Invitation to Quote

Questions

Invitation to Quote (ITQ) on behalf of UK Government Investments (UKGI)

Subject UK SBS Executive Search, Selection and Assessment for up to five Non Executive Board Members: UKGI Assets

Sourcing reference number UK SBS PS18073

Section 6 – Evaluation questionnaire

Bidders should note that the evaluation questionnaire is located within the **e-sourcing questionnaire**.

Guidance on completion of the questionnaire is available at http://www.uksbs.co.uk/services/procure/Pages/supplier.aspx

PLEASE NOTE THE QUESTIONS ARE NOT NUMBERED SEQUENCIALLY

COMMERCIAL QUESTIONNAIRE

SEL1.1	organisation tendering (or orga consortium bid is being submit	
Bidder		on the details of the organisation bidding
guidance		ntact where a consortium bid is being
	submitted).	
A 1	This is the legal entity with whom	we will Contract if successful.
Scoring	For information only	
criteria Bidder	Tabla	
	Table	
response	Bidders full legal name	
	Address line 1	
	Address line 2	
	Address line 3	
	Address line 4	
	Town / City	
	Country	
	Post code (or equivalent)	
	Bidder contact	
	Telephone No.	
	Email	
SEL1.2	been made against your organ	iny finding of unlawful discrimination isation by an Employment Tribunal, an or any other court (or in comparable other than the UK)? And/or;
SEL1.2	been made against your organ Employment Appeal Tribunal proceedings in any jurisdiction In the last three years, has you following an investigation k Commission or its predeces	isation by an Employment Tribunal, an or any other court (or in comparable
SEL1.2 Bidder guidance	been made against your organ Employment Appeal Tribunal proceedings in any jurisdiction In the last three years, has you following an investigation to Commission or its predeces jurisdiction other than the U discrimination? The Bidder shall answer Yes or N Yes – Fail No – Pass *If you have answered "yes" p	isation by an Employment Tribunal, an or any other court (or in comparable other than the UK)? And/or; ar organisation had a complaint upheld by the Equality and Human Rights sors (or a comparable body in any JK), on grounds of alleged unlawful lease provide, as an attachment to this re of the investigation and an explanation
Bidder	 been made against your organ Employment Appeal Tribunal proceedings in any jurisdiction In the last three years, has you following an investigation to Commission or its predeces jurisdiction other than the U discrimination? The Bidder shall answer Yes or N Yes – Fail No – Pass *If you have answered "yes" p question, a summary of the natu of the outcome of the investigation If the investigation upheld the comparison 	isation by an Employment Tribunal, an or any other court (or in comparable other than the UK)? And/or; ar organisation had a complaint upheld by the Equality and Human Rights sors (or a comparable body in any JK), on grounds of alleged unlawful lease provide, as an attachment to this re of the investigation and an explanation n to date.
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SEL3.11 Bidder guidance	If you are Commercial organisation with a minimum financial turnover of £36M, please confirm that you are compliant with Section 54 of the Modern Slavery Act 2015. The Bidder shall choose from the following options; A. N/A – our turnover is less than £36M B. Yes – information attached C. No (with justification) – we are not compliant but will be prior to commencement of a contract
	 D. No – we are not and will not be compliant at the time of award of the contract A response of "N/A", 'Yes' or 'No (with justification)" will result in a pass and a response of 'No' will result in a fail against this question.
Scoring Criteria	Mandatory Pass/ Fail
Bidder response	Selection

SEL3.12	Cyber Essentials is mandatory for central government contracts advertised after 1 October 2014 which involve handling personal information and providing certain ICT products and services. It is mandatory for bidders to demonstrate that they meet the technical requirements prescribed by Cyber Essentials for those contracts featuring any of the characteristics set out below in bidders' guidance.
	Cyber Essentials is for all organisations, of all sizes, and in all sectors. This is not limited to companies in the private sector, but is also applicable to universities, charities, and public sector organisations.
	Further details are available at:
	https://www.cyberessentials.ncsc.gov.uk/
Bidder guidance	Any of the following characteristics will necessitate the mandatory requirement for bidders to have an up to date Cyber Essentials Certificate:
	 Where personal information of citizens, such as home addresses, bank details, or payment information is handled by a supplier.
	 Where personal information of Government employees, Ministers and Special Advisors such as payroll, travel booking or expenses information is handled by a supplier.
	 iii) Where ICT systems and services are supplied which are designed to store, or process, data at the OFFICIAL level of the Government Protective Marking scheme.

	Bidders can answer
	Yes – the Cyber Essential Certificate is currently in place
	No – the Cyber Essential Certificate is not in place and we have no intention of having it in place for commencement of the contract
	Intend – the Cyber Essential Certificate is not in place and we intend to have it in place for commencement of the contract.
	A response of ' Yes ' or ' Intend ' will result in a pass and a response of ' No ' will result in a fail against this question. Third party independent certification of compliance, in line with the Cyber Essentials guidance, may be requested by the Contracting Authority as evidence of compliance.
Scoring criteria	Mandatory Pass / Fail
Bidder response	Drop down menu – Yes / No/Intend

SEL3.13	General Data Protection Regulations (GDPR)
	The GDPR is mandatory requirement for all contracts or agreements both in the contracting authority and the private sectors that involves the transfer and processing of personal data and comes into force on the 25th May 2018.
	It is mandatory for bidders to demonstrate that they will be able to meet the technical requirements and obligations prescribed by the GDPR, even if the contract or agreement is concluded prior to it coming into force, so as the contract is future proofed and complies with all aspects with the GDPR, this is mutually beneficial to both parties and saves the Contracting Authority and the bidder the additional burden of amending the contract or agreement once the GDPR comes into force. All contracts or agreements that are awarded by the Contracting Authority (the data controller) shall contain terms and conditions that oblige the successful bidder and any bidder supply chain (data processor) to comply with the GDPR and indemnify the Contracting Authority (data controller).
	Bidders are also required to complete the attached GDPR checklist to evidence the extent of readiness. The Contracting Authority reserves the right to seek evidence of any stated position as required, and to require the successful supplier to increase their preparedness where necessary.
	Further information and guidance relating to the GDPR is available from the Information Commissioners Office (ICO) at:

	https://ico.org.uk/
Bidder guidance	Bidders can answer
	Yes – We will are able to demonstrate compliance as is required by the GDPR now
	No – We will not be compliant prior to any award and we have no intention of being compliant
	Intend – We are not compliant with the GDPR but we confirm that we will be compliant prior to commencement of the contract.
	A response of 'Yes' or 'Intend' will result in a pass and a response of 'No' will result in a fail against this question.
Scoring criteria	Mandatory Pass / Fail
Bidder response	Drop down menu – Yes / No / Intend

FOI1.1	 FREEDOM OF INFORMATION ACT 2000 (FOI) AND / OR ENVIRONMENTAL INFORMATION REGULATIONS 2004 (EIR) Information provided in the course of the procurement process may be disclosed under Freedom of Information Act 2000 or Environmental Information Regulations 2004 if requested under an FOI request or EIR request. Please note that some of the information provided may be protected under the FOI Act exemptions and EIR Exceptions. More information on applying the exemptions or exceptions can be found under the Information Commissioners Office (ICO) website <u>http://ico.org.uk</u>. Please confirm you have been informed that information provided under this Bid may be disclosed under the FOI Act 2000 and Environmental Information Regulations 2004 and agree to it being published irrespective of submitting a successful or unsuccessful Bid.
Bidder	The Bidder shall answer Yes or No
guidance	Yes – Pass
	No – Fail
Scoring	Mandatory Pass / Fail
criteria	
Bidder	Yes / No
response	

FOI1.2	FREEDOM OF INFORMATION ACT 2000 (FOI) AND / OR ENVIRONMENTAL INFORMATION REGULATIONS 2004 (EIR) EXEMPTIONS
	Please complete this section <u>only</u> if you have agreed for your information to be disclosed under the FOI Act or EIR in Question

	FOI Act or EIR in Question FOI1. applicable) If you have agreed for your inform Act or EIR in Question FOI1.1 ple exceptions may apply to your inform relying on any exemptions or exc 'N/A' (Not applicable)	formation and why? If you are not ceptions please complete each field
Bidder guidance	 The Bidder shall provide details of their proposed exemptions/exception in the table below. The Bidder (irrespective of submitting a successful or unsuccessful Bid) shall note that if the Contracting Authority believes that the suggested Exemptions or Exceptions have not been applied properly as per the Act or Regulation, the Contracting Authority will disclose the requested information unless another exemption or exception can be applied by the Contracting Authority. Be aware that by completing FOI1.1 and answering 'Yes' you have agreed for UK SBS to disclose the provided information under the Freedom of Information Act 2000 or Environmental Information Regulation 2004, therefore you will not be approached for consent. 	
Scoring criteria	For information only	
Bidder response	Confidential Information	Justification for exemption/exception under FOI Act
	Commercially sensitive information	Justification for exemption/exception under FOI Act

AW1.1	FORM OF BID I declare that to the best of my knowledge the answers submitted in this ITQ are correct. I understand that the information will be used in the process to assess my organisation's suitability to be invited to bid for the Contracting Authority's requirement and I am signing on behalf of my organisation. I understand that the Contracting Authority
	 may reject this ITQ if there is a failure to answer all relevant questions fully or if I provide false/misleading information. I understand that the Government's transparency agenda requires that sourcing documents, including ITQ templates such as this, are published on a designated, publicly searchable web site, and, that the same applies to other sourcing documents issued by the Contracting Authority, including the ITQ, and any contract entered into by the Contracting Authority or its customers with its preferred supplier once
	the procurement is complete. By submitting a response to this ITQ I agree that our participation may

	be made public.
	I understand that the answers given in this response will not be published on the web site (but may fall to be disclosed under Freedom of Information Act 2000 or Environmental Information Regulations 2004).
	By submitting a response to this ITQ I agree and accept the justification for the Contracting Authority's evaluation criteria.
	By submitting a response to this ITQ I agreed and accept that the Contracting Authority reserves the right to change without notice the procedure for awarding the Contract, to reject any or all bids for the Contract, to stop the process and not award the Contract (in whole or in part) at any time without any liability on its part.
	By submitting a response to this ITQ I agree and accept that nothing in this process is intended to form any express or implied contractual relationship between the Parties unless and until a Contract is awarded. The Contracting Authority is not liable for any costs resulting from cancellation of this process nor any costs incurred by Bidders taking part in this procurement process.
	I understand that where sourcing documents issued by the Contracting Authority or contracts with its suppliers fall to be disclosed the Contracting Authority will redact them as it thinks necessary, having regard (inter alia) to the exemptions/exceptions in the FOIA or EIR.
Bidder guidance	The Bidder shall answer Yes or No Yes – Pass No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

AW1.3	CERTIFICATE OF BONA FIDE BID The essence of procurement is that the customer shall receive bona fide competitive Bids, from all those Bidding. In recognition of this principle, we certify that this is a bona fide bid, intended to be competitive and that we have not fixed or adjusted the amount of bid by or under or in accordance with any agreement with any other person.
	 We also certify that we have not done and we undertake that we will not do at any time before the hour and date specified for the return of this bid any of the following: (a) Communicate to a person other than the person calling for these bids the amount or approximate amount of the proposed bid, except where the disclosure, in confidence, of the approximate amount of the bid was necessary to obtain insurance premium quotations for the preparation of the bid;

	(b) Enter into any agreement or arrangement with any other person that he shall refrain from bidding or as to the amount of any bid to be submitted;	
	(c) Offer to pay or agree to pay or give any sum of money or valuable consideration directly or indirectly to any person for doing or having done or causing or have caused to be done in relation to any other bid or proposed bid for the said supply / service any act or thing of the sort described above.	
	In this certificate, the word "person" includes any persons and any body or association, corporate or unincorporated, and any "agreement or arrangement" includes any such transaction, formal or informal, and whether legally binding or not.	
	We acknowledge that the Contracting Authority will be entitled to cancel the contract and to recover from us the amount of any loss resulting from such cancellation if we or our representatives (whether with our without our knowledge) shall have practiced collusion in Bidding for this contract or any other contract with the Contracting Authority or shall employ any corrupt or illegal practices either in the obtaining or execution of this contract or any other contract with the Contracting Authority.	
	We agree that the Contracting Authority may disclose the Bidders information/documentation (submitted to the Contracting Authority during this Procurement) more widely within Government for the purpose of ensuring effective cross-Government procurement processes, including value for money and related purposes.	
Bidder	The Bidder shall answer Yes or No	
guidance	Yes – Pass	
Coordination of	No – Fail	
Scoring criteria	Mandatory Pass / Fail	
Bidder	Yes / No	
response		

AW3.1	In the event of a Bidder successfully providing the most advantageous offer to the Contracting Authority against a procurement requirement, the Bidder is expected to provide an answer to the attached questions as a validation check prior to the award of any Contract. If the Bidder fails to meet the Contracting Authority's expectations we reserve the right to not award the Contract to the relevant Bidder and either award to the Bidder with the second most advantageous response or run a new procurement.
Bidder guidance	The Bidder is not required to complete the validation check at this stage but will be required to respond to the questions in the event of providing the most advantageous offer to the Contracting Authority's against a procurement requirement. Yes – Pass No – Fail
Scoring	Mandatory Pass / Fail

criteria	
Bidder	Yes I have understood that I need to complete the validation check in the
response	event of providing the most advantageous offer to the Contracting Authority
	against a procurement requirement.

AW4.1	Please confirm your acceptance of the attached Contract Terms.
Bidder guidance	The Bidder shall answer Yes , No with justification or No Yes – Pass No with justification – Pass. See question AW4.2 for details of what amounts to a valid justification. No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Drop down menu - Yes, No with justification, No

AW4.2	Where a Bidder has answered question AW4.1 with 'no with justification' they must detail the justification and the proposed change to the clause.
Bidder guidance	A justification for not accepting a specific clause or series of clauses from the attached Contract Terms is that the Bidder would be in breach of legal requirements or statutory regulations by complying with that clause or series of clauses (i.e. for the avoidance of doubt, being unable to comply with a clause or series of clauses because of an internal policy reason will not be considered to be a justification for a legal requirement or statutory regulation).
	 Where the Bidder has answered question AW4.1 as "no with justification", the Bidder shall provide a Contract mark-up utilising track changes detailing the relevant clause, the proposed changes to the clause and the justification for the changes. In this case, the Bidder shall score a pass if (but only if) the Contracting Authority considers both the following requirements are satisfied: the reasons stated as justifying the Bidder's statement that it cannot accept the Contract Terms due to legal requirements or statutory regulations are valid; and the changes submitted do not create significant risk for the Contracting Authority and are reasonably necessary and proportionate to ensure the Bidder complies with the legal requirements and statutory regulations.
	Where a Bidder has answered Question AW4.1 with 'Yes' or 'No' it must answer 'not applicable' to achieve a Pass to question AW4.2 (noting that an answer of 'No' to question AW4.1 has already resulted in a fail).
	In the event of a Bidder answering Yes or No to Question AW4.1 and then providing a proposed mark up, rejection of a clause or a justification for a change then the response will be a Fail.

Scoring criteria	Mandatory Pass / Fail
Bidder response	Drop down menu 'N/A' 'I have answered AW4.1 'No with justification' and attach modifications / requested / justification'.

PRICE QUESTIONNAIRE

AW5.2	in the Bidder All prices sha All costs app	equired to complete the Excel Pricing Response section. III be exclusive of VAT. earing elsewhere in the Bid but not me dule shall be presumed waived.		
Bidder	Bidders shall c	onfirm they have completed the Pricing S	Schedule.	
guidance	The scoring methodology for this question shall be: The lowest price for a response which meets the pass criteria shall score 100.			
		shall be scored on a pro rata basis in r ore is then subject to a multiplier to re ce criterion.		
	Where the sco be multiplied b	ring criterion is worth 50% then the 0-10 y 50	0 score achieved will	
	equate to 40	e if a supplier scores 80 from the availab % by using the following calculation: 0 (80/100 x 50 = 40)	•	
		ore possible is 0 even if the price sub han the lowest price.	omitted is more than	
	The lowest price for a response which meets the pass criteria shall score 100. All other bids shall be scored on a pro rata basis in relation to the lowest price. The lowest score possible is 0.			
	For example, a	For example, assuming the lowest bid is £100,000.		
	Bid Price	Differential to the lowest price which meets the mandatory pass criteria	Score	
	£100,000	0	100	
	£120,000	20%	80	
	£140,000	40%	60	

	£150,000	50%	50	
	£175,000	75%	25	
	£200,000	100%	0	
	£300,000	200%	0	
Scoring	Maximum Marks	Maximum Marks 20%		
criteria				
Bidder	Yes			
response				

AW5.5	The Contracting Authority is committed to delivering payments to suppliers within the timescales stated within our Contract terms and intend to embrace e-invoicing. There are a number of options for suppliers to choose from outlined in the attached FAQ. Please confirm your acceptance of e-payment. AW5.5 ISupplier fact sheet.pdf ADI Consolidated Data Upload ISupplier
Bidder guidance	The Bidder shall answer Yes or No Yes – we will utilise an e-invoicing option - Pass No – we will not utilise an e-invoicing option - Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

AW5.6	Please confirm if you are successfully awarded this contract your implementation team will work with the Contracting Authority to ensure that e-invoicing is established within 28 days of Contract award by the Contracting Authority.
Bidder guidance	The Bidder shall answer Yes or No
	Yes – Pass
	No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder	Yes / No
response	

QUALITY QUESTIONNAIRE

AW6.1	Please confirm your compliance to the requirements of Section 4 Specification
Bidder guidance	The Bidder shall answer Yes or No Yes – Pass No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes / No

PROJ1.1	Delivering a Quality service
	The Bidder is to provide details of how they will ensure continuous delivery of a quality service throughout delivering the contracted services. This should include as a minimum:
	 high level Project Plan including timelines, potential delivery risks and suitable mitigation actions;
	 An organogram, outlining the core roles for the delivery team and how they work together to ensure the successful delivery of the requirement; and
	 Proposed approach to working with the customer on sign-off of key stages / decisions and incorporating Contracting Authority feedback.
Bidder	Please attach you answer as a pdf document limited to 2 sides of A4, font
guidance	size Ariel 11.
Scoring criteria	Maximum Marks 30%
Bidder	Yes I have attached a response to this question.
response	

PROJ1.2	Executive Search and Methodology
	The Bidder is to provide details on the proposed methodology and processes for securing candidates under this contract. As per the scope, detailed in the ITQ, this must include but is not limited to:
	 Scope of research of the breadth of markets; How suitable candidates will be identified; Awareness of challenges and how you will ensure that the recruitment advertisement specifically targets the appropriate level of professionals, with supporting contingency plans if there is a low response level; Approach, through appropriate channels, to bring these vacancies to the market; Proposed approach to long-listing and short-listing; and Clarify what in-house checks are completed on candidates and any security clearances which are performed.
Bidder	Please attach you answer as a pdf document limited to 3 sides of A4, font

guidance	size Ariel 11.
Scoring criteria	Maximum Marks 30%
Bidder	Yes I have attached a response to this question.
response	

	Diversity
PROJ1.3	The Bidder is to provide details on how their organisation has acted to promote diversity and assure fair assessment practices, reinforcing the requirements of equality legislation and advice provided in good practice guides, including its stated commitment to fair assessment practice.
	As part of this please describe how equality, diversity and inclusion will be reflected in the delivery of the services and what actions will be undertaken to maximise the exposure of these opportunities to increase the diversity of the applicant pool.
Bidder guidance	Please attach you answer as a pdf document limited to 2 sides of A4 font size Ariel 11.
Scoring criteria	Maximum Marks 20%
Bidder response	Yes I have attached a response to this question.

[
	Delivery Team
PROJ1.4	Please provide full and complete CVs for the core delivery team you intend to work on delivery of this requirement. You should include the employee's position and relevant skills and expertise to this project. Please note, CVs will not be evaluated
Bidder guidance	Please attach you answer as a pdf document. Any CVs provided must not exceed more than 2 sides of A4 for each core member of the delivery team only
Scoring criteria	For Information only
Bidder response	Yes I have attached a response to this question.