

Annex 4 – Litigations and investigations

1. Litigation History:

Name of Organisation	
Registered address of Company	
Telephone Number	
Website of the organisation	

a) Has the company been involved in any legal disputes or lawsuits within the last five years?

☐ yes

☐ no

If yes, please provide details, including the parties involved, the nature of the dispute, the dates, and the jurisdiction(s) where the cases were filed.

Dates	Jurisdictions	Details

b) What was the ultimate outcome of each dispute or lawsuit?

Please provide details on any judgments, settlements, or other resolutions, including any monetary damages or other penalties.

2. Regulatory Investigations:

a. Has the company been investigated by a regulatory agency or governmental body within the last five years?

☐ Yes

☐ No

If yes, please provide details on the nature and scope of the investigation, including the agency involved, the dates, and the jurisdiction(s) where the investigation took place.

b. What was the outcome of the investigation?

Please provide details on any findings, penalties, fines, or other consequences.

3. Investigations:

a. Has the company been investigated by a government agency or other authority within the last five years?

☐ Yes

☐ No

If yes, please provide details on the nature and scope of the investigation, including the agency or authority involved, the dates, and the jurisdiction(s) where the investigation took place.

b. What was the outcome of the investigation?

☐ Yes

☐ No

Please provide details on any findings, penalties, fines, or other consequences.

4. Disclosure:

a. Within the past five years, has the company been involved in any contractual disputes with buyers or customers that are similar in nature or scope to the ITB?

☐ Yes

☐ No

If yes, please provide details on the nature of the dispute, the parties involved, the outcome, and any lessons learned, or corrective actions taken to prevent similar disputes from arising in the future.

b. Are there any ongoing or pending legal disputes or investigations involving the company that you have not yet disclosed to us?

☐ Yes

☐ No

If yes, please provide details on the nature and status of each matter below:

c. Will you agree to notify us in a timely manner of any new or ongoing legal disputes or investigations that arise during our partnership and provide us with regular updates on the status of these matters?

☐ Yes

☐ No