



Framework: Collaborative Delivery Framework

Supplier: Kier Integrated Services Ltd

Company Number: 00873179

**Geographical Area:** South West

Project Name: River Camel construction works

Project Number: ENV000348C

**Contract Type:** Engineering Construction Contract

Option: Option C

Contract Number: project\_29955

Revision	Status		Originator		Reviewer		Date	

### ENGINEERING AND CONSTRUCTION CONTRACT under the Collaborative Delivery Framework **CONTRACT DATA**

**Project Name** River Camel construction works

Project Number ENV000348C

> This contract is made on 03 August 2020 between the Client and the Contractor

- This contract is made pursuant to the Framework Agreement (the "Agreement") dated 10th day of April 2019 between the Client and the Contractor in relation to the Collaborative Delivery Framework. The entire agreement and the following Schedules are incorporated into this Contract by reference
- Schedules 1 to 21 inclusive of the Framework schedules are relied upon within this contract.
- The following documents are incorporated into this contract by reference

W4G River Camel Restoration ECC4 Scope v1.4 Final.docx
Appendix A – boundaries of the site (W4G River Camel Restoration Appendix A – boundaries of the site v2.0 Final.png)
Appendix B – Environmental Action Plan (Final version) (ENV0000348 W4G River Camel\_EAP Weir removal\_Issue 2\_clean.docx)

Appendix D - Design Drawings and Sketches (W4G River Camel Restoration Appendix D - Design Drawings and Sketches v1 Final.docx)

Appendix E - Tree Felling (Appendix E - Tree Felling.zip)

### Part One - Data provided by the Client

Statements given in all Contracts

1 General

The conditions of contract are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and the secondary Options of the NEC4 Engineering and Construction Contract June 2017.

Main Option	Option C	Option for reso avoiding dispu		W2			
Seconda	ry Options						
	X2: Changes in the law						
	X5: Sectional Completion						
	X7: Delay damages						
	X9: Transfer of rights						
	X10: Information modelling						
	X11: Termination by the <i>Client</i>						
	X15: Contractor's design						
	X18 Limitation of Liability						
	X20: Key Performance Indicators						
	Y(UK)1: Project Bank Account						
	Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996						
	Y(UK)3: The Contracts (Rights of Third Parties) Act 1999						
	Z: Additional conditions of contract						
The works	are						
The <i>Client</i>	is		Environment /	Agency			
Address for	communications		Manley House Kestrel Way Exeter EX2 7LQ				
Address for	electronic communications	ı					

The Project Manager is Address for communications **Environment Agency** Manley House Kestrel Way Exeter EX2 7LQ Address for electronic communications The Supervisor is Address for communications Address for electronic communications The Scope is in W4G River Camel Restoration ECC4 Scope v1.4 Final.docx The Site Information is in https://adoddleak.asite.com/adoddlepublic/dpd/bdj8AdoUdxjE55SdBk6KThe boundaries of the site are Appendix A - boundaries of the site. The partner contract is Atkins The language of the contract is English The law of the contract is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales The period for reply is 2 weeks The following matters will be included in the Early Warning Register Early warning meetings are to be held at intervals no longer than 2 weeks 2 The Contractor's main responsibilities The  $\ensuremath{\textit{key dates}}$  and  $\ensuremath{\textit{conditions}}$  to be met are condition to be met key date 'none set' 'none set' 'none set' 'none set' 'none set' 'none set' The Contractor prepares forecasts of the total Defined Cost for the whole of the works at intervals 4 weeks no longer than The starting date is 31 July 2020 The access dates are part of the Site date The Contractor submits revised programmes at intervals no longer than 4 weeks

09 November 2020

The Completion Date for the whole of the works is

The Client is not willing to take over the works before the Completion Date

3 Time

The period after the Contract Date within which the  ${\it Contractor}$  is to submit a first programme for acceptance is

4 weeks

### 4 Quality management

The period after the Contract Date within which the  ${\it Contractor}$  is to submit a quality plan is

4 weeks

The period between Completion of the whole of the  $\it works$  and the  $\it defects\ date$  is

52 weeks

The defect correction period is	2 weeks	except that
The defect correction period for		is
The defect correction period for		:-

### 5 Payment

The currency of the contract is the £ sterling

The assessment interval is Monthly

The *Client* set total of the Prices is £517,789.74

The *interest rate* is 2.00% per annum (not less than 2) above the Base rate of the Bank of England

The Contractor's share percentages and the share ranges are

	share range		Contractor's share percentage		
less than		80 %		0 %	
from	80 %	to	<b>120</b> %	as set out in Schedule 17	
greater than		120 %		as set out in Schedule 17	

### 6 Compensation events

The place where weather is to be recorded is Wadebridge Lat 50.5162 Long -4.8353

The weather measurements to be recorder for each calendar month are

- the cumulative rainfall (mm)
- $\bullet$  the number of days with rainfall more than 5mm
- the number of days with minimum air temperature less than 0 degrees Celsius

and these measurements:

- 1.
- 2. 3.
- 3. 4.
- 5.

The weather measurements are supplied by Met Office

The  $\it weather\ data$   $\it are\ the\ records\ of\ past\ weather\ measurement\ for\ each\ calendar\ month$ 

which were recorded at Wadebridge and which are available from Met Office

Assumed values for the ten year weather return weather data for each weather measurement for each calendar month are

 Jan
 Jul

 Feb
 Aug

 Mar
 Sep

 Apr
 Oct

 May
 Nov

 Jun
 Dec

These are additional compensation events

- Managing and mitigating the impact of Covid 19 and working in accordance with Public Health England guidance, as may vary from the time until 21 October 2020.
- from time to time, until 31 October 2020
  Water levels greater than 0.5m above the weir crest stop the following activities being undertaken: placing woody debris in the banks, creating woody debris matrix, breaking out of the concrete weir and associated wing walls, lowering of the left bank, removal of gabions and breaking out of concrete below the removed gabions for a period of time greater than one full working day
- Water levels greater than 1.0m above the weir crest stop the following activities being undertaken: installation of the frame dam, moving the frame dam (to close other half of the channel), removal of the frame dam, removal of the blockstone and the cut-off of the weir and wing wall piles for a period of time greater than one full working day
- 4. retaining Highway Wall collapse blocks access
- removal and off-site disposal of any contaminated waste from the site (above that stated as an assumption in the Client set Target Price)
- 6 archaeological finds
- 7 ecological delays
- 8 UXO discovery
- 9 contaminated ground

#### 8 Liabilities and insurance

These are additional Client's liabilities

The Adjudicator nominating body is

- 1 'not used'
- 2 'not used'
- 3 'not used'

The minimum amount of cover for insurance against loss of or damage to property (except the works, Plant and Materials and Equipment) and liability for bodily injury to or death of a person (not an employee of the Contractor) arising from or in connection with the Contractor Providing the Works for any one event is

£15,000,000

The minimum amount of cover for insurance against death of or bodily injury to employees of the *Contractor* arising out of and in the course of their employment in connection with the contract for any one event is

not less than the amount required by law

The insurance against loss of or damage to the works, Plant and Materials is to include cover for Plant and Materials provided by the Client for an amount of

The Institution of Civil Engineers

## Resolving and avoiding disputes

The <i>tribunal</i> is litigation in the courts	
The Senior Representatives of the Client are	
Address for communications	Sir John Moore House Victoria Square Bodmin PL31 1EB
Address for electronic communications	
Name	
Address for communications	
Address for electronic communications	
The Adjudicator is	'to be confirmed'
Address for communications	'to be confirmed'
Address for electronic communications	'to be confirmed'

#### **Z** Clauses

### **Z1** Correctness of Site Information and other documents

Z1.1 Site Information about the ground, subsoil, ducts, cables, pipes and structures is provided in good faith by the Client, but is not warranted correct. Clause 60.3 does not apply to such Site Information and the Contractor is responsible for checking the correctness of any such Site Information they rely on for the purpose of pricing for or providing the

Z1.2 Information regarding construction methods or processes referred to in pre contract health and safety plans are provided in good faith by the Client but are not warranted correct (except for the purpose of promoting high standards of health and safety) and the Contractor is responsible for checking the correctness of any such information they rely on for the purpose of pricing for, or providing the works.

### Z 2A: Risk transfer: Physical conditions within the Site

Clause 60.1 (12) is deleted from this contract

#### Z 2B: Water levels: Contractor's risk

Clause 60.1 (12) second bullet point is amended to: "are not weather conditions or floods and"

#### Z3 Prevention: No change to prices

Delete first sentence of clause 62.2 and replace with:

"Quotations for compensation events except for the compensation event described in 60.1(19) comprise proposed changes to the Prices and any delay to the Completion Date and Key Dates assessed by the Contractor. Quotations for the compensation event described in 60.1(19) comprise any delay to the Completion Date and Key Dates assessed by the Contractor.

Delete 'The' At start of clause 63.1 and replace with:

"For the compensation event described in 60.1(19) the Prices are not changed. For other compensation events the..."

#### Z 4 The Schedule of Cost Components

The Schedule of Cost Components is as detailed in the Framework Schedule 9.

#### Z 6 Payment for Work

Delete existing clause 11.2 (31) and replace with:

"11.2 (31) The Price for Work Done to Date is the total Defined Cost which the Project Manager forecasts will have been paid by the Contractor before the next assessment date plus the Fee, not exceeding the forecast provided under clause 20.4 and accepted by the Client.'

#### Z7 Aggregated Contractor's share

Delete existing clauses 54 and 93.4 and replace with:

54.7 The Project Manager assess the Contractor's share of the difference between the Aggregated Total of the Prices and the Aggregated Price for Work Done to Date. The difference is divided into increments falling within each of the share ranges. The limits of a share range are the Aggregated Price for Work Done to Date divided by the Aggregated Total of the Prices, expressed as a percentage. The Contractor's share equals the sum of the products of the increment within each share range and the corresponding Contactor's share percentage.

54.8 If the Aggregated Price for Work Done to Date is less than the Aggregated Total of the Prices, the Contractor is paid its share of the saving. If the Aggregated Price for Work Done to Date is greater than the Aggregated Total of the Prices, the Contractor pays its share of the excess.

54.9 If, prior to the Completion Date, the Aggregated Price for Work Done to Date exceeds 110% of the Aggregated Total of the Prices, the amount in excess of 110% of the Aggregated Total of the Prices is retained from the *Contractor*.

54.10 The Project Manager makes a preliminary assessment of the Contractor's share at Completion of the Whole of the works using forecasts of the final Aggregated Price for Work Done to Date and the final Aggregated Total of Prices. This share is included in the amount due following Completion of the whole of the works.

54.11 The *Project Manager* makes a final assessment of the *Contractor's* share, using the final Aggregated Price for Work Done to Date and the final Aggregated Total of the Prices.

This share is included in the final amount due.

93.4 If there is a termination, the Project Manager assesses the Contractor's share after certifying termination. The assessment uses as the Aggregated Price for Work Done to Date the sum of

o the Defined Cost which the Contractor has paid and

o which it is committed to pay for work done before termination

the total of

o the Defined Cost which the Contractor has paid and

o which it is committed to pay

in the partner contract before the date the termination certificate is issued under this contract.

The assessment uses as the Aggregated Total of the Prices the sum of

- the lump sum price for each activity which has been completed and
- a proportion of the lump sum price for each incomplete activity which is the proportion of the work in the activity which has been completed
- the total of
- the lump sum price for each activity which has been completed and
- a proportion of the lump sum price for each incomplete activity which is the proportion of the work in the activity which has been completed Add:
- 11.2(37) The Aggregated Total of the Prices is sum of
- · the total of the Prices and
- the total of the Prices in the partner contract

11.2(38) The Aggregated Price for Work Done to Date is the sum of

- the Price for Work Done to Date and/
- the Price for Service Provided to Date in the partner contract.

### Z10 Payments to subcontractors, sub consultants and

The Contractor will use the NEC4 contract on all subcontracts for works. Payment to subcontractors will be 28 days from the assessment date.

If the Contractor does not achieve payments within these time scales then the Client reserves the right to delay payments to the Contractor in respect of subcontracted work,

Failure to pay subcontractors and suppliers within contracted times scales will also adversely affect the Contractor's opportunities to work on framework contracts.

### Z11Y(UK) 3 The Contracts (Rights of Third Parties)

The design consultant employed by the Contractor is required to fulfil the obligations of the warrantor under the primary contract for design works that they complete. This includes:

Transfer of rights clause Z11

Professional indemnity insurance cover to same cover as that specified for the Contractor

Z11.1 The Client ('the third party') may in its own right enforce the provisions of this clause, subject to and in accordance with the provisions of the Contracts (Right of Third Parties) Act 1999 and the following provisions:

Z11.1.1 the parties may not rescind or vary any provision(s) of this agreement, including this clause, at any time without the consent of the third party; and

Z11.1 2 each third party's rights against party A under this agreement shall be subject to the same conditions, limitations and exclusions as apply to party B's rights against party A under this agreement.

Z11.2 Except as provided in clause Z1.1, this agreement does not create any right enforceable by any person who is not a party to it (Other Party') under the Contracts (Rights of Third Parties) Act 1999, but this clause does not affect any right or remedy of a other party which exists or is available apart from that Act

#### Z16 Disallowed Costs

Add the following bullets to clause 11.2 (26) Disallowed costs

- was incurred due to a breach of safety requirements, or due to additional work to comply with safety requirements.
- was incurred as a result of the client issuing a Yellow or Red Card to prepare a Performance Improvement Plan.
- was incurred as a result of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit.

#### Z18 Payment of pain/gainshare and programme incentivisation

Delete existing clause 54.3 and replace with:

54.3 The *Project Manager* makes regular assessments of the *Contractor's* share until the Completion Date using forecasts of the final Price for Work Done to Date and the final total of the Prices. This share is included in the amount due in the following assessment. The *Project Manager* shall be entitled to take the *Contractor's share* percentage into account when assessing amounts in clause 50 and clause 51 due for payment at each assessment date thereafter. The *Project Manager* shall not assess any amount greater than the amount due when the forecast reaches the top *share range* in the Contract Data Part 1 (120% of the total of the Prices).

Delete existing clause 54.4 and replace with:

54.4 If clause 54.3 does not occur during the works, the Project Manager makes a preliminary assessment of the Contractor's share at Completion of the Whole of the works using forecasts of the final Price for Work Done to Date and the final total of Prices. This share is included in the amount due following Completion of the whole of the work.

Insert the following new clause 54.5:

54.5 If clause 54.3 does not occur during the works , the *Project Manager* makes a final assessment of the *Contractor's* share, using the final Price for Work Done to Date and the final total of the Prices. This share is included in the final amount due.

Insert the following new clause 54.6:

Programme Payments will be made under the Framework in accordance with Schedule 17

#### Z19 Linked contracts

Delays and additional cost on this contract resulting from the Contractor's fault or error on a previous contract on this project or programme will be a Disallowable cost under this contract and not be a Compensation event under this contract.

### **Z21** Requirement for Invoice

Add the following sentence to the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the *Project Manager's* certificate. Delete existing clause 51.2:

51.2 Each certified payment is made by the later of

• one week after the paying Party receives an invoice from the other Party and

• three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.

If a certified payment is late, or if a payment is late because the *Project Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

### **Z22 Resolving Disputes**

Delete W2.1

### Z23 Risks and insurance

Replace clause 84.1 with the following

Insurance certificates are to be submitted to the  ${\it Client}\,$  on an annual basis.

## **Secondary Options**

# **OPTION X2: Changes in the law**

The *law of the project* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

### **OPTION X5: Sectional Completion**

The completion date for each section of the works is

sectiondescriptioncompletion date1All in channel works15 October 2020

X7 plus X5

Delay damages for each section of the works are

 $\begin{array}{ccc} \textit{section} & \textit{description} & \textit{amount per day} \\ 1 & \textit{All in channel works} & \textit{£}1.00 \\ \end{array}$ 

The delay damages for the remainder of the works are

£1.00

### **OPTION X10: Information modelling**

The period after the Contract Date within which the *Contractor* is to submit a first Information Execution Plan for acceptance is

2 weeks

The minimum amount of insurance cover for claims made against the *Contractor* arising out of its failure to use skill and care normally used by professional providing information similar to the Project Information is, in respect of each claim

£5,000,000.00

The period following Completion of the whole of the *works* or earlier termination for which the *Contractor* maintains insurance for claims made against it arising out of its failure to use the skill and care is

12 year(s)

### OPTION X15: The Contractor's design

The  $period\ for\ retention\$  following Completion of the whole of the  $works\$  or earlier termination is

6

The minimum amount of insurance cover for claims made against the *Contractor* arising out of its failure to use skill and care normally used by professionals designing works similar to the *works* is, in respect of each claim

£5,000,000.00

The period following Completion of the whole of the *works* or earlier termination for which the *Contractor* maintains insurance for claims made against it arising out of its failure to use the skill and care is

12

### **OPTION X18: Limitation of liability**

The Contractor's liability to the Client for indirect or consequential loss is limited to

£1,000,000

For any one event, the Contractor's liability to the Client for loss or damage to the Client's property is limited to

£1,000,000

The Contractor's liability for Defects due to its design which are not listed on the Defects Certificate is limited to

£5,000,000

The Contractor's total liability to the Client for all matters arising under or in connection with the contract, other than excluded matters, is limited to

£7,000,000

The *end of liability date is*Completion of the whole of the *works* 

6 years

after the

# OPTION X20: Key Performance Indicators (not used with Option X12)

The incentive schedule for Key Performance Indicators is in Schedule 17.

A report of performance against each Key Performance Indicator is provided at intervals of 3 months.

# Y(UK)1:Project Bank Account

The Contractor is to pay any bank charges made and to be paid any interest paid by the  $project\ bank$ 

# Y(UK2): The Housing Grants, Construction and Regeneration Act 1996

The period for payment is

14 days

after the date on which payment becomes due

# Y(UK3): The Contracts (Rights of Third Parties Act) 1999

term beneficiary

term beneficiary

The provisions of

Y(UK)1

# Part Two - Data provided by the Contractor

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

### 1 General

## The Contractor is

Name Kier Integrated Services Ltd

Address for communications Tempsford Hall Sandy

Sandy Bedfordshire SG19 2BD

Address for electronic communications

The fee percentage is

Option C

The working areas are

River Camel Site, Kier offices staff dwellings.

The key persons are

Name (1) Job Responsibilities

Responsibilitie Qualifications Experience Senior Project Manager Project delivery

The key persons are

Name (2) Job

Responsibilities Qualifications Experience Quantity Surveyor Commercial Support

The key persons are

Name (3)

Job

Responsibilities Qualifications Experience

The key persons are

Name (4)

Job

Responsibilities Qualifications Experience

The following matters will be included in the Early Warning Register

2 The Contractor's main responsibilities	
	The Scope provided by the <i>Contractor</i> for its design is in
3 Time	N/A
3 Time	
	The programme identified in the Contract Data is
	2020-07-26 - TP01 - ECC - River Camel
5 Payment	The activity schedule is
Resolving and avoiding disputes	
	The Senior Representatives of the Contractor are
	Name (1) Address for communications
	Address for electronic communications
	Name (2) Address for communications
	Address for electronic communications
X10: Information Modelling	
	The <i>information execution plan</i> identified in the Contract Data is
Y(UK)1: Project Bank Account	
	The <i>project bank</i> is Nat West
	named suppliers are N/A

0						F		
		n	T	ra	CT	Exe	71	Λ
U	v		•	u	CC	LAC	 · ·	

A11 L	
LUPNT	execution

Signed under hand by

for and on behalf of the Environment Agency

Signature

Role

# Contractor execution

# Consultant execution

Signed under hand by

for and on behalf of

Kier Integrated Services Ltd



COMMERCIAL DIRECTOR. Role