



Mini Competition

Questions

Mini Competition against an existing Framework Agreement (MC) on behalf of UK Research and Innovation

Subject UK SBS Research and analysis: Exploring how Higher Education Institutions manage their allocation of formula-based funding within the dual support system – Lot 3

Sourcing reference number CR18118

COMMERCIAL QUESTIONNAIRE

SEL1.1	Please state the full legal name and address and contact details of the organisation tendering (or organisation acting as lead contact where a consortium bid is being submitted).																						
Bidder guidance	<p>The information should be based on the details of the organisation bidding (or organisation acting as lead contact where a consortium bid is being submitted).</p> <p>This is the legal entity with whom we will Contract if successful.</p>																						
Scoring criteria	For information only																						
Bidder response	<p>Table</p> <table border="1" data-bbox="411 772 1390 1370"> <tr> <td data-bbox="419 772 906 824">Bidders full legal name</td> <td data-bbox="914 772 1382 824"></td> </tr> <tr> <td data-bbox="419 835 906 887">Address line 1</td> <td data-bbox="914 835 1382 887"></td> </tr> <tr> <td data-bbox="419 898 906 949">Address line 2</td> <td data-bbox="914 898 1382 949"></td> </tr> <tr> <td data-bbox="419 960 906 1012">Address line 3</td> <td data-bbox="914 960 1382 1012"></td> </tr> <tr> <td data-bbox="419 1023 906 1075">Address line 4</td> <td data-bbox="914 1023 1382 1075"></td> </tr> <tr> <td data-bbox="419 1086 906 1137">Town / City</td> <td data-bbox="914 1086 1382 1137"></td> </tr> <tr> <td data-bbox="419 1149 906 1200">Country</td> <td data-bbox="914 1149 1382 1200"></td> </tr> <tr> <td data-bbox="419 1211 906 1263">Post code (or equivalent)</td> <td data-bbox="914 1211 1382 1263"></td> </tr> <tr> <td data-bbox="419 1274 906 1326">Bidder contact</td> <td data-bbox="914 1274 1382 1326"></td> </tr> <tr> <td data-bbox="419 1337 906 1388">Telephone No.</td> <td data-bbox="914 1337 1382 1388"></td> </tr> <tr> <td data-bbox="419 1400 906 1451">Email</td> <td data-bbox="914 1400 1382 1451"></td> </tr> </table>	Bidders full legal name		Address line 1		Address line 2		Address line 3		Address line 4		Town / City		Country		Post code (or equivalent)		Bidder contact		Telephone No.		Email	
Bidders full legal name																							
Address line 1																							
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SEL3.12	<p>Cyber Essentials is mandatory for central government contracts advertised after 1 October 2014 which involve handling personal information and providing certain ICT products and services. It is mandatory for bidders to demonstrate that they meet the technical requirements prescribed by Cyber Essentials for those contracts featuring any of the characteristics set out below in bidders' guidance.</p> <p>Cyber Essentials is for all organisations, of all sizes, and in all sectors. This is not limited to companies in the private sector, but is also applicable to universities, charities, and public sector organisations.</p> <p>Further details are available at:</p> <p>https://www.cyberstreetwise.com/cyberessentials/</p>
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Bidder guidance	<p>Any of the following characteristics will necessitate the mandatory requirement for bidders to have an up to date Cyber Essentials Certificate:</p> <ul style="list-style-type: none"> i) Where personal information of citizens, such as home addresses, bank details, or payment information is handled by a supplier. ii) Where personal information of Government employees, Ministers and Special Advisors such as payroll, travel booking or expenses information is handled by a supplier. iii) Where ICT systems and services are supplied which are designed to store, or process, data at the OFFICIAL level of the Government Protective Marking scheme. <p>Bidders can answer</p> <p>Yes – the Cyber Essential Certificate is currently in place</p> <p>No – the Cyber Essential Certificate is not in place and we have no intention of having it in place for commencement of the contract</p> <p>Intend – the Cyber Essential Certificate is not in place and we intend to have it in place for commencement of the contract.</p> <p>A response of ‘Yes’ or ‘Intend’ will result in a pass and a response of ‘No’ will result in a fail against this question.</p>
Scoring criteria	Mandatory Pass / Fail
Bidder response	Drop down menu – Yes / No/Intend

SEL3.13	<p>General Data Protection Regulations (GDPR)</p> <p>The GDPR is mandatory requirement for all contracts or agreements both in the contracting authority and the private sectors that involves the transfer and processing of personal data which came into force on the 25th May 2018.</p> <p>It is mandatory for bidders to demonstrate that they will be able to meet the technical requirements and obligations prescribed by the GDPR All contracts or agreements that are awarded by the Contracting Authority (the data controller) shall contain terms and conditions that oblige the successful bidder and any bidder supply chain (data processor) to comply with the GDPR and indemnify the Contracting Authority (data controller).</p> <p>Further information and guidance relating to the GDPR is available from the Information Commissioners Office (ICO) at:</p> <p>https://ico.org.uk/</p> <div style="text-align: center;">  <p>Annex A.pdf</p> </div>
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Bidder guidance	<p>Bidders can answer</p> <p>Yes – We will be able to demonstrate compliance as is required by the GDPR now</p> <p>No – We will not be compliant prior to any award and we have no intention of being compliant</p> <p>Intend – We are not compliant with the GDPR but we confirm that we will be compliant prior to commencement of the contract.</p> <p>A response of ‘Yes’ or ‘Intend’ will result in a pass and a response of ‘No’ will result in a fail against this question.</p>
Scoring criteria	Mandatory Pass / Fail
Bidder response	Drop down menu – Yes / No / Intend

FOI1.1	<p>FREEDOM OF INFORMATION ACT 2000 (FOI) AND / OR ENVIRONMENTAL INFORMATION REGULATIONS 2004 (EIR)</p> <p>Information provided in the course of the procurement process may be disclosed under Freedom of Information Act 2000 or Environmental Information Regulations 2004 if requested under an FOI request or EIR request.</p> <p>Please note that some of the information provided may be protected under the FOI Act exemptions and EIR Exceptions. More information on applying the exemptions or exceptions can be found under the Information Commissioners Office (ICO) website http://ico.org.uk</p> <p>Please confirm you have been informed that information provided under this Bid may be disclosed under the FOI Act 2000 and Environmental Information Regulations 2004 and agree to it being published irrespective of submitting a successful or unsuccessful Bid.</p>
Bidder guidance	<p>The Bidder shall answer Yes or No</p> <p>Yes – Pass</p> <p>No – Fail</p>
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

FOI1.2	<p>FREEDOM OF INFORMATION ACT 2000 (FOI) AND / OR ENVIRONMENTAL INFORMATION REGULATIONS 2004 (EIR) EXEMPTIONS</p> <p>Please complete this section <u>only</u> if you have agreed for your information to be disclosed under the FOI Act or EIR in Question FOI1.1.</p> <p>If you have not agreed to your information to be disclosed under the FOI Act or EIR in Question FOI1.1 please complete a field 'N/A' (Not applicable)</p> <p>If you have agreed for your information to be disclosed under the FOI Act or EIR in Question FOI1.1 please tell us what exemptions or exceptions may apply to your information and why? If you are not relying on any exemptions or exceptions please complete each field 'N/A' (Not applicable)</p>	
Bidder guidance	<p>The Bidder shall provide details of their proposed exemptions/exception in the table below.</p> <p>The Bidder (irrespective of submitting a successful or unsuccessful Bid) shall note that if UK SBS believes that the suggested Exemptions or Exceptions have not been applied properly as per the Act or Regulation, UK SBS will disclose the requested information unless another exemption or exception can be applied by UK SBS.</p> <p>Be aware that by completing FOI1.1 and answering 'Yes' you have agreed for UK SBS to disclose the provided information under the Freedom of Information Act 2000 or Environmental Information Regulation 2004, therefore you will not be approached for consent.</p>	
Scoring criteria	For information only	
Bidder response	Confidential Information	Justification for exemption/exception under FOI Act
Bidder response	Commercially sensitive information	Justification for exemption/exception under FOI Act

AW1.1	<p>FORM OF BID</p> <p>I declare that to the best of my knowledge the answers submitted in this Mini Competition are correct. I understand that the information will be used in the process to assess my organisation's suitability to be invited to bid for UK SBS's requirement and I am signing on behalf of my organisation. I understand that the Contracting Authority may reject this Mini Competition if there is a failure to answer all relevant questions fully or if I provide false/misleading information.</p> <p>I understand that the Government's transparency agenda requires that sourcing documents, including Mini Competition templates such as this, are published on a designated, publicly searchable web site, and, that the same applies to other sourcing documents issued by UK SBS, including the Request for Quotation, and any contract entered into by UK SBS or its customers with its preferred supplier once the procurement is complete.</p> <p>By submitting a response to this Mini Competition I agree that our participation may be made public.</p> <p>I understand that the answers given in this response will not be published on the web site (but may fall to be disclosed under Freedom of Information Act 2000 or Environmental Information Regulations 2004).</p> <p>By submitting a response to this Mini Competition I agree and accept the justification for the Contracting Authority's evaluation criteria.</p> <p>By submitting a response to this Mini Competition I agreed and accept that UK SBS reserves the right to change without notice the procedure for awarding the Contract, to reject any or all bids for the Contract, to stop the process and not award the Contract (in whole or in part) at any time without any liability on its part.</p> <p>By submitting a response to this Mini Competition I agree and accept that nothing in this process is intended to form any express or implied contractual relationship between the Parties unless and until a Contract is awarded. UK SBS is not liable for any costs resulting from cancellation of this process nor any costs incurred by Bidders taking part in this procurement process.</p> <p>I understand that where sourcing documents issued by UK SBS or contracts with its suppliers fall to be disclosed UK SBS will redact them as it thinks necessary, having regard (inter alia) to the exemptions/exceptions in the FOIA or EIR.</p>
Bidder	The Bidder shall answer Yes or No

guidance	Yes – Pass No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

AW1.3	<p>CERTIFICATE OF BONA FIDE BID</p> <p>The essence of procurement is that the customer shall receive bona fide competitive Bids, from all those Bidding. In recognition of this principle, we certify that this is a bona fide bid, intended to be competitive and that we have not fixed or adjusted the amount of bid by or under or in accordance with any agreement with any other person.</p> <p>We also certify that we have not done and we undertake that we will not do at any time before the hour and date specified for the return of this bid any of the following:</p> <ul style="list-style-type: none"> (a) Communicate to a person other than the person calling for these bids the amount or approximate amount of the proposed bid, except where the disclosure, in confidence, of the approximate amount of the bid was necessary to obtain insurance premium quotations for the preparation of the bid; (b) Enter into any agreement or arrangement with any other person that he shall refrain from bidding or as to the amount of any bid to be submitted; (c) Offer to pay or agree to pay or give any sum of money or valuable consideration directly or indirectly to any person for doing or having done or causing or have caused to be done in relation to any other bid or proposed bid for the said supply / service any act or thing of the sort described above. <p>In this certificate, the word "person" includes any persons and any body or association, corporate or unincorporated, and any "agreement or arrangement" includes any such transaction, formal or informal, and whether legally binding or not.</p> <p>We acknowledge that UK SBS will be entitled to cancel the contract and to recover from us the amount of any loss resulting from such cancellation if we or our representatives (whether with our without our knowledge) shall have practiced collusion in Bidding for this contract or any other contract with UK SBS or shall employ any corrupt or illegal practices either in the obtaining or execution of this contract or any other contract with UK SBS.</p> <p>We agree that UK SBS may disclose the Bidders information/documentation (submitted to UK SBS during this Procurement) more widely within Government for the purpose of ensuring effective cross-Government procurement processes, including value for money and related purposes.</p>
Bidder guidance	The Bidder shall answer Yes or No

	Yes – Pass No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

AW4.1	<p>Please confirm your acceptance of the attached Special Terms in addition and / or replacement of specific Framework Agreement Terms.</p>  <p>CR18118 - Special Terms.pdf</p>
Bidder guidance	<p>The Bidder shall answer Yes, No with justification or No</p> <p>Yes – Pass</p> <p>No with justification – In this situation where the Bidder must demonstrate to the Contracting Authority’s satisfaction there is a legal requirement or statutory regulation where a specific Special Term clause or series of clauses cannot be accepted shall propose alternative drafting to the relevant clause which demonstrates the justification for change and is does not expose the Contracting Authority to risk it deems unreasonable to achieve a Pass.</p> <p>When responding ‘No with justification’ the Bidder must support their response with an attachment detailing where there is a legal requirement or statutory regulation which demonstrates a clause or series of clauses cannot be accepted.</p> <p>Where the Contracting Authority does not accept the justification then the bidder response will be considered as non compliant and after clarification will seek a “Yes” or “No” response from the bidder and evaluate the bid accordingly.</p> <p>No – Fail</p>
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No with justification/ No

AW6.2	Non-Disclosure Agreement
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	<p>In the event of a Bidder successfully providing the most advantageous offer to Contracting Authority against this procurement requirement, the Bidder is expected to agree to the Non-Disclosure Agreement attached prior to the award of any Contract.</p>  <p>CR18118 NDA5 - Final.pdf</p> <p>If the Bidder fails to agree to this the Contracting Authority reserves the right to not award this Contract to the relevant Bidder and either award to the Bidder with the second most advantageous response or abandon the procurement.</p>
Bidder guidance	<p>Bidder guidance - The Bidder is not required to complete the non-disclosure agreement at this stage but will be required to respond to the question in the event of providing the most advantageous offer to UK SBS against a procurement requirement.</p> <p>The Bidder shall answer Yes or No</p> <p>Yes – Pass</p> <p>No – Fail</p>
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

PRICE QUESTIONNAIRE

AW5.1	<p><u>Maximum Budget</u></p> <p>As stated within the tender documents, the maximum budget for this requirement will be £48,000.00 excluding VAT. This should include all costs relating to the projects as well as Travel, Subsistence and Overhead costs.</p> <p>Please confirm that your final price submitted within AW5.2 will fall within this budget.</p>
Bidder guidance	<p>The Bidder shall answer Yes or No</p> <p>Yes – Pass</p> <p>No – Fail</p>
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

<p>AW5.2</p>	<p>Bidders are required to complete the Excel Pricing Schedule attached in the Bidder Response section.</p> <p>All prices shall be exclusive of VAT.</p>  <p>CR18118 AW5.2 Price Schedule.xlsx</p> <p><i>Please note that the Rate Cards (Price Schedules) that were submitted at RFQ are being checked against the values you are submitting at call off, these should not go over the price you submitted at RFQ. If the values goes over the amount within the Rate cards these will be questioned and could jeopardise your bid.</i></p> <p><i>In the RFQ for the framework you have confirmed that your price will not go over the threshold you provided, price shall remain firm and fixed for 4 years / full term of the Contract. Thereafter they shall be subject to change in accordance with the terms of the contract.</i></p> <p><i>Note: The maximum charges for day rates for the various staff levels in your organisation must be unaltered for the duration of the Framework (until 2 January 2020) in line with the terms and conditions of the Contract”</i></p>
<p>Bidder guidance</p>	<p>Bidders shall confirm they have completed the Pricing Schedule.</p> <p>The scoring methodology for this question shall be:</p> <p>The lowest price for a response which meets the pass criteria shall score 100.</p> <p>All other bids shall be scored on a pro rata basis in relation to the lowest price. The score is then subject to a multiplier to reflect the percentage value of the price criterion.</p> <p>Where the scoring criterion is worth 50% then the 0-100 score achieved will be multiplied by 50</p> <p>In the example if a supplier scores 80 from the available 100 points this will equate to 40% by using the following calculation: Score/Total Points multiplied by 50 (80/100 x 50 = 40)</p> <p>The lowest score possible is 0 even if the price submitted is more than 100% greater than the lowest price.</p> <p>The lowest price for a response which meets the pass criteria shall score 100. All other bids shall be scored on a pro rata basis in relation to the lowest price. The lowest score possible is 0.</p> <p>For example, assuming the lowest bid is £100,000.</p>

	Bid Price	Differential to the lowest price which meets the mandatory pass criteria at Award stage	Score
	£100,000	0	100
	£120,000	20%	80
	£140,000	40%	60
	£150,000	50%	50
	£175,000	75%	25
	£200,000	100%	0
	£300,000	200%	0
Scoring criteria	Maximum 20%		
Bidder response	Yes		

QUALITY QUESTIONNAIRE

AW6.1	Please confirm your compliance to the requirements of Section 4 Specification
Bidder guidance	The Bidder shall answer Yes or No Yes – Pass No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

PROJ1.1	<u>Approach/ Methodology</u> Please clearly explain and give reasoning for your proposed methodology and approach to achieving the objectives and delivering the outputs highlighted in the specification.
Bidder guidance	As a minimum your response should include: <ul style="list-style-type: none"> • <i>Methodological Challenges</i> • Give a <u>detailed description</u> of the methods to be used in undertaking the project, indicating the resources that will be utilised, outlining a clear justification as to why your chosen methodology will provide the best outcome; • Set out how your methods meet the project objectives, in particular how they will ensure robustness of the evidence collected and subsequent analysis; • Please include a recommendation for the size and composition of the sample and justification for your approach <p>Dissemination</p> <ul style="list-style-type: none"> • Provide details of how you would propose to work with UK Research and Innovation to ensure the emerging and final findings are aligned with the objectives of the wider Balanced Funding programme. <p>An attachment is allowed for this question</p> <p>This question is limited to a maximum of 8 sides of A4 Any additional content provided beyond this will not be considered or scored during the evaluation process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin setting 2.54cm.</p>
Scoring criteria	Scoring shall be based on 0-100 scoring methodology. Maximum Mark: 20%
Bidder response	Yes, I have attached my answer as a pdf.

PROJ1.2	<p><u>Staff to Deliver</u></p> <p>Please demonstrate your knowledge of the skills and expertise that are essential to the successful delivery of this project. Please provide your methodology as to how you will maintain your ability to deliver these through the lifetime of the project.</p>
Bidder guidance	<p>As a minimum your response should include:</p> <ul style="list-style-type: none"> • Information concerning the staff to be involved in the delivery of this activity including but not limited to their relevant expertise, ringfenced time for the activity, and individual responsibilities within the delivery of the proposed activity. • Any support that would be needed and from whom (inc. UKRI input) in order to undertake and complete this project. <p>An attachment is allowed for this question</p> <p>This question is limited to 4 sides of A4. Any additional content provided beyond this will not be considered or scored during the evaluation process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin setting 2.54cm.</p>
Scoring criteria	<p>Scoring shall be based on 0-100 scoring methodology.</p> <p>Maximum Mark: 20%</p>
Bidder response	<p>Yes, I have attached my answer as a pdf.</p>

PROJ1.3	<p><u>Understanding the Project Environment</u></p> <p>Demonstrate your understanding of the project environment, detailing any knowledge relevant to the project and policy/programme area, including any data sources or research relevant to the project, and what you consider to be key areas for focus, and challenges and opportunities arising from this activity.</p>
Bidder guidance	<p>Bidders are asked to demonstrate:</p> <p>As a minimum your response should include:</p> <ul style="list-style-type: none"> • Interpretation of the project and what is required • How the bidder will ensure the successful deliver of this project within the working environment <p>An attachment is allowed for this question</p> <p>This question is limited to 2 sides of A4. Any additional content provided beyond this will not be considered or scored during the evaluation</p>

	process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin setting 2.54cm.
Scoring criteria	Scoring shall be based on 0-100 scoring methodology. Maximum Mark: 20%
Bidder response	Yes, I have attached my answer as a pdf.

PROJ1.4	<u>Project Plan</u> Please outline your proposed project plan and timescales, ensuring the key deadlines are outlined.
Bidder guidance	Bidders are asked to demonstrate/provide: As a minimum you response should cover; <ul style="list-style-type: none"> • A detailed timetable for carrying out the project based on the proposed approach and method • Highlight key milestones, deliverables and deadlines, including suggested meetings and progress reports. • Individual responsibilities of the team members involved with each activity. An attachment is allowed for this question This question is limited to 2 sides of A4 Any additional content provided beyond this will not be considered or scored during the evaluation process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin setting 2.54cm
Scoring criteria	For Information Only
Bidder response	Yes, I have attached my answer as a pdf.

PROJ1.5	<u>Risk Management</u> Please provide details of all key risks that could affect the delivery of this project
Bidder guidance	Bidders are asked to provide details of all key risks that could affect the delivery of this project. As a minimum your response should include: <ul style="list-style-type: none"> • Any possible risk factors • A Demonstration of your tools and processes to mitigate risk in this project; An attachment is allowed for this question.

	This question is limited to 1 sides of A4 Any additional content provided beyond this will not be considered or scored during the evaluation process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin setting 2.54cm.
Scoring criteria	Scoring shall be based on 0-100 scoring methodology. Maximum Mark: 5 %
Bidder response	Yes, I have attached my answer as a pdf.

PROJ1.5	<p>Interview</p> <p>An interview will make up part of the evaluation process of this tender. This interview will be worth 15% of the final score.</p> <p>Suppliers will be invited to an interview on Tuesday 2nd October 2018 to be held at 1 Victoria Street, London. Suppliers will be provided with further detail regarding the time etc. after the 25th September 2018.</p> <p>We would like to ask you to prepare a 15 minute presentation detailing the modelling you propose to use for this research and how in practice you expect it to work. Following the presentation the bidders are expected to provide verbal responses to the following questions:</p> <ol style="list-style-type: none"> 1. How will you manage data collected from HEIs which will likely be in wide range of formats and of variable quality? 2. How will you identify and build good relationships with the key staff within HEIs relevant to this exercise? 3. How will you ensure the information collected reflects the wide range of uses of formula funding (both QR / resource and capital) within HEIs 4. How will you ensure the funding information collected can be accurately mapped onto activities and outcomes arising from its spend? 5. How will you ensure the data collected through this activity is appropriate for further exploration and comparison with other datasets (for example, Research Council grant data)? <p>There will then be a discussion and question session around your presentation. We expect the entire interview to last around 45 minutes.</p> <p>As part of the interview process we would allow you to bring up to 3 representatives, these should be the key personnel involved in delivering this project.</p> <p>All suppliers will be invited to attend an interview. However at the discretion of the Contracting Authority, if after evaluating your written submission, you are</p>
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	more than 15% behind the lead supplier's score and therefore have no possibility of being the winning bidder we will engage with you so that you may choose not to attend if preferred.
Bidder guidance	Scoring shall be based on 0-100 scoring methodology
Scoring criteria	Maximum Marks – 15%
Bidder response	Yes, I will be available to attend the interview