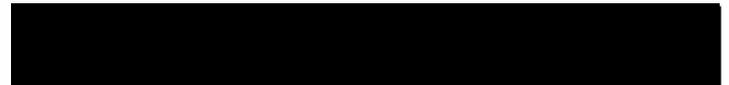
4. CONTRACT CHARGES AND PAYMENT

4.1 The method of payment for the Contract Charges (GPC) or BACS

4.2 Invoice details



4.3 Invoice Frequency



SECTION C

5. CUSTOMER OTHER CONTRACTUAL REQUIREMENTS

5.1 Relevant Convictions None

5.2 Staff Vetting Procedures None

5.3 Exit Planning None

5.4 Security Requirements (including details of Security Policy and any additional Customer security requirements) The Supplier's employee must comply with the HFEA security policy and will be provided a copy to the Supplier at first engagement

5.5 Protection of Customer Data The Supplier's employees must sign HFEA confidential Agreement.

5.6 Standards Digital by default service standard

5.7 Business Continuity and Disaster Recovery N/A

5.8 Liability £1,000,000

5.9 Insurance As per Clause 16 of the framework Agreement RM1043:

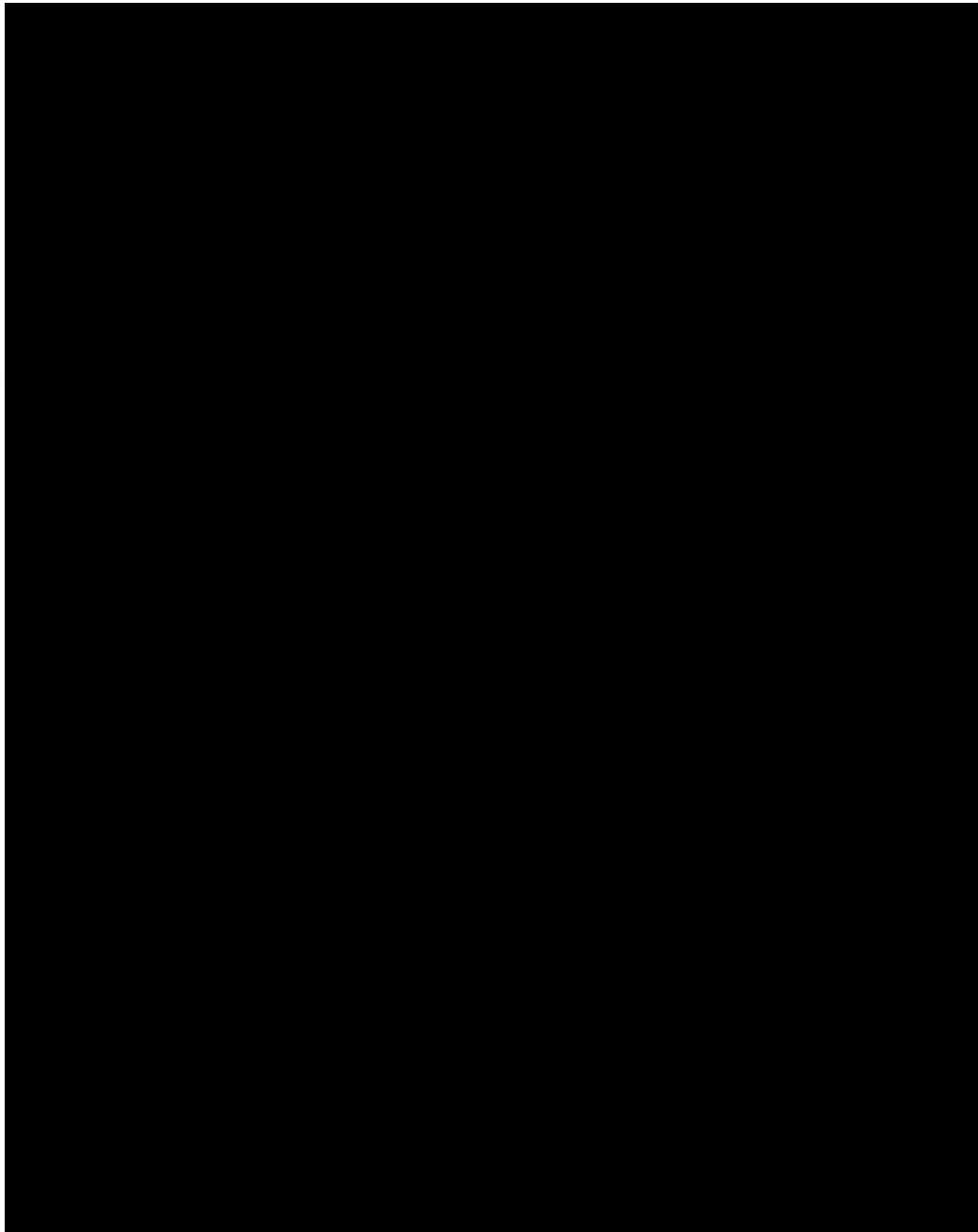
"liability insurance, in respect to amounts that the Supplier would be legally liable to pay as damages, including claimant's costs and expenses, in respect of (i) accidental death or bodily injury and/or (ii) loss of or damage to property, with a minimum limit of five million pounds sterling (£5,000,000)"
"Professional indemnity insurance with a minimum limit of indemnity of one million pounds sterling (£1,000,000) for each individual claim"

5.10 **Key Sub-Contractors** None
5.11 **Estimate Contract Charges** £73,642.80

6. ADDITIONAL AND/OR ALTERNATIVE CLAUSES

6.1 **Supplemental requirements in addition to the Call-Off Terms** Unused

6.2 **Amendments to/refinements of the Call-Off Terms**





7. FORMATION OF CONTRACT

- 7.1 BY SIGNING AND RETURNING THIS ORDER FORM THE SUPPLIER AGREES to enter a Call-Off Contract under the framework Agreement with the Customer to provide the Services.
- 7.2 The Parties hereby acknowledge and agree that they have read the Order Form and the Call-Off Terms and by signing below agree to be bound by this Contract.
- 7.3 In accordance with paragraph S-9 of framework Schedule 4 (Call-Off Procedure), the Parties hereby acknowledge and agree that this Contract shall be formed when the Customer acknowledges the receipt of the signed copy of the Order Form from the Supplier within two (2) Working Days from receipt (the "Call-Off Effective Date").

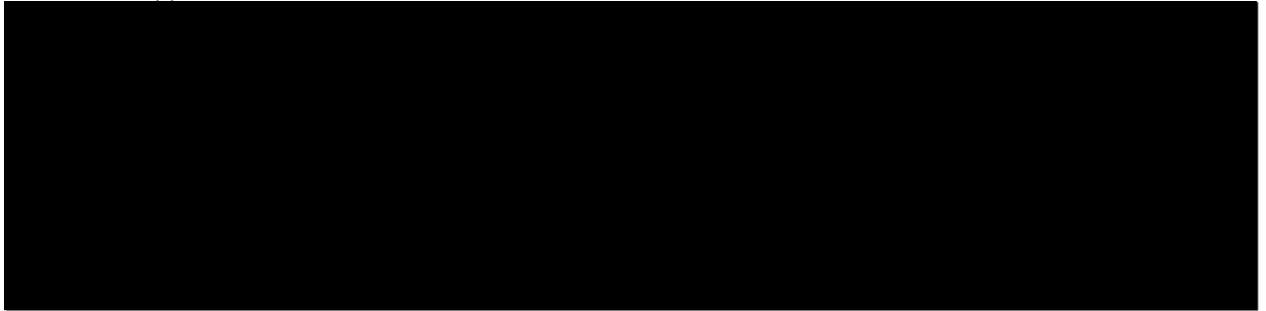
SIGNED:

For and on behalf of the Supplier:

Name:

Title:

Signature:

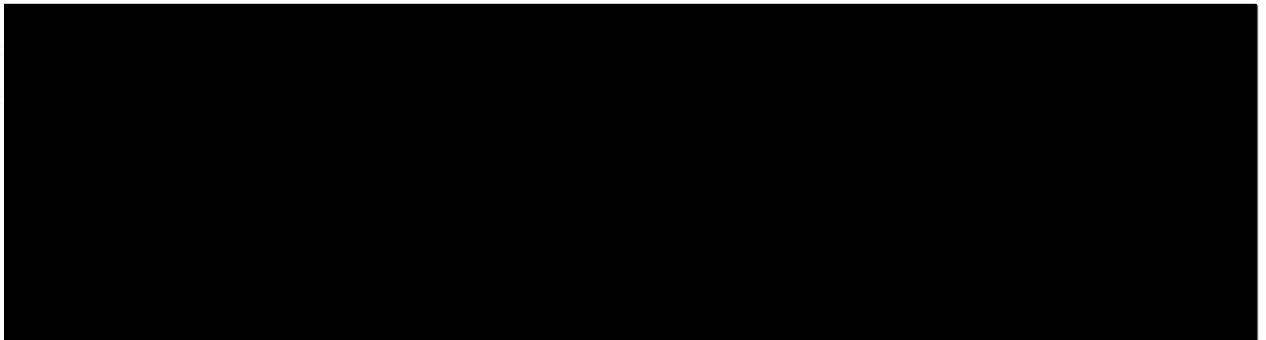


Crown Commercial Service for and on behalf of the Customer:

Name:

Title:

Signature:



PART 2 - CALL-OFF CONTRACT

VERSION 1.1

DATED: 08/07/2015

CROWN COMMERCIAL SERVICE
acting as an agent for the departmental customer
HUMAN FERTILISATION & EMBRYOLOGY AUTHORITY (HFEA)

and

READING ROOM LTD

Project reference: DS01-217

CALL-OFF TERMS FOR DIGITAL SERVICES

Call-Off Contract for Digital Services let under Digital Services framework Agreement Ref: RM1043

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THIS AGREEMENT is made on 08/07/2015 BETWEEN

1. Crown Commercial Service of Rosebery Court, St. Andrews Business Park, Norwich, NR7 0HS acting as an agent on behalf of **HUMAN FERTILISATION & EMBRYOLOGY AUTHORITY (HFEA)** of 13th Floor, Finsbury Tower, 103-105 Bunhill Row, London, EC1Y 8HF (the "**Customer**")

NB: in the case of a Central Government Contracting Body, the Call-Off Contract will be entered into by the Authority acting as an agent on behalf of that Central Government Contracting Body but thereafter the rights and obligations of the Customer hereunder shall be the responsibility of the Customer

and,

2. READING ROOM LTD WHICH IS A COMPANY REGISTERED IN ENGLAND AND WALES UNDER COMPANY NUMBER03280127 AND WHOSE REGISTERED OFFICE IS

Fairfax house, 15 Fulwood place, London, WC1V 6AY (the "Supplier");

together ("**the Parties**");

RECITALS

- (A) The Authority undertook a procurement as a central purchasing body on behalf of public sector bodies, to select suppliers, including the Supplier, to provide Digital Services ("**the Services**")
- (B) The Supplier is a provider of Digital Services and undertook to provide such Services under the terms set out in framework agreement number RM1043 ("**framework Agreement**").
- (C) The Customer is entitled to enter into this Contract under the framework Agreement and has completed an Order Form ("**Order Form**") served by the Customer on the Supplier
- (D) The Customer served an Order Form for Services on the Supplier on 08/07/2015
- (E) The Supplier confirmed its agreement to the terms of the Order Form and its acceptance of the Order Form and the Parties hereby duly execute this Contract.
- (F) The Parties wish to establish a flexible Call-Off Contract which reflects the Digital Service Design methodologies and close co-operation that will be adopted by the Parties in the delivery of the Services. The intention of the Parties is that the Contract can be terminated by the Customer at short notice without liability for costs of termination and similarly, the Contract will automatically expire if the Parties do not agree to execute a further SOW.
- (G) The Parties intend that specific instructions and requirements in respect of each Release (or other adhoc Services under this Contract) shall be issued and shall have contractual effect on the execution of an SOW and as agreed by the Parties in the SOW and that payment for Services shall only become due as set out in an executed SOW.

NOW IT IS HEREBY AGREED as follows:

PART A – GENERAL PROVISIONS

1. DEFINITIONS

1.1 The definitions set out at Schedule 9 Glossary shall apply in relation to this Call-Off Contract unless the context otherwise requires.

2. INTERPRETATION

2.1 The interpretation and construction of this Contract shall be subject to the following provisions:

- 2.1.1 words importing the singular meaning include where the context so admits the plural meaning and vice versa;
- 2.1.2 words importing the masculine include the feminine and the neuter;
- 2.1.3 the words "include", "includes" and "including" "for example" and "in particular" and words of similar effect are to be construed as if they were immediately followed by the words "without limitation" and shall not limit the general effect of the words which precede them;
- 2.1.4 references to any person shall include natural persons and partnerships, firms and other incorporated bodies and all other legal persons of whatever kind and however constituted and their successors and permitted assigns or transferees;
- 2.1.5 the Schedules form part of this Contract and shall have effect as if set out in full in the body of this Contract.
- 2.1.6 executed SOWs and all other documents produced and agreed by the Parties under this Contract shall have effect as if set out in full in the body of this Contract;
- 2.1.7 references to any statute, enactment, order, regulation or other similar instrument shall be construed as a reference to the statute, enactment, order, regulation or instrument as amended by any subsequent enactment, modification, order, regulation or instrument as subsequently amended or re-enacted;
- 2.1.8 headings are included in this Contract for ease of reference only and shall not affect the interpretation or construction of this Contract;
- 2.1.9 references to "Clauses" and "Schedules" are, unless otherwise provided, references to the Clauses of and Schedules to this Contract. References to "paragraphs" are, unless otherwise provided, references to paragraphs of the schedule in this Contract to which the references are made. References to "Contract" are, unless otherwise provided, references to this Contract;
- 2.1.10 references to an FW Clause or paragraph shall mean a reference to the framework Agreement clause with that number;
- 2.1.11 terms or expressions contained in this Contract which are capitalised but which do not have a definition in Schedule 9 Glossary of this Contract or in Schedule 9 of the framework Agreement shall be interpreted in accordance with the provisions in this Clause 2 (Interpretation) and the common interpretation within the relevant market sector/industry where appropriate. Otherwise they shall be interpreted in accordance with the dictionary meaning;
- 2.1.12 if there is any ambiguity or dispute over the meaning of any obligation to be performed by either Party under this Contract, it shall be interpreted with reference to and in the context of the Agile practices set out in the Digital Manual.
- 2.1.13 reference to a Clause is a reference to the whole of that Clause unless stated otherwise; and
- 2.1.14 In the event of and only to the extent of any conflict between the Order Form, SOW, the Call-Off Terms and the provisions of the framework Agreement, the conflict shall be resolved in accordance with the following order of precedence:
 - (a) the framework Agreement, except Part B of framework Schedule 1 (Digital Services);
 - (b) SOW;
 - (c) the Order Form;
 - (d) the Call-Off Terms (excluding the SOW),
 - (e) Part B of framework Schedule 1 (Digital Services).

3. CONTRACT PERIOD

- 3.1 This Contract shall take effect on the Contract Commencement Date and shall expire either:
- 3.1.1 on the Completion Date set out in the SOW then existing (or where there are multiple SOWs being executed concurrently, shall expire on the latest Completion Date specified in an SOW which occurs) unless terminated earlier in accordance with the provisions of this Contract; or
 - 3.1.2 where no SOW is entered into by the Parties, one (1) Month after the Contract Commencement Date;
and such date shall be the "Contract Expiry Date".

4. WARRANTIES AND REPRESENTATIONS

- 4.1 The Supplier warrants, represents and undertakes to the Customer that:
- 4.1.1 in respect of each Release, any Software and other Deliverables that are developed during that Release shall be free of any material defects for a period of ninety (90) days commencing on the Release Completion Date or such other period from the Release Completion Date as may be specified by the Customer in the Order Form.

5. PREMISES

- 5.1 Any Customer's Premises shall be made available to the Supplier on a non-exclusive licence basis free of charge and shall be used by the Supplier solely for the purpose of performing its obligations under this Contract. The Supplier shall have the use of such Customer's Premises as licensee and shall vacate the same immediately upon completion, termination, expiry or abandonment of this Contract and in accordance with Clause 39.2.3.
- 5.2 The Parties agree that there is no intention on the part of the Customer to create a tenancy of any nature whatsoever in favour of the Supplier or the Supplier Staff and that no such tenancy has or shall come into being and, notwithstanding any rights granted pursuant to this Contract, the Customer retains the right at any time to use any Customer's Premises in any manner it sees fit.

6. STANDARDS AND QUALITY

- 6.1 The Supplier shall at all times during the Contract Period ensure that the Services are delivered in accordance with: the Digital Service Design Manual (and the Supplier shall comply with the processes and procedures set out therein); the Standards; the KPIs; the Methodology; the applicable SOW; and all other applicable provisions of this Contract.

7. SUPPLIER STAFF

- 7.1 The Supplier Staff shall at all times during the Contract Period; obey all lawful instructions and reasonable directions of the Customer; apply all due skill, care, diligence and shall be appropriately experienced, qualified and trained to supply the Services in accordance with this Contract; and shall respond to any enquiries from the Product Owner relating to the Services within the timescales agreed by the Parties (where applicable) and in any event as soon as is reasonably practicable.
- 7.2 The Supplier acknowledges and agrees that the continuity of the Key Personnel is paramount to the success of the Project and shall ensure that Key Personnel are assigned to the Project on a full-time basis and are not removed from the Services or assigned to any other Supplier or third party projects during the Contract Period (unless otherwise Approved by the Customer).
- 7.3 The Customer may also require the Supplier to remove and/or replace any Key Personnel that the Customer acting reasonably considers in any respect unsatisfactory. The Customer shall not be liable for the cost of removing or replacing any Key Personnel.
- 7.4 The Customer may, by written notice to the Supplier, refuse to admit onto, or withdraw permission to remain on, the Customer's Premises any member of the Supplier Staff or any person employed or engaged by any member of the Supplier Staff whom the Customer believes represents a security risk or does not have the required levels of training and expertise or whose admission or continued presence would, in the reasonable opinion of the Customer, be undesirable.
- 7.5 Supplier Staff engaged within the boundaries of the Customer's Premises shall comply with such rules, regulations and requirements as may be in force from time to time for the conduct of personnel when at or within the boundaries of those Customer's Premises.

PART B – PERFORMANCE OF THE SERVICES

8. SERVICE DELIVERY AND GOVERNANCE

- 8.1 The Supplier shall comply with the Governance requirements as may be set out by the Customer at Schedule 6 Governance.
- 8.2 In addition to the planning, showcase and retrospective meetings set out in the Digital Service Design Manual and in addition to the requirements set out in Schedule 6 Governance, the Supplier shall ensure that all members of the Development Team or key individuals who are fully empowered to act on behalf of the Development Team members who they represent, attend a Daily Stand Up meeting with the Customer (unless otherwise agreed with the Customer).
- 8.3 The Supplier shall ensure that it makes available appropriate resources and persons with the necessary levels of authority to meet the aims and objectives of any meetings which the Supplier is required to attend under this Contract (including any meetings or other Governance requirements agreed by the Parties and set out in the SOW)

9. RELEASES

- 9.1 In respect of each Release during the Contract Period, the Parties shall execute an SOW substantially in the form set out in Schedule 7 - SOW Template
- 9.2 Upon the execution by the Parties of an SOW, the terms and conditions agreed in the SOW shall be incorporated into this Contract and the rights and obligations of the Parties in respect of such SOW shall be governed by the terms and conditions of this Contract.

10. REPORTS

- 10.1 The Supplier shall provide the Customer with a report of its performance for the applicable Sprint(s) at each Retrospective during the Delivery Stage (or as may be otherwise specified by the Customer in a SOW) including (as a minimum) the following details:
- 10.1.1 the Metrics which measure the Supplier's productivity in relation to Story Cycle Time and Velocity.
- 10.1.2 the Metrics which measure the quality of the Software in relation to:
- (a) *Defect Density* - This measures the total known Defects divided by the size of the program at the end of a Sprint, which shall be compared against the Contract Charges paid in respect of that Sprint.
 - (b) *Cyclometric Complexity* – This measures the number of linearly independent paths through the source code. Fewer paths is likely to mean a greater level of stability in the program and results in source code which is easier to understand, maintain and develop.
 - (c) *Code Coverage* - This measures the extent to which the source code of the program has been tested and may include function coverage, statement coverage, decision coverage, condition coverage, parameter value coverage; and state coverage.
- 10.1.3 and any other metrics specified at paragraph 4 of the SOW.

PART C – CONTRACT CHARGES AND PAYMENT

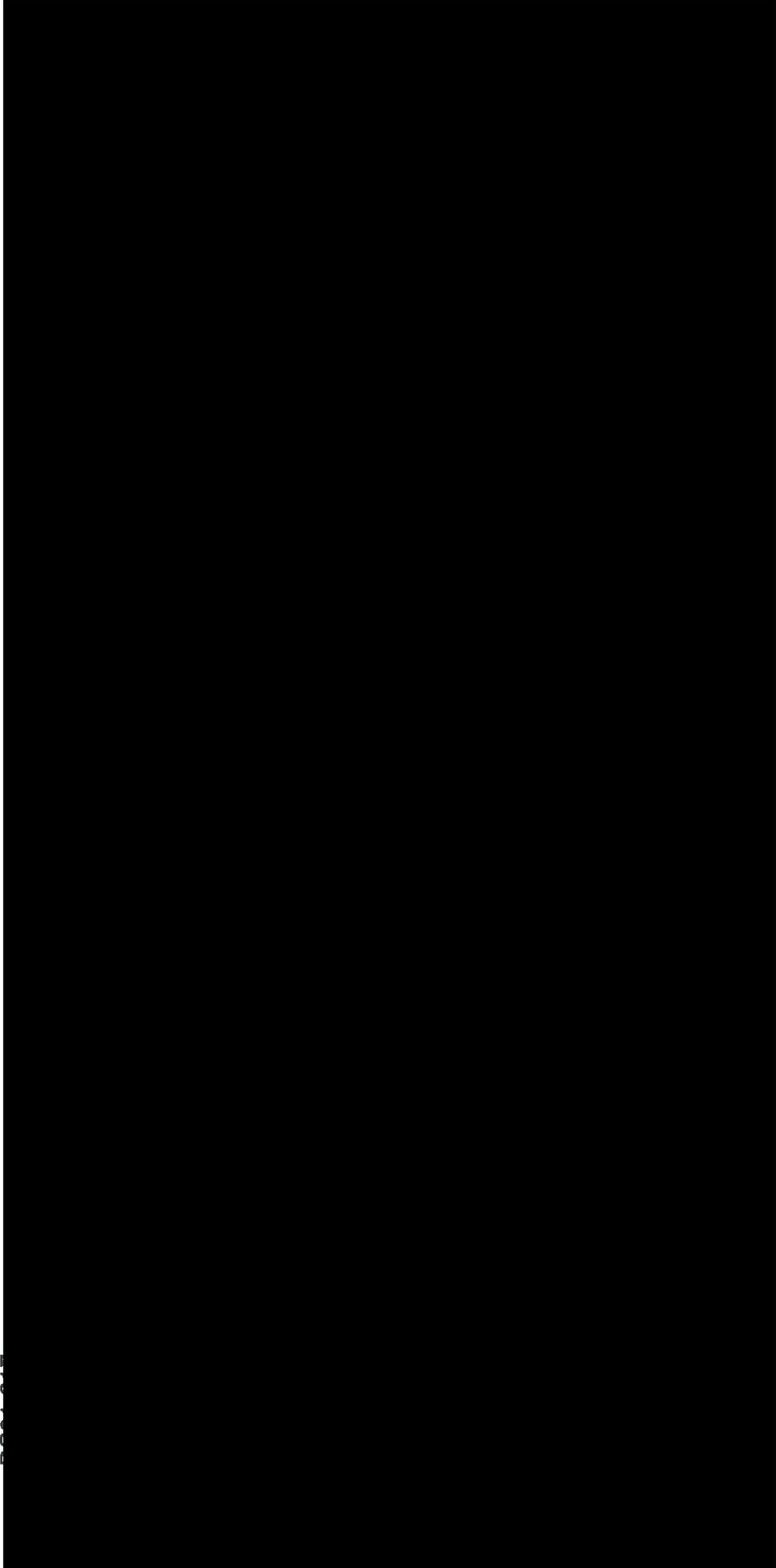
11. CONTRACT CHARGES

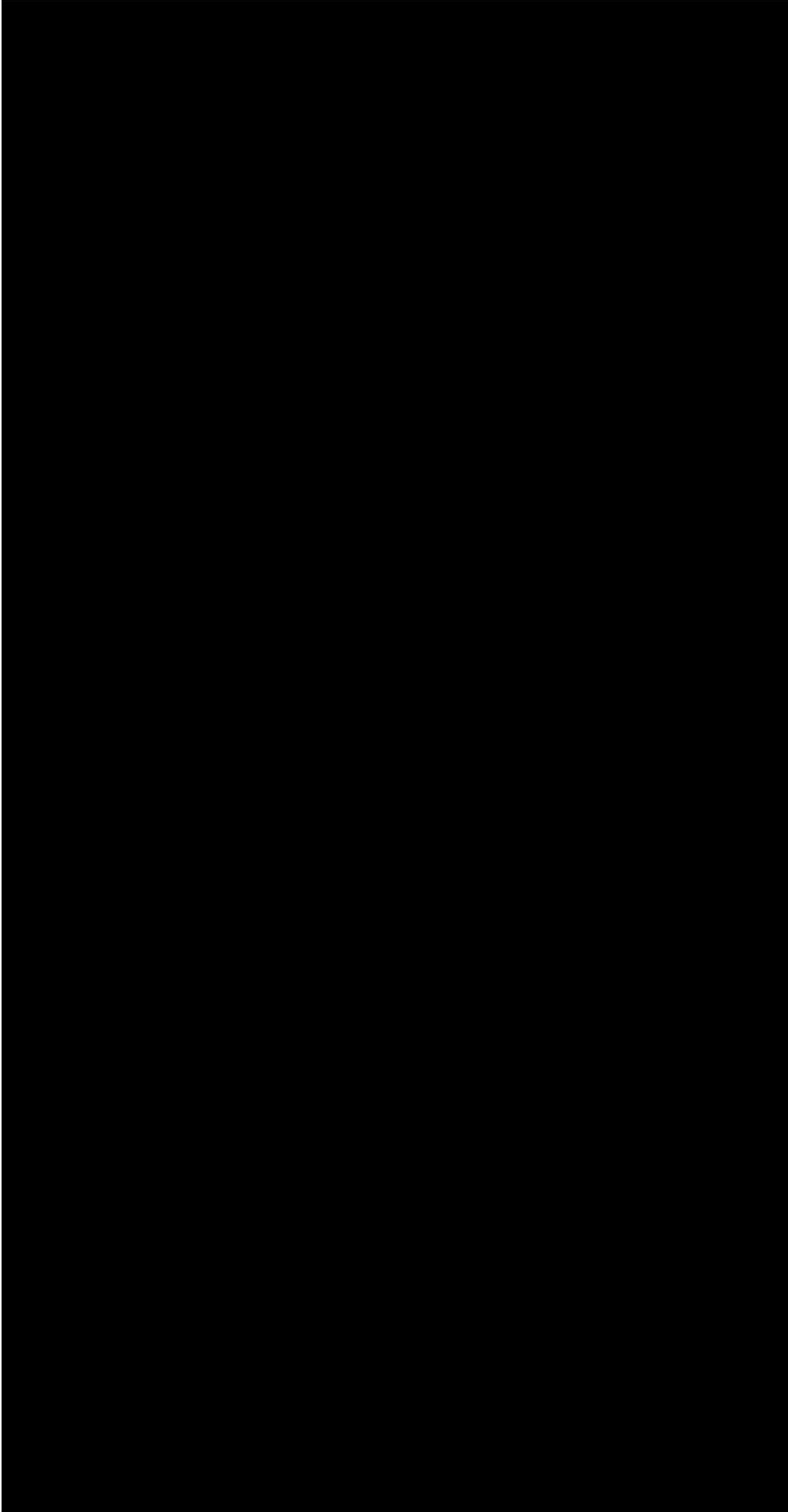
- 11.1 The Contract Charges for the Services shall be structured using any of the following pricing mechanisms (as may be agreed by the Parties and set out in an SOW);
- 11.1.1 Capped Time and Materials;
 - 11.1.2 Price per Story;
 - 11.1.3 Time and Materials;
 - 11.1.4 Fixed Price (to be used only for Services that are ancillary to software development services);
- or using such other pricing mechanism or combination of pricing mechanism thereof as may be agreed by the Parties.
- 11.2 In consideration of the Supplier's performance of its obligations under this Contract and in consideration of the specific services that are set out in an applicable SOW, the Customer shall pay the undisputed Contract Charges in accordance with the relevant SOW for the Release and the payment provisions set out at Clause 14 (Payment and VAT).

- 11.3 The Customer shall, in addition to the Contract Charges and following delivery by the Supplier of an Invoice, pay the Supplier a sum equal to the VAT chargeable on the value of the Services supplied in accordance with this Contract.
- 11.4 If at any time during this Contract Period the Supplier reduces its framework Prices for any Services which are provided under the framework Agreement (whether or not such Services are offered in a catalogue (if any) which is provided under the framework Agreement) in accordance with the terms of the framework Agreement, the Supplier shall immediately reduce the Contract Charges for such Services under this Contract by the same amount.
- 11.5 The Supplier shall in any event ensure that the Contract Charges are at all times compliant and consistent with the charging structure set out in framework Schedule 8 (Charging Structure) and do not exceed the prices set out therein.



11.6 Contract Charges:





12. EURO

- 12.1.1 Any requirement of Law to account for the Services in Euro, (or to prepare for such accounting) instead of and/or in addition to Sterling, shall be implemented by the Supplier free of charge to the Customer.
- 12.1.2 The Customer shall provide all reasonable assistance to facilitate compliance with Clause 12.1.1 by the Supplier.

13. EXPENSES

- 13.1 Unless agreed by the Parties otherwise in a SOW, the Supplier shall be entitled to be reimbursed by the Customer (in accordance with the Customer's T&S Policy) for reasonable travel and subsistence (e.g. hotel and food) expenses ("Reimbursable Expenses") properly and necessarily incurred by the Supplier in the performance of the Services.

14. PAYMENT AND VAT

- 14.1 The Customer shall pay all Contract Charges that are properly due and payable to the Supplier in cleared funds in arrears within thirty 30 days of receipt of an Invoice (which shall include VAT at the prevailing rate) submitted in accordance with the provisions of this Contract.
- 14.2 The Supplier shall ensure that each Invoice (whether submitted electronically or in a paper form, as the Customer may specify) contains the information specified by the Customer in the Order Form; contains all appropriate references; contains a detailed breakdown of the Services provided; provides full cost transparency of the make-up of the Contract Charges including details of direct and indirect costs, overheads, salary costs of Supplier Staff, material costs and other labour costs to a level of detail agreed in advance with the Customer; is supported by any other documentation reasonably required by the Customer to substantiate the Invoice (including VAT breakdowns, timesheets, Accepted Stories and associated Story Points, details of Expenses etc.) and all Invoices submitted to the Customer for the Services shall be exclusive of any Management Charge.
- 14.3 The Supplier shall fully indemnify the Customer on demand and on a continuing basis against any liability, including without limitation any interest, penalties or costs, which are suffered or incurred by or levied, demanded or assessed on the Customer at any time (whether before or after the making of a demand pursuant to the indemnity hereunder) in respect of the Supplier's failure to account for or to pay any VAT relating to payments made to the Supplier under this Contract. Any amounts due under this Clause 14.3 shall be paid by the Supplier to the Customer not less than five (5) Working Days before the date upon which the tax or other liability is payable by the Customer.

15. RECOVERY OF SUMS DUE

- 15.1 Wherever under this Contract any sum of money is recoverable from or payable by the Supplier, the Customer may unilaterally deduct that sum from any sum then due, or which at any later time may become due to the Supplier under this Contract or under any other Call-Off agreement or other agreement between the Supplier and the Customer.
- 15.2 Any overpayment by either Party, whether of the Contract Charges or of VAT or otherwise, shall be a sum of money recoverable by the Party who made the overpayment from the Party in receipt of the overpayment.
- 15.3 The Supplier shall make any payments due to the Customer without any deduction whether by way of set-off, counterclaim, discount, abatement or otherwise unless the Supplier has a valid court order requiring an amount equal to such deduction to be paid by the Customer to the Supplier.
- 15.4 All payments due under this Clause 15 due shall be made within thirty (30) days from the date that the Parties agree that the payment is due (unless agreed otherwise) and shall be made in cleared funds, to such bank or building society account as the recipient Party may from time to time direct.

16. BUSINESS CONTINUITY AND DISASTER RECOVERY

- 16.1 At the Supplier's request, the Customer shall provide the Supplier with a copy of its BCDR Plan.
- 16.2 The Supplier develop a BCDR Plan and shall ensure that it is linked and integrated with the Customer's BCDR Plan and the Supplier shall review and amend its BCDR Plan on a regular basis and as soon as is reasonably practicable on receipt of an amended Customer BCDR Plan from the Customer; and the Supplier shall ensure that its Sub-Contractor's BCDR Plans are integrated with the Supplier's BCDR Plan.
- 16.3 If there is a Disaster, the Parties shall, where applicable, implement their respective BCDR Plans and use all reasonable endeavors re-establish their capacity to fully perform their obligations under this Contract. A Disaster will only relieve a Party of its obligations to the extent it constitutes a Force Majeure Event in accordance with Clause 23 (Force Majeure).

17. ASSISTANCE AT RETENDERING

- 17.1 The Supplier shall, where so requested by the Customer, at its own expense provide assistance to the Customer to migrate the provision of the Services to a Replacement Supplier in order to ensure continuity and orderly transition of the Services, such assistance may include Supplier demonstrations of the existing code and development documents, software licences used and Customer approval documents and Supplier assistance to answer service and development related clarification questions.
- 17.2 The Supplier shall ensure that all items that are uploaded to the Repository by the Supplier pursuant to Clause 18 contain sufficient detail, code annotations and instructions so that a third party developer with reasonable technical abilities within the applicable role would be able to understand how the item was created and how it works and fits together with the other items in the Repository in a reasonable timeframe.
- 17.3 Subject to the DPA, the Supplier shall within ten 10 Working Days of a request by the Customer, provide to the Customer, any information which is reasonably required by the Customer in order to facilitate the preparation of any invitation to tender and/or to facilitate any potential Replacement Contractor undertaking due diligence (except where such information is deemed to be Commercially Sensitive Information in which case the Supplier shall provide the information in a redacted form to the extent necessary to prevent disclosure of the Commercially Sensitive Information).

18. DOCUMENT AND SOURCE CODE MANAGEMENT REPOSITORY

- 18.1 The Supplier shall, at the end of each Sprint (unless otherwise agreed with the Customer) upload to the Repository the following items: all developed live code for the current software release, daily software builds, test scripts, technical libraries, archive libraries, source code, object code, automated build configurations, dependencies, environments, schema, Sprint and Release details, current development orders, change control notices, Product Backlog/ice box) and any other project specific documentation or items as may be requested by the Customer.

PART D – PROTECTION OF INFORMATION**19. INTELLECTUAL PROPERTY RIGHTS**

- 19.1 Save as expressly granted elsewhere under this Contract:
- 19.1.1 the Customer shall not acquire any right, title or interest in or to the Intellectual Property Rights of the Supplier or its licensors, including the Supplier Background IPRs and the Supplier Software; and
- 19.1.2 the Supplier shall not acquire any right, title or interest in or to the Intellectual Property Rights of the Customer or its licensors, including:
- (a) the Customer Background IPRs;
 - (b) the Project Specific IPRs; and
 - (c) IPRs in the Customer Data;
- 19.2 Where either Party acquires, by operation of Law, title to Intellectual Property Rights that is inconsistent with the allocation of title set out in Clause 19.1, it shall assign in writing such Intellectual Property Rights as it has acquired to the other Party on the request of the other Party (whenever made).
- 19.3 The Supplier shall not, and shall procure that the Supplier Staff shall not, (except when necessary for the performance of this Contract) without Approval (which the Customer shall have the sole and absolute right to grant or deny) use or disclose any of the Customer Background IPR, Customer Data or the Project Specific IPRs to or for the benefit of any third party.
- 19.4 The Supplier shall not embed any Supplier Background IPRs or third party IPRs in any Release or Deliverable that is to be assigned to the Customer under this Contract without Approval from the Customer. The default position is that this IPR should be assigned to the Customer on terms equivalent to the Open Government Licence terms unless otherwise agreed with the Customer.
- 19.5 The Supplier hereby grants, or shall procure the direct grant, to the Customer (and to any Replacement Supplier) of a perpetual, transferrable, irrevocable, sub-licensable, non-exclusive, royalty-free licence to copy, modify, disclose and use the Supplier Background IPRs for any purpose connected with the receipt of the Services that is incidental to the exercise of the rights granted to the Customer under this Contract and to enable the Customer:
- 19.5.1 to receive the Services; and
 - 19.5.2 to make use of the Services provided by the Replacement Supplier.

- 19.6 The Customer hereby grants to the Supplier a non-exclusive, non-assignable, royalty-free licence to use the Customer Background IPRs, the Customer Data and the Project Specific IPRs during the Contract Period for the sole purpose of enabling the Supplier to perform its obligations under this Contract and provide the Services. The Customer gives no warranty as to the suitability for the Supplier's purpose of any IPRs licensed to the Supplier hereunder. Such licence:
- 19.6.1 includes the right to grant sub-licences to Sub-Contractors engaged in providing or delivering any of the Services (or part thereof) provided that any such Sub-Contractor has entered into a confidentiality undertaking with the Supplier on the same terms as set out in Clause 21 (Confidentiality) and that any such Sub-contracts shall be non-transferable and personal to the relevant Sub-contractor; and
 - 19.6.2 is granted solely to the extent necessary for the provision of the Services in accordance with this Contract. The Supplier shall not, and shall procure that the Sub-Contractors do not, use the licensed materials for any other purpose or for the benefit of any person other than the Customer;
- 19.7 At the end of the Contract Period, the Customer grants to the Supplier a licence to use the Project Specific IPRs (excluding any Information which is the Customer's Confidential information or which is subject to the DPA) on the terms set out in the Open Government Licence.
- 19.8 Subject to Clause 19.9 and Clause 19.10 the Supplier shall ensure that no unlicensed software or open source software (other than the Open Source Ordered Software) is interfaced with or embedded within any Customer Software or Project Specific IPRs.
- 19.9 Prior to using any third party IPRs in connection with the supply of the Services, the Supplier shall submit all details of such third party IPRs as the Customer may request to the Customer for Approval ("Request for Approval").
- 19.10 Where the Supplier is granted permission to use the third party IPRs set out in a Request for Approval, the Supplier shall procure that the owner of such third party IPRs grants to the Customer a licence upon the terms informed to the Customer in the Request for Approval.
- 19.11 If the third party IPR is made available on terms equivalent to the Open Government Licence the Request for Approval will be agreed and the Supplier will procure licences under these terms. If not, and the Customer rejects the Request for Approval, then a formal Change of Contract will be required.
- 19.12 The Supplier shall on demand, during and after the Contract Period, fully indemnify and keep fully indemnified and hold the Customer and the Crown harmless from and against all Losses which the Customer or the Crown may suffer or incur at any time (whether before or after the making of a demand pursuant to the indemnity hereunder) as a result of any claim (whether actual alleged asserted and/or substantiated and including third party claims) that the rights granted to the Customer pursuant to this Contract and/or the performance by the Supplier of the provision of the Services and/or the possession or use by the Customer of the Services or Deliverables delivered by the Supplier (as appropriate) infringes or allegedly infringes a third party's Intellectual Property Rights ("Claim") except where the Claim arises from:
- 19.12.1 designs supplied by the Customer; or
 - 19.12.2 the use of data supplied by the Customer which is not required to be verified by the Supplier under any provision of this Contract.
- 19.13 The Customer shall notify the Supplier in writing of the Claim and the Customer shall not make any admissions which may be prejudicial to the defence or settlement of the Claim. The Supplier shall at its own expense conduct all negotiations and any litigation arising in connection with the Claim provided always that the Supplier:
- 19.13.1 shall consult the Customer on all substantive issues which arise during the conduct of such litigation and negotiations;
 - 19.13.2 shall take due and proper account of the interests of the Customer;
 - 19.13.3 shall consider and defend the Claim diligently using competent counsel and in such a way as not to bring the reputation of the Customer into disrepute; and
 - 19.13.4 shall not settle or compromise the Claim without Approval (such decision to Approve or not shall not be unreasonably withheld or delayed).
- 19.14 If a Claim is made in connection with this Contract or in the reasonable opinion of the Supplier is likely to be made, the Supplier shall immediately notify the Customer and, at its own expense and subject to Approval (such decision to Approve or not shall not be unreasonably withheld or delayed), use its best endeavours to:
- 19.14.1 modify the relevant part of the Services and/or the Deliverables without reducing the functionality or performance of the same, or substitute alternative Services and/or deliverables of equivalent

functionality or performance, so as to avoid the infringement or the alleged infringement, provided that there is no additional cost or burden to the Customer; or

19.14.2 procure a licence to use and supply the Services and/or Deliverables, which are the subject of the alleged infringement, on terms which are acceptable to the Customer; and/or

19.14.3 in relation to the performance of the Supplier's responsibilities and obligations hereunder, promptly re-perform those responsibilities and obligations

and in the event that the Supplier is unable to comply with Clauses 19.14.1 or 19.14.2 within twenty (20) Working Days of receipt of the Supplier's notification the Customer may terminate this Contract for Material Breach and the Supplier shall, upon demand, refund the Customer with all monies paid in respect of the Service and/or Deliverable that is subject to the Claim.

19.15 The Supplier shall have no rights to use any of the Customer's names, logos or trademarks without prior Approval.

19.16 The Supplier shall, as an enduring obligation throughout the Term and the Call-Off Agreement Period where any Software is used in the provision of the Services or information uploaded/interfaced/exchanged with Authority or Customer systems, use software and the most up to date anti-virus definitions available from an industry accepted anti-virus software vendor to check for, contain the spread of, and minimise the impact of Malicious Software (or as otherwise agreed between the Authority or Customer, and the Supplier).

19.17 Notwithstanding Clause 19.16, if Malicious Software is found, the Supplier shall co-operate with the Customer to reduce the effect of the Malicious Software and, particularly if Malicious Software causes loss of operational efficiency or loss or corruption of Customer Data, assist the Customer to mitigate any losses and to restore the provision of the Services to its desired operating efficiency as soon as possible.

19.18 Any cost arising out of the actions of the Customer and/or Supplier taken in compliance with the provisions of Clause 19.17, shall be borne between the Customer and the Supplier as follows:

19.18.1 by the Supplier, where the Malicious Software originates from the Supplier Software or the Customer Data whilst the Customer Data was under the control of the Supplier, unless the Supplier can demonstrate that such Malicious Software was present and not quarantined or otherwise identified by the Customer when provided to the Supplier; and

19.18.2 by the Customer if the Malicious Software originates from the Customer Software or the Customer Data, whilst the Customer Data was under the control of the Customer.

20. SECURITY REQUIREMENTS AND PROTECTION OF DATA

20.1 The Supplier shall, within five (5) Working Days of the Commencement Date, develop and thereafter maintain a Security Management Plan, which shall be submitted to the Customer for Approval, in accordance with this Clause 20 to apply during the Contract Period.

20.2 The Supplier shall develop, implement, operate, maintain and continuously improve and maintain an ISMS which will be submitted for Approval by the Customer, tested in accordance with the Methodology, periodically updated and audited in accordance with ISO/IEC 27001.

20.3 Both the ISMS and the Security Management Plan shall, unless otherwise specified by the Customer, aim to protect all aspects of the Services and all processes associated with the delivery of the Services and shall comply with the Security Policy.

20.4 The Supplier shall comply, and shall procure the compliance of the Supplier Staff, with the Security Policy and the Security Management Plan (if any) and the Supplier shall ensure (and the Customer shall be entitled to audit) that the Security Management Plan produced by the Supplier fully complies with the Security Policy.

20.5 Customer Data shall be dealt with in the following way:

20.5.1 The Supplier shall not delete or remove any proprietary notices contained within or relating to the Customer Data.

20.5.2 The Supplier shall not store, copy, disclose, or use the Customer Data except as necessary for the performance by the Supplier of its obligations under this Call-Off Agreement or as otherwise Approved by the Customer.

20.5.3 To the extent that the Customer Data is held and/or processed by the Supplier, the Supplier shall supply that Customer Data to the Customer as requested by the Customer and in the format (if any) specified by the Customer from time to time in writing.

20.5.4 To the extent that Customer Data is held and/or processed by the Supplier, the Supplier shall take responsibility for preserving the integrity of the Customer Data and preventing the corruption or loss of Customer Data.

- 20.5.5 The Supplier shall ensure that any system on which the Supplier holds any Customer Data, including back-up data, is a secure system that complies with the security requirements set out by the Customer under this Call-Off Agreement.
- 20.5.6 The Supplier shall ensure that any system on which the Supplier holds any Customer Data which is protectively marked shall be accredited using such accreditation policy or system as specified by the Customer (such as the HMG Security Policy framework and Information Assurance Policy, taking into account guidance issued by the Centre for Protection of National Infrastructure on Risk Management and Accreditation of Information Systems, and/or relevant HMG Information Assurance Standard(s), as in force from time to time) and, where the term of this Call-Off Agreement exceeds one year, the Supplier shall review such accreditation status at least once in each year to assess whether material changes have occurred which could alter the original accreditation decision in relation to Customer Data. If any such changes have occurred then the Supplier shall resubmit such system for accreditation.
- 20.5.7 If the Customer Data is corrupted, lost or sufficiently degraded as a result of a Supplier's Default so as to be unusable, the Customer may:
- (a) require the Supplier (at the Supplier's expense) to restore or procure the restoration of the Customer Data (as the case may be) to the extent and in accordance with the BCDR Plan and the Supplier shall do so as soon as practicable but in accordance with the time period notified by the Customer; and/or
 - (b) itself restore or procure the restoration of Customer Data, and shall be repaid by the Supplier any reasonable expenses incurred in doing so to the extent and in accordance with the requirements specified in the BCDR Plan.
- 20.5.8 If at any time the Supplier suspects or has reason to believe that the Customer Data has or may become corrupted, lost or sufficiently degraded in any way for any reason, then the Supplier shall notify the Customer immediately and inform the Customer of the remedial action the Supplier proposes to take.
- 20.5.9 The Supplier shall, at all times during and after the Term and during and after the Call-Off Agreement Period, indemnify the Customer and keep the Customer fully indemnified against all Losses incurred by, awarded against or agreed to be paid by the Customer at any time (whether such Losses arise before or after the making of a demand pursuant to the indemnity hereunder) arising from any breach of the Supplier's obligations under this Clause 20.5.9 except and to the extent that such liabilities have resulted directly from the Customer's instructions.

21. CONFIDENTIALITY

- 21.1 Except to the extent set out in this Clause 21 or where disclosure is expressly permitted elsewhere in this Contract, each Party shall:
- 21.1.1 treat the other Party's Confidential Information as confidential and safeguard it accordingly; and
 - 21.1.2 not disclose the other Party's Confidential Information to any other person without the owner's prior written consent.
- 21.2 Clause 21.1 shall not apply to the extent that:
- 21.2.1 must be disclosed pursuant to a statutory, legal or parliamentary obligation placed upon the Party making the disclosure;
 - 21.2.2 such information was in the possession of the Party making the disclosure without obligation of confidentiality prior to its disclosure by the information owner;
 - 21.2.3 such information was obtained from a third party without obligation of confidentiality, before receiving it from the disclosing Party;
 - 21.2.4 such information is or becomes public knowledge (otherwise than by breach of this Clause 21);
 - 21.2.5 such information is independently developed without access to the other Party's Confidential Information;
 - 21.2.6 is used for the purpose of obtaining professional advice.
- 21.3 The Supplier may only disclose the Customer's Confidential Information to the Supplier Staff who are directly involved in the provision of the Services and who need to know the information, and shall ensure that such Supplier Staff are aware of and shall comply with these obligations as to confidentiality in this Clause 21.
- 21.4 The Supplier shall not, and shall procure that the Supplier Staff shall not, use any of the Customer's Confidential Information received otherwise than for the purposes of this Contract.

- 21.5 At the written request of the Customer, the Supplier shall procure that those members of Supplier Staff identified in a Customer's written request sign a confidentiality undertaking prior to commencing any work in accordance with this Contract.
- 21.6 In the event that any default, act or omission of any Supplier Staff causes or contributes (or could cause or contribute) to the Supplier breaching its obligations as to confidentiality under or in connection with this Contract, the Supplier shall take such action as may be appropriate in the circumstances, including the use of disciplinary procedures in serious cases. To the fullest extent permitted by its own obligations of confidentiality to any Supplier Staff, the Supplier shall provide such evidence to the Customer as the Customer may reasonably require (though not so as to risk compromising or prejudicing any disciplinary or other proceedings) to demonstrate that the Supplier is taking appropriate steps to comply with this Clause 21, including copies of any written communications to and/or from Supplier Staff, and any minutes of meetings and any other records which provide an audit trail of any discussions or exchanges with Supplier Staff in connection with obligations as to confidentiality.
- 21.7 Nothing in this Clause 21 shall prevent either Party from using any techniques, ideas or Know-How gained during the performance of this Contract in the course of its normal business to the extent that this use does not result in a disclosure of the other Party's Confidential Information or an infringement of IPR.
- 21.8 The Supplier shall, at all times during and after the Contract Period, indemnify the Customer and keep the Customer fully indemnified against all Losses incurred by, awarded against or agreed to be paid by the Customer at any time (whether before or after the making of a demand pursuant to the indemnity hereunder) arising from any breach of the Supplier's obligations under Clauses 21.1 to 21.6 except and to the extent that such liabilities have resulted directly from the Customer's instructions.
- 21.9 In the event that the Supplier fails to comply with Clauses 21.1 to 21.6, the Customer reserves the right to terminate this Contract for Material Breach.

PART E – CONTROL OF THE CONTRACT

22. RECORDS AND AUDIT ACCESS

- 22.1.1 The Customer shall use reasonable endeavours to ensure that the conduct of each audit carried out pursuant to Schedule 7 (Records and Audit Access) of the framework Agreement does not unreasonably disrupt the Supplier or delay the provision of the Services save insofar as the Supplier accepts and acknowledges that control over the conduct of audits carried out by the Auditor(s) is outside of the control of the Customer.
- 22.1.2 The Parties agree that they shall bear their own respective costs and expenses incurred in respect of compliance with their obligations under Schedule 7 (Records and Audit Access) of the framework Agreement unless the audit reveals a Material Breach by the Supplier in which case the Supplier shall reimburse the Customer for the Customer's reasonable costs incurred in relation to the audit.

23. FORCE MAJEURE

- 23.1.1 Neither Party shall be liable to the other Party for any delay in performing, or failure to perform, its obligations under this Contract (other than a payment of money) to the extent that such delay or failure is a result of a Force Majeure Event. Notwithstanding the foregoing, each Party shall use all reasonable endeavours to continue to perform its obligations under this Contract for the duration of such Force Majeure Event. However, if such Force Majeure Event prevents either Party from performing its material obligations under this Contract for a period in excess of fifteen (15) consecutive Calendar Days either Party may terminate this Contract with immediate effect by notice in writing to the other Party.
- 23.1.2 Any failure or delay by the Supplier in performing its obligations under this Contract which results from any failure or delay by an agent, Sub-Contractor or Supplier shall be regarded as due to a Force Majeure Event only if that agent, Sub-Contractor or Supplier is itself impeded, as in the case of an Affected Party, by a Force Majeure Event from complying with an obligation to the Supplier.
- 23.1.3 If either Party becomes aware of a Force Majeure Event or occurrence which gives rise to or is likely to give rise to any such failure or delay on its part as described in Clause 23.1.1 it shall immediately notify the other by the most expeditious method then available and shall inform the other of the period during which it is estimated that such failure or delay shall continue.
- 23.1.4 The Supplier shall not have the right to any payment from the Customer under this Contract where the Supplier is unable to provide the Services and/or Deliverables because of a Force Majeure Event.

24. DISRUPTION

- 24.1.1 The Supplier shall immediately inform the Customer of any actual or potential industrial action, whether such action be by the Supplier Staff or others, which affects or might affect the Supplier's ability at any time to perform its obligations under this Contract.
- 24.1.2 In the event of industrial action by the Supplier Staff, the Supplier shall seek Approval to its proposals for the continuance of the supply of the Services in accordance with its obligations under this Contract.
- 24.1.3 If the Supplier's proposals referred to in Clause 24.1.2 are considered insufficient or unacceptable by the Customer acting reasonably then the Customer may terminate this Contract for Material Breach.

25. WAIVER

- 25.1.1 The failure of either Party to insist upon strict performance of any provision of this Contract, or the failure of either Party to exercise, or any delay in exercising, any right or remedy shall not constitute a waiver of that right or remedy and shall not cause a diminution of the obligations established by this Contract.
- 25.1.2 No waiver shall be effective unless it is expressly stated to be a waiver and communicated to the other Party in writing in accordance with Clause 34 (Notices). Such waiver shall only be operative with regard to the specific circumstances referred to.
- 25.1.3 A waiver by either Party of any right or remedy arising from a breach of this Contract shall not constitute a waiver of any right or remedy arising from any other or subsequent breach of this Contract.

26. CUMULATIVE REMEDIES

- 26.1 Except as otherwise expressly provided by this Contract, all remedies available to either Party for breach of this Contract are cumulative and may be exercised concurrently or separately, and the exercise of any one remedy shall not be deemed an election of such remedy to the exclusion of other remedies.

27. FURTHER ASSURANCES

- 27.1 Each Party undertakes at the request of the other, and at the cost of the requesting Party to do all acts and execute all documents which may be necessary to give effect to the meaning of this Contract.

28. CHANGES TO CONTRACT

- 28.1 No amendment to the provisions of this Contract, other than a change to the Services in accordance with the procedure and provisions set out at Clause 29, shall be effective unless made in accordance with the procedures set out in this Clause 28 ("Change Control Procedure").
- 28.2 Subject to Clause 29.1, either Party may request a contract change by completing and sending a draft Contract Change Note in the form set out at Schedule 1 ("the Contract Change Note") to the other Party giving sufficient information to enable the other Party to assess the extent of the change and any additional cost that may be incurred. The Party requesting the contract change shall bear the costs of preparation of the Contract Change Note.
- 28.3 The Party receiving the request for a contract change shall respond to the request within five (5) Working Days (or such other period as may be agreed by the Parties) and if applicable, the Parties shall enter into discussions to discuss the proposed change and neither Party shall unreasonably withhold or delay consent to the other Party's proposed changes to this Contract.
- 28.4 Where the Customer has proposed a contract change and the Supplier is unable to provide the change, including where the Parties are unable to agree a change to the Contract Charges, the Customer may terminate this Contract with immediate effect.
- 28.5 Following execution of the final Contract Change Note, the Supplier shall implement such change and be bound by the same provisions so far as is applicable, as though such change was stated in this Contract.
- 28.6 A Contract Change Note that is signed by both Parties shall constitute an amendment to this Contract pursuant to this Clause 28.

29. CHANGES TO SERVICES

- 29.1 The Parties acknowledge and agree that there will be changes to the scope of the Services during the Contract Period.
- 29.2 The Customer may amend the Stories that are comprised within the Minimum Marketable Features of a Release at any time during the Release at no additional charge and without adopting the Change Control Procedure set out in Clause 28 above provided that:

29.2.1 the Customer shall not be entitled to make any changes to the Stories that form the subject of a Sprint following the mutual agreement by the Parties of the Sprint Plan for that Sprint;

29.2.2 new Stories and/or changes to existing Stories may only be introduced if:

- (a) existing Stories with an equivalent number of Story Points are removed; or
- (b) existing Stories are reduced in size by the equivalent number of Story Points, such that the total number of Story Points for the Release remains constant throughout the Release.

29.3 The Supplier shall consider any request by the Customer to increase the number of Story Points for a Release, and may, subject to the Change Control Procedure set out at Clause 28, agree to such request.

30. SEVERABILITY

30.1.1 If any provision of this Contract is held invalid, illegal or unenforceable for any reason, such provision shall be severed and the remainder of the provisions hereof shall continue in full force and effect as if this Contract had been executed with the invalid, illegal or unenforceable provision eliminated.

30.1.2 In the event of a holding of invalidity so fundamental as to prevent the accomplishment of the purpose of this Contract, the Customer and the Supplier shall immediately commence good faith negotiations to remedy such invalidity.

31. SUPPLIER'S STATUS

31.1 At all times during the Contract Period the Supplier shall be an independent contractor and nothing in this Contract shall create a contract of employment, a relationship of agency or partnership or a joint venture between the Parties and, accordingly, neither Party shall be authorised to act in the name of, or on behalf of, or otherwise bind the other Party save as expressly permitted by the terms of this Contract.

32. ENTIRE AGREEMENT

32.1 This Contract constitutes the entire agreement and understanding between the Parties in respect of the matters dealt with in it and supersedes, cancels or nullifies any previous agreement, warranty, statement, representation, understanding, or undertaking (in each case whether written or oral) between the Parties in relation to such matters.

32.1.1 Each of the Parties acknowledges and agrees that in entering into this Contract it does not rely on, and shall have no remedy in respect of, any agreement, statement, representation, warranty, understanding or undertaking (whether negligently or innocently made) other than as expressly set out in this Contract.

32.1.2 Nothing in this Clause 32 shall operate to exclude any liability for (or remedy in respect of) fraudulent misrepresentation or Fraud.

33. THE CONTRACTS (RIGHTS OF THIRD PARTIES) ACT 1999

33.1 Subject to Clause 33.2 a person who is not a Party to this Contract has no right under the Contracts (Rights of Third Parties) Act 1999 to enforce any of its provisions which, expressly or by implication, confer a benefit on him, without the prior written agreement of the Parties, but this does not affect any right or remedy of any person which exists or is available otherwise than pursuant to that Act. This Clause does not affect any right or remedy of any person which exists or is available otherwise than pursuant to the Contracts (Rights of Third Parties) Act 1999 and does not apply to the Crown.

33.2 The Authority may enforce any provision of this Contract which is for the benefit of the Authority as a third party beneficiary in accordance with the Contracts (Rights of Third Parties) Act 1999.

33.3 The Parties agree that the Contracts (Rights of Third Parties) Act 1999 (CRITPA) shall apply to Clause 40 (Employment, Tax and National Insurance Liabilities) to the extent necessary that any Replacement Supplier shall have the right to enforce the obligations owed to, and indemnities given to, the Replacement Supplier by the Supplier under that Clause 40 (Employment, Tax and National Insurance Liabilities) in its own right pursuant to section 1(1) of CRITPA.

33.4 No consent of any third party is necessary for any rescission, variation (including any release or compromise in whole or in part of liability) or termination of this Contract or any one or more Clauses or paragraphs of it.

34. NOTICES

34.1 Except as otherwise expressly provided within this Contract, any notices sent under this Contract must be in writing. For the purpose of this Clause, an e-mail is accepted as being "in writing".

34.2 The following table sets out the method by which notices may be served under this Contract and the respective deemed time and proof of service:

Manner of Delivery	Deemed time of delivery	Proof of Service
Email	9.00am on the first Working Day after sending	Dispatched in an emailed pdf form to the correct e-mail address without any error message

- 34.2.1 For the purposes of Clause 34.2, the address and email address of each Party shall be the address and email address set out in the Order Form.
- 34.2.2 Either Party may change its address for service by serving a notice in accordance with this Clause 34.
- 34.2.3 This Clause does not apply to the service of any proceedings or other documents in any legal action or, where applicable, any arbitration or other method of dispute resolution.

35. LEGISLATIVE CHANGE

- 35.1 The Supplier shall neither be relieved of its obligations under this Contract nor be entitled to an increase in the Contract Charges as the result of a General Change in Law or Specific Change in Law where the effect of that Specific Change in Law on the Services is known at the Effective Date.

PART F – DISPUTES AND LAW

36. DISPUTE RESOLUTION

- 36.1.1 Immediately upon either Party notifying the other of a dispute, the Parties shall attempt in good faith to negotiate a settlement to any dispute between them arising out of or in connection with this Contract and such efforts shall involve the escalation of the dispute to the level of representative of each Party specified in the Order Form.
- 36.1.2 Nothing in this Dispute Resolution Procedure shall prevent the Parties from seeking from any court of competent jurisdiction an interim order restraining the other Party from doing any act or compelling the other Party to do any act.
- 36.1.3 If the dispute cannot be resolved by the Parties pursuant to Clause 36.1.1 within ten (10) Working Days of notice of dispute or such other period that the Customer may specify or Approve, the Parties shall refer it to mediation pursuant to the procedure set out in Clause 36.1.8 unless:
- 36.1.4 the Customer considers that the dispute is not suitable for resolution by mediation; or
- 36.1.5 the Supplier does not agree to mediation.
- 36.1.6 If the dispute relates to any technical aspect of the delivery of the Digital Services or the underlying technology or otherwise is of a financial technical nature (as the Parties may agree) and the dispute has not been resolved by discussion or mediation, then either Party may request (which request will not be unreasonably withheld or delayed) by written notice to the other that the dispute is referred to expert determination pursuant to the procedure set out in Clause 36.1.9 and an Expert shall be appointed by agreement in writing between the Parties, but in the event of a failure to agree within 10 Working Days, or if the person appointed is unable or unwilling to act, the Expert shall be appointed on the instructions of the President of the British Computer Society (or any other association that has replaced the British Computer Society).
- 36.1.7 Without prejudice to any other rights of the Customer under this Contract, the obligations of the Parties under this Contract shall not be suspended, cease or be delayed by the reference of a dispute submitted to mediation and the Supplier and the Supplier Staff shall comply fully with the requirements of this Contract at all times.
- 36.1.8 The procedure for mediation is as follows:
- (a) a neutral adviser or mediator (“the Mediator”) shall be chosen by agreement between the Parties or, if they are unable to agree upon a Mediator within ten (10) Working Days after a request by one Party to the other or if the Mediator agreed upon is unable or unwilling to act, either Party shall as soon as practicable, and in any event within twelve (12) Working Days from the date of the proposal to appoint a Mediator, or within three (3) Working Days of notice of the Mediator to either Party that that person is unable or unwilling to act, apply to the mediation provider or to the Centre for Effective Dispute Resolution (“CEDR”) to appoint a Mediator;

- (b) the Parties shall within ten (10) Working Days of the appointment of the Mediator meet with the Mediator in order to agree a programme for the exchange of all relevant information and the structure to be adopted for negotiations to be held. If considered appropriate, the Parties may at any stage seek assistance from the mediation provider specified in Clause 36.1.8a to provide guidance on a suitable procedure;
- (c) unless otherwise agreed, all negotiations connected with the dispute and any settlement agreement relating to it shall be conducted in confidence and without prejudice to the rights of the Parties in any future proceedings;
- (d) if the Parties reach agreement on the resolution of the dispute, the agreement shall be reduced to writing and shall be binding on the Parties once it is signed by their duly authorised representatives;
- (e) failing agreement, either of the Parties may invite the Mediator to provide a non-binding but informative opinion in writing. Such an opinion shall be provided on a without prejudice basis and shall not be used in evidence in any proceedings relating to this Contract without the prior written consent of both Parties; and
- (f) if the Parties fail to reach agreement in the structured negotiations within sixty (60) Working Days of the Mediator being appointed, or such longer period as may be agreed by the Parties, then any dispute or difference between them may be referred to the courts.

36.1.9 The Expert shall act on the following basis:

- (a) he/she shall act as an Expert and not as an arbitrator and shall act fairly and impartially;
- (b) the Expert's determination shall (in the absence of a material failure to follow the agreed procedures) be final and binding on the Parties;
- (c) the Expert shall decide the procedure to be followed in the determination and shall be requested to make his/her determination within 30 Working Days of his appointment or as soon as reasonably practicable thereafter and the Parties shall assist and provide the documentation that the Expert requires for the purpose of the determination;
- (d) any amount payable by one Party to another as a result of the Expert's determination shall be due and payable within 20 Working Days of the Expert's determination being notified to the Parties;
- (e) the process shall be conducted in private and shall be confidential; and
- (f) the Expert shall determine how and by whom the costs of the determination, including his/her fees and expenses, are to be paid.

PART G – LIABILITY AND INSURANCE

37. LIABILITY

37.1 Neither Party excludes or limits its liability for:

- 37.1.1 death or personal injury; or;
- 37.1.2 bribery or Fraud by it or its employees; or
- 37.1.3 breach of any obligation as to title implied by section 12 of the Sale of Goods Act 1979 or section 2 of the Supply of Goods and Services Act 1982; or
- 37.1.4 any other liability to the extent it cannot be excluded or limited by Law.

37.2 Subject to Clause 37.1, the Supplier's total aggregate liability in respect of the indemnities in Clauses 14.3 (VAT), 19.12 (IPR Claim), Clause FW-15 (Conflicts of Interest and Ethical Walls), Clause FW-42 (Data Protection and Disclosure), Clause 21.8 (Confidentiality), Clause FW-40 (Prevention Bribery and Corruption), Clause FW-41 (Safeguarding against Fraud) and Clause 40 (Employment, Tax and National Insurance Liabilities) and in each case, whether before or after the making of a demand pursuant to the indemnities therein, shall be unlimited.

37.3 Subject to Clauses 37.1, 37.2, 37.4 and 37.6, each Party's total aggregate liability in respect of all Losses as a result of a default howsoever arising out of or in connection with this Contract shall be limited to:

- 37.3.1 In relation to Losses suffered as a result of a default resulting in direct loss or damage to property (including any technical infrastructure, assets or equipment but excluding any loss or damage to IPR, Customer Data or Customer Personal Data) of the other Party the sum of one million pounds

£1,000,000 in each Contract Year in which the default occurred or is occurring unless otherwise stipulated by the Customer in a Further Competition Procedure; and

37.3.2 in respect of all other Losses:

- (a) in relation to Losses suffered as a result of a default occurred or occurring in the first six Months, the greater of the sum of five hundred thousand pounds £500,000 or a sum equal to 200% of the Estimated Contract Charges for the first six Months
- (b) in relation to Losses suffered as a result of a default occurred or occurring during the remainder of the Contract Period, the greater of the sum of five hundred thousand pounds £500,000 or an amount equal to 200% of the Contract Charges paid, due or which would have been payable under this Contract in the six 6 Months immediately preceding the event giving rise to the liability; and
- (c) in relation to Losses suffered as a result of a default occurred or occurring after the end of the Contract Period, the greater of the sum of five hundred thousand pounds £500,000 or an amount equal to 200% of the Contract Charges paid, due or which would have been payable under this Contract in the six 6 Months immediately prior to the end of the Contract Period.

Unless a different aggregate limit or percentage is stipulated by the Customer in a Further Competition Procedure.

- 37.4 A Party shall not be responsible for any Loss under this Contract if and to the extent that it is caused by the default of the other (Default on the part of the Supplier and Customer Cause on the part of the Customer).
- 37.5 Subject to Clauses 37.1, 37.2 and 37.6 in no event shall either Party be liable to the other for any:
- 37.5.1 loss of profits;
 - 37.5.2 loss of business;
 - 37.5.3 loss of revenue;
 - 37.5.4 loss of or damage to goodwill;
 - 37.5.5 loss of savings (whether anticipated or otherwise); and/or
 - 37.5.6 any indirect, special or consequential loss or damage.
- 37.6 The Supplier shall be liable for the following types of Loss which shall be regarded as direct and shall (without in any way, limiting other categories of Loss which may be recoverable by the Customer) be recoverable by the Customer:
- 37.6.1 the additional operational and/or administrative costs and expenses arising from any Material Breach;
 - 37.6.2 any regulatory losses, fines, expenses or other Losses arising from a breach by the Supplier of any Law.
- 37.7 No enquiry, inspection, approval, sanction, comment, consent, or decision at any time made or given by or on behalf of the Customer to any document or information provided by the Supplier in its provision of the Services, and no failure of the Customer to discern any defect in or omission from any such document or information shall operate to exclude or limit the obligation of the Supplier to carry out all the obligations of a professional supplier employed in a client/customer relationship.
- 37.8 Save as otherwise expressly provided, the obligations of the Customer under this Contract are obligations of the Customer in its capacity as a contracting counterparty and nothing in this Contract shall operate as an obligation upon, or in any other way fetter or constrain the Customer in any other capacity, nor shall the exercise by the Customer of its duties and powers in any other capacity lead to any liability under this Contract (howsoever arising) on the part of the Customer to the Supplier.
- 37.9 For the avoidance of doubt any liabilities which are unlimited shall not be taken into account for the purposes of establishing whether the limit in Clause 37.3.1 has been reached.
- 37.10 Nothing in this Clause 37 shall act to reduce or affect a Party's general duty to mitigate its loss.

PART H – TERMINATION

38. TERMINATION EVENTS

38.1 The Customer shall have the right to terminate this Contract for convenience and without cause at any time by giving notice to the Supplier as set out at Clause 38.2 and the Supplier's obligation to provide the Services shall end on the date set out in the Customer's notice.

38.2 Notice Periods

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- 38.2.1 The notice period (expressed in Working Days) to be given by the Customer in respect of Clause 38.1 shall be the number of whole days that is 20% of the total duration of the final SOW to be executed under this Contract, up to a maximum of 30 Working Days. Partial days shall be discounted in the calculation and the duration of the SOW shall be calculated in Working Days. For example, if the duration of the SOW is 10 Working Days: 20% of the SOW is 2 days. The Notice Period = 2 Working Days; or if the duration of the SOW is 62 Working Days, 20% of the SOW is 12.4. The Notice Period = 12 Working Days.
- 38.3 The Parties acknowledge and agree that:
- 38.3.1 the Customer's right to terminate for convenience and without cause under Clause 38.1 is reasonable in view of the subject matter of this Contract and the Agile nature of the Services being provided;
- 38.3.2 the Contract Charges paid during the notice period given by the Customer in accordance with Clause 38.1 are a reasonable form of compensation and are deemed to fully cover any costs or Losses incurred by the Supplier which may arise either directly or indirectly as a result of the Customer exercising the right to terminate without cause.
- 38.4 The Customer shall have the right to terminate this Contract at any time with immediate effect by written notice to the other Supplier if:
- 38.4.1 the Supplier commits a Supplier Default and if the Supplier Default is not, in the opinion of the Customer, capable of remedy; or
- 38.4.2 the Supplier Default is a Material Breach of this Contract.
- 38.5 the Supplier is unable to provide a change proposed by the Customer;
- 38.6 Either Party may terminate this Contract at any time with immediate effect by written notice to the other Party if:
- 38.6.1 the other Party commits a material breach of any term of this Contract (other than failure to pay any amounts due under this Contract) and, if such breach is remediable, fails to remedy that breach within a period of fifteen (15) Working Days of being notified in writing to do so;
- 38.6.2 an Insolvency Event of the other Party occurs, or the other Party ceases or threatens to cease to carry on the whole or any material part of its business; or
- 38.6.3 a Force Majeure Event occurs for a period of more than fifteen (15) consecutive Calendar Days.
- 38.7 Without prejudice to any other right or remedy which a Customer may have under the Call-Off Agreement or at Law (including the right to terminate under this Clause 38) if any Services are not supplied in accordance with the Call-Off Agreement, then the Customer may (whether or not any part of the Services has already been delivered) do any of the following at the Customer's option and in its sole discretion:
- 38.7.1 at the Supplier's expense, give the Supplier the opportunity to remedy any failure in the supply of the Services together with any damage resulting from such defect or failure (and where such defect or failure is capable of remedy) or to supply replacement Services and carry out any other necessary work to ensure that the terms of this Call-Off Agreement are fulfilled, in accordance with the Customer's instructions;
- 38.7.2 carry out, at the Supplier's expense, any work necessary to make the supply of Services comply with this Call-Off Agreement;
- 39. CONSEQUENCES OF EXPIRY OR TERMINATION FOR ANY REASON**
- 39.1 Save for those rights and obligations set out Clause 39.2.4, upon the expiry or termination of this Contract, the rights and obligations of the Parties in respect of this Contract (including any executed SOWs) shall automatically terminate.
- 39.2 Consequences of expiry or termination of the Contract for any reason:
- 39.2.1 At the end of the Contract Period (howsoever arising), the Supplier shall:
- (a) immediately return to the Customer:
- (i) all Customer Data including all copies of Customer Software and any other software licensed by the Customer to the Supplier under this Contract;
- (ii) any materials created by the Supplier under this Contract, the IPRs in which are owned by the Customer;
- (iii) any items that have been on-charged to the Customer, such as consumables;

- (iv) all Property (including materials, documents, information and access keys) provided to the Supplier under Clause FW-10 (Property). Such Property shall be handed back to the Customer in good working order (allowance shall be made for reasonable wear and tear);
 - (b) immediately upload any items that are or were due to be uploaded to the Repository but for the expiry or termination of this Contract (as more particularly specified in Clause 18);
 - (c) cease to use the Customer Data and, at the direction of the Customer, provide the Customer and/or the Replacement Supplier with a complete and uncorrupted version of the Customer Data in electronic form in the formats and on media agreed with the Customer and/or the Replacement Supplier;
 - (d) except where the retention of Customer Data is required by Law, on the earlier of the receipt of the Customer's written instructions or twelve (12) Months after the date of expiry or termination, destroy all copies of the Customer Data and promptly provide written confirmation to the Customer that the data has been destroyed;
 - (e) vacate the Customer Premises;
 - (f) assist and co-operate with the Customer to ensure an orderly transition of the provision of the Services to the Replacement Supplier and provide such assistance and co-operation as the Customer may require in relation to any work in progress or Stories which remain on the Product Backlog;
 - (g) return to the Customer any sums prepaid in respect of Services not provided by the date of expiry or termination (howsoever arising); and
 - (h) promptly provide all information concerning the provision of the Services which may reasonably be requested by the Customer for the purposes of adequately understanding the manner in which the Services have been provided or for the purpose of allowing the Customer or the Replacement Supplier to conduct due diligence;
- 39.2.2 Each Party will return to the other Party all Confidential Information of the other Party and will certify that it does not retain the other Party's Confidential Information save to the extent (and for the limited period) that such information needs to be retained by the Party in question as a requirement under the Law or where this Contract expressly provides for otherwise.
- 39.2.3 Except where this Contract provides otherwise, all licences, leases and authorisations granted by the Customer to the Supplier in relation to the Services shall be terminated with effect at the end of the Contract Period (howsoever arising) without the need for the Customer to serve Notice.
- 39.2.4 Save as otherwise expressly provided in this Contract:
- (a) termination or expiry of this Contract shall be without prejudice to any rights, remedies or obligations accrued under this Contract prior to termination or expiration and nothing in this Contract shall prejudice the right of either Party to recover any amount outstanding at the time of such termination or expiry; and
 - (b) termination of this Contract shall not affect the continuing rights, remedies or obligations of the Customer or the Supplier under Clauses 14 (Payment and VAT), 15 (Recovery of Sums Due), 19 (Intellectual Property Rights), FW-42 (Data Protection and Disclosure), 21 (Confidentiality), FW-46 (Official Secrets Acts), Clause FW-44 (Freedom of Information and Transparency), 37 (Liability), 39 (Consequences of Expiry or Termination), FW-40 (Prevention of Bribery and Corruption), 22 and framework Agreement Schedule 7 (Records and Audit Access), FW-41 (Safeguarding against Fraud), 26 (Cumulative Remedies), FW-15 (Conflicts of Interest and Ethical Walls), 33 (The Contracts (Rights of Third Parties) Act 1999), 40 (Employment, Tax and National Insurance Liabilities) and 41 (Governing Law and Jurisdiction) and, without limitation to the foregoing, any other provision of this Contract which expressly or by implication is to be performed or observed notwithstanding termination or expiry shall survive the termination or expiry of this Contract.

40. EMPLOYMENT, TAX AND NATIONAL INSURANCE LIABILITIES

- 40.1 The Customer and the Supplier acknowledge and agree that the commencement and subsequent expiry or termination of this Contract are not events that are intended to give rise to any Relevant Transfers to which TUPE will apply, either in relation to any employees of the Customer or Former Supplier transferring to the employment of the Supplier at commencement; or Supplier Staff transferring from the Supplier to the Customer or a Replacement Supplier at expiry or termination.

- 40.2 The Supplier shall at all times indemnify the Customer and keep the Customer indemnified in full from and against all claims, proceedings, actions, damages, costs, expenses, liabilities and demands whatsoever and howsoever arising (including either before or after the making of a demand pursuant to the indemnity hereunder) by reason of any circumstances whereby the Customer is alleged or determined, whether during the Contract Period or arising from termination or expiry of this Contract, to have been assumed or imposed with:
- 40.2.1 the liability or responsibility for the Supplier or any of the Supplier Staff as an employer; and/or
 - 40.2.2 any liability or responsibility to HM Revenue or Customs as an employer to the Supplier or the Supplier Staff
- 40.3 Where the Supplier is liable to be taxed in the UK in respect of consideration received under this Contract, it shall at all times comply with other statutes and regulations relating to tax in respect of that consideration insofar as they apply to the Supplier's business structure (including the Income Tax (Earnings and Pensions) Act 2003 (ITEPA) in respect of income tax where applicable).
- 40.4 Where the Supplier is liable to National Insurance Contributions (NICs) in respect of consideration received under this Contract, it shall at all times comply with the Social Security Contributions and Benefits Act 1992 (SSCBA) and all other statutes and regulations relating to NICs in respect of that consideration.
- 40.5 The Customer may, at any time during the term of this contract, request the Supplier to provide information which demonstrates how the Supplier complies with Clauses 40.3 and 40.4 above or why those Clauses do not apply to it.
- 40.6 A request under Clause 40.5 above may specify the information which the Supplier must provide and the period within which that information must be provided.
- 40.7 The Customer may terminate this Contract for Material Breach if-
- 40.7.1 in the case of a request mentioned in Clause 40.5 above-
 - (a) the Supplier fails to provide information in response to the request within a reasonable time, or
 - (b) the Supplier provides information which is inadequate to demonstrate either how the Supplier complies with Clauses 40.3 and 40.4 above or why those Clauses do not apply to it;
 - 40.7.2 in the case of a request mentioned in Clause 40.6 above, the Supplier fails to provide the specified information within the specified period, or
 - 40.7.3 it receives information which demonstrates that, at any time when Clauses 40.3 and 40.4 apply to the Supplier, the Supplier is not complying with those Clauses.
- 40.8 The Customer may supply any information which it receives under Clause 40.5 to the Commissioners of Her Majesty's Revenue and Customs for the purpose of the collection and management of revenue for which they are responsible.
- 41. GOVERNING LAW AND JURISDICTION**
- 41.1 This Contract shall be governed by and interpreted in accordance with the Laws of England and Wales and the Parties agree to submit to the exclusive jurisdiction of the English courts any dispute that arises in connection with this Contract including, without limitation, any dispute relating to any contractual or non-contractual obligation and the existence, validity or termination of this Contract.

SCHEDULE 1 - PROJECT OUTLINE CASE

REQUIRED OUTCOMES - WEBSITE

Project objective

We are aiming to modernise the HFEA website by creating a responsive solution that meets the needs of our various users and how they access the information we provide. The output should be an engaging experience that ensures that the users get quick access to information they need.

The production of the new website is split into two sections; design and development. This lot [lot 1 (a)] is for the **design** of the website. The project will use agile methodologies therefore the design supplier will be required to work in parallel with the development supplier. A supplier can bid for multiple lots.

Outline specification

The HFEA website is key communications tool to all audience groups. The range and diversity of our audiences will require a flexible solution that will make the end user feel as if the site has been created to cater for their specific needs.

The design of the website should cater for a seamless transition between CaFC, Clinic Portal and the website. Therefore the user should enjoy a consistent experience (albeit not necessarily uniform) when moving between the website, CaFC and Clinic Portal.

We also want to reconsider the site navigation. The navigation could be task-based or audience-based. Alternative methods are welcome but in all cases a strong rationale must be provided to qualify the choice.

Our current site is a static, informational tool with a one-way direction of information (HFEA > user). We would like to change this by including standard web 2.0 functionality which will produce a collaborative environment where the user can inform our content.

The new design must address the issues raised in the user research and must be provided in a format that can be readily developed by a competent developer and minimises development work.

Proposed functionality

The website redesign will require the following elements (but not limited to these - a full functional requirement spec is provided therefore the design should consider the output of such functionality to be agreed following Discovery+ and validation of proposed functionality and documented in a specification to be approved and signed off by HFEA

- a design for a defined navigation structure
- integrated social media
- ability to create blogs
- video/audio streaming and other rich media
- a site search feature so users can find website content across both the main website and CaFC
- a comments feature after each article including the ability to 'rate' page content on how useful it is
- quick polls
- enquiries/contact us forms
- captcha or similar functionality to avoid spam
- tagging of content for quick cross-referencing of similarly themed/tagged content (tags editable on the CMS side)
- diary/calendar feature allowing for; request for invitation/attendance, alerts to changes and/or cancellations, alerts to similar event postings

- potential integration of content produced by other HFEA tools – EDI, Epicentre, CaFC and Clinic Portal – into the website frontend, albeit that this has not yet been defined
- patient feedback, mainly via CaFC.

User needs and story cards

As part of our discovery work we have identified a set of prioritised user needs that need to be addressed. These, alongside the story cards, can be found in the appendices.

A full list of user stories (product backlog) has been defined and should be referenced in the response.

Requirements

- Responsive website – device agnostic and coded to latest W3C standards using HTML5 and CSS3
- Visually accessible and compliant with GDS assisted digital guidelines.
- Should at least meet Level AA of the Web Content Accessibility Guidelines (WCAG) 2.0
- Design for API output of HFEA data eg, PGD approved conditions list.
- To design navigation and site architecture that reflects the needs of the various audiences that the HFEA has and presents the breadth and depth of information available from the organisation.
- Support SEO friendly URLs.
- Compliance with the GDS service manual guidelines <https://www.gov.uk/service-manual>
- Must meet the Digital by Default service assessment and other GDS requirements for the site to go live

Deliverables

Suppliers are expected to work in accordance with agile working methodology and GDS best practice. We anticipate 3 phases (alpha, beta and Live) and for sprints to be of two weeks each.

Consistent with agile development methodology, the exact stories and order of their delivery will be determined as part of the sprint planning process. Outcomes of each phase are as follows:

Alpha phase

The purpose of this section is to articulate the expectations and requirements for the Alpha phase

The goal of the alpha phase is to:

- Produce a proof of concept
- Planning for Beta or beta termination

Proof of concept

1. Development of low fidelity functional prototypes that will be tested and iterated based on the feedback of actual users.
2. The proof of concept must:
 - a. demonstrate that the new design will meet the above user needs
 - b. address the issues identified in our user research of existing systems (problems with existing Clinic Portal & EDI)
 - c. validate the integration approach for information from other HFEA systems
 - d. enable the selection of the appropriate technologies consider options for assisted digital support (if appropriate)

Beta planning

The alpha phase must also:

- Have a clear idea of what is required to build the beta and what it will cost
- Refine the product backlog to determine user stories for the minimum viable product
- Articulate the non-functional requirements of the service

- Identify and quantify any risks (e.g. design, process & technology) for the beta stage and how we will manage these risks
- Understand how legacy systems fit into the solution, how they will be wrapped or integrated
- How we will measure success (e.g. KPIs)
- Plan for beta and running of the live service
- Pass the GDS service assessment
- Enable a decision to be made as to whether to progress to the beta phase or alpha termination

Quality criteria

The alpha must demonstrate that it meets the following quality criteria:

- Demonstrates that we have a sufficient understanding of user needs
- Evidence of compliance with GDS assisted digital guidelines
- The alpha demonstrates that the solution is appropriate, viable
- Meets the requirements articulated in the RFP
- Meets the GDS service assessment standard
- The alpha phase is completed quickly (e.g. in line with GDS best practice timescales for Alpha)
- Finalise the beta phase brief

Beta Phase

The objective of the beta phase will be to build a fully working prototype to test with users. The prototype to be continuously improved through the beta phase until it is ready for 'go-live' replacing and/or integrating with other HFEA systems.

The Beta phase will be used to deliver:

- an end-to-end Clinic Portal fully working prototype
- a working system that can be used by real users
- demonstration of a seamless integration and/or transition between existing systems and other lot products.
- a responsive and accessible prototype
- a programme of prioritised work to be done;
- a user testing plan
- a plan for service go live
- GDS service assessment

Live Phase

The objective of the live phase will be to ensure:

- a fully resilient Clinic Portal for all end users (i.e. before beginning a phased roll-out) has been developed;
- security and performance standards have been met
- analytics and monitoring of KPIs are in place;
- transition for outgoing clinical portal plan and execution is in place;
- Digital by Default Service Standard approval
- measurable confirmation of delivery to identified user needs (from discovery, alpha and beta phases)
- a plan for GDS service assessment
- GDS Service assessment

Post-launch Phase

The objectives will be to ensure the following are in place:

- monitoring of system performance and optimisation of the code, bug fixing
- development support will be provided by external suppliers
- technical and user task-focused reviews
- ensuring the service remains secure

- operational support may be provided in-house or by the supplier (to be determined)

Knowledge and skills transfer to our internal teams or parties will be made by suppliers to the HFEA internal team as required throughout.

REQUIREMENT OUTCOMES – CLINIC PORTAL

We are aiming to modernise the Clinic Portal via which the HFEA provides online facilities for clinics to obtain and manage information about their own clinic and to help support their on-going operations via the Clinic Portal. This allows clinics to keep the HFEA informed of operational or regulatory (licensing) matters, enables them to adopt an amount of self-regulation using HFEA provided performance data, and also to manage the information which is publicly available about their clinic.

The HFEA supplies summary information and statistics back to clinics to help them understand and improve their performance and compliance. Clinics are able to view summary information in the form of key risk and performance ratings which relate to areas of both regulatory (licence) compliance and operational (data submission) performance. This information is presented for the individual clinic, in relation to national statistics to allow benchmarking.

Clinics can manage and complete licence applications and complete self-assessment questionnaires, in relation to licensing and inspection activities, and can access HFEA published documentation, eg, inspection reports and financial information such as invoices for treatments undertaken and licence applications made. Clinics can also directly manage and update the information which is displayed to the public via the HFEA website or CaFC, including the treatments/services offered, contact details, facilities available and other general information.

The Clinic Portal interfaces with an internal database called Epicentre that provides workflow functionality in relation to clinics submissions via the portal and also pre and post inspection workflow for the inspection and licensing teams and is the Register of licence information.

A significant portion of the HFEA's funding results from licence fees and licensed treatment fees. Licence fees are levied on application and treatment fees are based on treatment data they have submitted to the HFEA and are invoiced retrospectively. The ability for clinic staff to view summary invoice data and drill down will be supported by the new Clinic Portal.

As part of compliance with the HFEA Act 1990 (as amended), the HFEA must keep a Register of treatments undertaken by fertility clinics. This includes gathering records of all patients undergoing treatment cycles, the progress and outcomes of these treatment cycles, details of all donors and their involvement within treatment cycles and the movement of eggs and embryos within the fertility system. Clinics submit their data to the HFEA electronically either using an HFEA supplied application or using their own systems integrated to submit information to the HFEA. The new Clinic Portal will incorporate these submission processes.

The quality and accuracy of clinic supplied information kept by the HFEA is of paramount importance. To this end, the HFEA undertakes a number of internal processes and rigorous validation to ensure that all expected information has been supplied by the fertility clinics and that the information provided is correct and on time. Any discrepancies found are reported to the associated clinic for explanation and correction. The accuracy and reliability of HFEA Register information is vital, as this is subsequently used to drive management report content/analysis, performance and other statistical monitoring and also may be used in response to external queries.

Aspects of clinic submission performance are used by the HFEA to provide summary key performance indicator information which is made available back to the clinics via a Risk Based Assessment Tool (RBAT).

Please see related volumetric data presented at paragraphs 10.2.2 and the separate appendices for key functions and processes.

Requirements

The requirement includes but not limited to the design of a new Clinic Portal with the ability to submit data and online licensing related applications to the HFEA. Additional functionality is outlined as requirements detailed below. These requirements are subject to revision via the sprint planning process and product backlog grooming sessions.

Outline requirements for the Clinic Portal are:

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- The design of a new secure Clinic Portal with integrated manual data submission process (replacing EDI)
- The new design must address the issues raised in the user research and must be provided in a format that can be readily developed by a competent developer and minimises development work.
- The look and feel should be consistent with (not necessarily uniform) the main website and CaFC website
- Compliance with the GDS service manual guidelines <https://www.gov.uk/service-manual>
- Must meet the Digital by Default service assessment and other GDS requirements for the site to go live
- The Clinic Portal must meet best practice non-functional requirements and performance levels
- visibility of HFEA held data for that clinic, data management by clinics, reporting, sorting, filtering, slicing and dicing of data and printing
- an inbox of actions and alerts (general alerts, clinic specific alerts, and alerting the HFEA where a clinic submits data outside of licensed activities, action status tracking for them and us)
- electronic submission of current paper forms and images
- ability to complete online self-assessment questionnaires and surveys
- visibility of the risk based assessment report (RBAT) with drill-down to data items to be able to fix them. Possibly also for integrators to do the same
- a clinic dashboard to track status and performance, message trail / activity log
- contact details and Clinic admin and system admin requirements
- full audit trail of changes with alerting functionality
- ability to manage non-automated data eg, on the CaFC website
- ability to sign-off (verification process) data for publication (eg, monthly)
- ability to see different types of HFEA correspondence, guidance, Code of Practice and search it effectively
- these tools to allow for new functionality as determined in due course
- scalability to handle many users accessing at once
- ability to have flash collections and surveys to clinics within the Clinic Portal
- ability to view and drill down into HFEA fee billing data
- meets industry non-functional requirements
- compliance with applicable healthcare standards
- The preference is for dynamic validation rules of data submitted so that if validation rules change the cost of deployment is negligible.

Objectives

The objective of the Clinical Portal project is to reduce the 'burden' associated submitting data to, and working with the HFEA and to improve the experience and process of these interactions for clinics and the HFEA.

Deliverables

Suppliers are expected to work in accordance with agile working methodology and GDS best practice. We anticipate 3 phases (alpha, beta and Live) and for sprints to be of two weeks each.

Consistent with agile development methodology, the exact stories and order of their delivery will be determined as part of the sprint planning process. Outcomes of each phase are as follows:

Alpha phase

The purpose of this section is to articulate the expectations and requirements for the Alpha phase

The goal of the alpha phase is to:

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Proof of concept

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4. The proof of concept must:
 - a. demonstrate that the new design will meet the above user needs



- b. address the issues identified in our user research of existing systems (problems with existing Clinic Portal & EDI)
- c. validate the integration approach for information from other HFEA systems
- d. enable the selection of the appropriate technologies consider options for assisted digital support (if appropriate)

Beta planning

The alpha phase must also:

- Have a clear idea of what is required to build the beta and what it will cost
- Refine the product backlog to determine user stories for the minimum viable product
- Articulate the non-functional requirements of the service
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Beta Phase

The objective of the beta phase will be to build a fully working prototype to test with users. The prototype to be continuously improved through the beta phase until it is ready for 'go-live' replacing and/or integrating with other HFEA systems.

The Beta phase will be used to deliver:

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- a working system that can be used by real users
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- a responsive and accessible prototype
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Live Phase

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- security and performance standards have been met
- analytics and monitoring of KPIs are in place;

- transition for outgoing clinical portal plan and execution is in place;
- Digital by Default Service Standard approval
- measurable confirmation of delivery to identified user needs (from discovery, alpha and beta phases)
- a plan for GDS service assessment
- GDS Service assessment

Post-launch Phase

The objectives will be to ensure the following are in place:

- monitoring of system performance and optimisation of the code, bug fixing
- development support will be provided by external suppliers
- technical and user task-focused reviews
- ensuring the service remains secure
- operational support may be provided in-house or by the supplier (to be determined)

Knowledge and skills transfer to our internal teams or parties will be made by suppliers to the HFEA internal team as required throughout the project.

REQUIREMENT OUTCOMES – INTERNAL SYSTEMS

Background

The HFEA internal IT team will be undertaking the redevelopment of the new Register of treatments and supporting systems.

As part of the redevelopment, the internal team will be redeveloping:

Output - Register:

- Redesigned Register and APIs based on new dataset.
- Data migration strategy
- Migration of required data in accordance with the data migration strategy.

Output – HFEA Portal

- A presentation layer for functionality to be used by HFEA staff

Output - HFEA systems to be reviewed as part of the project:

- Risk Based Assessment Tool (RBAT)
- reports to accommodate new register (enterprise reports required by the sector will be considered)
- HFEA ID to accommodate new register
- EGGBATCHID to accommodate new register
- validation engine
- eSET billing
- automated notebooks
- image store
- forms uploader
- self-assessment questionnaire (SAQ)
- QA App functionality
- Register audit tool functionality
- Epicentre (only to support other system changes)
- APIs to the above systems

Output - existing data warehouse:

- redesign of the data warehouse to support the production of enterprise reports from the new Register
- reworking the data warehouse only to meet needs of the above systems



Requirements

To undertake this work, the internal team will need support from an external supplier to ensure that the look and feel of the redeveloped systems are consistent with the HFEA's other digital products (HFEA website, CaFC and Clinic Portal).

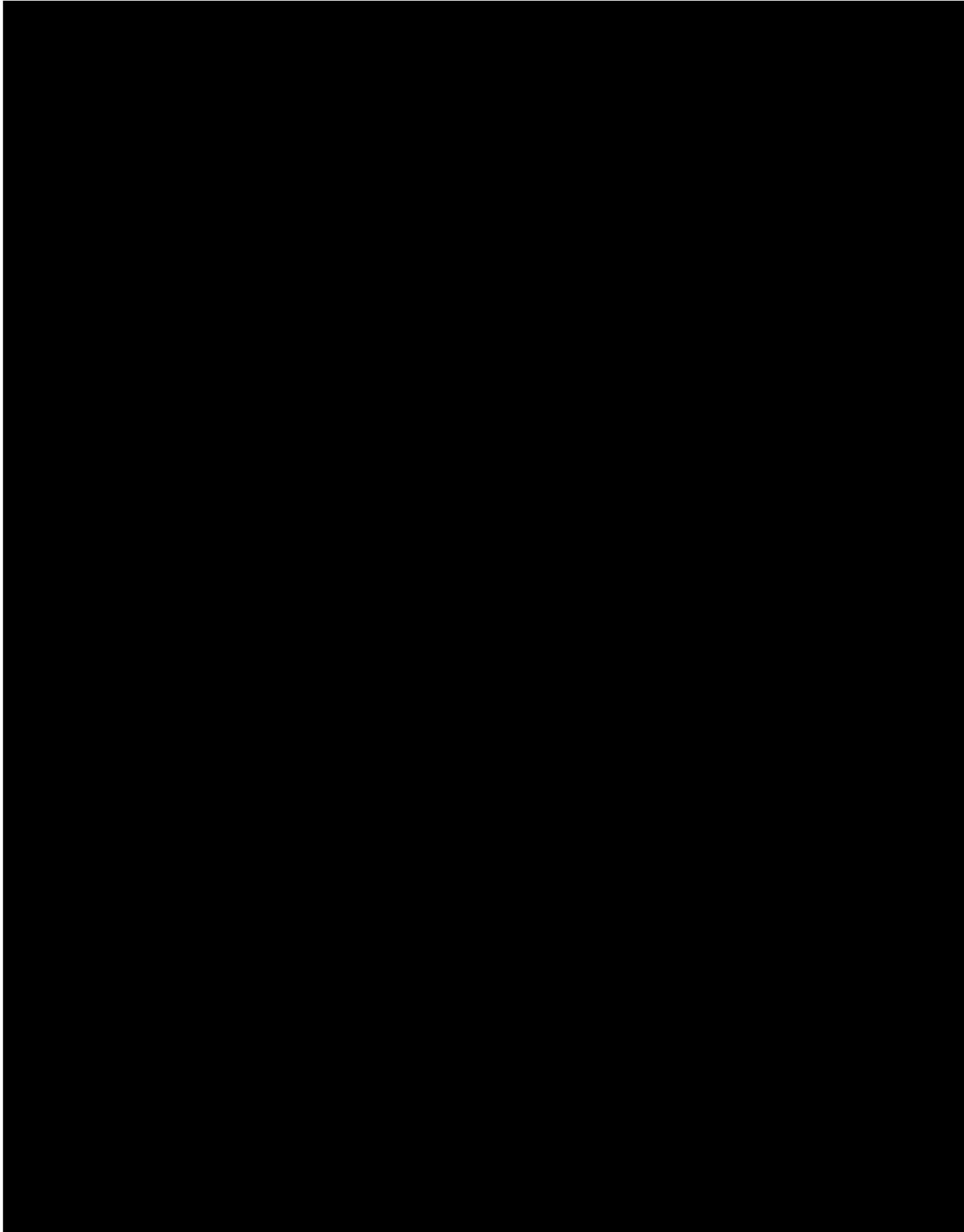
The supplier is required to develop a design language which will ensure that there is a consistency across all of the HFEA products.

The designer will ensure there is a design consistency where possible including, but not limited to:

- Layout
- Navigation
- Colour scheme
- Shapes

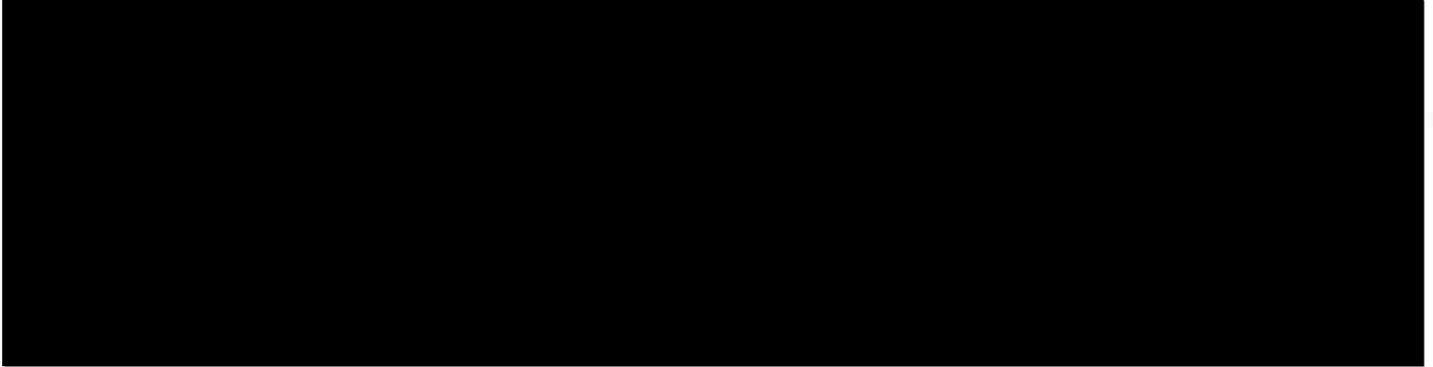


SCHEDULE 2 - HIGH LEVEL RELEASE PLAN



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SCHEDULE 3 - REQUIREMENTS AND VALUE DRIVERS

REQUIREMENTS:

LOCATION:

Finsbury Tower
103-105 Bunhill Row
London
EC1Y 8HF

CURRENT SITUATION/ BACKGROUND:

About the HFEA

The Human Fertilisation and Embryology Authority (HFEA) was established in 1991 under legislation and is an arms-length body (ALB) sponsored by the Department of Health (DH). The HFEA is the UK government regulator of IVF clinics in the UK, employs 65 people and has revenues of about £6 million per annum.

Clinics that provide assisted reproduction technology (ART) treatments must be licensed by the HFEA. The majority of clinics receive a four-year licence and are inspected once every two years, although more frequent inspections may be made when there are concerns about a clinic's compliance. HFEA inspectors monitor clinics' performance throughout the four-year licensing cycle.

Once a licence is in place, the law requires the HFEA to collect and hold information from clinics about patients and the treatment they have. A clinic must notify the HFEA with registration and treatment details of patients undergoing treatment (subject to certain conditions). There are a number of timescales that clinics have to comply with for submitting information to the HFEA and the HFEA receives information of about 60,000 treatments per year from around 85 clinics.

Around 35 research and storage centres hold an HFEA licence but do not need to submit information, while around 16 clinics carrying out basic treatment need only submit information annually. Around 40% of clinics are NHS organisations with associated strict rules and controls relating to data and system access.

In addition to licensing clinics, the legislation requires the HFEA to provide information to patients and egg and sperm donors about the fertility clinics that it licenses. This duty includes:

- providing prospective patients with information about available fertility services, the quality of the service and treatment outcomes
- providing prospective donors with information about how they can donate, what is involved and their rights and responsibilities
- providing donor-conceived adults with access to information about their donor and possible contact with donor-conceived half siblings.

The HFEA strategy

The HFEA's strategy for 2014-2017 is to ensure high quality care for everyone affected by assisted reproduction. The HFEA has the following objectives:

- improving the quality and safety of care through our regulatory activities
- improving the lifelong experience for donors, donor-conceived people, patients using donor conception, and their wider families
- using the data in the Register of treatments to improve outcomes and research
- ensuring that patients have access to high quality meaningful information
- ensuring the HFEA remains demonstrably good value for the public, the sector and Government.

Our complete strategy 2014-2017 is available from www.hfea.gov.uk/9056.html

McCracken review

Following its decision to retain the HFEA as an independent regulator, in April 2013 the Department of Health commissioned Justin McCracken to carry out an independent efficiency review into the way in which the HFEA undertakes its functions and operations. The 'McCracken review' included a thorough investigation of the views of our stakeholders and concluded that:

“The HFEA should reduce the burden on clinics to yield savings of circa £1 million pounds by modernising its systems and processes.”

The Department of Health accepted all of McCracken's recommendations and agreed that the HFEA could fund the capital investment required from its historic cash surplus.

An extensive consultation process was undertaken with the sector, as required by the McCracken review.

Background to government digital communications

The Government's Digital by Default Service Standard sets cross-governmental standards for developing digital communications channels. The aim is to improve services for citizens and to reduce costs.

The [Government Service Design Manual](#) helps set out the key criteria that we as a public sector body should be working towards. The 'Know your users' page contains information that the tendering supplier must be mindful of in their tender submission and delivery of a contract awarded under this procurement.

The IfQ programme

The Information for Quality (IfQ) programme was initiated in October 2013 to address pressing and important issues with our infrastructure and systems and websites that are no longer fit for future purpose. There has been no development activity on these for some years, partly because there were several years of uncertainty about the HFEA's future in the wake of the 2010 ALB Review.

An external Advisory Group was established, supported by four Expert Groups, and has made recommendations that have shaped the requirements of the IfQ programme.

The IfQ programme involves:

- redesigning our website and the associated tool called Choose a Fertility Clinic (CaFC)
- redesigning our 'Clinic Portal' (used for monitoring the performance and interacting with clinics) and combining it with data submission functionality that is currently provided in our separate Electronic Data Interchange (EDI) system
- redesigning our main internal systems that comprise the Authority's Register and supporting IT processes
- accrediting 3rd party systems suppliers to submit data to the HFEA

The IfQ programme consists of three projects:

- IfQW – Website project
- IfQCP – Clinic Portal project
- IfQIS – Internal systems project

The projects will be overseen by the IfQ Programme Board but managed by the allocated project manager. The project team will consist of a number of different skill sets including digital design and communications and IT developers provided by either the supplier or the HFEA.

The selected supplier will be required to maintain good communication on a regular basis through the product owner and project manager of the respective area. This will include status updates on the project timeline, suggestions, issues or deviation from the last agreed outcomes and any queries on the implementation of the design or HFEA brand image.

Some of our team have not worked previously on an agile projects and therefore the supplier is expected to bring best practice agile and Scrum approaches and generally to embed agile working in the project teams.

VALUE DRIVERS:

CURRENT ROLES AND RESPONSIBILITIES

Current Roles and Responsibilities of the Customer	
Role	Responsibilities
SRO	Senior responsible owner – Authority Executive responsible for the delivery of the IfQ Programme
Programme Manager	Accountable for the IfQ Programme on a day to day basis
Head of IT	Responsible for providing knowledge of existing IT systems and future ownership of systems.
IfQW Project Sponsor	Responsible for approval of IfQW to sign-off standard
IfQW Product Owner	Responsible for directing the shape of the output of the IfQW products and product development liaison with the selected suppliers.
IfQW Project Manager	Responsible for managing IfQW deliverables by HFEA teams and liaising with the selected suppliers.
IfQCP Project Manager	Responsible for managing IfQCP deliverables by HFEA teams and liaising with the selected suppliers
IfQIS Project Manager	Responsible for managing IfQIS deliverables by HFEA teams and liaising with the selected suppliers

ROLE SOUGHT UNDER THIS RFP

Capability: Front-End Design & Interaction Design

HFEA'S required outcomes for this Capability are stated below

REQUIRED OUTCOMES - WEBSITE

Project objective

We are aiming to modernise the HFEA website by creating a responsive solution that meets the needs of our various users and how they access the information we provide. The output should be an engaging experience that ensures that the users get quick access to information they need.

The production of the new website is split into two sections; design and development. This lot [lot 1 (a)] is for the **design** of the website. The project will use agile methodologies therefore the design supplier will be required to work in parallel with the development supplier. A supplier can bid for multiple lots.

Outline specification

The HFEA website is key communications tool to all audience groups. The range and diversity of our audiences will require a flexible solution that will make the end user feel as if the site has been created to cater for their specific needs.

The design of the website should cater for a seamless transition between CaFC, Clinic Portal and the website. Therefore the user should enjoy a consistent experience (albeit not necessarily uniform) when moving between the website, CaFC and Clinic Portal.

We also want to reconsider the site navigation. The navigation could be task-based or audience-based. Alternative methods are welcome but in all cases a strong rationale must be provided to qualify the choice.

Our current site is a static, informational tool with a one-way direction of information (HFEA > user). We would like to change this by including standard web 2.0 functionality which will produce a collaborative environment where the user can inform our content.

The new design must address the issues raised in the user research and must be provided in a format that can be readily developed by a competent developer and minimises development work.

Proposed functionality

The website redesign will require the following elements (but not limited to these - a full functional requirement spec is provided in appendix 1.2) therefore the design should consider the output of such functionality:

- a design for a defined navigation structure
- integrated social media
- ability to create blogs
- video/audio streaming and other rich media
- a site search feature so users can find website content across both the main website and CaFC
- a comments feature after each article including the ability to 'rate' page content on how useful it is
- quick polls
- enquiries/contact us forms
- captcha or similar functionality to avoid spam
- tagging of content for quick cross-referencing of similarly themed/tagged content (tags editable on the CMS side)



- diary/calendar feature allowing for; request for invitation/attendance, alerts to changes and/or cancellations, alerts to similar event postings
- potential integration of content produced by other HFEA tools – EDI, Epicentre, CaFC and Clinic Portal – into the website frontend, albeit that this has not yet been defined
- patient feedback, mainly via CaFC.

User needs and story cards

As part of our discovery work we have identified a set of prioritised user needs that need to be addressed. These, alongside the story cards, can be found in the appendices.

A full list of user stories (product backlog) has been defined and should be referenced in the response.

Requirements

- Responsive website – device agnostic and coded to latest W3C standards using HTML5 and CSS3
- Visually accessible and compliant with GDS assisted digital guidelines.
- Should at least meet Level AA of the Web Content Accessibility Guidelines (WCAG) 2.0
- Design for API output of HFEA data eg, PGD approved conditions list.
- To design navigation and site architecture that reflects the needs of the various audiences that the HFEA has and presents the breadth and depth of information available from the organisation.
- Support SEO friendly URLs.
- Compliance with the GDS service manual guidelines <https://www.gov.uk/service-manual>
- Must meet the Digital by Default service assessment and other GDS requirements for the site to go live

Deliverables

Suppliers are expected to work in accordance with agile working methodology and GDS best practice. We anticipate 3 phases (alpha, beta and Live) and for sprints to be of two weeks each.

Consistent with agile development methodology, the exact stories and order of their delivery will be determined as part of the sprint planning process. Outcomes of each phase are as follows:

Alpha phase

The purpose of this section is to articulate the expectations and requirements for the Alpha phase

The goal of the alpha phase is to:

- Produce a proof of concept
- Planning for Beta or beta termination

Proof of concept

5. Development of low fidelity functional prototypes that will be tested and iterated based on the feedback of actual users.
6. The proof of concept must:
 - a. demonstrate that the new design will meet the above user needs
 - b. address the issues identified in our user research of existing systems (problems with existing Clinic Portal & EDI)
 - c. validate the integration approach for information from other HFEA systems
 - d. enable the selection of the appropriate technologies consider options for assisted digital support (if appropriate)

Beta planning

The alpha phase must also:

- Have a clear idea of what is required to build the beta and what it will cost

- Refine the product backlog to determine user stories for the minimum viable product
- Articulate the non-functional requirements of the service
- Identify and quantify any risks (e.g. design, process & technology) for the beta stage and how we will manage these risks
- Understand how legacy systems fit into the solution, how they will be wrapped or integrated
- How we will measure success (e.g. KPIs)
- Plan for beta and running of the live service
- Pass the GDS service assessment
- Enable a decision to be made as to whether to progress to the beta phase or alpha termination

Quality criteria

The alpha must demonstrate that it meets the following quality criteria:

- Demonstrates that we have a sufficient understanding of user needs
- Evidence of compliance with GDS assisted digital guidelines
- The alpha demonstrates that the solution is appropriate, viable
- Meets the requirements articulated in the RFP
- Meets the GDS service assessment standard
- The alpha phase is completed quickly (e.g. in line with GDS best practice timescales for Alpha)
- Finalise the beta phase brief

Beta Phase

The objective of the beta phase will be to build a fully working prototype to test with users. The prototype to be continuously improved through the beta phase until it is ready for 'go-live' replacing and/or integrating with other HFEA systems.

The Beta phase will be used to deliver:

- an end-to-end Clinic Portal fully working prototype
- a working system that can be used by real users
- demonstration of a seamless integration and/or transition between existing systems and other lot products.
- a responsive and accessible prototype
- a programme of prioritised work to be done;
- a user testing plan
- a plan for service go live
- GDS service assessment

Live Phase

The objective of the live phase will be to ensure:

- a fully resilient Clinic Portal for all end users (i.e. before beginning a phased roll-out) has been developed;
- security and performance standards have been met
- analytics and monitoring of KPIs are in place;
- transition for outgoing clinical portal plan and execution is in place;
- Digital by Default Service Standard approval
- measurable confirmation of delivery to identified user needs (from discovery, alpha and beta phases)
- a plan for GDS service assessment
- GDS Service assessment

Post-launch Phase

The objectives will be to ensure the following are in place:

- monitoring of system performance and optimisation of the code, bug fixing
- development support will be provided by external suppliers

- technical and user task-focused reviews
- ensuring the service remains secure
- operational support may be provided in-house or by the supplier (to be determined)

Knowledge and skills transfer to our internal teams or parties will be made by suppliers to the HFEA internal team as required throughout the

REQUIREMENT OUTCOMES – CLINIC PORTAL

We are aiming to modernise the Clinic Portal via which the HFEA provides online facilities for clinics to obtain and manage information about their own clinic and to help support their on-going operations via the Clinic Portal. This allows clinics to keep the HFEA informed of operational or regulatory (licensing) matters, enables them to adopt an amount of self-regulation using HFEA provided performance data, and also to manage the information which is publicly available about their clinic.

The HFEA supplies summary information and statistics back to clinics to help them understand and improve their performance and compliance. Clinics are able to view summary information in the form of key risk and performance ratings which relate to areas of both regulatory (licence) compliance and operational (data submission) performance. This information is presented for the individual clinic, in relation to national statistics to allow benchmarking.

Clinics can manage and complete licence applications and complete self-assessment questionnaires, in relation to licensing and inspection activities, and can access HFEA published documentation, eg, inspection reports and financial information such as invoices for treatments undertaken and licence applications made. Clinics can also directly manage and update the information which is displayed to the public via the HFEA website or CaFC, including the treatments/services offered, contact details, facilities available and other general information.

The Clinic Portal interfaces with an internal database called Epicentre that provides workflow functionality in relation to clinics submissions via the portal and also pre and post inspection workflow for the inspection and licensing teams and is the Register of licence information.

A significant portion of the HFEA's funding results from licence fees and licensed treatment fees. Licence fees are levied on application and treatment fees are based on treatment data they have submitted to the HFEA and are invoiced retrospectively. The ability for clinic staff to view summary invoice data and drill down will be supported by the new Clinic Portal.

As part of compliance with the HFEA Act 1990 (as amended), the HFEA must keep a Register of treatments undertaken by fertility clinics. This includes gathering records of all patients undergoing treatment cycles, the progress and outcomes of these treatment cycles, details of all donors and their involvement within treatment cycles and the movement of eggs and embryos within the fertility system. Clinics submit their data to the HFEA electronically either using an HFEA supplied application or using their own systems integrated to submit information to the HFEA. The new Clinic Portal will incorporate these submission processes.

The quality and accuracy of clinic supplied information kept by the HFEA is of paramount importance. To this end, the HFEA undertakes a number of internal processes and rigorous validation to ensure that all expected information has been supplied by the fertility clinics and that the information provided is correct and on time. Any discrepancies found are reported to the associated clinic for explanation and correction. The accuracy and reliability of HFEA Register information is vital, as this is subsequently used to drive management report content/analysis, performance and other statistical monitoring and also may be used in response to external queries.

Aspects of clinic submission performance are used by the HFEA to provide summary key performance indicator information which is made available back to the clinics via a Risk Based Assessment Tool (RBAT).

Please see related volumetric data presented at paragraphs 10.2.2 and the separate appendices for key functions and processes.

Requirements

The requirement includes but not limited to the design of a new Clinic Portal with the ability to submit data and online licensing related applications to the HFEA. Additional functionality is outlined as requirements detailed below. These requirements are subject to revision via the sprint planning process and product backlog grooming sessions.

Outline requirements for the Clinic Portal are:

- The design of a new secure Clinic Portal with integrated manual data submission process (replacing EDI)
- The new design must address the issues raised in the user research and must be provided in a format that can be readily developed by a competent developer and minimises development work.
- The look and feel should be consistent with (not necessarily uniform) the main website and CaFC website
- Compliance with the GDS service manual guidelines <https://www.gov.uk/service-manual>
- Must meet the Digital by Default service assessment and other GDS requirements for the site to go live
- The Clinic Portal must meet best practice non-functional requirements and performance levels
- visibility of HFEA held data for that clinic, data management by clinics, reporting, sorting, filtering, slicing and dicing of data and printing
- an inbox of actions and alerts (general alerts, clinic specific alerts, and alerting the HFEA where a clinic submits data outside of licensed activities, action status tracking for them and us)
- electronic submission of current paper forms and images
- ability to complete online self-assessment questionnaires and surveys
- visibility of the risk based assessment report (RBAT) with drill-down to data items to be able to fix them. Possibly also for integrators to do the same
- a clinic dashboard to track status and performance, message trail / activity log
- contact details and Clinic admin and system admin requirements
- full audit trail of changes with alerting functionality
- ability to manage non-automated data eg, on the CaFC website
- ability to sign-off (verification process) data for publication (eg, monthly)
- ability to see different types of HFEA correspondence, guidance, Code of Practice and search it effectively
- these tools to allow for new functionality as determined in due course
- scalability to handle many users accessing at once
- ability to have flash collections and surveys to clinics within the Clinic Portal
- ability to view and drill down into HFEA fee billing data
- meets industry non-functional requirements
- compliance with applicable healthcare standards
- The preference is for dynamic validation rules of data submitted so that if validation rules change the cost of deployment is negligible.

Objectives

The objective of the Clinical Portal project is to reduce the 'burden' associated submitting data to, and working with the HFEA and to improve the experience and process of these interactions for clinics and the HFEA.

Deliverables

Suppliers are expected to work in accordance with agile working methodology and GDS best practice. We anticipate 3 phases (alpha, beta and Live) and for sprints to be of two weeks each.

Consistent with agile development methodology, the exact stories and order of their delivery will be determined as part of the sprint planning process. Outcomes of each phase are as follows:

Alpha phase

The purpose of this section is to articulate the expectations and requirements for the Alpha phase

The goal of the alpha phase is to:

- Produce a proof of concept
- Planning for Beta or beta termination

Proof of concept

7. Development of low fidelity functional prototypes that will be tested and iterated based on the feedback of actual users.
8. The proof of concept must:
 - a. demonstrate that the new design will meet the above user needs
 - b. address the issues identified in our user research of existing systems (problems with existing Clinic Portal & EDI)
 - c. validate the integration approach for information from other HFEA systems
 - d. enable the selection of the appropriate technologies consider options for assisted digital support (if appropriate)

Beta planning

The alpha phase must also:

- Have a clear idea of what is required to build the beta and what it will cost
- Refine the product backlog to determine user stories for the minimum viable product
- Articulate the non-functional requirements of the service
- Identify and quantify any risks (e.g. design, process & technology) for the beta stage and how we will manage these risks
- Understand how legacy systems fit into the solution, how they will be wrapped or integrated
- How we will measure success (e.g. KPIs)
- Plan for beta and running of the live service
- Pass the GDS service assessment
- Enable a decision to be made as to whether to progress to the beta phase or alpha termination

Quality criteria

The alpha must demonstrate that it meets the following quality criteria:

- Demonstrates that we have a sufficient understanding of user needs
- Evidence of compliance with GDS assisted digital guidelines
- The alpha demonstrates that the solution is appropriate, viable
- Meets the requirements articulated in the RFP
- Meets the GDS service assessment standard
- The alpha phase is completed quickly (e.g. in line with GDS best practice timescales for Alpha)
- Finalise the beta phase brief

Beta Phase

The objective of the beta phase will be to build a fully working prototype to test with users. The prototype to be continuously improved through the beta phase until it is ready for 'go-live' replacing and/or integrating with other HFEA systems.

The Beta phase will be used to deliver:

- an end-to-end Clinic Portal fully working prototype
- a working system that can be used by real users
- demonstration of a seamless integration and/or transition between existing systems and other lot products.
- a responsive and accessible prototype
- a programme of prioritised work to be done;
- a user testing plan
- a plan for service go live
- GDS service assessment

Live Phase

The objective of the live phase will be to ensure:

- a fully resilient Clinic Portal for all end users (i.e. before beginning a phased roll-out) has been developed;
- security and performance standards have been met
- analytics and monitoring of KPIs are in place;
- transition for outgoing clinical portal plan and execution is in place;
- Digital by Default Service Standard approval
- measurable confirmation of delivery to identified user needs (from discovery, alpha and beta phases)
- a plan for GDS service assessment
- GDS Service assessment

Post-launch Phase

The objectives will be to ensure the following are in place:

- monitoring of system performance and optimisation of the code, bug fixing
- development support will be provided by external suppliers
- technical and user task-focused reviews
- ensuring the service remains secure
- operational support may be provided in-house or by the supplier (to be determined)

Knowledge and skills transfer to our internal teams or parties will be made by suppliers to the HFEA internal team as required throughout the project.

REQUIREMENT OUTCOMES – INTERNAL SYSTEMS

Background

The HFEA internal IT team will be undertaking the redevelopment of the new Register of treatments and supporting systems.

As part of the redevelopment, the internal team will be redeveloping:

Output - Register:

- Redesigned Register and APIs based on new dataset.
- Data migration strategy
- Migration of required data in accordance with the data migration strategy.

Output – HFEA Portal

- A presentation layer for functionality to be used by HFEA staff

Output - HFEA systems to be reviewed as part of the project:

- Risk Based Assessment Tool (RBAT)
- reports to accommodate new register (enterprise reports required by the sector will be considered)
- HFEA ID to accommodate new register
- EGGBATCHID to accommodate new register
- validation engine
- eSET billing
- automated notebooks
- image store
- forms uploader
- self-assessment questionnaire (SAQ)
- QA App functionality
- Register audit tool functionality
- Epicentre (only to support other system changes)

- APIs to the above systems

Output - existing data warehouse:

- redesign of the data warehouse to support the production of enterprise reports from the new Register
- reworking the data warehouse only to meet needs of the above systems

Requirements

To undertake this work, the internal team will need support from an external supplier to ensure that the look and feel of the redeveloped systems are consistent with the HFEA's other digital products (HFEA website, CaFC and Clinic Portal).

The supplier is required to develop a design language which will ensure that there is a consistency across all of the HFEA products.

The designer will ensure there is a design consistency where possible including, but not limited to:

- Layout
- Navigation
- Colour scheme
- Shapes

SCHEDULE 4 - THE METHODOLOGY

This RFP will be delivered using the SCRUM agile methodology as recommended by GDS. Any variation as mutually agreed in writing.



SCHEDULE 5 - CUSTOMER RESPONSIBILITIES

1. Provision of Product Owners for all digital products to be delivered under this contract
2. Provision of any brand guidelines and any required imagery
3. Performance of independent load and penetration testing
4. Allocation of sufficient resource for review and testing
5. Attendance at meetings as agreed within the Governance Plan
6. Management of any third parties not utilised by the Contractor
7. Final approval of all new content prior to go live
8. Purchase of any SSL certification as required



SCHEDULE 6 - GOVERNANCE

The final governance arrangements will be determined during Sprint Zero. However they will include the following:

Contract changes:

- Exchange control for Product backlog items- The overall number of days or cost of the requirements (RFP 215 to 219) may not be increased without the written agreement of the Customer

Governance:

- Cross supplier and cross-project governance to be defined during Sprint Zero
- Technical architecture to be agreed by the Technical architecture team

Reports:

- The Supplier will provide:
- Staff utilisation reports every week by RFP against budgeted days in a format to be agreed by HFEA
- Standard burn-down and burn-up charts by Product
- Velocity by Sprint and cumulative
- Weekly status update
- Monthly report to the Programme Board & Project Boards
- Monthly attendance at Programme Board & Project Boards
- Risk & issues log updates as necessary, monthly review at a minimum
- Performance against KPIs per section 4

For RFPs 216 to 219 (*per section B10) at the end of each Sprint (as to be agreed appropriate):

- Defect density*
- Cyclometric complexity*
- Code coverage*

Scrum events:

- Daily stand-ups
- 2 weekly Sprint planning
- 2 weekly Sprint Review
- 2 Weekly Sprint retrospective
- Release plans to be determined

SCHEDULE 7 - SOW

1. SOW DETAILS

Date of SOW:	08/07/2015
SOW/Release Reference:	DS01-217.1
Departmental customer:	HUMAN FERTILISATION & EMBRYOLOGY AUTHORITY (HFEA)
Supplier:	READING ROOM LTD
Release Type:	Delivery
Phase of Development:	Alpha
Release Completion Date:	13/11/2015
Duration of SOW:	34 days
Charging Mechanism(s) for this Release:	Capped Time and Materials

- 1.1 The Parties shall execute an SOW for each Phase or Release as determined by the customer. Note that Inception Stage, Calibration Stage and any ad-hoc Service requirements are to be treated as individual Releases in their own right (in addition to the Releases at the Delivery Stage); and the Parties should execute a separate SOW in respect of each.
- 1.2 The rights, obligations and details agreed by the Parties and set out in this SOW apply only in relation to the Services that are to be delivered under this SOW and shall not apply to any other SOWs executed or to be executed under this Contract unless otherwise agreed by the Parties.
- 1.3 The following documents shall be inserted as Annexes to this Schedule as soon as they have been developed and agreed by the Parties:
- 1.3.1 Annex 1: the initial Release Plan developed for this Release;
 - 1.3.2 Annex 2: the Stories which are to form the subject of this Release;
 - 1.3.3 Annex 3: the current Product Backlog; and
 - 1.3.4 Annex 4: High Level Objectives for the Release

2. KEY PERSONNEL

2.1 The Parties agree that the Key Personnel in respect of this Project are detailed in the table at paragraph 0 below.

Table of Key Personnel:

A large black rectangular redaction box covers the table of Key Personnel.

3. DELIVERABLES

The intent of the Alpha phase is to test the solution ideas and concepts so that we can be sure they work. The Alpha is a functional proof of concept for the user experience, interaction design, content and data. It does not include the full set of functionality as go-live.

The key deliverables for this RfP from the Alpha phase will be as defined below. These, accompanied with the Alpha deliverables from RfP 218 and 219, will form the full set of Alpha deliverables for Website and Clinic Portal. For Internal Systems, only the design creation is in scope.

Delivery

It has been agreed that Reading Room will co-locate during the duration of the delivery.

This is expected to be 50% of each sprint, but will be increased or decreased as appropriate to the sprint deliverables and project needs.

Website

- Information Architecture (in association with RfP 218) – The information architecture is the structural design of the Website.
- Alpha prototype deliverable demonstrating front end look and feel of the CaFC tool – this will be integrated with the Alpha deliverable for RfP 218 to deliver the Alpha product of the CaFC tool showing how the interaction on the CaFC pages will look and feel. The Alpha prototype can be used for user testing of user journeys and interactions. The Alpha prototype will also be used for assessing compliance with accessibility standards (AA) and browser compatibility, identified as NFRs. The data and the content in the prototype will be actual data and content. The prototype will show the interface for all functionality but will not be plugged into any database or back-end system.
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NOTE: All the above deliverables will work off the agreed product backlog and will cover user stories identified for Alpha phase. These will be agreed with the Website product owner. The current product backlog is supplied in the Annex 3.

Additionally, the work done under this RfP will also contribute to:

- Updating the story cards and the user stories, in case any gaps, misses, improvements or inconsistencies are identified by the team during the work on this RfP
- Assisted digital requirements and design inline with user needs (as identified under RfP 215)

Clinic Portal

- Information Architecture (in association with RfP 219) – The information architecture is the structural design of the Portal.
- Alpha prototype deliverable demonstrating front end look and feel of the CaFC tool – this will be integrated with the Alpha deliverable for RfP 218 to deliver the Alpha product of the CafC tool showing how the interaction on the CaFC pages will look and feel.. The Alpha prototype can be used for user testing of user journeys and interactions. The Alpha prototype will also be used for assessing compliance with accessibility standards (AA) and browser compatibility, identified as NFRs. The data and the content in the prototype will be actual data and content. The prototype will show the interface for all functionality but will not be plugged into any database or back-end system.

NOTE: All the above deliverables will work off the agreed product backlog and will cover user stories identified for Alpha phase. These will be agreed with the Clinic Portal product owner. The current product backlog is supplied in the Annex 3.

Additionally, the work done under this RfP will also contribute to:

- Updating the story cards and the user stories, in case any gaps, misses, improvements or inconsistencies are identified by the team during the work on this RfP
- Assisted digital requirements and design in line with user needs (as identified under RfP 215)

Internal Systems

- For Internal Systems, we will provide style guidelines which should be used for creating the pages for internal systems. This will include designs and recommendations for commonly used objects, shapes, and elements. The style guidelines will inform the use of font, colours, weights, etc. in line with any branding requirements and constraints.

4. KPIS

4.1 In addition to the Supplier's performance management obligations set out in the framework Agreement, the Parties have agreed the following KPIS for this Release:

KPI no	Target	Measurement	Measured by
1	<p>Communication:</p> <p>To provide a full service during the agreed project. This includes agreed working hours and any out of hours agreed in advance</p>	<p>The Supplier is to answer calls within 8 working hours and queries within a timely manner. To ensure queries are actioned or a resolution provided within an hour of the initial call.</p>	<p>95%</p> <p>To be reviewed within Supplier Relationship Management Meeting.</p>
2	<p>Availability and Delivery:</p> <p>Supplier is to ensure availability of all staff listed within this agreement</p>	<p>Available same working days agreed or next working day or any agreed amendments to the team</p>	<p>95%</p> <p>If Supplier is not able to complete work on a stated day they agree to provide a solution on another day without additional recompense from the customer.</p>
3	<p>Quality:</p> <p>All elements of the project are fit for purpose and relevant to each phase of the project. Meetings are conducted in a professional manner and meet the standard of the Customers expectation</p>	<p>Review of feedback to be provided from the departmental customer, internal and external stakeholders and the Customer</p>	<p>95%</p> <p>Failure to do so may result in suspension of the candidate and/or replacement candidates. Repeated occurrences may result in termination of contract.</p>
4	<p>Invoice Accuracy:</p> <p>The Supplier will ensure that NO invoice is supplied without the correct information as outlined with the Order Form of this Call-Off agreement; eliminating any invoice queries for the departmental customer.</p>	<p>Ensure a valid purchase order number is quoted on every invoice and that payment approval is sought from the departmental customer</p>	<p>95%</p> <p>All invoices without a valid purchase order number will be put into query and therefore payment delayed or potentially not made on time.</p>
5	<p>Management Information:</p> <p>To be Supplied to CCS no later than the 7th of each month without fail. Report are to be submitted via MISO</p>	<p>CCS Review</p>	<p>100%</p> <p>Failure to submit will fall in line with FA KPI</p>

5. CONTRACT CHARGES

The following charging mechanism for this SOW is outlined below:

5.1 CAPPED TIME AND MATERIAL CHARGES

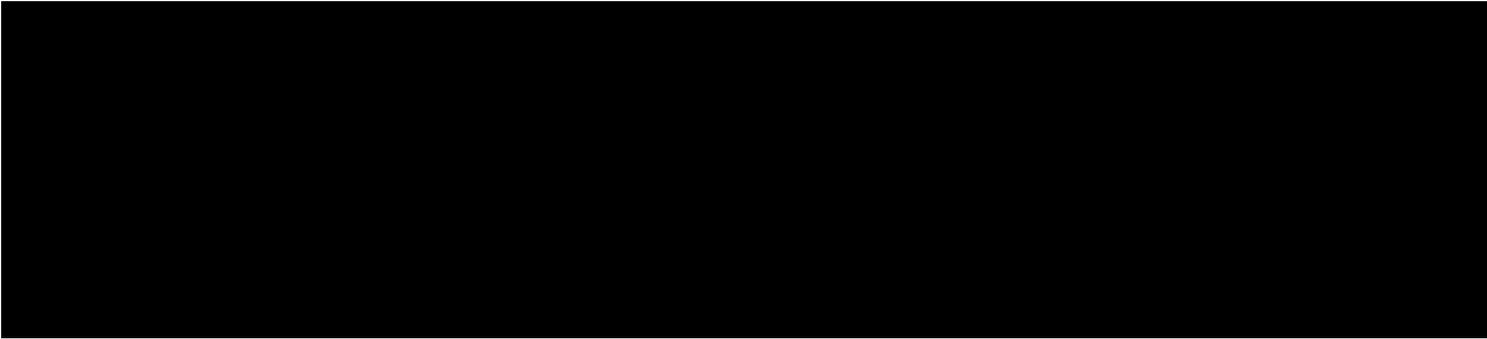
- 5.1.1 Where Services for this Release are being delivered on a Capped Time and Materials Basis, the provisions of this paragraph 5.1 and the Time and Material Rates set out at paragraph 5.3.5 shall apply.
- 5.1.2 The maximum price the Supplier is entitled to charge the departmental customer for Services delivered on a Capped Time and Material Charges basis (excluding VAT and discounts. Including Expenses) [REDACTED]
- 5.1.3 Capped Time and Materials Contract Charges shall be calculated on a daily basis at the respective time and material rates for each Supplier Staff for every day, or pro rata for every part of a day, that the Supplier Staff are actively performing the Services and in accordance with the relevant rates for such Supplier Staff as required to perform such Services.
- 5.1.4 The Supplier acknowledges and agrees that it shall provide the Services in relation to this Release within the Maximum Price set out at paragraph 5.1.2 above and it shall continue at its own cost and expense to provide the Services even where the price of Services delivered to the departmental customer on a Capped Time and Materials basis has exceeded the Maximum Price.
- 5.1.5 The departmental customer shall have no obligation or liability to pay for the cost of any Services delivered in respect of this SOW after the Maximum Price has been exceeded.

5.2 PRICE PER STORY POINT CHARGES

Unused

5.3 TIME AND MATERIALS CHARGES

- 5.3.1 The Time and Materials pricing structure shall apply:
 - (a) for Services delivered during the Inception and Calibration Stage(s) (or as agreed otherwise by the Parties); and
 - (b) for other aspects of the Services as may agreed by the Parties.
- 5.3.2 Time and Materials Contract Charges shall be calculated on a daily basis at the respective time and material rates for each Supplier Staff for every day, or pro rata for every part of a day, that the Supplier Staff are actively performing the Services and in accordance with the relevant rates for such Supplier Staff as required to perform such Services as set out at paragraph 5.3.5.
- 5.3.3 The Supplier shall provide a detailed breakdown of any time and materials Contract Charges with sufficient detail to enable the departmental customer to verify the accuracy of the time and material Contract Charges incurred.
- 5.3.4 For the avoidance of doubt, no risks or contingencies shall be included in the Contract Charges in relation to the provision of Services for which time and materials Contract Charges apply. The Supplier shall maintain full and accurate records of the time spent by the Supplier Staff in providing the Services and shall produce such records to the departmental customer for inspection at all reasonable times on request.
- 5.3.5 Time and Material Rates (excluding VAT) (Estimated cost for a SOW from Supplier proposal)



When invoicing, supplier must apply discounts according to table 6.2 (Amendments to/refinements of the Call-Off Terms)

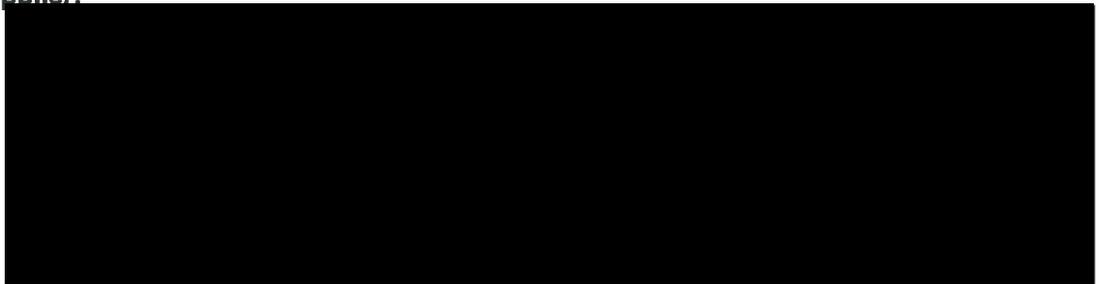
5.4 FIXED PRICE
Unused

- 6. **SERVICE CREDITS APPLICABLE ONLY IN RELATION TO PRICE PER STORY POINT CONTRACT CHARGES**
- 6.1 Unused
- 7. **ADDITIONAL REQUIREMENTS**
- Unused
- 8. **AGREEMENT OF SOW**
- 8.1 BY SIGNING this SOW, the Parties agree to be bound by the Terms and Conditions set out herein:

For and on behalf of the Supplier:

Name and Title

Signature and Date



For and on behalf of the departmental customer:

Name and Title

Signature and Date



Please note that the first SOW is signed by CCS. Any subsequent SOW(s) would require the departmental customer's signature. With a copy sent to CCS for its records.



ANNEXES

Annex 1 (Release Plan)

When the document has been agreed, Parties to insert initial Release Plan in respect of this Release here

Annex 2 (Stories for Release)

When the document has been agreed, Parties to insert full detail of Stories which are to form the subject of the Release here

Annex 3 (Product Backlog)

The current product backlog is placed [here](#).

Note: The product backlog is a live document and will continue to change and evolve during the course of the project.

Annex 4 (High level Objectives)

When the document has been agreed, Parties to insert the high level objectives for the Release here

SCHEDULE 8 - CONTRACT CHANGE NOTE

Order Form reference for the Contract being varied:

PROJECT: DS01-217
CCN NUMBER: 01
2015 IPR TERMS USED? YES/NO

BETWEEN:

Crown Commercial Service ("the Customer")

Acting as an agent on behalf of **HUMAN FERTILISATION & EMBRYOLOGY AUTHORITY (HFEA)**

and

Reading Room Ltd ("the Supplier")

1. The Contract is varied as follows and shall take effect on the date signed by both Parties:

Guidance Note: Insert full details of the change including:

Reason for the change;

Full Details of the proposed change;

Likely impact, if any, of the change on other aspects of the Contract;

Original Contract Value: £

Additional Cost due to change: £

New Contract Value to be: £

2. Words and expressions in this change Contract Note shall have the meanings given to them in the Contract.
3. The Contract, including any previous changes shall remain effective and unaltered except as amended by this change.

Signed by an authorised signatory for and on behalf of the Customer

Signature

Date

Name (in Capitals)

Address

Signed by an authorised signatory to sign for and on behalf of the Supplier



SCHEDULE 9 - GLOSSARY

In this Contract the following words shall have the following meanings:

Accepted/Acceptance	has the meaning set out in the test criteria within the Methodology/SOW;
Acceptance Criteria	in respect of each Story, means the criteria, as validated and approved by the Customer and agreed with the Supplier, to determine whether the Solution delivered by the Supplier has met the requirements of that Story;
Accepted Story	has the meaning set out in the test criteria within the Methodology/SOW;
Activities	means coding activities undertaken during a Sprint;
Acquired Rights Directive	means the European Council Directive 77/187/EEC on the approximation of laws of European member states relating to the safeguarding of employees' rights in the event of transfers of undertakings, businesses or parts of undertakings or businesses, as amended or re-enacted from time to time;
Affected Party	means the Party whose obligations under the Contract are affected by the Force Majeure Event
Affiliates	means in relation to a body corporate, any other entity which directly or indirectly Controls, is Controlled by, or is under direct or indirect common Control of that body corporate from time to time;
Agile	means a software development method which is based on iterative and incremental development where requirements and solutions evolve through collaboration between the Parties through the establishment of cross-functional teams; and which promotes adaptive planning, evolutionary development and delivery, a time-boxed iterative approach and encourages rapid and flexible response to change;
Alpha Phase	means the alpha phase of this Contract as set out in the Digital Manual;
Approval	means the prior written consent of the Customer and "Approve" and "Approved" shall be construed accordingly;
Auditor	any auditor appointed by the Audit Commission;
Authority	means THE MINISTER FOR THE CABINET OFFICE as represented by Crown Commercial Service, a trading fund of the Cabinet Office, whose offices are located at 9 th Floor, The Capital, Old Hall Street, Liverpool, L3 9PP;
Authority's T&S Policy	means the rates and policies for travel and subsistence expenses as set by the Authority and as may be amended from time to time;
Beta Phase	means the beta phase of this Contract as set out at Digital Manual
Business Continuity and Disaster Recovery Plan	means plans which sets out the processes and arrangements to ensure continuity and restoration of the Services in the event of a Disaster as further set out at Clause 16;
Calendar Day	means any day of the year;
Calibration Deliverable	the Deliverable(s) to be agreed by the Parties during the Calibration Stage;
Calibration Stage	has the meaning set out in the Digital Manual;
Call-Off Terms	means these terms and conditions entered into by the Parties (excluding the Order Form) in respect of the provision of the Digital Services together with the Schedules and SOWs hereto;
Calibration Stage Completion Date	means the date set out in the SOW as such;

Capped Time and Materials	means the capped time and materials pricing mechanism for the Services as may be agreed by the Parties and set out in the SOW;
Change Control Procedure	has the meaning set out at Clause 28.1;
Change in Law	means any Change in Law which impacts on the supply of the Services and performance of the Call-Off Terms which comes into force after the Commencement Date;
Commencement Date	means the date of commencement of this Contract as set out in the Order Form;
Commercially Sensitive Information	means the Confidential information listed in the Order Form (if any) comprising of a commercially sensitive information relating to the Supplier, its IPR or its business or which the Supplier has indicated to the Customer that, if disclosed by the Customer, would cause the Supplier significant commercial disadvantage or material financial loss;
Comparable Supply	means the supply of Services to another customer of the Supplier that are the same or similar to the Services;
Completion Date	means the date of completion of an SOW as set in Schedule 7 SOW;
Contract	means this Call-Off agreement between the Customer and Supplier (entered into pursuant to the provisions of the framework Agreement) consisting of the Order Form, SOW(s) and the Call-Off Terms;
Contract Year	means a consecutive period of 12 Months commencing on the Commencement date;
Contract Charges	means the prices (exclusive of any applicable VAT) payable to the Supplier by the Customer under this Contract, as set out in each SOW, for the full and proper performance by the Supplier of its obligations under this Contract and under each SOW;
Contract Expiry Date	has the meaning set out at Clause 3.1.2 of this Contract.
Contract Period	means the period commencing on the Contract Commencement Date and ending on the Contract Expiry Date;
Contracting Body/Bodies	means the Customer, the Authority and any other bodies listed in paragraph VI.3 of the OJEU Notice;
Change of Contract	means a change of control within the meaning of Section 450 of the Corporation Tax Act 2010;
Conviction	means other than for minor road traffic offences, any previous or pending prosecutions, convictions, cautions and binding over orders (including any spent convictions as contemplated by section 1(1) of the Rehabilitation of Offenders Act 1974 by virtue of the exemptions specified in Part II of Schedule 1 of the Rehabilitation of Offenders Act 1974 (Exemptions) Order 1975 (SI 1975/1023) or any replacement or amendment to that Order, or being placed on a list kept pursuant to section 1 of the Protection of Children Act 1999 or being placed on a list kept pursuant to the Safeguarding Vulnerable Groups Act 2006.);
Customer	means Crown Commercial Services acting as an agent on behalf of the departmental customer CUSTOMER_FULL_NAME
Customer Background IPRs	shall mean all Intellectual Property Rights vested in or licensed to the Customer prior to or independently of the performance by the Supplier of its obligations under this Contract and including, for the avoidance of doubt, including, for the avoidance of doubt, guidance, specifications, instructions, toolkits, plans, data, drawings, databases, patents, patterns, software, models and designs, technical specifications, user manuals, operating manuals, process definitions and procedures and other documentation and any modifications, amendments, updates and new releases of the same;

Customer Cause	means any breach by the Customer of its obligations under this Contract including Schedule 5 (Customer Responsibilities) (unless caused or contributed to by the Supplier or as the result of any act or omission by the Customer to which the Supplier has given its prior consent);
Customer Data	<p>means:</p> <ul style="list-style-type: none"> a. the data, text, drawings, diagrams, images or sounds (together with any database made up of any of these) which are embodied in any electronic, magnetic, optical or tangible media, including any Customer's Confidential Information, and which: b. are supplied to the Supplier by or on behalf of the Customer; or c. the Supplier is required to generate, process, store or transmit pursuant to this Contract; or <p>any Personal Data for which the Customer is the Data Controller;</p>
Customer's Confidential Information	means all Personal Data and any information, however it is conveyed, that relates to the business, affairs, developments, trade secrets, know-how, personnel, and suppliers of the Customer, including all IPRs (including all Customer Background IPRs and Project Specific IPRs), together with all information derived from any of the above, and any other information clearly designated as being confidential (whether or not it is marked "confidential") or which ought reasonably be considered to be confidential;
Customer Representative	means a representative of the Customer who is authorised to make decisions on behalf of the Customer;
Customer Responsibilities	means the responsibilities of the Customer set out in the Order Form and any other responsibilities of the Customer agreed in writing between the Parties from time to time;
Customer Software	means software which is owned by or licensed to the Customer which is or will be used by the Supplier for the purposes of providing the Services;
Daily Stand Up	means a daily meeting between the Customer and the Supplier which takes place on each Working Day during the execution of an SOW;
Data Controller	shall have the same meaning as set out in the Data Protection Act 1998, as amended from time to time;
Data Processor	shall have the same meaning as set out in the Data Protection Act 1998, as amended from time to time;
Data Protection Legislation or DPA	means the Data Protection Act 1998 and all applicable laws and regulations relating to processing of personal data and privacy, including where applicable the guidance and codes of practice issued by the Information Commissioner or relevant Government department in relation to such legislation;
Data Subject	shall have the same meaning as set out in the Data Protection Act 1998, as amended from time to time;
Default	means any breach of the obligations of the Supplier (including but not limited to Material Breach) or any other default, act, omission, negligence or negligent statement of the Supplier or the Supplier Staff in connection with or in relation to the subject-matter of this Contract and in respect of which the Supplier is liable to the Customer;
Defect	has the meaning as specified in the test criteria set out in the Methodology and/or SOW;
Deliverable	means a tangible work product, outcome or related material or item that is to be achieved or delivered to the Customer by the Supplier as part of the Services;
Delivery Stage	means the phase in which the Solution is to be delivered as set out in the Digital Manual;

Development Team	means the Supplier's Staff responsible for the development of the Software;
Digital Manual	means the Government Service Design Manual (as may be updated and amended from time to time) which is available at https://www.gov.uk/service-manual/agile ;
Disaster	the occurrence of one or more events which, either separately or cumulatively, mean that the Services, or a material part of it will be unavailable for period of three 3 hours or which is reasonably anticipated will mean that the Services or a material part will be unavailable for that period;
Discovery Phase	means the discovery phase of the Project during which the Supplier may be required to provide ad-hoc Services as set out in an SOW;
Effective Date	means the date of formation of this Contract in accordance with the Order Form and framework Schedule 4 (Call-Off Procedure);
Equipment	means the Supplier's hardware, computer and telecoms devices, equipment, plant, materials and such other items supplied and used by the Supplier (but not hired, leased or loaned from the Customer) in the performance of its obligations under the Contract;
Employment Liabilities	<p>means all claims, actions, proceedings, orders, demands, complaints, investigations (save for any claims for personal injury which are covered by insurance) and any award, compensation, damages, tribunal awards, fine, loss, order, penalty, disbursement, payment made by way of settlement and costs, expenses and legal costs reasonably incurred in connection with a claim or investigation including in relation to the following:</p> <ol style="list-style-type: none"> a. redundancy payments including contractual or enhanced redundancy costs, termination costs and notice payments; b. unfair, wrongful or constructive dismissal compensation; c. compensation for discrimination on grounds of sex, race, disability, age, religion or belief, gender reassignment, marriage or civil partnership, pregnancy and maternity or sexual orientation or claims for equal pay; d. compensation for less favourable treatment of part-time workers or fixed term employees; e. outstanding debts and unlawful deduction of wages, including any PAYE and National Insurance Contributions; f. claims whether in tort, contract or statute or otherwise; g. any investigation by the Equality and Human Rights Commission or other enforcement, regulatory or supervisory body and of implementing any requirements which may arise from such investigation);
End User	means an individual who uses the Software and/or Deliverables, and who may be an internal user of the Customer or a member of a third party to which the Customer makes the Software and/or Deliverables available;
Environmental Information Regulations	means the Environmental Information Regulations 2004 together with any guidance and/or codes of practice issued by the Information Commissioner or relevant government department in relation to such regulations;
Expert	means the person appointed by the Parties in accordance with Clause 36.1.6;
First Release Deliverables	means those Deliverables which are agreed by the Parties at the Calibration Stage as set out at in the SOW;
Fixed Price	means the fixed prices for ancillary Services as may be agreed by the Parties and set out in the SOW;
FOIA	means the Freedom of Information Act 2000 and any subordinate legislation made under that Act from time to time together with any guidance and/or codes

	of practice issued by the Information Commissioner or relevant government department in relation to such legislation;
Force Majeure Event	<p>means any event, occurrence or cause affecting the performance by either the Customer or the Supplier of its obligations arising from:</p> <ul style="list-style-type: none"> (a) acts, events, omissions, happenings or non-happenings beyond the reasonable control of the Affected Party; (b) riots, war or armed conflict, acts of terrorism, nuclear, biological or chemical warfare; (a) acts of government, local government or Regulatory Bodies; (b) fire, flood, any disaster and any failure or shortage of power or fuel; (c) an industrial dispute affecting a third party for which a substitute third party is not reasonably available; <p style="text-align: center;">provide always that:</p> <ul style="list-style-type: none"> - any industrial dispute relating to the Supplier, the Staff or any other failure in the Supplier or the Sub-Contractor's supply chain; and - any event or occurrence which is attributable to the wilful act, neglect or failure to take reasonable precautions against the event or occurrence by the Party concerned; <p>shall not constitute a Force Majeure;</p>
Former Supplier	means a party providing services to the Customer similar or the same as the Services and which is being replaced by the Supplier;
framework Prices	means the price(s) applicable to the provision of the Services set out in framework Schedule 9 (Charging Structure);
Fraud	means any offence under any Laws creating offences in respect of fraudulent acts (including the Misrepresentation Act 1967) or at common law in respect of fraudulent acts including acts of forgery;
Good Industry Standard	means standards, practices, methods and procedures conforming to the Law and the exercise of the degree of skill and care, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced person or body engaged within the relevant industry or business sector;
General Change in Law	means a Change in Law where the change is of a general legislative nature (including taxation or duties of any sort affecting the Supplier) or which affects or relates to a Comparable Supply;
Glossary	means the glossary of defined terms set out in Schedule 9 (Glossary)
Inception Deliverables	means the Deliverables agreed by the Parties at the Inception Stage;
Inception Stage	means the initial phase of the Contract as set out in the Digital Manual;
Inception Stage Completion Date	means the date set out in the SOW as such;
Insolvency Event	<p>means, in respect of the Supplier or framework Guarantor or Call-Off Guarantor (as applicable):</p> <ul style="list-style-type: none"> a. a proposal is made for a voluntary arrangement within Part I of the Insolvency Act 1986 or of any other composition scheme or arrangement with, or assignment for the benefit of, its creditors; or b. a shareholders' meeting is convened for the purpose of considering a resolution that it be wound up or a resolution for its winding-up is passed (other than as part of, and exclusively for the purpose of, a bona fide reconstruction or amalgamation); or c. a petition is presented for its winding up (which is not dismissed

	<p>within fourteen (14) Working Days of its service) or an application is made for the appointment of a provisional liquidator or a creditors' meeting is convened pursuant to section 98 of the Insolvency Act 1986; or</p> <ul style="list-style-type: none"> d. a receiver, administrative receiver or similar officer is appointed over the whole or any part of its business or assets; or e. an application order is made either for the appointment of an administrator or for an administration order, an administrator is appointed, or notice of intention to appoint an administrator is given; or f. it is or becomes insolvent within the meaning of section 123 of the Insolvency Act 1986; or g. being a "small company" within the meaning of section 382(3) of the Companies Act 2006, a moratorium comes into force pursuant to Schedule A1 of the Insolvency Act 1986; or h. where the Supplier or framework Guarantor or Call-Off Guarantor is an individual or partnership, any event analogous to those listed in limbs (a) to (g) (inclusive) occurs in relation to that individual or partnership; or <p>any event analogous to those listed in limbs (a) to (h) (inclusive) occurs under the law of any other jurisdiction.</p>
<p>Intellectual Property Rights or "IPRs"</p>	<p>means</p> <ul style="list-style-type: none"> a. copyright, rights related to or affording protection similar to copyright, rights in databases, patents and rights in inventions, semi-conductor topography rights, service marks, logos, database rights, trade marks, rights in internet domain names and website addresses and other rights in trade or business names, design rights (whether registerable or otherwise), Know-How, trade secrets and moral rights and other similar rights or obligations whether registerable or not; b. applications for registration, and the right to apply for registration, for any of the rights listed at (a) that are capable of being registered in any country or jurisdiction; and <p>all other rights whether registerable or not having equivalent or similar effect in any country or jurisdiction (including but not limited to the United Kingdom) and the right to sue for passing off.</p>
<p>Invoice</p>	<p>means an invoice issued by the Supplier to the Customer that complies with Clause 13;</p>
<p>ISMS</p>	<p>means an information security management system as defined by ISO/IEC 27001. The scope of the ISMS will be as agreed by the parties and will directly reflect the scope of the Services;</p>
<p>Key Personnel</p>	<p>means the Supplier Staff named in the SOW as such;</p>
<p>Know How</p>	<p>means all ideas, concepts, schemes, information, knowledge, techniques, methodology, and anything else in the nature of know-how relating to the Services but excluding know-how already in the Supplier's or the Customer's possession before the Commencement Date;</p>
<p>KPIs</p>	<p>means those metrics and/or key performance indicators as identified in the framework Agreement, Methodology and SOW (as applicable) which are to have contractual effect during the execution of an SOW;</p>
<p>Law(s)</p>	<p>means any act of parliament, subordinate legislation within the meaning of Section 21(1) of the Interpretation Act 1978, bye-law, enforceable right within the meaning of Section 2 of the European Communities Act 1972, regulation, order, regulatory policy, mandatory guidance or code of practice, judgment of a</p>

	relevant court of law, or directives or requirements with which the Supplier is bound to comply;
Lean	a methodology which is based on the principles of eliminating waste, amplifying learning, deciding as late as possible, delivering as fast as possible, empowering the team, improving the system, respect and creating knowledge;
Live Phase	means the live phase of this Contract described in the Digital Manual;
Losses	means all losses, liabilities, damages, costs, expenses (including legal fees), disbursements, costs of investigation, litigation, settlement, judgment, interest and penalties whether arising in contract, tort (including negligence), breach of statutory duty, misrepresentation or otherwise and “Loss” shall be interpreted accordingly;
Management Charge	means the sum payable by the Supplier to the Authority in accordance with Clause FW-27 (Management Charge) of the framework Agreement;
Management Information or “MI”	means the management information specified in framework Schedule FW-26 (Provision of Management Information)
Material Breach	means: <ul style="list-style-type: none"> a. a breach by the Supplier referred to by this definition in any of the following Clauses: Clause 4 and FW-21 (Warranties and Representations), FW-15 (Conflicts of Interest and Ethical Walls), Clause 24 (Disruption), Clause 7 (Supplier Staff), Clause 19 (IPR), FW-42 (Data Protection and Disclosure), Clause 21 (Confidentiality), FW-25 (Publicity, Branding Media and Official Enquiries), FW-46 (Official Secrets Acts), FW-40 (Prevention of Bribery and Corruption), FW-45 (Safeguarding against Fraud) and FW-15 (Conflicts of Interest and Ethical Walls) and Clause 40.7 (Employment, Tax and National Insurance Liabilities). b. any breach of this Contract which is set out in this Contract as being a material breach; c. any breach of this Contract which is set out as a material breach of this Contract in the framework Agreement; d. any material breach of this Contract under the Law; and/or a breach which prevents the Customer from discharging a statutory duty.
Maximum Price	has the meaning given to it at paragraph 5.1.2 of the SOW;
Mediator	has the meaning given to it at Clause 36.1.8;
Methodology	means the description of the manner in which the Services are to be provided by the Supplier as set out in Schedule 4 Methodology;
Methodology Guidance Notes	means the methodology guidance notes available from the Government Digital Services;
Metrics	means the metrics to be used to measure the KPIs during the execution of an SOW, the type and basis of measure being as specified in the Methodology and/or applicable SOW;
Milestone	an event or task described agreed by the Parties which the Supplier must be complete by the relevant Milestone Date;
Milestone Achievement Certificate	has the meaning given in Schedule 40 Methodology and/or the SOW (where applicable);
Milestone Date	the date set against relevant Milestones as may agreed by the Parties and set out in the SOW;
Minimum Marketable Features (MMF)	means the minimum set of must have Stories, as determined by the Customer, in respect of which the Customer is prepared to deploy the Solution to the End Users at the end of a Release, and which the Supplier must deliver as part of

	the applicable Release;
Months	means a calendar month;
Open Source Ordered Software	means any open source software ordered by the Customer;
Open Government Licence	means the licence granted by the Government for the use and re-use of public sector information as set out at http://www.nationarchives.gov.uk/doc/open-government-licence/ and as may be amended from time to time;
Order	means the order for the provision of the Digital Services placed by the Customer with the Supplier in accordance with the framework Agreement and under the terms of this Contract;
Order Form	means the form on which an Order is placed for the provision of the Digital Services placed by the Customer with the Supplier in accordance with the framework Agreement and under the terms of this Contract;
Party, Parties	Party means the Customer and the Supplier; and "Parties" shall mean both;
Personal Data	shall have the same meaning as set out in the Data Protection Act 1998;
Phase	means each of the separate phase of the Project lifecycle, being the Alpha Phase, Beta Phase and Live Phase (and where the Supplier provides ad-hoc Services, includes the Discovery Phase);
Planning	has the meaning set out in the Digital Manual;
Premises	means the location where the Services are to be principally performed as set out in the Order Form;
Price per Story	means the price per story pricing mechanism for the Services as may be agreed by the Parties and set out in the SOW;
Product Backlog	means a list of those Stories that do not form part of the current Sprint Plan at that time and which are to form the subject of a future Sprint, either in the current Release at that time or a subsequent Release;
Product Owner	means the principal representative of the End Users;
Project	means the development of the Software in accordance with this Contract;
Project Specific IPRs	<p>means:</p> <ol style="list-style-type: none"> a. IPRs in or arising out of the provision of the Services under this Contract and all updates and amendments to the same created or arising during the Contract Period; and/or b. any materials, data and other works of any kind whatsoever created or compiled in the course of the performance of this Contract in which IPRs may subsist; and/or c. the IPRs in any modifications, updates and developments to the Customer Background IPRs; and/or d. any Personal Data provided or available to the Supplier for the purposes of this Contract; and/or <p>any source code, object code, database rights, data, text, drawings, diagrams, images or sounds (together with any database made up of any of these) which are embodied in any electronic, magnetic, optical or tangible or other media, and which are supplied to the Supplier by or on behalf of the Customer; or the Supplier is required to receive, commission, generate, develop, process, store or transmit pursuant to this Contract (except for IPRs in modifications, updates and developments to the Supplier Background IPRs and excluding any Supplier Background IPR or Supplier Software);</p>
Property	means the property, other than real property and IPR, issued or made available to the Supplier by the Customer in connection with this Contract;

Reimbursable Expenses	has the meaning set out at Clause 13;
Release	means a series of Sprints for the delivery of the Solution resulting in the Acceptance of the Stories by the Release Completion Date;
Release Completion Date	means the date as set out in the SOW for completion of the Release;
Release Plan	means the plan for the Release as agreed by the Parties;
Relevant Conviction	means a Conviction that is relevant to the nature of the Services to be provided or as specified by the Customer in the Order Form or elsewhere in the Contract;
Relevant Transfer	means a transfer of employment to which TUPE applies;
Replacement Services	means any services which are substantially similar to any of the Services and which the Customer receives following the expiry or termination of this Contract, whether those Services are provided by the Customer internally and/or by any third party;
Replacement Supplier	means any third party provider of Replacement Services appointed by the Customer from time to time or where the Customer is providing Replacement Services for its own account, shall also include the Customer;
Repository	means a secure online document and source code management repository and archive provided and maintained by the Government Digital Service (or such other Crown Body as may be notified to the Supplier by the Customer);
Retrospective	has the meaning set out in the Digital Manual;
Security Management Plan	the Supplier's security plan prepared pursuant to Clause 20;
Security Policy	means the Customer's security policy and procedures in force from time to time, including the Cabinet Office Security Policy framework (available from the Cabinet Office Security Policy Division) and any specific security requirements set out by the Customer in this Contract;
Services	the services provided by the Supplier under this Contract as set out at Schedule 3 Requirements and Value drivers and more particularly set out in the SOW(s);
Schedules	means the schedules to this Contract;
Showcase	has the meaning set out in the Digital Manual;
Software	the computer programs to be developed by the Supplier in the course of the performance of the Services;
Solution	means the MMF or a subset thereof, and in respect of any Sprint means the solution to be delivered by the Supplier to meet the Acceptance Criteria for the Stories that form the subject of that Sprint; and the output of the Solution may take the form of the delivery of Software and/or Deliverables and/or the provision of Services;
SOW	means a statement of work as executed by the Parties, in respect of a Release; and where multiple SOWs have been entered into by the Parties in respect of multiple Releases, then reference to SOW shall be a reference to the SOW which is applicable to the relevant Release;
SOWs	means all the SOW executed under this Contract;
Sprint	means the performance by each of the Parties of Planning, Activities, Showcase and Retrospective to enable the Supplier to deliver the Solution in order to Complete the specified Stories by the end of the Sprint Timebox;
Sprint Plan	means the plan that relates to a Sprint as agreed by the Parties;
Sprint Timebox	means a fixed period of time for the completion of a Sprint, the duration of which shall be as set out in the Calibration Deliverable;

Specific Change in Law	means a Change in Law that relates specifically to the business of the Customer and which would not affect a Comparable Supply
Staff Vetting Procedures	means any Customer's procedures and departmental policies for the vetting of Supplier Staff as set out by the Customer in the Order Form or elsewhere in this Contract;
Stage	means each discrete stage of a Phase;
Standards	means: <ul style="list-style-type: none"> a. any standards published by BSI British Standards, the National Standards Body of the United Kingdom, the International Organisation for Standardisation or other reputable or equivalent bodies (and their successor bodies) that a skilled and experienced operator in the same type of industry or business sector as the Supplier would reasonably and ordinarily be expected to comply with; b. any standards detailed in the specification in framework Schedule 1 (Digital Services) or framework Schedule 6 (Standards and Security); c. any standards detailed by the Customer in this Contract or as agreed between the Parties from time to time; any relevant Government codes of practice and guidance applicable from time to time.
Story	means a description of the Customer's requirements for an aspect of the Solution, which may, for example, take the form of feature(s) of the Software, specified Deliverable(s) or the provision of certain Services;
Story Cycle Time	means the Metric which measures the time taken from the date on which a Story is created to the date on which that Story is Accepted;
Story Points	means a relative size allocated to a Story, being a number which the Parties shall derive using a prioritisation system as agreed between the Parties during the Inception Stage, and which provides a measure of the level of risk, complexity and effort involved in the delivery by the Supplier of the Solution as described in that Story;
Story Point Shortfall	means the number of Story Points to be delivered by the Supplier for a Release (as set out in the SOW for that Release) minus the number of Story Points Accepted by the Release Completion Date for that Release;
Story Value	means a relative size allocated to a Story, which is an abstract number arrived at using a prioritisation system as agreed between the Parties during the Inception Stage, and which provides a measure of the level of value that the Customer will derive from the delivery by the Supplier of the Solution as described in that Story;
Sub-Contract	means any contract or agreement or proposed contract or agreement between the Supplier and any third party whereby that third party agrees to provide to the Supplier the Services or any part thereof or facilities, services necessary for the provision of the Services or any part thereof or necessary for the management, direction or control of the provision of the Services or any part thereof;
Sub-Contractor	means any third party engaged by the Supplier from time to time under a Sub-Contract permitted pursuant to the framework Agreement and this Contract, or its servants or agents and any third party with whom that third party enters into a Sub-Contract or its servants or agents;
Supplier	means Reading Room Ltd
Supplier Background IPR	shall mean all Intellectual Property Rights vested in or licensed to the Supplier prior to entering into the Call-Off. For the avoidance of doubt includes but is not limited to, guidance, specifications, instructions, toolkits, plans, data, drawings, databases, patents,

	patterns, software, models and designs, technical specifications, user manuals, operating manuals, process definitions and procedures and other documentation and any modifications, amendments, updates and new releases of the same
Supplier Software	means software which is proprietary to the Supplier or its Affiliates which is used or supplied by the Supplier in the provision of the Services;
Supplier Staff	means all persons employed or engaged by the Supplier together with the Supplier's servants, agents, suppliers, consultants and Sub-Contractors (and all persons employed by any Sub-Contractor together with the Sub-Contractor's servants, consultants, agents, suppliers and Sub-Contractors) used in the performance of its obligations under this Contract;
Time and Materials	means the pricing mechanism for the Services as may be agreed by the Parties and set out at in the SOW;
TUPE	the Transfer of Undertakings (Protection of Employment) Regulations 2006 (SI 2006/246) as amended or replaced or any other regulations or UK legislation implementing the Acquired Rights Directive;
Velocity	means the Metric which measures the total number of Story Points for Stories that have been accepted in a Sprint, indicating the rate of progress towards Acceptance of all Stories from the Product Backlog;
Working Days	means any day other than a Saturday or Sunday or public holiday in England and Wales.
FURTHER DEFINITIONS:	

SCHEDULE 10 - ADDITIONAL TERMS

1. RELEVANT CONVICTIONS

- 1.1 This Clause shall apply if the Customer has so specified in the Order Form.
- 1.2 The Supplier shall ensure that no person who discloses that he has a Relevant Conviction, or who is found to have any Relevant Convictions (whether as a result of a police check or through the Criminal Records Bureau procedures or otherwise), is employed or engaged in any part of the provision of the Services without Approval.
- 1.3 For each member of Supplier Staff who, in providing the Services, has, will have or is likely to have access to children, vulnerable persons or other members of the public to whom the Customer owes a special duty of care, the Supplier shall (and shall procure that the relevant Sub-Contractor shall):
- 1.3.1 carry out a check with the records held by the Department for Education (DfE);
 - 1.3.2 conduct thorough questioning regarding any Relevant Convictions; and
 - 1.3.3 ensure a police check is completed and such other checks as may be carried out through the Criminal Records Bureau,
- and the Supplier shall not (and shall ensure that any Sub-Contractor shall not) engage or continue to employ in the provision of the Services any person who has a Relevant Conviction or an inappropriate record.

2. ADDITIONAL STAFFING SECURITY

- 2.1 This Clause shall apply if the Customer has so stipulated in the Order Form.
- 2.2 The Supplier shall comply with the Staff Vetting Procedures in respect of all or part of the Supplier Staff (as specified by the Customer) and/or any other relevant instruction, guidance or procedure issued by the Customer that will be used to specify the level of staffing security required and to vet the Supplier Staff (or part of the Supplier Staff).
- 2.3 The Supplier confirms that, at the Commencement Date, the Supplier Staff were vetted and recruited on a basis that is equivalent to and no less strict than the Staff Vetting Procedures and/or any other relevant instruction, guidance or procedure as specified by the Customer.

