



## Education & Skills Funding Agency

### **Signed contract document**

This contract has been signed by the authorised signatory for the Education and Skills Funding Agency, acting on behalf of the Secretary of State, and has been digitally signed by all parties.

Document reference: 10002655\_SBPD-1083\_v1

Signed by [REDACTED] on 03 January 2024 as the provider's authorised signatory

User ID: [REDACTED]

GENII ENGINEERING & TECHNOLOGY TRAINING LIMITED  
Blackwood Road  
Lillyhall Industrial Estate  
Workington  
Cumbria  
CA14 4JJ

Company No: 03804696

December 2023

**Contract for Services  
Funding for Skills Bootcamps DPS Second Competitions – ESFA-24993 (“the Contract”).**

Please find attached your Contract which details the requirements for Skills Bootcamps DPS Second Competitions provision.

The terms and conditions set out in the attached Contract for Services will apply to all funding received from the Secretary of State for Education for this provision.

Your total Funding for Skills Bootcamps DPS Second Competitions can be found immediately below in the Summary of Funding.

A copy of your completed Quality Tender Response is attached at Schedule 13: Contract Tender. This document forms part of the terms and conditions of the Contract.

If you have any questions about your Contract, please contact your Contract Manager.

## SUMMARY OF FUNDING

**Organisation Name:** GENII ENGINEERING & TECHNOLOGY TRAINING LIMITED

**UKPRN:** 10002655

**Master Contract Number:** ESFA-24993

**Start Date:** 01/02/2024

**End Date:** 31/01/2026

	Allocation			
	Contract Ref	Cost per Learner (if fully-funded)	Number of Learners	Total Funding
<b>Skills Bootcamps</b>				£4,427,990.43
of which Advanced Manufacturing	SBD-1194-346			£305,015.08
of which Scaffolding	SBD-1194-348			£152,818.41
of which Highways Operative	SBD-1194-349			£189,510.23
of which New Entrant - Construction Operative	SBD-1194-370			£417,867.23
of which Construction Site Supervisor	SBD-1194-355			£319,723.97
of which Telehandler Lifting Operations	SBD-1194-358			£332,640.00
of which Step into construction	SBD-1194-371			£108,790.00
of which New Entrant - Warehouse Operative	SBD-1194-373			£859,106.48
of which Utility Erector	SBD-1194-386			£375,760.00
of which Level 2 Diploma in Maintenance Operations (Constructions)	SBD-1194-387			£1,366,759.03
<b>Total Funding for this contract:</b>				<b>£4,427,990.43</b>



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Skills Bootcamps Call Off Agreement:  
DPS Second Competitions**



Department  
for Education

Agreement Type	Contract for Services
Funding Period	1 <sup>st</sup> September 2023 to 31 <sup>st</sup> August 2024
Between	The Secretary of State for Education
And	GENII ENGINEERING & TECHNOLOGY TRAINING LIMITED
Funding for	Skills Bootcamps DPS Second Competitions
Master Contract Number	ESFA-24993

**ACCEPTANCE BY THE CONTRACTOR**

BY ACCEPTING THIS CONTRACT VIA THE MANAGE YOUR EDUCATION & SKILLS FUNDING SERVICE THE PERSON TAKING THIS ACTION ON BEHALF OF THE CONTRACTOR REPRESENTS AND WARRANTS THAT THE CONTRACTOR HAS READ AND UNDERSTOOD THIS CONTRACT, THE CONTRACTOR AGREES TO BE BOUND BY THIS CONTRACT AND THAT HE/SHE IS DULY AUTHORISED TO ACCEPT THIS CONTRACT AND LEGALLY BIND THE CONTRACTOR

**SIGNED FOR AND ON BEHALF OF THE SECRETARY OF STATE FOR EDUCATION**

By [REDACTED], Deputy Director & Head of Commercial - Skills & Strategy Groups  
Commercial Delivery Directorate: Operations Group  
[REDACTED]

**This Contract is made on the date the Contract is digitally signed by the Contractor on the Manage Your Education & Skills Funding Service between:**

GENII ENGINEERING & TECHNOLOGY  
TRAINING LIMITED  
Blackwood Road  
Lillyhall Industrial Estate  
Workington  
Cumbria  
CA14 4JJ

AND

THE SECRETARY OF STATE FOR  
EDUCATION  
DEPARTMENT FOR EDUCATION  
20 GREAT SMITH STREET  
LONDON  
SW1P 3BT

Company No: 03804696

Hereinafter called  
the "Contractor"

Hereinafter called  
the "DFE"

each a "**Party**" and together the "**Parties**".

**It is agreed that:**

1. this contract, together with the attached schedules and annexes, collectively form the "Contract"; and
2. if there is a conflict between the provisions of the clauses of the Contract and the provisions of the schedules, contract clause 1.4 in the contract below shall apply.

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**Call off Contract Terms for Skills Bootcamp Services Dynamic Purchasing Agreement**

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## TABLE OF CONTENTS

1. DEFINITIONS AND INTERPRETATION	1
2. NOT USED	2
3. DUE DILIGENCE	2
4. REPRESENTATIONS AND WARRANTIES	2
5. CONTRACT PERIOD	3
6. IMPLEMENTATION	4
7. SERVICES	4
8. SERVICES	5
9. QUALITY STANDARDS	6
10. DISRUPTION	7
11. FINANCIAL DISTRESS	7
12. RECORDS, AUDIT ACCESS AND OPEN BOOK DATA	7
13. CHANGE	8
14. ILR DATA, CONTRACT CHARGES AND PAYMENT	9
15. PROMOTING TAX COMPLIANCE	12
16. SUPPLIER PERSONNEL	13
17. STAFF TRANSFER	14
18. SUPPLY CHAIN RIGHTS AND PROTECTION	14
19. NOT USED	17
20. NOT USED	17
21. NOT USED	17
22. INTELLECTUAL PROPERTY RIGHTS	17
23. SECURITY AND PROTECTION OF INFORMATION	21
24. PUBLICITY AND BRANDING	26
25. LIABILITY	27
26. INSURANCE	28
27. CUSTOMER REMEDIES FOR DEFAULT	29
28. OFSTED MONITORING VISITS	30
29. FORCE MAJEURE	32
30. CUSTOMER TERMINATION RIGHTS	33
31. SUPPLIER TERMINATION RIGHTS	34
32. TERMINATION BY EITHER PARTY	35
33. PARTIAL TERMINATION, SUSPENSION AND PARTIAL SUSPENSION	35
34. CONSEQUENCES OF EXPIRY OR TERMINATION	35
35. COMPLIANCE	37
36. ASSIGNMENT AND NOVATION	38
37. WAIVER AND CUMULATIVE REMEDIES	38
38. RELATIONSHIP OF THE PARTIES	39
39. PREVENTION OF FRAUD AND BRIBERY	39
40. SEVERANCE	40
41. MODERN SLAVERY	40
42. FURTHER ASSURANCES	41
43. ENTIRE AGREEMENT	41
44. THIRD PARTY RIGHTS	42
45. NOTICES	42
46. DISPUTE RESOLUTION	43
47. GOVERNING LAW AND JURISDICTION	43
CONTRACT SCHEDULE 1: DEFINITIONS	44
CONTRACT SCHEDULE 2: SERVICES, PERFORMANCE MANAGEMENT AND CONTRACT MANAGEMENT	62
CONTRACT SCHEDULE 3: CONTRACT CHARGES, PAYMENT AND INVOICING	82



CONTRACT SCHEDULE 4: SECURITY	98
CONTRACT SCHEDULE 5: STAFF TRANSFER	106
CONTRACT SCHEDULE 6: DISPUTE RESOLUTION PROCEDURE	133
CONTRACT SCHEDULE 7: PROCESSING PERSONAL DATA AND DATA SUBJECTS	136
CONTRACT SCHEDULE 8: JOINT CONTROLLER AGREEMENT	138
CONTRACT SCHEDULE 9: NOT USED	140
CONTRACT SCHEDULE 10: EXIT MANAGEMENT	141
CONTRACT SCHEDULE 11: VARIATION FORM	151
CONTRACT SCHEDULE 12: ALTERNATIVE AND/OR ADDITIONAL CLAUSES	152
CONTRACT SCHEDULE 13: CONTRACT TENDER	157
CONTRACT SCHEDULE 14: SUB-CONTRACTING	158
CONTRACT SCHEDULE 15: FINANCIAL DISTRESS	161

**A. BACKGROUND**

1. The Supplier is a participant on the Dynamic Purchasing System for Skills Bootcamp Services System and has entered into the Dynamic Purchasing Agreement, "the DPA".
2. The Customer has, through the DPA, selected the Supplier to provide services required as detailed in the Contract Order Form.
3. Both the Customer and the Supplier have agreed that these terms and conditions, together with the Contract Order Form, will govern their relationship.

**B. PRELIMINARIES**

**1. DEFINITIONS AND INTERPRETATION**

- 1.1. In this Contract, unless the context otherwise requires, capitalised expressions shall have the meanings set out in Contract Schedule 1 (Definitions) or the relevant Contract Schedule in which that capitalised expression appears.
- 1.2. If a capitalised expression does not have an interpretation in Contract Schedule 1 (Definitions) or relevant Contract Schedule, it shall have the meaning given to it in the DPA. If no meaning is given to it in the DPA, it shall, in the first instance, be interpreted in accordance with the common interpretation within the relevant market sector/industry where appropriate. Otherwise, it shall be interpreted in accordance with the dictionary meaning.
- 1.3. In this Contract, unless the context otherwise requires:
  - 1.3.1 the singular includes the plural and vice versa;
  - 1.3.2 reference to a gender includes the other gender and the neuter;
  - 1.3.3 references to a person include an individual, company, body corporate, corporation, unincorporated association, firm, partnership or other legal entity or Crown Body;
  - 1.3.4 a reference to any Law includes a reference to that Law as amended, extended, consolidated or re-enacted from time to time;
  - 1.3.5 the words "**including**", "**other**", "**in particular**", "**for example**" and similar words shall not limit the generality of the preceding words and shall be construed as if they were immediately followed by the words "**without limitation**";
  - 1.3.6 references to "**writing**" include typing, printing, lithography, photography, display on a screen, electronic and facsimile transmission and other modes of representing or reproducing words in a visible form, and expressions referring to writing shall be construed accordingly;
  - 1.3.7 references to "**representations**" shall be construed as references to present facts, to "**warranties**" as references to present and future facts and to "**undertakings**" as references to obligations under this Contract;
  - 1.3.8 references to "**Clauses**" and "**Contract Schedules**" are, unless otherwise provided, references to the clauses and schedules of this Contract and references in any Contract Schedule to parts, paragraphs, annexes and tables are, unless otherwise provided, references to the parts, paragraphs, annexes and tables of the Contract Schedule in which these references appear; and
  - 1.3.9 the headings in this Contract are for ease of reference only and shall not affect the interpretation or construction of this Contract.
- 1.4. In the event of and only to the extent of any conflict between the Contract Order Form, the Contract Terms and the provisions of the DPA, the conflict shall be resolved in accordance with the following order of precedence:
  - 1.4.1 The DPA;

1.4.2 the Contract Order Form; and

1.4.3 the Contract Terms.

1.5. Any permitted changes by the Customer to the Template Contract Terms and the Template Contract Order Form under Clause 5 (Call for Competition Procedure) of the DPA and DPA Schedule 5 (Call for Competition Procedure) prior to them becoming the Contract Terms and the Contract Order Form which comprise this Contract shall prevail over the DPA.

## **2. NOT USED**

## **3. DUE DILIGENCE**

3.1. The Supplier acknowledges that:

3.1.1 the Customer has delivered or made available to the Supplier all of the information and documents that the Supplier considers necessary or relevant for the performance of its obligations under this Contract;

3.1.2 it has made its own enquiries to satisfy itself as to the accuracy and adequacy of the Due Diligence Information;

3.1.3 it has raised all relevant due diligence questions with the Customer before the Contract Commencement Date;

3.1.4 it has undertaken all necessary due diligence and has entered into this Contract in reliance on its own due diligence alone; and

3.1.5 it shall not be excused from the performance of any of its obligations under this Contract on the grounds of, nor shall the Supplier be entitled to recover any additional costs or Contract Charges, arising as a result of any:

- (a) misinterpretation of the requirements of the Customer in the Contract Order Form or elsewhere in this Contract;
- (b) failure by the Supplier to satisfy itself as to the accuracy and/or adequacy of the Due Diligence Information; and/or
- (c) failure by the Supplier to undertake its own due diligence.

## **4. REPRESENTATIONS AND WARRANTIES**

4.1. Each Party represents and warrants that:

4.1.1 it has full capacity and authority to enter into and to perform this Contract;

4.1.2 this Contract is executed by its duly authorised representative;

4.1.3 there are no actions, suits or proceedings or regulatory investigations before any court or administrative body or arbitration tribunal pending or, to its knowledge, threatened against it (or, in the case of the Supplier, any of its Affiliates) that might affect its ability to perform its obligations under this Contract; and

4.1.4 its obligations under this Contract constitute its legal, valid and binding obligations, enforceable in accordance with their respective terms subject to applicable (as the case may be for each Party) bankruptcy, reorganisation, insolvency, moratorium or similar Laws affecting creditors' rights generally and subject, as to enforceability, to equitable principles of general application (regardless of whether enforcement is sought in a proceeding in equity or Law).

4.2. The Supplier represents and warrants that:

4.2.1 it is validly incorporated, organised and subsisting in accordance with the Laws of its place of incorporation;

- 4.2.2 it has all necessary consents (including, where its procedures so require, the consent of its Parent Company) and regulatory approvals to enter into this Contract;
- 4.2.3 its execution, delivery and performance of its obligations under this Contract does not and will not constitute a breach of any Law or obligation applicable to it and does not and will not cause or result in a Default under any agreement by which it is bound;
- 4.2.4 as at the Contract Commencement Date, all written statements and representations in any written submissions made by the Supplier as part of the procurement process, and any other documents submitted remain true and accurate except to the extent that such statements and representations have been superseded or varied by this Contract;
- 4.2.5 if the Contract Charges payable under this Contract exceed or are likely to exceed five (5) million pounds, as at the Contract Commencement Date it has notified the Customer in writing of any Occasions of Tax Non-Compliance or any litigation that it is involved in connection with any Occasions of Tax Non Compliance;
- 4.2.6 it has and shall continue to have all necessary rights in and to the Third Party IPR, the Supplier Background IPRs and any other materials made available by the Supplier (and/or any Sub-Contractor) to the Customer which are necessary for the performance of the Supplier's obligations under this Contract including the receipt of the Services by the Customer;
- 4.2.7 it shall take all steps, in accordance with Good Industry Practice, to prevent the introduction, creation or propagation of any disruptive elements (including any virus, worms and/or trojans, spyware or other malware) into systems, data, software or the Customer's Confidential Information (held in electronic form) owned by or under the control of, or used by, the Customer;
- 4.2.8 it is not subject to any contractual obligation, compliance with which is likely to have a material adverse effect on its ability to perform its obligations under this Contract;
- 4.2.9 it is not affected by an Insolvency Event and no proceedings or other steps have been taken and not discharged (nor, to the best of its knowledge, are threatened) for the winding up of the Supplier or for its dissolution or for the appointment of a receiver, administrative receiver, liquidator, manager, administrator or similar officer in relation to any of the Supplier's assets or revenue; and
- 4.2.10 for the Contract Period and for a period of twelve (12) Months after the termination or expiry of this Contract, the Supplier shall not employ or offer employment to any staff of the Customer which have been associated with the provision of the Services without Approval or the prior written consent of the Customer which shall not be unreasonably withheld.
- 4.3. Each of the representations and warranties set out in Clauses 4.1 and 4.2 shall be construed as a separate representation and warranty and shall not be limited or restricted by reference to, or inference from, the terms of any other representation, warranty or any undertaking in this Contract.
- 4.4. If at any time a Party becomes aware that a representation or warranty given by it under Clauses 4.1 and 4.2 has been breached, is untrue or is misleading, it shall immediately notify the other Party of the relevant occurrence in sufficient detail to enable the other Party to make an accurate assessment of the situation.
- 4.5. For the avoidance of doubt, the fact that any provision within this Contract is expressed as a warranty shall not preclude any right of termination the Customer may have in respect of breach of that provision by the Supplier which constitutes a material Default.

## **C. DURATION OF CONTRACT**

### **5. CONTRACT PERIOD**

- 5.1. This Contract shall take effect on the Contract Commencement Date and will expire on the Contract Expiry Date unless terminated earlier in accordance with the provisions of this Contract.

- 5.2. The term of this Contract shall be the Contract Period.
- 5.3. The Customer may extend the Contract Period on one or more occasions for a minimum of 6 months per extension subject to the maximum aggregate extension that is permissible being 24 months.
- 5.4. The contract contains extension provisions which enable the Authority to exercise discretion and extend for up to 24 months on 4 occasions up to a maximum total term (including the initial term) of 6 years to align with the DPS lifespan.

#### **D. CONTRACT PERFORMANCE**

##### **6. IMPLEMENTATION**

- 6.1. The Supplier shall provide to the Customer the Services as identified in Schedule 2 Part 1 (Services) to this Contract and in the Contract Order Form.
- 6.2. When providing the Services, as required by Clause 6.1, the Supplier shall comply with the Performance Measures detailed in Schedule 2 Part 2 (Performance Measures).

##### **7. SERVICES**

- 7.1. Provision of the Services
- 7.2. The Supplier acknowledges and agrees that the Customer relies on the skill and judgment of the Supplier in the provision of the Services and the performance of its obligations under this Contract.
- 7.3. The Supplier shall ensure that the Services:
- 7.3.1 comply in all respects with the description of the Services in Contract Schedule 2 Part 1 (Services) or elsewhere in this Contract; and
  - 7.3.2 are supplied in accordance with the provisions of this Contract.
- 7.4. The Supplier shall perform its obligations under this Contract in accordance with:
- 7.4.1 All applicable Law;
  - 7.4.2 Good Industry Practice;
  - 7.4.3 the Quality Standards;
  - 7.4.4 the Skills Bootcamp Guidance; and
  - 7.4.5 the Supplier's own established procedures and practices to the extent the same do not conflict with the requirements of Clauses 7.4.1 to 7.4.4.
- 7.5. The Supplier shall:
- 7.5.1 at all times allocate sufficient resources with the appropriate technical expertise to deliver the Services in accordance with this Contract;
  - 7.5.2 obtain, and maintain throughout the Contract Period, all the consents, approvals, licences and permissions (statutory, regulatory contractual or otherwise) it may require which are necessary for the provision of the Services;
  - 7.5.3 ensure that any Services recommended or otherwise specified by the Supplier for use by the Customer in conjunction with the Services shall meet the requirements of the Customer;
  - 7.5.4 ensure that the Supplier Assets will be free of all encumbrances (except as agreed in writing with the Customer);
  - 7.5.5 minimise any disruption to the Sites and/or the Customer's operations when providing the Services;
  - 7.5.6 ensure that any Documentation and training provided by the Supplier to the Customer are comprehensive, accurate and prepared in accordance with Good Industry Practice;

- 7.5.7 co-operate with any other Supplier's and provide reasonable information (including any Documentation), advice and assistance in connection with the Services to any other supplier and, on the Contract Expiry Date for any reason, to enable the timely transition of the supply of the Services (or any of them) to the Customer and/or to any Replacement Supplier;
- 7.5.8 assign to the Customer, or if it is unable to do so, shall (to the extent it is legally able to do so) hold on trust for the sole benefit of the Customer, all warranties and indemnities provided by third parties or any Sub-Contractor in respect of Services. Where any such warranties are held on trust, the Supplier shall enforce such warranties in accordance with any reasonable directions that the Customer may notify from time to time to the Supplier;
- 7.5.9 provide the Customer with such assistance as the Customer may reasonably require during the Contract Period in respect of the supply of the Services;
- 7.5.10 deliver the Services in a proportionate and efficient manner;
- 7.5.11 gather, collate and provide such information and co-operation as the Customer may reasonably request for the purposes of ascertaining the Supplier's compliance with its obligations under this Contract; and
- 7.5.12 provide the Customer with access to all information and data relating to the Services (including to Learner files) for the purposes for evaluation as detailed in the Service Requirements and co-operate fully and promptly with the requests made by the Customer including those relating to the retention of information and data (including the Learner files) and evaluation.
- 7.6. An obligation on the Supplier to do, or to refrain from doing, any act or thing shall include an obligation upon the Supplier to procure that all Sub-Contractors and Supplier Personnel also do, or refrain from doing, such act or thing.

***Drafting Note: clause 7.7 will be marked "Not Used" where a Parent Company Guarantee is not required.***

- 7.7. On or before the date of this Contract the Supplier shall procure the execution of a Guarantor by the Guarantor in favour of the Customer in the form annexed at Schedule 13 to the DPA.

## **8. SERVICES**

### **General application**

- 8.1. The Services to be delivered have been included in Schedule 2 Part 1 (Services).

### **Time of Delivery of the Services**

- 8.2. The Supplier shall provide the Services on the date(s) specified in the Contract Order Form (or elsewhere in this Contract).

### **Location and Manner of Delivery of the Services**

- 8.3. Except where otherwise provided in this Contract, the Supplier shall provide the Services to the Customer through the Supplier Personnel at the Sites.
- 8.4. The Customer may inspect and examine the manner in which the Supplier provides the Services at the Sites and if the Sites are not the Customer Premises, the Customer may carry out such inspection and examination on reasonable notice during normal business hours.

### **Undelivered Services**

- 8.5. In the event that any of the Services are not delivered in accordance with Clauses 7 (Provision of the Services), 8.2 (Time of Delivery of the Services) and 8.3 and 8.4 (Location and Manner of Delivery of the Services) ("**Undelivered Services**"), the Customer, without prejudice to any other rights and remedies of the Customer howsoever arising, shall be entitled to withhold payment of any applicable Contract

Charges for the Services that were not so delivered until such time as the undelivered Services are delivered.

- 8.6. The Customer may, at its discretion and without prejudice to any other rights and remedies of the Customer howsoever arising, deem the failure to comply with Clauses 7 (Provision of the Services), 8.2 (Time of Delivery of the Services) and 8.3 and 8.4 (Location and Manner of Delivery of the Services) to be a material Default.

#### **Obligation to Remedy of Default in the Supply of the Services**

- 8.7. Subject to Clauses 22.9 and 22.20 (IPR Indemnity) and without prejudice to any other rights and remedies of the Customer howsoever arising (including under Clauses 8.6 (Undelivered Services) and 27 (Customer Remedies for Default)), the Supplier shall, where practicable:

8.7.1 remedy any breach of its obligations in Clauses 8 and 9 within three (3) Working Days of becoming aware of the relevant Default or being notified of the Default by the Customer or within such other time period as may be agreed with the Customer (taking into account the nature of the breach that has occurred);

8.7.2 meet all the costs of, and incidental to, the performance of such remedial work

#### **Continuing Obligation to Provide the Services**

- 8.8. The Supplier shall continue to perform all of its obligations under this Contract and shall not suspend the provision of the Services, notwithstanding:

8.8.1 any withholding or deduction by the Customer of any Contract Charges or other sum due to the Supplier pursuant to the exercise of a right of the Customer to such withholding or deduction under this Contract;

8.8.2 the existence of an unresolved Dispute; and/or

8.8.3 any failure by the Customer to pay any Contract Charges, unless the Supplier is entitled to terminate this Contract under Clauses 31.1 to 31.4 (Termination on Customer Failure to Pay) for failure by the Customer to pay undisputed Contract Charges.

### **9. QUALITY STANDARDS**

- 9.1. The Supplier shall at all times during the Contract Period comply with the Quality Standards and maintain, where applicable, accreditation with the relevant Quality Standards authorisation body.

- 9.2. Throughout the Contract Period, the Parties shall notify each other of any new or emergent Quality Standards which could affect the Supplier's provision, or the receipt by the Customer, of the Services. A proposed adoption of any such new or emergent Quality standard, or changes to existing Quality Standards (including any specified in the Contract Order Form), shall be made by the Supplier by adhering to the Variation procedure set out at Clauses 13.3 to 13.5.

- 9.3. Where a new or emergent Quality Standard is to be developed or introduced by the Customer, the Supplier shall be responsible for ensuring that the potential impact on the Supplier's provision, or the Customer's receipt of the Services is explained to the Customer (within a reasonable timeframe), prior to the implementation of the new or emergent Quality Standard.

- 9.4. Where Quality Standards conflict with each other or with Good Industry Practice, then the later Quality Standard or best practice shall be adopted by the Supplier. The proposal to use the later Quality Standard or best practice shall require Approval (and the written consent of the Customer where the relevant Standard or Standards is/are included in DPA Schedule 2 (Services, Performance Measures and Contract Performance) and shall be implemented within an agreed timescale.

- 9.5. Where a Quality Standard, policy or document is referred to by reference to a hyperlink, then if the hyperlink is changed or no longer provides access to the relevant standard, policy or document, the Supplier shall notify the Customer and provide access to an updated hyperlink..

## **10. DISRUPTION**

- 10.1. The Supplier shall take reasonable care to ensure that in the performance of its obligations under this Contract it does not disrupt the operations of the Customer, its employees or any other contractor engaged by the Customer.
- 10.2. The Supplier shall immediately inform the Customer of any actual or potential industrial action, whether such action be by the Supplier Personnel or others, which affects or might affect the Supplier's ability at any time to perform its obligations under this Contract.
- 10.3. In the event of industrial action by the Supplier Personnel, the Supplier shall seek Approval to its proposals for the continuance of the supply of the Services in accordance with its obligations under this Contract.
- 10.4. If the Supplier's proposals referred to in Clause 10.3 are considered insufficient or unacceptable by the Customer acting reasonably then the Customer may terminate this Contract for material Default.

## **11. FINANCIAL DISTRESS**

- 11.1. The Parties shall comply with the provisions of Contract Schedule 15 (Financial Distress) in relation to the assessment of the financial standing of the Supplier and the consequences of a change to that financial standing.

## **E. CONTRACT GOVERNANCE**

### **12. RECORDS, AUDIT ACCESS AND OPEN BOOK DATA**

- 12.1. The Supplier shall keep and maintain for seven (7) years after the Contract Expiry Date or Termination Date, whichever is the earlier, full and accurate records and accounts of the operation of this Contract including the Services provided under it, any Sub-Contracts and the amounts paid by the Customer.
- 12.2. The Supplier shall:
  - 12.2.1 keep the records and accounts referred to in Clause 12.1 in accordance with Good Industry Practice and Law; and
  - 12.2.2 afford any Auditor access to the records and accounts referred to in Clause 12.1 at the Supplier's premises and/or provide records and accounts (including copies of the Supplier's published accounts) or copies of the same, as may be required by any of the Auditors from time to time during the Contract Period and the period specified in Clause 12.1, in order that the Auditor(s) may carry out an inspection to assess compliance by the Supplier and/or its Sub-Contractors of any of the Supplier's obligations under this Contract including in order to:
    - (a) verify the accuracy of the Contract Charges and any other amounts payable by the Customer under this Contract (and proposed or actual variations to them in accordance with this Contract);
    - (b) verify the costs of the Supplier (including the costs of all Sub Contractors and any third party Supplier's) in connection with the provision of the Services;
    - (c) verify the Open Book Data;
    - (d) verify the Supplier's and each Sub-Contractor's compliance with the applicable Law;
    - (e) identify or investigate an actual or suspected Prohibited Act, impropriety or accounting mistakes or any breach or threatened breach of security and in these circumstances the Customer shall have no obligation to inform the Supplier of the purpose or objective of its investigations;



- (f) identify or investigate any circumstances which may impact upon the financial stability of the Supplier, and/or any Sub-Contractors or their ability to perform the Services;
  - (g) obtain such information as is necessary to fulfil the Customer's obligations to supply information for parliamentary, ministerial, judicial or administrative purposes including the supply of information to the Comptroller and Auditor General;
  - (h) review any books of account and the internal contract management accounts kept by the Supplier in connection with this Contract;
  - (i) carry out the Customer's internal and statutory audits and to prepare, examine and/or certify the Customer's annual and interim reports and accounts;
  - (j) enable the National Audit Office to carry out an examination pursuant to Section 6(1) of the National Audit Act 1983 of the economy, efficiency and effectiveness with which the Customer has used its resources;
  - (k) verify the accuracy and completeness of any information delivered or required by this Contract;
  - (l) review the Supplier's quality management systems (including any quality manuals and procedures);
  - (m) review the Supplier's compliance with the Standards;
  - (n) inspect the Customer Assets, including the Customer's IPRs, equipment and facilities, for the purposes of ensuring that the Customer Assets are secure and that any register of assets is up to date; and/or
  - (o) review the integrity, confidentiality and security of the Customer Data.
- 12.3. The Customer shall use reasonable endeavours to ensure that the conduct of each audit does not unreasonably disrupt the Supplier or delay the provision of the Services save insofar as the Supplier accepts and acknowledges that control over the conduct of audits carried out by the Auditor(s) is outside of the control of the Customer.
- 12.4. Subject to the Supplier's rights in respect of Confidential Information, the Supplier shall on demand provide the Auditor(s) with all reasonable co-operation and assistance in:
- 12.4.1 all reasonable information requested by the Customer within the scope of the audit;
  - 12.4.2 reasonable access to sites controlled by the Supplier and to any Supplier Equipment used in the provision of the Services; and
  - 12.4.3 access to the Supplier Personnel.
- 12.5. The Parties agree that they shall bear their own respective costs and expenses incurred in respect of compliance with their obligations under this Clause 12, unless the audit reveals a Default by the Supplier in which case the Supplier shall reimburse the Customer for the Customer's reasonable costs incurred in relation to the audit.

### **13. CHANGE**

#### **Legislative Change**

- 13.1. The Supplier shall neither be relieved of its obligations under this Contract nor be entitled to an increase in the Contract Charges as the result of a:
- 13.1.1 General Change in Law;
  - 13.1.2 Specific Change in Law where the effect of that Specific Change in Law on the Services is reasonably foreseeable at the Contract Commencement Date.

13.2. If a Specific Change in Law occurs or will occur during the Contract Period (other than as referred to in Clause 13.1.2, the Supplier shall:

13.2.1 notify the Customer as soon as reasonably practicable of the likely effects of that change including:

- (a) whether, in their opinion a contract variation is required to the provision of the Services, the Contract Charges or this Contract; and
- (b) whether any relief from compliance with the Supplier's obligations is required; and

13.2.2 provide to the Customer with evidence:

- (a) that the Supplier has minimised any increase in costs or maximised any reduction in costs, including in respect of the costs of its Sub-Contractors;
- (b) as to how the Specific Change in Law has affected the cost of providing the Services; and
- (c) demonstrating that any expenditure that has been avoided, has been taken into account in amending the Contract Charges.

#### **Variation Process**

13.3. Subject to the provisions of this Clause 13.3 and Call Off Schedule 3 (Call Off Contract Charges, Payment and Invoicing), either Party may request a variation to this Call Off Contract provided that such variation does not amount to a material change of this Call Off Contract within the meaning of the Regulations and the Law. Such a change once implemented is hereinafter called a "**Variation**"

13.4. A Party may request a Variation by completing, signing and sending the Variation Form to the other Party giving sufficient information for the receiving Party to assess the extent of the proposed Variation and any additional cost that may be incurred.

13.5. If the Parties agree the Variation, the Supplier shall implement such Variation and be bound by the same provisions so far as is applicable, as though such Variation was stated in this Call Off Contract.

#### **F. PAYMENT, TAXATION AND VALUE FOR MONEY PROVISIONS**

#### **14. ILR DATA, CONTRACT CHARGES AND PAYMENT**

##### **The Submission of ILR Data**

14.1. The Provider must register with UKRLP (UK Register of Learning Providers ([ukrlp.co.uk](http://ukrlp.co.uk))) and maintain contact details on an on-going basis.

14.2. The Supplier must submit promptly to the Customer an accurate Individualised Learning Record ("ILR") in relation to each individual Learner in accordance with this Contract and the ILR technical documents, guidance and requirements as amended and updated from time to time.

14.3. The Supplier can view the ILR technical documents, guidance and requirements by visiting the website <https://guidance.submit-learner-data.service.gov.uk/>. Suppliers should view the "Skills Bootcamps Funding and Performance Management Guidance", which includes the latest instructions on how to complete the ILR for Skills Bootcamp courses.

14.4. The Supplier must notify the Customer through the ILR of any new Learners within the timescale specified in the Skills Bootcamp Guidance.

14.5. ILR Data collected by the Supplier must be transmitted to the Customer through the Submit Learner Data service .

14.6. The Supplier shall ensure that information about Learners (including new Learners) is recorded on the ILR promptly (as defined in the Skills Bootcamp Guidance) and regularly reviewed and updated within a month when there has been a change.

- 14.7. Further to clause 14.6 the Supplier must also and in any event check the accuracy of the ILR Data submitted via the Submit Learner Data service and the Supplier must correct any errors immediately.
- 14.8. All submissions to the ILR must be supported by evidence including but not limited to the information specified in Annex 1 to Schedule 2 Part 3 (Contract Management), and the Skills Bootcamp Guidance.
- 14.9. The Customer may publish monitoring reports via View your education data. If these monitoring reports are published, the Supplier must access the monitoring reports every month and amend any errors for the next submission of the ILR by taking the actions specified in the report user guide. Failure to amend the ILR data as required will constitute a breach of this Contract and in addition the provisions of Clause 14.15 will apply.
- 14.10. Where the Customer has grounds to be concerned about the ILR Data submitted by the Supplier, including but not limited to the completeness or accuracy of the ILR Data provided by the Supplier under this Contract or any other agreement between the Supplier and the Customer, the Customer may take one or more of the following actions:
- 14.10.1 require the Supplier, at its own cost, to carry out such work as the Customer deems necessary to improve the quality of the ILR Data;
  - 14.10.2 require the Supplier at its own cost to carry out an audit in accordance with Clause 14.15;
  - 14.10.3 where ILR Data submitted by the Supplier on an ILR is missing, incomplete or incorrect in the final ILR submission of the Academic Year, the Customer will recover the Contract Charges that correspond with the missing, incomplete or incorrect ILR Data;
  - 14.10.4 require the Supplier to supply ILR Data at such intervals and for such a period as is specified by the Customer;
  - 14.10.5 suspend the payment of the Contract Charges for a specified period in accordance; and / or
  - 14.10.6 terminate the Contract in accordance with Clauses 30 or 32.
- 14.11. If served with notice of a breach of the submission requirements as referred to in this Clause, the Supplier must correct the ILR Data or supply the Customer with evidence in support of its ILR submission within the period specified in the notice. This evidence can include digital copies of documents such as Learner files, commitment statements or employer declarations. The Supplier should note that annotated spreadsheets or notes are not acceptable to the Customer as evidence.
- 14.12. Where the Supplier is delivering Skills Bootcamp Courses to one or more Learners claiming state benefits, it must provide data to the Secretary of State with responsibility for unemployment or their nominated representative in accordance with the requirements notified to the Supplier.
- 14.13. The Customer will pay the Contract Charge due to the Supplier in accordance with the payment profile set out in the table at Annex 2 of Contract Schedule 3 (Contract Charges, Payment & Invoices).
- 14.14. The payment of Contract Charges by the Customer shall be without prejudice to any claims or rights, which the Customer may have against the Supplier and shall not be taken as an acknowledgement that the Supplier has fulfilled its obligations under this Contract. Prior to payment of Contract Charges, the Customer shall be entitled to make deductions or deferments in respect of any disputes or claims whatsoever with or against the Supplier, arising from this Contract or any other Contract between the Supplier and the Customer.
- 14.15. Where the Customer identifies errors which it deems to be material in the ILR Data, the Customer reserves the right at its absolute discretion to require the Supplier at the Supplier's cost to carry out a 100% audit of all or part of the Skills Bootcamp Course by a deadline specified by the Customer and / or to recover from the Supplier Contract Charges equivalent to an amount based on the error rate identified and the total value of the Contract Charges paid to the Supplier under this Contract.
- 14.16. Without prejudice to any other provisions of this Contract, such amounts may be recovered by making adjustments to ILR Data submitted by the Supplier under the Contract, or by raising an invoice for payment by the Supplier, or by making deductions from future payments (including Contract Charges)

due to the Supplier under the Contract. Failure by the Supplier to settle such amounts will constitute a material Default of this Contract. The decision of the Customer as to the amount of recovery under this Clause is final.

14.17. All payments by the Customer of the Contract Charges will be made via BACS.

#### **Contract Charges**

14.18. In consideration of the Supplier carrying out its obligations under this Contract the Customer shall pay the Contract Charges in accordance with Clause 14.13.

14.19. Except as otherwise provided, each Party shall bear its own costs and expenses incurred in respect of compliance with its obligations under Clauses 12 (Records, Audit Access and Open Book Data), 23.20 to 23.21 (Freedom of Information) and 23.22 to 23.36 (Protection of Personal Data).

14.20. If the Customer fails to pay the Contract Charges the Supplier shall have the right to charge interest on the overdue amount at the applicable rate under the Late Payment of Commercial Debts (Interest) Act 1998, accruing on a daily basis from the due date up to the date of actual payment, whether before or after judgment.

14.21. The Customer is not responsible for any shortfall in fees or any lost revenue suffered by the Supplier, in the event that a Learner does not complete their Skills Bootcamp course and withdraws early from it.

#### **VAT**

14.22. The Contract Charges are stated exclusive of VAT, which shall be added at the prevailing rate as applicable and paid by the Customer in accordance with Clause 14.13.

14.23. Where VAT is applicable, the Supplier shall indemnify the Customer on a continuing basis against any liability, including any interest, penalties or costs incurred, which is levied, demanded or assessed on the Customer at any time (whether before or after the making of a demand pursuant to the indemnity hereunder) in respect of the Supplier's failure to account for or to pay any VAT relating to payments made to the Supplier under this Contract

14.24. Any amounts due under Clause 14.23 (VAT) shall be paid in cleared funds by the Supplier to the Customer not less than five (5) Working Days before the date upon which the tax or other liability is payable by the Customer.

#### **Retention and Set Off**

14.25. The Customer may retain or set off any amount owed to it by the Supplier against any amount due to the Supplier under this Contract or under any other agreement between the Supplier and the Customer.

14.26. If the Customer wishes to exercise its right pursuant to Clause 14.25 it shall give notice to the Supplier setting out the Customer's reasons for retaining or setting off the relevant Contract Charges.

14.27. The Supplier shall make any payments due to the Customer without any deduction whether by way of set-off, counterclaim, discount, abatement or otherwise unless the Supplier has obtained a sealed court order requiring an amount equal to such deduction to be paid by the Customer to the Supplier.

#### **Foreign Currency**

14.28. Any requirement of Law to account for the Services in any currency other than Sterling, (or to prepare for such accounting) instead of and/or in addition to Sterling, shall be implemented by the Supplier free of charge to the Customer.

14.29. The Customer shall provide all reasonable assistance to facilitate compliance with Clause 14.28 by the Supplier.

#### **Income Tax and National Insurance Contributions**

14.30. Where the Supplier or any Supplier Personnel are liable to be taxed in the UK or to pay national insurance contributions in respect of consideration received under this Contract, the Supplier shall:

- 14.30.1 at all times comply with the Income Tax (Earnings and Pensions) Act 2003 and all other statutes and regulations relating to income tax, and the Social Security Contributions and Benefits Act 1992 and all other statutes and regulations relating to national insurance contributions, in respect of that consideration; and
- 14.30.2 indemnify the Customer against any income tax, national insurance and social security contributions and any other liability, deduction, contribution, assessment or claim arising from or made (whether before or after the making of a demand pursuant to the indemnity hereunder) in connection with the provision of the Services by the Supplier or any Supplier Personnel.
- 14.31. In the event that any one of the Supplier Personnel is a Worker as defined in Contract Schedule 1 (Definitions) who receives consideration relating to the Services, then, in addition to its obligations under Clause 14.30, the Supplier shall ensure that its contract with the Worker contains the following requirements:
- 14.31.1 that the Customer may, at any time during the Contract Period, request that the Worker provides information which demonstrates how the Worker complies with the requirements of Clause 14.30, or why those requirements do not apply to it. In such case, the Customer may specify the information which the Worker must provide and the period within which that information must be provided;
- 14.31.2 that the Worker's contract may be terminated at the Customer's request if:
- (a) the Worker fails to provide the information requested by the Customer within the time specified by the Customer under Clause 14.31.1; and/or
  - (b) the Worker provides information which the Customer considers is inadequate to demonstrate how the Worker complies with Clause 14.30 or confirms that the Worker is not complying with those requirements; and
  - (c) that the Customer may supply any information it receives from the Worker to HMRC for the purpose of the collection and management of revenue for which they are responsible

## **15. PROMOTING TAX COMPLIANCE**

- 15.1. This Clause 15 shall apply if the Contract Charges payable under this Contract exceed or are likely to exceed five (5) million pounds during the Contract Period.
- 15.2. If, at any point during the Contract Period, an Occasion of Tax Non-Compliance occurs, the Supplier shall:
- 15.2.1 notify the Customer in writing of such fact within five (5) Working Days of its occurrence; and
- 15.2.2 promptly provide to the Customer:
- (a) details of the steps that the Supplier is taking to address the Occasion of Tax Non-Compliance and to prevent the same from recurring, together with any mitigating factors that it considers relevant; and
  - (b) such other information in relation to the Occasion of Tax Noncompliance as the Customer may reasonably require.

In the event that the Supplier fails to comply with this Clause 15 and/or does not provide details of proposed mitigating factors which in the reasonable opinion of the Customer are acceptable, then the Customer reserves the right to terminate this Contract for material Default.

## **G. SUPPLIER PERSONNEL AND SUPPLY CHAIN MATTERS**

## **16. SUPPLIER PERSONNEL**

### **Supplier Personnel**

16.1. The Supplier shall:

16.1.1 provide a list of the names of all Supplier Personnel requiring admission to Customer Premises, specifying the capacity in which they require admission and giving such other particulars as the Customer may reasonably require; 16.1.2 ensure that all Supplier Personnel:

- (a) are appropriately qualified, trained and experienced to provide the Services with all reasonable skill, care and diligence;
- (b) are vetted in accordance with Good Industry Practice and, where applicable, the Security Policy and the Standards;
- (c) obey all lawful instructions and reasonable directions of the Customer (including, if so required by the Customer, the ICT Policy) and provide the Services to the reasonable satisfaction of the Customer; and
- (d) comply with all reasonable requirements of the Customer concerning conduct at the Customer Premises, including the security requirements set out in Contract Schedule 4 (Security);

16.1.3 subject to Contract Schedule 5 (Staff Transfer), retain overall control of the Supplier Personnel at all times so that the Supplier Personnel shall not be deemed to be employees, agents or contractors of the Customer;

16.1.4 be liable at all times for all acts or omissions of Supplier Personnel, so that any act or omission of a member of any Supplier Personnel which results in a Default under this Contract shall be a Default by the Supplier;

16.1.5 use all reasonable endeavours to minimise the number of changes in Supplier Personnel;

16.1.6 replace (temporarily or permanently, as appropriate) any Supplier Personnel as soon as practicable if any Supplier Personnel have been removed or are unavailable for any reason whatsoever;

16.1.7 bear the programme familiarisation and other costs associated with any replacement of any Supplier Personnel; and

16.1.8 procure that the Supplier Personnel shall vacate the Customer Premises immediately upon the Contract Expiry Date.

16.2. If the Customer reasonably believes that any of the Supplier Personnel are unsuitable to undertake work in respect of this Contract, it may:

16.2.1 refuse admission to the relevant person(s) to the Customer Premises; and/or

16.2.2 direct the Supplier to end the involvement in the provision of the Services of the relevant person(s).

16.3. The decision of the Customer as to whether any person is to be refused access to the Customer Premises shall be final and conclusive.

### **Relevant Convictions**

16.4. For each member of Supplier Personnel who, in providing the Services, has, will have or is likely to have access to children, vulnerable persons or other members of the public to whom the Customer owes a special duty of care, the Supplier shall (and shall procure that the relevant Sub-Contractor shall):

16.4.1 carry out a check with the records held by the Department for Education (DfE);

16.4.2 conduct thorough questioning regarding any Relevant Convictions; and

16.4.3 ensure a police check is completed and such other checks as may be carried out through the Disclosure and Barring Service (DBS), and the Supplier shall not (and shall ensure that any Sub-Contractor shall not) engage or continue to employ in the provision of the Services any person who has a Relevant Conviction or an inappropriate record.

## **17. STAFF TRANSFER**

17.1. Where the commencement of the provision of the Services or any part of the Services results in one or more Relevant Transfers, Contract Schedule 5 (Staff Transfer) shall apply as follows:

17.1.1 where the Relevant Transfer involves the transfer of Transferring Customer Employees, Part A of Contract Schedule 5 (Staff Transfer) shall apply;

17.1.2 where the Relevant Transfer involves the transfer of Transferring Former Supplier Employees, Part B of Contract Schedule 5 (Staff Transfer) shall apply;

17.1.3 where the Relevant Transfer involves the transfer of Customer Employees and Transferring Former Supplier Employees, Parts A and B of Contract Schedule 5 (Staff Transfer) shall apply; and

17.1.4 Part C of Contract Schedule 5 (Staff Transfer) shall not apply;

17.2. Where commencement of the provision of the Services or a part of the Services does not result in a Relevant Transfer, Part C of Contract Schedule 5 (Staff Transfer) shall apply and Parts A and B of Contract Schedule 5 (Staff Transfer) shall not apply.

17.3. Part D of Contract Schedule 5 (Staff Transfer) shall apply on the expiry or termination of the Services or any part of the Services.

17.4. The Supplier shall both during and after the Contract Period indemnify the Customer against all Employee Liabilities that may arise as a result of any claims brought against the Customer by any person where such claim arises from any act or omission of the Supplier or any Supplier Personnel.

## **18. SUPPLY CHAIN RIGHTS AND PROTECTION**

### **Appointment of Sub-Contractors**

18.1. The Supplier may enter into one or more Sub-Contracts provided that it does so in accordance with this Clause 18, Contract Schedule 1 (Service Requirements) and Contract Schedule 14 (Sub-Contracting). Clauses 18.9 and 18.11 will apply to Key Sub-Contractors.

18.2. Failure to comply with any of the requirements under this Clause 18 may result in the Customer taking such actions as it deems appropriate, which may include but is not limited to suspending payment of the Contract Charges and/or termination of this Contract under Clause 30.1 (Termination).

18.3. The Supplier shall exercise due skill and care in the selection of any Sub-Contractors to ensure that the Supplier is able to:

18.3.1 manage any Sub-Contractors in accordance with Good Industry Practice;

18.3.2 comply with its obligations under this Contract; and

18.3.3 assign, novate or otherwise transfer to the Customer or any Replacement Supplier any of its rights and/or obligations under each Sub-Contract that relate exclusively to this Contract.

18.4. Prior to sub-contacting any of its obligations under this Contract, the Supplier shall notify the Customer and provide the Customer with:

18.4.1 the proposed Sub-Contractor's name, registered office and company registration number;

18.4.2 the scope of any Services to be provided by the proposed Sub-Contractor; and

- 18.4.3 where the proposed Sub-Contractor is an Affiliate of the Supplier, evidence that demonstrates to the reasonable satisfaction of the Customer that the proposed SubContract has been agreed on "arm's-length" terms.
- 18.5. If requested by the Customer within ten (10) Working Days of receipt of the Supplier's notice issued pursuant to Clause 18.4, the Supplier shall also provide:
- 18.5.1 a copy of the proposed Sub-Contract; and
- 18.5.2 any further information reasonably requested by the Customer.
- 18.6. The Customer may, within ten (10) Working Days of receipt of the Supplier's notice issued pursuant to Clause 18.4 (or, if later, receipt of any further information requested pursuant to Clause 18.5), object to the appointment of the relevant Sub-Contractor if they consider that:
- 18.6.1 the appointment of a proposed Sub-Contractor may prejudice the provision of the Services or may be contrary to the interests respectively of the Customer under this Contract
- 18.6.2 the proposed Sub-Contractor is unreliable and/or has not provided reliable and/or reasonable services to its other customers; and/or
- 18.6.3 the proposed Sub-Contractor employs unfit persons,
- in which case, the Supplier shall not proceed with the proposed appointment.
- 18.7. The Supplier may proceed with the proposed appointment if
- 18.7.1 the Customer has not notified the Supplier that it objects to the proposed Sub-Contractor's appointment by the later of ten (10) Working Days of receipt of:
- (a) the Supplier's notice issued pursuant to Clause 18.4; and
- (b) any further information requested by the Customer pursuant to Clause 18.5; and
- 18.7.2 the proposed Sub-Contract is not a Key Sub-Contract which shall require the written consent of the Authority and the Customer in accordance with Clause 18.10 to 18.11 (Appointment of Key Sub-Contractors).
- 18.8. The Supplier shall ensure that each Sub-Contract shall include a provision restricting the ability of the Sub-Contractor to Sub-Contract all or any part of the provision of the Services to the Supplier under the Sub-Contract without first seeking the written consent of the Customer.

#### **Appointment of Key Sub-Contractors**

- 18.9. Any Key Sub-Contractors that have been appointed by the Supplier at the Contract Commencement Date are set out at Paragraph 2.1 of Schedule 14 (Sub-Contracting).
- 18.10. Where the Supplier wishes to enter into a new Key Sub-Contract or replace a Key Sub-Contractor, it must obtain the prior written consent of the Customer (the decision to consent or otherwise not to be unreasonably withheld or delayed). The Customer may reasonably withhold its consent to the appointment of a Key Sub-Contractor if any of them considers that:
- 18.10.1 the appointment of a proposed Key Sub-Contractor may prejudice the provision of the Services or may be contrary to its interests;
- 18.10.2 the proposed Key Sub-Contractor is unreliable and/or has not provided reliable and/or reasonable services to its other customers; and/or
- 18.10.3 the proposed Key Sub-Contractor employs unfit persons.
- 18.11. The Supplier shall ensure that each Key Sub-Contract shall include:
- 18.11.1 provisions which will enable the Supplier to discharge its obligations under this Contract;



- 18.11.2 a right under CRTPA for the Customer to enforce any provisions under the Key SubContract which confer a benefit upon the Customer;
- 18.11.3 a provision enabling the Customer to enforce the Key Sub-Contract as if it were the Supplier;
- 18.11.4 a provision enabling the Supplier to assign, novate or otherwise transfer any of its rights and/or obligations under the Key Sub-Contract to the Customer or any Replacement Supplier;
- 18.11.5 obligations no less onerous on the Key Sub-Contractor than those imposed on the Supplier under this Contract in respect of:
  - (a) data protection requirements set out in Clauses 23.1 (Security Requirements), 23.2 to 23.9 (Protection of Customer Data) and 23.22 to 23.36 (Data Protection);
  - (b) FOIA requirements set out in Clause 23.20 to 23.21 (Freedom of Information);
  - (c) the keeping of records in respect of the Services being provided under the Key Sub-Contract, including the maintenance of Open Book Data;
  - (d) the conduct of audits set out in Clause 12 (Records, Audit Access & Open Book Data);
- 18.11.6 provisions enabling the Supplier to terminate the Key Sub-Contract on notice on terms no more onerous on the Supplier than those imposed on the Customer under Clauses 30 (Customer Termination Rights), 32 (Termination by Either Party) and 34 (Consequences of Expiry or Termination) of this Contract;
- 18.11.7 the provision set out in clause 18.8 above; and
- 18.11.8 a provision, where a provision in Contract Schedule 5 (Staff Transfer) imposes an obligation on the Supplier to provide an indemnity, undertaking or warranty, requiring the Key SubContractor to provide such indemnity, undertaking or warranty to the Customer, Former Supplier or the Replacement Supplier as the case may be.

### **Supply Chain Protection**

18.12. The Supplier shall ensure that all Sub-Contracts contain a provision:

- 18.12.1 requiring the Supplier to pay any undisputed sums which are due from it to the SubContractor within a specified period not exceeding thirty (30) days from the receipt of a Valid Invoice;
- 18.12.2 requiring that any invoices submitted by a Sub-Contractor shall be considered and verified by the Supplier in a timely fashion and that undue delay in doing so shall not be sufficient justification for failing to regard an invoice as valid and undisputed;
- 18.12.3 requiring the Sub-Contractor to include in any Sub-Contract which it in turn awards suitable provisions to impose, as between the parties to that Sub-Contract, requirements to the same effect as those required by sub-clauses 18.12.1 and 18.12.2 directly above; and
- 18.12.4 conferring a right to the Customer to publish the Supplier's compliance with its obligation to pay undisputed invoices within the specified payment period.

18.13. The Supplier shall:

- 18.13.1 pay undisputed sums which are due from it to a Sub-Contractor within thirty (30) days from the receipt of a Valid Invoice;
- 18.13.2 Provide a summary of its compliance with this Clause 18.13.1, such data to be certified each quarter by a director of the Supplier as being accurate and not misleading.

18.14. Any invoices submitted by a Sub-Contractor to the Supplier shall be considered and verified by the Supplier in a timely fashion. Undue delay in doing so shall not be sufficient justification for the Supplier failing to regard an invoice as valid and undisputed.

- 18.15. Notwithstanding any provision of Clauses 23.10 to 23.18 (Confidentiality) and 24 (Publicity and Branding) if the Supplier notifies the Customer that the Supplier has failed to pay an undisputed SubContractor's invoice within thirty (30) days of receipt, or the Customer otherwise discovers the same, the Customer shall be entitled to publish the details of the late or non-payment (including on government websites and in the press).

#### **Termination of Sub-Contracts**

- 18.16. The Customer may require the Supplier to terminate:

18.16.1 a Sub-Contract where:

- (a) the acts or omissions of the relevant Sub-Contractor have caused or materially contributed to the Customer's right of termination pursuant to any of the termination events in Clause 30 (Customer Termination Rights) except Clause 30.10 (Termination Without Cause); and/or
- (b) relevant Sub-Contractor or its Affiliates embarrassed the Customer or otherwise brought the Customer into disrepute by engaging in any act or omission which is reasonably likely to diminish the trust that the public places in the Customer, regardless of whether or not such act or omission is related to the Sub-Contractor's obligations in relation to the Services or otherwise;

18.16.2 a Key Sub-Contract where there is a Change of Control of the relevant Key Sub-Contractor, unless:

- (a) the Customer has given its prior written consent to the particular Change of Control, which subsequently takes place as proposed; or
- (b) the Customer has not served its notice of objection within six (6) Months of the later of the date the Change of Control took place or the date on which the Customer was given notice of the Change of Control.

#### **Retention of Legal Obligations**

- 18.17. Notwithstanding the Supplier's right to Sub-Contract pursuant to Clause 18 (Supply Chain Rights and Protection), the Supplier shall remain responsible for all acts and omissions of its Sub-Contractors and the acts and omissions of those employed or engaged by the Sub-Contractors as if they were its own.

#### **H. NOT USED**

19. NOT USED

20. NOT USED

21. NOT USED

#### **I. INTELLECTUAL PROPERTY AND INFORMATION**

22. INTELLECTUAL PROPERTY RIGHTS

##### **Allocation of title to IPR**

- 22.1. Save as expressly granted elsewhere under this Contract:

22.1.1 the Customer shall not acquire any right, title or interest in or to the Intellectual Property Rights of the Supplier or its licensors, including:

- (a) the Supplier Background IPR;

- (b) the Third Party IPR; and
- (c) the Project Specific IPR.

22.1.2 the Supplier shall not acquire any right, title or interest in or to the Intellectual Property Rights of the Customer or its licensors, including the: (a) Customer Background IPR; and

- (b) Customer Data.

22.2. Where either Party acquires, by operation of Law, title to Intellectual Property Rights that is inconsistent with the allocation of title set out in Clause 22.1, 22.2 and 22.3, it shall assign in writing such Intellectual Property Rights as it has acquired to the other Party on the request of the other Party (whenever made).

22.3. Neither Party shall have any right to use any of the other Party's names, logos or trade marks on any of its products or services without the other Party's prior written consent.

#### **Licence granted by the Supplier: Project Specific IPR**

22.4. The Supplier if requested by the Customer will grant to the Customer or shall procure the direct grant to the Customer of, a 10 year, royalty-free, irrevocable, nonexclusive licence to use the Project Specific IPR including but not limited to the right to copy, adapt, publish and distribute such Project Specific IPR.

#### **Licence granted by the Supplier: Supplier Background IPR**

22.5. The Supplier if requested by the Customer will grant to the Customer a 10 year, royalty-free and nonexclusive licence to use the Supplier Background IPR for any purpose relating to the Services (or substantially equivalent services) or for any purpose relating to the exercise of the Customer's (or, if the Customer is a Central Government Body, any other Central Government Body's) business or function.

22.6. In the event the licence of the Supplier Background IPR is terminated for Default or expires, the Customer shall:

22.6.1 immediately cease all use of the Supplier Background IPR;

22.6.2 at the discretion of the Supplier, return or destroy documents and other tangible materials that contain any of the Supplier Background IPR, provided that if the Supplier has not made an election within six (6) Months of the termination of the licence, the Customer may destroy the documents and other tangible materials that contain any of the Supplier Background IPR; and

22.6.3 ensure, so far as reasonably practicable, that any Supplier Background IPR that is held in electronic, digital or other machine-readable form ceases to be readily accessible (other than by the information technology staff of the Customer) from any computer, word processor, voicemail system or any other device containing such Supplier Background IPR.

#### **Customer's right to sub-license**

22.7. The Customer shall be freely entitled to sub-license the rights granted to it pursuant to Clause 22.4 (Licence granted by the Supplier: Project Specific IPR).

22.8. The Customer may sub-license:

22.8.1 the rights granted under Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR) to a third party (including for the avoidance of doubt, any Replacement Supplier) provided that:

- (a) the sub-license is on terms no broader than those granted to the Customer; and
- (b) the sub-license only authorises the third party to use the rights licensed in Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR) for purposes relating to the Services (or substantially equivalent services) or for any purpose relating to the exercise of the Customer's (or, if the Customer is a

Central Government Body, any other Central Government Body's) business or function; and

22.8.2 the rights granted under Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR) to any Approved Sub-Licensee to the extent necessary to use and/or obtain the benefit of the Project Specific IPR provided that the sub-licence is on terms no broader than those granted to the Customer.

#### **Customer's right to assign/novate licences**

22.9. The Customer shall be freely entitled to assign, novate or otherwise transfer its rights and obligations under the licence granted to it pursuant to Clause 22.4 (Licence granted by the Supplier: Project Specific IPR).

22.10. The Customer may assign, novate or otherwise transfer its rights and obligations under the licence granted pursuant to Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR) to:

22.10.1 a Central Government Body; or

22.10.2 to any body (including any private sector body) which performs or carries on any of the functions and/or activities that previously had been performed and/or carried on by the Customer.

22.11. Where the Customer is a Central Government Body, any change in the legal status of the Customer which means that it ceases to be a Central Government Body shall not affect the validity of any licence granted in Clause 22.4 (Licence granted by the Supplier: Project Specific IPR) and/or Clause 22.5 (Licences granted by the Supplier: Supplier Background IPR). If the Customer ceases to be a Central Government Body, the successor body to the Customer shall still be entitled to the benefit of the licences granted in Clause 22.4 (Licence granted by the Supplier: Project Specific IPR) and Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR).

22.12. If a licence granted in Clause 22.4 (Licence granted by the Supplier: Project Specific IPR) and/or Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR) is novated under Clauses 22.9 and/or 22.10 or there is a change of the Customer's status pursuant to Clause 22.11 (both such bodies being referred to as the "**Transferee**"), the rights acquired by the Transferee shall not extend beyond those previously enjoyed by the Customer.

#### **Third Party IPR**

22.13. The Supplier shall procure that the owners or the authorised licensors of any Third Party IPR grant a direct licence to the Customer on terms at least equivalent to those set out in Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR) and Clause 22.10 (Customer's right to assign/novate licences). If the Supplier cannot obtain for the Customer a licence materially in accordance with the licence terms set out in Clause 22.5 (Licences granted by the Supplier: Supplier Background IPR) and Clause 22.10 (Customer's right to assign/novate licences) in respect of any such Third Party IPR, the Supplier shall:

22.13.1 notify the Customer in writing giving details of what licence terms can be obtained from the relevant third party and whether there are alternative providers which the Supplier could seek to use; and

22.13.2 only use such Third Party IPR if the Customer Approves the terms of the licence from the relevant third party.

#### **Licence granted by the Customer**

22.14. The Customer hereby grants to the Supplier a royalty-free, nonexclusive, non-transferable licence during the Contract Period to use the Customer Background IPR and the Customer Data solely to the extent necessary for providing the Services in accordance with this Contract, including (but not limited to) the right to grant sub-licences to Sub-Contractors provided that:

22.14.1 any relevant Sub-Contractor has entered into a confidentiality undertaking with the Supplier on the same terms as set out in Clause 23.10 to 23.18 (Confidentiality); and

22.14.2 the Supplier shall not without Approval use the licensed materials for any other purpose or for the benefit of any person other than the Customer.

#### **Termination of Licences**

22.15. Subject to Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR), all licences granted pursuant to Clause 22 (Intellectual Property Rights) (other than those granted pursuant to Clause 22.13 (Third Party IPR) and 22.14 (Licence granted by the Customer)) shall survive the Contract Expiry Date.

22.16. The Supplier shall, if requested by the Customer as a result of a contract termination in accordance with Clause 30, grant (or procure the grant) to the Replacement Supplier of a licence to use any Supplier Background IPR and/or Third Party IPR on terms equivalent to those set out in Clause 22.5 (Licence granted by the Supplier: Supplier Background IPR) subject to the Replacement Supplier entering into reasonable confidentiality undertakings with the Supplier.

22.17. The licence granted pursuant to Clause 22.14 (Licence granted by the Customer ) and any sub-licence granted by the Supplier in accordance with Clause 22.14 (Licence granted by the Customer) shall terminate automatically on the Contract Expiry Date and the Supplier shall:

22.17.1 immediately cease all use of the Customer Background IPR and the Customer Data (as the case may be);

22.17.2 at the discretion of the Customer, return or destroy documents and other tangible materials that contain any of the Customer Background IPR and the Customer Data, provided that if the Customer has not made an election within six months of the termination of the licence, the Supplier may destroy the documents and other tangible materials that contain any of the Customer Background IPR and the Customer Data (as the case may be); and

22.17.3 ensure, so far as reasonably practicable, that any Customer Background IPR and Customer Data that are held in electronic, digital or other machine-readable form ceases to be readily accessible from any computer, word processor, voicemail system or any other device of the Supplier containing such Customer Background IPR and/or Customer Data.

#### **IPR Indemnity**

22.18. The Supplier shall, during and after the Contract Period, on written demand, indemnify the Customer against all Losses incurred by, awarded against, or agreed to be paid by the Customer (whether before or after the making of the demand pursuant to the indemnity hereunder) arising from an IPR Claim.

22.19. If an IPR Claim is made, or the Supplier anticipates that an IPR Claim might be made, the Supplier may, at its own expense and sole option, either:

22.19.1 procure for the Customer the right to continue using the relevant item which is subject to the IPR Claim; or

22.19.2 replace or modify the relevant item with non-infringing substitutes provided that:

- (a) the performance and functionality of the replaced or modified item is at least equivalent to the performance and functionality of the original item;
- (b) the replaced or modified item does not have an adverse effect on any other Services;
- (c) there is no additional cost to the Customer; and
- (d) the terms and conditions of this Contract shall apply to the replaced or modified Services.

22.20. If the Supplier elects to procure a licence in accordance with Clause 22.19.1 or to modify or replace an item pursuant to Clause 22.19.2, but this has not avoided or resolved the IPR Claim, then:

22.20.1 the Customer may terminate this Contract by written notice with immediate effect; and

- 22.20.2 without prejudice to the indemnity set out in Clause 22.18, the Supplier shall be liable for all reasonable and unavoidable costs of the services including the additional costs of procuring, implementing and maintaining the substitute items.
- 22.21. Within one month of the Contract Commencement Date, the Supplier will compile an IPR Register which lists the categories of IPR that are referred to in this Clause and the corresponding documents, software, materials or any other asset in which there is IPR that are used in and / or generated under this DPA.
- 22.22. The IPR Register must be kept up to date during the Contract Period.

## **23. SECURITY AND PROTECTION OF INFORMATION**

### **Security Requirements**

- 23.1. The Supplier shall comply with the requirements of Contract Schedule 4 (Security) including the Security Management Plan (if any).

### **Protection of Customer Data**

- 23.2. The Supplier shall not delete or remove any proprietary notices contained within or relating to the Customer Data.
- 23.3. The Supplier shall not store, copy, disclose, or use the Customer Data except as necessary for the performance by the Supplier of its obligations under this Contract or as otherwise Approved by the Customer.
- 23.4. To the extent that the Customer Data is held and/or Processed by the Supplier, the Supplier shall supply that Customer Data to the Customer as requested by the Customer and in the format (if any) specified by the Customer in the Contract Order Form and, in any event, as specified by the Customer from time to time in writing.
- 23.5. The Supplier shall take responsibility for preserving the integrity of Customer Data and preventing the corruption or loss of Customer Data.
- 23.6. The Supplier shall perform secure back-ups of all Customer Data and shall ensure that up-to-date backups are stored off-site at an Approved location in accordance with any business continuity plan or otherwise. The Supplier shall ensure that such back-ups are available to the Customer (or to such other person as the Customer may direct) at all times upon request and are delivered to the Customer at no less than six (6) Monthly intervals (or such other intervals as may be agreed in writing between the Parties).
- 23.7. The Supplier shall ensure that any system on which the Supplier holds any Customer Data, including back-up data, is a secure system that complies with the Security Policy and the Security Management Plan (if any)
- 23.8. If at any time the Supplier suspects or has reason to believe that the Customer Data is corrupted, lost or sufficiently degraded in any way for any reason, then the Supplier shall notify the Customer immediately and inform the Customer of the remedial action the Supplier proposes to take.
- 23.9. If the Customer Data is corrupted, lost or sufficiently degraded as a result of a Default so as to be unusable, the Customer may:
- 23.9.1 require the Supplier (at the Supplier's expense) to restore or procure the restoration of Customer Data as required by the Customer, and the Supplier shall do so as soon as practicable but not later than five (5) Working Days from the date of receipt of the Customer's notice; and/or
- 23.9.2 itself restore or procure the restoration of Customer Data, and shall be repaid by the Supplier any reasonable expenses incurred in doing so as required by the Customer.

## Confidentiality

- 23.10. For the purposes of Clauses 23.11 to 23.18, the term **“Disclosing Party”** shall mean a Party which discloses or makes available directly or indirectly its Confidential Information and **“Recipient”** shall mean the Party which receives or obtains directly or indirectly Confidential Information.
- 23.11. Except to the extent set out in Clauses 23.11 to 23.18 or where disclosure is expressly permitted elsewhere in this Contract, the Recipient shall:
- 23.11.1 treat the Disclosing Party's Confidential Information as confidential and keep it in secure custody (which is appropriate depending upon the form in which such materials are stored and the nature of the Confidential Information contained in those materials); and
  - 23.11.2 not disclose the Disclosing Party's Confidential Information to any other person except as expressly set out in this Contract or without obtaining the owner's prior written consent;
  - 23.11.3 not use or exploit the Disclosing Party's Confidential Information in any way except for the purposes anticipated under this Contract ; and
  - 23.11.4 immediately notify the Disclosing Party if it suspects or becomes aware of any unauthorised access, copying, use or disclosure in any form of any of the Disclosing Party's Confidential Information.
- 23.12. The Recipient shall be entitled to disclose the Confidential Information of the Disclosing Party where:
- 23.12.1 the Recipient is required to disclose the Confidential Information by Law, provided that Clause 23.20 to 23.21 (Freedom of Information) shall apply to disclosures required under the FOIA or the EIRs;
  - 23.12.2 the need for such disclosure arises out of or in connection with:
    - (a) any legal challenge or potential legal challenge against the Customer arising out of or in connection with this Contract;
    - (b) the examination and certification of the Customer's accounts (provided that the disclosure is made on a confidential basis) or for any examination pursuant to Section 6(1) of the National Audit Act 1983 of the economy, efficiency and effectiveness with which the Customer is making use of any Services provided under this Contract; or
    - (c) the conduct of a Central Government Body review in respect of this Contract; or
  - 23.12.3 the Recipient has reasonable grounds to believe that the Disclosing Party is involved in activity that may constitute a criminal offence under the Bribery Act 2010 and the disclosure is being made to the Serious Fraud Office.
- 23.13. If the Recipient is required by Law to make a disclosure of Confidential Information, the Recipient shall as soon as reasonably practicable and to the extent permitted by Law notify the Disclosing Party of the full circumstances of the required disclosure including the relevant Law and/or regulatory body requiring such disclosure and the Confidential Information to which such disclosure would apply.
- 23.14. Subject to Clause 23.11, the Supplier may only disclose the Confidential Information of the Customer on a confidential basis to:
- 23.14.1 Supplier Personnel who are directly involved in the provision of the Services and need to know the Confidential Information to enable performance of the Supplier's obligations under this Contract; and
  - 23.14.2 its professional advisers for the purposes of obtaining advice in relation to this Contract.
- 23.15. Where the Supplier discloses Confidential Information of the Customer pursuant to Clause 23.14, it shall remain responsible at all times for compliance with the confidentiality obligations set out in this Contract by the persons to whom disclosure has been made.

- 23.16. The Customer may disclose the Confidential Information of the Supplier:
- 23.16.1 to any Central Government Body on the basis that the information may only be further disclosed to Central Government Bodies;
  - 23.16.2 to the British Parliament and any committees of the British Parliament or if required by any British Parliamentary reporting requirement;
  - 23.16.3 to the extent that the Customer (acting reasonably) deems disclosure necessary or appropriate in the course of carrying out its public functions;
  - 23.16.4 on a confidential basis to a professional adviser, consultant, supplier or other person engaged by any of the entities described in Clause 23.16.4 (including any benchmarking organisation) for any purpose relating to or connected with this Contract;
  - 23.16.5 on a confidential basis for the purpose of the exercise of its rights under this Contract; or
  - 23.16.6 to a proposed transferee, assignee or novatee of, or successor in title to the Customer, and for the purposes of the foregoing, references to disclosure on a confidential basis shall mean disclosure subject to a confidentiality agreement or arrangement containing terms no less stringent than those placed on the Customer under Clause 23.11 to 23.18.
- 23.17. Nothing in Clause 23.11 to 23.18 shall prevent a Recipient from using any techniques, ideas or KnowHow gained during the performance of this Contract in the course of its normal business to the extent that this use does not result in a disclosure of the Disclosing Party's Confidential Information or an infringement of Intellectual Property Rights.
- 23.18. In the event that the Supplier fails to comply with Clauses 23.11 to 23.12, the Customer reserves the right to terminate this Contract for material Default.

#### **Transparency**

- 23.19. The Supplier recognises that the Customer is subject to PPN 01/17 (Updates to transparency principles v1.1 <https://www.gov.uk/government/publications/procurement-policy-note-0117-updateto-transparency-principles>) and shall provide the Customer with such information as it reasonably requests in this regard.

#### **Freedom of Information**

- 23.20. The Supplier acknowledges that the Customer is subject to the requirements of the FOIA and the EIRs. The Supplier shall:
- 23.20.1 provide all necessary assistance and cooperation as reasonably requested by the Customer to enable the Customer to comply with its Information disclosure obligations under the FOIA and EIRs;
  - 23.20.2 transfer to the Customer all Requests for Information relating to this Contract that it receives as soon as practicable and in any event within two (2) Working Days of receipt;
  - 23.20.3 provide the Customer with a copy of all Information belonging to the Customer requested in the Request for Information which is in its possession or control in the form that the Customer requires within five (5) Working Days (or such other period as the Customer may reasonably specify) of the Customer's request for such Information; and
  - 23.20.4 not respond directly to a Request for Information unless authorised in writing to do so by the Customer.
- 23.21. The Supplier acknowledges that the Customer may be required under the FOIA and EIRs to disclose Information (including Commercially Sensitive Information) without consulting or obtaining consent from the Supplier. The Customer shall take reasonable steps to notify the Supplier of a Request for Information (in accordance with the Secretary of State's Section 45 Code of Practice on the Discharge of the Functions of Public Authorities under Part 1 of the FOIA) to the extent that it is permissible and reasonably practical for it to do so but (notwithstanding any other provision in this Contract ) the



Customer shall be responsible for determining in its absolute discretion whether any Commercially Sensitive Information and/or any other information is exempt from disclosure in accordance with the FOIA and/or the EIRs.

#### **Data Protection**

- 23.22. The Parties acknowledge that for the purposes of the Data Protection Legislation, the Customer is the Controller and the Supplier is the Processor unless otherwise specified in Contract Schedule 7. The only processing that the Processor is authorised to do is listed in Contract Schedule 7 by the Controller and may not be determined by the Processor.
- 23.23. The Processor shall notify the Controller immediately if it considers that any of the Controller's instructions infringe the Data Protection Legislation.
- 23.24. The Processor shall provide all reasonable assistance to the Controller in the preparation of any Data Protection Impact Assessment prior to commencing any processing. Such assistance may, at the discretion of the Controller, include:
- 23.24.1 a systematic description of the envisaged processing operations and the purpose of the processing;
  - 23.24.2 an assessment of the necessity and proportionality of the processing operations in relation to the Services;
  - 23.24.3 an assessment of the risks to the rights and freedoms of Data Subjects; and
  - 23.24.4 the measures envisaged to address the risks, including safeguards, security measures and mechanisms to ensure the protection of Personal Data.
- 23.25. The Processor shall, in relation to any Personal Data processed in connection with its obligations under this Contract:
- 23.25.1 process that Personal Data only in accordance with Contract Schedule 7, unless the Processor is required to do otherwise by Law. If it is so required the Processor shall promptly notify the Controller before processing the Personal Data unless prohibited by Law;
  - 23.25.2 ensure that it has in place Protective Measures, which are appropriate to protect against a Data Loss Event, which the Controller may reasonably reject (but failure to reject shall not amount to approval by the Controller of the adequacy of the Protective Measures), having taken account of the:
    - (a) nature of the data to be protected;
    - (b) harm that might result from a Data Loss Event;
    - (c) state of technological development; and
    - (d) cost of implementing any measures;
- 23.25.3
- ensure that:
- (a) the Processor Personnel do not process Personal Data except in accordance with this Contract (and in particular Schedule 7);
  - (b) it takes all reasonable steps to ensure the reliability and integrity of any Processor Personnel who have access to the Personal Data and ensure that they:
    - (i) are aware of and comply with the Processor's duties under this clause;
    - (ii) are subject to appropriate confidentiality undertakings with the Processor or any Sub-processor;
    - (iii) are informed of the confidential nature of the Personal Data and do not publish, disclose or divulge any of the Personal Data to any third Party

unless directed in writing to do so by the Controller or as otherwise permitted by this Contract; and

- (iv) have undergone adequate training in the use, care, protection and handling of Personal Data; and

23.25.4 not transfer Personal Data outside of the EU unless the prior written consent of the Controller has been obtained and the following conditions are fulfilled:

- (a) the Controller or the Processor has provided appropriate safeguards in relation to the transfer (whether in accordance with GDPR Article 46 or LED Article 37) as determined by the Controller;
- (b) the Data Subject has enforceable rights and effective legal remedies;
- (c) the Processor complies with its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred (or, if it is not so bound, uses its best endeavours to assist the Controller in meeting its obligations); and
- (d) the Processor complies with any reasonable instructions notified to it in advance by the Controller with respect to the processing of the Personal Data;

23.25.5 at the written direction of the Controller, delete or return Personal Data (and any copies of it) to the Controller on termination of the Contract unless the Processor is required by Law to retain the Personal Data.

23.26. Subject to Clause 23.27, the Processor shall notify the Controller immediately if it:

- 23.26.1 receives a Data Subject Request (or purported Data Subject Request);
- 23.26.2 receives a request to rectify, block or erase any Personal Data;
- 23.26.3 receives any other request, complaint or communication relating to either Party's obligations under the Data Protection Legislation;
- 23.26.4 receives any communication from the Information Commissioner or any other regulatory authority in connection with Personal Data processed under this Contract;
- 23.26.5 receives a request from any third Party for disclosure of Personal Data where compliance with such request is required or purported to be required by Law; or
- 23.26.6 becomes aware of a Data Loss Event.

23.27. The Processor's obligation to notify under Clause 23.26 shall include the provision of further information to the Controller in phases, as details become available.

23.28. Taking into account the nature of the processing, the Processor shall provide the Controller with full assistance in relation to either Party's obligations under Data Protection Legislation and any complaint, communication or request made under Clause 23.26 (and insofar as possible within the timescales reasonably required by the Controller) including by promptly providing:

- 23.28.1 the Controller with full details and copies of the complaint, communication or request;
- 23.28.2 such assistance as is reasonably requested by the Controller to enable the Controller to comply with a Data Subject Request within the relevant timescales set out in the Data Protection Legislation;
- 23.28.3 the Controller, at its request, with any Personal Data it holds in relation to a Data Subject;
- 23.28.4 assistance as requested by the Controller following any Data Loss Event;
- 23.28.5 assistance as requested by the Controller with respect to any request from the Information Commissioner's Office, or any consultation by the Controller with the Information Commissioner's Office.

- 23.29. The Processor shall maintain complete and accurate records and information to demonstrate its compliance with this clause. This requirement does not apply where the Processor employs fewer than 250 staff, unless:
- 23.29.1 the Controller determines that the processing is not occasional;
  - 23.29.2 the Controller determines the processing includes special categories of data as referred to in Article 9(1) of the GDPR or Personal Data relating to criminal convictions and offences referred to in Article 10 of the GDPR; or
  - 23.29.3 the Controller determines that the processing is likely to result in a risk to the rights and freedoms of Data Subjects.
- 23.30. The Processor shall allow for audits of its Data Processing activity by the Controller or the Controller's designated auditor.
- 23.31. Each Party shall designate its own data protection officer if required by the Data Protection Legislation.
- 23.32. Before allowing any Sub-processor to process any Personal Data related to this Contract, the Processor must:
- 23.32.1 notify the Controller in writing of the intended Sub-processor and processing;
  - 23.32.2 obtain the written consent of the Controller;
  - 23.32.3 enter into a written agreement with the Sub-processor which give effect to the terms set out in clauses 23.22 to 23.36 (Data Protection) such that they apply to the Sub-processor; and
  - 23.32.4 provide the Controller with such information regarding the Sub-processor as the Controller may reasonably require.
- 23.33. The Processor shall remain fully liable for all acts or omissions of any of its Sub-processors.
- 23.34. The Controller may, at any time on not less than 30 Working Days' notice, revise this clause by replacing it with any applicable controller to processor standard clauses or similar terms forming part of an applicable certification scheme (which shall apply when incorporated by attachment to this Contract).
- 23.35. The Parties agree to take account of any guidance issued by the Information Commissioner's Office. The Controller may on not less than 30 Working Days' notice to the Processor amend this agreement to ensure that it complies with any guidance issued by the Information Commissioner's Office.
- 23.36. Where the Parties include two or more Joint Controllers as identified in Contract Schedule 7 in accordance with GDPR Article 26, those Parties shall enter into a Joint Controller Agreement based on the terms outlined in Contract Schedule 8 in replacement of Clauses 23.22 to 23.36 for the Personal Data under Joint Control.

## **24. PUBLICITY AND BRANDING**

- 24.1. The Supplier shall not, without Approval (the decision of the Customer to Approve or not shall not be unreasonably withheld or delayed):
- 24.1.1 make any press announcements or publicise this Contract in any way; or
  - 24.1.2 use the Customer's name or brand in any promotion or marketing or announcement of orders,
- 24.2. Each Party acknowledges to the other that nothing in this Contract either expressly or by implication constitutes an endorsement of any products or services of the other Party (including the Services and Supplier Equipment) and each Party agrees not to conduct itself in such a way as to imply or express any such approval or endorsement.
- 24.3. The Supplier must adhere to the Communications Guidelines provided by the Customer, "Skills Bootcamps Communications Guidance". The Customer reserves the right to update the said document from time to time.

## **J. LIABILITY AND INSURANCE**

### **25. LIABILITY**

#### **Unlimited Liability**

25.1. Neither Party excludes or limits its liability for:

25.1.1 death or personal injury caused by its negligence, or that of its employees, agents or SubContractors (as applicable);

25.1.2 bribery or Fraud by it or its employees; or

25.1.3 any liability to the extent it cannot be excluded or limited by Law.

25.2. The Supplier does not exclude or limit its liability in respect of the indemnity in Clauses 22.18 to 22.20 (IPR Indemnity) and in each case whether before or after the making of a demand pursuant to the indemnity therein.

#### **Financial Limits**

25.3. The Supplier's total aggregate liability: in respect of all Losses incurred by the Customer under or in connection with this Contract as a result of Defaults shall in no event exceed: the higher of ten million pounds (£10,000,000) or a sum equal to one hundred and fifty per cent (150%) of the Contract Charges unless such Losses are in whole or in part incurred under Clauses 25.1 and / or 25.2 in which case the Supplier has unlimited liability.

25.4. Without prejudice to its obligation to pay the undisputed Contract Charges as and when they fall due for payment, the Customer's total aggregate liability in respect of all Losses shall be limited to a sum equal to Contract Charges unless such Losses are in whole or in part incurred under Clauses 25.1 and / or 25.2 in which case the Customer has unlimited liability.

#### **Non-recoverable Losses**

25.5. Subject to Clause 25.1 and 25.2 (Unlimited Liability) neither Party shall be liable to the other Party for any:

25.5.1 indirect, special or consequential Loss;

25.5.2 loss of profits, turnover, savings, business opportunities or damage to goodwill (in each case whether direct or indirect).

#### **Recoverable Losses**

25.6. Subject to Clause 25.3 and 25.4 (Financial Limits), and notwithstanding Clause 25.5 (Non-recoverable Losses), the Supplier acknowledges that the Customer may, amongst other things, recover from the Supplier the following Losses incurred by the Customer to the extent that they arise as a result of a Default by the Supplier:

25.6.1 any additional operational and/or administrative costs and expenses incurred by the Customer, including costs relating to time spent by or on behalf of the Customer in dealing with the consequences of the Default;

25.6.2 any wasted expenditure or Contract Charges;

25.6.3 the additional cost of procuring Replacement Services for the remainder of the Contract Period, which shall include any incremental costs associated with such Replacement Services above those which would have been payable under this Contract; 25.6.4 any compensation or interest paid to a third party by the Customer; and

25.6.5 any fine, penalty or costs incurred by the Customer pursuant to Law.

#### **Miscellaneous**

25.7. Each Party shall use all reasonable endeavours to mitigate any loss or damage suffered arising out of or in connection with this Contract.

25.8. Any Deductions shall not be taken into consideration when calculating the Supplier's liability under Clause 25.3 to 25.4 (Financial Limits).

25.9. Subject to any rights of the Customer under this Contract (including in respect of an IPR Claim), any claims by a third party where an indemnity is sought by that third party from a Party to this Contract shall be dealt with in accordance with the provisions of DPA Schedule 20 (Conduct of Claims).

#### **26. INSURANCE**

26.1. This Clause 26 will only apply where specified in the Contract Order Form or elsewhere in this Contract.

26.2. Notwithstanding any benefit to the Customer of the policy or policies of insurance referred to in Clause 31 (Insurance) of the DPA, the Supplier shall effect and maintain such further policy or policies of insurance or extensions to such existing policy or policies of insurance procured under the DPA in respect of all risks which may be incurred by the Supplier arising out of its performance of its obligations under this Contract.

26.3. Without limitation to the generality of Clause 26.2 the Supplier shall ensure that it maintains the following insurance policies at the level set out below in respect of each and every claim:

26.3.1 Employers' Liability - £10 million;

26.3.2 Public Liability - £10 million; and

26.3.3 Professional Indemnity - £5 million

26.4. The Supplier shall effect and maintain the policy or policies of insurance referred to in Clause 26.3 for the Contract Period and for six (6) years after the Contract Expiry Date.

26.5. The Supplier shall give the Customer, on request, copies of all insurance policies referred to in Clause 26 or a broker's verification of insurance to demonstrate that the appropriate cover is in place, together with receipts or other evidence of payment of the latest premiums due under those policies.

26.6. If, for whatever reason, the Supplier fails to give effect to and maintain the insurance policies required under Clause 26 the Customer may make alternative arrangements to protect its interests and may recover the premium and other costs of such arrangements as a debt due from the Supplier.

26.7. The provisions of any insurance or the amount of cover shall not relieve the Supplier of any liability under this Contract. It shall be the responsibility of the Supplier to determine the amount of insurance cover that will be adequate to enable the Supplier to satisfy any liability in relation to the performance of its obligations under this Contract.

26.8. The Supplier shall ensure that nothing is done which would entitle the relevant insurer to cancel, rescind or suspend any insurance or cover, or to treat any insurance, cover or claim as voided in whole or part. The Supplier shall use all reasonable endeavours to notify the Customer (subject to third party confidentiality obligations) as soon as practicable when it becomes aware of any relevant fact, circumstance or matter which has caused, or is reasonably likely to provide grounds to, the relevant insurer to give notice to cancel, rescind, suspend or void any insurance, or any cover or claim under any insurance in whole or in part.

#### **K. REMEDIES AND RELIEF**

## **27. CUSTOMER REMEDIES FOR DEFAULT**

### **Remedies**

27.1. Without prejudice to any other right or remedy of the Customer howsoever arising, if the Supplier commits any Default of this Contract then the Customer may (whether or not any part of the Services have been Delivered) do any of the following:

27.1.1 at the Customer's option, give the Supplier the opportunity (at the Supplier's expense) to remedy the Default together with any damage resulting from such Default (where such Default is capable of remedy) or to supply Replacement Services and carry out any other necessary work to ensure that the terms of this Contract are fulfilled, in accordance with the Customer's instructions;

27.1.2 carry out, at the Supplier's expense, any work necessary to make the provision of the Services comply with this Contract;

27.1.3 if the Default is a material Default that is capable of remedy (and for these purposes a material Default may be a single material Default or a number of Defaults or repeated Defaults - whether of the same or different obligations and regardless of whether such Defaults are remedied - which taken together constitute a material Default):

- (a) instruct the Supplier to comply with the Rectification Plan Process;
- (b) suspend this Contract (whereupon the relevant provisions of Clause 33 (Partial Termination, Suspension and Partial Suspension) shall apply) and step-in to itself supply or procure a third party to supply (in whole or in part) the Services;
- (c) without terminating or suspending the whole of this Contract, terminate or suspend this Contract in respect of part of the provision of the Services only (whereupon the relevant provisions of Clause 33 (Partial Termination, Suspension and Partial Suspension) shall apply) and step-in to itself supply or procure a third party to supply (in whole or in part) such part of the Services;

27.2. Where the Customer exercises any of its step-in rights under Clauses 27.1.3(b) or 27.1.3(c), the Customer shall have the right to charge the Supplier for and the Supplier shall on demand pay any costs reasonably incurred by the Customer (including any reasonable administration costs) in respect of the supply of any part of the Services by the Customer or a third party and provided that the Customer uses its reasonable endeavours to mitigate any additional expenditure in obtaining Replacement Services.

### **Rectification Plan Process**

27.3. Where the Customer has instructed the Supplier to comply with the Rectification Plan Process pursuant to Clause 27.1.3(a):

27.3.1 the Supplier shall submit a draft Rectification Plan to the Customer for it to review as soon as possible and in any event within 10 (ten) Working Days (or such other period as may be agreed between the Parties) from the date of Customer's instructions. The Supplier shall submit a draft Rectification Plan even if the Supplier disputes that it is responsible for the Default giving rise to the Customer's request for a draft Rectification Plan.

27.3.2 the draft Rectification Plan shall set out:

- (a) full details of the Default that has occurred, including a cause analysis;
- (b) the actual or anticipated effect of the Default; and
- (c) the steps which the Supplier proposes to take to rectify the Default (if applicable) and to prevent such Default from recurring, including timescales for such steps and for the rectification of the Default (where applicable).

27.4. The Supplier shall promptly provide to the Customer any further documentation that the Customer requires to assess the Supplier's root cause analysis. If the Parties do not agree on the root cause set

out in the draft Rectification Plan, either Party may refer the matter to be determined by an expert in accordance with paragraph 5 of Contract Schedule 6 (Dispute Resolution Procedure).

- 27.5. The Customer may reject the draft Rectification Plan by notice to the Supplier if, acting reasonably, it considers that the draft Rectification Plan is inadequate, for example because the draft Rectification Plan:
- 27.5.1 is insufficiently detailed to be capable of proper evaluation;
  - 27.5.2 will take too long to complete;
  - 27.5.3 will not prevent reoccurrence of the Default; and/or
  - 27.5.4 will rectify the Default but in a manner which is unacceptable to the Customer.
- 27.6. The Customer shall notify the Supplier whether it consents to the draft Rectification Plan as soon as reasonably practicable. If the Customer rejects the draft Rectification Plan, the Customer shall give reasons for its decision and the Supplier shall take the reasons into account in the preparation of a revised Rectification Plan. The Supplier shall submit the revised draft of the Rectification Plan to the Customer for review within five (5) Working Days (or such other period as agreed between the Parties) of the Customer's notice rejecting the first draft.
- 27.7. If the Customer consents to the Rectification Plan, the Supplier shall immediately start work on the actions set out in the Rectification Plan.

## **L. OFSTED**

### **28. OFSTED MONITORING VISITS**

- 28.1. Where the Customer is made aware that OFSTED has assessed the Supplier as having made "insufficient progress" after a monitoring visit, the Customer may, at its absolute discretion take one or more of the following actions:
- 28.1.1 require the Supplier to accept and comply with additional contractual obligations relating to the improvement of the Services assessed as insufficient progress;
  - 28.1.2 reduce and / or recover from the Supplier Contract Charges paid by the Customer in respect of that part of the Services that has been assessed as having made insufficient progress;
  - 28.1.3 suspend the payment of Contract Charges for current Learners for a specified period;
  - 28.1.4 not consider any applications or pay any Contract Charges for new Learners for a specified period;
  - 28.1.5 require the Supplier to inform all of its existing Employers and Subcontractors as relevant, about the outcome of the OFSTED visit;
  - 28.1.6 require the Supplier to enter into a subcontracting arrangement with another provider in order to ensure that there is minimal disruption to the Supplier's current Learners;
  - 28.1.7 terminate this Contract for material Default in accordance with Clause 30.1.3 (Termination) where Learners may be at immediate risk on the grounds of safeguarding issues and / or the quality of leadership and / or training provision is such that one or more Learner has no reasonable prospect of achieving his or her training objective; and / or
  - 28.1.8 terminate this Contract for material Default in accordance with Clause 30.1.3 (Termination) if the Supplier has two consecutive monitoring visits each resulting in one or more "insufficient progress" judgements in relation to one or more themes
- 28.2. Where the Customer is made aware that OFSTED has assessed a Subcontractor to the Supplier as having made "insufficient progress" during OFSTED's Supplier monitoring visits, if requested in writing by the Customer, the Supplier will suspend the delivery of the Services under the Subcontract and shall not subcontract any further Services to the Subcontractor until permitted to do so by the Customer.

## **OFSTED Inspection**

- 28.3. When the Supplier receives notification from OFSTED that the Services are going to be inspected, the Supplier shall on request provide the Customer with details of its quality improvement activity, and any other relevant information at the same time as it submits it to OFSTED in accordance with the timescale specified by OFSTED. The Supplier must notify the Customer in writing of the date of the meeting at which OFSTED will give feedback on the inspection and allow the Customer's nominated representative to attend the meeting. The Supplier must confirm to the Customer in writing the outcome of the inspection within 5 Working Days of receiving OFSTED's feedback.
- 28.4. OFSTED may, at any time during the Contract Period, undertake an inspection of the Supplier. The Customer will consider the outcome of any such inspection as follows

### **Inadequate in Part**

- 28.5. Where OFSTED has assessed the Services to be inadequate in part, the Customer may, at its absolute discretion, take one or more of the following actions;
- 28.5.1 require the Supplier to accept and comply with additional contractual obligations relating to the improvement of the Services assessed as inadequate;
  - 28.5.2 suspend the payment of Contract Charges for current Learners for a specified period;
  - 28.5.3 not consider any applications or pay any Contract Charges for new Learners for a specified period;
  - 28.5.4 reduce and / or recover from the Supplier Contract Charges paid by the Customer in respect of that part of the Services that has been assessed as inadequate;
  - 28.5.5 require the Supplier to inform all of its existing Employers, Subcontractors and EmployerProviders, as relevant, about the outcome of the OFSTED visit; and / or
  - 28.5.6 terminate this Contract for material Default in accordance with Clause 30.1.3 (Termination) where Learners may be at immediate risk on the grounds of safeguarding issues and / or the quality of leadership and / or training provision within the 'adult learning programmes' judgment is judged inadequate.

### **Inadequate Overall**

- 28.6. Where OFSTED has assessed the Services as inadequate overall, the Customer may, at its absolute discretion take one or more of the following actions:
- 28.6.1 require the Supplier to accept and comply with additional conditions relating to the improvement of the overall Services;
  - 28.6.2 suspend the payment of Contract Charges to the Supplier in relation to Learners for a specified period;
  - 28.6.3 not consider any applications or pay any Contract Charges for new Learners for a specified period;
  - 28.6.4 give consideration to the assessment of inadequate when considering access to Contract Charges in any subsequent agreements and / or contracts between the Parties;
  - 28.6.5 reduce and / or recover the Contract Charges paid to the Supplier;
  - 28.6.6 require the Supplier to inform all of its existing Employers, Subcontractors and EmployerProviders, as relevant, about the outcome of the OFSTED visit; and / or
  - 28.6.7 terminate this Contract for material Default in accordance with Clause 30.1 (Termination) if Learners may be at immediate risk on the grounds of safeguarding issues; and / or the quality of leadership is inadequate; and / or training provision within the 'adult learning programmes' is judged to be inadequate.



- 28.7. If a Sub-Contractor is assessed by OFSTED as having made “insufficient progress” in relation to one or more themes during an OFSTED monitoring visit, or judged as ‘inadequate’ in one or more theses in an Ofsted full inspection, unless permitted to continue by the Customer, the Supplier shall suspend delivery of the Services under the Sub-Contract and shall not subcontract any further Services to that Sub-Contractor.
- 28.8. The failure of the Supplier, as assessed by the Customer, to comply with any requirements of Clauses 28.1, 28.5 and 28.6 within such time as the Customer may deem reasonable may lead to the Customer taking such action as it deems appropriate which may include, but is not limited to, terminating this Contract in accordance with Clause 30.1.5 (Termination).
- 28.9. The Customer will take action based on OFSTED’s provisional and confirmed outcomes. Where the Customer is made aware that the Supplier has made a complaint about the outcome of the OFSTED assessment, the Customer will continue to consider what action it needs to take under this Contract but will be mindful of the implications arising from the outcome of a complaint. The Customer will review any decisions made at such time when the outcome of any complaint is made known.

## **29. FORCE MAJEURE**

- 29.1. Subject to the remainder of Clause L, a Party may claim relief under Clause L from liability for failure to meet its obligations under this Contract for as long as and only to the extent that the performance of those obligations is directly affected by a Force Majeure Event. Any failure or delay by the Supplier in performing its obligations under this Contract which results from a failure or delay by an agent, SubContractor or supplier shall be regarded as due to a Force Majeure Event only if that agent, SubContractor or supplier is itself impeded by a Force Majeure Event from complying with an obligation to the Supplier.
- 29.2. The Affected Party shall as soon as reasonably practicable issue a Force Majeure Notice, which shall include details of the Force Majeure Event, its effect on the obligations of the Affected Party and any action the Affected Party proposes to take to mitigate its effect.
- 29.3. If the Supplier is the Affected Party, it shall not be entitled to claim relief under Clause L to the extent that consequences of the relevant Force Majeure Event:
- 29.3.1 are capable of being mitigated by any of the provision of any Services, but the Supplier has failed to do so; and/or
  - 29.3.2 should have been foreseen and prevented or avoided by a prudent provider of services similar to the Services, operating to the standards required by this Contract.
- 29.4. Subject to Clause 29.5, as soon as practicable after the Affected Party issues the Force Majeure Notice, and at regular intervals thereafter, the Parties shall consult in good faith and use reasonable endeavours to agree any steps to be taken and an appropriate timetable in which those steps should be taken, to enable continued provision of the Services affected by the Force Majeure Event.
- 29.5. The Parties shall at all times following the occurrence of a Force Majeure Event and during its subsistence use their respective reasonable endeavours to prevent and mitigate the effects of the Force Majeure Event. Where the Supplier is the Affected Party, it shall take all steps in accordance with Good Industry Practice to overcome or minimise the consequences of the Force Majeure Event.
- 29.6. Where, as a result of a Force Majeure Event, an Affected Party fails to perform its obligations in accordance with this Contract, then during the continuance of the Force Majeure Event:
- 29.6.1 the other Party shall not be entitled to exercise any rights to terminate this Contract in whole or in part as a result of such failure unless the provision of the Services is materially impacted by a Force Majeure Event which endures for a continuous period of more than ninety (90) days; and
  - 29.6.2 the Supplier shall not be liable for any Default arising as a result of such failure;
- 29.7. Where, as a result of a Force Majeure Event the Supplier fails to perform its obligations in accordance with this Contract:

- 29.7.1 the Customer shall not be entitled: during the continuance of the Force Majeure Event to exercise its step-in rights under Clause 27.1.2 and 27.1.3 (Customer Remedies for Default) as a result of such failure
- 29.7.2 the Supplier shall be entitled to receive payment of the Contract Charges (or a proportional payment of them) only to the extent that the Services (or part of the Services) continue to be provided in accordance with the terms of this Contract during the occurrence of the Force Majeure Event.
- 29.8. The Affected Party shall notify the other Party as soon as practicable after the Force Majeure Event ceases or no longer causes the Affected Party to be unable to comply with its obligations under this Contract.
- 29.9. Relief from liability for the Affected Party under Clause L shall end as soon as the Force Majeure Event no longer causes the Affected Party to be unable to comply with its obligations under this Contract and shall not be dependent on the serving of notice under Clause 29.8.

## **M. TERMINATION AND EXIT MANAGEMENT**

### **30. CUSTOMER TERMINATION RIGHTS**

#### **Termination on Material Default**

- 30.1. The Customer may terminate this Contract for material Default by issuing a Termination Notice to the Supplier where:
- 30.1.1 the representation and warranty given by the Supplier pursuant to Clause 4.2.5 (Representations and Warranties) is materially untrue or misleading, and the Supplier fails to provide details of proposed mitigating factors which in the reasonable opinion of the Customer are acceptable;
- 30.1.2 as a result of any Defaults, the Customer incurs Losses in any Contract Year which exceed 80% (unless stated differently in the Contract Order Form) of the value of the Supplier's aggregate annual liability limit for that Contract Year as set out in Clauses 25.3 and 25.4 (Liability) ;
- 30.1.3 the Customer expressly reserves the right to terminate this Contract for material Default, including pursuant to any of the following Clauses: 10.4 (Disruption), 12.5 (Records, Audit Access and Open Book Data), 14.10.6 (ILR Data, Contract Charges and Payment) 15 (Promoting Tax Compliance), 23.18 (Confidentiality), 28.1.7 (Ofsted Monitoring Visits), 28.1.8 (Ofsted Monitoring Visits), 28.6.7 (Ofsted Inadequate Overall) 39.6.2 (Prevention of Fraud and Bribery), Paragraph 1.2.4 of the Annex to Part A and Paragraph 1.2.4 of the Annex to Part B of Contract Schedule 5 (Staff Transfer);
- 30.1.4 the Supplier commits any material Default of this Contract which is not, in the reasonable opinion of the Customer, capable of remedy; and/or
- 30.1.5 the Supplier commits a Default, including a material Default, which in the opinion of the Customer is remediable but has not remedied such Default to the satisfaction of the Customer in accordance with the Rectification Plan Process.
- 30.2. For the purpose of Clause 30.1, a material Default may be a single material Default or a number of Defaults or repeated Defaults (whether of the same or different obligations and regardless of whether such Defaults are remedied) which taken together constitute a material Default.

#### **Termination in Relation to Financial Standing**

- 30.3. The Customer may terminate this Contract by issuing a Termination Notice to the Supplier:
- 30.3.1 in the circumstances set out in Paragraph 6 of Schedule 15 (Financial Distress); or

30.3.2 where in the reasonable opinion of the Customer there is a material detrimental change in the financial standing and/or the credit rating of the Supplier which adversely impacts on the Supplier's ability to supply the Services under this Contract or which could reasonably be expected to have an adverse impact on the Supplier's ability to supply the Services under this Contract.

#### **Termination on Insolvency**

30.4. The Customer may terminate this Contract by issuing a Termination Notice to the Supplier where an Insolvency Event affecting the Supplier occurs.

#### **Termination on Change of Control**

30.5. The Supplier shall notify the Customer immediately in writing and as soon as the Supplier is aware (or ought reasonably to be aware) that it is anticipating, undergoing, undergoes or has undergone a Change of Control and provided such notification does not contravene any Law.

30.6. The Supplier shall ensure that any notification made pursuant to Clause 30.5 shall set out full details of the Change of Control including the circumstances suggesting and/or explaining the Change of Control.

30.7. The Customer may terminate this Contract by issuing a Termination Notice to the Supplier within six (6) Months of:

30.7.1 being notified in writing that a Change of Control is anticipated or in contemplation or has occurred; or

30.7.2 where no notification has been made, the date that the Customer becomes aware that a Change of Control is anticipated or is in contemplation or has occurred, but shall not be permitted to terminate where an Approval was granted prior to the Change of Control

#### **Termination in relation to IPR Indemnity**

30.8. The Customer may terminate this Contract with immediate effect by issuing a Termination Notice to the Supplier where the circumstances in Clause 22.20.1 (IPR Indemnity) arise.

#### **Termination for breach of Regulations**

30.9. The Customer may terminate this Contract by issuing a Termination Notice to the Supplier on the occurrence of any of the statutory provisos contained in Regulation 73 (1) (a) to (c) or any applicable relevant successor legislation.

#### **Termination Without Cause**

30.10. The Customer shall have the right to terminate this Contract at any time by issuing a Termination Notice to the Supplier giving at least ninety (90) Working Days written notice (unless stated differently in the Contract Order Form).

#### **Termination in Relation to Dynamic Purchasing Agreement**

30.11. The Customer may terminate this Contract by issuing a Termination Notice to the Supplier if a Call Off Contract entered under this Contract is terminated for any reason whatsoever.

### **31. SUPPLIER TERMINATION RIGHTS**

#### **Termination on Customer Failure to Pay**

31.1. The Supplier may, by issuing a Termination Notice to the Customer, terminate this Contract if the Customer fails to pay an undisputed sum due to the Supplier under this Contract which in aggregate exceeds an amount equal to one month's average Contract Charges (unless a different amount has been specified in the Contract Order Form), for the purposes of this Clause 31.1 (the "**Undisputed Sums Limit**"), and the said undisputed sum due remains outstanding for forty (40) Working Days (the "**Undisputed Sums**").

**Time Period**") after the receipt by the Customer of a written notice of non-payment from the Supplier specifying:

- 31.1.1 the Customer's failure to pay; and
- 31.1.2 the correct overdue and undisputed sum; and
- 31.1.3 the reasons why the undisputed sum is due; and
- 31.1.4 the requirement on the Customer to remedy the failure to pay;

31.2. If a Termination Notice is issued in accordance with Clause 31.1 this Contract will terminate on the date specified in the Termination Notice (which shall not be less than twenty (20) Working Days from the date of the issue of the Termination Notice).

31.3. Termination rights under Clause 31.1 shall not apply where the failure to pay is due to the Customer exercising its right(s) of retention and/or set off.

31.4. The Supplier shall not suspend the supply of the Services for failure of the Customer to pay undisputed sums of money (whether in whole or in part).

## **32. TERMINATION BY EITHER PARTY**

32.1. Either Party may, by issuing a Termination Notice to the other Party, terminate this Contract in accordance with Clause 29.6.1 (Force Majeure).

## **33. PARTIAL TERMINATION, SUSPENSION AND PARTIAL SUSPENSION**

33.1. Where the Customer has the right to terminate this Contract, the Customer shall be entitled to terminate or suspend all or part of this Contract provided always that, if the Customer elects to terminate or suspend this Contract in part, the parts of this Contract not terminated or suspended can, in the Customer's reasonable opinion, operate effectively to deliver the intended purpose of the surviving parts of this Contract.

33.2. Any suspension of this Contract under Clause 33.1 shall be for such period as the Customer may specify and without prejudice to any right of termination which has already accrued, or subsequently accrues, to the Customer.

## **34. CONSEQUENCES OF EXPIRY OR TERMINATION**

**Consequences of termination under Clauses 30.1 and 30.2 (Termination on Material Default), 30.3 (Termination in Relation to Financial Standing), 30.11 (Termination in Relation to DPA)**

34.1. Where the Customer:

34.1.1 terminates (in whole or in part) this Contract under any of Clauses 30.1, 30.2, 30.3 and 30.11; and

34.1.2 then makes other arrangements for the supply of the Services,

the Customer may recover from the Supplier the cost reasonably incurred of making those other arrangements and any additional expenditure incurred by the Customer throughout the remainder of the Contract Period provided that Customer shall take all reasonable steps to mitigate such additional expenditure. No further payments shall be payable by the Customer to the Supplier until the Customer has established the final cost of making those other arrangements

**Consequences of termination under Clause 30.10 (Termination without Cause) and 31.1 (Termination on Customer Failure to Pay)**

34.2. Where:

34.2.1 the Customer terminates (in whole or in part) this Contract under Clause 30.10 (Termination without Cause); or

34.2.2 the Supplier terminates this Contract pursuant to Clause 31.1 (Termination on Customer Failure to Pay),

the Customer shall indemnify the Supplier against any reasonable and proven Losses which would otherwise represent an unavoidable loss by the Supplier by reason of the termination of this Contract.

34.3. The Supplier shall take all reasonable steps to mitigate Losses identified in accordance with Clause 34.2.

34.4. The Supplier shall submit a fully itemised and costed list of such Losses identified in accordance with supporting evidence including such further evidence as the Customer may require, reasonably and actually incurred by the Supplier.

34.5. The Customer shall not be liable under Clause 34.2 to pay any sum which:

34.5.1 was claimable under insurance held by the Supplier, and the Supplier has failed to make a claim on its insurance, or has failed to make a claim in accordance with the procedural requirements of the insurance policy; or

34.5.2 when added to any sums paid or due to the Supplier under this Contract, exceeds the total sum that would have been payable to the Supplier if this Contract had not been terminated.

**Consequences of termination under Clause 32.1 (Termination for Continuing Force Majeure Event)**

34.6. The costs of termination incurred by the Parties shall lie where they fall if either Party terminates or partially terminates this Contract for a continuing Force Majeure Event pursuant to Clause 32.1 (Termination for Continuing Force Majeure Event).

**Consequences of Termination for any reason**

34.7. Save as otherwise expressly provided in this Contract,

34.7.1 termination or expiry of this Contract shall be without prejudice to any rights, remedies or obligations accrued under this Contract prior to termination or expiration and nothing in this Contract shall prejudice the right of either Party to recover any amount outstanding at the time of such termination or expiry; and

34.7.2 termination of this Contract shall not affect the continuing rights, remedies or obligations of the Customer or the Supplier under Clauses 12 (Records, Audit Access & Open Book Data), 22 (Intellectual Property Rights), 23.10 to 23.18 (Confidentiality), (Freedom of Information) 23.22 to 23.36 (Data Protection), 25 (Liability), 34 (Consequences of Expiry or Termination), 40 (Severance), 43 (Entire Agreement), 44 (Third Party Rights) 46 (Dispute Resolution) and 47 (Governing Law and Jurisdiction), and the provisions of Contract Schedule 1 (Definitions), Contract Schedule 3 (Contract Charges, Payment and Invoicing), Contract Schedule 5 (Staff Transfer), Contract Schedule 6 (Dispute Resolution Procedure) and, without limitation to the foregoing, any other provision of this Contract which expressly or by implication is to be performed or observed notwithstanding termination or expiry shall survive the Contract Expiry Date.

34.7.3 On or before the Contract Expiry Date or Termination Date, the Supplier must ensure that all documents or computer records in its possession, custody or control including but not limited to e-portfolios, which contain information relating to the Services including any documents in the possession, custody or control of a Sub-Contractor are made available upon request to the Customer.

34.7.4 For the avoidance of doubt, after notice of termination and/or an Insolvency Event affecting the Supplier, the Supplier must not share any information about Learners, including but not limited to Department Data, with another organisation unless the Department provides written authorisation for the Contractor to do so.

34.7.5 The Supplier hereby grants the Customer a non-exclusive licence to access the Supplier's Premises from the date of a notice of termination for such periods as may be reasonably necessary to enable the Customer to retrieve the information referred to in Clause 34.7.3. The Customer will exercise the rights provided under this clause where the Supplier has failed to comply with Clause 34.7.3.

34.7.6 The Customer reserves the right to retain Contract Charges that would otherwise be paid to the Supplier prior to the Expiry Date or Termination Date and/or to demand repayment of Contract Charges, as relevant, in order to reconcile what has already been paid to the Supplier under this Contract.

34.7.7 Where this Contract is terminated or expires, the Customer may elect to take the role of Data Controller to secure and protect Learner Files, Learner Records and/or Evidence Packs, including e-portfolios until the Learner information can be transferred to a new provider, the Learner, or destroyed in accordance with defined retention periods. If the Customer elects to assume this role, the Supplier shall co-operate fully to facilitate this.

34.7.8 On expiry or termination of this Contract for any reason, the Supplier shall do its utmost to minimise any disruption to Learners and shall co-operate fully with any reasonable requests made by the Customer relating to this. For the avoidance of doubt the

Department will be entitled to request that where the Supplier cannot complete Learners that it will co-operate in transferring the Learners to a new provider even if this is prior to the Termination Date or Expiry Date of this Contract and the Supplier's Exit Plan should reflect this. The Customer will not be liable for any costs prior to or after the Termination Date or Expiry Date incurred by the Supplier in complying with this Clause 34.7.8.

34.7.9 If the Supplier does not co-operate with the Customer in relation to exit and the Customer incurs additional expenditure of any description as a result, the Customer reserves the right to require the Supplier to reimburse the Customer for this additional expenditure.

## **N. MISCELLANEOUS AND GOVERNING LAW**

### **35. COMPLIANCE**

#### **Health and Safety**

35.1. The Supplier shall perform its obligations under this Contract (including those in relation to the Services) in accordance with:

35.1.1 all applicable Law regarding health and safety; and

35.1.2 the Customer's health and safety policy (as provided to the Supplier from time to time) whilst at the Customer Premises.

35.2. Each Party shall promptly notify the other of as soon as possible of any health and safety incidents or material health and safety hazards at the Customer Premises of which it becomes aware and which relate to or arise in connection with the performance of this Contract

35.3. While on the Customer Premises, the Supplier shall comply with any health and safety measures implemented by the Customer in respect of Supplier Personnel and other persons working there and any instructions from the Customer on any necessary associated safety measures.

#### **Equality and Diversity**

35.4. The Supplier shall:

35.4.1 perform its obligations under this Contract (including those in relation to the Services) in accordance with:

- (a) all applicable equality Law (whether in relation to race, sex, gender reassignment, age, disability, sexual orientation, religion or belief, pregnancy, maternity or otherwise);
- (b) the Authority's equality and diversity policy as provided to the Supplier from time to time; and
- (c) any other requirements and instructions which the Authority reasonably imposes in connection with any equality obligations imposed on the Authority at any time under applicable equality Law; and

35.4.2 take all necessary steps, and inform the Authority of the steps taken, to prevent unlawful discrimination designated as such by any court or tribunal, or the Equality and Human Rights Commission or (any successor organisation).

#### **Finance Act**

35.5. The Supplier shall comply with the provisions of section 182 of the Finance Act 1989.

#### **Environmental Requirements**

35.6. The Supplier shall, when working on the Sites, perform its obligations under this Contract in accordance with the Environmental Policy of the Customer.

35.7. The Customer shall provide a copy of its written Environmental Policy (if any) to the Supplier upon the Supplier's written request.

#### **36. ASSIGNMENT AND NOVATION**

36.1. The Supplier shall not assign, novate, Sub-Contract or otherwise dispose of or create any trust in relation to any or all of its rights, obligations or liabilities under this Contract or any part of it without Approval.

36.2. The Customer may assign, novate or otherwise dispose of any or all of its rights, liabilities and obligations under this Contract or any part thereof to:

36.2.1 any other Contracting Authority; or

36.2.2 any other body established by the Crown or under statute in order substantially to perform any of the functions that had previously been performed by the Customer; or

36.2.3 any private sector body which substantially performs the functions of the Customer, and the Supplier shall, at the Customer's request, enter into a novation agreement in such form as the Customer shall reasonably specify in order to enable the Customer to exercise its rights pursuant to this Clause 36.2.

36.3. A change in the legal status of the Customer shall not, subject to Clause 36.4 affect the validity of this Contract and this Contract shall be binding on any successor body to the Customer.

36.4. If the Customer assigns, novates or otherwise disposes of any of its rights, obligations or liabilities under this Contract to a private sector body in accordance with Clause 36.2.3 (the "Transferee" in the rest of this Clause 36.4 the right of termination of the Customer in Clause 30.4 (Termination on Insolvency) shall be available to the Supplier in the event of insolvency of the Transferee (as if the references to Supplier in Clause 30.4 (Termination on Insolvency) and to Supplier or DPA Guarantor or Contract Guarantor in the definition of Insolvency Event were references to the Transferee).

#### **37. WAIVER AND CUMULATIVE REMEDIES**

37.1. The rights and remedies under this Contract may be waived only by notice and in a manner that expressly states that a waiver is intended. A failure or delay by a Party in ascertaining or exercising a right or remedy provided under this Contract or by law shall not constitute a waiver of that right or remedy, nor shall it prevent or restrict the further exercise of that or any other right or remedy. No single or partial exercise of any right or remedy shall prevent or restrict the further exercise of that or any other right or remedy.

37.2. Unless otherwise provided in this Contract, rights and remedies under this Contract are cumulative and do not exclude any rights or remedies provided by law, in equity or otherwise.

### **38. RELATIONSHIP OF THE PARTIES**

38.1. Except as expressly provided otherwise in this Contract, nothing in this Contract, nor any actions taken by the Parties pursuant to this Contract, shall create a partnership, joint venture or relationship of employer and employee or principal and agent between the Parties, or authorise either Party to make representations or enter into any commitments for or on behalf of any other Party.

### **39. PREVENTION OF FRAUD AND BRIBERY**

39.1. The Supplier represents and warrants that neither it, nor to the best of its knowledge any Supplier Personnel, have at any time prior to the Contract Commencement Date:

39.1.1 committed a Prohibited Act or been formally notified that it is subject to an investigation or prosecution which relates to an alleged Prohibited Act; and/or

39.1.2 been listed by any government department or agency as being debarred, suspended, proposed for suspension or debarment, or otherwise ineligible for participation in government procurement programmes or contracts on the grounds of a Prohibited Act.

39.2. The Supplier shall not during the Contract Period:

39.2.1 commit a Prohibited Act; and/or

39.2.2 do or suffer anything to be done which would cause the Customer or any of the Customer's employees, consultants, contractors, sub-contractors or agents to contravene any of the Relevant Requirements or otherwise incur any liability in relation to the Relevant Requirements.

39.3. The Supplier shall during the Contract Period:

39.3.1 establish, maintain and enforce, and require that its Sub-Contractors establish, maintain and enforce, policies and procedures which are adequate to ensure compliance with the Relevant Requirements and prevent the occurrence of a Prohibited Act;

39.3.2 keep appropriate records of its compliance with its obligations under Clause 39.3.1 and make such records available to the Customer on request;

39.3.3 have in place reasonable prevention measures (as defined in sections 45(3) and 46(4) of the Criminal Finance Act 2017) to ensure that Associated Persons of the Supplier do not commit tax evasion facilitation offences as defined under that Act;

39.3.4 if so required by the Customer, within twenty (20) Working Days of the Contract Commencement Date, and annually thereafter, certify to the Customer in writing that the Supplier and all persons associated with it or its Sub-Contractors or other persons who are supplying the Services in connection with this Contract are compliant with the Relevant Requirements. The Supplier shall provide such supporting evidence of compliance as the Customer may reasonably request;

39.3.5 take account of any guidance about preventing facilitation of tax evasion offences which may be published and updated in accordance with Section 47 of the Criminal Finances Act 2017 and

39.3.6 have, maintain and where appropriate enforce an anti-bribery policy (which shall be disclosed to the Customer on request) to prevent it and any Supplier Personnel or any person acting on the Supplier's behalf from committing a Prohibited Act.

39.4. The Supplier shall immediately notify the Customer in writing if it becomes aware of any breach of Clause 39.1 and/or Clause 39.2, or has reason to believe that it has or any of the Supplier Personnel have:

39.4.1 been subject to an investigation or prosecution which relates to an alleged Prohibited Act;



- 39.4.2 been listed by any government department or agency as being debarred, suspended, proposed for suspension or debarment, or otherwise ineligible for participation in government procurement programmes or contracts on the grounds of a Prohibited Act; and/or
- 39.4.3 received a request or demand for any undue financial or other advantage of any kind in connection with the performance of this Contract or otherwise suspects that any person or Party directly or indirectly connected with this Contract has committed or attempted to commit a Prohibited Act.
- 39.5. If the Supplier makes a notification to the Customer pursuant to Clause 39.4, the Supplier shall respond promptly to the Customer's enquiries, co-operate with any investigation, and allow the Customer to audit any books, records and/or any other relevant documentation in accordance with Clause 12 (Records, Audit Access and Open Book Data).
- 39.6. If the Supplier is in Default under Clauses 39.1 and/or 39.2, the Customer may by notice:
- 39.6.1 require the Supplier to remove from performance of this Contract any Supplier Personnel whose acts or omissions have caused the Default; or
- 39.6.2 immediately terminate this Contract.
- 39.7. Any notice served by the Customer under Clause 39.4 shall specify the nature of the Prohibited Act, the identity of the Party who the Customer believes has committed the Prohibited Act and the action that the Customer has elected to take (including, where relevant, the date on which this Contract shall terminate).

#### **40. SEVERANCE**

- 40.1. If any provision of this Contract (or part of any provision) is held to be void or otherwise unenforceable by any court of competent jurisdiction, such provision (or part) shall to the extent necessary to ensure that the remaining provisions of this Contract are not void or unenforceable be deemed to be deleted and the validity and/or enforceability of the remaining provisions of this Contract shall not be affected.
- 40.2. In the event that any deemed deletion under Clause 40.1 is so fundamental as to prevent the accomplishment of the purpose of this Contract or materially alters the balance of risks and rewards in this Contract, either Party may give notice to the other Party requiring the Parties to commence good faith negotiations to amend this Contract so that, as amended, it is valid and enforceable, preserves the balance of risks and rewards in this Contract and, to the extent that is reasonably practicable, achieves the Parties' original commercial intention.
- 40.3. If the Parties are unable to resolve the Dispute arising under Clause 40 within twenty (20) Working Days of the date of the notice given pursuant to Clause 40.2, this Contract shall automatically terminate with immediate effect. The costs of termination incurred by the Parties shall lie where they fall if this Contract is terminated pursuant to Clause 40.

#### **41. MODERN SLAVERY**

- 41.1. The Supplier:
- 41.1.1 shall not use, nor allow its Sub-Contractors to use forced, bonded or involuntary prison labour;
- 41.1.2 shall not require any Supplier Personnel or the personnel of any Sub-Contractors to lodge deposits or identity papers with their employer and shall be free to leave their employer after reasonable notice;
- 41.1.3 warrants and represents that it has not been convicted of any slavery or human trafficking offences anywhere around the world;
- 41.1.4 warrants that to the best of its knowledge it is not currently under investigation, inquiry or enforcement proceedings in relation to any allegation of slavery or human trafficking offences anywhere around the world;

- 41.1.5 shall make reasonable enquires to ensure that its officers, employees and sub-contractors have not been convicted of slavery or human trafficking offences anywhere around the world;
  - 41.1.6 shall have and maintain throughout the Contract Period its own policies and procedures to ensure its compliance with the Modern Slavery Act 2015 and include in its contracts with its Sub-Contractors anti-slavery and human trafficking provisions;
  - 41.1.7 shall implement due diligence procedures to ensure that there is no slavery or human trafficking in any part of its supply chain performing obligations under the Contract;
  - 41.1.8 shall prepare and deliver to the Customer, an annual slavery and human trafficking report setting out the steps it has taken to ensure that slavery and human trafficking is not taking place in any of its supply chains or in any part of its business;
  - 41.1.9 shall not use, nor allow its employees or Sub-Contractors to use physical abuse or discipline, the threat of physical abuse, sexual or other harassment and verbal abuse or other forms of intimidation of its employees or sub-contractors;
  - 41.1.10 shall not use or allow child or slave labour to be used by its Sub-contractors; and
  - 41.1.11 shall report the discovery or suspicion of any slavery or trafficking by it or its subcontractors to the Customer and the Modern Slavery Helpline (modernslaveryhelpline.org).
- 41.2. In addition to any other rights under the Contract, the Customer may instruct the Supplier to carry out such an audit of any Sub-Contractor by an independent third party and, if so instructed, the Supplier shall deliver a report to the Customer within ninety (90) days of such instruction.
- 41.3. If the Supplier notifies the Customer pursuant to Clause 41.5 it shall respond promptly to the Customer's enquiries, co-operate with any investigation, and allow the Customer to audit any books, records and/or any other relevant documentation in accordance with the Contract.
- 41.4. If the Supplier is in Default under Clause 41.1 the Customer may by notice:
- 41.4.1 require the Supplier to remove from performance of the Contract any Sub-Contractor, Supplier Personnel or other persons associated with it whose acts or omissions have caused the Default; or
  - 41.4.2 immediately terminate the Contract.

#### **Whistleblowing**

- 41.5. As soon as it is aware of it the Supplier and Supplier Personnel must report to the Customer any actual or suspected breach of;
  - 41.5.1 Law;
  - 41.5.2 Clauses 41.1
- 41.6. The Supplier must not retaliate against any of the Supplier Personnel who in good faith reports a breach listed in this Clause to the Customer or a Prescribed Person.

#### **42. FURTHER ASSURANCES**

- 42.1. Each Party undertakes at the request of the other, and at the cost of the requesting Party to do all acts and execute all documents which may be necessary to give effect to the meaning of this Contract.

#### **43. ENTIRE AGREEMENT**

- 43.1. This Contract and the documents referred to in it constitute the entire agreement between the Parties in respect of the matter and supersede and extinguish all prior negotiations, course of dealings or agreements made between the Parties in relation to its subject matter, whether written or oral.

43.2. Neither Party has been given, nor entered into this Contract in reliance on, any warranty, statement, promise or representation other than those expressly set out in this Contract.

43.3. Nothing in Clause 43 shall exclude any liability in respect of misrepresentations made fraudulently.

#### **44. THIRD PARTY RIGHTS**

44.1. The provisions of paragraphs 2.1 and 2.6 of Part A, paragraphs 2.1, 2.6, 3.1 and 3.3 of Part B, paragraphs 2.1 and 2.3 of Part C and paragraphs and 1.4, 2.3 and 2.8 of Part D of Contract Schedule 5 (Staff Transfer) (together "**Third Party Provisions**") confer benefits on persons named in such provisions other than the Parties (each such person a "**Third Party Beneficiary**") and are intended to be enforceable by Third Parties Beneficiaries by virtue of the CRTPA.

44.2. Subject to Clause 44.1, a person who is not a Party to this Contract has no right under the CTRPA to enforce any term of this Contract but this does not affect any right or remedy of any person which exists or is available otherwise than pursuant to that Act.

44.3. No Third Party Beneficiary may enforce, or take any step to enforce, any Third Party Provision without the prior written consent of the Customer, which may, if given, be given on and subject to such terms as the Customer may determine.

44.4. Any amendments or modifications to this Contract may be made, and any rights created under Clause 44.1 may be altered or extinguished, by the Parties without the consent of any Third Party Beneficiary.

#### **45. NOTICES**

45.1. Except as otherwise expressly provided within this Contract, any notices sent under this Contract must be in writing. For the purpose of Clause 45, an e-mail is accepted as being "in writing".

45.2. Subject to Clause 45.3, the following table sets out the method by which notices may be served under this Contract and the respective deemed time and proof of service:

Manner of delivery	Deemed time of delivery	Proof of Service
Email (Subject to Clauses 45.3 and 45.4)	9.00am on the first Working Day after sending	Dispatched as a pdf attachment to an e-mail to the correct e-mail address without any error message
Personal delivery	On delivery, provided delivery is between 9.00am and 5.00pm on a Working Day. Otherwise, delivery will occur at 9.00am on the next Working Day	Properly addressed and delivered as evidenced by signature of a delivery receipt

Royal Mail Signed For™ 1 <sup>st</sup> Class or other prepaid, next Working Day service providing proof of delivery	At the time recorded by the delivery service, provided that delivery is between 9.00am and 5.00pm on a Working Day. Otherwise, delivery will occur at 9.00am on the same Working Day (if delivery before 9.00am) or on the next Working Day (if after 5.00pm)	Properly addressed prepaid and delivered as evidenced by signature of a delivery receipt
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45.3. The following notices may only be served as an attachment to an email if the original notice is then sent to the recipient by personal delivery or Royal Mail Signed For™ 1<sup>st</sup> Class or other prepaid in the manner set out in the table in Clause 45.2:

45.3.1 any Termination Notice (Clause 30 (Customer Termination Rights)), 45.3.2

any notice in respect of:

- (a) partial termination, suspension or partial suspension (Clause 33 (Partial Termination, Suspension and Partial Suspension))
- (b) waiver (Clause 37 (Waiver and Cumulative Remedies))
- (c) Default ; and
- (d) Any Dispute Notice.

45.4. Failure to send any original notice by personal delivery or recorded delivery in accordance with Clause 45.3 shall invalidate the service of the related e-mail transmission. The deemed time of delivery of such notice shall be the deemed time of delivery of the original notice sent by personal delivery or Royal Mail Signed For™ 1<sup>st</sup> Class delivery (as set out in the table in) or, if earlier, the time of response or acknowledgement by the other Party to the email attaching the notice.

45.5. Clause 45 does not apply to the service of any proceedings or other documents in any legal action or, where applicable, any arbitration or other method of dispute resolution (other than the service of a Dispute Notice under the Dispute Resolution Procedure).

45.6. For the purposes of Clause 45, the address and email address of each Party shall be as specified in the Contract Order Form.

#### **46. DISPUTE RESOLUTION**

46.1. The Parties shall resolve Disputes arising out of or in connection with this Contract in accordance with the Dispute Resolution Procedure.

46.2. The Supplier shall continue to provide the Services in accordance with the terms of this Contract until a Dispute has been resolved.

#### **47. GOVERNING LAW AND JURISDICTION**

47.1. This Contract and any issues, Disputes or claims (whether contractual or non-contractual) arising out of or in connection with it or its subject matter or formation shall be governed by and construed in accordance with the laws of England and Wales.

47.2. Subject to Clause 46 (Dispute Resolution) and Contract Schedule 6 (Dispute Resolution Procedure) (including the Customer's right to refer the Dispute to arbitration), the Parties agree that the courts of England and Wales (unless stated differently in the Contract Order Form) shall have exclusive jurisdiction

to settle any Dispute or claim (whether contractual or non-contractual) that arises out of or in connection with this Contract or its subject matter or formation.

#### CONTRACT SCHEDULE 1: DEFINITIONS

1. In accordance with Clause 1 (Definitions and Interpretations), in this Dynamic Purchasing System Agreement including its Recitals the following expressions shall have the following meanings:

<b>"Academic Year"</b>	means 1 August to 31 July;
<b>"Achieve"</b>	means in respect of a Test, to successfully pass such Test without any Test Issues in accordance with the Test Strategy Plan and in respect of a Milestone, the issue of a Satisfaction Certificate in respect of that Milestone and <b>"Achieved"</b> , <b>"Achieving"</b> and <b>"Achievement"</b> shall be construed accordingly;
<b>"Acquired Rights Directive"</b>	the European Council Directive 2001/23/EC on the approximation of the laws of the member states of the European Union relating to the safeguarding of employees' rights in the event of transfers of undertakings, businesses or parts of undertakings or businesses, as amended or re-enacted from time to time;
<b>"Additional Clauses"</b>	means the additional Clauses in Contract Schedule 12 (Alternative and/or Additional Clauses) and any other additional Clauses set out in the Contract Order Form or elsewhere in this Contract;
<b>"Affected Party"</b>	means the party seeking to claim relief in respect of a Force Majeure;
<b>"Affiliates"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Alternative Clauses"</b>	means the alternative Clauses in Contract Schedule 12 (Alternative and/or Additional Clauses) and any other alternative Clauses set out in the Contract Order Form or elsewhere in this Contract;
<b>"Approval"</b>	means the prior written consent of the Customer and <b>"Approve"</b> and <b>"Approved"</b> shall be construed accordingly;
<b>"Approved Sub-Licensee"</b>	means any of the following: <ul style="list-style-type: none"><li>a) a Central Government Body;</li><li>b) any third party providing services to a Central Government Body; and/or</li><li>c) any body (including any private sector body) which performs or carries on any of the functions and/or activities that previously had been performed and/or carried on by the Customer;</li></ul>
<b>"Associated Persons"</b>	has the meaning as set out in Section 44(4) of the Criminal Finance Act 2017;
<b>"Auditor"</b>	means: <ul style="list-style-type: none"><li>a) the Customer's internal and external auditors;</li><li>b) the Customer's statutory or regulatory auditors;</li><li>c) the Comptroller and Auditor General, their staff and/or any appointed representatives of the National Audit Office;</li><li>d) HM Treasury or the Cabinet Office;</li><li>e) any party formally appointed by the Customer to carry out audit or similar review functions; and</li><li>f) successors or assigns of any of the above.</li></ul>

<b>"Authority"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"BACS"</b>	means the Bankers' Automated Clearing Services, which is a scheme for the electronic processing of financial transactions within the United Kingdom;
<b>"Call for Competition Procedure"</b>	means the competition procedure described in paragraph 2 of DPA Schedule 5 (Call for Competition Procedure);
<b>"Category"</b>	means the Institute for Apprenticeships and Technical Education occupational routes as referred to at <a href="#">Occupational Maps / Institute for Apprenticeships and Technical Education</a> ;
<b>"Comptroller and Auditor General"</b>	means the head of the National Audit Office;
<b>"Contract Commencement Date"</b>	means the date of commencement of this Contract set out in the Contract Order Form;
<b>"Contract "</b>	means this contract between the Customer and the Supplier (entered into pursuant to the provisions of the DPA), which consists of the terms set out in the Contract Order Form and the Contract Terms;
<b>"Contract Charges"</b>	means the prices (inclusive of any Milestone Payments and exclusive of any applicable VAT), payable to the Supplier by the Customer under this Contract, as set out in Annex 1 of Contract Schedule 3 (Contract Charges, Payment and Invoicing), for the full and proper performance by the Supplier of its obligations under this Contract less any Deductions;
<b>"Contract Finder"</b>	means the online portal administered by the UK Government that lets economic operators Contracts Finder lets you search for information about contracts worth over £10,000 with the UK Government and its agencies.
<b>"Contract Period"</b>	means the term of this Contract from the Contract Commencement Date until the Contract Expiry Date;
<b>"Contract Year"</b>	means a consecutive period of twelve (12) Months commencing on the Contract Commencement Date or each anniversary thereof;
<b>"Contract Expiry Date"</b>	means: <ul style="list-style-type: none"> <li>a) the end date of the Contract Initial Period or any Contract Extension Period; or</li> <li>b) if this Contract is terminated before the date specified in (a) above, the earlier date of termination of this Contract;</li> </ul>
<b>"Contract Extension Period"</b>	means such period or periods up to a maximum of the number of years in total as may be specified by the Customer, pursuant to Clause 5.2 and in the Contract Order Form;
<b>"Contract Initial Period"</b>	means the initial term of this Contract from the Contract Commencement Date to the end date of the initial term stated in the Contract Order Form;
<b>"Contract Order Form"</b>	means the order form applicable to this Contract containing details of the parties and the service to be provided that is generated at the end of the DPA process or otherwise provided;

<b>"Call for Competition Procedure"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Contract Schedule"</b>	means a schedule to this Contract;
<b>"Contract Tender"</b>	means the tender submitted by the Supplier in response to the Customer's Statement of Requirements following a Call for Competition Procedure and set out at Contract Schedule 5 (Contract Tender);
<b>"Contract Terms"</b>	means the terms applicable to and set out in this Contract;
<b>"Central Government Body"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Change in Law"</b>	means any change in Law which impacts on the supply of the Services and performance of the Contract which comes into force after the Contract Commencement Date;
<b>"Change of Control"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Charging Structure"</b>	means the structure to be used in the establishment of the charging model which is applicable to the Contract, which is set out in Contract Schedule 3 (Contract Prices and Charging Structure);
<b>"Commercially Sensitive Information"</b>	means the Confidential Information listed in the Contract Order Form (if any) comprising of commercially sensitive information relating to the Supplier, its IPR or its business or which the Supplier has indicated to the Customer that, if disclosed by the Customer, would cause the Supplier significant commercial disadvantage or material financial loss;
<b>"Comparable Supply"</b>	means the supply of Services to another customer of the Supplier that are the same or similar to the Services;
<b>"Confidential Information"</b>	means the Customer's Confidential Information and/or the Supplier's Confidential Information, as the context specifies;
<b>"Call Off Contract" or "Contract"</b>	means this contract;
<b>"Contract Charges"</b>	means the charges raised under or in connection with this Contract from time to time, which shall be calculated in a manner that is consistent with the Charging Structure;
<b>"Contract Commencement Date"</b>	means the date of commencement of the Contract as written at the top of the Contract;
<b>"Contract Monitoring Plan" or "CMP" and "Learner Datasheet" or "LD"</b>	means a submission by the Supplier that incorporates the information required in the form of contract monitoring plan set out at Schedule 2 Part 3 (Contract Management), Annex 1;
<b>"Contracting Authority"</b>	means the Authority, the Customer and any other bodies listed in the OJEU Notice;
<b>"Control"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Controller"</b>	take the meaning given in the GDPR
<b>"Conviction"</b>	means other than for minor road traffic offences, any previous or pending prosecutions, convictions, cautions and binding over orders (including any spent convictions as contemplated by section 1(1) of the Rehabilitation of Offenders Act 1974 by virtue of the exemptions specified in Part II of Schedule 1 of the Rehabilitation of Offenders Act 1974 (Exemptions) Order 1975 (SI 1975/1023) or any

replacement or amendment to that Order, or being placed on a list kept pursuant to section 1 of the Protection of Children Act 1999 or being placed on a list kept pursuant to the Safeguarding Vulnerable Groups Act 2006;

**"Costs"**

the following costs (without double recovery) to the extent that they are reasonably and properly incurred by the Supplier in providing the Services:

- a) the cost to the Supplier or the Key Sub-Contractor (as the context requires), calculated per Man Day, of engaging the Supplier Personnel, including:
  - i) base salary paid to the Supplier Personnel; ii) employer's national insurance contributions; iii) pension contributions; iv) car allowances;
  - v) any other contractual employment benefits; vi) staff training; vii) work place accommodation; viii) work place IT equipment and tools reasonably necessary to provide the Services (but not including items included within limb (b) below); and
  - ix) reasonable recruitment costs, as agreed with the Customer;
- a) costs incurred in respect of those Supplier Assets which are detailed on the Registers and which would be treated as capital costs according to generally accepted accounting principles within the UK, which shall include the cost to be charged in respect of Supplier Assets by the Supplier to the Customer or (to the extent that risk and title in any Supplier Asset is not held by the Supplier) any cost actually incurred by the Supplier in respect of those Supplier Assets;
- b) operational costs which are not included within (a) or (b) above, to the extent that such costs are necessary and properly incurred by the Supplier in the provision of the Services;

**"Crown"**

has the meaning given to it in DPA Schedule 1 (Definitions);

**"Crown Body"**

has the meaning given to it in DPA Schedule 1 (Definitions);

**"CRTPA"**

has the meaning given to it in DPA Schedule 1 (Definitions);

**"Customer"**

means the customer(s) identified in the Contract Order Form;

**"Customer Assets"** means the Customer's infrastructure, data, software, materials, assets, equipment or other property owned by and/or licensed or leased to the Customer and which is or may be used in connection with the provision of the Services; **"Customer Background IPR"** means:

- a) IPRs owned by the Customer before the Contract Commencement Date, including IPRs contained in any of the Customer's Know-How, documentation, software, processes and procedures;
- b) IPRs created by the Customer independently of this Contract ; and/or
- c) Crown Copyright which is not available to the Supplier



otherwise than under this Contract;

<b>"Customer Data"</b>	means data that refers to or belongs to the Customer;
<b>"Customer Premises"</b>	means premises owned, controlled or occupied by the Customer which are made available for use by the Supplier or its SubContractors for the provision of the Services (or any of them);
<b>"Customer Property"</b>	means the property, other than real property and IPR, including any equipment issued or made available to the Supplier by the Customer in connection with this Contract ;
<b>"Customer Representative"</b>	means the representative appointed by the Customer from time to time in relation to this Contract;
<b>"Customer Responsibilities"</b>	means the responsibilities of the Customer set out in the Contract Order Form or agreed in writing between the Parties from time to time in connection with this Contract ;
<b>"Customer's Confidential Information"</b>	<p>means:</p> <ul style="list-style-type: none"><li>(a) all Personal Data and any information, however it is conveyed, that relates to the business, affairs, developments, property rights, trade secrets, Know-How and IPR of the Customer (including all Customer Background IPR and Project Specific IPR);</li><li>(b) any other information clearly designated as being confidential (whether or not it is marked "confidential") or which ought reasonably be considered confidential which comes (or has come) to the Customer's attention or into the Customer's possession in connection with this Contract ; and</li></ul> <p>information derived from any of the above;</p>
<b>"Data Loss Event", Data Protection Impact Assessment", "Data Protection Officer", "Data Subject" "Data Subject Request", "Personal Data", "Processor" and "Processor Personnel"</b>	each have the meaning given to the relevant term in the Data Protection Act 2018;
<b>"Data Protection Legislation"</b>	<p>means:</p> <ul style="list-style-type: none"><li>(i) The General Data Protection Regulation 2016/679 ("GDPR"), the law enforcement directive 2016/680 ("LED") and any applicable national implementing laws as amended from time to time;</li><li>(ii) The DPA 2018 to the extent that it relates to processing of personal data and privacy;</li><li>(iii) all applicable Law about the processing of personal data and privacy;</li></ul>

<b>"Deductions"</b>	means any form of Service Credits, Delay Payments or any other deduction which the Customer is paid or is payable under this Contract;
<b>"Default"</b>	means any breach of the obligations of the Supplier (including but not limited to including abandonment of this Contract in breach of its terms) or any other default (including material Default), act, omission, negligence or statement of the Supplier, of its SubContractors or any Supplier Personnel howsoever arising in connection with or in relation to the subject-matter of this Contract and in respect of which the Supplier is liable to the Customer;
<b>"Deliverable"</b>	means an item or feature in the supply of the Services delivered or to be delivered by the Supplier at any other stage during the performance of this Contract;
<b>"Delivery"</b>	means delivery in accordance with the terms of this Contract as confirmed by the issue by the Customer of a Satisfaction Certificate in respect of the relevant Milestone thereof (if any) or otherwise in accordance with this Contract and accepted by the Customer and <b>"Deliver"</b> and <b>"Delivered"</b> shall be construed accordingly;
<b>"Delivery Plan"</b>	means the plan setting out key timings and trajectories for the Supplier's delivery during the Contract Period, as agreed by the Customer and including but not limited to <ul style="list-style-type: none"> <li>(a) the Delivery Plan Trajectory Points; and</li> <li>(b) how many Learners will start or Complete at each of those Delivery Plan Trajectory Points.</li> </ul> as is set out further in Schedule 2 Part 2 (Performance Management and Part 3 (Contract Management));
<b>"Disclosing Party"</b>	has the meaning given to it in Clause 23.10 to 23.18 (Confidentiality);
<b>"Dispute"</b>	means any dispute, difference or question of interpretation arising out of or in connection with this Contract, including any dispute, difference or question of interpretation relating to the Services, failure to agree in accordance with the Variation Procedure or any matter where this Contract directs the Parties to resolve an issue by reference to the Dispute Resolution Procedure;
<b>"Dispute Notice"</b>	means a written notice served by one Party on the other stating that the Party serving the notice believes that there is a Dispute;
<b>"Dispute Resolution Procedure"</b>	means the dispute resolution procedure set out in Contract Schedule 6 (Dispute Resolution Procedure);
<b>"Dynamic Purchasing Agreement" or "DPA"</b>	means the Dynamic Purchasing Agreement between the Authority and the Supplier, referred to in the Contract Order Form;
<b>"DPA Schedule"</b>	means a schedule to the DPA;
<b>"Documentation"</b>	means all documentation as: <ul style="list-style-type: none"> <li>a) is required to be supplied by the Supplier to the Customer under this Contract;</li> </ul>

	<ul style="list-style-type: none"> <li>b) would reasonably be required by a competent third party capable of Good Industry Practice contracted by the Customer to develop, configure, build, deploy, run, maintain, upgrade and test the individual systems that provide the Services;</li> <li>c) is required by the Supplier in order to provide the Services; and/or</li> <li>d) has been or shall be generated for the purpose of providing the Services;</li> </ul>
<b>"DOTAS"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"DPA 2018"</b>	means Data Protection Act 2018;
<b>"Due Diligence Information"</b>	means any information supplied to the Supplier by or on behalf of the Customer prior to the Contract Commencement Date;
<b>"Employer"</b>	means the person or organisation that enters into a contract of employment with a person who becomes a Learner on a Skills Bootcamp Course delivered by or on behalf of the Supplier;
<b>"Employer-Provider"</b>	means an employer that delivers one or more Skills Bootcamp Courses to Learners that are its employees;
<b>"Employee Liabilities"</b>	<p>means all claims, actions, proceedings, orders, demands, complaints, investigations (save for any claims for personal injury which are covered by insurance) and any award, compensation, damages, tribunal awards, fine, loss, order, penalty, disbursement, payment made by way of settlement and costs, expenses and legal costs reasonably incurred in connection with a claim or investigation including in relation to the following:</p> <ul style="list-style-type: none"> <li>a) redundancy payments including contractual or enhanced redundancy costs, termination costs and notice payments;</li> <li>b) unfair, wrongful or constructive dismissal compensation;</li> <li>c) compensation for discrimination on grounds of sex, race, disability, age, religion or belief, gender reassignment, marriage or civil partnership, pregnancy and maternity or sexual orientation or claims for equal pay;</li> <li>d) compensation for less favourable treatment of part time workers or fixed term employees;</li> <li>a) outstanding debts and unlawful deduction of wages including any PAYE and National Insurance Contributions in relation to payments made by the Customer or the Replacement Supplier to a Transferring Supplier Employee which would have been payable by the Supplier or the Sub-Contractor if such payment should have been made prior to the Service Transfer Date;</li> <li>f) claims whether in tort, contract or statute or otherwise;</li> <li>g) any investigation by the Equality and Human Rights Commission or other enforcement, regulatory or supervisory body and of implementing any requirements which may arise from such investigation;</li> </ul>

<b>"Employment Regulations"</b>	means the Transfer of Undertakings (Protection of Employment) Regulations 2006 (SI 2006/246) as amended or replaced or any other Regulations implementing the Acquired Rights Directive;
<b>"Equality and Human Rights Commission"</b>	means the statutory and non-departmental public body established under the Equality Act 2006 as the national equality body for Great Britain;
<b>"Evidence Pack"</b>	means a file or dossier of information, either in physical or electronic form, that evidences a Learner's progress on a Skills Bootcamp Course;
<b>"Environmental Information Regulations or EIRs"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Environmental Policy"</b>	means to conserve energy, water, wood, paper and other resources, reduce waste and phase out the use of ozone depleting substances and minimise the release of greenhouse gases, volatile organic compounds and other substances damaging to health and the environment, including any written environmental policy of the Customer;
<b>"Exit Plan"</b>	means the exit plan described in paragraph 5 of Contract Schedule 10 (Exit Management);
<b>"Expedited Dispute Timetable"</b>	means the timetable set out in paragraph 5 of Contract Schedule 6 (Dispute Resolution Procedure);
<b>"FOIA"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Force Majeure"</b>	means any event outside the reasonable control of either Party affecting its performance of its obligations under this Contract, arising from acts, events, omissions, happenings or non-happenings beyond its reasonable control and which are not attributable to any wilful act, neglect or failure to take reasonable preventative actions by that Party, including riots, war terrorism, acts of government, local government or regulatory bodies, fire, flood, earthquake, or other natural disaster but excluding any industrial dispute relating to the Supplier or Supplier Personnel or any other failure in the Supplier's or Sub-contractor's supply chain;;
<b>"Force Majeure Notice"</b>	means a written notice served by the Affected Party on the other Party stating that the Affected Party believes that there is a Force Majeure Event;
<b>"Former Supplier"</b>	means a supplier supplying the Services to the Customer before the Relevant Transfer Date that are the same as or substantially similar to the Services (or any part of the Services) and shall include any subcontractor of such supplier (or any sub-contractor of any such subcontractor);
<b>"Fraud"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"General Anti-Abuse Rule"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"General Change in Law"</b>	Has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Geographical Filter"</b>	refers to three types of area in England: (i) Regions, (ii) Mayoral Combined Authority areas, and (iii) Upper Tier Local Authority areas;
<b>"Good Industry Practice"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);

<b>"Government"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Government Procurement Card"</b>	means the Government's preferred method of purchasing and payment for low value goods or services <a href="https://www.gov.uk/government/publications/government-procurement-card-2">https://www.gov.uk/government/publications/government-procurement-card-2</a> ;
<b>"Halifax Abuse Principle"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"HMRC"</b>	means His Majesty's Revenue and Customs;
<b>"Holding Company"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"ICT Policy"</b>	means the Customer's policy in respect of information and communications technology, referred to in the Contract Order Form, which is in force as at the Contract Commencement Date (a copy of which has been supplied to the Supplier), as updated from time to time;
<b>"Implementation Plan"</b>	means the plan devised by the Supplier as to how it will mobilise the Services;
<b>"Information"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Insolvency Event"</b>	means, in respect of the Supplier or DPA Guarantor or Contract Guarantor (as applicable): <ul style="list-style-type: none"> <li>a) a proposal is made for a voluntary arrangement within Part I of the Insolvency Act 1986 or of any other composition scheme or arrangement with, or assignment for the benefit of, its creditors; or</li> <li>b) a shareholders' meeting is convened for the purpose of considering a resolution that it be wound up or a resolution for its winding-up is passed (other than as part of, and exclusively for the purpose of, a bona fide reconstruction or amalgamation); or</li> <li>c) a petition is presented for its winding up (which is not dismissed within fourteen (14) Working Days of its service) or an application is made for the appointment of a provisional liquidator or a creditors' meeting is convened pursuant to section 98 of the Insolvency Act 1986; or</li> <li>d) a receiver, administrative receiver or similar officer is appointed over the whole or any part of its business or assets; or</li> <li>e) an application order is made either for the appointment of an administrator or for an administration order, an administrator is appointed, or notice of intention to appoint an administrator is given; or</li> <li>f) it is or becomes insolvent within the meaning of section 123 of the Insolvency Act 1986; or</li> <li>g) being a "small company" within the meaning of section 382(3) of the Companies Act 2006, a moratorium comes into force pursuant to Schedule A1 of the Insolvency Act 1986; or</li> <li>h) where the Supplier or DPA Guarantor or Contract Guarantor is an individual or partnership, any event analogous to those listed in limbs (a) to (g) (inclusive) occurs in relation to that individual or partnership; or</li> </ul>

	<ul style="list-style-type: none"> <li>i) any event analogous to those listed in limbs (a) to (h) (inclusive) occurs under the law of any other jurisdiction;</li> </ul>
<b>"Intellectual Property Rights" or "IPR"</b>	<p>means</p> <ul style="list-style-type: none"> <li>a) copyright, rights related to or affording protection similar to copyright, rights in databases, patents and rights in inventions, semi-conductor topography rights, trade marks, rights in internet domain names and website addresses and other rights in trade or business names, designs, KnowHow, trade secrets and other rights in Confidential Information;</li> <li>b) applications for registration, and the right to apply for registration, for any of the rights listed at (a) that are capable of being registered in any country or jurisdiction; and</li> <li>c) all other rights having equivalent or similar effect in any country or jurisdiction;</li> </ul>
<b>"IPR Claim"</b>	means any claim of infringement or alleged infringement (including the defence of such infringement or alleged infringement) of any IPR, used to provide the Services or as otherwise provided and/or licensed by the Supplier (or to which the Supplier has provided access) to the Customer in the fulfilment of its obligations under this Contract;
<b>"IPR Register"</b>	has the meaning given to it in Clause 22.21;
<b>"Joint Controllers"</b>	means where two or more Controllers jointly determine the purposes and means of processing;
<b>"Key Sub-Contract"</b>	means each Sub-Contract with a Key Sub-Contractor;
<b>"Key Sub-Contractor"</b>	<p>means any Sub-Contractor:</p> <ul style="list-style-type: none"> <li>a) nominated as such as part of the Selection Questionnaire (SQ);</li> <li>b) which, in the opinion of the Customer, performs (or would perform if appointed) a critical role in the provision of all or any part of the Services which shall include but is not limited to the delivery of the Services to the Learners by way of education and training; and/or</li> <li>c) with a Sub-Contract with a contract value which at the time of appointment exceeds (or would exceed if appointed) 20% of the aggregate Contract Charges forecast to be payable under this Contract;</li> </ul>
<b>"Know-How"</b>	means all ideas, concepts, schemes, information, knowledge, techniques, methodology, and anything else in the nature of knowhow relating to the Services but excluding know-how already in the other Party's possession before the Contract Commencement Date;
<b>"Law"</b>	means any law, subordinate legislation within the meaning of Section 21(1) of the Interpretation Act 1978, bye-law, enforceable right within the meaning of Section 2 of the European Communities Act 1972, regulation, order, regulatory policy, mandatory guidance or code of practice, judgment of a relevant court of law, or directives or requirements, with which the Supplier is bound to comply;
<b>"Learner"</b>	means an applicant who is accepted on to a Skills Bootcamp course;

<b>"Losses"</b>	means all losses, liabilities, damages, costs, expenses (including legal fees), disbursements, costs of investigation, litigation, settlement, judgment, interest and penalties whether arising in contract, tort (including negligence), breach of statutory duty, misrepresentation or otherwise and <b>"Loss"</b> shall be interpreted accordingly;
<b>"Man Day"</b>	means 7.5 Man Hours, whether or not such hours are worked consecutively and whether or not they are worked on the same day;
<b>"Man Hours"</b>	means the hours spent by the Supplier Personnel properly working on the provision of the Services including time spent travelling (other than to and from the Supplier's offices, or to and from the Sites) but excluding lunch breaks;
<b>"Management Charge"</b>	means a charge levied by the Authority on the Supplier where the Customer is a contracting authority other than the Authority itself;
<b>"Milestone"</b>	means each of the Delivery Plan Trajectory Points as set out in the Delivery Plan.
<b>"Milestone Date"</b>	means the date on which a Milestone is to be achieved by the Supplier;
<b>"Milestone Payment"</b>	means the payment of the relevant sum of Contract Charges that the Supplier will receive for achieving the relevant Milestone at the relevant Delivery Plan Trajectory Point;
<b>"Month"</b>	means a calendar month and <b>"Monthly"</b> shall be interpreted accordingly;
<b>"Occasion of Tax Non-Compliance"</b>	means: <ul style="list-style-type: none"> <li>a) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 which is found on or after 1 April 2013 to be incorrect as a result of: <ul style="list-style-type: none"> <li>i) a Relevant Tax Authority successfully challenging the Supplier under the General Anti-Abuse Rule or the Halifax Abuse Principle or under any tax rules or legislation in any jurisdiction that have an effect equivalent or similar to the General Anti-Abuse Rule or the Halifax Abuse Principle; ii) the failure of an avoidance scheme which the Supplier was involved in, and which was, or should have been, notified to a Relevant Tax Authority under DOTAS or any equivalent.</li> </ul> </li> <li>b) any tax return of the Supplier submitted to a Relevant Tax Authority on or after 1 October 2012 which gives rise, on or after 1 April 2013, to a criminal conviction in any jurisdiction for tax related offences which is not spent at the Contract Commencement Date or to a civil penalty;</li> </ul>
<b>"Open Book Data "</b>	means complete and accurate financial and non-financial information which is sufficient to enable the Customer to verify the Contract Charges already paid or payable and Contract Charges forecast to be paid during the remainder of this Contract, including details and all assumptions relating to: <ul style="list-style-type: none"> <li>a) the Supplier's Costs broken down against each Service and/or Deliverable, including actual capital expenditure (including</li> </ul>

	capital replacement costs) and the unit cost and total actual costs of all services;
	b) operating expenditure relating to the provision of the Services manpower resources broken down into the number and grade/role of all Supplier Personnel (free of any contingency) together with a list of agreed rates against each manpower grade; a list of Costs underpinning those rates for each manpower grade, being the agreed rate less the Supplier's Profit Margin;
	c) Overheads;
	d) all interest, expenses and any other third party financing costs incurred in relation to the provision of the Services;
	e) the Supplier Profit achieved over the Contract Period and on an annual basis;
	f) confirmation that all methods of Cost apportionment and Overhead allocation are consistent with and not more onerous than such methods applied generally by the Supplier;
	g) an explanation of the type and value of risk and contingencies associated with the provision of the Services, including the amount of money attributed to each risk and/or contingency; and
	h) the actual Costs profile for each Service Period.
<b>"Order"</b>	means the order for the provision of the Services placed by the Customer with the Supplier in accordance with the DPA and under the terms of this Contract;
<b>"Other Supplier"</b>	means any supplier to the Customer (other than the Supplier) which is notified to the Supplier from time to time and/or of which the Supplier should have been aware;
<b>"Overhead"</b>	means those amounts which are intended to recover a proportion of the Supplier's or the Key Sub-Contractor's (as the context requires) indirect corporate costs (including financing, marketing, advertising, research and development and insurance costs and any fines or penalties) but excluding allowable indirect costs apportioned to facilities and administration in the provision of Supplier Personnel and accordingly included within limb (a) of the definition of "Costs";
<b>"Parent Company"</b>	means any company which is the ultimate Holding Company of the Supplier and which is either responsible directly or indirectly for the business activities of the Supplier or which is engaged by the same or similar business to the Supplier. The term "Holding or Parent Company" shall have the meaning ascribed by the Companies Act 2006 or any statutory re-enactment or amendment thereto;
<b>"Party"</b>	means the Customer or the Supplier and <b>"Parties"</b> shall mean both of them;
<b>"Performance Measures"</b>	means the Tier 1 measures set out in Table 1 and the Tier 2 measures set out in Table 3 Schedule 2 Part 2 (Performance Management);.
<b>"Prohibited Act"</b>	means any of the following:



- a) to directly or indirectly offer, promise or give any person working for or engaged by the Customer and/or the Customer or any other public body a financial or other advantage to:
  - i) induce that person to perform improperly a relevant function or activity; or
  - ii) reward that person for improper performance of a relevant function or activity;
- b) to directly or indirectly request, agree to receive or accept any financial or other advantage as an inducement or a reward for improper performance of a relevant function or activity in connection with this Contract;
- c) committing any offence:
  - i) under the Bribery Act 2010 (or any legislation repealed or revoked by such Act); or
  - ii) under legislation or common law concerning fraudulent acts; or iii) defrauding, attempting to defraud or conspiring to defraud the Customer; or
  - iv) any activity, practice or conduct which would constitute one of the offences listed under (c) above if such activity, practice or conduct had been carried out in the UK;

**"Protected Measures"**

means appropriate technical and organisational measures which may include: pseudonymising and encrypting Personal Data, ensuring confidentiality, integrity, availability and resilience of systems and services, ensuring that availability of and access to Personal Data can be restored in a timely manner after an incident, and regularly assessing and evaluating the effectiveness of the such measures adopted by it including those outlined in Contract Schedule 4 (Security);

**"Project Specific IPR"**

means:

- a) Intellectual Property Rights in items created by the Supplier (or by a third party on behalf of the Supplier) specifically for the purposes of this Contract and updates and amendments of these items including (but not limited to) database schema; and/or
- b) IPR in or arising as a result of the performance of the Supplier's obligations under this Contract and all updates and amendments to the same; but shall not include the Supplier Background IPR;

**"Quality Standards"**

means any:

- a) standards published by BSI British Standards, the National Standards Body of the United Kingdom, the International Organisation for Standardisation or other reputable or equivalent bodies (and their successor bodies) that a skilled and experienced operator in the same type of industry or business sector as the Supplier would reasonably and ordinarily be expected to comply with;

	<ul style="list-style-type: none"> <li>b) standards detailed in the specification in DPA Schedule 2 Part 1 (Services);</li> <li>c) standards detailed by the Customer in the Contract Order Form or agreed between the Parties from time to time;</li> <li>d) relevant Government codes of practice and guidance applicable from time to time.</li> </ul>
<b>"Recipient"</b>	has the meaning given to it in Clauses 23.10 to 23.18 (Confidentiality);
<b>"Rectification Plan"</b>	means the rectification plan pursuant to the Rectification Plan Process;
<b>"Rectification Plan Process"</b>	means the process set out in Clause 27.3 (Rectification Plan Process);
<b>"Registers"</b>	has the meaning given to in Contract Schedule 10 (Exit Management);
<b>"Regulations"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);
<b>"Related Supplier"</b>	means any person who provides services to the Customer which are related to the Services from time to time;
<b>"Relevant Conviction"</b>	means a Conviction that is relevant to the nature of the Services to be provided or as specified in the Contract Order Form;
<b>"Relevant Requirements"</b>	means all applicable Law relating to bribery, corruption and fraud, including the Bribery Act 2010 and any guidance issued by the Secretary of State for Justice pursuant to section 9 of the Bribery Act 2010;
<b>"Relevant Tax Authority"</b>	means HMRC, or, if applicable, the tax authority in the jurisdiction in which the Supplier is established;
<b>"Relevant Transfer"</b>	means a transfer of employment to which the Employment Regulations applies;
<b>"Relevant Transfer Date"</b>	means, in relation to a Relevant Transfer, the date upon which the Relevant Transfer takes place;
<b>"Replacement Services"</b>	means any services which are substantially similar to any of the Services and which the Customer receives in substitution for any of the Services following the Contract Expiry Date, whether those services are provided by the Customer internally and/or by any third party;
<b>"Replacement Sub-Contractor"</b>	means a sub-contractor of the Replacement Supplier to whom Transferring Supplier Employees will transfer on a Service Transfer Date (or any sub-contractor of any such sub-contractor);
<b>"Replacement Supplier"</b>	means any third party provider of Replacement Services appointed by or at the direction of the Customer from time to time or where the Customer is providing Replacement Services for its own account, shall also include the Customer;
<b>"Request for Information"</b>	means a request for information or an apparent request relating to this Contract or the provision of the Services or an apparent request for such information under the FOIA or the EIRs;

<b>"Security Management Plan"</b>	means the Supplier's security management plan prepared pursuant to paragraph 4 of Contract Schedule 4 (Security) a draft of which has been provided by the Supplier to the Customer in accordance with paragraph 4 of Contract Schedule 4 (Security) and as updated from time to time;
<b>"Security Policy"</b>	means the Customer's security policy, referred to in the Contract Order Form and / or in contract Schedule 2 (Services, Performance Management and Contract Management), in force as at the Contract Commencement Date (a copy of which has been supplied to the Supplier), as updated from time to time and notified to the Supplier;
<b>"Security Policy Framework"</b>	the current HMG Security Policy DPA that can be found at <a href="https://www.gov.uk/government/publications/securitypolicy-DPA">https://www.gov.uk/government/publications/securitypolicy-DPA</a> ;
<b>"Service Failure"</b>	means a failure to substantially meet the Performance Measures set out in Contract Schedule 2 Part 2 (Performance Management);
<b>"Service Transfer"</b>	means any transfer of the Services (or any part of the Services), for whatever reason, from the Supplier or any Sub-Contractor to a Replacement Supplier or a Replacement Sub-Contractor;
<b>"Service Transfer Date"</b>	means the date of a Service Transfer;
<b>"Services"</b>	means the services to be provided by the Supplier to the Customer as referred to Schedule 2 Part A (Services);
<b>"Skills Bootcamp Course"</b>	means a course delivered by or on behalf of the Supplier under this Contract;
<b>"Skills Bootcamp Guidance"</b>	means guidance produced by the Authority relating to skills bootcamps which can be found at <a href="https://www.gov.uk/government/publications/skills-bootcamps-funding-and-performance-management">Skills Bootcamps funding and performance management - GOV.UK (www.gov.uk)</a>
<b>"Sites"</b>	means any premises (including the Customer Premises, the Supplier's premises or third party premises) or any virtual environment from, to or at which: <ul style="list-style-type: none"> <li>a) the Services are (or are to be) provided; or</li> <li>b) the Supplier manages, organises or otherwise directs the provision or the use of the Services.</li> </ul>
<b>"Specific Change in Law"</b>	means a Change in Law that relates specifically to the business of the Customer and which would not affect a Comparable Supply;
<b>"Staffing Information"</b>	has the meaning give to it in Contract Schedule 5 (Staff Transfer);
<b>"Sub-category 1"</b>	refers the Institute for Apprenticeships and Technical Education occupational pathways which are set out at <a href="https://www.gov.uk/government/publications/occupational-maps-for-apprenticeships-and-technical-education">Occupational Maps / Institute for Apprenticeships and Technical Education</a> ;
<b>"Sub-category 2"</b>	refers to the extent to which delivery of the Skills Bootcamp can correspond to Green Skills and Pathways to Accelerated Apprenticeships;

<b>"Sub-Contract"</b>	<p>means any contract or agreement (or proposed contract or agreement), other than this Contract or the DPA, pursuant to which a third party:</p> <ul style="list-style-type: none"> <li>a) provides the Services (or any part of them);</li> <li>b) provides facilities or services necessary for the provision of the Services (or any part of them); and/or</li> <li>c) is responsible for the management, direction or control of the provision of the Services (or any part of them);</li> </ul>
<b>"Sub-Contractor"</b>	means any person other than the Supplier, who is a party to a SubContract and the servants or agents of that person;
<b>"Supplier"</b>	means the person, firm or company with whom the Customer enters into this Contract as identified in the Contract Order Form;
<b>"Supplier Assets"</b>	means all assets and rights used by the Supplier to provide the Services in accordance with this Contract but excluding the Customer Assets;
<b>"Supplier Background IPR"</b>	<p>means</p> <ul style="list-style-type: none"> <li>a) Intellectual Property Rights owned by the Supplier before the Contract Commencement Date, for example those subsisting in the Supplier's standard development tools, program components or standard code used in computer programming or in physical or electronic media containing the Supplier's Know-How or generic business methodologies; and/or</li> <li>b) Intellectual Property Rights created by the Supplier independently of this Contract;</li> </ul>
<b>"Supplier's Confidential Information"</b>	<p>means</p> <ul style="list-style-type: none"> <li>a) any information, however it is conveyed, that relates to the business, affairs, developments, IPR of the Supplier (including the Supplier Background IPR) trade secrets, Know-How, and/or personnel of the Supplier;</li> <li>b) any other information clearly designated as being confidential (whether or not it is marked as "confidential") or which ought reasonably to be considered to be confidential and which comes (or has come) to the Supplier's attention or into the Supplier's possession in connection with this Contract;</li> <li>c) information derived from any of the above.</li> </ul>
<b>"Supplier Equipment"</b>	means the Supplier's hardware, computer and telecoms devices, equipment, plant, materials and such other items supplied and used by the Supplier (but not hired, leased or loaned from the Customer) in the performance of its obligations under this Contract;
<b>"Supplier Personnel"</b>	means all directors, officers, employees, agents, consultants and contractors of the Supplier and/or of any Sub-Contractor engaged in the performance of the Supplier's obligations under this Contract;
<b>"Supplier Profit"</b>	means, in relation to a period or a Milestone (as the context requires), the difference between the total Contract Charges (in nominal cash flow terms but excluding any Deductions) and total

<b>"Supplier Profit Margin"</b>	Costs (in nominal cash flow terms) for the relevant period or in relation to the relevant Milestone; means, in relation to a period or a Milestone (as the context requires), the Supplier Profit for the relevant period or in relation to the relevant Milestone divided by the total Contract Charges over the same period or in relation to the relevant Milestone and expressed as a percentage;
<b>"Supplier Representative"</b>	means the representative appointed by the Supplier named in the Contract Order Form;
<b>"Template Contract Order Form"</b>	means the Template Contract Order Form in Annex 1 of DPA Schedule 4 (Template Contract Order Form and Template Contract Terms);
<b>"Template Contract Terms"</b>	means the template terms and conditions in Annex 2 of DPA Schedule 4 (Template Order Form and Template Contract Terms);
<b>"Tender"</b>	means the tender submitted by the Supplier to the Customer and annexed to or referred to in Contract Schedule 5;
<b>"Termination Notice"</b>	means a written notice of termination given by one Party to the other, notifying the Party receiving the notice of the intention of the Party giving the notice to terminate this Contract on a specified date and setting out the grounds for termination;
<b>"Test Issue"</b>	means any variance or non-conformity of the Services or Deliverables from their requirements as set out in the Contract;
<b>"Third Party IPR"</b>	means Intellectual Property Rights owned by a third party which is or will be used by the Supplier for the purpose of providing the Services;
<b>"Transferring Customer Employees"</b>	those employees of the Customer to whom the Employment Regulations will apply on the Relevant Transfer Date;
<b>"Transferring Former Supplier Employees"</b>	in relation to a Former Supplier, those employees of the Former Supplier to whom the Employment Regulations will apply on the Relevant Transfer Date;
<b>"Transferring Supplier Employees"</b>	means those employees of the Supplier and/or the Supplier's SubContractors to whom the Employment Regulations will apply on the Service Transfer Date;
<b>"Undelivered Services"</b>	has the meaning given to it in Clause 8.5 (Services);
<b>"Undisputed Sums Time Period"</b>	has the meaning given to it Clause 31.1 (Termination of Customer Failure to Pay);
<b>"Valid Invoice"</b>	means an invoice issued by the Supplier to the Customer that complies with the invoicing procedure in paragraph 7 (Invoicing Procedure) of Contract Schedule 3 (Contract Charges, Payment and Invoicing);
<b>"Variation"</b>	has the meaning given to it in Clause 13.3 (Variation Procedure);
<b>"Variation Form"</b>	means the form set out in Schedule 11 (Variation Form);
<b>"VAT"</b>	has the meaning given to it in DPA Schedule 1 (Definitions);

**"Worker"**

means any one of the Supplier Personnel which the Customer, in its reasonable opinion, considers is an individual to which Procurement Policy Note 08/15 (Tax Arrangements of Public Appointees)

<https://www.gov.uk/government/publications/procurement-policy-note-0815-tax-arrangements-of-appointees>

**"Working Day"**

means any day other than a Saturday or Sunday or public holiday in England and Wales unless specified otherwise by Parties in this Contract;

## **CONTRACT SCHEDULE 2: SERVICES, PERFORMANCE MANAGEMENT AND CONTRACT MANAGEMENT**

### **1. INTRODUCTION**

1.1 This Contract Schedule 2 Part 1 specifies the Services to be provided under this Contract, Part 2 sets out the requirements for Performance Management and Part 3 sets out the requirements for Contract Management and Management Information:

Please refer to:

- DPA Schedule 2 Service Requirements
- DPS Second Competitions Tender Documents 4a – 4h Contract Order Forms

#### **PART 1: THE SERVICES**

1. The Supplier will provide the Services as detailed in the Contract Order Form and/or as amended by special terms set out below in this Part 1.
2. The Supplier will provide any additional supplementary services required and specified by Contracting Authorities and as outlined in the Customer Needs under supplementary requirements.



## PART 2: PERFORMANCE MANAGEMENT

In this section the words below have the following meaning:

<b>“Contract Performance Review”</b>	means a monthly review of the Supplier’s performance under this Contract.
<b>“Complete or Completion”</b>	<ul style="list-style-type: none"><li>(a) means as follows;</li><li>(b) for All Learners – completion by the Learner of their training and final assessment;</li><li>(c) for Independent Learners – an offer of an interview for a live vacancy which will utilise the skills obtained on the Skills Bootcamp, meet the criteria set out in the Service Requirements; and reflects the salary expectations and location set out in the Initial Advice and Guidance process</li><li>(d) for Self-Employed Learners -written confirmation from the SelfEmployed Leaners of how the Skills Bootcamp training has been or will be applied to enable them to secure new work of contract, which meet the criteria set out in the Service Requirements; and</li><li>(e) for Co-Funded Learners – an offer of an interview for a new role or responsibility or an offer of a new role or responsibility which in either case meets the criteria set out in the Service Requirements.</li><li>(f) Including any definition as defined within the payment terms/profile</li></ul>
<b>“Drop Out”</b>	means a Learner who starts but does not Complete a Skills Bootcamp
<b>“Delivery Plan”</b>	<p>means the plan setting out key timings and trajectories for the Supplier’s delivery during the Contract Period, as agreed by the Customer and including but not limited to</p> <ul style="list-style-type: none"><li>(a) the Delivery Plan Trajectory Points; and</li><li>(b) how many Learners will start or Complete at each of those Delivery Plan Trajectory Points.</li></ul>
<b>“Delivery Plan Trajectory Point”</b>	<p>means, but is not limited to, the anticipated dates on which Milestone Payments in relation to specified numbers of Learners would be scheduled to be paid by the Customer to the Supplier as follows: (a) Commencement Date</p> <ul style="list-style-type: none"><li>(b) Mid-course Milestone Date</li><li>(c) Course Completion and offer of interview Milestone Date</li><li>(d) Positive Outcome (or equivalent) Milestone Date</li><li>(e) Finishing the Course;</li><li>(f) Completion including offer of an interview Milestone Date.</li><li>(g) Suppliers should note a completed delivery plan (which sets out the delivery trajectories) will need to be provided at contract mobilisation requested by contract managers.</li></ul>
<b>“Improvement Plan”</b>	means a plan for improvement that the Customer can request from the Supplier within ten (10) Working Days in the event of failure.

<b>“Management Information”</b>	means the data and information relevant to the Services and performance the Supplier shall collate and provide to the Customer in accordance with this Schedule.
<b>“Management Information Report”</b>	means the report containing the Management Information that the Supplier is required to submit to the Customer in accordance with this Schedule.
<b>“Performance Management”</b>	means how the Customer will measure the Supplier’s performance and progress against the Service Requirements (Schedule 2: Part 1), and the Delivery Plan.
<b>“Performance Manager”</b>	means the person the Supplier will appoint to ensure that the Contract is delivered as specified in the Contract and that Service Levels, Recruitment Targets and Performance Measures are achieved.
<b>“Performance Measures”</b>	means the Tier 1 measures set out in Table 1 and the Tier 2 measures set out in Table 3.
<b>“Reporting Period”</b>	means the reporting period that occurs every calendar month from X of each month to the X of the following month and will commence on the Contract Commencement Date.
<b>“Service Level”</b>	means the Service Levels as set out in Table 1 of this Schedule by which the Contractor’s performance will be measured.

## 1. PERFORMANCE MEASURES

- 1.0. This section sets out the Performance Measures against which the Parties shall measure the Supplier’s performance.
- 1.1. The objective of the Performance Measures is to:
  - 1.1.1 ensure that the Services are of a consistently high quality and meet the requirements of the Customer;
  - 1.1.2 provide a mechanism whereby the Customer can attain meaningful recognition of inconvenience and/or loss resulting from the Supplier’s failure to deliver the Services; and
  - 1.1.3 incentivise the Supplier to meet the Performance Measures and to remedy any failure to meet the required standards expeditiously.

### Performance Measures Targets

- 1.2. The Supplier shall ensure compliance with the Tier 1 Performance Measures listed in Table 1 and the Tier 2 Performance Measures in Table 3.
- 1.3. The Customer reserves the right to revise Performance Measures and introduce subject specific Performance Measures as required.
- 1.4. The Supplier and the Customer shall monitor the Supplier’s performance against each of the Tier 1 and Tier 2 Performance Measures.
- 1.5. The Supplier shall complete and return the monthly Customer Reporting Template outlining performance against the Performance Measures to date and confirm whether they have been achieved.

- 1.6. If the Supplier fails to meet any one Performance Measure in any Reporting Period, the Customer reserves the right to take action in line with Paragraph 3 (Consequence of Service Failure in relation to a Tier 1 Performance Measure) and in line with Paragraph 5 (Consequence of Service Failure in relation to a Tier 2 Performance Measure) in relation to a Tier 2 Performance Measure.

## 1. TIER 1 PERFORMANCE MEASURES

1.1. The Tier 1 Performance Measures are set out in Table 1 below.

**Table 1: Tier 1 Performance Measures**

Performance Measure Description	Performance Measure Metric:
<b>Tier 1 Performance Measure 1: Completions</b> Learners who successfully Complete the Skills Bootcamp Course, of those Learners who Start the Skills Bootcamp. For the purposes of this measure, Completion must be achieved within 8 weeks of the training finishing.	<b>Green:</b> 80% or more of Learners  <b>Amber:</b> Between 79 – 40% of Learners  <b>Red:</b> Less than 40% of Learners
<b>Tier 1 Performance Measure 2: Positive Outcomes</b> Learners who successfully achieve a Positive Outcome within six months of Completing the Skills Bootcamp, of those Learners who Complete the Skills Bootcamp	<b>Green:</b> 75% of Learners  <b>Amber:</b> 74 – 40% of Learners  <b>Red:</b> Less than 40% of Learners
<b>Tier 1 Performance Measure 3: Data Requests from DfE</b> The Supplier must submit timely, accurate and complete data and evidence. This includes: <ul style="list-style-type: none"> <li>- The Supplier shall submit the Contract Monitoring Plan (CMP) Report on a monthly basis on a date specified by the Customer.</li> <li>- The Learner Datasheet (LD) must be submitted each time the Supplier makes an ILR submission to provide supporting information for the ILR submission.</li> </ul>	Data returns will be checked on an ongoing basis to review if they are on time, accurate and complete. <b>Green:</b> 90% or more of data returns are timely, accurate and complete  <b>Amber:</b> 89 – 40% of data returns are timely, accurate and complete  <b>Red:</b> Less than 40% of data returns are timely, accurate and complete

1.2. The Customer shall monitor the Supplier's performance against each of the Performance Measures listed in Table 1 – Tier 1 Performance Measures. The Supplier's performance will be measured and its performance rated in accordance with the criteria set out in Table 2 below.

**Table 2: Tier 1 Performance Measures Rating and Performance Management Implications**

Rating	Criteria
<b>Green</b> – Good	The Supplier is meeting or exceeding the Tier 1 Performance Measure Target
<b>Amber</b> – Improvement Needed	The performance of the Supplier is below the Tier 1 Performance Measure Target

<b>Red</b> – Unacceptable	The performance of the Supplier is significantly below the Tier 1 Performance Measure Target
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- 1.3. If at the measurement or reporting point for an individual Tier 1 Performance Measure, the Supplier;
- 1.3.1 achieves a rating of “**Green** – Good” for an individual Tier 1 Performance Measure Target no further action will be required;
- 1.3.2 achieves a rating of “**Amber** - Improvement Needed” or “**Red** – Unacceptable” for any Tier 1 Performance Measure Target, the Supplier will incur a Service Failure and the provisions of Paragraph 3 of this Schedule will apply;
- 1.3.3 achieves a rating of “**Red** - Unacceptable”, the Supplier will not be entitled to participate in any Call Off Competitions under the DPA while the Supplier’s performance against the relevant Performance Measure Target remains “**Red** - Unacceptable”. If the Supplier achieves a rating of “**Red** – Unacceptable” at Completion, then the Supplier will not be entitled to participate in any Further Competitions for Skills Bootcamps for 12 months;
- 1.3.4 if the Supplier’s performance remains “**Red** – Unacceptable” at the next agreed measurement or reporting point at which the Tier 1 Performance Measure is being considered, the Customer reserves the right to terminate this Contract and / or the DPA with regards to the Supplier.
- 1.4. In line with the cross-government transparency agenda the Customer reserves the right to make the Supplier’s performance against the Performance Measures in Table 1 of this Schedule available in the public domain, which may include publishing them on gov.uk and including them in any related transparency reporting

## **2. CONSEQUENCE OF SERVICE FAILURE IN RELATION TO A TIER 1 PERFORMANCE MEASURE**

- 2.1. Without prejudice to any other rights or remedies arising under this Contract, including under Clause 30 (Termination) for material Default, if the Supplier incurs a Service Failure in relation to any Tier 1 Performance Measure in any Reporting Period, the Supplier acknowledges and agrees that the Customer shall have the right to exercise (in its absolute and sole discretion) all or any of the following remedial actions:
- 2.1.1 The Customer shall be entitled to require the Supplier, and the Supplier agrees to prepare and provide to the Customer, a plan for improvement (an “Improvement Plan”) within ten (10) Working Days of a written request by the Customer for such Improvement Plan. Such Improvement Plan shall be subject to the Customer’s prior approval and the Supplier will be required to implement any approved Improvement Plan, as soon as reasonably practicable;
- 2.1.2 The Customer shall be entitled to require the Supplier, and the Supplier agrees to attend, within a reasonable time one (1) or more meetings at the request of the Customer in order to resolve the issues raised by the Customer in its notice to the Supplier requesting such meetings;
- 2.1.3 Without prejudice to Paragraph 3.1.1, the Customer shall be entitled to serve a notice of improvement (“Improvement Notice”) on the Supplier and the Supplier shall implement such requirements for improvement as set out in the Improvement Notice;
- 2.1.4 The Customer shall be entitled to issue interim Performance Measures and/or milestones in order to monitor the Supplier’s implementation of any Improvement Plan or Improvement Notice.
- 2.2. If at the date stated in the Improvement Plan or Improvement Notice by which time the Service Failures(s) should have been remedied, the Customer is not satisfied that the Supplier has:

2.2.1 implemented the actions set out in the Improvement Notice (if an Improvement Notice has been served) and as a consequence the Service Failure is ongoing; and/or

2.2.2 implemented an Improvement Plan approved by the Customer and as a consequence the Service Failure is ongoing; and/or

2.2.3 met the interim performance measures and/or milestones;

and the relevant original Service Failure was originally “Amber – Requires Improvement”, the Service Failure will be escalated to be “Red – Unacceptable” and the provisions of Paragraph 2.3.3 and if relevant Paragraph 2.3.4 will apply. If the original Service Failure was “Red – Unacceptable”, Paragraph 2.3.4 will apply.

### 3. TIER 2 PERFORMANCE MEASURES

3.1. The Tier 2 Performance Measures are set out in Table 3 below:

**Table 3: Tier 2 Performance Measures**

Service Level Description	Service Level Metric	Final date for measure to be assessed
<b>Tier 2 Performance Measure 5: Drop outs</b>  Learners who leave a Skills Bootcamp before they finish the training, of those Learners who Start the Skills Bootcamp	<b>Green:</b> 15% or fewer of Learners <b>Amber:</b> 16 – 25% of Learners <b>Red:</b> More than 25% of Learners	This measure will be assessed on an ongoing basis
<b>Tier 2 Performance Measure 6: meeting Delivery Plan Trajectory Points</b>  Likelihood of achieving the Tier 1 performance measure targets.	<b>Green:</b> The Supplier is likely or very likely <b>Amber:</b> The Supplier is unlikely The Supplier is very unlikely <b>Red:</b> T	This measure will be assessed on an ongoing basis
<b>Tier 2 Performance Measure 7: Social Value</b>  Total percentage of opportunities created for the workforce employed under the contract to enable or promote access to apprenticeships, T level industry placements or other learning and development opportunities at	<b>Green:</b> 10% and above improvement the within lifetime of the contract from the starti g position <b>Amber:</b> above 0 to less than 10% <b>Red:</b> 0 or decline	This measure will be assessed at the end of the contract term.  Ongoing reporting will be required throughout the contract.

level 2, 3, and 4+ (or equivalent) or other activities to support relevant sector related skills growth and sustainability in the contract workforce. (Please note that the contract workforce can include contractors and T-level placements.)		
<i>Contractor to declare percentage position at contract start and achieve a percentage point improvement on that starting position by contract end. i.e., If at contract start the 20% are from under-represented groups, a 10% improvement would mean that by contract end 22% are from underrepresented groups.</i>		
<p><b>Tier 2 Performance Measure 8: Employer Engagement</b></p> <p>The Supplier has engaged employers at the design, delivery and post-Skills Bootcamp stage as set out in Table 5 below.</p>	<p>The Supplier should be able to evidence Employer Engagement at the Design Stage, Learner Recruitment during the Delivery Stage and Post Skills Bootcamp Stage on every Skills Bootcamp. An Employer Engagement Pro Forma will be sent to all Suppliers to complete at the commencement of the Contract.</p> <p>The Supplier should list the Employers they have engaged (updated from bid stage) and include the role of each Employer and contact details.</p> <p>The Supplier will then need to provide evidence at four Points (as set out in Table 5 below) that Employers have been engaged in the way set out in the Pro Forma and the Supplier has achieved a minimum level of Employer satisfaction for the Skills Bootcamp.</p> <p><b>Green:</b> the Supplier has met all Points to date</p> <p><b>Amber:</b> the Supplier has not met one of the Points to date</p> <p><b>Red:</b> the Supplier has not met two or more Points to date</p>	This measure will be assessed on an ongoing basis

- 3.2. The Customer shall monitor the Supplier's performance against each of the Performance Measures listed in Table 3 – Tier 2 Performance Measures. The Supplier's performance will be measured and its performance rated in accordance with the criteria set out in Table 4 below:

**Table 4: Tier 2 Performance Measures Rating and Performance Management Implications**

Rating	Criteria
Green - Good	The Supplier is meeting or exceeding the Tier 2 Performance Measure Target
Amber - Improvement Needed	The performance of the Supplier is below the Tier 2 Performance Measure Target
Red - Unacceptable	The performance of the Supplier is significantly below the Tier 2 Performance Measure Target

- 3.3. If at the agreed measurement or reporting point for an individual Tier 2 Performance Measure, the Supplier:

3.3.1 achieves a rating of "Green - Good" for an individual Tier 2 Performance Measure Target, no further action will be required;

- 3.4. achieves a rating of "Amber – Improvement Needed" or "Red – Unacceptable" for any Tier 2 Performance Measure Target, the Supplier will incur a Service Failure and the provisions of Paragraph 5 of this Schedule will apply. In line with the cross-government transparency agenda the Customer reserves the right to make the Supplier's performance against the Performance Measures in Table 3 of this Schedule available in the public domain, which may include publishing them on gov.uk and including them in any related transparency reporting.

#### **4. CONSEQUENCE OF SERVICE FAILURE IN RELATION TO A TIER 2 PERFORMANCE MEASURE**

- 4.1. Without prejudice to any other rights or remedies arising under this Contract, including under Clause 30 (Termination) for material Default, if the Supplier incurs a Service Failure in relation to any Tier 2 Performance Measure in any Reporting Period, the Supplier acknowledges and agrees that the Customer shall have the right to exercise (in its absolute and sole discretion) all or any of the following remedial actions:

4.1.1 the Service Failure for the relevant Tier 2 Performance Measure is assessed as being "Amber – Requires Improvement", the Supplier will, where instructed to do so by the Customer, attend Contract Performance Review meetings with the Customer at an interval specified by the Customer until the next date on which the relevant Tier 2 Performance Measure will be assessed and reported on;

4.1.2 If the relevant Tier 2 Performance Measure remains "Amber – Requires Improvement" at the time the Tier 2 Performance Measure is reassessed, the provisions set out in Paragraph 3 of this Schedule will apply as if the references to "Tier 1 Performance Measures" have been replaced with "Tier 2 Performance Measures";

4.1.3 If the performance of the Supplier in relation to the relevant Tier 2 Performance Measure worsens to "Red – Unacceptable" at the time the Tier 2 Performance Measure is reassessed, the Tier 2 Performance Measure will be immediately escalated to a Tier 1 Performance Measure Service Failure and Paragraph 2.3.2 will apply;

4.1.4 If after complying with Paragraph 5.4.2, the Tier 2 Performance Measure remains "Amber – Requires Improvement", the Tier 2 Performance Measure will be immediately escalated to a Tier 1 Performance Measure Service Failure and Paragraph 2.3.2 will apply;



4.1.5 If the Service Failure for the relevant Tier 2 Performance Measure is assessed as being “Red – Unacceptable”, the Performance Measure will be immediately escalated to a Tier 1 Performance Measure Service Failure and Paragraph 2.3.2 will apply.

**Table 5: Tier 2: Employer Engagement Performance Measure – Full Details**

Monitoring Stage	When this will be measured	<p><b>How this will be measured</b> - An Employer Engagement Pro Forma will be sent to all Suppliers for the Call-Off Contract to complete on mobilisation. The Supplier will list the Employers engaged with each of the Supplier's Skills Bootcamps (updated from bid stage) within that Call-Off Contract with the role of each Employer and contact details provided.</p> <p>The supplier must include satisfactory explanation of the differences between Employers cited in bids or letters of support, and an updated list where some Employers have dropped out with an explanation of any dropouts and replacement Employers identified to ensure sufficient engagement and interviews for vacancies can be secured further on.</p>
Point 1 - Understanding skills needs and learner screening	At or before Commencement payment	<ul style="list-style-type: none"> <li>• Evidence confirming how at least one employer contributed to the learner screening process and that employer skills need informed the learner recruitment process, such as (but not limited to) one of the following learner screening activities:</li> <li>• Confirming agreement with the employer regarding which learner eligibility requirements are needed in addition to the standard Skills Bootcamps eligibility requirements to ensure successful completion of the Skills Bootcamp OR confirmation that no further eligibility requirements are needed as agreed with the employer based on their skills needs;</li> <li>• Co-designing recruitment criteria, interview criteria, or pre-interview recruitment assessments (for example situational judgement tests if applicable) with the employer;</li> <li>• And/or the employer conducting learner recruitment activities, including interviews.</li> </ul> <p><i>Depending on the form of Employer engagement from the above list examples of acceptable forms of evidence for this stage may include:-</i></p> <ul style="list-style-type: none"> <li>• <i>email/written statement or confirmation from the Employer;</i></li> <li>• <i>photograph of the employer engaging with the Learner screening process with supporting email/written confirmation from the Supplier explaining what the photo indicates.</i></li> </ul> <p><i>The Customer reserves the right to amend/expand this list as necessary and where it does so will confirm the requirements.</i></p>

Point 2 - Course Content Agreement	At or before Commencement payment	<p>Evidence confirming that at least one employer engaged in at least one of the ways below to ensure effective course content alignment with existing skills need:</p> <ul style="list-style-type: none"> <li>• Engagement on the part of the employer in the co-design or co-development of the Skills Bootcamp to ensure that course provision will meet their existing skills need and what form this engagement took;</li> <li>• Contribution of feedback by the employer on the course design process regarding ensuring that the Skills Bootcamp's course design would meet their existing need with confirmation of how this was implemented. For example, has the supplier carried out a needs analysis with the employer's business in order to develop the Skills Bootcamp, and the solution proposed as a result is approved by the employer [this line is from the current EE KPI Guidance around the training needs analysis].</li> </ul>
Point 3 - Course Delivery	At or before completion payment	<p>Evidence confirming that at least one employer was engaged in the delivery of the Skills Bootcamp and what form this took, for example via (but not limited to) one or more of the following activities:</p> <ul style="list-style-type: none"> <li>• Employer presentation(s);</li> <li>• Employer panel talk(s);</li> <li>• Employer-delivered course content;</li> <li>• Employer-led visit(s) to a workplace</li> <li>• Learner mentoring or coaching session(s);</li> <li>• Providing Learners with feedback on their work/performance;</li> <li>• Employer-led insight day(s);</li> <li>• Employer-led interview training and/or mock interview(s).</li> </ul> <p><i>Depending on the form of Employer engagement from the above list examples of acceptable forms of evidence for this stage may include:-</i></p> <ul style="list-style-type: none"> <li>• <i>Email/written statement or confirmation from the employer confirming they participated in one or more of such course delivery activities;</i></li> <li>• <i>Photograph of the employer engaging with the course delivery process via one or more of the above course delivery activities or equivalent with supporting email/written confirmation from the Supplier explaining what the photo indicates.</i></li> </ul> <p><i>The Customer reserves the right to amend/expand this list as necessary and where it does so will confirm the requirements.</i></p>
Point 4 - Course and Candidate Suitability Review	At or before Outcome payment	<p>Evidence via an Employer survey (Employer Satisfaction Questionnaire), confirming the level of satisfaction held by at least one Employer on:</p> <ul style="list-style-type: none"> <li>• the course's overall effectiveness in upskilling or reskilling Learners to the required standard in the required skills, knowledge and behaviours as outlined in the original tender;</li> <li>• the suitability of Learner candidates who attended guaranteed interviews provided by the Employer.</li> </ul>

		<p><i>Acceptable evidence format for this stage:-</i></p>
		<ul style="list-style-type: none"> <li>• Course Content was approved by the employer as meeting the requirements of actual vacancies they hold or expect to hold within the next 12 months, or that the Skills Bootcamp meets the needs to train their own employees for a new role or new responsibilities.</li> </ul> <p><i>Depending on the form of Employer engagement from the above list examples of acceptable forms of evidence for this stage may include:-</i></p> <ul style="list-style-type: none"> <li>• <i>email/written statement or confirmation from the Employer;</i></li> <li>• <i>photograph of the Employer engaging with the course content agreement process with supporting email/written confirmation from the Supplier explaining what the photo indicates.</i></li> </ul> <p><i>The Customer reserves the right to amend/expand this list as necessary and where it does so will confirm the requirements.</i></p>

- Completion of a Skills Bootcamp Employer Satisfaction Questionnaire by the employer, which is then submitted as evidence via the supplier.

The Customer reserves the right to amend/expand this list as necessary and where it does so will confirm the requirements.

[Provisional] **Employer Satisfaction Questionnaire Part A** requirements:-




**Part A – Course Review:**

Within the questionnaire, the Employer will be asked their satisfaction levels with the Skills Bootcamp and to what extent it met their needs overall with a score from 0-4.

**Part A: Scoring Matrix**

<b>4: Excellent</b>	The Supplier has fully met the needs of the Employer to an excellent standard, providing significant value and benefit to the Employer
<b>3: Good</b>	The Supplier has met the needs of the Employer to a good and satisfactory standard, providing good value and benefit to the Employer
<b>2: Unsatisfactory</b>	The Supplier has failed to meet the needs of the Employer, in many ways and/or materially in one or more ways
<b>1: Poor</b>	The Supplier failed to meet the needs of the Employer, in a significant number of ways and/or inadequate or no supporting evidence has been provided to support the response
<b>0: Unacceptable</b>	The Employer details are absent or incomplete and/ or the employer is not involved in the Skills Bootcamp.

The RAG rating for measuring success will be:-

 <b>Green</b> - Good	Suppliers scoring 3 (Good) or above
 <b>Amber</b> – Improvement Needed	Suppliers scoring 2 (Unsatisfactory) or above
 <b>Red</b>	Suppliers scoring 1 (Poor) or below

[Provisional] **Employer Satisfaction Questionnaire Part B** requirements:-

**Part B – Candidate Suitability Review:**

Within the questionnaire, the Employer will be asked their satisfaction levels regarding the suitability of Learner candidates that attended the guaranteed interviews, that they led for the agreed roles under consideration (in answer to the question below or equivalent) and assessed using the scoring matrix below.

Please confirm your level of satisfaction with the suitability of interview candidates via indicating your level of agreement with the following statement:  
*'The Skills Bootcamp Supplier provided suitable Learner candidates for the Employer's vacancies at the guaranteed interview stage.'*

**Part B Scoring Matrix**

<b>4</b>	Strongly Agree
<b>3</b>	Agree
<b>2</b>	Neither Agree nor Disagree
<b>1</b>	Disagree
<b>0</b>	Strongly Disagree

The RAG rating for measuring success will be:-

<b>Green</b> - Good	Suppliers scoring 3 (Agree) or above
<b>Amber</b> – Improvement Needed	Suppliers scoring 2 (Neither Agree nor Disagree) or above
<b>Red</b> -	Suppliers scoring 1 (Disagree) or below

### **PART 3: CONTRACT MANAGEMENT AND MANAGEMENT INFORMATION**

#### **1. PERFORMANCE MANAGEMENT REVIEW MEETINGS**

- 1.1 The Supplier will work with the Customer and/or Other Contracting Authorities as appropriate to establish and maintain an effective and beneficial working relationship and to ensure that this Call Off Contract(s) is being delivered to at least the minimum required standard as specified in Schedule 2 (Services, Performance Management and Contract Management).
- 1.2 This Call Off Contract will be contract managed (including performance management) in accordance with the terms of this Call Off Contract. Where the Supplier has been awarded one or more Call Off Contracts, the Customer reserves the right to consolidate the contract management of each Call Off Contract into one contract management process and manage it through the DPA. For the avoidance of doubt, each Call Off Contract would still be contract managed in accordance with its own terms.
- 1.3 The Customer will conduct regular formal Performance Management Review Meetings at a frequency (usually monthly) determined by the Customer from time to time to monitor, measure and review the Supplier's performance under this Call Off Contract.
- 1.4 The objectives of the Performance Management Review Meetings will be to manage the Supplier's performance under the Call Off Contract awarded to the Supplier and shall include but not be limited to: -
- (a) Monitoring and reviewing the Supplier's compliance with its obligations under this Call Off Contract;
  - (b) reviewing the performance of the Supplier against the Tier 1 and Tier 2 Performance Measures set out in the Call Off Contract, and conformance by the Supplier to all other standards and policies set out elsewhere in the Call Off Contract;
  - (c) reviewing Improvement Plans;
  - (d) agreeing any changes to the Call Off Contract, which have been raised through the Variation Procedure as detailed in Clause 13 (Change);
  - (e) reviewing the Service Requirements set out in Schedule 2 Part 1 (Services);
  - (f) resolving operational and contractual problems, which may have been raised using the Dispute Resolution Procedure as set out in Schedule 6 (Dispute Resolution Procedure);
  - (g) identifying and recording Service Failures;
  - (h) the Supplier's System Assurance in accordance with Paragraph 2 of this Schedule.
- 1.5 Any agenda for the Performance Management Review Meetings shall be produced by the Customer in conjunction with the Supplier.
- 1.6 The Supplier shall supply all information requested by the Customer for the purpose of conducting the Performance Management Review Meetings.
- 1.7 The Supplier shall provide suitable representatives with the necessary authority to consider service performance and to ensure that any issues impacting the Customer are discussed and resolved.
- 1.8 The Customer shall make, retain and distribute a record of the meetings.



## **2 SUPPLIER'S SYSTEM ASSURANCE**

2.1 The Supplier shall comply with the Customer's requirements for Supplier's Systems Assurance as described in this Paragraph 2;

2.2 The Supplier shall have systems in place to:

- (a) Detect and prevent duplicate Contract Charges;
- (b) Prepare and submit accurate, valid, supported, timely Contract Charges and cost information;
- (c) Carry out effective monitoring of Sub-Contractors in accordance with Clause 18 and Schedule 14 (Sub-Contracting) of the Call Off Contract;
- (d) Quality assure the content of Contract Monitoring Reports and Management Information; and
- (e) Respond to compliance monitoring and Supplier Review Meetings with an appropriate action plan.

2.3 The Customer will discuss any Supplier's System Assurance issues at the Performance Management Review Meetings. Any discussion on the Supplier's System Assurance will focus on the Supplier's internal control systems for:

- (a) Governance – Supplier systems for tracking and reporting performance including anti-fraud measures;
- (b) Service delivery – Supplier systems for ensuring the activities are delivered to the required standards and to prevent any delays to achieving the requirements for Milestone Payments;
- (c) Claim procedures and payment – Supplier systems to support claims for payment, including appropriate segregation of duties.

## **3 PERFORMANCE RECORDS**

3.1 The Supplier shall keep appropriate documents and records (including but not limited to Learner eligibility records, attendance sheets, training records, complaints received) in relation to the Services being delivered. The records and documents of the Supplier shall be available for inspection by the Customer and/or its nominee at any time and the Customer and/or its nominee may make copies of any such records and documents.

3.2 In addition to the requirement in Paragraph 3.1 maintain appropriate documents and records, the Supplier shall provide to the Customer with such supporting documentation as the Customer may reasonably require in order to verify the level of the performance of the Supplier.

## **4 CONTRACT MONITORING PLAN AND LEARNER DATASHEET**

4.1 The Supplier shall collect a range of data and report on its performance against the Performance Measures set out in this Schedule and the Service Levels set out in Table 1 of this Schedule.

4.2 The Supplier shall submit the Contract Monitoring Plan (CMP) Report on a monthly basis, on a date specified by the Customer. The Learner Datasheet (LD) must be submitted each time the Supplier makes an ILR submission to provide supporting information for the ILR submission. The Customer may amend the reporting frequency and format in respect of any or all Contract Monitoring or Learner Datasheets or waive the requirement for any aspect of the Contract Monitoring or Learner Datasheets to be reported upon, by giving the Supplier not less than one (1) months' notice in writing.

- 4.3 The content and format of the CMP and LD shall be specified by the Customer but unless otherwise stated, it shall be presented in a tabular format or MS Excel and it shall include the formulas and calculations used by the Supplier to calculate the performance.
- 4.4 The Supplier shall include in its CMP suitable commentary and narrative statements in respect of the report performance, including specifying any reasons for reported failures.
- 4.5 The Customer will use the data presented by the Supplier and the CMP and LD to inform Supplier Reviews and contract management discussions, progress against Milestones and Performance Measures, auditing, reporting performance to other government departments, for Quality Assurance purposes as well as to support an independent evaluation of the Supplier. The Customer will also use independent evaluation of the Supplier to help assess whether the Supplier has achieved the relevant Delivery Plan Trajectory as set out in the Delivery Plan. The Customer will share data and the contents of the CMP for these purposes.
- 4.6 The Supplier ensures it (and its Sub-Contractors do likewise) receives the necessary consent and puts in place data sharing agreements where necessary (with Learners, Sub-Contractors, third parties and the like), in relation to the collation and sharing of any data that is reported in its CMP and LD.
- 4.7 In addition to the Contract Monitoring, the Supplier must respond to ad-hoc requests for information relating to the Activities and or Services from the Customer. Suppliers should note a completed delivery plan (which sets out the delivery trajectories) will need to be provided at contract mobilisations requested by contract managers.
- 4.8 The Authority may require additional information from a supplier outside these stated requirements to validate the service requirements are being met. Any such requirement will be communicated as part of contract management.
- 4.9 Where any discrepancies, omissions or errors are identified in the CMP or LD, the Supplier shall correct and rectify the CMP or LD within 5 (five) Working Days of the date on which the discrepancy, omission or error was identified.
- 4.10 For the avoidance of doubt, the Supplier is responsible for the collection of data including data collected by Sub-Contractors and ensuring it is collected in a timely, secure, consistent and compliant manner.
- 4.11 As the Supplier will be required to provide personal information about Learners, the Supplier must ensure this data collection template is returned to the Customer using the encrypted system; Galaxkey.
- 4.12 Contract Performance will continue to be assessed beyond the contract end date for the Outcome payment milestone and performance measures relating to this.

## **5 DELIVERY PLAN TRAJECTORIES**

- 5.1 In accordance with the Performance Measures set out at Part 2 of this Schedule, the Customer will assess if the Supplier has achieved the Delivery Plan trajectories. If the Supplier does not achieve a Delivery Plan Trajectory, the Customer reserves the right to apply any or all of the following:
- (a) immediately require the Supplier to stop all or any part of the Services or not to continue to progress to deliver any further element of the Services (including those being provided under other call off contracts);
  - (b) require the Supplier to:
    - (i) revise and resubmit their Delivery Plan
    - (ii) reschedule any activity;

- (iii) attend additional Supplier Review meetings with the Customer, submit reports, report on progress, provide additional resources and take the necessary action to provide assurances to the Customer that the failure to achieve the Delivery Plan Trajectory will not adversely affect the overall delivery of the Services within the stated timescale for the Skills Bootcamp, or any amended timescale as agreed by the Customer;
  - (iv) recover any Contract Charges already paid to the Supplier in relation to any Milestone Payment the requirements for which were not actually achieved by the Supplier prior to the Milestone Payment having been made;
  - (v) If the Supplier fails to achieve any one of the Delivery Plan Trajectories and at the same time is delivering Services under another call off contract, the Customer reserves the right to apply any or all of the following in relation to that call off contract:
  - (vi) immediately require the Supplier to stop all or any part of the Services or not to continue to progress to deliver any further element of the Services, including delaying the Contract Commencement Date;
- (c) require the Supplier to:
- (i) revise and resubmit their Delivery Solution;
  - (ii) reschedule any activity;
  - (iii) attend meetings with the Customer, submit reports, report on progress, provide additional resources and take the necessary action to provide assurances to the Customer that the failure to achieve the Delivery Plan Trajectory on one call off contract will not adversely affect the overall delivery of the Services within the stated timescale for the Skills Bootcamp, or any amended timescale as agreed by the Customer in relation to any other Call Off Contract.

**Annex 1: Contract Monitoring Plan (provided in tender documentation as CMP document)**

**CONTRACT SCHEDULE 3: CONTRACT CHARGES, PAYMENT AND INVOICING**

**1. GENERAL PROVISIONS**

- 1.1 This Contract Schedule 3 details:
  - 1.1.1 the Contract Charges the Services under this Contract; and
  - 1.1.2 the payment terms/profile for the Contract Charges;
  - 1.1.3 the invoicing procedure; and
  - 1.1.4 the procedure applicable to any adjustments of the Contract Charges.

## **2. CONTRACT CHARGES**

2.1 The Contract Charges which are applicable to this Contract are set out in Annex 1 of this Contract Schedule 3.

2.2 The Supplier acknowledges and agrees that the Contract Charges cannot be increased during the Contract Period.

## **3. COSTS AND EXPENSES**

3.1 The Contract Charges include all costs and expenses relating to the Services and/or the Supplier's performance of its obligations under this Contract and no further amounts shall be payable by the Customer to the Supplier in respect of such performance, including in respect of matters such as:

3.1.1 any incidental expenses that the Supplier incurs, including travel, subsistence and lodging, document or report reproduction, shipping, desktop or office equipment costs required by the Supplier Personnel, network or data interchange costs or other telecommunications charges; or

3.1.2 any amount for any services provided or costs incurred by the Supplier prior to the Contract Commencement Date.

## **4. PAYMENT TERMS/PAYMENT PROFILE**

4.1 The payment terms/profile which are applicable to this Contract are set out in Annex 2 of this Contract Schedule 3.

## **5. PAYMENT AND INVOICING PROCEDURES**

5.1 Paragraphs 5.2 to 5.4 shall apply where the Customer is the Department for Education.

5.2 The Payment Profile for the Call Off Contract will be based upon the Milestones outlined in Part A of Schedule 3 (Pricing and Charging Structure) of the DPA which will be amended to reflect the detailed specific category requirement specified in the Call for Competition Statement of Requirements.

5.3 The Supplier must submit promptly to the Customer an accurate Individualised Learning Record ("ILR") in relation to each individual Learner in accordance with this Contract and the ILR technical documents, guidance and requirements as amended and updated from time to time.

5.4 Provided that the Supplier has complied with its obligation to complete the ILR, as set out in clause 14 and has fulfilled the criteria for the relevant Milestone Payment, the Customer shall pay the Milestone Payment on the 14<sup>th</sup> Working Day of the month following the date on which the Supplier submitted data onto the ILR related to the Milestone Payment. The Supplier must submit data by the 4<sup>th</sup> Working Day of the month to be paid on the 14<sup>th</sup> Working Day of

that same month, otherwise the Supplier will be paid on the 14<sup>th</sup> Working Day of the following month. For the avoidance of doubt, there is no requirement for the Supplier to submit an invoice for a Milestone Payment.

5.5 The provisions in clauses 14.14 – 14.16 apply in relation to the Contract Charges and the Customer may reduce and/or recover Contract Charges accordingly.

5.6 Paragraphs 5.7 – 5.12 shall apply where the Customer is not the Department for Education unless that Customer specifies an alternative payment and/or invoicing process in the Call for Competition.

5.7 The Customer shall pay all sums properly due and payable to the Supplier in cleared funds within thirty (30) days of receipt of a Valid Invoice, submitted to the address specified by the Customer and in accordance with the provisions of this Contract.

- 5.8 The Supplier shall ensure that each invoice (whether submitted electronically through a purchase-to-pay (P2P) automated system (or similar) or in a paper form, as the Customer may specify (but, in respect of paper form, subject to paragraph 5.3 below)):
- 5.8.1 contains:
    - (a) all appropriate references, including the unique order reference number set out in the Contract Order Form; and
    - (b) a detailed breakdown of the Services, including the Milestone(s) (if any) and Deliverable(s) within this Contract to which the Services relate, against the applicable due and payable Contract Charges; and
  - 5.8.2 shows separately:
    - (a) any form of Service Credits due to the Customer; and
    - (b) the VAT added to the due and payable Contract Charges in accordance with Clause 14.22 of this Contract (VAT) and the tax point date relating to the rate of VAT shown; and
- 5.8.3 is exclusive of any Management Charge (and the Supplier shall not attempt to increase the Contract Charges or otherwise recover from the Customer as a surcharge the Management Charge levied on it by the Authority); and
- 5.8.4 it is supported by any other documentation reasonably required by the Customer to substantiate that the invoice is a Valid Invoice.
- 5.9 If the Customer is a Central Government Body, the Customer's right to request paper form invoicing shall be subject to procurement policy note 11/15 (available at [Procurement policy note 11/15: unstructured electronic invoices - Publications - GOV.UK](#) which sets out the policy in respect of unstructured electronic invoices submitted by the Supplier to the Customer (as may be amended from time to time).
- 5.10 The Supplier shall accept the Government Procurement Card as a means of payment for the Services where such card is agreed with the Customer to be a suitable means of payment. The Supplier shall be solely liable to pay any merchant fee levied for using the Government Procurement Card and shall not be entitled to recover this charge from the Customer.
- 5.11 All payments due by one Party to the other shall be made within thirty (30) days of receipt of a Valid Invoice unless otherwise specified in this Contract, in cleared funds, to such bank or building society account as the recipient Party may from time to time direct.
- 5.12 The Supplier shall submit invoices directly to the Customer's billing address set out in the Contract Order Form.

## **6. ADJUSTMENT OF CONTRACT CHARGES**

- 6.1 The Contract Charges shall only be varied:
  - 6.1.1 due to a Specific Change in Law in relation to which the Parties agree that a change is required to all or part of the Contract Charges in accordance with Clause 13.1 to 13.2 of this Contract (Legislative Change); or
  - 6.1.2 and/ or in accordance with DMP Schedule 3 (DMP Prices and Charging structure), Part A Pricing Matrix Quarterly Adjustment Schedule.

### **ANNEX 1: CONTRACT CHARGES**

The Contract Charges are as set out in the Summary of Funding taken from the Suppliers Pricing Sheet.

## ANNEX 2: PAYMENT TERMS/PROFILE

1.1 Payment by the Customer of the Contract Charges is to be made in accordance with the payment profile set out in the Milestone Table below:

### PAYMENT PROFILE: MILESTONE TABLE

**For the following categories: Digital; Sales, Marketing and Procurement; Construction and the Built Environment; Engineering and Manufacturing; Business and Administration; and Creative and Design**

Commencement	Contract Delivery		Outcome
	Mid-course	Course completion and interview offered	On job offer (or equivalent)
40%	0%	30%	30%

<p>Paid on the learner completing 14 qualifying days of training and on completion of the initial assessment (initial assessment as set out in the service requirements). We expect the 14 qualifying days of the training course to include a minimum of 10 guided learning hours.</p> <p>Suppliers must achieve 20% of Starts by 31<sup>st</sup> March 2024, in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Starts via the ILR, Contract Monitoring Plan and Learner Datasheet. More detail on reporting is set out in the funding guidance.</p>	<p><b>Course completion and interview offered:</b></p> <p>Completion of the training and completion of a final assessment (final assessment as set out in the service requirements), and;</p> <ul style="list-style-type: none"> <li>For the independent learner: an offer of an interview with an employer for a live vacancy that will utilise the skills obtained on the Skills Bootcamp, and which meets the criteria set out under the service requirements and reflects the salary expectations and location set out in the IAG process;</li> <li>For the co-funded learner: an offer of an interview which meets the criteria set out under the service requirements</li> <li>For the self-employed learner: written confirmation of how the new training has been/will be applied to get new work or contracts which meet the criteria set out under the service requirements</li> </ul> <p>If an independent learner plans to be selfemployed, they can achieve a self-employed learner completion; and a self-employed learner who plans to become employed can achieve an independent learner completion.</p> <p>Suppliers must achieve Completions by 31<sup>st</sup> July 2025, and have outcomes achieved by 31<sup>st</sup> January 2026 in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Completions via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>	<ul style="list-style-type: none"> <li>For the independent learner: An offer for a job which meets the criteria set out in the Contract Order Form Annex 1 service requirements.</li> <li>For the co-funded learner: the offer or commencement of a new role/responsibility within the current organisation which meets the criteria set out in the Contract Order Form Annex 1 service requirements.</li> <li>For the self-employed learner: Learner has secured new work/new contracts which meet the criteria set out in the Contract Order Form Annex 1 service requirements.</li> <li>If an independent learner plans to be selfemployed, they can achieve a self-employed learner outcome; and a self-employed learner who plans to become employed can achieve an independent learner outcome.</li> </ul> <p>Suppliers should note that the learner must have finished the training element of the Skills</p>
		<p>Bootcamp for the Supplier to be able to claim a Positive Outcome payment.</p> <p>Suppliers must achieve Positive Outcomes within the six months after the training finishes. The Supplier should report on the next monthly reporting cycle any new learner Positive Outcomes via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>

Commencement	Contract Delivery		Outcome
	Mid-course	Course completion and interview offered	On job offer (or equivalent)
40%	0%	30%	30%
<p>Paid on the learner completing 14 qualifying days of training and on completion of the initial assessment (initial assessment as set out in the service requirements). We expect the 14 qualifying days of the training course to include a minimum of 10 guided learning hours.</p> <p>Suppliers must achieve 20% of Starts by 31<sup>st</sup> March 2024, in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Starts via the ILR, Contract Monitoring Plan and Learner</p>	<p>Course completion and interview offered:</p> <p>Completion of the training and completion of a final assessment (final assessment as set out in the service requirements), and;</p> <ul style="list-style-type: none"><li>For the independent learner: an offer of an interview with an employer for an apprenticeship or other live job vacancy which will utilise the skills obtained on the bootcamp and meets the criteria set out under the service requirements and reflects the salary expectations and location set out in the IAG process;</li><li>For the co-funded learner: an offer of an interview which meets the criteria set out under the service requirements</li><li>For the self-employed learner: written confirmation of how the new training has been/will be applied to get new work or</li></ul>	<ul style="list-style-type: none"><li>For the independent learner: An offer for an accelerated apprenticeship or for a job (which is not an apprenticeship) that meets the criteria set out in the Contract Order Form Annex 1 service requirements.</li><li>For the co-funded learner: the offer or commencement of a new role/responsibility within the current organisation which meets the criteria set out in the Contract Order Form Annex 1 service requirements</li><li>For the self-employed learner: Learner has secured new work/new contracts which meet the criteria set out in the Contract Order Form Annex 1 service requirements.</li></ul> <p>If an independent learner plans to be self-employed, they can achieve a selfemployed learner outcome; and a selfemployed learner who plans to become</p>	



Datasheet. More detail on reporting is set out in the funding guidance.	<p>contracts which meet the criteria set out under the service requirements</p> <p>If an independent learner plans to be self-employed, they can achieve a self-employed learner completion; and a self-employed learner who plans to become employed can achieve an independent learner completion.</p> <p>Suppliers must achieve Completions by 31<sup>st</sup> July 2025, and have outcomes achieved by 31<sup>st</sup> January 2026 in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Completions via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>	<p>employed can achieve an independent learner outcome.</p> <p>The offer of an apprenticeship that has not been accelerated cannot be used to claim for the Outcome payment in this model. Suppliers should note that the learner must have completed the training element of the Skills Bootcamp to be able to claim an outcome payment.</p> <p>Suppliers must achieve Positive Outcomes within the six months after the training finishes. The Supplier should report on the next monthly reporting cycle any new learner Positive Outcomes via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>
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**For Category Transport and Logistics – HGV Driving (Pathway A-C)**

<b>Commencement</b>	<b>Contract Delivery</b>		<b>Outcome</b>
<b>Eligibility &amp; suitability</b>	<b>Mid-course</b>	<b>Course completion and interview offered</b>	<b>On job offer (or equivalent)</b>
<b>20%</b>	<b>30%</b>	<b>20%</b>	<b>30%</b>

<p>Eligibility and suitability checks: including attained provisional licence.</p> <p>Suppliers must achieve 20% of Starts by 31<sup>st</sup> March 2024, in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Starts via the ILR, Contract Monitoring Plan and Learner Datasheet. More detail on reporting is</p>	<p>Passed Theory test, completed the practical training and taken a practical test.</p> <p>Completion of the training passed the theory test and taken a practical test.</p>	<p>Passed Practical Test and passed CPC mod 4 and evidence of job interview.</p> <p>Passed the practical test and passed the CPC mod 4, and:</p> <ul style="list-style-type: none"> <li>For the independent learner: an offer of an interview with an employer for a live vacancy which will utilise the skills obtained</li> </ul>	<p>Evidence of a job offer / commencement of new role / self-employed outcome:</p> <ul style="list-style-type: none"> <li>For the independent learner: An offer for a job which meets the criteria set out in the Contract Order Form Annex 1 service requirements.</li> <li>For the co-funded learner: the offer or commencement of a new role/responsibility within the current organisation which meets the criteria set out in the service requirements.</li> </ul>
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<p>set out in the funding guidance.</p>		<p>on the Skills Bootcamp and meets the criteria set out under the service requirements and reflects the salary expectations and location set out in the Initial Advice and Guidance (IAG) process;</p> <ul style="list-style-type: none"> <li>For the cofunded learner: an offer of an interview which meets the criteria set out under the service requirements</li> <li>For the selfemployed learner: written confirmation of how the new training has been/will be applied to get new work or contracts which meet the criteria set out under the service requirements</li> </ul> <p>If an independent learner plans to be self-employed, they can achieve a selfemployed learner completion; and a self-employed learner who plans to become employed can achieve an independent learner completion.</p>	<ul style="list-style-type: none"> <li>For the self-employed learner: Learner has secured new work/new contracts which meet the criteria set out in the service requirements.</li> </ul> <p>If an independent learner plans to be self-employed, they can achieve a selfemployed learner outcome; and a self-employed learner who plans to become employed can achieve an independent learner outcome.</p> <p>Suppliers should note that the learner must have finished the training element of the Skills Bootcamp for the Supplier to be able to claim a Positive Outcome payment.</p> <p>Suppliers must achieve Positive Outcomes within the six months after the training finishes. The Supplier should report on the next monthly reporting cycle any new learner Positive Outcomes via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>
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		Suppliers must achieve Completions by 31 <sup>st</sup> July 2025,	
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		and have outcomes achieved by 31 <sup>st</sup> January 2026 in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Completions via the ILR, Contract Monitoring Plan and Learner Datasheet.	
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***For Category Transport and Logistics - HGV driving (Pathway D-H) and (Transport Manager)***

Commencement	Contract Delivery		Outcome
	Mid-course	Course completion and interview offered	On job offer (or equivalent)
<b>40%</b>	<b>0%</b>	<b>30%</b>	<b>30%</b>

<p>Paid on the learner completing 14 qualifying days of training and on completion of the initial assessment (initial assessment as set out in the service requirements). We expect the 14 qualifying days of the training course to include a minimum of 10 guided learning hours.</p> <p>Suppliers must achieve 20% of Starts by 31<sup>st</sup> March 2024, in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Starts via the ILR, Contract Monitoring Plan and Learner</p>	<p><b>Course completion and interview offered:</b></p> <p>Completion of the training and completion of a final assessment (final assessment as set out in the service requirements), and;</p> <ul style="list-style-type: none"> <li>For the independent learner: an offer of an interview with an employer for a live vacancy that will utilise the skills obtained on the Skills Bootcamp and which meets the criteria set out under the service requirements and reflects the salary expectations and location set out in the IAG process;</li> <li>For the co-funded learner: an offer of an interview which meets the criteria set out under the service requirements</li> <li>For the self-employed learner: written confirmation of how the new training has been/will be applied to get new work or contracts which meet the criteria set out under the service requirements</li> </ul> <p>If an independent learner plans to be selfemployed, they can achieve a self-</p>	<ul style="list-style-type: none"> <li>For the independent learner: An offer for a job which meets the criteria set out in the Contract Order Form Annex 1 service requirements</li> <li>For the co-funded learner: the offer or commencement of a new role/responsibility within the current organisation which meets the criteria set out in the Contract Order Form Annex 1 service requirements</li> <li>For the self-employed learner: Learner has secured new work/new contracts which meet the criteria set out in the Contract Order Form Annex 1 service requirements</li> </ul>
<p>Datasheet. More detail on reporting is set out in the funding guidance.</p>	<p>employed learner completion; and a selfemployed learner who plans to become employed can achieve an independent learner completion.</p> <p>Suppliers must achieve Completions by 31<sup>st</sup> July 2025, and have outcomes achieved by 31<sup>st</sup> January 2026 in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Completions via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>	<p>If an independent learner plans to be self-employed, they can achieve a selfemployed learner outcome; and a self-employed learner who plans to become employed can achieve an independent learner outcome.</p> <p>Suppliers should note that the learner must have finished the training element of the Skills Bootcamp for the Supplier to be able to claim a Positive Outcome payment.</p> <p>Suppliers must achieve Positive Outcomes within the six months after the training finishes. The Supplier should report on the next monthly reporting cycle any new learner Positive Outcomes via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>

**For Category Education and Early Years (Early Years Educator)**

Commencement	Contract Delivery		Outcome
	Mid-course	Course completion and interview offered	On job offer (or equivalent)
40%	0%	30%	30%
<p>Paid on:</p> <ul style="list-style-type: none"><li>the learner completing 14 qualifying days of training,</li><li>on completion of the initial assessment (initial assessment as set out in the service requirements) and,</li></ul>	<p>Course completion and interview offered:</p> <p>Completion of the training and completion of a final assessment (final assessment as set out in the service requirements), and;</p> <ul style="list-style-type: none"><li>For the independent learner: an offer of an interview with an employer for an accelerated apprenticeship or other live job (which is not an apprenticeship) vacancy that will utilise the skills obtained on the Skills Bootcamp and which meets the criteria set out in the</li></ul>	<ul style="list-style-type: none"><li>For the independent learner: An offer for an accelerated apprenticeship or for a job (which is not an apprenticeship) that meets the criteria set out in the Contract Order Form Annex 1 service requirements. For</li><li>the co-funded learner: the offer or commencement of a new role/responsibility within the current organisation which meets the criteria set out in the Contract Order Form Annex 1 service requirements</li></ul>	

<ul style="list-style-type: none"> <li>evidence of DBS applications submitted for learners or an existing and relevant DBS certificate.</li> </ul> <p>We expect the 14 qualifying days of the training course to include a minimum of 10 guided learning hours.</p> <p>Suppliers must achieve 20% of Starts by 31<sup>st</sup> March 2024, in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Starts via the ILR, Contract Monitoring Plan and Learner Datasheet. More detail on reporting is set out in the funding guidance.</p>	<p>service requirements and reflects the salary expectations and location set out in the IAG process;</p> <ul style="list-style-type: none"> <li>For the co-funded learner: an offer of an interview for a new role/responsibility within the current organisation which meets the criteria set out in the service requirements;</li> <li>For the self-employed learner: written confirmation of how the new training has been/will be applied to get new work or contracts which meet the criteria set out in the service requirements.</li> </ul> <p>If an independent learner plans to be self-employed, they can achieve a self-employed learner completion; and a self-employed learner who plans to become employed can achieve an independent learner completion.</p> <p>Suppliers must achieve Completions by 31<sup>st</sup> July 2025, and have outcomes achieved by 31<sup>st</sup> January 2026 in line with delivery plans. The Supplier should report on the next monthly reporting cycle any new learner Completions via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>	<ul style="list-style-type: none"> <li>For the self-employed learner: Learner has secured new work/new contracts which meet the criteria set out in the Contract Order Form Annex 1 service requirements.</li> </ul> <p>If an independent learner plans to be self-employed, they can achieve a self-employed learner outcome; and a self-employed learner who plans to become employed can achieve an independent learner outcome.</p> <p>The offer of an apprenticeship that has not been accelerated cannot be used to claim for the Outcome payment in this model. Suppliers should note that the learner must have completed the training element of the Skills Bootcamp to be able to claim an outcome payment.</p> <p>Suppliers must achieve Positive Outcomes within the six months after the training finishes. Please note this is not six months after the full Completion milestone, but after the training element has finished. The Supplier should report on the next monthly reporting cycle any new learner Positive Outcomes via the ILR, Contract Monitoring Plan and Learner Datasheet.</p>
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1.2 At each Milestone Date specified in the above table, the Customer will assess if the Supplier has achieved the relevant Milestone. If the Supplier does not achieve a Milestone, the Customer reserves the right to apply any or all of the following:

- 1.2.1 immediately require the Supplier to stop or not start any part of the Services (including those being provided under other call off contracts).
- 1.2.2 require the Supplier to:
  - 1.2.2.1 revise and resubmit their Delivery and Implementation Plan;
  - 1.2.2.2 reschedule any activity;
  - 1.2.2.3 attend meetings with the Customer and/or its QA Function, submit reports, report on progress, provide additional resources and take the necessary action to provide assurances



to the Customer that the Milestone will be achieved by a revised Milestone Date with time being of the essence;

1.2.3 withhold any Contract Charges that would have been due to the Supplier if the Supplier had achieved the Milestone;

1.2.4 recover any Contract Charges already paid to the Supplier.

1.3 If the Supplier fails to achieve any one of the Milestones and at the same time is delivering Services under another call off contract, the Supplier reserves the right to also take action under that call off contract.

1.4 The customer reserves the right to review the milestones and where it does so will confirm the requirements.

2. The following will not be accepted as an offer of an interview :

2.1 Where:

2.1.1 Learners are invited to employer meet and greet sessions including but not limited to an employer insight day

2.1.2 Learners are invited to meet employers as part of an initial screening process

3. Interviews by recruitment agencies will only be accepted where:

(i) the interview is for live vacancies and the recruitment agency would be the employer and or contractor for the learner if the learner is successful

OR

(ii) where employers engaged by the supplier have contracted with a recruitment agency to interview candidates for relevant job vacancies/roles on their behalf

3.1 If suppliers cannot provide either of these pieces of evidence at milestone 2, we will only pay milestone 2 once milestone 3 has been evidenced in line with agreed time limits.

4. Suppliers must provide evidence of the below for payment for payment milestone 2:

(i) Confirmation sent to the supplier from the employer or apprenticeship provider that the learner has been offered an interview with an employer to include details of company name, job role, date and time of interview. e.g. email exchange of employer engagement on an interview OR

(ii) In the case where a learner has independently arranged an interview, a declaration from the learner that they independently arranged an interview confirmation from the learner of the details of the interview providing one of the below:

(a) Learner declaration (signed by the Learner) confirming the details of the interview (company name, job role, date and time of interview)

(b) Voice recording of conversation between Supplier and Learner confirming the details of the interview (company name, job role, date and time of interview)

(c) Email from Learner confirming the details of the interview (company name, job role, date and time of interview)

(d) Text (or other message service) from Learner confirming the details of the interview (company name, job role, date and time of interview)

5. The Supplier must have agreed a sufficient number of job interviews with Employers to (i) provide all Learners with an offer for a Job Interview and (ii) meet the payment milestone 3 KPI

## CONTRACT SCHEDULE 4: SECURITY

### 1. DEFINITIONS

"BPSS"	a level of security clearance described as pre-employment checks in the National Vetting Policy. Further information can be found at:
"Baseline Contractor Staff Security Standard"	<a href="https://www.gov.uk/government/publications/government-baseline-Contractor-Staff-security-standard">https://www.gov.uk/government/publications/government-baseline-Contractor-Staff-security-standard</a>
"CCSC"	is NCSC's approach to assessing the services provided by consultancies and confirming that they meet NCSC's standards. This approach builds on the strength of CLAS and certifies the competence of suppliers to deliver a wide and complex range of cyber security consultancy services to both the public and private sectors. See website:
"Certified Cyber Security Consultancy"	<a href="https://www.ncsc.gov.uk/scheme/certified-cyberconsultancy">https://www.ncsc.gov.uk/scheme/certified-cyberconsultancy</a>
"CCP"	is a NCSC scheme in consultation with government, industry and academia to address the growing need for specialists in the cyber security profession and are building a community of recognised professionals in both the UK public and private sectors. See website:
"Certified Professional"	<a href="https://www.ncsc.gov.uk/scheme/certified-professional">https://www.ncsc.gov.uk/scheme/certified-professional</a>
"CC"	the Common Criteria scheme provides assurance that a developer's claims about the security features of their product are valid and have been independently tested against recognised criteria.
"Common Criteria"	
"Cloud Security Principles"	Is a set of guidance on cloud security principles, including their goals and technical implementation:
	<a href="https://www.ncsc.gov.uk/collection/cloud/the-cloudsecurity-principles">https://www.ncsc.gov.uk/collection/cloud/the-cloudsecurity-principles</a>
"CPA"	is an 'information assurance scheme' which evaluates commercial off the shelf (COTS) products and their developers against published security and development standards. These CPA certified products can be used by government, the wider public sector and industry. See website:
"Commercial Product Assurance"	<a href="https://www.ncsc.gov.uk/scheme/commercialproduct-assurance-cpa">https://www.ncsc.gov.uk/scheme/commercialproduct-assurance-cpa</a>
[formerly called "CESG Product Assurance"]	
"Cyber Essentials"	Cyber Essentials is the government backed, industry supported scheme to help organisations protect themselves against common cyber-attacks. Cyber Essentials and Cyber Essentials Plus are levels within the scheme.
"Cyber Essentials Plus"	

There are a number of certification bodies that can be approached for further advice on the scheme; the link below points to one of these providers: <https://www.iasme.co.uk/apply-for-self-assessment/>

"Department's Data"	is any data or information owned or retained in order to meet departmental business objectives and tasks, including:
"Department's Information"	<ul style="list-style-type: none"> <li>(a) any data, text, drawings, diagrams, images or sounds (together with any repository or database made up of any of these components) which are embodied in any electronic, magnetic, optical or tangible media, and which are: <ul style="list-style-type: none"> <li>(i) supplied to the Supplier by or on behalf of the Customer; or</li> <li>(ii) which the Supplier is required to generate, process, store or transmit pursuant to this Contract; or</li> </ul> </li> <li>(b) any Personal Data for which the Department is the Data Controller;</li> </ul>
"Authority", "Customer"	means the Department for Education
"Department"	
"Departmental Security Standards"	means the Customer's security policy or any standards, procedures, process or specification for security that the Supplier is required to deliver.
"Digital Marketplace / GCloud"	the Digital Marketplace is the online framework for identifying and procuring cloud technology and people for digital projects. Cloud services (e.g. web hosting or IT health checks) are on the G-Cloud framework.
"FIPS 140-2"	this is the Federal Information Processing Standard (FIPS) Publication 140-2, (FIPS PUB 140-2), entitled 'Security Requirements for Cryptographic Modules'. This document is the de facto security standard used for the accreditation of cryptographic modules.
"Good Industry Practice"	means the exercise of that degree of skill, care, prudence, efficiency, foresight and timeliness as would be expected from a leading company within the relevant industry or business sector.
"Industry Good Practice"	
"Good Industry Standard"	means the implementation of products and solutions, and the exercise of that degree of skill, care, prudence, efficiency, foresight and timeliness as would be expected from a leading company within the relevant industry or business sector.
"Industry Good Standard"	

“GSC”	means the Government Security Classification Policy which establishes the rules for classifying HMG information. The policy is available at:
“GSCP”	<a href="https://www.gov.uk/government/publications/government-security-classifications">https://www.gov.uk/government/publications/government-security-classifications</a>
“Guided Learning”	‘Guided Learning is the activity of a Learner being taught or instructed by – or otherwise participating in education or training (including placement, onsite or practical education or training) under the Immediate Guidance or Supervision of – a lecturer, supervisor, tutor or other appropriate provider of education or training, with the simultaneous presence of the Learner and that person in the same physical or virtual space. This could include, but is not limited to, simultaneous presence: in a classroom, in a virtual classroom or breakout room, on site, placement, or other physical learning environment.’
“HMG”	means His Majesty’s Government
“ICT”	means Information and Communications Technology (ICT) is used as an extended synonym for information technology (IT), used to describe the bringing together of enabling technologies used to deliver the end-to-end solution
“Interview”	A job interview is an interview consisting of a conversation between a job applicant and a representative of an employer which is conducted to assess whether the applicant should be hired.
“ISO/IEC 27001” “ISO 27001”	is the International Standard for Information Security Management Systems Requirements
“ISO/IEC 27002” “ISO 27002”	is the International Standard describing the Code of Practice for Information Security Controls.
“ISO 22301”	is the International Standard describing for Business Continuity
“IT Security Health Check (ITSHC)”	means an assessment to identify risks and vulnerabilities in systems, applications and networks which may compromise the confidentiality, integrity or availability of information held on that IT system.
“IT Health Check (ITHC)”	
“Penetration Testing”	
“Need-to-Know”	the Need-to-Know principle is employed within HMG to limit the distribution of classified information to those people with a clear ‘need to know’ in order to carry out their duties.

"NCSC"	The National Cyber Security Centre (NCSC) formerly CESG is the UK government's National Technical Authority for Information Assurance. The NCSC website is <a href="https://www.ncsc.gov.uk">https://www.ncsc.gov.uk</a>
"OFFICIAL"	the term 'OFFICIAL' is used to describe the baseline level of 'security classification' described within the Government Security Classification Policy (GSCP) which details the level of protection to be afforded to information by HMG, for all routine public sector business, operations and services.  The 'OFFICIAL-SENSITIVE' caveat is used to identify a limited subset of OFFICIAL information that could have more damaging consequences (for individuals, an organisation or government generally) if it were lost, stolen or published in the media, as described in the Government Security Classification Policy.
"OFFICIAL-SENSITIVE"	
"RBAC"	Role Based Access Control, a method of restricting a person's or process' access to information depending on the role or functions assigned to them.
"Role Based Access Control"	
"Secure Sanitisation"	Secure sanitisation is the process of treating data held on storage media to reduce the likelihood of retrieval and reconstruction to an acceptable level. Some forms of sanitisation will allow you to re-use the media, while others are destructive in nature and render the media unusable.  Secure sanitisation was previously covered by "Information Assurance Standard No. 5 - Secure Sanitisation" ("IS5") issued by the former CESG. Guidance can now be found at: <a href="https://www.ncsc.gov.uk/guidance/secure-sanitisationstorage-media">https://www.ncsc.gov.uk/guidance/secure-sanitisationstorage-media</a>  The disposal of physical documents and hardcopy materials advice can be found at: <a href="https://www.cpni.gov.uk/securedestruction">https://www.cpni.gov.uk/securedestruction</a>
"Security and Information Risk Advisor"	the Security and Information Risk Advisor (SIRA) is a role defined under the NCSC Certified Professional (CCP) Scheme. See also: <a href="https://www.ncsc.gov.uk/articles/about-certifiedprofessional-scheme">https://www.ncsc.gov.uk/articles/about-certifiedprofessional-scheme</a>
"CCP SIRA"	
"SIRA"	
"Service Standards"	The Service Standard helps teams to create and run great public services ensuring user needs are at the centre of design <a href="https://www.gov.uk/service-manual/service-standard">https://www.gov.uk/service-manual/service-standard</a>
"SPF"	This is the definitive HMG Security Policy which describes the expectations of the Cabinet Secretary and Government's Official Committee on Security on how HMG organisations and third parties handling HMG information and other assets will apply protective security to ensure HMG
"HMG Security Policy Framework"	

can function effectively, efficiently and securely.

<https://www.gov.uk/government/publications/securitypolicy-framework>

“Technology Code of Practice”

The Technology Code of Practice is a set of criteria to help government design, build and operate technology services effectively and efficiently.

<https://www.gov.uk/guidance/the-technology-code-ofpractice>

“Tailored Assurance”

[formerly called “CTAS”, or,

“CESG Tailored Assurance”]

is an ‘information assurance scheme’ which provides assurance for a wide range of HMG, MOD, Critical National Infrastructure (CNI) and public sector customers procuring

IT systems, products and services, ranging from simple software components to national infrastructure networks.

<https://www.ncsc.gov.uk/documents/ctas-principles-andmethodology>

- 1.1. The Supplier shall comply with the relevant HMG security policy framework, NCSC guidelines, Cloud Security Principles, and where applicable Departmental Security Standards which include but are not constrained to the following paragraphs.
- 1.2. If the Supplier is providing a Technology Solution and / or as the Supplier will be handling information at OFFICIAL on behalf of the Customer, the requirements under Cabinet Office Procurement Policy Note – Use of Cyber Essentials Scheme certification - Action Note 09/14 25 May 2016, or any subsequent updated document, are mandated; that “contractors supplying products or services to HMG shall have achieved, and retain certification at the appropriate level, under the HMG Cyber Essentials Scheme”. The certification scope must be relevant to the services supplied to, or on behalf of, the Customer.
- 1.3. The Supplier shall be able to demonstrate conformance to, and show evidence of such conformance to the ISO/IEC 27001 (Information Security Management Systems Requirements) standard, including the application of controls from ISO/IEC 27002 (Code of Practice for Information Security Controls).
- 1.4. The Supplier shall follow the UK Government Security Classification Policy (GSCP) in respect of any Departmental Data being handled in the course of providing these Services, and will handle this data in accordance with its security classification. (In the event where the Supplier has an existing Protective Marking Scheme then the Supplier may continue to use this but must map the HMG security classifications against it to ensure the correct controls are applied to the Departmental Data).
- 1.5. Departmental Data being handled in the course of providing an ICT solution or service must be segregated from all other data on the Supplier’s or Sub-Contractor’s own IT equipment to protect the Departmental Data and enable the data to be identified and securely deleted when required. In the event that it is not possible to segregate any Departmental Data then the Supplier and any SubContractor shall be required to ensure that it is stored in such a way that it is possible to securely delete the data in line with Paragraph 1.16.
- 1.6. The Supplier shall have in place and maintain physical security, in line with those outlined in ISO/IEC 27002 including, but not limited to, entry control mechanisms (e.g. door access) to premises and sensitive areas.
- 1.7. The Supplier shall have in place and maintain an access control policy and process for the logical access (e.g. identification and authentication) to ICT systems to ensure only authorised Staff have access to Departmental Data. This policy should include appropriate segregation of duties and if applicable role based access controls (RBAC). User credentials that give access to Departmental Data or systems shall be considered to be sensitive data and must be protected accordingly.

- 1.8. The Supplier shall have in place and shall maintain procedural, Staff, physical and technical safeguards to protect Departmental Data, including but not limited to:
- i. physical security controls;
  - ii. good industry standard policies and processes;
  - iii. malware protection;
  - iv. boundary access controls including firewalls, application gateways, etc;
  - v. maintenance and use of fully supported software packages in accordance with vendor recommendations;
  - vi. use of secure device configuration and builds;
  - vii. software updates and patching regimes including malware signatures, for operating systems, network devices, applications and services;
  - viii. user identity and access controls, including the use of multi-factor authentication for sensitive data and privileged account accesses;
  - ix. Services provided to the Customer must capture audit logs for security events in an electronic format at the application, service and system level to meet the Customer's logging and auditing requirements, plus logs shall be:
    - a. retained and protected from tampering for a minimum period of six months;
    - b. made available to the Customer on request.
- 1.9. Any data in transit using either physical or electronic transfer methods across public space or cyberspace, including mail and courier systems, or third party provider networks must be protected via encryption which has been certified to FIPS 140-2 standard or a similar method approved by the Customer prior to being used for the transfer of any Departmental Data.
- 1.10. Storage of Departmental Data on any portable devices or media shall be limited to the absolute minimum required to deliver the stated business requirement and shall be subject to Paragraph 1.11 and 1.12 below.
- 1.11. Any portable removable media (including but not constrained to pen drives, flash drives, memory sticks, CDs, DVDs, or other devices) which handle, store or process Departmental Data to deliver and support the service, shall be under the control and configuration management of the Supplier or SubContractors providing the Services, shall be both necessary to deliver the Services and shall be encrypted using a product which has been certified to FIPS140-2 standard or another encryption standard that is acceptable to the Customer.
- 1.12. All portable ICT devices, including but not limited to laptops, tablets, smartphones or other devices, such as smart watches, which handle, store or process Departmental Data to deliver and support the Services, shall be under the control and configuration management of the Supplier or Sub-Contractors providing the Services, and shall be necessary to deliver the Services. These devices shall be full-disk encrypted using a product which has been certified to FIPS140-2 standard or another encryption standard that is acceptable to the Customer.
- 1.13. Whilst in the Supplier's care all removable media and hardcopy paper documents containing Departmental Data must be handled securely and secured under lock and key when not in use and shall be securely destroyed when no longer required, using either a cross-cut shredder or a professional secure disposal organisation.
- 1.14. When necessary to hand carry removable media and/or hardcopy paper documents containing Departmental Data, the media or documents being carried shall be kept under cover and transported in such a way as to ensure that no unauthorised person has either visual or physical access to the material being carried. This requirement shall apply equally regardless of whether the material is being carried inside or outside of company premises. The term 'under cover' means that the information is



carried within an opaque folder or envelope within official premises and buildings and within a closed briefcase or other similar bag or container when outside official premises or buildings.

- 1.15. In the event of termination of the Contract due to expiry, as a result of an Insolvency Event or for Default by the Supplier, all products and materials provided, created or resulting from provision of the Services shall not be considered as the Supplier's assets and must be returned to the Customer and written assurance obtained from an appropriate officer of the Supplier that these assets regardless of location and format have been fully sanitised throughout the Supplier's organisation in line with paragraph 1.16.
- 1.16. At the end of the Contract Period or in the event of equipment failure or obsolescence, all Departmental information and data, in either hardcopy or electronic format, that is physically held or logically stored on the Supplier's ICT infrastructure must be securely sanitised or destroyed and accounted for in accordance with the current HMG policy using a NCSC approved product or method. Where sanitisation or destruction is not possible for legal, regulatory or technical reasons, such as a Storage Area Network (SAN) or shared backup tapes, then the Supplier or Sub-Contractor shall protect the Customer's information and data until the time, which may be long after the end of the contract, when it can be securely cleansed or destroyed. Evidence of secure destruction will be required in all cases.
- 1.17. In the event of termination of Contract due to expiry, the Supplier will ensure that any purchased domain name (or domain names) in connection with the provision of the Services transfers ownership of those domains to the Customer free of charge as part of Exit Plan activity. Agreement on domain name will be made between the Government Digital Service and the Customer.
- 1.18. Access by the Supplier or Sub-Contractor Staff to Departmental Data shall be confined to those individuals who have a "need-to-know" in order to carry out their role; and have undergone mandatory pre-employment screening, to a minimum of HMG Baseline Contractor Staff Security Standard (BPSS); or hold an appropriate National Security Vetting clearance as required by the Customer. All Supplier or Sub-Contractor Staff must complete this process before access to Departmental Data is permitted. Any Supplier Staff who will be in contact with children or vulnerable adults must, in addition to any security clearance, have successfully undergone an Enhanced DBS (Disclosure and Barring Service) check prior to any contact.
- 1.19. All Supplier or Sub-Contractor Staff who handle Departmental Data must have annual awareness training in protecting information.
- 1.20. Notwithstanding any other provisions as to business continuity and disaster recovery in the Contract, the Supplier shall, as a minimum, have in place robust Business Continuity arrangements and processes including IT disaster recovery plans and procedures that conform to ISO 22301 to ensure that the delivery of the Services is not adversely affected in the event of an incident. An incident shall be defined as any situation that might, or could lead to, a disruption, loss, emergency or crisis to the services delivered. If a ISO 22301 certificate is not available, the Supplier will provide evidence of the effectiveness of their ISO 22301 conformant Business Continuity arrangements and processes including IT disaster recovery plans and procedures. This should include evidence that the Supplier has tested or exercised these plans within the last 12 months and produced a written report of the outcome, including required actions.
- 1.21. Any suspected or actual breach of the confidentiality, integrity or availability of Departmental Data being handled in the course of providing this service, or any non-compliance with these Departmental Security Standards for Contractors, or other Security Standards pertaining to the solution, shall be recorded as an incident. This includes any non-compliance with the Departmental Security Standards and these provisions, or other security standards pertaining to the solution. Incidents shall be reported to the Customer immediately, wherever practical, even if unconfirmed or when full details are not known, but always within 24 hours of discovery. If incident reporting has been delayed by more than 24 hours, the Supplier should provide an explanation about the delay. Incidents shall be reported through the Customer's nominated system or service owner. Incidents shall be investigated by the Supplier with outcomes being notified to the Customer.

- 1.22. The Supplier shall ensure that any IT systems and hosting environments that are used to handle, store or process Departmental Data shall be subject to independent IT Health Checks (ITHC) using a NCSC approved ITHC provider before go-live and periodically (at least annually) thereafter. The findings of the ITHC relevant to the Services being provided are to be shared with the Customer and all necessary remedial work carried out. In the event of significant security issues being identified, a follow up remediation test may be required.
- 1.23. The Supplier or Sub-Contractors providing the Services will provide the Customer with full details of any storage of Departmental Data outside of the UK or any future intention to host Departmental Data outside the UK or to perform any form of ICT management, support or development function from outside the UK. The Supplier or Sub-Contractor will not go ahead with any such proposal without the prior written agreement from the Customer.
- 1.24. The Customer reserves the right to audit the Supplier or Sub-Contractors providing the Services within a mutually agreed timeframe but always within seven days of a request to audit being given. The audit shall cover the overall scope of the Services being provided and the Supplier's, and any Sub-Contractors, compliance with the clauses contained in this Section.
- 1.25. The Supplier shall use best endeavours to procure that all these Departmental Security Standards for Contractors shall be complied with by any third-party suppliers, Sub-Contractors or partners who could potentially access Departmental Data in the course of providing the Services.
- 1.26. The Supplier and Sub-Contractors shall undergo appropriate security assurance activities as determined by the Customer. The Supplier and Sub-Contractors shall support the provision of appropriate evidence of assurance and the production of the necessary security documentation such as completing the Authority Security Assurance Model (DSAM) process or the Business Service Assurance Model (BSAM). This will include obtaining any necessary professional security resources required to support the Supplier's and Sub-Contractor's security assurance activities such as: a NCSC Certified Cyber Security Consultancy (CCSC) or NCSC Certified Professional (CCP) Security and Information Risk Advisor (SIRA)
- 1.27. Where the Supplier is delivering an ICT solution to the Customer they shall design and deliver solutions and services that are compliant with the HMG Security Policy Framework in conjunction with current NCSC Information Assurance Guidance and Authority's Policy. The Supplier will provide the Customer with evidence of compliance for the solutions and Services to be delivered. The Customer's expectation is that the Supplier shall provide written evidence of:
  - i. Compliance with HMG Minimum Cyber Security Standard.
  - ii. Any existing security assurance for the Services to be delivered, such as: ISO/IEC 27001 / 27002 or an equivalent industry level certification.
  - iii. Any existing HMG security accreditations or assurance that are still valid including: details of the awarding body; the scope of the accreditation; any caveats or restrictions to the accreditation; the date awarded, plus a copy of the residual risk statement. iv. Documented progress in achieving any security assurance or accreditation activities including whether documentation has been produced and submitted. The Supplier shall provide details of who the awarding body or organisation will be and date expected.

#### **ANNEX 1: SECURITY MANAGEMENT PLAN**

Not included

## CONTRACT SCHEDULE 5: STAFF TRANSFER

### 1. DEFINITIONS

In this Contract Schedule 5, the following definitions shall apply:

<b>“Admission Agreement”</b>	The agreement to be entered into by which the supplier agrees to participate in the Schemes as amended from time to time;
<b>“Eligible Employee”</b>	any Fair Deal Employee who at the relevant time is an eligible employee as defined in the Admission Agreement;
<b>“Employee Liabilities”</b>	<p>all claims, actions, proceedings, orders, demands, complaints, investigations (save for any claims for personal injury which are covered by insurance) and any award, compensation, damages, tribunal awards, fine, loss, order, penalty, disbursement, payment made by way of settlement and costs, expenses and legal costs reasonably incurred in connection with a claim or investigation related to employment including in relation to the following:</p> <ul style="list-style-type: none"><li>(a) redundancy payments including contractual or enhanced redundancy costs, termination costs and notice payments;</li><li>(b) unfair, wrongful or constructive dismissal compensation;</li><li>(c) compensation for discrimination on grounds of sex, race, disability, age, religion or belief, gender reassignment, marriage or civil partnership, pregnancy and maternity or sexual orientation or claims for equal pay;</li><li>(d) compensation for less favourable treatment of part-time workers or fixed term employees;</li><li>(e) outstanding employment debts and unlawful deduction of wages including any PAYE and national insurance contributions;</li><li>(f) employment claims whether in tort, contract or statute or otherwise;</li></ul> <p>any investigation relating to employment matters by the Equality and Human Rights Commission or other enforcement, regulatory or supervisory body and of implementing any requirements which may arise from such investigation;</p>
<b>“Fair Deal Employees”</b>	those Transferring Customer Employees who are on the Relevant Transfer Date entitled to the protection of New

Fair Deal and any Transferring Former Supplier Employees who originally transferred pursuant to a Relevant Transfer under the Employment Regulations (or the predecessor legislation to the Employment Regulations), from employment with a public sector employer and who were once eligible to participate in the Schemes and who at the Relevant Transfer Date become entitled to the protection of New Fair Deal;

**“Former Supplier”** a supplier supplying services to the Customer before the Relevant Transfer Date that are the same as or substantially similar to the Services (or any part of the Services) and shall include any sub-contractor of such supplier (or any sub-contractor of any such subcontractor);

**“New Fair Deal”** the revised Fair Deal position set out in the HM Treasury guidance: *“Fair Deal for staff pensions: staff transfer from central government”* issued in October 2013;

**“Notified Sub-Contractor”** a Sub-Contractor identified in the Annex to this Contract Schedule 5 to whom Transferring Customer Employees and/or Transferring Former Supplier Employees will transfer on a Relevant Transfer Date;

**“Replacement Sub-Contractor”** a sub-contractor of the Replacement Supplier to whom

Transferring Supplier Employees will transfer on a Service Transfer Date (or any sub-contractor of any such subcontractor);

**“Relevant Transfer”** a transfer of employment to which the Employment Regulations applies;

**“Relevant Transfer Date”** in relation to a Relevant Transfer, the date upon which the Relevant Transfer takes place;

**“Schemes”** the Principal Civil Service Pension Scheme available to employees of the civil service and employees of bodies under the Superannuation Act 1972, as governed by rules adopted by Parliament; the Partnership Pension Account and its (i) Ill health Benefits Scheme and (ii) Death Benefits Scheme; the Civil Service Additional Voluntary Contribution Scheme; and the 2015 New Scheme (with effect from a date to be notified to the Supplier by the Minister for the Cabinet Office);

**“Service Transfer”** any transfer of the Services (or any part of the Services), for whatever reason, from the Supplier or any Sub-Contractor to a Replacement Supplier or a Replacement

**“Service Transfer Date”**

Sub-Contractor;  
the date of a Service Transfer;

**“Staffing Information”**

in relation to all persons identified on the Supplier’s Provisional Supplier Personnel List or Supplier’s Final Supplier Personnel List, as the case may be, such information as the Customer may reasonably request (subject to all applicable provisions of the DPA), but including in an anonymised format:

- (a) their ages, dates of commencement of employment or engagement and gender;
- (b) details of whether they are employed, selfemployed contractors or consultants, agency workers or otherwise;
- (c) the identity of the employer or relevant contracting party;
- (d) their relevant contractual notice periods and any other terms relating to termination of employment, including redundancy procedures, and redundancy payments;
- (e) their wages, salaries and profit sharing arrangements as applicable;
- (f) details of other employment-related benefits, including (without limitation) medical insurance, life assurance, pension or other retirement benefit schemes, share option schemes and company car schedules applicable to them;
- (g) any outstanding or potential contractual, statutory or other liabilities in respect of such individuals (including in respect of personal injury claims);
- (h) details of any such individuals on long term sickness absence, parental leave, maternity leave or other authorised long term absence;
- (i) copies of all relevant documents and materials relating to such information, including copies of relevant contracts of employment (or relevant standard contracts if applied generally in respect of such employees); and
- (j) any other “employee liability information” as such term is defined in regulation 11 of the Employment Regulations;

**“Supplier’s Final Supplier Personnel List”** a list provided by the Supplier of all Supplier Personnel who will transfer under the Employment Regulations on the Relevant Transfer Date;

**“Supplier’s Provisional Supplier Personnel List”** Personnel who are engaged in or wholly or mainly assigned to the provision of the Services or any relevant part of the Services which it is envisaged as at the date of such list will no longer be provided by the Supplier;

**“Transferring Customer Employees”** those employees of the Customer to whom the Employment Regulations will apply on the Relevant Transfer Date;

**“Transferring Former Supplier Employees”** in relation to a Former Supplier, those employees of the Former Supplier to whom the Employment Regulations will apply on the Relevant Transfer Date; and

**“Transferring Supplier Employees”** those employees of the Supplier and/or the Supplier’s Sub-Contractors to whom the Employment Regulations will apply on the Service Transfer Date.

## **2. INTERPRETATION**

Where a provision in this Contract Schedule 5 imposes an obligation on the Supplier to provide an indemnity, undertaking or warranty, the Supplier shall procure that each of its Sub-Contractors shall comply with such obligation and provide such indemnity, undertaking or warranty to the Customer, Former Supplier, Replacement Supplier or Replacement Sub-Contractor, as the case may be.

## **PART A**

### **TRANSFERRING CUSTOMER EMPLOYEES AT COMMENCEMENT OF SERVICES**

#### **1. RELEVANT TRANSFERS**

1.1. The Customer and the Supplier agree that:

1.1.1. the commencement of the provision of the Services or of each relevant part of the Services will be a Relevant Transfer in relation to the Transferring Customer Employees; and

1.1.2. as a result of the operation of the Employment Regulations, the contracts of employment between the Customer and the Transferring Customer Employees (except in relation to any terms disapplied through operation of regulation 10(2) of the Employment Regulations) will have effect on and from the Relevant Transfer Date as if originally made between the Supplier and/or any Notified Sub-Contractor and each such Transferring Customer Employee.

1.2. The Customer shall comply with all its obligations under the Employment Regulations and shall perform and discharge all its obligations in respect of the Transferring Customer Employees in respect of the period arising up to (but not including) the Relevant Transfer Date (including the payment of all remuneration, benefits, entitlements and outgoings, all wages, accrued but untaken holiday pay, bonuses,

commissions, payments of PAYE, national insurance contributions and pension contributions which in any case are attributable in whole or in part to the period up to (but not including) the Relevant Transfer Date) and any necessary apportionments in respect of any periodic payments shall be made between:

- (i) the Customer; and
- (ii) the Supplier and/or any Notified Sub-Contractor (as appropriate).

## **2. CUSTOMER INDEMNITIES**

2.1. Subject to Paragraph 2.2, the Customer shall indemnify the Supplier and any Notified Sub-Contractor against any Employee Liabilities in respect of any Transferring Customer Employee (or, where applicable any employee representative as defined in the Employment Regulations) arising from or as a result of:

- 2.1.1. any act or omission by the Customer occurring before the Relevant Transfer Date;
- 2.1.2. the breach or non-observance by the Customer before the Relevant Transfer Date of:
  - a any collective agreement applicable to the Transferring Customer Employees; and/or
  - b any custom or practice in respect of any Transferring Customer Employees which the Customer is contractually bound to honour;
- 2.1.3. any claim by any trade union or other body or person representing the Transferring Customer Employees arising from or connected with any failure by the Customer to comply with any legal obligation to such trade union, body or person arising before the Relevant Transfer Date;
- 2.1.4. any proceeding, claim or demand by HMRC or other statutory authority in respect of any financial obligation including, but not limited to, PAYE and primary and secondary national insurance contributions:
  - (a) in relation to any Transferring Customer Employee, to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations arising before the Relevant Transfer Date; and
  - (b) in relation to any employee who is not a Transferring Customer Employee and in respect of whom it is later alleged or determined that the Employment Regulations applied so as to transfer his/her employment from the Customer to the Supplier and/or any Notified Sub-Contractor as appropriate, to the extent that the proceeding, claim or demand by the HMRC or other statutory authority relates to financial obligations arising before the Relevant Transfer Date.
- 2.1.5. a failure of the Customer to discharge, or procure the discharge of, all wages, salaries and all other benefits and all PAYE tax deductions and national insurance contributions relating to the Transferring Customer Employees arising before the Relevant Transfer Date;
- 2.1.6. any claim made by or in respect of any person employed or formerly employed by the Customer other than a Transferring Customer Employee for whom it is alleged the Supplier and/or any Notified Sub-Contractor as appropriate may be liable by virtue of the Employment Regulations and/or the Acquired Rights Directive; and
- 2.1.7. any claim made by or in respect of a Transferring Customer Employee or any appropriate employee representative (as defined in the Employment Regulations) of any Transferring Customer Employee relating to any act or omission of the Customer in relation to its obligations under regulation 13 of the Employment Regulations, except to the extent that the liability arises from the failure by the Supplier or any Sub-Contractor to comply with regulation 13(4) of the Employment Regulations.

2.2. The indemnities in Paragraph 2.1 shall not apply to the extent that the Employee Liabilities arise or are attributable to an act or omission of the Supplier or any Sub-Contractor (whether or not a Notified

SubContractor) whether occurring or having its origin before, on or after the Relevant Transfer Date including any Employee Liabilities:

- 2.2.1. arising out of the resignation of any Transferring Customer Employee before the Relevant Transfer Date on account of substantial detrimental changes to his/her working conditions proposed by the Supplier and/or any Sub-Contractor to occur in the period from (and including) the Relevant Transfer Date; or
  - 2.2.2. arising from the failure by the Supplier or any Sub-Contractor to comply with its obligations under the Employment Regulations.
- 2.3. If any person who is not identified by the Customer as a Transferring Customer Employee claims, or it is determined in relation to any person who is not identified by the Customer as a Transferring Customer Employee, that his/her contract of employment has been transferred from the Customer to the Supplier and/or any Notified Sub-Contractor pursuant to the Employment Regulations or the Acquired Rights Directive then:
- 2.3.1. the Supplier shall, or shall procure that the Notified Sub-Contractor shall, within 5 Working Days of becoming aware of that fact, give notice in writing to the Customer; and
  - 2.3.2. the Customer may offer (or may procure that a third party may offer) employment to such person within 15 Working Days of receipt of the notification by the Supplier and/or any Notified Sub-Contractor, or take such other reasonable steps as the Customer considers appropriate to deal with the matter provided always that such steps are in compliance with Law.
- 2.4. If an offer referred to in Paragraph 2.3.2 is accepted, or if the situation has otherwise been resolved by the Customer, the Supplier shall, or shall procure that the Notified Sub-Contractor shall, immediately release the person from his/her employment or alleged employment.
- 2.5. If by the end of the 15 Working Day period specified in Paragraph 2.3.2:
- 2.5.1. no such offer of employment has been made;
  - 2.5.2. such offer has been made but not accepted; or 2.5.3 the situation has not otherwise been resolved, the Supplier and/or any Notified Sub-Contractor may within 5 Working Days give notice to terminate the employment or alleged employment of such person.
- 2.6. Subject to the Supplier and/or any Notified Sub-Contractor acting in accordance with the provisions of Paragraphs 2.3 to 2.5 and in accordance with all applicable proper employment procedures set out in applicable Law, the Customer shall indemnify the Supplier and/or any Notified Sub-Contractor (as appropriate) against all Employee Liabilities arising out of the termination pursuant to the provisions of Paragraph 2.5 provided that the Supplier takes, or procures that the Notified Sub-Contractor takes, all reasonable steps to minimise any such Employee Liabilities.
- 2.7. The indemnity in Paragraph 2.6:
- 2.7.1. shall not apply to:
    - (a) any claim for:
      - (i) discrimination, including on the grounds of sex, race, disability, age, gender reassignment, marriage or civil partnership, pregnancy and maternity or sexual orientation, religion or belief; or
      - (ii) equal pay or compensation for less favourable treatment of part-time workers or fixed-term employees, in any case in relation to any alleged act or omission of the Supplier and/or any Sub-Contractor; or
    - (b) any claim that the termination of employment was unfair because the Supplier and/or Notified Sub-Contractor neglected to follow a fair dismissal procedure; and



2.7.2. shall apply only where the notification referred to in Paragraph 2.3.1 is made by the Supplier and/or any Notified Sub-Contractor (as appropriate) to the Customer within 6 months of the Contract Commencement Date.

2.8. If any such person as is referred to in Paragraph 2.3 is neither re-employed by the Customer nor dismissed by the Supplier and/or any Notified Sub-Contractor within the time scales set out in Paragraph 2.5 such person shall be treated as having transferred to the Supplier and/or any Notified Sub-Contractor and the Supplier shall, or shall procure that the Notified Sub-Contractor shall, comply with such obligations as may be imposed upon it under applicable Law.

### **3. SUPPLIER INDEMNITIES AND OBLIGATIONS**

3.1. Subject to Paragraph 3.2 the Supplier shall indemnify the Customer against any Employee Liabilities in respect of any Transferring Customer Employee (or, where applicable any employee representative as defined in the Employment Regulations) arising from or as a result of:

3.1.1. any act or omission by the Supplier or any Sub-Contractor whether occurring before, on or after the Relevant Transfer Date;

3.1.2. the breach or non-observance by the Supplier or any Sub-Contractor on or after the Relevant Transfer Date of:

- (a) any collective agreement applicable to the Transferring Customer Employees; and/or
- (b) any custom or practice in respect of any Transferring Customer Employees which the Supplier or any Sub-Contractor is contractually bound to honour;

3.1.3. any claim by any trade union or other body or person representing any Transferring Customer Employees arising from or connected with any failure by the Supplier or any SubContractor to comply with any legal obligation to such trade union, body or person arising on or after the Relevant Transfer Date;

3.1.4. any proposal by the Supplier or a Sub-contractor made before the Relevant Transfer Date to make changes to the terms and conditions of employment or working conditions of any Transferring Customer Employees to their material detriment on or after their transfer to the Supplier or the relevant Sub-Contractor (as the case may be) on the Relevant Transfer Date, or to change the terms and conditions of employment or working conditions of any person who would have been a Transferring Customer Employee but for their resignation (or decision to treat their employment as terminated under regulation 4(9) of the Employment Regulations) before the Relevant Transfer Date as a result of or for a reason connected to such proposed changes;

3.1.5. any statement communicated to or action undertaken by the Supplier or any SubContractor to, or in respect of, any Transferring Customer Employee before the Relevant Transfer Date regarding the Relevant Transfer which has not been agreed in advance with the Customer in writing;

3.1.6. any proceeding, claim or demand by HMRC or other statutory authority in respect of any financial obligation including, but not limited to, PAYE and primary and secondary national insurance contributions:

- (a) in relation to any Transferring Customer Employee, to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations arising on or after the Relevant Transfer Date; and
- (b) in relation to any employee who is not a Transferring Customer Employee, and in respect of whom it is later alleged or determined that the Employment Regulations applied so as to transfer his/her employment from the Customer to the Supplier or a Sub-Contractor, to the extent that the proceeding, claim or

demand by HMRC or other statutory authority relates to financial obligations arising on or after the Relevant Transfer Date;

3.1.7. a failure of the Supplier or any Sub-Contractor to discharge or procure the discharge of all wages, salaries and all other benefits and all PAYE tax deductions and national insurance contributions relating to the Transferring Customer Employees in respect of the period from (and including) the Relevant Transfer Date; and

3.1.8. any claim made by or in respect of a Transferring Customer Employee or any appropriate employee representative (as defined in the Employment Regulations) of any Transferring Customer Employee relating to any act or omission of the Supplier or any Sub-Contractor in relation to their obligations under regulation 13 of the Employment Regulations, except to the extent that the liability arises from the Customer's failure to comply with its obligations under regulation 13 of the Employment Regulations.

3.2. The indemnities in Paragraph 3.1 shall not apply to the extent that the Employee Liabilities arise or are attributable to an act or omission of the Customer whether occurring or having its origin before, on or after the Relevant Transfer Date including, without limitation, any Employee Liabilities arising from the Customer's failure to comply with its obligations under the Employment Regulations.

3.3. The Supplier shall comply, and shall procure that each Sub-Contractor shall comply, with all its obligations under the Employment Regulations (including its obligation to inform and consult in accordance with regulation 13 of the Employment Regulations) and shall perform and discharge, and shall procure that each Sub-Contractor shall perform and discharge, all its obligations in respect of the Transferring Customer Employees, from (and including) the Relevant Transfer Date (including the payment of all remuneration, benefits, entitlements and outgoings, all wages, accrued but untaken holiday pay, bonuses, commissions, payments of PAYE, national insurance contributions and pension contributions which in any case are attributable in whole or in part to the period from and including the Relevant Transfer Date) and any necessary apportionments in respect of any periodic payments shall be made between the Customer and the Supplier.

#### **4. INFORMATION**

4.1. The Supplier shall, and shall procure that each Sub-Contractor shall, promptly provide to the Customer in writing such information as is necessary to enable the Customer to carry out its duties under regulation 13 of the Employment Regulations. The Customer shall promptly provide to the Supplier and each Notified Sub-Contractor in writing such information as is necessary to enable the Supplier and each Notified Sub-Contractor to carry out their respective duties under regulation 13 of the Employment Regulations.

#### **5. PRINCIPLES OF GOOD EMPLOYMENT PRACTICE**

5.1. The Parties agree that the Principles of Good Employment Practice issued by the Cabinet Office in December 2010 apply to the treatment by the Supplier of employees whose employment begins after the Relevant Transfer Date, and the Supplier undertakes to treat such employees in accordance with the provisions of the Principles of Good Employment Practice.

5.2. The Supplier shall, and shall procure that each Sub-Contractor shall, comply with any requirement notified to it by the Customer relating to pensions in respect of any Transferring Customer Employee as set down in:

5.2.1. the Cabinet Office Statement of Practice on Staff Transfers in the Public Sector of January 2000, revised 2007;

5.2.2. HM Treasury's guidance "Staff Transfers from Central Government: A Fair Deal for Staff Pensions of 1999;

5.2.3. HM Treasury's guidance "Fair deal for staff pensions: procurement of Bulk Transfer Agreements and Related Issues" of June 2004; and/or

5.2.4. the New Fair Deal.

5.3. Any changes embodied in any statement of practice, paper or other guidance that replaces any of the documentation referred to in Paragraphs 5.1 or 5.2 shall be agreed in accordance with the Variation Procedure.

## **6. PENSIONS**

6.1. The Supplier shall, and shall procure that each of its Sub-Contractors shall, comply with the pensions provisions in the following Annex.

### **ANNEX TO PART A: PENSIONS**

#### **1. PARTICIPATION**

1.1 The Supplier undertakes to enter into the Admission Agreement.

1.2 The Supplier and the Customer:

1.2.1 undertake to do all such things and execute any documents (including the Admission Agreement) as may be required to enable the Supplier to participate in the Schemes in respect of the Fair Deal Employees;

1.2.2 agree that the Customer is entitled to make arrangements with the

1.2.3 body responsible for the Schemes for the Customer to be notified if the Supplier breaches the Admission Agreement;

1.2.4 notwithstanding Paragraph 1.2.2 of this Annex, the Supplier shall notify the Customer in the event that it breaches the Admission Agreement; and

1.2.5 agree that the Customer may terminate this Contract for material default in the event that the Supplier breaches the Admission Agreement.

1.3 The Supplier shall bear its own costs and all costs that the Customer reasonably incurs in connection with the negotiation, preparation and execution of documents to facilitate the Supplier participating in the Schemes.

#### **2. FUTURE SERVICE BENEFITS**

2.1 The Supplier shall procure that the Fair Deal Employees, shall be either admitted into, or offered continued membership of, the relevant section of the Schemes that they currently contribute to, or were eligible to join immediately prior to the Relevant Transfer Date and the Supplier shall procure that the Fair Deal Employees continue to accrue benefits in accordance with the provisions governing the relevant section of Schemes for service from (and including) the Relevant Transfer Date.

2.2 The Supplier undertakes that should it cease to participate in the Schemes for whatever reason at a time when it has Eligible Employees, that it will, at no extra cost to the Customer, provide to any Fair Deal Employee who immediately prior to such cessation remained an Eligible Employee with access to an occupational pension scheme certified by the Government Actuary's Department or any actuary nominated by the Customer in accordance with relevant guidance produced by the Government Actuary's Department as providing benefits which are broadly comparable to those provided by the Schemes at the relevant date.

2.3 The Parties acknowledge that the Civil Service Compensation Scheme and the Civil Service Injury Benefit Scheme (established pursuant to section 1 of the Superannuation Act 1972) are not covered by the protection of New Fair Deal.

### **3. FUNDING**

3.1 The Supplier undertakes to pay to the Schemes all such amounts as are due under the Admission Agreement and shall deduct and pay to the Schemes such employee contributions as are required by the Schemes.

3.2 The Supplier shall indemnify and keep indemnified the Customer on demand against any claim by, payment to, or loss incurred by, the Schemes in respect of the failure to account to the Schemes for payments received and the non-payment or the late payment of any sum payable by the Supplier to or in respect of the Schemes.

### **4. PROVISION OF INFORMATION**

The Supplier and the Customer respectively undertake to each other:

4.1 to provide all information which the other Party may reasonably request concerning matters referred to in this Annex and set out in the Admission Agreement, and to supply the information as expeditiously as possible; and

4.2 not to issue any announcements to the Fair Deal Employees prior to the Relevant Transfer Date concerning the matters stated in this Annex without the consent in writing of the other Party (not to be unreasonably withheld or delayed).

### **5. INDEMNITY**

5.1 The Supplier undertakes to the Customer to indemnify and keep indemnified the Customer on demand from and against all and any Losses whatsoever arising out of or in connection with any liability towards the Fair Deal Employees arising in respect of service on or after the Relevant Transfer Date which relate to the payment of benefits under an occupational pension scheme (within the meaning provided for in section 1 of the Pension Schemes Act 1993) or the Schemes.

### **6. EMPLOYER OBLIGATION**

6.1 The Supplier shall comply with the requirements of the Pensions Act 2008 and the Transfer of Employment (Pension Protection) Regulations 2005.

### **7. SUBSEQUENT TRANSFERS**

The Supplier shall:

7.1 not adversely affect pension rights accrued by any Fair Deal Employee in the period ending on the date of the relevant future transfer;

7.2 provide all such co-operation and assistance as the Schemes and the Replacement Supplier and/or the Customer may reasonably require to enable the Replacement Supplier to participate in the Schemes in respect of any Eligible Employee and to give effect to any transfer of accrued rights required as part of participation under New Fair Deal; and

7.3 for the period either:

7.3.1 after notice (for whatever reason) is given, in accordance with the other provisions of this Contract, to terminate the Contract or any part of the Services; or

7.3.2 after the date which is two (2) years prior to the date of expiry of this Contract, ensure that no change is made to pension, retirement and death benefits provided for or in respect of any person who will transfer to the Replacement Supplier or the Customer, no category of earnings which were not previously pensionable are made pensionable and the contributions (if any) payable by such employees are not reduced without (in any case) the prior approval of the Customer (such approval not to be unreasonably

withheld). Save that this subparagraph shall not apply to any change made as a consequence of participation in an Admission Agreement.

## **PART B**

### **TRANSFERRING FORMER SUPPLIER EMPLOYEES AT COMMENCEMENT OF SERVICES**

#### **1. RELEVANT TRANSFERS**

1.1 The Customer and the Supplier agree that:

1.1.1 the commencement of the provision of the Services or of any relevant part of the Services will be a Relevant Transfer in relation to the Transferring Former Supplier Employees; and

1.1.2 as a result of the operation of the Employment Regulations, the contracts of employment between each Former Supplier and the Transferring Former Supplier Employees (except in relation to any terms disapplied through the operation of regulation 10(2) of the Employment Regulations) shall have effect on and from the Relevant Transfer Date as if originally made between the Supplier and/or Notified Sub-Contractor and each such Transferring Former Supplier Employee.

1.2 Subject to Paragraph 6, the Customer shall procure that each Former Supplier shall comply with all its obligations under the Employment Regulations and shall perform and discharge all its obligations in respect of all the Transferring Former Supplier Employees in respect of the period up to (but not including) the Relevant Transfer Date (including the payment of all remuneration, benefits, entitlements and outgoings, all wages, accrued but untaken holiday pay, bonuses, commissions, payments of PAYE, national insurance contributions and pension contributions which in any case are attributable in whole or in part in respect of the period up to (but not including) the Relevant Transfer Date) and the Supplier shall make, and the Customer shall procure that each Former Supplier makes, any necessary apportionments in respect of any periodic payments.

#### **2. FORMER SUPPLIER INDEMNITIES**

2.1 Subject to Paragraphs 2.2 and 6, the Customer shall procure that each Former Supplier shall indemnify the Supplier and any Notified Sub-Contractor against any Employee Liabilities in respect of any Transferring Former Supplier Employee (or, where applicable any employee representative as defined in the Employment Regulations) arising from or as a result of:

2.1.1 any act or omission by the Former Supplier arising before the Relevant Transfer Date;

2.1.2 the breach or non-observance by the Former Supplier arising before the Relevant Transfer Date of:

(a) any collective agreement applicable to the Transferring Former Supplier Employees; and/or

(b) any custom or practice in respect of any Transferring Former Supplier Employees which the Former Supplier is contractually bound to honour;

2.1.3 any proceeding, claim or demand by HMRC or other statutory authority in respect of any financial obligation including, but not limited to, PAYE and primary and secondary national insurance contributions:

(a) in relation to any Transferring Former Supplier Employee, to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations arising before the Relevant Transfer Date; and

- (b) in relation to any employee who is not a Transferring Former Supplier Employee and in respect of whom it is later alleged or determined that the Employment Regulations applied so as to transfer his/her employment from the Former Supplier to the Supplier and/or any Notified Sub-Contractor as appropriate, to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations in respect of the period to (but excluding) the Relevant Transfer Date;
  - 2.1.4 a failure of the Former Supplier to discharge or procure the discharge of all wages, salaries and all other benefits and all PAYE tax deductions and national insurance contributions relating to the Transferring Former Supplier Employees in respect of the period to (but excluding) the Relevant Transfer Date;
  - 2.1.5 any claim made by or in respect of any person employed or formerly employed by the Former Supplier other than a Transferring Former Supplier Employee for whom it is alleged the Supplier and/or any Notified Sub-Contractor as appropriate may be liable by virtue of this Contract and/or the Employment Regulations and/or the Acquired Rights Directive; and
  - 2.1.6 any claim made by or in respect of a Transferring Former Supplier Employee or any appropriate employee representative (as defined in the Employment Regulations) of any Transferring Former Supplier Employee relating to any act or omission of the Former Supplier in relation to its obligations under regulation 13 of the Employment Regulations, except to the extent that the liability arises from the failure by the Supplier or any Sub-Contractor to comply with regulation 13(4) of the Employment Regulations.
- 2.2 The indemnities in Paragraph 2.1 shall not apply to the extent that the Employee Liabilities arise or are attributable to an act or omission of the Supplier or any Sub-Contractor whether occurring or having its origin before, on or after the Relevant Transfer Date including, without limitation, any Employee Liabilities:
- 2.2.1 arising out of the resignation of any Transferring Former Supplier Employee before the Relevant Transfer Date on account of substantial detrimental changes to his/her working conditions proposed by the Supplier or any Sub-Contractor to occur in the period from (and including) the Relevant Transfer Date; or
  - 2.2.2 arising from the failure by the Supplier and/or any Sub-Contractor to comply with its obligations under the Employment Regulations.
- 2.3 If any person who is not identified by the Customer as a Transferring Former Supplier Employee claims, or it is determined in relation to any person who is not identified by the Customer as a Transferring Former Supplier Employee, that his/her contract of employment has been transferred from a Former Supplier to the Supplier and/or any Notified SubContractor pursuant to the Employment Regulations or the Acquired Rights Directive then:
- 2.3.1 the Supplier shall, or shall procure that the Notified Sub-Contractor shall, within 5 Working Days of becoming aware of that fact, give notice in writing to the Customer and, where required by the Customer, to the Former Supplier; and
  - 2.3.2 the Former Supplier may offer (or may procure that a third party may offer) employment to such person within 15 Working Days of the notification by the Supplier and/or the Notified Sub-Contractor or take such other reasonable steps as the Former Supplier considers appropriate to deal with the matter provided always that such steps are in compliance with applicable Law.
- 2.4 If an offer referred to in Paragraph 2.3.2 is accepted, or if the situation has otherwise been resolved by the Former Supplier and/or the Customer, the Supplier shall, or shall procure that the

Notified Sub-Contractor shall, immediately release the person from his/her employment or alleged employment.

2.5 If by the end of the 15 Working Day period specified in Paragraph 2.3.2:

2.5.1 no such offer of employment has been made;

2.5.2 such offer has been made but not accepted; or 2.5.3 the situation has not otherwise been resolved, the Supplier and/or any Notified Sub-Contractor may within 5 Working Days give notice to terminate the employment or alleged employment of such person.

2.6 Subject to the Supplier and/or any Notified Sub-Contractor acting in accordance with the provisions of Paragraphs 2.3 to 2.5 and in accordance with all applicable proper employment procedures set out in Law, the Customer shall procure that the Former Supplier indemnifies the Supplier and/or any Notified Sub-Contractor (as appropriate) against all Employee Liabilities arising out of the termination pursuant to the provisions of Paragraph 2.5 provided that the Supplier takes, or shall procure that the Notified Sub-Contractor takes, all reasonable steps to minimise any such Employee Liabilities.

2.7 The indemnity in Paragraph 2.6:

2.7.1 shall not apply to: (a)

any claim for:

- i discrimination, including on the grounds of sex, race, disability, age, gender reassignment, marriage or civil partnership, pregnancy and maternity or sexual orientation, religion or belief; or
- ii equal pay or compensation for less favourable treatment of parttime workers or fixed-term employees,  
  
in any case in relation to any alleged act or omission of the Supplier and/or any Sub-Contractor; or  
  
(b) any claim that the termination of employment was unfair because the Supplier and/or Notified Sub-Contractor neglected to follow a fair dismissal procedure; and

2.7.2 shall apply only where the notification referred to in Paragraph 2.3.1 is made by the Supplier and/or any Notified Sub-Contractor (as appropriate) to the Customer and, if applicable, the Former Supplier, within 6 months of the Contract Commencement Date.

2.8 If any such person as is described in Paragraph 2.3 is neither re-employed by the Former Supplier nor dismissed by the Supplier and/or any Notified Sub-Contractor within the time scales set out in Paragraph 2.5, such person shall be treated as having transferred to the Supplier or Notified Sub-Contractor and the Supplier shall, or shall procure that the Notified Sub-Contractor shall, comply with such obligations as may be imposed upon it under the Law.

### **3. SUPPLIER INDEMNITIES AND OBLIGATIONS**

3.1 Subject to Paragraph 3.2, the Supplier shall indemnify the Customer and/or the Former Supplier against any Employee Liabilities in respect of any Transferring Former Supplier Employee (or, where applicable any employee representative as defined in the Employment Regulations) arising from or as a result of:

3.1.1 any act or omission by the Supplier or any Sub-Contractor whether occurring before, on or after the Relevant Transfer Date;

3.1.2 the breach or non-observance by the Supplier or any Sub-Contractor on or after the Relevant Transfer Date of:

- (a) any collective agreement applicable to the Transferring Former Supplier Employee; and/or
  - (b) any custom or practice in respect of any Transferring Former Supplier Employees which the Supplier or any Sub-Contractor is contractually bound to honour;
- 3.1.3 any claim by any trade union or other body or person representing any Transferring Former Supplier Employees arising from or connected with any failure by the Supplier or a Sub-Contractor to comply with any legal obligation to such trade union, body or person arising on or after the Relevant Transfer Date;
- 3.1.4 any proposal by the Supplier or a Sub-Contractor prior to the Relevant Transfer Date to make changes to the terms and conditions of employment or working conditions of any Transferring Former Supplier Employees to their material detriment on or after their transfer to the Supplier or a Sub-Contractor (as the case may be) on the Relevant Transfer Date, or to change the terms and conditions of employment or working conditions of any person who would have been a Transferring Former Supplier Employee but for their resignation (or decision to treat their employment as terminated under regulation 4(9) of the Employment Regulations) before the Relevant Transfer Date as a result of or for a reason connected to such proposed changes;
- 3.1.5 any statement communicated to or action undertaken by the Supplier or a Sub-Contractor to, or in respect of, any Transferring Former Supplier Employee before the Relevant Transfer Date regarding the Relevant Transfer which has not been agreed in advance with the Customer and/or the Former Supplier in writing;
- 3.1.6 any proceeding, claim or demand by HMRC or other statutory authority in respect of any financial obligation including, but not limited to, PAYE and primary and secondary national insurance contributions:
  - (a) in relation to any Transferring Former Supplier Employee, to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations arising on or after the Relevant Transfer Date; and
  - (b) in relation to any employee who is not a Transferring Former Supplier Employee, and in respect of whom it is later alleged or determined that the Employment Regulations applied so as to transfer his/her employment from the Former Supplier to the Supplier or a Sub-Contractor, to the extent that the proceeding, claim or demand by the HMRC or other statutory authority relates to financial obligations arising on or after the Relevant Transfer Date;
- 3.1.7 a failure of the Supplier or any Sub-Contractor to discharge or procure the discharge of all wages, salaries and all other benefits and all PAYE tax deductions and national insurance contributions relating to the Transferring Former Supplier Employees in respect of the period from (and including) the Relevant Transfer Date; and
- 3.1.8 any claim made by or in respect of a Transferring Former Supplier Employee or any appropriate employee representative (as defined in the Employment Regulations) of any Transferring Former Supplier Employee relating to any act or omission of the Supplier or any Sub-Contractor in relation to obligations under regulation 13 of the Employment Regulations, except to the extent that the liability arises from the Former Supplier's failure to comply with its obligations under regulation 13 of the Employment Regulations.
- 3.2 The indemnities in Paragraph 3.1 shall not apply to the extent that the Employee Liabilities arise or are attributable to an act or omission of the Former Supplier whether occurring or having its origin before, on or after the Relevant Transfer Date including, without limitation,



any Employee Liabilities arising from the Former Supplier's failure to comply with its obligations under the Employment Regulations.

- 3.3 The Supplier shall comply, and shall procure that each Sub-Contractor shall comply, with all its obligations under the Employment Regulations (including without limitation its obligation to inform and consult in accordance with regulation 13 of the Employment Regulations) and shall perform and discharge, and shall procure that each Sub-Contractor shall perform and discharge, all its obligations in respect of all the Transferring Former Supplier Employees, on and from the Relevant Transfer Date (including the payment of all remuneration, benefits, entitlements and outgoings, all wages, accrued but untaken holiday pay, bonuses, commissions, payments of PAYE, national insurance contributions and pension contributions which in any case are attributable in whole or in part to the period from (and including) the Relevant Transfer Date) and any necessary apportionments in respect of any periodic payments shall be made between the Supplier and the Former Supplier.

#### **4. INFORMATION**

- 4.1 The Supplier shall, and shall procure that each Sub-Contractor shall, promptly provide to the Customer and/or at the Customer's direction, the Former Supplier, in writing such information as is necessary to enable the Customer and/or the Former Supplier to carry out their respective duties under regulation 13 of the Employment Regulations. Subject to Paragraph 6, the Customer shall procure that the Former Supplier shall promptly provide to the Supplier and each Notified Sub-Contractor in writing such information as is necessary to enable the Supplier and each Notified Sub-Contractor to carry out their respective duties under regulation 13 of the Employment Regulations.

#### **5. PRINCIPLES OF GOOD EMPLOYMENT PRACTICE**

- 5.1 The Supplier shall, and shall procure that each Sub-Contractor shall, comply with any requirement notified to it by the Customer relating to pensions in respect of any Transferring Former Supplier Employee as set down in:
- 5.1.1 the Cabinet Office Statement of Practice on Staff Transfers in the Public Sector of January 2000, revised 2007;
  - 5.1.2 HM Treasury's guidance "Staff Transfers from Central Government: A Fair Deal for Staff Pensions of 1999;
  - 5.1.3 HM Treasury's guidance: "Fair deal for staff pensions: procurement of Bulk Transfer Agreements and Related Issues" of June 2004; and/or
  - 5.1.4 the New Fair Deal.
- 5.2 Any changes embodied in any statement of practice, paper or other guidance that replaces any of the documentation referred to in Paragraph 5.1 shall be agreed in accordance with the Variation Procedure.

#### **6. PROCUREMENT OBLIGATIONS**

- 6.1 Notwithstanding any other provisions of this Part B, where in this Part B the Customer accepts an obligation to procure that a Former Supplier does or does not do something, such obligation shall be limited so that it extends only to the extent that the Customer's contract with the Former Supplier contains a contractual right in that regard which the Customer may enforce, or otherwise so that it requires only that the Customer must use reasonable endeavours to procure that the Former Supplier does or does not act accordingly.

**7. PENSIONS**

7.1 The Supplier shall, and shall procure that each Sub-Contractor shall, comply with the pensions provisions in the following Annex in respect of any Transferring Former Supplier Employees who transfer from the Former Supplier to the Supplier.

## **ANNEX TO PART B: PENSIONS**

### **1. PARTICIPATION**

- 1.1 The Supplier undertakes to enter into the Admission Agreement.
- 1.2 The Supplier and the Customer:
  - 1.2.1 undertake to do all such things and execute any documents (including the Admission Agreement) as may be required to enable the Supplier to participate in the Schemes in respect of the Fair Deal Employees;
  - 1.2.2 agree that the Customer is entitled to make arrangements with the body responsible for the Schemes for the Customer to be notified if the Supplier breaches the Admission Agreement;
  - 1.2.3 notwithstanding Paragraph 1.2.2 of this Annex, the Supplier shall notify the Customer in the event that it breaches the Admission Agreement; and
  - 1.2.4 agree that the Customer may terminate this Contract for material default in the event that the Supplier breaches the Admission Agreement.
- 1.3 The Supplier shall bear its own costs and all costs that the Customer reasonably incurs in connection with the negotiation, preparation and execution of documents to facilitate the Supplier participating in the Schemes.

### **2. FUTURE SERVICE BENEFITS**

- 2.1 If the Supplier is rejoining the Schemes for the first time, the Supplier shall procure that the Fair Deal Employees shall be either admitted to or offered continued membership of the relevant section of the Schemes that they became eligible to join on the Relevant Transfer Date and shall continue to accrue or accrue benefits in accordance with the provisions governing the relevant section of the Schemes for service from (and including) the Relevant Transfer Date.
- 2.2 If staff have already been readmitted to the Schemes, the Supplier shall procure that the Fair Deal Employees, shall be either admitted into, or offered continued membership of, the relevant section of the Schemes that they currently contribute to, or were eligible to join immediately prior to the Relevant Transfer Date and the Supplier shall procure that the Fair Deal Employees continue to accrue benefits in accordance with the provisions governing the relevant section of the Schemes for service from (and including) the Relevant Transfer Date.
- 2.3 The Supplier undertakes that should it cease to participate in the Schemes for whatever reason at a time when it has Eligible Employees, that it will, at no extra cost to the Customer, provide to any Fair Deal Employee who immediately prior to such cessation remained an Eligible Employee with access to an occupational pension scheme certified by the Government Actuary's Department or any actuary nominated by the Customer in accordance with relevant guidance produced by the Government Actuary's Department as providing benefits which are broadly comparable to those provided by the Schemes at the relevant date.
- 2.4 The Parties acknowledge that the Civil Service Compensation Scheme and the Civil Service Injury Benefit Scheme (established pursuant to section 1 of the Superannuation Act 1972) are not covered by the protection of New Fair Deal.

### **3. FUNDING**

- 3.1 The Supplier undertakes to pay to the Schemes all such amounts as are due under the Admission Agreement and shall deduct and pay to the Schemes such employee contributions as are required by the Schemes.

3.2 The Supplier shall indemnify and keep indemnified the Customer on demand against any claim by, payment to, or loss incurred by the Schemes in respect of the failure to account to the Schemes for payments received and the non-payment or the late payment of any sum payable by the Supplier to or in respect of the Schemes.

#### **4. PROVISION OF INFORMATION**

The Supplier and the Customer respectively undertake to each other:

4.1 to provide all information which the other Party may reasonably request concerning matters (i) referred to in this Annex and (ii) set out in the Admission Agreement, and to supply the information as expeditiously as possible; and

4.2 not to issue any announcements to the Fair Deal Employees prior to the Relevant Transfer Date concerning the matters stated in this Annex without the consent in writing of the other Party (not to be unreasonably withheld or delayed).

#### **5. INDEMNITY**

5.1 The Supplier undertakes to the Customer to indemnify and keep indemnified the Customer on demand from and against all and any Losses whatsoever arising out of or in connection with any liability towards the Fair Deal Employees arising in respect of service on or after the Relevant Transfer Date which relate to the payment of benefits under an occupational pension scheme (within the meaning provided for in section 1 of the Pension Schemes Act 1993) or the Schemes.

#### **6. EMPLOYER OBLIGATION**

6.1 The Supplier shall comply with the requirements of the Pensions Act 2008 and the Transfer of Employment (Pension Protection) Regulations 2005.

#### **7. SUBSEQUENT TRANSFERS**

The Supplier shall:

7.1 not adversely affect pension rights accrued by any Fair Deal Employee in the period ending on the date of the relevant future transfer;

7.2 provide all such co-operation and assistance as the Schemes and the Replacement Supplier and/or the Customer may reasonably require to enable the Replacement Supplier to participate in the Schemes in respect of any Eligible Employee and to give effect to any transfer of accrued rights required as part of participation under the New Fair Deal; and

7.3 for the period either

7.3.1 after notice (for whatever reason) is given, in accordance with the other provisions of this Contract, to terminate the Contract or any part of the Services; or

7.3.2 after the date which is two (2) years prior to the date of expiry of this Contract,

7.3.3 ensure that no change is made to pension, retirement and death benefits provided for or in respect of any person who will transfer to the Replacement Supplier or the Customer, no category of earnings which were not previously pensionable are made pensionable and the contributions (if any) payable by such employees are not reduced without (in any case) the prior approval of the Customer (such approval not to be unreasonably withheld). Save that this subparagraph shall not apply to any change made as a consequence of participation in an Admission Agreement.

## **PART C NO TRANSFER OF EMPLOYEES AT COMMENCEMENT OF SERVICES**

### **1. PROCEDURE IN THE EVENT OF TRANSFER**

- 1.1 The Customer and the Supplier agree that the commencement of the provision of the Services or of any part of the Services will not be a Relevant Transfer in relation to any employees of the Customer and/or any Former Supplier.
- 1.2 If any employee of the Customer and/or a Former Supplier claims, or it is determined in relation to any employee of the Customer and/or a Former Supplier, that his/her contract of employment has been transferred from the Customer and/or the Former Supplier to the Supplier and/or any Sub-Contractor pursuant to the Employment Regulations or the Acquired Rights Directive then:
  - 1.2.1 the Supplier shall, and shall procure that the relevant Sub-Contractor shall, within five (5) Working Days of becoming aware of that fact, give notice in writing to the Customer and, where required by the Customer, give notice to the Former Supplier; and
  - 1.2.2 the Customer and/or the Former Supplier may offer (or may procure that a third party may offer) employment to such person within fifteen (15) Working Days of the notification by the Supplier or the Sub-Contractor (as appropriate) or take such other reasonable steps as the Customer or Former Supplier (as the case may be) considers appropriate to deal with the matter provided always that such steps are in compliance with applicable Law.
- 1.3 If an offer referred to in Paragraph 1.2.2 is accepted (or if the situation has otherwise been resolved by the Customer and/or the Former Supplier), the Supplier shall, or shall procure that the Sub-Contractor shall, immediately release the person from his/her employment or alleged employment.
- 1.4 If by the end of the fifteen (15) Working Day period specified in Paragraph 1.2.2:
  - 1.4.1 no such offer of employment has been made;
  - 1.4.2 such offer has been made but not accepted; or 1.4.3 the situation has not otherwise been resolved, the Supplier and/or the Sub-Contractor may within five (5) Working Days give notice to terminate the employment or alleged employment of such person.

### **2. INDEMNITIES**

- 2.1 Subject to the Supplier and/or the relevant Sub-Contractor acting in accordance with the provisions of Paragraphs 1.2 to 1.4 and in accordance with all applicable employment procedures set out in applicable Law and subject also to Paragraph 2.4, the Customer shall:
  - 2.1.1 indemnify the Supplier and/or the relevant Sub-Contractor against all Employee Liabilities arising out of the termination of the employment of any employees of the Customer referred to in Paragraph 1.2 made pursuant to the provisions of Paragraph 1.4 provided that the Supplier takes, or shall procure that the Notified Sub-Contractor takes, all reasonable steps to minimise any such Employee Liabilities; and
  - 2.1.2 subject to paragraph 3, procure that the Former Supplier indemnifies the Supplier and/or any Notified Sub-Contractor against all Employee Liabilities arising out of termination of the employment of the employees of the Former Supplier made pursuant to the provisions of Paragraph 1.4 provided that the Supplier takes, or shall procure that the relevant Sub-Contractor takes, all reasonable steps to minimise any such Employee Liabilities.

- 2.2 If any such person as is described in Paragraph 1.2 is neither re employed by the Customer and/or the Former Supplier as appropriate nor dismissed by the Supplier and/or any SubContractor within the fifteen (15) Working Day period referred to in Paragraph 1.4 such person shall be treated as having transferred to the Supplier and/or the Sub-Contractor (as appropriate) and the Supplier shall, or shall procure that the Sub-Contractor shall, comply with such obligations as may be imposed upon it under Law.
- 2.3 Where any person remains employed by the Supplier and/or any Sub-Contractor pursuant to Paragraph 2.2, all Employee Liabilities in relation to such employee shall remain with the Supplier and/or the Sub-Contractor and the Supplier shall indemnify the Customer and any Former Supplier, and shall procure that the Sub-Contractor shall indemnify the Customer and any Former Supplier, against any Employee Liabilities that either of them may incur in respect of any such employees of the Supplier and/or employees of the Sub-Contractor.
- 2.4 The indemnities in Paragraph 2.1:
- 2.4.1 shall not apply to:
- (a) any claim for:
    - (i) discrimination, including on the grounds of sex, race, disability, age, gender reassignment, marriage or civil partnership, pregnancy and maternity or sexual orientation, religion or belief; or
    - (ii) equal pay or compensation for less favourable treatment of parttime workers or fixed-term employees,
 in any case in relation to any alleged act or omission of the Supplier and/or any Sub-Contractor; or
  - (b) any claim that the termination of employment was unfair because the Supplier and/or any Sub-Contractor neglected to follow a fair dismissal procedure; and
- 2.4.2 shall apply only where the notification referred to in Paragraph 1.2.1 is made by the Supplier and/or any Sub-Contractor to the Customer and, if applicable, Former Supplier within 6 months of the Contract Commencement Date.

### **3. PROCUREMENT OBLIGATIONS**

- 3.1 Where in this Part C the Customer accepts an obligation to procure that a Former Supplier does or does not do something, such obligation shall be limited so that it extends only to the extent that the Customer's contract with the Former Supplier contains a contractual right in that regard which the Customer may enforce, or otherwise so that it requires only that the Customer must use reasonable endeavours to procure that the Former Supplier does or does not act accordingly.

## **PART D EMPLOYMENT EXIT PROVISIONS**

### **1. PRE-SERVICE TRANSFER OBLIGATIONS**

- 1.1 The Supplier agrees that within twenty (20) Working Days of the earliest of:
- 1.1.1 receipt of a notification from the Customer of a Service Transfer or intended Service Transfer;
  - 1.1.2 receipt of the giving of notice of early termination or any Partial Termination of this Contract ;
  - 1.1.3 the date which is twelve (12) Months before the end of the Term; and

- 1.1.4 receipt of a written request of the Customer at any time (provided that the Customer shall only be entitled to make one such request in any six (6) month period),
- it shall provide in a suitably anonymised format so as to comply with the DPA, the Supplier's Provisional Supplier Personnel List, together with the Staffing Information in relation to the Supplier's Provisional Supplier Personnel List and it shall provide an updated Supplier's Provisional Supplier Personnel List at such intervals as are reasonably requested by the Customer.
- 1.2 At least thirty (30) Working Days prior to the Service Transfer Date, the Supplier shall provide to the Customer or at the direction of the Customer to any Replacement Supplier and/or any Replacement Sub-Contractor:
- 1.2.1 the Supplier's Final Supplier Personnel List, which shall identify which of the Supplier Personnel are Transferring Supplier Employees; and
- 1.2.2 the Staffing Information in relation to the Supplier's Final Supplier Personnel List (insofar as such information has not previously been provided).
- 1.3 The Customer shall be permitted to use and disclose information provided by the Supplier under Paragraphs 1.1 and 1.2 for the purpose of informing any prospective Replacement Supplier and/or Replacement Sub-Contractor.
- 1.4 The Supplier warrants, for the benefit of the Customer, any Replacement Supplier, and any Replacement Sub-Contractor that all information provided pursuant to Paragraphs 1.1 and 1.2 shall be true and accurate in all material respects at the time of providing the information.
- 1.5 From the date of the earliest event referred to in Paragraph 1.1, the Supplier agrees, that it shall not, and agrees to procure that each Sub-Contractor shall not, assign any person to the provision of the Services who is not listed on the Supplier's Provisional Supplier Personnel List and shall not without the approval of the Customer (not to be unreasonably withheld or delayed):
- 1.5.1 replace or re-deploy any Supplier Personnel listed on the Supplier Provisional Supplier Personnel List other than where any replacement is of equivalent grade, skills, experience and expertise and is employed on the same terms and conditions of employment as the person he/she replaces;
- 1.5.2 make, promise, propose or permit any material changes to the terms and conditions of employment of the Supplier Personnel (including any payments connected with the termination of employment);
- 1.5.3 increase the proportion of working time spent on the Services (or the relevant part of the Services) by any of the Supplier Personnel save for fulfilling assignments and projects previously scheduled and agreed;
- 1.5.4 introduce any new contractual or customary practice concerning the making of any lump sum payment on the termination of employment of any employees listed on the Supplier's Provisional Supplier Personnel List;
- 1.5.5 increase or reduce the total number of employees so engaged, or deploy any other person to perform the Services (or the relevant part of the Services); or
- 1.5.6 terminate or give notice to terminate the employment or contracts of any persons on the Supplier's Provisional Supplier Personnel List save by due disciplinary process, and shall promptly notify, and procure that each SubContractor shall promptly notify, the Customer or, at the direction of the Customer, any Replacement Supplier and any Replacement Sub-Contractor of any notice to terminate employment given by the Supplier or relevant SubContractor or received from any persons listed on the Supplier's Provisional Supplier Personnel List regardless of when such notice takes effect.

- 1.6 During the Term, the Supplier shall provide, and shall procure that each Sub-Contractor shall provide, to the Customer any information the Customer may reasonably require relating to the manner in which Services are organised, which shall include:
- 1.6.1 the numbers of employees engaged in providing the Services;
  - 1.6.2 the percentage of time spent by each employee engaged in providing the Services; and
  - 1.6.3 a description of the nature of the work undertaken by each employee by location.
- 1.7 The Supplier shall provide, and shall procure that each Sub-Contractor shall provide, all reasonable cooperation and assistance to the Customer, any Replacement Supplier and/or any Replacement Sub-Contractor to ensure the smooth transfer of the Transferring Supplier Employees on the Service Transfer Date including providing sufficient information in advance of the Service Transfer Date to ensure that all necessary payroll arrangements can be made to enable the Transferring Supplier Employees to be paid as appropriate. Without prejudice to the generality of the foregoing, within five (5) Working Days following the Service Transfer Date, the Supplier shall provide, and shall procure that each Sub-Contractor shall provide, to the Customer or, at the direction of the Customer, to any Replacement Supplier and/or any Replacement Sub-Contractor (as appropriate), in respect of each person on the Supplier's Final Supplier Personnel List who is a Transferring Supplier Employee:
- 1.7.1 the most recent month's copy pay slip data;
  - 1.7.2 details of cumulative pay for tax and pension purposes;
  - 1.7.3 details of cumulative tax paid;
  - 1.7.4 tax code;
  - 1.7.5 details of any voluntary deductions from pay; and
  - 1.7.6 bank/building society account details for payroll purposes.

## **2. EMPLOYMENT REGULATIONS EXIT PROVISIONS**

- 2.1 The Customer and the Supplier acknowledge that subsequent to the commencement of the provision of the Services, the identity of the provider of the Services (or any part of the Services) may change (whether as a result of termination or Partial Termination of this Contract or otherwise) resulting in the Services being undertaken by a Replacement Supplier and/or a Replacement Sub-Contractor. Such change in the identity of the Supplier of such Services may constitute a Relevant Transfer to which the Employment Regulations and/or the Acquired Rights Directive will apply. The Customer and the Supplier further agree that, as a result of the operation of the Employment Regulations, where a Relevant Transfer occurs, the contracts of employment between the Supplier and the Transferring Supplier Employees (except in relation to any contract terms disapplied through operation of regulation 10(2) of the Employment Regulations) will have effect on and from the Service Transfer Date as if originally made between the Replacement Supplier and/or a Replacement Sub-Contractor (as the case may be) and each such Transferring Supplier Employee.
- 2.2 The Supplier shall, and shall procure that each Sub-Contractor shall, comply with all its obligations in respect of the Transferring Supplier Employees arising under the Employment Regulations in respect of the period up to (but not including) the Service Transfer Date and shall perform and discharge, and procure that each Sub-Contractor shall perform and discharge, all its obligations in respect of all the Transferring Supplier Employees arising in respect of the period up to (and including) the Service Transfer Date (including the payment of all remuneration, benefits, entitlements and outgoings, all wages, accrued but untaken holiday pay, bonuses, commissions, payments of PAYE, national insurance contributions



and pension contributions which in any case are attributable in whole or in part to the period ending on (and including) the Service Transfer Date) and any necessary apportionments in respect of any periodic payments shall be made between: (i) the Supplier and/or the Sub-Contractor (as appropriate); and (ii) the Replacement Supplier and/or Replacement Sub-Contractor.

2.3 Subject to Paragraph 2.4, where a Relevant Transfer occurs the Supplier shall indemnify the Customer and/or the Replacement Supplier and/or any Replacement Sub-Contractor against any Employee Liabilities in respect of any Transferring Supplier Employee (or, where applicable any employee representative as defined in the Employment Regulations) arising from or as a result of:

2.3.1 any act or omission of the Supplier or any Sub-Contractor whether occurring before, on or after the Service Transfer Date;

2.3.2 the breach or non-observance by the Supplier or any Sub-Contractor occurring on or before the Service Transfer Date of:

- (a) any collective agreement applicable to the Transferring Supplier Employees; and/or
- (b) any other custom or practice with a trade union or staff association in respect of any Transferring Supplier Employees which the Supplier or any Sub-Contractor is contractually bound to honour;

2.3.3 any claim by any trade union or other body or person representing any Transferring Supplier Employees arising from or connected with any failure by the Supplier or a Sub-Contractor to comply with any legal obligation to such trade union, body or person arising on or before the Service Transfer Date;

2.3.4 any proceeding, claim or demand by HMRC or other statutory authority in respect of any financial obligation including, but not limited to, PAYE and primary and secondary national insurance contributions:

- (a) in relation to any Transferring Supplier Employee, to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations arising on and before the Service Transfer Date; and
- (b) in relation to any employee who is not a Transferring Supplier Employee, and in respect of whom it is later alleged or determined that the Employment Regulations applied so as to transfer his/her employment from the Supplier to the Customer and/or Replacement Supplier and/or any Replacement Sub-Contractor, to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations arising on or before the Service Transfer Date;

2.3.5 a failure of the Supplier or any Sub-Contractor to discharge or procure the discharge of all wages, salaries and all other benefits and all PAYE tax deductions and national insurance contributions relating to the Transferring Supplier Employees in respect of the period up to (and including) the Service Transfer Date);

2.3.6 any claim made by or in respect of any person employed or formerly employed by the Supplier or any Sub-Contractor other than a Transferring Supplier Employee for whom it is alleged the Customer and/or the Replacement Supplier and/or any Replacement Sub-Contractor may be liable by virtue of this Contract and/or the Employment Regulations and/or the Acquired Rights Directive; and

2.3.7 any claim made by or in respect of a Transferring Supplier Employee or any appropriate employee representative (as defined in the Employment

Regulations) of any Transferring Supplier Employee relating to any act or omission of the Supplier or any Sub-Contractor in relation to its obligations under regulation 13 of the Employment Regulations, except to the extent that the liability arises from the failure by the Customer and/or Replacement Supplier to comply with regulation 13(4) of the Employment Regulations.

2.4 The indemnities in Paragraph 2.3 shall not apply to the extent that the Employee Liabilities arise or are attributable to an act or omission of the Replacement Supplier and/or any Replacement Sub-Contractor whether occurring or having its origin before, on or after the Service Transfer Date, including any Employee Liabilities:

2.4.1 arising out of the resignation of any Transferring Supplier Employee before the Service Transfer Date on account of substantial detrimental changes to his/her working conditions proposed by the Replacement Supplier and/or any Replacement Sub-Contractor to occur in the period on or after the Service Transfer Date; or

2.4.2 arising from the Replacement Supplier's failure, and/or Replacement SubContractor's failure, to comply with its obligations under the Employment Regulations.

2.5 If any person who is not a Transferring Supplier Employee claims, or it is determined in relation to any person who is not a Transferring Supplier Employee, that his/her contract of employment has been transferred from the Supplier or any Sub-Contractor to the Replacement Supplier and/or Replacement Sub-Contractor pursuant to the Employment Regulations or the Acquired Rights Directive, then:

2.5.1 the Customer shall procure that the Replacement Supplier shall, or any Replacement Sub-Contractor shall, within five (5) Working Days of becoming aware of that fact, give notice in writing to the Supplier; and

2.5.2 the Supplier may offer (or may procure that a Sub-Contractor may offer) employment to such person within fifteen (15) Working Days of the notification by the Replacement Supplier and/or any and/or Replacement Sub-Contractor or take such other reasonable steps as it considers appropriate to deal with the matter provided always that such steps are in compliance with Law.

2.6 If such offer is accepted, or if the situation has otherwise been resolved by the Supplier or a Sub-Contractor, the Customer shall procure that the Replacement Supplier shall, or procure that the Replacement Sub-Contractor shall, immediately release or procure the release of the person from his/her employment or alleged employment.

2.7 If after the fifteen (15) Working Day period specified in Paragraph 2.5.2 has elapsed:

2.7.1 no such offer of employment has been made;

2.7.2 such offer has been made but not accepted; or 2.7.3 the situation has not otherwise been resolved

the Customer shall advise the Replacement Supplier and/or Replacement Sub-Contractor, as appropriate that it may within five (5) Working Days give notice to terminate the employment or alleged employment of such person.

2.8 Subject to the Replacement Supplier and/or Replacement Sub-Contractor acting in accordance with the provisions of Paragraphs 2.5 to 2.7, and in accordance with all applicable proper employment procedures set out in applicable Law, the Supplier shall indemnify the Replacement Supplier and/or Replacement Sub-Contractor against all Employee Liabilities arising out of the termination pursuant to the provisions of Paragraph 2.7 provided that the

Replacement Supplier takes, or shall procure that the Replacement Sub-Contractor takes, all reasonable steps to minimise any such Employee Liabilities.

2.9 The indemnity in Paragraph 2.8:

2.9.1 shall not apply to:

(a) any claim for:

(i) discrimination, including on the grounds of sex, race, disability, age, gender reassignment, marriage or civil partnership, pregnancy and maternity or sexual orientation, religion or belief; or

(ii) equal pay or compensation for less favourable treatment of part-time workers or fixed-term employees,

in any case in relation to any alleged act or omission of the Replacement Supplier and/or Replacement Sub-Contractor; or

(b) any claim that the termination of employment was unfair because the Replacement Supplier and/or Replacement Sub-Contractor neglected to follow a fair dismissal procedure; and

2.9.2 shall apply only where the notification referred to in Paragraph 2.5.1 is made by the Replacement Supplier and/or Replacement Sub-Contractor to the Supplier within six (6) months of the Service Transfer Date.

2.10 If any such person as is described in Paragraph 2.5 is neither re-employed by the Supplier or any Sub-Contractor nor dismissed by the Replacement Supplier and/or Replacement Sub-Contractor within the time scales set out in Paragraphs 2.5 to 2.7, such person shall be treated as a Transferring Supplier Employee and the Replacement Supplier and/or Replacement Sub-Contractor shall comply with such obligations as may be imposed upon it under applicable Law.

2.11 The Supplier shall comply, and shall procure that each Sub-Contractor shall comply, with all its obligations under the Employment Regulations and shall perform and discharge, and shall procure that each Sub-Contractor shall perform and discharge, all its obligations in respect of the Transferring Supplier Employees before and on the Service Transfer Date (including the payment of all remuneration, benefits, entitlements and outgoings, all wages, accrued but untaken holiday pay, bonuses, commissions, payments of PAYE, national insurance contributions and pension contributions which in any case are attributable in whole or in part in respect of the period up to (and including) the Service Transfer Date) and any necessary apportionments in respect of any periodic payments shall be made between:

2.11.1 the Supplier and/or any Sub-Contractor; and

2.11.2 the Replacement Supplier and/or the Replacement Sub-Contractor.

2.12 The Supplier shall, and shall procure that each Sub-Contractor shall, promptly provide to the Customer and any Replacement Supplier and/or Replacement Sub-Contractor, in writing such information as is necessary to enable the Customer, the Replacement Supplier and/or Replacement Sub-Contractor to carry out their respective duties under regulation 13 of the Employment Regulations. The Customer shall procure that the Replacement Supplier and/or Replacement Sub-Contractor, shall promptly provide to the Supplier and each Sub-Contractor in writing such information as is necessary to enable the Supplier and each Sub-Contractor to carry out their respective duties under regulation 13 of the Employment Regulations.

- 2.13 Subject to Paragraph 2.14, where a Relevant Transfer occurs the Customer shall procure that the Replacement Supplier indemnifies the Supplier on its own behalf and on behalf of any Replacement Sub-Contractor and its sub-contractors against any Employee Liabilities in respect of each Transferring Supplier Employee (or, where applicable any employee representative (as defined in the Employment Regulations) of any Transferring Supplier Employee) arising from or as a result of:
- 2.13.1 any act or omission of the Replacement Supplier and/or Replacement SubContractor;
  - 2.13.2 the breach or non-observance by the Replacement Supplier and/or Replacement Sub-Contractor on or after the Service Transfer Date of:
    - (a) any collective agreement applicable to the Transferring Supplier Employees; and/or
    - (b) any custom or practice in respect of any Transferring Supplier Employees which the Replacement Supplier and/or Replacement Sub-Contractor is contractually bound to honour;
  - 2.13.3 any claim by any trade union or other body or person representing any Transferring Supplier Employees arising from or connected with any failure by the Replacement Supplier and/or Replacement Sub-Contractor to comply with any legal obligation to such trade union, body or person arising on or after the Relevant Transfer Date;
  - 2.13.4 any proposal by the Replacement Supplier and/or Replacement Sub-Contractor to change the terms and conditions of employment or working conditions of any Transferring Supplier Employees on or after their transfer to the Replacement Supplier or Replacement Sub-Contractor (as the case may be) on the Relevant Transfer Date, or to change the terms and conditions of employment or working conditions of any person who would have been a Transferring Supplier Employee but for their resignation (or decision to treat their employment as terminated under regulation 4(9) of the Employment Regulations) before the Relevant Transfer Date as a result of or for a reason connected to such proposed changes;
  - 2.13.5 any statement communicated to or action undertaken by the Replacement Supplier or Replacement Sub-Contractor to, or in respect of, any Transferring Supplier Employee on or before the Relevant Transfer Date regarding the Relevant Transfer which has not been agreed in advance with the Supplier in writing;
  - 2.13.6 any proceeding, claim or demand by HMRC or other statutory authority in respect of any financial obligation including, but not limited to, PAYE and primary and secondary national insurance contributions:
    - (a) in relation to any Transferring Supplier Employee, to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations arising after the Service Transfer Date; and
    - (b) in relation to any employee who is not a Transferring Supplier Employee, and in respect of whom it is later alleged or determined that the Employment Regulations applied so as to transfer his/her employment from the Supplier or Sub-Contractor, to the Replacement Supplier or Replacement Sub-Contractor to the extent that the proceeding, claim or demand by HMRC or other statutory authority relates to financial obligations arising after the Service Transfer Date;
  - 2.13.7 a failure of the Replacement Supplier or Replacement Sub-Contractor to discharge or procure the discharge of all wages, salaries and all other benefits and all PAYE tax deductions and national insurance contributions relating to the Transferring

Supplier Employees in respect of the period from (and including) the Service Transfer Date; and

2.13.8 any claim made by or in respect of a Transferring Supplier Employee or any appropriate employee representative (as defined in the Employment Regulations) of any Transferring Supplier Employee relating to any act or omission of the Replacement Supplier or Replacement Sub-Contractor in relation to obligations under regulation 13 of the Employment Regulations.

2.14 The indemnities in Paragraph 2.13 shall not apply to the extent that the Employee Liabilities arise or are attributable to an act or omission of the Supplier and/or any SubContractor (as applicable) whether occurring or having its origin before, on or after the Relevant Transfer Date, including any Employee Liabilities arising from the failure by the Supplier and/or any Sub-Contractor (as applicable) to comply with its obligations under the Employment Regulations.

**ANNEX TO SCHEDULE 5: LIST OF NOTIFIED SUB-CONTRACTORS**

## CONTRACT SCHEDULE 6: DISPUTE RESOLUTION PROCEDURE

### 1. DEFINITIONS

1.1. In this Contract Schedule 6, the following definitions shall apply:

- "CEDR"** the Centre for Effective Dispute Resolution of International Dispute Resolution Centre, 70 Fleet Street, London, EC4Y 1EU;
- "Counter Notice"** has the meaning given to it in paragraph of this Contract Schedule 6;
- "Exception"** a deviation of project tolerances in accordance with PRINCE2 methodology in respect of this Contract or in the supply of the Services;
- "Expert"** the person appointed by the Parties in accordance with paragraph 5.2 of this Contract Schedule 6; and
- "Mediation Notice"** has the meaning given to it in paragraph 3.2 of this Contract Schedule 6;
- "Mediator"** the independent third party appointed in accordance with paragraph 4.2 of this Contract Schedule 6.

### 2. INTRODUCTION

2.1. If a Dispute arises then:

- 2.1.1. the representative of the Customer and the Supplier Representative shall attempt in good faith to resolve the Dispute; and
- 2.1.2. if such attempts are not successful within a reasonable time either Party may give to the other a Dispute Notice.

2.2. The Dispute Notice shall set out:

- 2.2.1. the material particulars of the Dispute;
- 2.2.2. the reasons why the Party serving the Dispute Notice believes that the Dispute has arisen; and
- 2.2.3. if the Party serving the Dispute Notice believes that the Dispute should be dealt with under the Expedited Dispute Timetable as set out in paragraph 2.6 of this Contract Schedule 6, the reason why.

2.3. Unless agreed otherwise in writing, the Parties shall continue to comply with their respective obligations under this Contract regardless of the nature of the Dispute and notwithstanding the referral of the Dispute to the Dispute Resolution Procedure.

2.4. Subject to paragraph 3.2 of this Contract Schedule 6, the Parties shall seek to resolve Disputes:

- 2.4.1. first by commercial negotiation (as prescribed in paragraph 3 of this Contract Schedule 6);
- 2.4.2. then by mediation (as prescribed in paragraph 4 of this Contract Schedule 6); and
- 2.4.3. lastly by recourse to arbitration (as prescribed in paragraph 6 of this Contract Schedule 6) or litigation (in accordance with Clause 47 of this Contract (Governing Law and Jurisdiction)).

2.5. Specific issues shall be referred to Expert Determination (as prescribed in paragraph 5 of this Contract Schedule 6) where specified under the provisions of this Contract and may also be referred to Expert Determination where otherwise appropriate as specified in paragraph 5 of this Contract Schedule 6.

2.6. In exceptional circumstances where the use of the times in this Contract Schedule 6 would be unreasonable, including (by way of example) where one Party would be materially disadvantaged by a delay in resolving the Dispute, the Parties may agree to use the Expedited Dispute Timetable. If the Parties are unable to reach agreement on whether to use of the Expedited Dispute Timetable within five (5) Working Days of the issue of the Dispute Notice, the use of the Expedited Dispute Timetable shall be at the sole discretion of the Customer.

2.7. If the use of the Expedited Dispute Timetable is determined in accordance with paragraph 2.5 or is otherwise specified under the provisions of this Contract, then the following periods of time shall apply in lieu of the time periods specified in the applicable paragraphs:

2.7.1. in paragraph 3.2.3, ten (10) Working Days;

2.7.2. in paragraph 4.2, ten (10) Working Days;

2.7.3. in paragraph 5.2, five (5) Working Days; and

2.7.4. in paragraph 6.2, ten (10) Working Days.

2.8. If at any point it becomes clear that an applicable deadline cannot be met or has passed, the Parties may (but shall be under no obligation to) agree in writing to extend the deadline. Any agreed extension shall have the effect of delaying the start of the subsequent stages by the period agreed in the extension.

### 3. **COMMERCIAL NEGOTIATIONS**

3.1. Following the service of a Dispute Notice, the Customer and the Supplier shall use reasonable endeavours to resolve the Dispute as soon as possible, by discussion between the Customer Representative and the Supplier Representative.

3.2. If:

3.2.1. either Party is of the reasonable opinion that the resolution of a Dispute by commercial negotiation, or the continuance of commercial negotiations, will not result in an appropriate solution;

3.2.2. the Parties have already held discussions of a nature and intent (or otherwise were conducted in the spirit) that would equate to the conduct of commercial negotiations in accordance with this paragraph 3 of this Contract Schedule 6; or

3.2.3. the Parties have not settled the Dispute in accordance with paragraph 3.1 of this Contract Schedule 6 within thirty (30) Working Days of service of the Dispute Notice,

either Party may serve a written notice to proceed to mediation (a “**Mediation Notice**”) in accordance with paragraph 4 of this Contract Schedule 6.

### 4. **MEDIATION**

4.1. If a Mediation Notice is served, the Parties shall attempt to resolve the dispute in accordance with CEDR's Model Mediation Agreement which shall be deemed to be incorporated by reference into this Contract.

4.2. If the Parties are unable to agree on the joint appointment of a Mediator within thirty (30) Working Days from service of the Mediation Notice then either Party may apply to CEDR to nominate the Mediator.

- 4.3. If the Parties are unable to reach a settlement in the negotiations at the mediation, and only if the Parties so request and the Mediator agrees, the Mediator shall produce for the Parties a non-binding recommendation on terms of settlement. This shall not attempt to anticipate what a court might order but shall set out what the Mediator suggests are appropriate settlement terms in all of the circumstances.
- 4.4. Any settlement reached in the mediation shall not be legally binding until it has been reduced to writing and signed by, or on behalf of, the Parties (in accordance with the Variation Procedure where appropriate). The Mediator shall assist the Parties in recording the outcome of the mediation.

## 5. **EXPERT DETERMINATION**

- 5.1. If a Dispute relates to any aspect of the technology underlying the provision of the Services or otherwise relates to a financial technical or other aspect of a technical nature (as the Parties may agree) and the Dispute has not been resolved by discussion or mediation, then either Party may request (which request will not be unreasonably withheld or delayed) by written notice to the other that the Dispute is referred to an Expert for determination.
- 5.2. The Expert shall be appointed by agreement in writing between the Parties, but in the event of a failure to agree within ten (10) Working Days, or if the person appointed is unable or unwilling to act, the Expert shall be appointed on the instructions of the relevant professional body.
- 5.3. The Expert shall act on the following basis:
- 5.3.1. he/she shall act as an expert and not as an arbitrator and shall act fairly and impartially;
  - 5.3.2. the Expert's determination shall (in the absence of a material failure to follow the agreed procedures) be final and binding on the Parties;
  - 5.3.3. the Expert shall decide the procedure to be followed in the determination and shall be requested to make his/her determination within thirty (30) Working Days of his appointment or as soon as reasonably practicable thereafter and the Parties shall assist and provide the documentation that the Expert requires for the purpose of the determination;
  - 5.3.4. any amount payable by one Party to another as a result of the Expert's determination shall be due and payable within twenty (20) Working Days of the Expert's determination being notified to the Parties;
  - 5.3.5. the process shall be conducted in private and shall be confidential; and
  - 5.3.6. the Expert shall determine how and by whom the costs of the determination, including his/her fees and expenses, are to be paid.

## 6. **ARBITRATION**

- 6.1. The Customer may at any time before court proceedings are commenced refer the Dispute to arbitration in accordance with the provisions of paragraph 6.4 of this Contract Schedule 6.
- 6.2. Before the Supplier commences court proceedings or arbitration, it shall serve written notice on the Customer of its intentions and the Customer shall have fifteen (15) Working Days following receipt of such notice to serve a reply (a "**Counter Notice**") on the Supplier requiring the Dispute to be referred to and resolved by arbitration in accordance with paragraph 6.4 of this Contract Schedule 6 or be subject to the jurisdiction of the courts in accordance with Clause 47 of this Contract (Governing Law and Jurisdiction). The Supplier shall not commence any court proceedings or arbitration until the expiry of such fifteen (15) Working Day period.
- 6.3. If:



- 6.3.1. the Counter Notice requires the Dispute to be referred to arbitration, the provisions of paragraph 6.4 of this Contract Schedule 6 shall apply;
- 6.3.2. the Counter Notice requires the Dispute to be subject to the exclusive jurisdiction of the courts in accordance with Clause 47 of this Contract (Governing Law and Jurisdiction), the Dispute shall be so referred to the courts and the Supplier shall not commence arbitration proceedings;
- 6.3.3. the Customer does not serve a Counter Notice within the fifteen (15) Working Days period referred to in paragraph 6.2 of this Contract Schedule 6, the Supplier may either commence arbitration proceedings in accordance with paragraph 6.4 of this Contract Schedule 6 or commence court proceedings in the courts in accordance with Clause 47 of this Contract (Governing Law and Jurisdiction) which shall (in those circumstances) have exclusive jurisdiction.
- 6.4. In the event that any arbitration proceedings are commenced pursuant to paragraphs 6.1 to 6.3 of this Contract Schedule 6, the Parties hereby confirm that:
  - 6.4.1. all disputes, issues or claims arising out of or in connection with this Contract (including as to its existence, validity or performance) shall be referred to and finally resolved by arbitration under the Rules of the London Court of International Arbitration (“**LCIA**”) (subject to paragraphs 6.4.5 to 6.4.7 of this Contract Schedule 6);
  - 6.4.2. the arbitration shall be administered by the LCIA;
  - 6.4.3. the LCIA procedural rules in force at the date that the Dispute was referred to arbitration shall be applied and are deemed to be incorporated by reference into this Contract and the decision of the arbitrator shall be binding on the Parties in the absence of any material failure to comply with such rules;
  - 6.4.4. if the Parties fail to agree the appointment of the arbitrator within ten (10) days from the date on which arbitration proceedings are commenced or if the person appointed is unable or unwilling to act, the arbitrator shall be appointed by the LCIA;
  - 6.4.5. the chair of the arbitral tribunal shall be British;
  - 6.4.6. the arbitration proceedings shall take place in London and in the English language; and
  - 6.4.7. the seat of the arbitration shall be London.

## 7. **URGENT RELIEF**

- 7.1. Either Party may at any time take proceedings or seek remedies before any court or tribunal of competent jurisdiction:
  - 7.1.1. for interim or interlocutory remedies in relation to this Contract or infringement by the other Party of that Party’s Intellectual Property Rights; and/or
  - 7.1.2. where compliance with paragraph 2.1 of this Contract Schedule 6 and/or referring the Dispute to mediation may leave insufficient time for that Party to commence proceedings before the expiry of the limitation period.

## **CONTRACT SCHEDULE 7: PROCESSING PERSONAL DATA AND DATA SUBJECTS**

This Schedule shall be completed by the Controller, who may take account of the view of the Processors, however the final decision as to the content of this Schedule shall be with the Controller at its absolute discretion.

- 1. The contact details of the Controller’s Data Protection Officer are: Emma Wharram, Deputy Director - Departmental Data Protection Officer, dataprotection.office@education.gov.uk, Department for Education, 7 & 8 Wellington Place, Wellington Street, Leeds, LS1 4AW
- 2. The contact details of the Processor’s Data Protection Officer are: **[Insert Supplier Contact details at Award]**

3. The Processor shall comply with any further written instructions with respect to processing by the Controller.
4. Any such further instructions shall be incorporated into this Schedule.

Description	Details
Identity of the Controller and Processor	The Parties acknowledge that for the purposes of the Data Protection Legislation, the Customer is the Controller and the Contractor is the Processor in accordance with Clause 23.22.
Subject matter of the processing	<p>The processing of personal data relating to applicants for, Learners and employers taking part in Skills Bootcamp(s) is required to ensure the mandatory requirements of the Service Requirements are delivered by the contractor.</p> <p>Where this information may already be collected or processed by the processor, this is to be considered separate processing activities and the contractor will be the data controller for those processing activities and out of scope for this contract.</p>
Duration of the processing	From the commencement date of the call off agreement until the Expiry Date.
Nature and purposes of the processing	<p>Personal data will be collected, stored, used and disclosed by the processor</p> <p>For the purposes of:</p> <ul style="list-style-type: none"> <li>• Recruitment of Learners to enrol on Skills Bootcamps courses</li> <li>• Provision of wraparound support for Learners</li> <li>• Monitoring and Assessment of Learners undertaking Skills Bootcamps and</li> <li>• meeting the evidence requirements of the Call Off Contract</li> </ul>
Type of Personal Data being Processed	<p>All Applicants:</p> <ul style="list-style-type: none"> <li>• Date of Birth</li> <li>• Gender</li> <li>• <i>Disability/long-term health condition</i></li> <li>• <i>Ethnicity</i></li> <li>• Marriage and civil partnership status</li> <li>• <i>Sexual orientation</i></li> </ul>

	<ul style="list-style-type: none"> <li>• <i>Gender re-assignment</i></li> <li>• Pregnancy and maternity</li> <li>• Religion or belief</li> </ul> <p>Learners:</p> <ul style="list-style-type: none"> <li>• Name (first name and surname)</li> <li>• National Insurance number</li> <li>• Postcode</li> <li>• Email address</li> <li>• Telephone number</li> </ul> <p>Employer Contacts:</p> <ul style="list-style-type: none"> <li>• Name</li> <li>• Email address</li> <li>• Telephone Number</li> </ul>
Categories of Data Subject	<ul style="list-style-type: none"> <li>• Applicants for a Skills Bootcamp</li> <li>• Learners taking part in Skills Bootcamps.</li> <li>• Employers</li> </ul>
<p>Plan for return and destruction of the data once the processing is complete</p> <p>UNLESS requirement under union or member state law to preserve that type of data</p>	<p>Unless further written instructions are provided by the Controller:</p> <p>Personal Data will be retained for six years past the end of the financial year in which the last payment is made, to support audit requirements, at which point it will be securely destroyed in line with the Service Requirements.</p>

#### CONTRACT SCHEDULE 8: JOINT CONTROLLER AGREEMENT

**[Guidance:** It is anticipated that the arrangement will be Controller and Processor. Insert this schedule only where a Joint Controller arrangement applies in Schedule 7]

[In this Annex the Parties must outline each party's responsibilities for:

- providing information to data subjects under [Article 13 and 14](#) of the GDPR.
- responding to data subject requests under [Articles 15-22](#) of the GDPR
- notifying the Information Commissioner (and data subjects) where necessary about data breaches
- maintaining records of processing under [Article 30](#) of the GDPR
- carrying out any required Data Protection Impact Assessment
- The agreement must include a statement as to who is the point of contact for data subjects.

The essence of this relationship shall be published.

You may wish to incorporate some clauses equivalent to those specified in Clauses 23.22 - 23.36

You may also wish to include an additional clause apportioning liability between the parties arising out of data protection; of data that is jointly controlled.

Where there is a Joint Control relationship, but no controller to processor relationship under the Call Off Contract, this completed Contract Schedule 8 should be used instead of Clause 23.22 - 23.36]

**CONTRACT SCHEDULE 9: NOT USED**

## CONTRACT SCHEDULE 10: EXIT MANAGEMENT

### 1. DEFINITIONS

1.1. In this Contract Schedule 10, the following definitions shall apply:

<b>"Exclusive Assets"</b>	means those Supplier Assets used by the Supplier or a Key Sub-Contractor which are used exclusively in the provision of the Services;
<b>"Exit Information"</b>	has the meaning given to it in paragraph 4.1 of this Contract Schedule 10;
<b>"Exit Manager"</b>	means the person appointed by each Party pursuant to paragraph 3.4 of this Contract Schedule 10 for managing the Parties' respective obligations under this Contract Schedule 10;
<b>"Net Book Value"</b>	means the net book value of the relevant Supplier Asset(s) calculated in accordance with the depreciation policy of the Supplier set out in the letter in the agreed form from the Supplier to the Customer of even date with this Contract;
<b>"Non-Exclusive Assets"</b>	means those Supplier Assets (if any) which are used by the Supplier or a Key Sub Contractor in connection with the Services but which are also used by the Supplier or Key Sub-Contractor for other purposes;
<b>"Registers"</b>	means the register and configuration database referred to in paragraphs 3.1.1 and 3.1.2 of this Contract Schedule 10;
<b>"Termination Assistance"</b>	means the activities to be performed by the Supplier pursuant to the Exit Plan, and any other assistance required by the Customer pursuant to the Termination Assistance Notice;
<b>"Termination Assistance Notice"</b>	has the meaning given to it in paragraph 6.1 of this Contract Schedule 10;
<b>"Termination Assistance Period"</b>	means in relation to a Termination Assistance Notice, the period specified in the Termination Assistance Notice for which the Supplier is required to provide the Termination Assistance as such period may be extended pursuant to paragraph 6.2 of this Contract Schedule 10;
<b>"Transferable Assets"</b>	means those of the Exclusive Assets which are capable of legal transfer to the Customer;
<b>"Transferable Contracts"</b>	means the Sub-Contracts, licences for Supplier Background IPR, Project Specific IPR, licences for

Third Party IPR or other agreements which are necessary to enable the Customer or any Replacement Supplier to provide the Services or the Replacement Services, including in relation to licences all relevant Documentation;

**"Transferring Assets"** has the meaning given to it in paragraph 9.2.1 of this Contract Schedule 10;

**"Transferring Contracts"** has the meaning given to it in paragraph 9.2.3 of this Contract Schedule 10.

## 2. INTRODUCTION

2.1. This Contract Schedule 10 describes provisions that should be included in the Exit Plan, the duties and responsibilities of the Supplier to the Customer leading up to and covering the Contract Expiry Date and the transfer of service provision to the Customer and/or a Replacement Supplier.

2.2. The objectives of the exit planning and service transfer arrangements are to ensure a smooth transition of the availability of the Services from the Supplier to the Customer and/or a Replacement Supplier at the Contract Expiry Date.

## 3. OBLIGATIONS DURING THE CONTRACT PERIOD TO FACILITATE EXIT

3.1. During the Contract Period, the Supplier shall:

3.1.1. create and maintain a Register of all:

- (a) Supplier Assets, detailing their: make, model and
  - i) asset number;
  - ii) ownership and status as either Exclusive Assets or Non Exclusive Assets;
  - iii) Net Book Value;
  - iv) condition and physical location; and
  - v) use (including technical specifications);
- (b) Sub-Contracts and other relevant agreements (including relevant software licences, maintenance and support agreements and equipment rental and lease agreements) required for the performance of the Services;
- (c) Information and data relating to Learners (including Learner files) and course material used for the Skill Bootcamps; and
- (d) Supplier Background IPR, Third Party IPR, Project Specific IPR, Customer Background IPR and Customer Data.

3.1.2. Customer Data. create and maintain a configuration database detailing the technical infrastructure and operating procedures through which the Supplier provides the Services, which shall contain sufficient detail to permit the Customer and/or Replacement Supplier to understand how the Supplier provides the Services and to enable the smooth transition of the Services with the minimum of disruption;

- 3.1.3. agree the format of the Registers with the Customer as part of the process of agreeing the Exit Plan; and
- 3.1.4. at all times keep the Registers up to date, in particular in the event that Assets, SubContracts or other relevant agreements and information relating to the Learners (including Learner files) and / or course material used for the Skill Bootcamps are added to or removed from the Services.
- 3.2. The Supplier shall:
  - 3.2.1. procure that all Exclusive Assets listed in the Registers are clearly marked to identify that they are exclusively used for the provision of the Services under this Contract; and
  - 3.2.2. (unless otherwise agreed by the Customer in writing) procure that all licences for Third Party IPR and all Sub-Contracts shall be assignable and/or capable of novation at the request of the Customer to the Customer (and/or its nominee) and/or any Replacement Supplier upon the Supplier ceasing to provide the Services (or part of them) without restriction (including any need to obtain any consent or approval) or payment by the Customer.
- 3.3. Where the Supplier is unable to procure that any Sub-Contract or other agreement referred to in paragraph 3.2.2 of this Contract Schedule 10 which the Supplier proposes to enter into after the Contract Commencement Date is assignable and/or capable of novation to the Customer (and/or its nominee) and/or any Replacement Supplier without restriction or payment, the Supplier shall promptly notify the Customer of this and the Parties shall (acting reasonably and without undue delay) discuss the appropriate action to be taken which, where the Customer so directs, may include the Supplier seeking an alternative SubContractor or provider of services to which the relevant agreement relates.
- 3.4. Each Party shall appoint a person for the purposes of managing the Parties' respective obligations under this Contract Schedule 10 and provide written notification of such appointment to the other Party within three (3) Months of the Contract Commencement Date. The Supplier's Exit Manager shall be responsible for ensuring that the Supplier and its employees, agents and Sub-Contractors comply with this Contract Schedule 10. The Supplier shall ensure that its Exit Manager has the requisite authority to arrange and procure any resources of the Supplier as are reasonably necessary to enable the Supplier to comply with the requirements set out in this Contract Schedule 10. The Parties' Exit Managers will liaise with one another in relation to all issues relevant to the termination of this Contract and all matters connected with this Contract Schedule 10 and each Party's compliance with it.

#### **4. OBLIGATIONS TO ASSIST ON RE-TENDERING OF SERVICES**

- 4.1. On reasonable notice at any point during the Contract Period, the Supplier shall provide to the Customer and/or its potential Replacement Supplier's (subject to the potential Replacement Supplier's entering into reasonable written confidentiality undertakings), the following material and information in order to facilitate the preparation by the Customer of any invitation to tender and/or to facilitate any potential Replacement Supplier's undertaking due diligence:
  - 4.1.1. details of the Service(s);
  - 4.1.2. a copy of the Registers, updated by the Supplier up to the date of delivery of such Registers;
  - 4.1.3. an inventory of Customer Data in the Supplier's possession or control;
  - 4.1.4. details of any key terms of any third party contracts and licences, particularly as regards charges, termination, assignment and novation;
  - 4.1.5. a list of on-going and/or threatened disputes in relation to the provision of the Services;



- 4.1.6. all information relating to Transferring Supplier Employees required to be provided by the Supplier under this Contract; and
- 4.1.7. such other material and information as the Customer shall reasonably require, (together, the “Exit Information”).
- 4.2. The Supplier acknowledges that the Customer may disclose the Supplier’s Confidential Information to an actual or prospective Replacement Supplier or any third party whom the Customer is considering engaging to the extent that such disclosure is necessary in connection with such engagement (except that the Customer may not under this paragraph 4.2 of this Contract Schedule 10 disclose any Supplier’s Confidential Information which is information relating to the Supplier’s or its Sub-Contractors’ prices or costs).
- 4.3. The Supplier shall:
  - 4.3.1. notify the Customer within five (5) Working Days of any material change to the Exit Information which may adversely impact upon the provision of any Services and shall consult with the Customer regarding such proposed material changes; and
  - 4.3.2. provide complete updates of the Exit Information on an as-requested basis as soon as reasonably practicable and in any event within ten (10) Working Days of a request in writing from the Customer.
- 4.4. The Supplier may charge the Customer for its reasonable additional costs to the extent the Customer requests more than four (4) updates in any six (6) month period.
- 4.5. The Exit Information shall be accurate and complete in all material respects and the level of detail to be provided by the Supplier shall be such as would be reasonably necessary to enable a third party to:
  - 4.5.1. prepare an informed offer for those Services; and
  - 4.5.2. not be disadvantaged in any subsequent procurement process
  - 4.5.3. compared to the Supplier (if the Supplier is invited to participate).

## 5. EXIT PLAN

- 5.1. The Supplier shall, within three (3) Months after the Contract Commencement Date, deliver to the Customer an Exit Plan which:
  - 5.1.1. sets out the Supplier’s proposed methodology for achieving an orderly transition of the Services from the Supplier to the Customer and/or its Replacement Supplier on the expiry or termination of this Contract;
  - 5.1.2. complies with the requirements set out in paragraph 5.3 of this Contract Schedule 10;
  - 5.1.3. sets out an orderly transfer of the items recorded in the Registers including but not limited to the IPR rights as referred to in Paragraph 3.1.1 (d).
  - 5.1.4. is otherwise reasonably satisfactory to the Customer.
- 5.2. The Parties shall use reasonable endeavours to agree the contents of the Exit Plan. If the Parties are unable to agree the contents of the Exit Plan within twenty (20) Working Days of its submission, then such Dispute shall be resolved in accordance with the Dispute Resolution Procedure.
- 5.3. Unless otherwise specified by the Customer or Approved, the Exit Plan shall set out, as a minimum:
  - 5.3.1. how the Exit Information is obtained;
  - 5.3.2. the management structure to be employed during both transfer and cessation of the Services;

- 5.3.3. the management structure to be employed during the Termination Assistance Period;
- 5.3.4. a detailed description of both the transfer and cessation processes, including a timetable;
- 5.3.5. how the Services will transfer to the Replacement Supplier and/or the Customer, including details of the processes, documentation, data transfer, systems migration, security and the segregation of the Customer's technology components from any technology components operated by the Supplier or its Sub-Contractors (where applicable);
- 5.3.6. details of contracts (if any) which will be available for transfer to the Customer and/or the Replacement Supplier upon the Contract Expiry Date together with any reasonable costs required to effect such transfer (and the Supplier agrees that all assets and contracts used by the Supplier in connection with the provision of the Services will be available for such transfer);
- 5.3.7. proposals for the training of key members of the Replacement Supplier's personnel in connection with the continuation of the provision of the Services following the Contract Expiry Date charged at rates agreed between the Parties at that time;
- 5.3.8. proposals for providing the Customer or a Replacement Supplier copies of all documentation:
  - (a) used in the provision of the Services and necessarily required for the continued use thereof, in which the Intellectual Property Rights are owned by the Supplier; and
  - (b) relating to the use and operation of the Services;
- 5.3.9. proposals for the assignment or novation of the provision of all services, leases, maintenance agreements and support agreements utilised by the Supplier in connection with the performance of the supply of the Services;
- 5.3.10. proposals for the identification and return of all Customer Property in the possession of and/or control of the Supplier or any third party (including any SubContractor);
- 5.3.11. proposals for the disposal of any redundant Services and materials;
- 5.3.12. procedures to deal with requests made by the Customer and/or a Replacement Supplier for Staffing Information pursuant to Contract Schedule 10 (Staff Transfer);
- 5.3.13. how each of the issues set out in this Contract Schedule 10 will be addressed to facilitate the transition of the Services from the Supplier to the Replacement Supplier and/or the Customer with the aim of ensuring that there is no disruption to or degradation of the Services during the Termination Assistance Period; and
- 5.3.14. proposals for the supply of any other information or assistance reasonably required by the Customer or a Replacement Supplier in order to effect an orderly handover of the provision of the Services.

## 6. **TERMINATION ASSISTANCE**

- 6.1. The Customer shall be entitled to require the provision of Termination Assistance at any time during the Contract Period by giving written notice to the Supplier (a "**Termination Assistance Notice**") at least four (4) Months prior to the Contract Expiry Date or as soon as reasonably practicable (but in any event, not later than one (1) month) following the service by either Party of a Termination Notice. The Termination Assistance Notice shall specify:
  - 6.1.1. the date from which Termination Assistance is required;
  - 6.1.2. the nature of the Termination Assistance required; and

6.1.3. the period during which it is anticipated that Termination Assistance will be required, which shall continue no longer than twelve (12) Months after the date that the Supplier ceases to provide the Services.

6.2. The Customer shall have an option to extend the Termination Assistance Period beyond the period specified in the Termination Assistance Notice provided that such extension shall not extend for more than six (6) Months after the date the Supplier ceases to provide the Services or, if applicable, beyond the end of the Termination Assistance Period and provided that it shall notify the Supplier to such effect no later than twenty (20) Working Days prior to the date on which the provision of Termination Assistance is otherwise due to expire. The Customer shall have the right to terminate its requirement for Termination Assistance by serving not less than (20) Working Days' written notice upon the Supplier to such effect.

## **7. TERMINATION ASSISTANCE PERIOD**

7.1. Throughout the Termination Assistance Period, or such shorter period as the Customer may require, the Supplier shall:

7.1.1. continue to provide the Services (as applicable) and, if required by the Customer pursuant to paragraph 6.1 of this Contract Schedule 10, provide the Termination Assistance;

7.1.2. in addition to providing the Services and the Termination Assistance, provide to the Customer any reasonable assistance requested by the Customer to allow the Services to continue without interruption following the termination or expiry of this Contract and to facilitate the orderly transfer of responsibility for and conduct of the Services to the Customer and/or its Replacement Supplier;

7.1.3. use all reasonable endeavours to reallocate resources to provide such

7.1.4. assistance as is referred to in paragraph 7.1.2 of this Contract Schedule 10 without additional costs to the Customer;

7.1.5. provide the Services and the Termination Assistance at no detriment to the Service Level Performance Measures, save to the extent that the Parties agree otherwise in accordance with paragraph 7.3; and

7.1.6. at the Customer's request and on reasonable notice, deliver up-to-date Registers to the Customer.

7.2. Without prejudice to the Supplier's obligations under paragraph 7.1.3 of this Contract Schedule 10, if it is not possible for the Supplier to reallocate resources to provide such assistance as is referred to in paragraph 7.1.2 of this Contract Schedule 10 without additional costs to the Customer, any additional costs incurred by the Supplier in providing such reasonable assistance which is not already in the scope of the Termination Assistance or the Exit Plan shall be subject to the Variation Procedure.

7.3. If the Supplier demonstrates to the Customer's reasonable satisfaction that transition of the Services and provision of the Termination Assist during the Termination Assistance Period will have a material, unavoidable adverse effect on the Supplier's ability to meet one or more particular Service Level Performance Measure(s), the Parties shall vary the relevant Service Level Performance Measure(s) and/or the applicable Service Credits to take account of such adverse effect.

## **8. TERMINATION OBLIGATIONS**

8.1. The Supplier shall comply with all of its obligations contained in the Exit Plan.

8.2. Upon termination or expiry (as the case may be) or at the end of the Termination Assistance Period (or earlier if this does not adversely affect the Supplier's performance of the Services

and the Termination Assistance and its compliance with the other provisions of this Contract Schedule 10), the Supplier shall:

- 8.2.1. cease to use the Customer Data;
- 8.2.2. provide the Customer and/or the Replacement Supplier with a complete and uncorrupted version of the Customer Data in electronic form (or such other format as reasonably required by the Customer);
- 8.2.3. erase from any computers, storage devices and storage media that are to be retained by the Supplier after the end of the Termination Assistance Period all Customer Data and promptly certify to the Customer that it has completed such deletion;
- 8.2.4. return to the Customer such of the following as is in the Supplier's possession or control:
  - (a) all materials created by the Supplier under this Contract in which the IPRs are owned by the Customer;
  - (b) any equipment which belongs to the Customer;
  - (c) any items that have been on-charged to the Customer, such as consumables; and
  - (d) any sums prepaid by the Customer in respect of Services not Delivered by the Contract Expiry Date; and
  - (e) all Information and data relating to Learners (including Learner files) and course material used for the Skill Bootcamps
- 8.2.5. vacate any Customer Premises;
- 8.2.6. remove the Supplier Equipment together with any other materials used by the Supplier to supply the Services and shall leave the Sites in a clean, safe and tidy condition. The Supplier is solely responsible for making good any damage to the Sites or any objects contained thereon, other than fair wear and tear, which is caused by the Supplier and/or any Supplier Personnel;
- 8.2.7. provide access during normal working hours to the Customer and/or the Replacement Supplier for up to twelve (12) Months after expiry or termination to:
  - (a) such information relating to the Services as remains in the possession or control of the Supplier; and
  - (b) such members of the Supplier Personnel as have been involved in the design, development and provision of the Services and who are still employed by the Supplier, provided that the Customer and/or the Replacement Supplier shall pay the reasonable costs of the Supplier actually incurred in responding to requests for access under this paragraph.
- 8.3. Upon termination or expiry (as the case may be) or at the end of the Termination Assistance Period (or earlier if this does not adversely affect the Supplier's performance of the Services and the Termination Assistance and its compliance with the other provisions of this Contract Schedule 10), each Party shall return to the other Party (or if requested, destroy or delete) all Confidential Information of the other Party and shall certify that it does not retain the other Party's Confidential Information save to the extent (and for the limited period) that such information needs to be retained by the Party in question for the purposes of providing or receiving any Services or termination services or for statutory compliance purposes.
- 8.4. Except where this Contract provides otherwise, all licences, leases and authorisations granted by the Customer to the Supplier in relation to the Services shall be terminated with effect from the end of the Termination Assistance Period.

9. **ASSETS AND SUB-CONTRACTS**

- 9.1. Following notice of termination of this Contract and during the Termination Assistance Period, the Supplier shall not, without the Customer's prior written consent:
- 9.1.1. terminate, enter into or vary any Sub-Contract;
  - 9.1.2. (subject to normal maintenance requirements) make material modifications to, or dispose of, any existing Supplier Assets or acquire any new Supplier Assets; or
  - 9.1.3. terminate, enter into or vary any licence for software in connection with the provision of the Services.
- 9.2. Within twenty (20) Working Days of receipt of the up-to-date Registers provided by the Supplier pursuant to paragraph 7.1.5 of this Contract Schedule 10, the Customer shall provide written notice to the Supplier setting out:
- 9.2.1. which, if any, of the Transferable Assets the Customer requires to be transferred to the Customer and/or the Replacement Supplier ("**Transferring Assets**");
  - 9.2.2. which, if any, of:
    - (a) the Exclusive Assets that are not Transferable Assets; and
    - (b) the Non-Exclusive Assets, the Customer and/or the Replacement Supplier requires the continued use of; and
  - 9.2.3. which, if any, of Transferable Contracts the Customer requires to be assigned or novated to the Customer and/or the Replacement Supplier
  - 9.2.4. (the "**Transferring Contracts**"), in order for the Customer and/or its Replacement Supplier to provide the Services from the expiry of the Termination Assistance Period. Where requested by the Customer and/or its Replacement Supplier, the Supplier shall provide all reasonable assistance to the Customer and/or its Replacement Supplier to enable it to determine which Transferable Assets and Transferable Contracts the Customer and/or its Replacement Supplier requires to provide the Services or the Replacement Services.
- 9.3. With effect from the expiry of the Termination Assistance Period, the Supplier shall sell the Transferring Assets to the Customer and/or its nominated Replacement Supplier for a consideration equal to their Net Book Value, except where the cost of the Transferring Asset has been partially or fully paid for through the Contract Charges at the Contract Expiry Date, in which case the Customer shall pay the Supplier the Net Book Value of the Transferring Asset less the amount already paid through the Contract Charges.
- 9.4. Risk in the Transferring Assets shall pass to the Customer or the Replacement Supplier (as appropriate) at the end of the Termination Assistance Period and title to the Transferring Assets shall pass to the Customer or the Replacement Supplier (as appropriate) on payment for the same.
- 9.5. Where the Supplier is notified in accordance with paragraph 9.2.2 of this Contract Schedule 10 that the Customer and/or the Replacement Supplier requires continued use of any Exclusive Assets that are not Transferable Assets or any Non-Exclusive Assets, the Supplier shall as soon as reasonably practicable:
- 9.5.1. procure a non-exclusive, perpetual, royalty-free licence (or licence on such other terms that have been agreed by the Customer) for the Customer and/or the Replacement Supplier to use such assets (with a right of sub-licence or assignment on the same terms); or failing which
  - 9.5.2. procure a suitable alternative to such assets and the Customer or the Replacement Supplier shall bear the reasonable proven costs of procuring the same.

- 9.6. The Supplier shall as soon as reasonably practicable assign or procure the novation to the Customer and/or the Replacement Supplier of the Transferring Contracts. The Supplier shall execute such documents and provide such other assistance as the Customer reasonably requires to effect this novation or assignment.
- 9.7. The Customer shall:
- 9.7.1. accept assignments from the Supplier or join with the Supplier in procuring a novation of each Transferring Contract; and
  - 9.7.2. once a Transferring Contract is novated or assigned to the Customer and/or the Replacement Supplier, carry out, perform and discharge all the obligations and liabilities created by or arising under that Transferring Contract and exercise its rights arising under that Transferring Contract, or as applicable, procure that the Replacement Supplier does the same.
- 9.8. The Supplier shall hold any Transferring Contracts on trust for the Customer until such time as the transfer of the relevant Transferring Contract to the Customer and/or the Replacement Supplier has been effected.
- 9.9. The Supplier shall indemnify the Customer (and/or the Replacement Supplier, as applicable) against each loss, liability and cost arising out of any claims made by a counterparty to a Transferring Contract which is assigned or novated to the Customer (and/or Replacement Supplier) pursuant to paragraph 9.6 of this Contract Schedule 10 in relation to any matters arising prior to the date of assignment or novation of such Transferring Contract.

**10. SUPPLIER PERSONNEL**

- 10.1. The Customer and Supplier agree and acknowledge that in the event of the Supplier ceasing to provide the Services or part of them for any reason, Contract Schedule 10 (Staff Transfer) shall apply.
- 10.2. The Supplier shall not take any step (expressly or implicitly and directly or indirectly by itself or through any other person) to dissuade or discourage any employees engaged in the provision of the Services from transferring their employment to the Customer and/or the Replacement Supplier.
- 10.3. During the Termination Assistance Period, the Supplier shall give the Customer and/or the Replacement Supplier reasonable access to the Supplier's personnel to present the case for transferring their employment to the Customer and/or the Replacement Supplier.
- 10.4. The Supplier shall immediately notify the Customer or, at the direction of the Customer, the Replacement Supplier of any period of notice given by the Supplier or received from any person referred to in the Staffing Information, regardless of when such notice takes effect.
- 10.5. The Supplier shall not for a period of twelve (12) Months from the date of transfer re-employ or re-engage or entice any employees, Supplier's or Sub-Contractors whose employment or engagement is transferred to the Customer and/or the Replacement Supplier, unless approval has been obtained from the Customer which shall not be unreasonably withheld.

**11. CHARGES**

- 11.1. Except as otherwise expressly specified in this Contract, the Supplier shall not make any charges for the services provided by the Supplier pursuant to, and the Customer shall not be obliged to pay for costs incurred by the Supplier in relation to its compliance with, this Contract Schedule 10 including the preparation and implementation of the Exit Plan, the Termination Assistance and any activities mutually agreed between the Parties to carry on after the expiry of the Termination Assistance Period.

12. **APPORTIONMENTS**

12.1. All outgoings and expenses (including any remuneration due) and all rents, royalties and other periodical payments receivable in respect of the Transferring Assets and Transferring Contracts shall be apportioned between the Customer and the Supplier and/or the Replacement Supplier and the Supplier (as applicable) as follows:

12.1.1. the amounts shall be annualised and divided by 365 to reach a daily rate;

12.1.2. the Customer shall be responsible for (or shall procure that the Replacement Supplier shall be responsible for) or entitled to (as the case may be) that part of the value of the invoice pro rata to the number of complete days following the transfer, multiplied by the daily rate; and

12.1.3. the Supplier shall be responsible for or entitled to (as the case may be) the rest of the invoice.

12.2. Each Party shall pay (and/or the Customer shall procure that the Replacement Supplier shall pay) any monies due under paragraph 12.1 of this Contract Schedule 10 as soon as reasonably practicable.

## CONTRACT SCHEDULE 11: VARIATION FORM

No of Contract Order Form being varied:

.....

Variation Form No:

.....

BETWEEN:

[insert name of Customer] ("**the Customer**") and

[insert name of Supplier] ("**the Supplier**")

- 1 This Contract is varied as follows and shall take effect on the date signed by both Parties:

***[Insert details of the Variation]***

- 2 Words and expressions in this Variation shall have the meanings given to them in this Contract.

- 3 This Contract, including any previous Variations, shall remain effective and unaltered except as amended by this Variation.

Signed by an authorised signatory for and on behalf of the Customer

Signature

Date

Name (in Capitals)

Address

Signed by an authorised signatory to sign for and on behalf of the Supplier

Signature

Date

Name (in Capitals)

Address



## CONTRACT SCHEDULE 12: ALTERNATIVE AND/OR ADDITIONAL CLAUSES

### 1. INTRODUCTION

1.1 This Contract Schedule 12 specifies the range of Alternative Clauses and Additional Clauses that may be requested in the Contract Order Form and, if requested in the Contract Order Form, shall apply to this Contract.

### 2. CLAUSES SELECTED

2.1 The Customer may, in the Contract Order Form, request the following Alternative Clauses

2.1.1 Financial Limits (see paragraph 4.5 of this Contract Schedule 12).

2.2 The Customer may, in the Contract Order Form, request the following Additional Clauses should apply:

2.2.1 Security Measures (see paragraph 5.1 of this Contract Schedule 12);

### 3. IMPLEMENTATION

3.1 The appropriate changes have been made in this Contract to implement the Alternative and/or Additional Clauses specified in paragraph 2.1 of this Contract Schedule 12 and the Additional Clauses specified in paragraphs 2.2 and 2.2.1 of this Contract Schedule 12 shall be deemed to be incorporated into this Contract.

### 4. ALTERNATIVE CLAUSES

#### 4.1 FINANCIAL LIMITS

In Clause 25.3 remove the monetary amount and the percentage stated therein and replace respectively with:

[enter monetary amount in words] [£ X]

[enter percentage in words] [£ X]

### 5. ADDITIONAL CLAUSES: GENERAL

#### 5.1 SECURITY MEASURES

5.1.1 The following definitions to be added to Contract Schedule 1 (Definitions) to the Contract Order Form and the Contract Terms:

"**Document**" includes specifications, plans, drawings, photographs and books;

"**Secret Matter**" means any matter connected with or arising out of the performance of this Contract which has been, or may hereafter be, by a notice in writing given by the Customer to the Supplier be designated 'top secret', 'secret', or 'confidential';

"**Servant**" where the Supplier is a body corporate shall include a director of that body and any person occupying in relation to that body the position of director by whatever name called.

5.1.2 The following new Clause [58] shall apply:

#### 58. [SECURITY MEASURES]

58.1. The Supplier shall not, either before or after the completion or termination of this Contract, do or permit to be done anything which it knows or ought reasonably to know may result in information about a secret matter being:

58.1.1. without the prior consent in writing of the Customer, disclosed to or acquired by a person who is an alien or who is a British subject by

- virtue only of a certificate of naturalisation in which his name was included;
- 58.1.2. disclosed to or acquired by a person as respects whom the Customer has given to the Supplier a notice in writing which has not been cancelled stating that the Customer requires that secret matters shall not be disclosed to that person;
  - 58.1.3. without the prior consent in writing of the Customer, disclosed to or acquired by any person who is not a servant of the Supplier; or
  - 58.1.4. disclosed to or acquired by a person who is an employee of the Supplier except in a case where it is necessary for the proper performance of this Contract that such person shall have the information.
- 58.2. Without prejudice to the provisions of Clause 58.1, the Supplier shall, both before and after the completion or termination of this Contract, take all reasonable steps to ensure:
- 58.2.1. no such person as is mentioned in Clauses 58.1, 58.1.1 or 58.1.2 hereof shall have access to any item or document under the control of the Supplier containing information about a secret matter except with the prior consent in writing of the Customer;
  - 58.2.2. that no visitor to any premises in which there is any item to be supplied under this Contract or where Services are being supplied shall see or discuss with the Supplier or any person employed by him any secret matter unless the visitor is authorised in writing by the Customer so to do;
  - 58.2.3. that no photograph of any item to be supplied under this Contract or any portions of the Services shall be taken except insofar as may be necessary for the proper performance of this Contract or with the prior consent in writing of the Customer, and that no such photograph shall, without such consent, be published or otherwise circulated;
  - 58.2.4. that all information about any secret matter and every document, model or other item which contains or may reveal any such information is at all times strictly safeguarded, and that, except insofar as may be necessary for the proper performance of this Contract or with the prior consent in writing of the Customer, no copies of or extracts from any such document, model or item shall be made or used and no designation of description which may reveal information about the nature or contents of any such document, model or item shall be placed thereon; and
  - 58.2.5. that if the Customer gives notice in writing to the Supplier at any time requiring the delivery to the Customer of any such document, model or item as is mentioned in Clause 58.2.3, that document, model or item (including all copies of or extracts therefrom) shall forthwith be delivered to the Customer who shall be deemed to be the owner thereof and accordingly entitled to retain the same.
- 58.3. The decision of the Customer on the question whether the Supplier has taken or is taking all reasonable steps as required by the foregoing provisions of Clause 58 shall be final and conclusive.

- 58.4. If and when directed by the Customer, the Supplier shall furnish full particulars of all people who are at any time concerned with any secret matter.
- 58.6. If, at any time either before or after the expiry or termination of this Contract, it comes to the notice of the Supplier that any person acting without lawful authority is seeking or has sought to obtain information concerning this Contract or anything done or to be done in pursuance thereof, the matter shall be forthwith reported by the Supplier to the Customer and the report shall, in each case, be accompanied by a statement of the facts, including, if possible, the name, address and occupation of that person, and the Supplier shall be responsible for making all such arrangements as it may consider appropriate to ensure that if any such occurrence comes to the knowledge of any person employed by it, that person shall forthwith report the matter to the Supplier with a statement of the facts as aforesaid.
- 58.7. The Supplier shall place every person employed by it, other than a SubContractor, who in its opinion has or will have such knowledge of any secret matter as to appreciate its significance, under a duty to the Supplier to observe the same obligations in relation to that matter as are imposed on the Supplier by Clauses 58.1 and 58.2 and shall, if directed by the Customer, place every person who is specified in the direction or is one of a class of people so specified, under the like duty in relation to any secret matter which may be specified in the direction, and shall at all times use its best endeavours to ensure that every person upon whom obligations are imposed by virtue of Clause 58 observes the said obligations, and the Supplier shall give such instructions and information to every such person as may be necessary for that purpose, and shall, immediately upon becoming aware of any act or omission which is or would be a breach of the said obligations, report the facts to the Supplier with all necessary particulars.
- 58.8. The Supplier shall, if directed by the Customer, include in the Sub-Contract provisions in such terms as the Customer may consider appropriate for placing the Sub-Contractor under obligations in relation to secrecy and security corresponding to those placed on the Supplier by Clause 58, but with such variations (if any) as the Customer may consider necessary. Further the Supplier shall:
- 58.8.1. give such notices, directions, requirements and decisions to its SubContractors as may be necessary to bring the provisions relating to secrecy and security which are included in Sub-Contracts under Clause 58 into operation in such cases and to such extent as the Customer may direct;
  - 58.8.2. if there comes to its notice any breach by the Subcontractor of the obligations of secrecy and security included in their Sub-Contracts in pursuance of Clause 58, notify such breach forthwith to the Customer; and
  - 58.8.3. if and when so required by the Customer, exercise its power to determine the Sub-Contract under the provision in that SubContract which corresponds to Clause 58.11.
- 58.9. The Supplier shall give the Customer such information and particulars as the Customer may from time to time require for the purposes of satisfying the Customer that the obligations imposed by or under the foregoing provisions of Clause 58 have been and are being observed and as to what the Supplier has done or is doing or proposes to do to secure the observance of those obligations and to prevent any breach thereof, and the Supplier shall secure

that a representative of the Customer duly authorised in writing shall be entitled at reasonable times to enter and inspect any premises in which anything is being done or is to be done under this Contract or in which there is or will be any item to be supplied under this Contract, and also to inspect any document or item in any such premises or which is being made or used for the purposes of this Contract and that any such representative shall be given all such information as he may require on the occasion of, or arising out of, any such inspection.

58.10. Nothing in Clause 58 shall prevent any person from giving any information or doing anything on any occasion when it is, by virtue of any enactment, the duty of that person to give that information or do that thing.

58.11. If the Customer shall consider that any of the following events has occurred:

58.11.1. that the Supplier has committed a breach of, or failed to comply with any of, the foregoing provisions of Clause 58; or

58.11.2. that the Supplier has committed a breach of any obligations in relation to secrecy or security imposed upon it by any other contract with the Customer, or with any department or person acting on behalf of the Crown; or

58.11.3. that by reason of an act or omission on the part of the Supplier, or of a person employed by the Supplier, which does not constitute such a breach or failure as is mentioned in 58.11.2, information about a secret matter has been or is likely to be acquired by a person who, in the opinion of the Customer, ought not to have such information;

and shall also decide that the interests of the State require the termination of this Contract, the Customer may by notice in writing terminate this Contract forthwith.

58.12. A decision of the Customer to terminate this Contract in accordance with the provisions of Clause 58.11 shall be final and conclusive and it shall not be necessary for any notice of such termination to specify or refer in any way to the event or considerations upon which the Customer's decision is based.

58.13. Supplier's notice

58.13.1. The Supplier may within five (5) Working Days of the termination of this Contract in accordance with the provisions of Clause 58.11, give the Customer notice in writing requesting

the Customer to state whether the event upon which the Customer's decision to terminate was based is an event mentioned in Clauses 58.11, 58.11.1 or 58.11.2 and to give particulars of that event; and

58.13.2. the Customer shall within ten (10) Working Days of the receipt of such a request give notice in writing to the Supplier containing such a statement and particulars as are required by the request.

58.14. Matters pursuant to termination

58.14.1. The termination of this Contract pursuant to Clause 58.11 shall be without prejudice to any rights of either party which shall have accrued before the date of such termination;

58.14.2. The Supplier shall be entitled to be paid for any work or thing done under this Contract and accepted but not paid for by the

Customer at the date of such termination either at the price which would have been payable under this Contract if this Contract had not been terminated, or at a reasonable price;

58.14.3. The Customer may take over any work or thing done or made under this Contract (whether completed or not) and not accepted at the date of such termination which the Customer may by notice in writing to the Supplier given within thirty (30) Working Days from the time when the provisions of Clause 58 shall have effect, elect to take over, and the Supplier shall be entitled to be paid for any work or thing so taken over a price which, having regard to the stage which that work or thing has reached and its condition at the time it is taken over, is reasonable. The Supplier shall in accordance with directions given by the Customer, deliver any work or thing taken over under this Clause, and take all such other steps as may be reasonably necessary to enable the Customer to have the full benefit of any work or thing taken over under this Clause; and

58.14.4. Save as aforesaid, the Supplier shall not be entitled to any payment from the Customer after the termination of this Contract.

58.15. If, after notice of termination of this Contract pursuant to the provisions of 58.11:

58.15.1. the Customer shall not within ten (10) Working Days of the receipt of a request from the Supplier, furnish such a statement and particulars as are detailed in Clause 58.13.1; or

58.15.2. the Customer shall state in the statement and particulars detailed in Clause 58.13.2. that the event upon which the Customer's decision to terminate this Contract was based is an event mentioned in Clause 58.11.3,

the respective rights and obligations of the Supplier and the Customer shall be terminated in accordance with the following provisions:

58.15.3. the Customer shall take over from the Supplier at a fair and reasonable price all unused and undamaged materials, boughtout parts and components and articles in course of manufacture

in the possession of the Supplier upon the termination of this Contract under the provisions of Clause 58.11 and properly provided by or supplied to the Supplier for the performance of this Contract, except such materials, bought-out parts and components and articles in course of manufacture as the Supplier shall, with the concurrence of the Customer, elect to retain;

58.15.4. the Supplier shall prepare and deliver to the Customer within an agreed period or in default of agreement within such period as the Customer may specify, a list of all such unused and undamaged materials, bought-out parts and components and articles in course of manufacture liable to be taken over by or previously belonging to the Customer and shall deliver such materials and items in accordance with the directions of the Customer who shall pay to the Supplier fair and reasonable

handling and delivery charges incurred in complying with such directions;

58.15.5. the Customer shall indemnify the Supplier against any commitments, liabilities or expenditure which are reasonably and properly chargeable by the Supplier in connection with this Contract to the extent to which the said commitments, liabilities or expenditure would otherwise represent an unavoidable loss by the Supplier by reason of the termination of this Contract;

58.15.6. if hardship to the Supplier should arise from the operation of Clause 58.15 it shall be open to the Supplier to refer the circumstances to the Customer who, on being satisfied that such hardship exists shall make such allowance, if any, as in its opinion is reasonable and the decision of the Customer on any matter arising out of this Clause 58.15 shall be final and conclusive; and

subject to the operation of Clauses 58.15.3, 58.15.4, 58.15.5 and 58.15.6 termination of this Contract shall be without prejudice to any rights of either party that may have accrued before the date of such termination.

#### **CONTRACT SCHEDULE 13: CONTRACT TENDER**

***NOTE: the Supplier's quality tender response for the Call-Off Contract will be attached to the back of the contract***

## **CONTRACT SCHEDULE 14: SUB-CONTRACTING**

### **General**

- 1.1. The Supplier must select its Sub-Contractors fairly and without discrimination and must comply with any procurement rules that apply when doing so, including the Public Contracts Regulations 2015 where the Supplier is a contracting authority under those regulations.
- 1.2. The Supplier must ensure that proposed Sub-Contractors are of adequate financial standing and have sufficient capacity and capability to deliver the Services that are to be Sub-Contracted.
- 1.3. The Supplier must have a direct contractual relationship by way of a Sub- Contract with all of its SubContractors. The Supplier must ensure that its Sub-Contractors do not sub-contract any of the Services further to other Suppliers unless the Customer has given its expression written permission for further Sub-Contracting
- 1.4. The Supplier must not enter into any agreement for Brokerage in relation to the Services under this Contract.

### **Requirements for Sub-Contracts**

- 1.5. The Supplier must enter into a written Sub-Contract with any supplier that the Supplier is proposing to use as a Sub-Contractor. The Supplier and the Sub-Contractor must enter into the Sub-Contract before the Sub-Contractor commences the delivery of the proposed sub-contracted Services.
- 1.6. The Sub-Contract must include:
  - 1.6.1. terms and conditions substantially the same as those set out in this Contract;
  - 1.6.2. payment provisions such that the Supplier must pay the Sub- Contractor within 30 days of receiving a valid invoice;
  - 1.6.3. an obligation on the Sub-Contractor to obtain express written permission from the Supplier before enrolling any Learners;
  - 1.6.4. an obligation on the Sub-Contractor to participate in any rectification plan as appropriate in the event that the Sub-Contractor has committed an act which would constitute a material Default of this Contract (whether or not it is also a breach of the Sub-Contract); and
  - 1.6.5. sanctions on the Sub-Contractor for material Default of the Sub- Contract substantially the same as those set out in this Contract.
- 1.7. Sub-Contracts must be available at all times for the Customer to inspect on request.

### **Supplier Obligations**

- 1.8. The Supplier must make payment to any Sub-Contractor within 30 days of receiving a valid invoice in accordance with the required provisions of the Sub- Contract set out at paragraph 1.8.2 of this Schedule.
- 1.9. The Supplier must manage and monitor its Sub-Contractors in accordance with the relevant SubContract to ensure that the Sub-Contractors deliver the sub-contracted Services to the standard set out in Clause 3 (Service Delivery) of this Contract.
- 1.10. The Supplier must inform the Customer whenever a Sub-Contractor goes into administration or liquidation.
- 1.11. The Supplier must have a contingency plan in place to ensure that there is continuity of Services for existing Learners in the event of any circumstances that may arise that render a Sub-Contractor unable to deliver the sub- contracted Services including but not limited to the expiry or termination of the Sub-Contract.
- 1.12. The Supplier must ensure that a Sub-Contractor that has committed an act which constitutes a material Default of this Contract (whether or not it is also a breach of its Sub-Contract) participates in any rectification plan as appropriate.

- 1.13. The Supplier must carry out an investigation at its own cost if there is any evidence of a Sub-Contractor having irregular financial or delivery activity and notify the Customer of this and of the outcome of any such investigation.
- 1.14. The Supplier must not enter new sub-contracting arrangements or increase the value of existing arrangements, without the written consent of the Customer, if any of the following circumstances apply:
  - 1.14.1. Ofsted has rated the Contractor's management and leadership as inadequate;
  - 1.14.2. the outcome of the Contractor's annual financial health assessment is inadequate, unless the Customer has provided its written consent to the proposed sub-contracting arrangement in advance of a Sub- Contract being entered into;
  - 1.14.3. a Sub-Supplier has been inspected and judged to be inadequate by Ofsted; or
  - 1.14.4. a Sub-Contractor is subject to ongoing intervention or investigation by the Customer.

#### **Financial Thresholds**

- 1.15. Where the annual value of this Contract in any Academic Year exceeds £5 million and the Supplier wants to sub-contract one or more of the Services the following provisions apply:
  - 1.15.1. The Supplier will advertise on Contracts Finder all sub-contract opportunities arising from or in connection with the provision of the Services above a minimum threshold of £25,000 that arise during the Contract Period.
  - 1.15.2. Once a Sub-Contract has been awarded, the Supplier will update the notice on Contracts Finder with the details of the successful Sub-Contractor.
  - 1.15.3. In addition to any other management information requirements set out in this Contract, the Supplier agrees and acknowledges that it will, at no charge, provide timely, full, accurate and complete SME Management Information ("MI) Reports to the Customer which incorporate the following:
    - (a) The total revenue received directly from the Contract
    - (b) The total value of Services under the Contract that have been sub- contracted (including revenues for non-SMEs/non-VCSEs); and
    - (c) The total value of sub-contracted revenues to SMEs and VCSEs.
  - 1.15.4. The SME Management Information Reports will be provided in the correct format as required by the Customer and any guidance issued by the Customer from time to time.
- 1.16. Where the Customer requests it, the Supplier must obtain an annual report from an external auditor if the aggregate total of Services in respect of which the Supplier has entered into Sub-Contracts exceeds or is anticipated to exceed £50,000 in any single Contract Year. The aggregate of the sums paid by the Supplier to its Sub-Contractors relates solely to Services that the Supplier is required to deliver under this Call Off Contract.

#### **Departmental Rights**

- 1.17. In the event that the Supplier fails to comply with any of the requirements set out in this Schedule the Customer reserves the right to take such remedial action under this Contract as it considers appropriate in the circumstances, which may include but is not limited to requiring the Supplier to terminate an existing Sub-Contract and/ or prohibiting the Supplier from entering into any new SubContract to deliver the Services under this Contract.

#### **Key Sub-Contractor**

- 2.1. The following are agreed between the Parties to be Key Sub-Contracts at the Contract Commencement Date:



2.1.1. [insert full name details]

## CONTRACT SCHEDULE 15: FINANCIAL DISTRESS

### 1. DEFINITIONS

1.1 In this Schedule, the following definitions shall apply:

<b>“Applicable Financial Indicators”</b>	means the financial indicators from Paragraph 5.1 of this Schedule which are to apply to the Monitored Suppliers as set out in Paragraph 5.2 of this Schedule;
<b>“Board”</b>	means the Supplier’s board of directors;
<b>“Board Confirmation”</b>	means written confirmation from the Board in accordance with Paragraph 8 of this Schedule;
<b>“Credit Rating Level”</b>	a credit rating level as specified in Annex 1 of this Schedule;
<b>“Credit Rating Threshold”</b>	the minimum Credit Rating Level for each entity in the FDE Group as set out in Annex 2 of this Schedule;
<b>“FDE Group”</b>	means the [Supplier, Key Sub-Contractors, [the Guarantor] and the [Monitored Suppliers]];
<b>“Financial Indicators”</b>	in respect of the Supplier, Key Sub-Contractors and the Guarantor, means each of the financial indicators set out at Paragraph 5.1 of this Schedule; and in respect of each Monitored Supplier, means those Applicable Financial Indicators;
<b>“Financial Target Thresholds”</b>	means the target thresholds for each of the Financial Indicators set out at Paragraph 5.1 of this Schedule;
<b>“Monitored Suppliers”</b>	means those entities specified at Paragraph 5.2 of this Schedule; <b>“Rating Agencies”</b> the rating agencies listed in Annex 1 of this Schedule.

### 2. WARRANTIES AND DUTY TO NOTIFY

2.1 The Supplier warrants and represents to the Customer for the benefit of the Customer that as at the Contract Commencement Date:

2.1.1 the long term credit ratings issued for each entity in the FDE Group by each of the Rating Agencies are as set out in Annex 2 of this Schedule; and

2.1.2 the financial position or, as appropriate, the financial performance of each of the Supplier, Guarantor and Key Sub-Contractors satisfies the Financial Target Thresholds.

2.2 The Supplier shall promptly notify (or shall procure that its auditors promptly notify) the Customer in writing if there is any downgrade in the credit rating issued by any Rating Agency for any entity in the FDE Group (and in any event within 5 Working Days of the occurrence of the downgrade).

2.3 The Supplier shall:

2.3.1 regularly monitor the credit ratings of each entity in the FDE Group with the Rating Agencies;

- 2.3.2 monitor and report on the Financial Indicators for each entity in the FDE Group against the Financial Target Thresholds at least at the frequency set out for each at Paragraph 5.1  
(where specified) and in any event, on a regular basis and no less than once a year within one hundred and twenty (120) days after the Accounting Reference Date; and
- 2.3.3 promptly notify (or shall procure that its auditors promptly notify) the Customer in writing following the occurrence of a Financial Distress Event or any fact, circumstance or matter which could cause a Financial Distress Event (and in any event, ensure that such notification is made within 10 Working Days of the date on which the Supplier first becomes aware of the Financial Distress Event or the fact, circumstance or matter which could cause a Financial Distress Event).
- 2.4 For the purposes of determining whether a Financial Distress Event has occurred pursuant to the provisions of Paragraphs 3.1.10, and for the purposes of determining relief under Paragraph 7.1, the credit rating of an FDE Group entity shall be deemed to have dropped below the applicable Credit Rating Threshold if:
  - 2.4.1 any of the Rating Agencies have given a Credit Rating Level for that entity which is below the applicable Credit Rating Threshold; or
  - 2.4.2 a Rating Agency that is specified as holding a Credit Rating for an entity as set out at Annex 2 of this Schedule ceases to hold a Credit Rating for that entity.
- 2.5 Each report submitted by the Supplier pursuant to Paragraph 2.3.2 shall:
  - 2.5.1 be a single report with separate sections for each of the FDE Group entities;
  - 2.5.2 contain a sufficient level of information to enable the Customer to verify the calculations that have been made in respect of the Financial Indicators;
  - 2.5.3 include key financial and other supporting information (including any accounts data that has been relied on) as separate annexes;
  - 2.5.4 be based on the audited accounts for the date or period on which the Financial Indicator is based or, where the Financial Indicator is not linked to an accounting period or an accounting reference date, on unaudited management accounts prepared in accordance with their normal timetable; and
  - 2.5.5 include a history of the Financial Indicators reported by the Supplier in graph form to enable the Customer to easily analyse and assess the trends in financial performance.

### **3. FINANCIAL DISTRESS EVENTS**

- 3.1 The following shall be Financial Distress Events:
  - 3.1.1 the credit rating of an FDE Group entity dropping below the applicable Credit Rating Threshold;
  - 3.1.2 an FDE Group entity issuing a profits warning to a stock exchange or making any other public announcement, in each case about a material deterioration in its financial position or prospects;
  - 3.1.3 there being a public investigation into improper financial accounting and reporting, suspected fraud or any other impropriety of an FDE Group entity;
  - 3.1.4 an FDE Group entity committing a material breach of covenant to its lenders;

- 3.1.5 a Key Sub-Contractor notifying the Customer that the Supplier has not satisfied any material sums properly due under a specified invoice and not subject to a genuine dispute;
- 3.1.6 any FDE Group entity extends the filing period for filing its accounts with the Registrar of Companies so that the filing period ends more than 9 months after its accounting reference date without an explanation to the Customer which the Customer (acting reasonably) considers to be adequate;
- 3.1.7 any FDE Group entity is late to file its annual accounts without a public notification or an explanation to the Customer which the Customer, acting reasonably, considers to be adequate;
- 3.1.8 the directors and/or external auditors of any FDE Group entity conclude that a material uncertainty exists in relation to that FDE Group entity's going concern in the annual report including in a reasonable but plausible downside scenario. This includes, but is not limited to, commentary about liquidity and trading prospects in the reports from directors or external auditors;
- 3.1.9 any of the following:
  - (i) any FDE Group entity makes a public announcement which contains adverse commentary with regards to that FDE Group entity's liquidity and trading and trading prospects, such as but not limited to, a profit warning or ability to trade as a going concern;
  - (ii) commencement of any litigation against an FDE Group entity with respect to financial indebtedness greater than £5m or obligations under a service contract with a total contract value greater than £5m;
  - (iii) non-payment by an FDE Group entity of any financial indebtedness;
  - (iv) any financial indebtedness of an FDE Group entity becoming due as a result of an event of default;
  - (v) the cancellation or suspension of any financial indebtedness in respect of an FDE Group entity; or
  - (vi) the external auditor of an FDE Group entity expressing a qualified opinion on, or including an emphasis of matter in, its opinion on the statutory accounts of that FDE entity;

in each case which the Customer reasonably believes (or would be likely reasonably to believe) could directly impact on the continued performance and delivery of the Services in accordance with this Contract; and
- 3.1.10 any [one] of the Financial Indicators set out at Paragraph 5 for any of the FDE Group entities failing to meet the required Financial Target Threshold.

#### **4. CONSEQUENCES OF FINANCIAL DISTRESS EVENTS**

- 4.1 Immediately upon notification by the Supplier of a Financial Distress Event (or if the Customer becomes aware of a Financial Distress Event without notification and brings the event to the attention of the Supplier), the Supplier shall have the obligations and the Customer shall have the rights and remedies as set out in Paragraphs 4.3 to 4.5.

- 4.2 In the event of a late or non-payment of a Key Sub-Contractor pursuant to Paragraph 3.1.5, the Customer shall not exercise any of its rights or remedies under Paragraph 4.3 without first giving the Supplier 10 Working Days to:
- 4.2.1 rectify such late or non-payment; or
  - 4.2.2 demonstrate to the Customer's reasonable satisfaction that there is a valid reason for late or non-payment.
- 4.3 The Supplier shall (and shall procure that any Monitored Supplier, the Guarantor and/or any relevant Key Sub-Contractor shall):
- 4.3.1 at the request of the Customer, meet the Customer as soon as reasonably practicable (and in any event within 3 Working Days of the initial notification (or awareness) of the Financial Distress Event or such other period as the Customer may permit and notify to the Supplier in writing) to review the effect of the Financial Distress Event on the continued performance and delivery of the Services in accordance with this Contract; and
  - 4.3.2 where the Customer reasonably believes (taking into account the discussions and any representations made under Paragraph 4.3.1 that the Financial Distress Event could impact on the continued performance and delivery of the Services in accordance with this Contract:
    - (i) submit to the Customer for its approval, a draft Financial Distress Remediation Plan as soon as reasonably practicable (and in any event, within 10 Working Days of the initial notification (or awareness) of the Financial Distress Event or such other period as the Customer may permit and notify to the Supplier in writing); and
    - (ii) to the extent that it is legally permitted to do so and subject to Paragraph 4.7 , provide such information relating to the Supplier, any Monitored Supplier, Key Sub-Contractors and/or the Guarantor as the Customer may reasonably require in order to understand the risk to the Services, which may include forecasts in relation to cash flow, orders and profits and details of financial measures being considered to mitigate the impact of the Financial Distress Event.
- 4.4 The Customer shall not withhold its approval of a draft Financial Distress Remediation Plan unreasonably. If the Customer does not approve the draft Financial Distress Remediation Plan, it shall inform the Supplier of its reasons and the Supplier shall take those reasons into account in the preparation of a further draft Financial Distress Remediation Plan, which shall be resubmitted to the Customer within 5 Working Days of the rejection of the first draft. This process shall be repeated until the Financial Distress Remediation Plan is either:
- 4.4.1 approved by the Customer;
  - 4.4.2 referred, by notice sent by either Party to the other Party explaining why it thinks the Financial Distress Remediation Plan has not been approved, to commercial negotiation led by senior representatives who have authority to agree the Financial Distress Remediation Plan to be held within 28 days of the date of the notice; or
  - 4.4.3 finally rejected by the Customer.
- 4.5 Following approval of the Financial Distress Remediation Plan by the Customer, the Supplier shall:
- 4.5.1 on a regular basis (which shall not be less than fortnightly):
    - (i) review and make any updates to the Financial Distress Remediation Plan as the Supplier may deem reasonably necessary and/or as may be reasonably requested by the

Customer, so that the plan remains adequate, up to date and ensures the continued performance and delivery of the Services in accordance with this Contract; and

- (ii) provide a written report to the Customer setting out its progress against the Financial Distress Remediation Plan, the reasons for any changes made to the Financial Distress Remediation Plan by the Supplier and/or the reasons why the Supplier may have decided not to make any changes;

4.5.2 where updates are made to the Financial Distress Remediation Plan in accordance with Paragraph 4.5.1 submit an updated Financial Distress Remediation Plan to the Customer for its approval, and the provisions of Paragraphs 4.4 and 4.5.1 shall apply to the review and approval process for the updated Financial Distress Remediation Plan; and

4.5.3 comply with the Financial Distress Remediation Plan (including any updated Financial Distress Remediation Plan) and ensure that it achieves the financial and performance requirements set out in the Financial Distress Remediation Plan.

4.6 Where the Supplier reasonably believes that the relevant Financial Distress Event under Paragraph 4.1 (or the circumstance or matter which has caused or otherwise led to it) no longer exists, it shall notify the Customer and the Parties may agree that the Supplier shall be relieved of its obligations under Paragraph 4.5.

4.7 The Supplier shall use reasonable endeavours to put in place the necessary measures to ensure that the information specified at Paragraph 4.3.2(ii) is available when required and on request from the Customer and within reasonable timescales. Such measures may include:

- 4.7.1 obtaining in advance written authority from Key Sub-Contractors, the Guarantor and/or Monitored Suppliers authorising the disclosure of the information to the Customer and/or entering into confidentiality agreements which permit disclosure;
- 4.7.2 agreeing in advance with the Customer, Key Sub-Contractors, the Guarantor and/or Monitored Suppliers a form of confidentiality agreement to be entered by the relevant parties to enable the disclosure of the information to the Customer;
- 4.7.3 putting in place any other reasonable arrangements to enable the information to be lawfully disclosed to the Customer (which may include making price sensitive information available to Customer nominated personnel through confidential arrangements, subject to their consent); and
- 4.7.4 disclosing the information to the fullest extent that it is lawfully entitled to do so, including through the use of redaction, anonymisation and any other techniques to permit disclosure of the information without breaching a duty of confidentiality.

## 5. FINANCIAL INDICATORS

5.1 Subject to the calculation methodology set out at Annex 3 of this Schedule, the Financial Indicators and the corresponding calculations and thresholds used to determine whether a Financial Distress Event has occurred in respect of those Financial Indicators, shall be as follows:

Financial Indicator	Calculation <sup>1</sup>	Financial Target Threshold:	Monitoring and Reporting Frequency
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<b>1</b>  <b>Operating Margin</b>  <b>OR</b>  <b>The higher of (a) the Operating Margin for the most recent 12 month period and (b) the average Operating Margin for the last two 12 month periods</b>	$[\text{Operating Margin} = \text{Operating Profit} / \text{Revenue}]$	5% Red  10% Green	<i>Tested and reported yearly in arrears within 120 days of each accounting reference date based upon figures for the 12 months ending on the relevant accounting reference date</i>
<b>2</b>  <b>Free Cash Flow to Net Debt Ratio</b>  <b>OR</b>  <b>Net Debt to EBITDA Ratio</b>	$[\text{Free Cash Flow to Net Debt Ratio} = \text{Free Cash Flow} / \text{Net Debt}]$  OR  $[\text{Net Debt to EBITDA ratio} = \text{Net Debt} / \text{EBITDA}]$	<i>Free Cash Flow to Net Debt Ratio</i> 5% Red, 15% Green  <i>Net Debt to EBITDA Ratio</i> 3.5% Red, 2.5% Green	<i>Tested and reported yearly in arrears within 120 days of each accounting reference date ] based upon [Free Cash Flow / EBITDA] for the 12 months ending on, and Net Debt at, the relevant accounting reference date</i>
<b>3</b>  <b>Net Debt + Net Pension Deficit to EBITDA ratio</b>	$[\text{Net Debt} + \text{Net Pension Deficit to EBITDA Ratio} = (\text{Net Debt} + \text{Net Pension Deficit}) / \text{EBITDA}]$	5% Red  4% Green	<i>Tested and reported yearly in arrears within 120 days of each accounting reference date based upon EBITDA for the 12 months ending on, and the Net Debt and Net Pension Deficit at, the relevant accounting reference date</i>
<b>4</b>  <b>[Net Interest Paid Cover]</b>	$[\text{Net Interest Paid Cover} = \text{Earnings Before Interest and Tax} / \text{Net Interest Paid}]$	3% Red  4.5% Green	<i>Tested and reported yearly in arrears within 120 days of each accounting reference date based upon figures for the 12 months ending on the relevant [accounting reference date / half year end]</i>
<b>5</b>  <b>[Acid Ratio]</b>	$[\text{Acid Ratio} = (\text{Current Assets} - \text{Inventories}) / \text{Current Liabilities}]$	0.8 Red  1 Green	<i>Tested and reported yearly in arrears within 120 days of each accounting reference date based upon figures at the relevant accounting reference date</i>
<b>6</b>  <b>[Net Asset value]</b>	$[\text{Net Asset Value} = \text{Net Assets}]$	-  0	<i>Tested and reported yearly in arrears within 120 days of each accounting reference date based upon figures at the relevant accounting reference date</i>
			<i>upon figures at the relevant accounting reference date</i>

<b>7</b> <b>[Group Exposure Ratio]</b>	<i>[Group Exposure / Gross Assets]</i>	50% Red  25% Green	<i>Tested and reported yearly in arrears within 120 days of each accounting reference date based upon figures at the relevant accounting reference date</i>
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Key: <sup>1</sup> – See Annex 3 of this Schedule which sets out the calculation methodology to be used in the calculation of each Financial Indicator.

## 5.2 Monitored Suppliers

<b>Monitored Supplier</b>	<b>Applicable Financial Indicators</b>  (these are the Financial Indicators from the table in Paragraph 0 which are to apply to the Monitored Suppliers)
Group Member, Sub-contractor, Relevant Parent Company	[1] [2] [3][4][5][6][7]

## 6. TERMINATION RIGHTS

6.1 The Customer shall be entitled to terminate this Contract under Clause 30.3.1 (Termination in relation to Financial Standing) if:

6.1.2 the Supplier fails to notify the Customer of a Financial Distress Event in accordance with Paragraph 2.3.3;

6.1.3 the Supplier fails to comply with any part of Paragraph 4.3;

6.1.4 the Customer finally rejects a Financial Distress Remediation Plan (or any updated Financial Distress Remediation Plan) in accordance with Paragraphs 4.4 to 4.5.1; and/or

6.1.5 the Supplier fails to comply with the terms of the Financial Distress Remediation Plan (or any updated Financial Distress Remediation Plan) in accordance with Paragraph 4.5.3.

## 7. PRIMACY OF CREDIT RATINGS

7.1 Without prejudice to the Supplier's obligations and the Customer's rights and remedies under Paragraph 2, if, following the occurrence of a Financial Distress Event pursuant to any of Paragraphs 3.1.2 to 3.1.10 the Rating Agencies review and report subsequently that the credit ratings for the FDE Group entities do not drop below the relevant Credit Rating Thresholds specified for those entities in Annex 2 of this Schedule, then:

7.1.1 the Supplier shall be relieved automatically of its obligations under Paragraphs 4.3 to 4.5; and

7.1.2 the Customer shall not be entitled to require the Supplier to provide financial information in accordance with Paragraph 4.3.2(ii)4(ii).

## 8. BOARD CONFIRMATION

8.1 Subject to Paragraph 8.4 of this Schedule, the Supplier shall within one hundred and twenty (120) days after each Accounting Reference Date or within 15 months of the previous Board Confirmation



(whichever is the earlier) provide a Board Confirmation to the Customer in the form set out at Annex 4 of this Schedule, confirming that to the best of the Board's knowledge and belief, it is not aware of and has no knowledge:

- 8.1.1 that a Financial Distress Event has occurred since the later of the Contract Commencement Date or the previous Board Confirmation or is subsisting; or
  - 8.1.2 of any matters which have occurred or are subsisting that could reasonably be expected to cause a Financial Distress Event.
- 8.2 The Supplier shall ensure that in its preparation of the Board Confirmation it exercises due care and diligence and has made reasonable enquiry of all relevant Supplier Personnel and other persons as is reasonably necessary to understand and confirm the position.
- 8.3 In respect of the first Board Confirmation to be provided under this Contract, the Supplier shall provide the Board Confirmation within 15 months of the Contract Commencement Date if earlier than the timescale for submission set out in Paragraph 8.1 of this Schedule.
- 8.4 Where the Supplier is unable to provide a Board Confirmation in accordance with Paragraphs 8.1 to 8.3 of this Schedule due to the occurrence of a Financial Distress Event or knowledge of subsisting matters which could reasonably be expected to cause a Financial Distress Event, it will be sufficient for the Supplier to submit in place of the Board Confirmation, a statement from the Board of Directors to the Customer (and where the Supplier is a Strategic Supplier, the Supplier shall send a copy of the statement to the Cabinet Office Markets and Suppliers Team) setting out full details of any Financial Distress Events that have occurred and/or the matters which could reasonably be expected to cause a Financial Distress Event.

## **ANNEX 1: RATING AGENCIES AND THEIR STANDARD RATING SYSTEM**

NOT USED

## **ANNEX 2: CREDIT RATINGS AND CREDIT RATING THRESHOLDS**

NOT USED

### ANNEX 3: CALCULATION METHODOLOGY FOR FINANCIAL INDICATORS

The Supplier shall ensure that it uses the following general and specific methodologies for calculating the Financial Indicators against the Financial Target Thresholds:

#### General methodology

Financial Indicator	Specific Methodology
<b>1</b> <b>[Operating Margin]</b>	<p>The elements used to calculate the Operating Margin should be shown on the face of the Income Statement in a standard set of financial statements.</p> <p>Figures for Operating Profit and Revenue should exclude the entity's share of the results of any joint ventures or Associates.</p> <p>Where an entity has an operating loss (i.e. where the operating profit is negative), Operating Profit should be taken to be zero.</p>

<p><b>2</b></p> <p><b>[Free Cash Flow to Net Debt Ratio]</b></p>	<p><i>["Free Cash Flow" = Net Cash Flow from Operating Activities – Capital Expenditure</i></p> <p><i>"Capital Expenditure" = Purchase of property, plant &amp; equipment + purchase of intangible assets</i></p> <p><i>"Net Debt" = Bank overdrafts + Loans and borrowings + Finance Leases + Deferred consideration payable – Cash and cash equivalents</i></p> <p>The majority of the elements used to calculate the Free Cash Flow to Net Debt Ratio should be shown on the face of the Statement of Cash Flows and the Balance Sheet in a standard set of financial statements.</p> <p><u>Net Cash Flow from Operating Activities</u>: This should be stated after deduction of interest and tax paid.</p> <p><u>Capital expenditure</u>: The elements of capital expenditure may be described slightly differently but will be found under 'Cash flows from investing activities' in the Statement of Cash Flows; they should be limited to the purchase of fixed assets (including intangible assets) for the business and exclude acquisitions. The figure should be shown gross without any deduction for any proceeds of sale of fixed assets.</p> <p><u>Net Debt</u>: The elements of Net Debt may also be described slightly differently and should be found either on the face of the Balance Sheet or in the</p>
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1. *Terminology*: The terms referred to in this Annex are those used by UK companies in their financial statements. Where the entity is not a UK company, the corresponding items should be used even if the terminology is slightly different (for example a charity would refer to a surplus or deficit rather than a profit or loss).
1. *Groups*: Where the entity is the holding company of a group and prepares consolidated financial statements, the consolidated figures should be used.
2. *Foreign currency conversion*: Figures denominated in foreign currencies should be converted at the exchange rate in force at the relevant date for which the Financial Indicator is being calculated.
3. *Treatment of non-underlying items*: Financial Indicators should be based on the figures in the financial statements before adjusting for non-underlying items.

### **Specific Methodology**

<p>OR</p> <p><b>[Net Debt to EBITDA Ratio]</b></p>	<p>relevant note to the financial statements. All interest bearing liabilities (other than retirement benefit obligations) should be treated as borrowings as should, where disclosed, any liabilities (less any assets) in respect of any hedges designated as linked to borrowings (but not non-designated hedges). Borrowings should also include balances owed to other group members.</p> <p>Deferred consideration payable should be included in Net Debt despite typically being non-interest bearing.</p> <p>Cash and cash equivalents should include short-term financial investments shown in current assets.</p> <p>Where Net debt is negative (i.e. an entity has net cash), the relevant Financial Target Threshold should be treated as having been met.]</p> <p>OR</p> <p><i>["Net Debt" = Bank overdrafts + Loans and borrowings + Finance leases + Deferred consideration payable – Cash and cash equivalents</i></p> <p><i>"EBITDA" = Operating profit + Depreciation charge + Amortisation charge</i></p> <p>The majority of the elements used to calculate the Net Debt to EBITDA Ratio should be shown on the face of the Balance sheet, Income statement and Statement of Cash Flows in a standard set of financial statements but will otherwise be found in the notes to the financial statements.</p> <p><u>Net Debt</u>: The elements of Net Debt may be described slightly differently and should be found either on the face of the Balance Sheet or in the relevant note to the financial statements. All interest bearing liabilities (other than retirement benefit obligations) should be included as borrowings as should, where disclosed, any liabilities (less any assets) in respect of any hedges designated as linked to borrowings (but not non-designated hedges). Borrowings should also include balances owed to other group members.</p> <p>Deferred consideration payable should be included in Net Debt despite typically being non-interest bearing.</p> <p>Cash and cash equivalents should include short-term financial investments shown in current assets.</p> <p>Where Net debt is negative (i.e. an entity has net cash), the relevant Financial Target Threshold should be treated as having been met.</p> <p><u>EBITDA</u>: Operating profit should be shown on the face of the Income Statement and, for the purposes of calculating this Financial Indicator, should include the entity's share of the results of any joint ventures or Associates. <i>The depreciation and amortisation charges for the period may be found on the face of the Statement of Cash Flows or in a Note to the Accounts. Where EBITDA is negative, the relevant Financial Target Threshold should be treated as not having been met (unless Net Debt is also negative, in which case the relevant Financial Target Threshold should be treated as having been met).]</i></p>
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<p><b>3</b></p> <p><b>[Net Debt + Net Pension Deficit to EBITDA ratio]</b></p>	<p><i>["<b>Net Debt</b>" = Bank overdrafts + Loans and borrowings + Finance leases + Deferred consideration payable – Cash and cash equivalents</i></p> <p><i>"<b>Net Pension Deficit</b>" = Retirement Benefit Obligations – Retirement Benefit Assets</i></p>
	<p><i>"<b>EBITDA</b>" = Operating profit + Depreciation charge + Amortisation charge</i></p> <p>The majority of the elements used to calculate the Net Debt + Net Pension Deficit to EBITDA Ratio should be shown on the face of the Balance sheet, Income statement and Statement of Cash Flows in a standard set of financial statements but will otherwise be found in the notes to the financial statements.</p> <p><u><i>Net Debt</i></u>: The elements of Net Debt may be described slightly differently and should be found either on the face of the Balance Sheet or in the relevant note to the financial statements. All interest bearing liabilities (other than retirement benefit obligations) should be included as borrowings as should, where disclosed, any liabilities (less any assets) in respect of any hedges designated as linked to borrowings (but <i>not</i> non-designated hedges). Borrowings should also include balances owed to other group members.</p> <p>Deferred consideration payable should be included in Net Debt despite typically being non-interest bearing.</p> <p>Cash and cash equivalents should include short-term financial investments shown in current assets.</p> <p><u><i>Net Pension Deficit</i></u>: Retirement Benefit Obligations and Retirement Benefit Assets may be shown on the face of the Balance Sheet or in the notes to the financial statements. They may also be described as pension benefits / obligations, post-employment obligations or other similar terms.</p> <p>Where 'Net Debt + Net Pension Deficit' is negative, the relevant Financial Target Threshold should be treated as having been met.</p> <p><u><i>EBITDA</i></u>: Operating profit should be shown on the face of the Income Statement and, for the purposes of calculating this Financial Indicator, should include the entity's share of the results of any joint ventures or Associates.</p> <p>The depreciation and amortisation charges for the period may be found on the face of the Statement of Cash Flows or in a Note to the Accounts.</p> <p>Where EBITDA is negative, the relevant Financial Target Threshold should be treated as not having been met (unless 'Net Debt + Net Pension Deficit' is also negative, in which case the relevant Financial Target Threshold should be regarded as having been met).]</p>

<p><b>4</b></p> <p><b>[Net Interest Paid Cover]</b></p>	<p><i>["Earnings Before Interest and Tax" = Operating profit</i></p> <p><i>"Net Interest Paid" = Interest paid – Interest received</i></p> <p>Operating profit should be shown on the face of the Income Statement in a standard set of financial statements and, for the purposes of calculating this Financial Indicator, should include the entity's share of the results of any joint ventures or Associates.</p> <p>Interest received and interest paid should be shown on the face of the Cash Flow statement.</p> <p>Where Net interest paid is negative (i.e. the entity has net interest received), the relevant Financial Target Threshold should be treated as having been met.]</p>
<p><b>5</b></p> <p><b>[Acid Ratio]</b></p>	<p>[All elements that are used to calculate the Acid Ratio are available on the face of the Balance Sheet in a standard set of financial statements.]</p>
<p><b>6</b></p> <p><b>[Net Asset value]</b></p>	<p>[Net Assets are shown (but sometimes not labelled) on the face of the Balance Sheet of a standard set of financial statements. Net Assets are sometimes called net worth or 'Shareholders' Funds'. They represent the net assets available to the shareholders. Where an entity has a majority interest in another entity in which there are also minority or non-controlling interests (i.e. where it has a subsidiary partially owned by outside investors), Net Assets should be taken inclusive of minority or non-controlling interests (as if the entity owned 100% of such entity).]</p>



<p>7</p> <p><b>[Group Exposure Ratio]</b></p>	<p><i>[“<b>Group Exposure</b>” = Balances owed by Group Undertakings + Contingent liabilities assumed in support of Group Undertakings]</i></p> <p><i>“<b>Gross Assets</b>” = Fixed Assets + Current Assets</i></p> <p><u>Group Exposure</u>: Balances owed by (i.e. receivable from) Group Undertakings are shown within Fixed assets or Current assets either on the face of the Balance Sheet or in the relevant notes to the financial statements. In many cases there may be no such balances, in particular where an entity is not a member of a group or is itself the ultimate holding company of the group.</p> <p>Contingent liabilities assumed in support of Group Undertakings are shown in the Contingent Liabilities note in a standard set of financial statements. They include guarantees and security given in support of the borrowings of other group companies, often as part of group borrowing arrangements. Where the contingent liabilities are capped, the capped figure should be taken as their value. Where no cap or maximum is specified, the relevant Financial Target Threshold should automatically be regarded as not having been met.</p> <p>In many cases an entity may not have assumed any contingent liabilities in support of Group Undertakings, in particular where an entity is not a member of a group or is itself the ultimate holding company of the group.</p> <p><u>Gross Assets</u>: Both Fixed assets and Current assets are shown on the face of the Balance Sheet]</p>
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#### ANNEX 4: BOARD CONFIRMATION

**Supplier Name:**

**Contract Reference Number:**

The Board of Directors acknowledge the requirements set out at paragraph 8 of Schedule 15 (Financial Distress) and confirm that the Supplier has exercised due care and diligence and made reasonable enquiry of all relevant Supplier Personnel and other persons as is reasonably necessary to enable the Board to prepare this statement.

The Board of Directors confirms, to the best of its knowledge and belief, that as at the date of this Board Confirmation it is not aware of and has no knowledge:

- (a) that a Financial Distress Event has occurred since the later of the previous Board Confirmation and the Contract Commencement Date or is subsisting; or
- (b) of any matters which have occurred or are subsisting that could reasonably be expected to cause a Financial Distress Event

On behalf of the Board of Directors:

Chair .....

Signed .....

Date .....

Director .....

Signed .....

Date .....

## **SUPPLIER'S QUALITY TENDER RESPONSE**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

**QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	Construction Site Supervisor	<b>Category and Subcategory</b>	Category 1 - Construction & The Built Environment Lot - Construction & The Built Environment (General) Core Subject – Onsite Construction
<b>Lead Provider Name:</b>	Gen2	<b>Delivery Partner(s) and what they will be delivering:</b>	Health Life and Safety Limited (HLS)  Delivering all the Skills Bootcamp
<b>Region of Delivery:</b>	National to include:	<b>Qualification(s) Gained or</b>	Some elements mapped to Construction Site Supervisor

	<ul style="list-style-type: none"> <li>• East Midlands</li> <li>• East of England</li> <li>• London</li> <li>• North-east</li> <li>• North-west • South-east</li> <li>• South-west</li> <li>• West Midlands</li> <li>• Yorkshire and the Humber</li> </ul>	<b>Mapped to:</b>	Level 4 Code: OCC0048
<b>Will training be accredited? If yes, by which Awarding organisation?</b>	CITB	<b>If the training is not mapped or accredited, please identify the method for recognising and recording progress and achievement.</b>	N/A
<b>Skill Level:</b>	Level 2	<b>Mode(s) of Delivery:</b>	Classroom
<b>Number of Guided Learning Hours* (GLH):</b>	72	<b>Number of Independent Learning Hours:</b>	0
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>	██████	<b>Size of Cohorts:</b>	Minimum 6, Maximum 8

<b>Identify and/or quantify the measurement of successful completion of the training element</b>	<p>Certification – Internal/external certificate</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the</p>	<b>What occupation(s) do you expect your learners to move into following the</b>	<p>Assistant Site Manager</p> <p>Site Supervisor</p>
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<b>of the Skills Bootcamp.</b>	<p>learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme.</p>	<b>Skills Bootcamp?</b>	
<b>With reference to the IFATE occupational maps, if there are relevant occupational standards, does your Skills Bootcamp cover some of the content set out in the occupational standard(s)?</b>	<p>Construction Site Supervisor Level 4</p> <p>Code: OCC0048</p>	<b>Where Engineering Skills Bootcamp delivery requires you to be registered with an accredited body e.g., RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.</b>	N/A

<b>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</b> <ul style="list-style-type: none"> <li>□ that meet the Green Skills definition,</li> <li>□ in Prioritised</li> </ul>	N/A	<b><i>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the</i></b>	N/A
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<b>□ Green Sectors, that are 'Direct Green'. **</b>		<b><i>placement will be.</i></b>	
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the immediate, real-time guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Pre-recorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

**Response requirement:**

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.



### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[i]</sup>, skills<sup>[ii]</sup> and behaviours<sup>[iii]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[i]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes.

[ii] **Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

[iii] **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**950 characters**

**Knowledge**

- Risk assessment
- Importance of behaviours in safety-critical environments
- Sustainability issues in projects across economic, social, and environmental aspects
- Construction methods and materials.
- Management principles
- Importance of project planning and resourcing
- Importance of cost control

**Skills**

- Identify risks
- Assess, identify, and record the environmental impact of projects
- Implementation of solutions
- Apply effective management principles
- Measure and record progress
- Assess and report on quality standards
- Explain financial and legal constraints
- Contribute effectively to meetings

**Behaviours**

- Safety conscious at all times
- Work within own level of competence and know when to seek advice from others
- Work within rules and regulations of Professional Competence and Conduct
- Identify own development needs
- Demonstrate equality and diversity
- Work individually and with others
- Identify areas for improvement

### **Q1.3 - Assessment for Learning**

Describe your initial<sup>[i]</sup> and final<sup>[ii]</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

<sup>[i]</sup> Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

<sup>[ii]</sup> Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

#### **Response requirement:**

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**668 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours.
- **Final Assessment:** Practical observation, e.g how to give CPR using a manikin, and knowledge assessment
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout - a comprehensive record of achievement with **clear evidence of knowledge/skills/behaviour at the start and end of the Skills Bootcamp**
- **Demonstrating learner success upon completion:** If the learner achieves competence, they receive a **certificate**. If competency cannot be demonstrated, further training/assessment is undertaken

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*

*Week 3 – CSCS card theory and application*

*Week 3 – Explore the use of Health and Safety in a construction workplace.*

*Week 4 – Drylining Systems*

*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*

*Week 6 – Develop job ready practical skills for within the construction industry. Week*

*7-8 Progress into employment in Drylining.*

**Response requirement:**

- Provide a high-level overview, listing key topic areas.

- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**1247 characters**

**Day 1:** IAG, employer presentation on transition from Operative to Supervisor

**Days 2/3: CITB Site Safety Supervisor Training Scheme**

- Introduction to H&S on site
- Welfare/environmental issues
- Legal responsibilities/H&S law
- Occupational health
- Fire prevention/control
- CDM (Construction Design Management) regulations
- Working at Height, PPE, manual handling, accidents, first aid

**Day 4: CITB TWS**

- Role of Temporary Works Supervisor
- Risk management of temporary works
- Importance of '4Cs'- communications, co-operation, co-ordination and competency
- BS 5975:2008+A1:2011

**Day 5: Developing as a team leader** – role/responsibilities of a team leader

**Day 6: Solving problems/making decisions** – as required by practising/potential site supervisor

**Day 7: Performance through people** – leadership/interpersonal skills

**Day 8: Planning/monitoring work in construction** - as required by practicing/potential site supervisor

**Day 9: CITB SEATS** - environmental knowledge required of sub-contract chain, environmental aspects of new HS&E touch screen test

**Day 10: Risk Assessment/Reporting Accidents.** - Management of Health and Safety Regulations 1999 and workplace risk assessment

**Day 11: Spills** - safely store/use/transport dangerous substances, minimising risk

### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [curriculum](#). Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) [iii](#) and objective(s) [iv](#) for that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.
- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.

**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**

Session	Learning aims/objectives	What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?	How will you know if the learner is making progress? How are you going to check for this?	
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<b>Session – Health &amp; Safety on Site</b>	Learners can: <ul style="list-style-type: none"> <li>• Act according to H&amp;S legislation</li> <li>• Identify H&amp;S management systems</li> <li>• Identify/assess risk</li> <li>• Communicate effectively on site</li> </ul>	<b>Face-to-face activities</b> <b>Tutor led discussion</b> - health and safety legislation <b>Group exercise</b> - identify types of accidents/how to prevent them <b>Q&amp;A</b> - how to communicate effectively	Trainer/peer observation of role play/exercise Q&A – Trainer checks knowledge Group discussions – Trainer spots gaps	
	<ul style="list-style-type: none"> <li>• Explain why accidents happen</li> <li>• Prevent accidents</li> </ul>	<b>Tutor led presentation</b> - work equipment and hand-held tools <b>Role play</b> on communicating effectively <b>Group discussions</b> - lead, asbestos, dust, fumes, noise and vibration – risks and legal requirements	Initial, summative, formative assessments Progress review: 1-2-1 and update ILP	



<b>Session – First Aid</b>	Learners can: <ul style="list-style-type: none"> <li>Describe the roles and responsibilities of a first aider</li> <li>Respond in emergencies</li> <li>Respond to unresponsive/choking/bleeding casualties and those suffering from shock and minor injuries</li> </ul>	<b>All face-to-face activities</b> <b>Group discussions:</b> <ul style="list-style-type: none"> <li>Roles and responsibilities of a first aider</li> <li>How to minimise risk of infection</li> <li>Need for consent</li> </ul> <b>Group discussion</b> on when to administer CPR <b>Practical exercises</b> <ul style="list-style-type: none"> <li>How to give CPR using a manikin</li> <li>How to put someone in recovery position</li> <li>Monitor breathing • Use a defibrillator (AED)</li> </ul> <b>Q&amp;As:</b> <ul style="list-style-type: none"> <li>How to conduct a scene survey</li> <li>How to summon assistance</li> </ul>	Q&A – Trainer checks knowledge Group discussions – Trainer spots gaps Trainer/peer observation of practical's Initial, summative, formative, knowledge tests Progress reviews: 1-2-1/update ILP
<i>Changes may still be made to the proposed curriculum before delivery commences.</i>			
<p><i>Aims are the overarching intentions of the course or programme and what learners should leave with once completed. Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met. Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.</i></p> <p>Weighting (for Q1 as a total) – 20%  (Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)</p>			

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	Telehandler Lifting Operations	<b>Category and Subcategory</b>	Category 1 - Construction & The Built Environment Lot - Construction & The Built Environment (General) Core Subject – Onsite Construction
<b>Lead Provider Name:</b>	Gen2 Ltd	<b>Delivery Partner(s) and what they will be delivering:</b>	Health Life & Safety Limited (HLS)  Full Skills Bootcamp
<b>Region of Delivery:</b>	Yorkshire & The Humber West Midlands	<b>Qualification(s) Gained or Mapped to:</b>	Lifting Technician – Crawler Crane Operator Code: ST0267

<b>Will training be accredited? If yes, by which Awarding organisation?</b>	ITSSR and NPORS, CITB	<b>If the training is not mapped or accredited, please identify the method for recognising and recording progress and achievement.</b>	N/A	
<b>Skill Level:</b>	Skill level of this Skills Bootcamp (levels 2-5 or equivalent – as applicable for each category)	<b>Mode(s) of Delivery:</b>	Classroom	
<b>Number of Guided Learning Hours* (GLH):</b>	66	<b>Number of Independent Learning Hours:</b>	0	
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>	██████████	<b>Size of Cohorts:</b>	Minimum 6, Maximum 8	
<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (Internal or external certificate)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme.</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	<p>Lifting Technician</p> <p>Mobile Crane Technician</p> <p>Tower Crane Technician</p>	

<b>With reference to the IFATE occupational maps, if there are relevant</b>	Lifting Technician – Crawler Crane Operator Code: ST0267	<b>Where Engineering Skills Bootcamp delivery</b>	N/A	
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<b>occupational standards, does your Skills Bootcamp cover some of the content set out in the occupational standard(s)?</b>		<b>requires you to be registered with an accredited body e.g., RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.</b>		-time
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<b>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</b> <ul style="list-style-type: none"> <li>□ that meet the Green Skills definition,</li> <li>□ in Prioritised Green Sectors,</li> <li>□ that are 'Direct Green'. **</li> </ul>	N/A	<b>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</b>	N/A
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Pre-recorded content does not count as GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

#### Response requirement:

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.

### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[i]</sup>, skills<sup>[ii]</sup> and behaviours<sup>[iii]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[i]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes.

<sup>[ii]</sup> **Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

<sup>[iii]</sup> **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**980 characters**



**Knowledge**

- Principles of health, safety, and welfare
- Responsibilities under legislation and guidance
- Functions/basic construction of the crane
- Pre-use checks
- Lifting, moving, and placing loads
- Lift plan specifications
- Safe working practices
- Moving/handling/storing resources/equipment
- Working at height
- Centres of gravity/estimating weight

**Skills**

- Comply with relevant legislation/guidance
- Conform to manufacturer's/employer's requirements
- Identify sequence of lifting operations, communicate, and organise work with others
- Undertake pre-use checks
- Programme/set-up and conform with systems
- Accurately place/lift loads
- Maintain stability and safe working situations
- Safely use, store, and maintain equipment.
- Work at height
- Place cranes out of service, isolate and secure
- Attach, secure, direct and guide loads

**Behaviours**

- Safety conscious at all times

- Treat all equally
- Work independently
- Punctual
- Adaptable
- Assertive and confident
- Respect for others

### **Q1.3 - Assessment for Learning**

Describe your initial<sup>[i]</sup> and final<sup>[ii]</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

<sup>[i]</sup> Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

<sup>[ii]</sup> Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

#### **Response requirement:**

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**726 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours.
- **Final Assessment:** Practical observation, e.g, instruct and guide driver with appropriate hand signals and knowledge assessment (learner are also assessed after each individual element)
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout - a comprehensive record of achievement with clear evidence of knowledge/skills/behaviour at the start and end
- **Demonstrating learner success upon completion:** If the learner achieves competence, they receive a certificate. If competency cannot be demonstrated, further training/assessment is undertaken

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*

*Week 3 – CSCS card theory and application*

*Week 3 – Explore the use of Health and Safety in a construction workplace.*

*Week 4 – Drylining Systems*

*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*

*Week 6 – Develop job ready practical skills for within the construction industry. Week 7-8 Progress into employment in Drylining.*

**Response requirement:**

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**1199 characters**

**Day 1**

- Working with plant/in construction
- Employer presentation – jobs/earning potential for Telescopic Handlers
- IAG
- Introduction to H&S on Site

**Day 2**

- Manual handling/Safety Harness
- Working at Height/Confined Spaces

**Day 3:** CITB HS&A 1 day course - Classroom course, plus exam

**Day 4:** EFAW - blended approach to both theory and practical assessment

**Day 5**

- Plant Traffic Banksman – blended approach to both theory and practical assessment
- Touchscreen Test CSCS

**Telehandler Novice – 5 days for Telescopic Handler Novice:**

**Day 6:** Routine servicing procedures/maintenance

- Morning – Theory
- Afternoon – Practical

**Day 7:** Effect of load, size, weight, gradients, and ground conditions upon machine stability

- Morning – Theory
- Afternoon – Practical

**Day 8:** How the machine works/maintenance requirements

- Morning – Theory

- Afternoon – Practical

**Day 9:** How to load, store and transport representative materials •

Morning – Theory

- Afternoon – Practical

**Day 10:** Operate the machine for all normal tasks and basic construction of pallets •

Morning – Theory/Practical

- Afternoon – NPORS Test (2 hours)

**Day 11:** Develop job ready practical skills for construction industry. Progress into employment/employer interviews

### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed curriculum. Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) and objective(s) for that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.
- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.

**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells**

Session	Learning aims/objectives	What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?	How will you know if the learner is making progress? How are you going to check for this?
<b>Session – Plant Traffic Banksman</b>	Learners can outline the appropriate signals to use when directing vehicles and when to use them.	<b>Face-to-face activities</b> <ul style="list-style-type: none"> <li>• <b>Tutor led presentation</b> on role of a banksman Health &amp; Safety legislation</li> <li>• <b>Group exercise</b> – how to prepare surroundings for a reversing vehicle</li> <li>• <b>Q&amp;A</b> on which signal to use in different scenarios</li> <li>• <b>Practical exercise</b> – instruct and guide driver with appropriate hand signals</li> </ul>	Trainer/peer observation and feedback on practical exercise  Q&A – Trainer checks knowledge and identifies gaps  Initial/formative/summative assessments  Progress review: 1-2-1 and update ILP
<b>Session – Introduction to Health &amp; Safety</b>	Learners can: <ul style="list-style-type: none"> <li>• Describe health, safety, welfare and environmental issues</li> <li>• Identify and mitigate risks</li> </ul>	<b>Face-to-face activities</b> <ul style="list-style-type: none"> <li>• <b>Tutor led presentation</b> on Health &amp; Safety legislation</li> <li>• <b>Group exercise</b> – risk assessments and method statements</li> <li>• <b>Q&amp;A</b> on preventing accidents</li> <li>• <b>Knowledge Test</b> – Multiple choice question paper</li> <li>• <b>Group Discussion</b> – reporting unsafe acts to prevent accidents</li> </ul>	Knowledge test Q&A/discussions – Trainer checks knowledge/identifies gaps Trainer/peer observation/feedback on exercise Initial/formative/summative assessments Progress review: 1-2-1 and update ILP



ii Changes may still be made to the proposed curriculum before delivery commences.

iii Aims are the overarching intentions of the course or programme and what learners should leave with once completed. Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met.

iii Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	Advanced Manufacturing	<b>Category and Subcategory</b>	Category 1 – Construction & The Built Environment Lot - Construction & The Built Environment (General) Core Subject – Onsite Construction
<b>Lead Provider Name:</b>	Gen2	<b>Delivery Partner(s) and what they will be delivering:</b>	N/A
<b>Region of Delivery:</b>	Northwest (Cumbria)	<b>Qualification(s) Gained or Mapped to:</b>	Some elements mapped to Engineering Operative Working Within a Mechanical Manufacturing Role Level 2 Code: OCC0537d  Maintenance and Operations Engineering Technicians ST0154
<b>Will training be accredited?</b>	No	<b>If the training is not mapped or accredited,</b>	N/A

<b>If yes, by which Awarding organisation?</b>		<b>please identify the method for recognising and recording progress and achievement.</b>		
<b>Skill Level:</b>	Level 3	<b>Mode(s) of Delivery:</b>	Face-to-face	
<b>Number of Guided Learning Hours* (GLH):</b>	63 GLH	<b>Number of Independent Learning Hours:</b>	N/A	
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>	██████████	<b>Size of Cohorts:</b>	8 minimum, 12 maximum	
<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (internal certificate)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme.</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	<p>Multi-skilled technician</p> <p>Multi-skilled engineer</p> <p>Advanced manufacturing operative</p>	

<b>With reference to the IFATE occupational maps, if there are relevant occupational standards, does your Skills</b>	<p>Engineering Operative Working Within a Mechanical Manufacturing Role Level 2 Code: OCC0537d</p> <p>Maintenance and Operations Engineering Technicians ST0154</p>	<b>Where Engineering Skills Bootcamp delivery requires you to be registered with an</b>	N/A	
<b>Bootcamp cover some of the content set out in the occupational standard(s)?</b>		<b>accredited body e.g., RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.</b>		-

<b>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</b> <ul style="list-style-type: none"> <li>☐ that meet the Green Skills definition,</li> <li>☐ in Prioritised Green Sectors,</li> <li>☐ that are 'Direct Green'. **</li> </ul>	N/A	<b><i>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</i></b>	N/A
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under immediate, real-time guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person recorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

**Response requirement:**

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.

### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[i]</sup>, skills<sup>[ii]</sup> and behaviours<sup>[iii]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[i]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes.

<sup>[ii]</sup> **Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

<sup>[iii]</sup> **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**811 characters**

**Knowledge**

- Engineering drawings and specifications
- Statutory, quality, environmental compliance procedures/systems
- Organisational and health and safety regulations
- individual roles and responsibilities
- Engineering operational practices, processes and procedures
- Problem analysis

**Skills**

- Work safely at all times, complying with legislation
- Manage risks, hazards, hazardous situations
- Apply verbal/written and IT communication skills
- Complete appropriate documentation
- Obtain and follow the correct documentation
- Select and use appropriate tools and equipment
- Work efficiently and effectively

**Behaviours**

- Safety conscious at all times
- Treat all equally
- Personal responsibility and resilience
- Work effectively in teams
- Focus on quality and problem solving
- Continuous personal development



### **Q1.3 - Assessment for Learning**

Describe your initial<sup>[i]</sup> and final<sup>[ii]</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

<sup>[i]</sup> Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

<sup>[ii]</sup> Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

#### **Response requirement:**

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**705 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours.
- **Final Assessment:** Practical observation, e.g applying safe isolation procedures, and knowledge assessment (learner are also assessed after each individual element)
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout - a comprehensive record of achievement with **clear evidence of knowledge/skills/behaviour at the start and end**
- **Demonstrating learner success upon completion:** If the learner achieves competence, they receive a **certificate**. If competency cannot be demonstrated, further training/assessment is undertaken

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*

*Week 3 – CSCS card theory and application*

*Week 3 – Explore the use of Health and Safety in a construction workplace.*

*Week 4 – Drylining Systems*

*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*

*Week 6 – Develop job ready practical skills for within the construction industry. Week*

*7-8 Progress into employment in Drylining.*

**Response requirement:**

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**972 characters**

**Week 1 – Maintenance Techniques (3 days)**

- Principles and concepts of maintenance techniques in mechanical systems
- Importance of regular maintenance

**Week 2 – Assembly of Mechanical Systems in Engineering (3 days)**

- Troubleshoot and resolve maintenance issues
- Safety protocols and procedures

- Risks and mitigation
- Preventative maintenance schedules and procedures

**Week 3 – Electro, Pneumatic & Hydraulic Systems (3 days)**

- Principles and concepts of electro, pneumatic and hydraulic systems
- Importance of regular maintenance

**Week 4 – Safe Isolation (2 days)**

- Importance of safe isolation
- Preventing electrical hazards
- Legal requirements and responsibilities

**Week 5 – Electricity at Work Programme (3 days)**

- Using appropriate PPE and isolation tools
- Interpret electrical diagrams, schematics and technical specifications

**Week 6 – Electrical Assembly & Testing (4 days)**

- Perform electrical assembly tasks
- Problem solving to troubleshoot electrical assembly • Safety protocols

### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [curriculum](#). Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) [iii](#) and objective(s) [iiii](#) for that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.
- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.


**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**


<b>Session</b>	<b>Learning aims/objectives</b>	<b>What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?</b>	<b>How will you know if the learner is making progress? How are you going to check for this?</b>
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<b>Session – Maintenance Techniques</b>	Learners can: <ul style="list-style-type: none"> <li>Describe the principles and concepts of maintenance techniques in mechanical systems</li> <li>Perform routine maintenance tasks on systems</li> </ul>	<b>All face-to-face activities</b> <b>Tutor-led session</b> – principles and concepts of maintenance techniques <b>Practical exercise:</b> <ul style="list-style-type: none"> <li>Performing routine maintenance on systems</li> <li>Disassembly, repairing and re-assembling components</li> </ul> <b>Group exercise:</b> <ul style="list-style-type: none"> <li>Common maintenance challenges</li> <li>Causes of system failures</li> </ul> <b>Group discussion</b> and Q&A – types of mechanical systems	Q&A – Trainer checks knowledge Group discussions highlight knowledge gaps Initial, formative and summative tests Progress review: 1-2-1 and update ILP
<b>Session – Electricity at Work.</b>	Learners can <ul style="list-style-type: none"> <li>Describe understand principles and regulations governing electrical systems</li> </ul>	<b>All face-to-face activities</b> <b>Tutor-led session</b> – principles and regulations governing electrical systems <b>Practical exercise:</b>	Q&A – Trainer checks knowledge Trainer/peers observe/feed back on practicals Group discussions highlight knowledge gaps

	<ul style="list-style-type: none"> <li>• Apply safe procedures whilst using appropriate personal protective equipment (PPE) and tools</li> </ul>	<ul style="list-style-type: none"> <li>• Applying safe isolation procedures</li> <li>• Disconnecting electrical systems</li> <li>• Select and use appropriate PPE</li> <li>• Electrical assembly tasks</li> </ul> <p><b>Group exercise:</b></p> <ul style="list-style-type: none"> <li>• Importance of safe isolation</li> <li>• Legal requirements</li> </ul> <p><b>Group discussion and Q&amp;A –</b> preventing electrical hazards</p>	<p>Initial, formative and summative tests</p> <p>Progress review: 1-2-1 and update ILP</p>
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 Changes may still be made to the proposed curriculum before delivery commences.

 Aims are the overarching intentions of the course or programme and what learners should leave with once completed. Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met.

 Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**



**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	Level 2 Diploma in Maintenance Operations (Constructions)	<b>Category and Subcategory</b>	Category 1 - Construction & The Built Environment Lot - Construction & The Built Environment (General) Core Subject – Onsite Construction
<b>Lead Provider Name:</b>	Gen2 Ltd	<b>Delivery Partner(s) and what they will be delivering:</b>	AbleSkills – all of the Skills Bootcamp
<b>Region of Delivery:</b>	London (Dartford)	<b>Qualification(s) Gained or Mapped to:</b>	N/A
<b>Will training be accredited?</b>	Yes, by City and Guilds qualification number 6711-23	<b>If the training is not mapped</b>	N/A

<b>If yes, by which Awarding organisation?</b>		<b>or accredited, please identify the method for recognising and recording progress and achievement.</b>	
<b>Skill Level:</b>	Level 2	<b>Mode(s) of Delivery:</b>	Face-to-face practical delivery and classroom for the theory elements
<b>Number of Guided Learning Hours* (GLH):</b>	300	<b>Number of Independent Learning Hours:</b>	0
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>	██████████	<b>Size of Cohorts:</b>	8 Maximum 6 Minimum
<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (Internal certificate)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	<p>Facilities Team Leader</p> <p>Building control officer (General building operative)</p> <p>Building control officer for National Highways</p>

With reference to the IFATE occupational maps, if there are relevant occupational standards, does your Skills Bootcamp cover some of the	<p>Yes</p> <p>Craft Bricklayer Level 3 – OCC1334</p> <p>Carpentry and Joinery – Site Carpenter Level 2 – OCC0264b</p> <p>Craft painter and decorator – Level 3 - OCC1358</p>	Where Engineering Skills Bootcamp delivery requires you to be registered with an accredited body e.g.,	N/A
content set out in the occupational standard(s)?		RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.	

<b>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</b> <ul style="list-style-type: none"> <li>□ that meet the Green Skills definition,</li> <li>□ in Prioritised Green Sectors,</li> <li>□ that are 'Direct Green'. **</li> </ul>	N/A	<b><i>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</i></b>	N/A
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the immediate, real-time guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Prerecorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

**Response requirement:**

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.

### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[i]</sup>, skills<sup>[ii]</sup> and behaviours<sup>[iii]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[i]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes.

<sup>[ii]</sup> **Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

<sup>[iii]</sup> **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**979 characters**

## Knowledge

- Health and safety regulations/impact
- Safety control equipment/techniques
- Types of incidents/accidents and management/mitigation
- Safe systems of work controls, implementation and monitoring
- Environmental systems, standards and controls
- Standards/regulations associated with bricklaying
- Drawings/specifications
- Use/maintenance of craft/power tools
- Craft brickwork techniques
- Building construction: fire safety, water ingress, structural defects **Skills**
- Conduct small scale: ○ Building repairs (brickwork) ○ Painting and decorating repairs ○ Plastering repairs ○

### Plumbing repairs

- Conduct carpentry repairs
- Comply with environmental and sustainable principles
- Implement, monitor and control safe systems
- Calculate resource/cost quantities from site measurements **Behaviours**
- Health and safety conscious
- Inclusion, equity and diversity
- Produce and encourage high quality work/standards
- Collaborate/promote teamwork
- Commit to continued professional development

### **Q1.3 - Assessment for Learning**

Describe your initial<sup>[i]</sup> and final<sup>[ii]</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

<sup>[i]</sup> Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

<sup>[ii]</sup> Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

#### **Response requirement:**

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**731 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours and outline any relevant units already completed/credit accumulated
- **Final Assessment:**
  - Unit 201/601 and 202/602: City & Guilds (C&G) e-volve test or on-demand externally marked
  - Units 235-239: Assessment + practical. Both delivered/marked by tutor/assessor and verified by C&G
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout - a comprehensive record of achievement with **clear evidence of knowledge/skills/behaviour at the start/end**

- **Demonstrating learner success upon completion:** City and Guilds certificate - qualification number 6711-23



**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*

*Week 3 – CSCS card theory and application*

*Week 3 – Explore the use of Health and Safety in a construction workplace.*

*Week 4 – Drylining Systems*

*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*

*Week 6 – Develop job ready practical skills for within the construction industry. Week 7-8 Progress into employment in Drylining.*

**Response requirement:**

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**796 characters**

**Weeks 1 & 2 - 235 - carry out small scale building repairs (Brickwork).**

This includes:

- Remove and replace defective roof coverings
- Remove and replace defective masonry
- Remove and replace defective paving
- Remove and replace defective drainage

**Weeks 3 & 4 - 236 - carry out small scale painting and decorating repairs** This

includes:

- Repair defective surfaces and hang wall coverings
- Paint wall coverings by brush and roller

**Weeks 5 & 6 - 237 - carry out small scale plastering repairs**

- Carrying out plaster repairs

**Weeks 7 & 8 - 238 - carry out small scale plumbing repairs** This

includes:

- Repair and renew plumbing fittings
  - Repair and renew rainwater components
  - Remove and repair defective timber work and ironmongery
- Weeks 9 & 10 - 39 - carry out carpentry repairs** This includes:
- Repair fencing

**Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [curriculum](#). Please see the examples below for guidance.




- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) [and](#) objective(s) [for](#) that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.

- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.

**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**

<b>Week/Session</b>	<b>Learning aims/objectives</b>	<b>What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?</b>	<b>How will you know if the learner is making progress? How are you going to check for this?</b>
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<b>Weeks 1&amp;2 - 235 - carry out small scale building repairs (Brickwork)</b>	Learners can conduct small scale (brickwork) repairs safely and in accordance with appropriate standards/regulations and legislation	<b>Face-to-face activities</b> <ul style="list-style-type: none"> <li>• <b>Tutor-led discussion</b> on common building materials</li> <li>• <b>Q&amp;A</b> on typical defects with materials used</li> <li>• <b>Group discussion</b> on hazards and how they are caused by using materials and equipment</li> <li>• <b>Q&amp;A</b> on how hazards can be minimised</li> <li>• <b>Group exercise</b> - safety checks on equipment before use</li> <li>• <b>Practical exercise</b> – carrying out small scale building repairs</li> </ul>	Group discussion – Trainer spots gaps Q&A – Trainer checks knowledge Trainer/peer observation of practicals Initial, summative and formative assessments Progress reviews: 1-2-1 and update ILP
<b>Session – Health &amp; Safety Awareness (embedded throughout Skills Bootcamp)</b>	Learners can: <ul style="list-style-type: none"> <li>• Describe health, safety, welfare and environmental issues</li> <li>• Identify and mitigate risks</li> </ul>	<b>Face-to-face activities</b> <ul style="list-style-type: none"> <li>• <b>Tutor led presentation</b> on Health &amp; Safety legislation</li> <li>• <b>Group exercise</b> – risk assessments and method statements</li> <li>• <b>Q&amp;A</b> on preventing accidents</li> <li>• <b>Knowledge Test</b> –multiple choice question paper</li> <li>• <b>Group Discussion</b> – reporting unsafe acts to prevent accidents</li> </ul>	Knowledge test – individual feedback Q&A/discussions – Trainer checks knowledge/gaps Trainer/peer observation of exercise Initial/formative /summative/ assessments Progress reviews: 1-2-1/update ILP

-  Changes may still be made to the proposed curriculum before delivery commences.
-  Aims are the overarching intentions of the course or programme and what learners should leave with once completed. Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met.
-  Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	Highways Operative	<b>Category and Subcategory</b>	Category 1 - Construction & the Built Environment Lot - Construction & The Built Environment (General) Core Subject – Onsite Construction	
<b>Lead Provider Name:</b>	Gen2 Ltd	<b>Delivery Partner(s) and what they will be delivering:</b>	N/A	
<b>Region of Delivery:</b>	Northwest (Cumbria)	<b>Qualification(s) Gained or Mapped to:</b>	Some elements mapped to Highways Maintenance Skilled Operative Level 2 Code: OCC0053	
<b>Will training be accredited? If yes, by which Awarding organisation?</b>	Yes <ul style="list-style-type: none"> <li>Working Safely - IOSH.</li> <li>First Aid at Work – Highfield</li> <li>Abrasive Wheels – (not accredited)</li> <li>SSTS – CITB</li> </ul>	<b>If the training is not mapped or accredited, please identify the method for recognising</b>	N/A	
	<ul style="list-style-type: none"> <li>RTMO (Road Traffic Management Operative) – LANTRA</li> <li>Manual Handling – Highfield</li> <li>Mental Health Level 1 - NUCO</li> </ul>	<b>and recording progress and achievement.</b>		

<b>Skill Level:</b>	Level 2	<b>Mode(s) of Delivery:</b>	Classroom, blended (online)
<b>Number of Guided Learning Hours* (GLH):</b>	60	<b>Number of Independent Learning Hours:</b>	0
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>	██████████	<b>Size of Cohorts:</b>	Maximum 12 Minimum 6
<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (Internal certificate)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme.</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	Highways Operatives and Highways Team Leader
<b>With reference to the IFATE occupational maps, if there are relevant occupational standards, does your Skills Bootcamp cover some of the</b>	<p>Highways Maintenance Skilled Operative</p> <p>Level 2</p> <p>Code: OCC0053</p>	<b>Where Engineering Skills Bootcamp delivery requires you to be registered with an accredited body e.g., RTAS (Rail</b>	N/A



content set out in the occupational standard(s)?		Training Assurance Scheme), provide your registration number and where applicable grade.		
<p>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</p> <ul style="list-style-type: none"> <li>□ that meet the Green Skills definition,</li> <li>□ in Prioritised Green Sectors,</li> <li>□ that are 'Direct Green'. **</li> </ul>	N/A	<p><i>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</i></p>	N/A	time guidance

\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the immediate, realof a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Pre-recorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achieve the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For exa heat pump installer needing specific skills to be able to do that role.”

Response requirement:

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.

### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[i]</sup>, skills<sup>[ii]</sup> and behaviours<sup>[iii]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[i]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes.

<sup>[ii]</sup> **Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

<sup>[iii]</sup> **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**989 characters**

## Knowledge

- Principles of health, safety and welfare
- Responsibilities under current legislation/official guidance
- National Highway Sector Schemes, Industry Codes of Practice, New Roads & Street Works Act
- Structure of the highway, civil engineering methods, construction technology, road categories/types, construction materials, working methods
- Underground services e.g gas/power cables

## Skills

- Apply health, safety and environment procedures when working on road
- Interpret/work to drawings/specifications/highways information
- Use underground locators to identify buried water/gas/sewer lines/other utility services
- Move, store, lift and handle resources safely
- Prepare/operate powered tools, pedestrian plant, machinery and equipment
- Communicate effectively – oral/written/listening **Behaviour**
- Safety conscious
- Equality, diversity and inclusion
- Work in teams, with limited supervision • Take responsibility for completion of work
- Adjust to change!
- Assertiveness/confidence

## Q1.3 - Assessment for Learning

Describe your initial<sup>[1]</sup> and final<sup>[2]</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

Response requirement:

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**741 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours.
- **Final Assessment:** Summative assessments throughout, with certificates. In the final assessment the Trainer ensures the learner is safe to work on the Highway Network, and has all required skills
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout - a comprehensive record of achievement with **clear evidence of knowledge/skills/behaviour at the start and end**
- **Demonstrating learner success upon completion:** Certification in:
  - Working Safely - IOSH.
  - First Aid at Work
  - SSSTS
  - RTMO (Road Traffic Management Operative) ○ Manual Handling ○ Mental Health

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*

*Week 3 – CSCS card theory and application*

*Week 3 – Explore the use of Health and Safety in a construction workplace.*

*Week 4 – Drylining Systems*

*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*

*Week 6 – Develop job ready practical skills for within the construction industry. Week*

*7-8 Progress into employment in Drylining.*

**Response requirement:**

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**1216 characters**

**Induction (1 day)**

**IOSH Working Safely (1 Day)** – hazards, risks, improving safety performance, protecting the environment.

**First Aid at Work (3 Days)** - practical first aid skills

**SSSTS – Site Supervision Safety Training Scheme (2 Days)** - CITB renewable Site Safety Plus certificate

- Health & Safety law
- H&S management system
- Occupational health
- Fire prevention/control
- Risk assessment/method statements
- Hazardous substances
- CDM regulations
- Working at height
- PPE
- Manual handling

**Manual Handling (1/2 Day)** – moving/handling, risk assessments, equipment, testing requirements

**Cat and Genny (1/2 Day)** – safe practices for cable avoidance, awareness of utility services, how to detect cables for excavation

**Registered Traffic Management Operatives (1 Day)**

- Health and Safety, how risk assessments/method statements impact duties
- Codes of practice/documentation in temporary traffic management
- Equipment in Temporary Traffic Management, and legal obligations when placing equipment on the highway
- Requirements for Mobile and Short duration works
- Methods of passive/positive traffic control
- Dealing with roundabouts, road closures, diversions

**Mental Health (1 Day)** - stress, mental health conditions, drugs/alcohol

### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [curriculum](#). Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.






- For column two, please identify the learning aim(s) <sup>iii</sup> and objective(s) <sup>iiii</sup> for that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.
- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.

**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**

Session	Learning aims/objectives	What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?	How will you know if the learner is making progress? How are you going to check for this?
<b>Session – Site Management Safety Training Scheme (SSSTS)</b>	Learners can manage and implement health, safety and environmental aspects on site whilst adhering to legislation.	<p><b>All face-to-face activities</b></p> <p><b>Tutor-led session</b> – Health &amp; Safety at Work</p> <p><b>Q&amp;A</b> – Construction (Design &amp; Management) Regulations</p> <p><b>Practical exercise</b> - risk assessment</p> <p><b>Role play</b> – effective communication</p> <p><b>Group discussion and knowledge check</b> –Monitoring and reporting health and safety in the workplace</p>	<p>Trainer/peer observation of role play/practical</p> <p>Q&amp;A – Trainer checks knowledge</p> <p>Group discussions – Trainer spots knowledge gaps</p> <p>Practical test/knowledge test</p> <p>Progress review: 1-2-1/update ILP</p>

<b>Session – IOSH Working Safely</b>	Learners can describe the moral and financial reasons for working safely, how to identify and mitigate hazards, and how to improve health and safety in the workplace, including use of PPE	<p><b>All face-to-face activities</b></p> <p><b>Tutor-led session</b> – How to identify common hazards</p> <p><b>Q&amp;A</b> – what common hazards could occur in the workplace?</p> <p><b>Group discussion</b> - principles of personal protective equipment (PPE)</p> <p><b>Practical exercise</b> - PPE</p> <p><b>Group discussion and knowledge check:</b></p> <ul style="list-style-type: none"> <li>• Employee responsibilities / those of the employer</li> <li>• Reasons for accident investigation</li> <li>• Environment, pollution &amp; waste</li> </ul>	Trainer/peer observation of practical exercise Knowledge check – feedback given Group discussions – Trainer identifies knowledge gaps Knowledge test Progress review: 1-2-1 and update ILP	
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-  Changes may still be made to the proposed curriculum before delivery commences.
-  Aims are the overarching intentions of the course or programme and what learners should leave with derived from these and articulate clear measures which help to determine if the aims have been met.
-  Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	Step Into Construction	<b>Category and Subcategory</b>	Category 1 - Construction & The Built Environment Lot - Construction & The Built Environment (General) Core Subject – Emerging Skills
<b>Lead Provider Name:</b>	Gen2 Ltd	<b>Delivery Partner(s) and what they will be delivering:</b>	Citrus  Citrus will be delivering all of the Skills Bootcamp
<b>Region of Delivery:</b>	East & West Midlands	<b>Qualification(s) Gained or Mapped to:</b>	N/A
<b>Will training be accredited?</b>	Yes – CITB Assured Courses:	<b>If the training is not mapped</b>	N/A

<b>If yes, by which Awarding organisation?</b>	SMSTS CDM SEATS LOW RISK CONFINED SPACE (EC1A)	<b>or accredited, please identify the method for recognising and recording progress and achievement.</b>	
<b>Skill Level:</b>	Level 2	<b>Mode(s) of Delivery:</b>	Classroom
<b>Number of Guided Learning Hours* (GLH):</b>	70	<b>Number of Independent Learning Hours:</b>	0
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>	████████	<b>Size of Cohorts:</b>	6 Minimum and 10 Maximum
<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (Internal and external)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme.</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	Operations Site Engineer

With reference to the IFATE occupational maps, if there are relevant occupational standards, does your Skills Bootcamp cover some of the	This Skills Bootcamp covers some of the content within Construction Site Supervisor Level 4 Code: OCC0048	Where Engineering Skills Bootcamp delivery requires you to be registered with an accredited body e.g.,	N/A
content set out in the occupational standard(s)?		RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.	



<p><b>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> that meet the Green Skills definition,</li> <li><input type="checkbox"/> in Prioritised Green Sectors,</li> <li><input type="checkbox"/> that are 'Direct Green'. **</li> </ul>	N/A	<p><b><i>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</i></b></p>	N/A
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the immediate, real-time guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Prerecorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

**Response requirement:**

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.

### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[i]</sup>, skills<sup>[ii]</sup> and behaviours<sup>[iii]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[i]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes.

<sup>[ii]</sup> **Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

<sup>[iii]</sup> **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**782 characters**

### **Knowledge**

- Health, legal and management – legislations, regulations, risk assessments, inspections, audits etc
- Health and welfare – dust, fumes, mental health, drugs/alcohol, noise, asbestos etc
- General safety – site organisation, fire prevention, lifting etc
- High risk activities – height, scaffold, confined spaces etc

### **Skills**

- Conduct site work according to H&S laws and regulations
- Prevent and manage accidents effectively
- Prevent work related ill health
- Ensure safe use of equipment
- Manage high risk work environments effectively

### **Behaviours**

- Health and safety – the importance of H&S behaviour/culture
- Diversity – treating all equally
- Effective communication on site
- Leadership and worker engagement
- Respect for others, e.g listening • Punctuality – respect for others

### **Q1.3 - Assessment for Learning**

Describe your initial<sup>iii</sup> and final<sup>iii</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

Response requirement:

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**7464 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours
- **Final Assessment:** Practical assessment or knowledge test covering confined spaces, formal risk assessments, safe systems of work, use of PPE etc
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout, including lessons learnt etc. It's a comprehensive record of achievement and provides clear evidence of knowledge, skills, and behaviour at the start/end
- **Demonstrating learner success upon completion:** Learners receive a City & Guilds digital badge and also certificates for:
  - SMSTS ○ CDM ○ SEATS ○ Low Risk
  - Confined Spaces ○ Internal certificate for
  - EUSR Cat 1 & 2

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*

*Week 3 – CSCS card theory and application*

*Week 3 – Explore the use of Health and Safety in a construction workplace.*

*Week 4 – Drylining Systems*

*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*

*Week 6 – Develop job ready practical skills for within the construction industry. Week 7-8 Progress into employment in Drylining.*

**Response requirement:**

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**1186 characters**

**Week 1 – Site Manager Safety Training Scheme – SMSTS**

- Health & Safety at Work Act
- Construction Design & Management Regulations
- Risk assessments/method statements
- Management of occupational health

- Electricity
- Excavations
- Working at height
- Scaffolding
- Demolition

#### **Week 2 - Construction Design and Management (CDM)**

- Introducing CDM Regs
- Planning Phases of Construction Projects
- Building & Maintaining a Safe Site
- Legalities & Liabilities on a Site

#### **Week 3 – Low risk confined space (EC1A)**

- Confined space definition and classification
- Risk assessment and workplace safety
- Control measures - method statement and permit to work
- PPE
- Gas detection and ventilation

#### **Week 4 – Site Environmental Awareness Training Scheme – SEATS**

- Environmental impacts and the issues associated with construction activities • Sustainability Issues
- Environmental Management Systems
- Waste management
- Pollution prevention, contaminated land and water management
- Laws & regulations
- HSE HSG47- Avoiding danger from underground services
- Safe Systems of Work
- Identifying and recognition of utilities
- Cable and pipe location devices
- Safe digging practices
- Permits to excavate

#### **Week 5 – EUSR Cat 1& 2**

### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [curriculum](#). Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) [iii](#) and objective(s) [iiii](#) for that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.
- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.

**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**

<b>Session</b>	<b>Learning aims/objectives</b>	<b>What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?</b>	<b>How will you know if the learner is making progress? How are you going to check for this?</b>
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<b>Session – Low Risk Confined Spaces</b>	Learners can: <ul style="list-style-type: none"> <li>Identify confined spaces and the safe systems of work to be implemented</li> <li>Explain how to interpret and interrogate a formal</li> </ul>	<b>Face-to-face activities</b> <ul style="list-style-type: none"> <li><b>Tutor led discussion</b> - what a confined space is</li> <li><b>Group exercise</b> to interpret/interrogate a</li> </ul>	<ul style="list-style-type: none"> <li>Q&amp;A/presentations – Trainer checks knowledge</li> <li>Group discussions – Trainer spots gaps</li> <li>Trainer/peer observation of</li> </ul>
	risk assessment <ul style="list-style-type: none"> <li>Safely enter and exit a confined space</li> </ul>	formal risk assessment <ul style="list-style-type: none"> <li><b>Q&amp;A</b> – how to identify potential hazards/danger, and how to respond</li> <li><b>Practical exercise</b> - demonstrate how to safely enter and exit a confined space</li> <li><b>Tutor led discussion</b> - what safe systems of work should be implemented in different confined space scenarios</li> </ul>	<ul style="list-style-type: none"> <li>practical</li> <li>Initial/summative/formative tests</li> <li>Progress review: 1-2-1/update ILP</li> </ul>

<b>Session – Site Management Safety Training Scheme</b>	Learners can: <ul style="list-style-type: none"> <li>• Act according to H&amp;S legislation</li> <li>• Identify H&amp;S management systems</li> <li>• Identify/assess risk</li> <li>• Communicate effectively on site</li> <li>• Explain why accidents happen</li> <li>• Prevent accidents</li> </ul>	<b>Face-to-face activities</b> <ul style="list-style-type: none"> <li>• <b>Tutor led discussion</b> - health and safety legislation</li> <li>• <b>Group exercise</b> - identify types of accidents/how to prevent them</li> <li>• <b>Q&amp;A</b> - how to communicate effectively</li> <li>• <b>Tutor led presentation</b> - work equipment and handheld tools</li> <li>• <b>Role play</b> on communicating effectively</li> </ul>	<ul style="list-style-type: none"> <li>• Trainer/peer observation of role play</li> <li>• Q&amp;A – Trainer checks knowledge</li> <li>• Group discussions – Trainer spots gaps</li> <li>• Initial, summative and formative assessment</li> <li>• Progress reviews: 1-2-1 and update ILP</li> </ul>
		<ul style="list-style-type: none"> <li>• <b>Group discussions</b> - lead, asbestos, dust, fumes, noise and vibration – risks and legal requirements</li> </ul>	

- i Changes may still be made to the proposed curriculum before delivery commences.
- ii Aims are the overarching intentions of the course or programme and what learners should leave with once completed. Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met.
- iii Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	New Entrant – Construction Operative	<b>Category and Subcategory</b>	Category 1 - Construction & The Built Environment Lot - Construction & The Built Environment (General) Core Subject – Emerging Skills
<b>Lead Provider Name:</b>	Gen2 Ltd	<b>Delivery Partner(s) and what they will be delivering:</b>	HSS, Lendlease and Simian Risk  HSS delivering: Ladder/Step Ladder IPAF PASMA IOSH Working Safely

			<p>Small Tools</p> <p>Lendlease delivering an employer session to the learners they will be employing</p> <p>Simian Risk delivering: COTS</p>
<b>Region of Delivery:</b>	London	<b>Qualification(s) Gained or Mapped to:</b>	No
<b>Will training be accredited? If yes, by which Awarding organisation?</b>	<p>Each component of the Skills Bootcamp is training awarded by an industry standard or awarding body. Some accreditations are licence to practice and mandatory in certain situations.</p> <ul style="list-style-type: none"> <li>• CSCS</li> <li>• City &amp; Guilds</li> <li>• COTS</li> <li>• Quasafe</li> <li>• IPAF</li> <li>• PASMA</li> <li>• Ladder Association</li> <li>• IOSH</li> </ul>	<b>If the training is not mapped or accredited, please identify the method for recognising and recording progress and achievement.</b>	N/A
<b>Skill Level:</b>	Level 2	<b>Mode(s) of Delivery:</b>	Classroom – face-to-face
<b>Number of Guided Learning Hours* (GLH):</b>	61	<b>Number of Independent Learning Hours:</b>	0

<b>Number of learners (across</b>	██████████	<b>Size of Cohorts:</b>	10 Maximum Minimum 6
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<b>the lifetime of the Skills Bootcamp):</b>			
<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (Either internal or external certificate)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme.</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	<p>Construction Operative, PASMA Operative, IPAF Operator, Scaffolder</p>

<p><b>With reference to the IFATE occupational maps, if there are relevant occupational standards, does your Skills Bootcamp cover some of the content set out in the occupational standard(s)?</b></p>	<p>Some of the content maps to Construction Site Supervisor Level 4 Code: OCC0048</p>	<p><b>Where Engineering Skills Bootcamp delivery requires you to be registered with an accredited body e.g., RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.</b></p>	<p>N/A</p>
<p><b>Where the delivery is in a Green Skills subcategory, state</b></p>	<p>N/A</p>	<p><b><i>If the Skills Bootcamp is delivering in</i></b></p>	<p>N/A</p>



<p><b>if the Skills Bootcamp will deliver skills:</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> that meet the Green Skills definition,</li> <li><input type="checkbox"/> in Prioritised Green Sectors,</li> <li><input type="checkbox"/> that are 'Direct Green'. **</li> </ul>		<p><b><i>Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</i></b></p>	
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the immediate, real-time guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Pre-recorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

**Response requirement:**

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.

### **Q1.2 Employer Requirements**

Describe the knowledge<sup>ii</sup>, skills<sup>iii</sup> and behaviours<sup>iiii</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>ii</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes. <sup>iii</sup>

**Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

<sup>iiii</sup> **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**997 characters**

**Knowledge**

- Risk assessment of activities and importance of behaviours in safety-critical environments
- Sustainability issues across economic, social and environmental aspects
- Construction methods and materials

**Skills**

- Able to define the quality required on a finished construction project
- Identify risk and encourage staff to demonstrate safety-conscious behaviours
- Assess, identify and record environmental impact of projects

- Contribute effectively to meetings and present information in a variety of ways including oral and written
- Assist implementation of appropriate solutions for construction projects
- Identify areas for improvement and suggest innovative solutions

**Behaviours**

- Safety conscious
- Work within own level of competence/know when to seek advice
- Work within Rules and Regulations of Professional Competence and Conduct
- Identify own development needs and take action to meet them
- Demonstrate equality and diversity attributes
- Work collaboratively and non-confrontational

### **Q1.3 - Assessment for Learning**

Describe your initial<sup>[i]</sup> and final<sup>[ii]</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

<sup>[i]</sup> Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

<sup>[ii]</sup> Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

#### **Response requirement:**

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.

- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**725 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours.
- **Final Assessment:** Each 'course' within the Skills Bootcamp has its own final assessment
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout, including lessons learnt, problem areas etc. It is a comprehensive record of achievement and provides **clear evidence of knowledge, skills, and behaviour at the start and end of the Skills Bootcamp.**
- **Demonstrating learner success upon completion:** Upon successful completion, learners receive certificates in:
  - Quasafe Emergency First Aid
  - COTS ○ IPAF ○ CSCS ○ COTS
  - PASMA
  - Ladder Association
  - IOSH

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*  
*Week 3 – CSCS card theory and application*  
*Week 3 – Explore the use of Health and Safety in a construction workplace.*  
*Week 4 – Drylining Systems*  
*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*  
*Week 6 – Develop job ready practical skills for within the construction industry. Week*  
*7-8 Progress into employment in Drylining.*

Response requirement:

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**745 characters**

- Week 1 – Induction
- Week 2 – (HS&E) CSCS Operatives Touchscreen Test, CITB - H&S Awareness (HSA), Card Application
- Week 3 – Manual Handling and Abrasive Wheels
- Week 4 – CISRS Operatives Training Scheme (COTS) - Basics of the scaffolding industry and how to work safely with scaffold equipment
- Week 5 – PASMA - Mobile Access Tower – for learners who need to erect, dismantle and inspect mobile access towers safely.
- Week 6 – Emergency First Aid at Work (Qualsafe) to include electrical accidents
- Week 7 - IPAF Dual (3a & 3b) - for learners who will operate, supervise or manage the use of powered access equipment including scissor lifts (3a) and self-propelled boom lifts (3b)
- Week 8,9,10 - Ladder Combi, IOSH Working Safely, Small Tools

### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [curriculum](#). Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) [and](#) objective(s) [for](#) that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.
- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.

**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**

Session	Learning aims/objectives	What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?	How will you know if the learner is making progress? How are you going to check for this?
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<b>Session – Emergency First Aid at Work (Qualsafe Accredited)</b>	Learners can: <ul style="list-style-type: none"> <li>Describe the roles and responsibilities of a first aider</li> <li>Respond in emergencies</li> <li>Respond to unresponsive/</li> </ul>	<b>All face-to-face activities</b>  <b>Group discussions:</b> <ul style="list-style-type: none"> <li>Roles and responsibilities of a first aider</li> <li>How to minimise risk of infection</li> <li>Need for consent</li> </ul> <b>Group discussion</b> on when to administer CPR	<ul style="list-style-type: none"> <li>Q&amp;A – Trainer checks knowledge</li> <li>Group discussions – Trainer spots gaps</li> <li>Trainer/peer observation of practical's</li> <li>Initial, summative, formative, knowledge tests</li> </ul>
	choking/bleeding casualties and those suffering from shock and minor injuries	<b>Practical exercises:</b> <ul style="list-style-type: none"> <li>How to give CPR using a manikin</li> <li>How to put someone in recovery position</li> <li>Monitor breathing • Use a defibrillator (AED)</li> </ul> <b>Q&amp;As:</b> <ul style="list-style-type: none"> <li>How to conduct a scene survey</li> <li>How to summon assistance</li> </ul>	<ul style="list-style-type: none"> <li>Progress reviews: 1-21/update ILP</li> </ul>



<b>Session – PASMA Mobile Access Tower (MAT) for Users</b>	<p>Learners can:</p> <ul style="list-style-type: none"> <li>Describe legislation and regulations affecting WAH</li> <li>Implement PASMA code of practice &amp; product standards EN 1004-1</li> <li>Alter, dismantle, inspect mobile access towers</li> <li>Identify hazards</li> </ul>	<p><b>All face-to-face activities</b></p> <p><b>Group discussion</b> to identify WAH responsibilities</p> <p><b>Q&amp;A</b> - standards affecting manufacture/use of a MAT</p> <p><b>Group discussions:</b></p> <ul style="list-style-type: none"> <li>Where to find safety information • When it's safe/unsafe to use a MAT</li> </ul> <p><b>Practical exercises:</b></p> <ul style="list-style-type: none"> <li>Pre-use checks/post-assembly inspections</li> <li>Assemble/dismantle MAT</li> <li>Demonstrate safe access to platform</li> <li>Move MAT</li> <li><b>Group discussion Q&amp;A</b> to identify/avoid hazards</li> </ul>	<ul style="list-style-type: none"> <li>Trainer/peer observation of practicals</li> <li>Q&amp;A – Trainer checks knowledge</li> <li>Group discussions – Trainer spots gaps</li> <li>Initial, summative, formative, knowledge tests</li> <li>Progress reviews: 1-2-1/update ILP</li> </ul>
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<sup>iii</sup> Changes may still be made to the proposed curriculum before delivery commences.

<sup>iii</sup> Aims are the overarching intentions of the course or programme and what learners should leave with once completed. Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met. <sup>iiii</sup> Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

## **Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	New Entrant - Warehouse Operative	<b>Category and Subcategory</b>	Category 1 - Construction & the Built Environment Lot - Construction & The Built Environment (General) Core Subject – Onsite Construction
<b>Lead Provider Name:</b>	Gen2 Ltd	<b>Delivery Partner(s) and what they will be delivering:</b>	N/A
<b>Region of Delivery:</b>	National  East Midlands East of England London North-east	<b>Qualification(s) Gained or Mapped to:</b>	Some of the content maps to Supply Chain Warehouse Level 2 OCC0259

	North-west South-east South-west West Midlands Yorkshire and the Humber		
<b>Will training be accredited? If yes, by which Awarding organisation?</b>	Yes – RTITB, IOSH, HIGHFIELD, IPAF	<b>If the training is not mapped or accredited, please identify the method for recognising and recording progress and achievement.</b>	N/A
<b>Skill Level:</b>	Level 2, Level 3	<b>Mode(s) of Delivery:</b>	Classroom/Blended
<b>Number of Guided Learning Hours* (GLH):</b>	68	<b>Number of Independent Learning Hours:</b>	0
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>		<b>Size of Cohorts:</b>	Max 3 for FLT Novice  However, cohorts can be run with 68 learners and 2 x FLT Novice courses can be run prior to IOSH etc

<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (Internal certificate)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	Warehouse Operative
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	successfully completed the programme.		
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<p><b>With reference to the IFATE occupational maps, if there are relevant occupational standards, does your Skills Bootcamp cover some of the content set out in the occupational standard(s)?</b></p>	<p>Yes</p> <p>Supply Chain Warehouse Level 2 OCC0259</p>	<p><b>Where Engineering Skills Bootcamp delivery requires you to be registered with an accredited body e.g., RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.</b></p>	<p>GQA 9302339</p>
<p><b>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> that meet the Green Skills definition,</li> <li><input type="checkbox"/> in Prioritised Green Sectors,</li> </ul>	<p>N/A</p>	<p><b><i>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</i></b></p>	<p>N/A</p>

□ that are 'Direct Green'. **				-
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the immediate, real-time guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Pre-recorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

**Response requirement:**

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.



### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[1]</sup>, skills<sup>[2]</sup> and behaviours<sup>[3]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.


**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[1]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes. <sup>[2]</sup>

**Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

 **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

Response requirement:

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**963 characters**

**Knowledge**

- Safe driving/operating techniques
- Impact on environment
- Use of equipment/machinery/systems/processes for packaging/moving/receiving stock • Relevant regulation/legislations
- Structure of the industry/roles available
- Systems/processes/technology
- Importance of maintaining health and fitness

**Skills**

- Operate/handle equipment safely/efficiently
- Comply with rules/regulation/process
- Move/handle/unload objects individually/as a team safely
- Select/prepare/use appropriate packaging materials
- Use equipment/procedures/processes/technology to record and process goods
- Communicate/deliver excellent customer service/identify needs and respond appropriately
- Manage pressure/time deadlines

**Behaviours**

- Health and safety conscious
- Treat all equally
- Respect for others
- Self-motivated, proactive
- Work reliably/ safely without close supervision
- Receptive to feedback/willing to learn
- Personal commitment to employer/industry/professional standards

### **Q1.3 - Assessment for Learning**

Describe your initial<sup>[1]</sup> and final<sup>[2]</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

<sup>[1]</sup> Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

<sup>[2]</sup> Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

#### **Response requirement:**

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

Maximum 750 characters

689 characters

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours.
- **Final Assessment:** Knowledge and practical assessments for each part of the Skills Bootcamp e.g operating a forklift truck safely, knowledge test on health & safety
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout - a comprehensive record of achievement with **clear evidence of knowledge/skills/behaviour at the start and end of the Skills Bootcamp**
- **Demonstrating learner success upon completion:** Certificates for:
  - Forklift Truck
  - IOSH Working Safely
  - IPAF 3a & 3b
  - Manual Handling
  - First Aid at Work

Commented [AK1]: Laura/Lauren - Can we have details of certificate please

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*  
*Week 2 – Introduction to Drylining within the construction industry (practical)*  
*Week 3 – CSCS card theory and application*  
*Week 3 – Explore the use of Health and Safety in a construction workplace.*  
*Week 4 – Drylining Systems*  
*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*  
*Week 6 – Develop job ready practical skills for within the construction industry.*  
*Week 7-8 Progress into employment in Drylining.*

Response requirement:

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**1167 characters**

**Week 1 – FLT novice**

- Health & Safety
- Operators safety videos
- Truck controls & instruments
- Simple/advanced truck manoeuvring
- Battery care & charging
- Pre use inspections
- Truck stability & capacity rating
- Hydraulic systems
- Load handling
- Vehicle loading & unloading
- Load weight assessment
- Racking systems
- Mock testing
- Theory test/Pre use test/Practical test

**Week 2 – IOSH Working Safely, IPAF 3A/3B, Risk Assessment, Manual Handling**

- Introducing working safely
- Hazards and risks
- Improving safety performance
- Protecting our environment
- Pre--course theory test
- MEWP regulations/guidance



- Machine types
- Familiarisation and pre-use inspection
- Safe operating methods and hazards
- Written theory test

### **Week 3 – First Aid at Work**

- Resuscitation (CPR)\*
- Allergic reaction/asthma
- Bone, muscle/joint injuries and spinal injuries
- Burns, bleeds and scalds
- Chest pains/stroke
- Choking, poisons and what to do if someone is poisoned
- Eye/head injuries
- Fainting/low blood sugar
- Defibrillator prompts and how to respond (theory-based)
- Defibrillator pad placement (theory-based)
- Managing an emergency
- Role of the first aider
- Seizures
- Shock
- Unresponsive adult

### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [L1](#) curriculum. Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) [L1](#) and objective(s) [L1](#) for that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.

- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.

- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.


**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**


Session	Learning aims/objectives	What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?	How will you know if the learner is making progress? How are you going to check for this?
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<b>Session – First Aid at Work</b>	Learners can describe the roles and responsibilities of a first aider and be able to provide first aid to various casualties	<p><b>Face-to-face activities</b></p> <p><b>Tutor-led discussion:</b> Roles and responsibilities of a first aider</p> <p><b>Q&amp;A:</b></p> <ul style="list-style-type: none"> <li>• How to conduct a scene survey</li> <li>• FAST – strokes</li> </ul> <p><b>Group discussion and knowledge check:</b></p> <ul style="list-style-type: none"> <li>• How to gather medical history</li> <li>• Conducting a head-to-toe survey</li> <li>• Recognising anaphylaxis</li> </ul> <p><b>Practical Exercise:</b></p> <ul style="list-style-type: none"> <li>• CPR</li> <li>• Applying bandages</li> <li>• AED Defibrillator</li> <li>• Recovery position</li> </ul>	Trainer/peer observation of practicals Q&A – Trainer checks knowledge Group discussions – Trainer identifies gaps Practical test/knowledge test Progress review: 1-2-1
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<b>Session – FLT Novice</b>	Learners can: <ul style="list-style-type: none"> <li>Describe Health &amp; Safety aspects of using a forklift truck</li> <li>Manoeuvre and load a forklift truck</li> <li>Conduct pre-use inspections</li> </ul>	<b>Face-to-face activities</b> <b>Tutor-led discussions:</b> <ul style="list-style-type: none"> <li>Health &amp; Safety</li> <li>Simple/advanced truck manoeuvring</li> </ul> <b>Operator safety videos</b> – observe as a group <b>Q&amp;A</b> - truck controls and instruments <b>Group discussion and knowledge check</b> <ul style="list-style-type: none"> <li>Pre-use inspections</li> <li>Battery care and charging</li> </ul> <b>Practical exercise</b> <ul style="list-style-type: none"> <li>Manoeuvring forklift truck</li> <li>Conducting pre-use inspections</li> <li>Loading and unloading</li> </ul>	Trainer/peer observation and feedback on practicals Knowledge test Q&A – Trainer checks knowledge Q&A/discussions – Trainer identifies knowledge gaps Progress review: 1-2-1 and update ILP
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 Changes may still be made to the proposed curriculum before delivery commences.

 Aims are the overarching intentions of the course or programme and what learners should leave with once completed.

Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met.  Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.  
Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	Scaffolding	<b>Category and Subcategory</b>	Category 1 - Construction & The Built Environment Lot - Construction & The Built Environment (General) Core Subject – Onsite Construction
<b>Lead Provider Name:</b>	Gen2 Ltd	<b>Delivery Partner(s) and what they will be delivering:</b>	Simian Risk – delivering all of Skills Bootcamp
<b>Region of Delivery:</b>	Northwest Southwest London	<b>Qualification(s) Gained or Mapped to:</b>	Some elements mapped to Scaffolder Level 2 - OCC0359

<b>Will training be accredited? If yes, by which Awarding organisation?</b>	Yes, CISRS accredited	<b>If the training is not mapped or accredited, please identify the method for recognising and recording progress and achievement.</b>	N/A
<b>Skill Level:</b>	Level 2 and Advanced level 3	<b>Mode(s) of Delivery:</b>	Classroom Practical
<b>Number of Guided Learning Hours* (GLH):</b>	60	<b>Number of Independent Learning Hours:</b>	0
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>	██████████	<b>Size of Cohorts:</b>	5 Minimum / 9 Maximum
<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (Internal certificate)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme.</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	<p>Level 2 Scaffolder</p> <p>Advanced Scaffolder</p>



<b>With reference to the IFATE occupational maps, if there are relevant occupational standards, does</b>	Yes Scaffolder Level 2 Code: OCC0359	<b>Where Engineering Skills Bootcamp delivery requires you to be registered</b>	N/A
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<b>your Skills Bootcamp cover some of the content set out in the occupational standard(s)?</b>		<b>with an accredited body e.g., RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.</b>	
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<b>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</b> <ul style="list-style-type: none"> <li>□ that meet the Green Skills definition,</li> <li>□ in Prioritised Green Sectors,</li> <li>□ that are 'Direct Green'. **</li> </ul>	N/A	<b><i>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</i></b>	N/A
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the immediate, real-time guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Prerecorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

#### Response requirement:

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.

### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[i]</sup>, skills<sup>[ii]</sup> and behaviours<sup>[iii]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[i]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes.

<sup>[ii]</sup> **Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

<sup>[iii]</sup> **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**984 characters**

**Knowledge**

- Standards/regulations for erection/dismantling of scaffolds
- Inspection/purpose/handling/stacking of scaffold materials
- Prefabricated beams/protection fans/rules of assembly
- Founding scaffolds on differing surfaces
- Attaching/spacing transoms/spurs/rakers/check fittings/droppers/puncheons
- Erecting/dismantling aluminium towers/putlogs/birdcages
- Calculate ties/spacing/requirements for (un)sheeted scaffolds
- Ladders/towers/stairways
- Layout/drawings/determine methods of erecting/dismantling

**Skills**

- Manage safety of themselves/workforce/public
- Inspect/select materials/prefabricated beams
- Carry/raise/lower materials
- Erecting on pavements/public places
- Install/test anchor ties/specialist fixings/spurs/rakers to the manufacturer's recommendation/operating angles
- Inspect gin wheels/scaffolds for serviceability/position/fix securely/take down

**Behaviours**

- Safety conscious/risk aware
- Treat all equally
- Teamwork/Independent
- Logical thinking
- Punctual
- Respectful

### **Q1.3 - Assessment for Learning**

Describe your initial<sup>iii</sup> and final<sup>iii</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

<sup>iii</sup> Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

<sup>iii</sup> Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

#### **Response requirement:**

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**717 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours.
- **Final Assessments:**
  - **Practical** – overseen by qualified instructor in line with CISRS requirements ○
  - CISRS exam** - multiple choice/written responses
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout - a comprehensive record of achievement with **clear evidence of knowledge/skills/behaviour at the start and end**

- **Demonstrating learner success upon completion:** CISRS certificate the preferred scaffolding qualification of all the major organisations including CSCS, NASC, Build UK, UNITE and the largest scaffold systems manufacturers)

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*

*Week 3 – CSCS card theory and application*

*Week 3 – Explore the use of Health and Safety in a construction workplace.*

*Week 4 – Drylining Systems*

*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*

*Week 6 – Develop job ready practical skills for within the construction industry. Week*

*7-8 Progress into employment in Drylining.*

**Response requirement:**

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**1024 characters**

**Week 1 – Induction**

**Week 2 – CISRS Part 2 Programme**

- Health & safety awareness

- TG20 & Design appreciation
- SG4:15 and rescue theory
- Initial practical ability assessment – tower
- Rescue practical
- Requirements of independent and prefabricated beams
- Erecting independent scaffolds to support bridging scaffold
- Fan board protection and erect fan board protection
- Dismantle bridge and fan
- Erect and dismantle loading bay
- Erect and dismantle pavement gantry scaffold
- TG4 – Tie testing
- Erect and dismantle truss-out scaffold
- Roof saddle
- Scaffold inspection practical and report writing
- Mobile aluminium tower theory
- Erect splay scaffold
- Temporary edge protection

### **Week 3 – CISRS Advanced Programme**

- Mobile towers
- Erection of 3 chord shore, cantilevered drop and suspended scaffold
- RAMS
- Suspended drop extension
- Cantilevered drop lift and suspended scaffolds
- SG4: practical rescue
- Temporary works 5975
- Advanced scaffold inspection and report
- Ramps and stairways
- Erection of ramp/stairs
- Temporary roof scaffold



### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [iii](#) curriculum. Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) [iii](#) and objective(s) [iiii](#) for that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.
- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.


**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**


Session	Learning aims/objectives	What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?	How will you know if the learner is making progress? How are you going to check for this?
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<b>Session – Truss Out Scaffolds</b>	Learners can erect, alter, and dismantle scaffolds safely and in the correct sequence	<b>Face-to-face activities</b> <ul style="list-style-type: none"> <li>• <b>Tutor led presentation</b> on Health &amp; Safety</li> <li>• <b>Group exercise</b> – interpret scaffold drawings</li> <li>• <b>Q&amp;A</b> on basic scaffold</li> </ul>	Knowledge test Q&A – Trainer checks knowledge Group discussion – Trainer identifies gaps Trainer/peer observation of exercise
		inspection <ul style="list-style-type: none"> <li>• <b>Practical exercise</b> – erect truss out scaffold</li> <li>• <b>Independent knowledge test</b> – 50 question test paper</li> </ul>	Initial/formative /summative/ assessments Progress reviews: 1-2-1/update ILP

<b>Session – Health &amp; Safety Awareness</b>	Learners can: <ul style="list-style-type: none"> <li>Describe health, safety, welfare and environmental issues</li> <li>Identify and mitigate risks</li> </ul>	<b>Face-to-face activities</b> <ul style="list-style-type: none"> <li><b>Tutor led presentation</b> on Health &amp; Safety legislation</li> <li><b>Group exercise</b> – risk assessments and method statements</li> <li><b>Q&amp;A</b> on preventing accidents</li> <li><b>Knowledge Test</b> – 25 multiple choice question paper</li> <li><b>Group discussion</b> – reporting unsafe acts to prevent accidents</li> </ul>	Knowledge test Q&A – Trainer checks knowledge Group discussion – Trainer identifies gaps Trainer/peer observation of exercise Initial/formative /summative/ assessments Progress reviews: 1-2-1/update ILP
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 Changes may still be made to the proposed curriculum before delivery commences.

 Aims are the overarching intentions of the course or programme and what learners should leave with once completed. Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met.

 Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5a. Technical Response Template**

**Question 1**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 1 - Project Plan & Mobilisation**

Complete Q1.1,1.2,1.3,1.4 and 1.5 for each Skills Bootcamp being proposed

**Q1.1 Please complete all the boxes below (state N/A if not applicable to the Skills Bootcamp you are proposing)**

<b>Skills Bootcamp Name</b>	Utility Erector	<b>Category and Subcategory</b>	Category 1 - Construction & The Built Environment Lot - Construction & The Built Environment (General) Core Subject – Onsite Construction
<b>Lead Provider Name:</b>	Gen2 Ltd	<b>Delivery Partner(s) and what they will be delivering:</b>	Morrison Energy Services Full Skills Bootcamp delivery
<b>Region of Delivery:</b>	Yorkshire & The Humber East Midlands	<b>Qualification(s) Gained or Mapped to:</b>	Some of the elements of the Skills Bootcamp are mapped to Engineering Construction Erector Rigger – Engineering
			Construction Erector Level 3 (OCC0433a)

<b>Will training be accredited? If yes, by which Awarding organisation?</b>	No, internal quality assurance and delivery	<b>If the training is not mapped or accredited, please identify the method for recognising and recording progress and achievement.</b>	N/A
<b>Skill Level:</b>	Level 3	<b>Mode(s) of Delivery:</b>	Classroom
<b>Number of Guided Learning Hours* (GLH):</b>	282	<b>Number of Independent Learning Hours:</b>	0
<b>Number of learners (across the lifetime of the Skills Bootcamp):</b>	██████████	<b>Size of Cohorts:</b>	Minimum 12 Maximum 48
<b>Identify and/or quantify the measurement of successful completion of the training element of the Skills Bootcamp.</b>	<p>Certification (Internal certificate)</p> <p>Register to confirm learner has attended the full Skills Bootcamp</p> <p>Skills Bootcamp tracker where dedicated team track the learner's journey from beginning to end and collate the above information to confirm that the learner has successfully completed the programme.</p>	<b>What occupation(s) do you expect your learners to move into following the Skills Bootcamp?</b>	Utility Erector
<b>With reference to the IFATE occupational maps, if there are relevant</b>	<p>Yes</p> <p>Engineering Construction Erector Rigger – Engineering Construction Erector Level 3 (OCC0433a)</p>	<b>Where Engineering Skills Bootcamp</b>	N/A

<b>occupational standards, does</b>		<b>delivery requires you to be registered</b>	
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<b>your Skills Bootcamp cover some of the content set out in the occupational standard(s)?</b>		<b>with an accredited body e.g., RTAS (Rail Training Assurance Scheme), provide your registration number and where applicable grade.</b>	
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<p><b>Where the delivery is in a Green Skills subcategory, state if the Skills Bootcamp will deliver skills:</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> that meet the Green Skills definition,</li> <li><input type="checkbox"/> in Prioritised Green Sectors,</li> <li><input type="checkbox"/> that are 'Direct Green'. **</li> </ul>	N/A	<p><b><i>If the Skills Bootcamp is delivering in Early Years, please state if the Skills Bootcamp will include a work placement. If so, please specify the number of days the placement will be.</i></b></p>	N/A
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\* Guided Learning Hours are the time a learner spends being taught or instructed by, or otherwise participating in education or training under the immediate, real-time guidance of a lecturer, supervisor, tutor or other appropriate supplier of education or training, whether online or in person. Pre-recorded content does not count towards GLHs.

\*\* Green Skills: definition – “skills that directly meet the needs of the green economy – support the transition from high to low carbon, directly contribute to the achievement of the UK’s net zero emissions target and help mitigate climate risks”; Direct green – “skills that are specific to a Prioritised Green Sector or role within that sector. For example, a heat pump installer needing specific skills to be able to do that role.”

**Response requirement:**

- Complete all of the boxes – put N/A if not applicable to show you have dealt with the question.
- Complete Q1.1 boxes for each Skills Bootcamp being proposed.



### **Q1.2 Employer Requirements**

Describe the knowledge<sup>[i]</sup>, skills<sup>[ii]</sup> and behaviours<sup>[iii]</sup> that are required by one of your employers, or those you require if you are an employer upskilling/training your own employees.

**Knowledge** [can be learnt and applied – can be technical, practical, and theoretical and evidenced by the learner] (e.g., knowing relevant sections of health and safety legislation and how to adhere to them):

**Skills** [can be demonstrated and learnt – can be practical, technical, and shown by the learner] (e.g., problem solving, Customer service skills):

**Behaviours** [can be observed and developed – can be social, cultural, and interpersonal] (e.g., resilience, empathy, punctuality):

<sup>[i]</sup> **Knowledge** is the technical detail and understanding the learners need to achieve and understand to complete their learning. The knowledge gained in the Skills Bootcamps course should improve the learner's success and help achieve positive outcomes.

<sup>[ii]</sup> **Skills** are the application of the knowledge the learner receives when attending the Skills Bootcamp course and is shown through both experience and on the job training. Skills are not a repetition of activities.

<sup>[iii]</sup> **Behaviours** are what can be seen and demonstrated by learners, these can be learnt and should be transferable.

#### **Response requirement:**

- Set out a response to Knowledge, to Skills and to Behaviours required by one of your employers (or you if you are an employer upskilling your own employee). Examples should be included as part of the response, as opposed to making reference to any other questions/responses within the bid.

**Maximum 1000 characters**

**996 characters**

## Knowledge

- Work safely/conscious of responsibilities
- Regulation/reporting/risk assessment/permit to work system
- Communication/seek assistance/signalling methods
- Read/interpret drawings/specifications
- Hazards/risks from the moving/lifting/positioning
- Specialist access/execute rigging/erecting/static/mobile crange
- Select/use hand/mechanical tools/moving/lifting equipment
- Planning of route/equipment
- Temporary support/dismantling

## Skills

- Identify potential hazards/comply with requirements
- Read/interpret/apply drawing/specifications
- Mathematics to calculate gravity/weight/characteristics
- Form route/minimise risk to people/property/environment
- Secure/protect/evenly distribute load via approved methods
- Move/release/disconnect/checks at destination
- Record damage/segregate items **Behaviours**
- Safety conscious
- Treat all equally
- Critical thinking/problem solving
- Share best practice
- Honesty/integrity
- Promote a healthy working environment
- Report unsafe behaviours/practices

### **Q1.3 - Assessment for Learning**

Describe your initial<sup>[i]</sup> and final<sup>[ii]</sup> assessments and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp. These may be in a written, oral, or practical form that is appropriate to assess such knowledge, skills, and behaviour.

Describe how you will demonstrate learner success on completion of the Skills Bootcamp. E.g., qualification gained, Skills learnt, Portfolio etc.

<sup>[i]</sup> Initial assessment is the assessment used prior to a learner joining a course or training programme by Providers with learners to ascertain the learner's starting points. Initial assessment (IA) usually considers Maths and English levels but can also include IT. Initial Assessments are used by practitioners to plan their teaching.

<sup>[ii]</sup> Final assessment is the assessment activity used at the end of a unit, module, or time period. These often take the form of a test/exam, portfolio, or final project.

#### **Response requirement:**

- Describe your initial and final assessment and how they will show the comparable increase in the knowledge, skills, and behaviour of learners at the start and end of a Skills Bootcamp.
- Describe how you will demonstrate learner success on completion of the Skills Bootcamp.

**Maximum 750 characters**

**652 characters**

- **Initial Assessment:** Before starting, learners complete an Individual Learner Plan (ILP), with questions on prior knowledge/skills/behaviours.
- **Final Assessment:** Practical Assessment – learners are observed erecting utility power lines and safely using all plant and equipment
- **Showing increases in knowledge/skills/behaviour:** The ILP records learner progress throughout - a comprehensive record of achievement with **clear evidence of knowledge/skills/behaviour at the start and end**
- **Demonstrating learner success upon completion:** Learners will receive a certificate of completion from Morrison Energy Services and will be deployed as Utility Erectors

**Q1.4 - High Level Overview of Weeks / Sessions / Modules** (please identify which):

Please list the key topic areas by week, session, or module (whichever you feel is more appropriate and succinct) using bullet points or short sentences.

*See example below for an 8-week Skills Bootcamp:*

*Week 1 – Introduction to Drylining within the construction industry (theory)*

*Week 2 – Introduction to Drylining within the construction industry (practical)*

*Week 3 – CSCS card theory and application*

*Week 3 – Explore the use of Health and Safety in a construction workplace.*

*Week 4 – Drylining Systems*

*Week 5 – Demonstrate skills in Drylining, including fitting and finishing.*

*Week 6 – Develop job ready practical skills for within the construction industry. Week*

*7-8 Progress into employment in Drylining.*

**Response requirement:**

- Provide a high-level overview, listing key topic areas.
- This can be done by week, session, or module as appropriate.
- Bullet points or short sentences are acceptable.

**Maximum 1250 characters**

**1245 characters**

**Week 1: SHEQ/Spill Response, Knots, Transmission Networks Control Person, National Grid Person, Rigging/Slinger/Signaller**

- Grid Code (OC8A) Cross-Boundary Safety Coordination
- RISSP processes - preamble guidance/RISSP completion guidance
- RISSP scenarios on customer connections to National Grid's system
- National Grid's command/control tool

**Week 2: Vehicle Banksman, Impressed Voltage, SHEA Power, Manual Handling**

- Roles of driver/banksman
- Reversing/manoeuvring
- Recommended signals
- Mental health

**Week 1–3: Tower Climbing**

- Assessing risk
- Using harnesses/fall arrest lanyards/fall prevention equipment
- Developing rescue plans/inspecting equipment
- Climbing techniques
- Rescues

**Week 3: Risk Assessment, Loading/Unloading Vehicles, Capstan Winch, First Aid, Climbing/Rescue, Tracto1**

- Load/unload/secure machinery
- Equipment
- Control measures

**Week 4: Nationally Determined Contribution (NDC) Setting to work briefings, site induction**

- NDCs
- How to cut emissions/adapt to climate impacts
- Site induction - Morrison Energy Services

**Week 4–10: Spacing, Blocking Suspension, Platform Installation**

- Point of work earths
- Components of platform, concrete blocks, tirsors
- Positioning/using winches
- Removing platform from crossarm/lower to ground

### **Q1.5 - Week/ Session / Module Plan**

Please complete the table below providing detail for 20% of your proposed [\[1\]](#) curriculum. Please see the examples below for guidance.

- For column one, please identify whether you are outlining by week, session, or module, this is entirely up to you.
- For column two, please identify the learning aim(s) [\[2\]](#) and objective(s) [\[3\]](#) for that week, session, or module. Consider how the aims and objectives for each week, session or module will support learners in successfully completing their Skills Bootcamps course and to meet employer need.

- For column three, please identify what activities learners will be doing in weeks, sessions, or modules. Consider what activities learners should do to support them in developing the knowledge, skills and behaviours needed for the Skills Bootcamp.
- For column four, please identify what methods of assessing or checking you will use to see if learners are making progress during their time on the Skills Bootcamp, and against the aims and objectives. The assessment activity here does not have to be formal or accredited but should enable you to track learners progress between initial and final assessment.




**Maximum 200 characters for each learning aims cell, 400 characters for each learning activities cell, and 200 characters for the progress cells.**

	Session	Learning aims/objectives	What activities are the learners completing and how is it being delivered (mode, e.g., online, face to face, blended)?	How will you know if the learner is making progress? How are you going to check for this?	
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<b>Session – Spacing including Practical Earthing</b>	Learners can install and remove a square rig, including installing earths or double DrESS within sparrow plates whilst ensuring Health & Safety is paramount	<p><b>All face-to-face activities</b></p> <p><b>Tutor led presentation</b> on application of earths for accessing conductors</p> <p><b>Group exercise</b> – installing square rig</p> <p><b>Individual practical exercise</b> – install sparrow plate</p> <p><b>Q&amp;A</b> on safety measures whilst installing a rig</p> <p><b>Group exercise</b> – derig DrESS equipment from a tower</p>	<p>Q&amp;A – Trainer checks knowledge</p> <p>Group discussions – Trainer identifies gaps in knowledge</p> <p>Trainer/peer observation of practicals</p>
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<b>Session – First Aid</b>	<p>Learners can:</p> <ul style="list-style-type: none"> <li>• Describe roles and responsibilities of a first aider</li> <li>• Respond in emergencies</li> <li>• Respond to unresponsive/choking/bleeding casualties and those suffering from shock and minor injuries</li> </ul>	<p><b>All face-to-face activities</b></p> <p><b>Group discussions:</b></p> <ul style="list-style-type: none"> <li>• Roles and responsibilities of a first aider</li> <li>• How to minimise risk of infection</li> <li>• Need for consent</li> </ul> <p><b>Group discussion</b> on when to administer CPR</p> <p><b>Practical exercises</b></p> <ul style="list-style-type: none"> <li>• How to give CPR using a manikin</li> <li>• How to put someone in recovery position</li> <li>• Monitor breathing • Use a defibrillator (AED) <b>Q&amp;As:</b></li> <li>• How to conduct a scene survey</li> <li>• How to summon assistance</li> </ul>	<p>Q&amp;A – Trainer checks knowledge</p> <p>Group discussions – Trainer spots gaps</p> <p>Trainer/peer observation of practicals</p> <p>Initial, summative, formative, knowledge tests</p> <p>Progress review: 1-2-1 and update ILP</p>
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-  Changes may still be made to the proposed curriculum before delivery commences.
-  Aims are the overarching intentions of the course or programme and what learners should leave with once completed. Learning outcomes/objectives are derived from these and articulate clear measures which help to determine if the aims have been met.
-  Objectives are brief statements that describe what learners will be expected to learn by the end of their Skills Bootcamps. The Provider objectives will be different from the learner and linked to the quality of the Skills Bootcamps delivery and ensuring learners have the best possible outcomes when attending a Skills Bootcamp.

Weighting (for Q1 as a total) – 20%

(Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document A Invitation to Participate.**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5b. Technical Response  
Template**

**Question 2**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

## **QUESTION 2 – Learner Engagement**

Describe how you will engage learners throughout the Skills Bootcamp, including during:

- recruitment,
- delivery of training, and
- offer of an interview(s) to ensure they reach completion and achieve a positive outcome.

### **Response requirements:**

Describe how you will:

- Recruit and support potential learners, including those in underrepresented groups. Include how you will provide high quality Information, Advice and Guidance, and screen potential learners to ensure the Skills Bootcamp is appropriate for them and that they fully understand the learning and commitment involved, and how you will ensure that your training is available and accessible to a diverse cohort, representative of the communities in which you will deliver.
- Support learners throughout the Skills Bootcamp to promote learner retention. This should include details of the Wraparound Support that you will provide before, during and after the completion of the training, e.g., mentoring, coaching, behavioural skills development, career advice, interview support including post-interview if not initially successful.
- Support learners throughout the Skills Bootcamp, including throughout the period learners are being linked to interviews, to promote positive outcomes. This should include interview support for learners moving into a new organisation, for existing employees to move into a new role or new responsibilities within their own organisation, or for a self-employed learner to secure new contracts and new opportunities. (As applicable for the learners being supported by the Skills Bootcamp.)

Weighting – 20%

**Maximum characters: 3750**

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document an Invitation to Participate.**

**3727 characters**

Gen2 is part of the City & Guilds (C&G) Delivery Hub, combining the delivery expertise/resources of all C&G businesses. We will engage learners as follows.

#### **Recruiting learners**

- Contact 2,150 employers, plus our subcontractors' networks
- Advertise on employer websites/internal comms
- Social media, e.g LinkedIn, Facebook Sourcing **new entrants:**
- Use referral partners e.g JCP, NCS, RFEA, work programme providers
- School Engagement Officer via in-school events, mock interviews
- Skills/career fairs
- Inspira career advice service
- Work with prisons
- Mailshots to 20,000 customers
- Paid search/SEO

#### **Sourcing employed workers:**

- Engage employer network and industry specialists, e.g Sellafield/ECITB, to identify risk of redundancy
- Provide literature/hold IAG sessions **Sourcing longer-term unemployed:**
- Targeted advertising for high-unemployment regions
- Weekly liaison with DWP
- Referrals from other courses **Sourcing self employed:**
- Mailshot customer database
- Paid search/SEO
- Contribute to business forums
- Work with partners, e.g Trade Up

Our Learning Experience Team (LET) hold IAG, Equality, Diversity and Inclusion and Safer Recruitment qualifications. Recruitment is fair/non-discriminatory, with equal opportunity for all, including those with learning difficulties/additional support needs, including:

- Additional time/flexibility in aptitude testing, e.g flexible location
- Applicants disclosing disability guaranteed interview
- Informing interview panel of specific adjustments **Reaching under-represented**

#### **groups:**

- Engage charities, e.g BounceBack and Offploy for training prisoners on release
- Train in prisons – 82% job outcomes to date
- Advocacy work for under-represented groups e.g. deprived young people (St Giles Trust), disadvantaged Londoners (City of London)

- Target marketing to address challenges/support available

**IAG:** Underpinned by our **Matrix Accreditation**, IAG is central for supporting learners/ensuring Skills Bootcamps enable choice of employment/career options. We cover:

- Duration/time commitment/attendance
- Resources
- Assessment
- Accreditation/certification
- Interview expectations
- Benefits/outcomes of Skills Bootcamp

### **Screening/wraparound support for retention**

We will continue learner support for all Skills Bootcamps, with 100% learners progressing into employment.

#### **Before**

- **Screening** to ensure Skills Bootcamp appropriate:
  - Identify previous learning issues/barriers
  - Industry explanation
  - Outcomes required
  - Progression routes
  - Expectations of Skills Bootcamp
- Assess Right to Work
- Ensure learners only take one Skills Bootcamp per 12 months
- Unsuccessful applicants signposted to alternative provision

**During:**

- Support from Trainer
- Pastoral support
- Safeguarding Lead
- Individual Learning Plans
- Mentoring/coaching
- Employer meetings/industry talks
- Soft skills and behaviours required for the job
- After:**
- Exit interview
- Contacts to support employment/progression
- 3/6/9/12-month check-ins
- Workplace mentor
- Support to attend interview
- Post interview if unsuccessful
- Employer support for induction
- Self-employed - regular check-ins to offer support/guidance/career information

### **Learner support for positive outcomes**

Our Skills Bootcamps are designed using skills shortage vacancies (SSV) data and employer skills/vacancy needs, so we confirm that 100% of learners are guaranteed an interview for a role matching the skills acquired.

We ensure learners connect with the future employer from the start. Employers carry out early interviews to understand learners' goals and learners can ask questions, ensuring both learner/employer are comfortable.

Our LET supports learners with CVs/interview skills and we offer 10-min speed interviews with local employers to practise techniques.



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5c. Technical Response  
Template**

**Question 3**



**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 3 – Employer Engagement**

**3.1** Describe how you will:

- engage employers to ensure that the training is targeted to meet employer needs,
- ensure employers remain engaged with the Skills Bootcamp throughout delivery, and
- secure learners the offer of an interview and support them to achieve positive outcomes.

**3.2** Provide contact details for 3 employers that you are working with on the end-to-end design/development and delivery of the proposed Skills Bootcamp; and who intend to use the Skills Bootcamp to fill specific vacancies within their organisation. You must provide details for each procurement category you are bidding into.

Where you are an employer using a Skills Bootcamp to train your own employees, you are only required to provide your own employer contact details, making it clear that you are an employer supplier.

#### **Response requirements:**

Describe how you will work with employers to:

- Ensure you have identified sufficient employers/opportunities according to your learner numbers and how you will develop your Skills Bootcamps in line with specific needs to fill vacancies/roles in the organisations you are working with, including understanding what technical and soft skills recruiters are looking for in candidates.
- Ensure ongoing employer/organisational engagement throughout the lifetime of the Skills Bootcamp and give examples of the form this will take, including how you will engage Small and Medium Enterprises (SMEs) and / or SMEs in supply chains.
- Secure the offer of an interview for live vacancies, the offer of a new role or responsibilities, or new contract opportunities, relevant to the skills gained within the Skills Bootcamp.

Contact details for employer(s) (or your own organisation, in the case where you are an employer) should include:

- Name of employer organisation and contact
- The Skills Bootcamp category and subcategory this employer engagement is related to
- Employer email address
- Employer telephone number

Working with the employers listed in 3.2 will be condition of the contract, with any variations subject to DfE approval at contract mobilisation.

**Maximum characters: 3750** (employer contact details not included in maximum character count)

Weighting – 20%

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document an Invitation to Participate.**

**3740 characters**

### Sufficient employers/opportunities

Gen2 is part of the City & Guilds (C&G) Delivery Hub, combining the delivery expertise/resources of all C&G businesses. The C&G Delivery Hub has relationships with 2,150 rail/construction/engineering employers, and a subcontractor network of 1,000+ employers. *Our approach starts by identifying priority skills shortage vacancies (SSV), then targeting employers based on size/sector. We design Skills Bootcamps alongside employers and subcontractors to provide learners with the knowledge, skills and behaviours sought by employers/recruiters.* DfE can be assured that all designs are based on insight into technical/soft skills shortages, guaranteeing positive outcomes:

- **Employer demand:** Employers have confirmed SSV to match the 1,330 learner places in our proposal, guaranteeing job outcomes/progression. We will continually monitor/prioritise demand
- **Job postings:** We engaged Lightcast, providers of information on occupations/skills demand/career pathways, to **identify job postings specific to Skills Bootcamps** within this Category. For instance:
  - **Highways Operative:** Demand has increased by 60% over 5 years, with shortages in the East, South West and South East
  - **Construction Operative:** Demand for this skill has increased by 41%, with shortages across the country
- **Our market analysis:** Our survey of 400 L&D managers **outside our customer base** measured awareness of/appetite for Skills Bootcamps:
  - 36% plan to deliver Skills Bootcamps in next 2 years
  - 47% very likely to consider funded Skills Bootcamps – a chance to drive uptake
- **Industry insight**
  - Construction Skills Network report (CSN) - 225,000 extra workers required by 2027, across all 9 English regions
  - CSN report - 58% of construction employers struggling to hire skills

### Ensuring ongoing employer engagement

We meet monthly with each employer to review progress/identify improvements. **We engage employers extensively in delivery** so learners know what to expect of the job/market, preparing for transition to job/role:

- Induction from employers: ○ Set expectations about job/market ○ Is the industry suitable for learner? ○ Importance of behavioural skills as well as technical
- Employer attendance at screening days
- Inviting learners to view their premises
- Delivery of sessions, e.g Beonsite on Construction Operative Skills Bootcamp
- On-site observations of delivery
- MS Teams sessions
- Employer support for those who are struggling

### **Engaging with SMEs**

SMEs form the backbone of our supply chain - Wave 2 (W2) extension, 86% of employers were SME and 77% in Wave 3. We have engaged with 650+ SMEs as well as the self-employed.

We understand SME challenges and how to tailor support e.g. workforce planning to identify skills shortages. We match attendees to SSV so our designs reflect the unique requirements of smaller organisations, as well as large. Each SME has an Account Manager to discuss training, vacancies and support.

### **Guaranteed interviews and job offers**

We ensure learners connect with future employers at the start - employers conduct interviews to understand learners' goals, and for learners to ensure the role is right. As our Skills Bootcamps are designed using SSV/employer skills/vacancy needs, we confirm that 100% are guaranteed an interview for a role matching their new skills.

Outcomes for W2/W2 extension for the C&G Delivery Hub are shown below (\*we are collecting data until Sept 23 for W3).

	W2	W2 Ext*
<b>Number of learners completed</b>	233	258
<b>% job interviews for learners</b>	100%	100%
<b>% successful outcomes within 6 months</b>	93%	98%
<b>Retention</b>	100%	100%

For the self-employed, upon completion, our Contracts and Partnership Team contacts them for written confirmation on how the Skills Bootcamp has supported new opportunities.

Sub Category	Employer 1	Employer 2	Employer 3
Construction & The Built Environment	<p>Name of employer organisation and contact: [REDACTED]</p> <p>The Skills Bootcamp category and subcategory this employer engagement is related to: Category 1: Construction &amp; the Built Environment, Construction &amp; The Built Environment (General)</p> <p>Employer email address: [REDACTED]</p> <p>Employer telephone number: [REDACTED]</p>	<p>Name of employer organisation and contact: [REDACTED]</p> <p>The Skills Bootcamp category and subcategory this employer engagement is related to: Category 1: Construction &amp; the Built Environment, Construction &amp; The Built Environment (General)</p> <p>Employer email address: [REDACTED]</p> <p>Employer telephone number: [REDACTED]</p>	<p>Name of employer organisation and contact: [REDACTED]</p> <p>The Skills Bootcamp category and subcategory this employer engagement is related to: Category 1: Construction &amp; the Built Environment, Construction &amp; The Built Environment (General)</p> <p>Employer email address: [REDACTED]</p> <p>Employer telephone number: [REDACTED]</p>

**Category1: Construction and the Built Environment**



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5d. Technical Response  
Template**

**Question 4**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

#### **QUESTION 4 – Resources and Delivery**

Describe how you will ensure that you have the right resources and systems in place to deliver successful Skills Bootcamps.

#### **Response requirements:**

Describe how you will use data/information to inform your training course(s) and learner numbers by describing how:

- The human resources and equipment that you will use will be sufficient and appropriate to successfully deliver the Skills Bootcamp provision for all learners including supporting data and evidencing requirements.
- Your proposal aligns with and does not duplicate the skills priorities and delivery of Mayoral Combined Authorities/Local Authorities and/or Local Enterprise Partnership(s) and their Skills Boards or comparable bodies.
- You will ensure that training is delivered by suitable, experienced, and appropriately qualified practitioners, and that you have sufficient trainer resource in place to effectively monitor and promote learner progress through all your proposed Skills Bootcamps.

#### **Maximum characters: 3750**

Weighting – 20% (Where Pathway to Accelerated Apprenticeships delivery taking place – 15%)

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document an Invitation to Participate.**

**3692 characters**

Gen2 is part of the City & Guilds (C&G) Delivery Hub, combining the delivery expertise/resources of all C&G businesses, offering 20+ years' experience delivering specialist training, assessments, mentoring and vocational programmes, including Apprenticeships and Study/AEB programmes to support the unemployed. The C&G Delivery Hub:

- Is currently managing £36m of Apprenticeship provision
- Has delivered £1.5m of Wave 2 (W2) +W2 extension provision



We have delivered 23 different Skills Bootcamps across several sectors. We will use this experience to monitor and evaluate/improve performance and ensure we have the correct resources in place to deliver further Skills Bootcamps.

#### **Human resources/equipment**

Together with our subcontractors, we will provide all Skills Bootcamp resources and equipment. Most sessions will be delivered by the C&G Delivery hub team of 200+ qualified Trainers at one of 20 C&G training centres or at a partner's centre. Resources include:

- Classrooms, simulated work environments, catering facilities
- Personal Protective Equipment
- Industry equipment, e.g. welding
- Learning resources- lesson plans/training materials from accreditation bodies
- IT equipment

We will manage all requests, book trainers/rooms and deal with enquiries, using our booking system. We conduct weekly resource planning of our pool of qualified trainers- using a supplier pool as contingency.

#### **Supporting data/evidence**

Having delivered highly successful Skills Bootcamps in W2, we received a W2 extension, Wave 3 (W3) and W3 extension. Our delivery has expanded, and our approach has matured into a streamlined, risk-free model.

	W2	W2 Ext*
<b>Number of learners completed</b>	233	258
<b>% job interviews for learners</b>	100%	100%
<b>% successful outcomes within 6 months</b>	93%	98%
<b>Retention</b>	100%	100%

*\*We have until Sept 2023 to obtain successful outcomes. (W3/W3 Extension data not available - training still in progress.)*

#### **Collaboration to align/prevent duplication**

Links with local bodies in SCR/WMCA/GMCA/GLA mean we are updated on skills needs and not competing for the same employers/vacancies. We will align provision through:

- A national communications initiative with LEPs/LAs/MCAs, Skills Boards and partners to notify of our Skills Bootcamps activity
- Roundtables, project meetings, employer events and local/national skills agenda events
- Working nationally with local bodies regarding their skills/training requirements and planned procurement/funding allocation To prevent duplication:
- Planning/laising with employers at national level
- Consulting Skills for Life website

- Gathering employer expressions of interest, confirming no duplication

### **Suitably experienced/qualified practitioners**

We have more than enough suitably qualified/experienced practitioners to begin delivery from 2024. Learner progress will be monitored and promoted by ensuring all Trainers:

- Hold teaching/assessing qualifications
- Have current industry knowledge/competence
- Are safeguarding, PREVENT trained
- Complete 30 hours CPD annually, and attend at least 3 CPD events
- Conduct annual online exams
- Are assessed against robust quality criteria
- Are regularly observed in delivery, with 1-2-1 feedback on style/content/engagement
- Are internally audited to verify learning/assessment
- Share best practice through peer review, IQA strategy and Assessor standardisation

Our qualified Trainers equip learners with the skills and qualifications to achieve sustainable employment and career progression, ensuring learners are work ready.

Our Head of Quality & Compliance will monitor teaching, and our observation strategy is in line with Ofsted. Our Contracts and Partnership Manager will ensure subcontractors deliver to standard.



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5e. Technical Response  
Template**

**Question 5**

**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

### **QUESTION 5 - Quality Assurance & Continuous Improvement**

Describe your quality assurance, continuous improvement, and risk management processes to ensure that you deliver a high-quality service. Include how you will:

- collect,
- manage,
- report, and
- use data to evidence and improve your own performance and meet the Department for Education's data requirements and how you will manage and mitigate risk.

### **Response requirements:**

Describe your approach to quality assurance and continuous improvement processes, including how you will:

- Ensure that all required data, including from subcontractors, is collected, and reported to the Department for Education, and ensure reporting is timely, accurate, and complete.
- Manage the key risks to delivery and what mitigations you propose to undertake in response to those risks.
- Implement robust and auditable procedures for logging, managing, escalating, and resolving issues and complaints.
- Use your data to evidence, review and improve your performance (e.g., learner dropouts, completions, outcomes, quality of training etc).

**Maximum characters: 2500**

Weighting – 10%

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document an Invitation to Participate.**

**2500 characters**

Gen2 is part of the City & Guilds Delivery Hub, combining the delivery expertise/resources of all C&G businesses. The Hub uses ISO9001 Quality Management System (QMS) and Quality Assurance (QA) according to ESFA/DfE Ofsted, Apprenticeship Standards rules, reinforced by C&G's QA:

- Delivery observations with 121 feedback
- Internal learning/assessment audits

- Best practice shared via peer review, IQA strategy etc
- Stakeholder feedback

### **Collecting/Reporting Data**

We have extensive experience collecting/managing/reporting SKB data. Our MI System (in place since 2017), and MIS/Funding and Compliance team will:

- Collect learner/employer data to meet DfE's needs (ID/Right to Work, Companies House/credit checks)
- Submit accurate/timely ILR Return in line with ILR Freeze Schedule, using Funding Information Service/Provider Data Self-Assessment Toolkit to ensure zero funding errors on FRM reports
- Submit monthly reports to Senior Leadership to achieve best outcomes/completions
- Follow internal MI audit calendar for ILR/learner file reviews to ensure accuracy

*We have zero service failures for late ILR returns, DfE audited with zero errors (quality/accuracy).*

### **Subcontractor Quality**

Subcontractors managed via monthly meetings, reviews/unannounced quality/compliance checks and robust SLAs. Our CPT will:

- Select/onboard, ensuring licensed under relevant Awarding Body
- Monitor subcontractor quality/report KPIs
- Conduct monthly contract meetings

### **Risks**

A risk log is updated weekly, reviewed at contract/Governance meetings and shared with DfE.

Typical risks:

#### **Session cancelled at short notice**

- Large/multi-skilled team provides cover
- 3-tier subcontractor system gives contingency
- Possibly re-book on open courses

#### **High drop-outs**

- Clarify expectations
- Pastoral support, 1-2-1s, IAG

#### **Subcontractor underperformance**

- Robust subcontractor policy
- Oversight by CPT in line with QA/Quality Management Framework

Risks on QMS registers are audited annually. *We hold an ESFA low-risk rating, with zero error ratings for eligibility.*

### **Resolving complaints**

We will log, manage, escalate and resolve issues/complaints in line with DfE requirements.

### **Data and Continuous Improvement (CI)**

We implement CI through QA, self-assessment, employer/learner surveys, formative/summative assessment and monthly employer meetings, reporting against KPIs. Information is recorded on

our QI Plan, raised at monthly meetings and fed into annual SARs. informing our QI strategy. CI reporting format/frequency to be agreed with DfE.



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 5f. Technical Response  
Template**

**Question 6**



**Important Note to Potential Suppliers:** Please refer to Document 5 Technical Response Instructions for full information on the question requirements.

**QUESTION 6 - Social Value** [Social value theme 2 – Tackling Economic Inequality]

Please outline what positive commitments you, as a Potential Supplier, can make to increasing social value and reducing economic inequality **within your workforce**. Please outline how you would engage with stakeholders, measure, and monitor progress, and what innovative, sustainable approaches in the form of improved tools, techniques, and technologies you would deploy.

For the avoidance of doubt your response must make clear how the measures you are proposing are in addition to the core delivery activity that you are proposing to meet the requirements of the Specification.

**Response requirements:**

In answering the above question, please include in your response **all** the following elements:

- Enabling or promoting access by **your contract workforce** to apprenticeships, T Level industry placements or other learning and development opportunities at level 2, 3, and 4+ or equivalent).
- Other activities to support relevant sector related skills growth and sustainability **in the contract workforce** (illustrative examples: careers talks, curriculum support, literacy support, safety talks and volunteering).

Since 2013, the Social Value Act has required social value to be considered in the design of contracts, with government departments expected to report on the social impact of their major contracts. To facilitate this, we have included a specific social value question. **Social Value is the additional value derived from the delivery of a contract where a wider community or public benefit can be created, for example to your own staff or to your local community. For Skills Bootcamps it is important to note social value cannot include the services already being delivered to learners as outlined in the Specification.** Your response must therefore make clear how the measures you are proposing are in addition to meeting the requirements of the Specification. The scoring of this social value question is based on narrative responses from bidders and not on volumes.

The Social Value Act 2012 calls for all [public sector](#) commissioning to "have regard to" economic, social, and environmental well-being in connection with public services contracts; and for connected purposes. It requires that all public bodies in [England and Wales](#), to consider how the services they [commission and procure](#) which are expected to cost more than the [thresholds](#) provided for in the Public Contracts Regulations might improve the social, economic and [environmental well-being](#) of the area.

**Maximum characters: 1000**

Weighting: 10%

**Please write your response to this question in this Word document template and upload it to the relevant question answer area in the Jaggaer e-Sourcing Portal within the Technical Envelope.**

**Please ensure your document response is named as per the response naming guidance in Document 1 Procurement Document an Invitation to Participate.**

**1000 characters**

In addition to core delivery, we will increase social value/reduce economic inequality within our workforce through:

**Apprenticeships:** We will enroll 2 Apprentices (Levels 1-4) to work across Skills Bootcamps, recruiting from economically disadvantaged areas/groups to give opportunities for a career/grow in a constructive, safe, supportive environment

**Learning and development:** We will:

- Host 8 CPD training days for construction Trainers ensuring skills/knowledge progression, with access to 121/peer coaching to boost confidence and stimulate personal/career growth
- Enable staff to volunteer locally, using skills/experience to connect with communities we serve, developing interpersonal skills and sense of fulfilment

Also, as part of City & Guilds (a UK charity), any surplus we make from Skills Bootcamps will be reinvested in developing work-ready school leavers/inspiring managers/productive workforces.

At contract review meetings we will update DfE of progress in achieving our commitments.



Department  
for Education

# **DfE Skills Bootcamps Dynamic Purchasing System**

**Document 4a. Contract Order Form  
Construction and the Built Environment**

**ITT\_2390 Construction & the Built Environment Category**

## Order Form

**THE CUSTOMER:** The Department for Education

**CUSTOMER ADDRESS:** Sanctuary Buildings Great Smith Street **Westminster** London, SW1P 3BT

**THE SUPPLIER:** GENII Engineering & Technology Training Limited

**SUPPLIER ADDRESS:** Blackwood Road, Lillyhall Industrial Estate, Workington, Cumbria, CA14 4JJ

**REGISTRATION NUMBER:** 03804696

**DUNS NUMBER:** 238123645

**DPA SUPPLIER REGISTRATION SERVICE ID:** [REDACTED]

## APPLICABLE DPA

This Order Form is for the provision of the Service Requirements and dated 29<sup>th</sup> June 2023. It is issued under the DPA with the Jaggaer Category Assessment reference number [REDACTED] for the provision of Skills Bootcamps.

## DPA SERVICE CATEGORY(IES):

As set out in Annex 1

## DPA SUB-CATEGORY(IES)

As set out in Annex 1

## GEOGRAPHICAL FILTER(S)

As set out in Annex 1

**PATHWAY TO ACCELERATED APPRENTICESHIP** Yes

## GREEN SKILLS

Yes

## ORDER INCORPORATED TERMS

The following documents are incorporated into this Call Off Contract. If the documents conflict, the following order of precedence applies:

1. This Order Form [including the Order Special Terms and Order Special Schedules].
2. The Call-Off Contract conditions and schedules
3. This will incorporate all of the DPS Special Terms into the Order Contract.
4. Order Schedule 4 (Order Tender) as long as any parts of the Order Tender that offer a better commercial position for the Customer (as decided by the Customer) take precedence over the documents above.

No other Supplier terms are part of the Call Off Contract. That includes any terms written on the back of, added to this Order Form, or presented at the time of delivery.

## ORDER SPECIAL TERMS

**CALL OFF CONTRACT COMMENCEMENT DATE:** 01/02/2024

**CALL OFF CONTRACT EXPIRY DATE:** 31/01/2026

**CALL OFF CONTRACT INITIAL PERIOD:** 2 Years

**CALL OFF CONTRACT EXTENSION PERIOD(S):** The contract contains extension provisions which enable the Authority to exercise discretion and extend for up to 24 months on a number of occasions up to a maximum total term (including the initial term) to align with the DPS lifespan of 6 years. Any extension will be subject to funding approval and government priorities for skills.

**SERVICE REQUIREMENTS:**

As set out in DPA and Annex 1 below

**SUPPLEMENTARY SERVICE REQUIREMENTS (See Schedule 2 Part A Section D)**

**DELIVERY PLAN TRAJECTORY POINTS:** See Schedule 2 Parts 2 (performance Management) and 3 (Contract Management and Management Information).

**MAXIMUM LIABILITY**

The limitation of liability for this Order Contract is stated in Clause 25.3 of the Call Off Contract. The Contract Charges used to calculate liability under Clause 25.3 of the Call Off Contract

**CONTRACT CHARGES**

See details in Call Off Contract Schedule 3 (Contract Charges, Payment, and Invoicing)

**PAYMENT METHOD**

Through the ILR and as set out in Clause 14 and Paragraphs 5.2 – 5.4 of Schedule 3 of the Call Off Contract.

**CUSTOMER'S AUTHORISED REPRESENTATIVE:**

[REDACTED]

Deputy Director Skills & Strategy Commercial Directorate

[REDACTED]

Sanctuary Buildings Great Smith Street Westminster London, SW1P 3BT

**CUSTOMER'S SECURITY POLICY**

As set out at Schedule 4 (Security) of the Call Off Contract

**SUPPLIER'S AUTHORISED REPRESENTATIVE**

[REDACTED]

Balby Court Business Campus, Balby Carr Bank, Doncaster, DN4 8DE

**PROGRESS REPORT FREQUENCY**

As set out in Part 3 (Contract Management and Management Information) of Schedule 2 (Service Requirements) in the Call Off Contract.

**PROGRESS MEETING FREQUENCY**

As set out in Part 3 (Contract Management and Management Information) of Schedule 2 (Service Requirements) in the Call Off Contract.

**KEY SUBCONTRACTOR(S)**

As set out in Schedule 14 (Sub-Contracting) of the Call Off Contract

**COMMERCIALLY SENSITIVE INFORMATION**

Not applicable

**GUARANTEE**

Not applicable

### **SOCIAL VALUE COMMITMENT**

The Supplier agrees, in providing the Service Requirements and performing its obligations under the Call Off Contract, that it will comply with the social value commitments in Schedule 13 (Contract Tender) of the Call-Off Contract.

<b>For and on behalf of the Supplier:</b>		<b>For and on behalf of the Customer:</b>	
Signature:		Signature:	
Name:		Name:	
Role:		Role:	
Date:		Date:	

### **Annex 1: Service Requirements**

	Para(s) in SR	Requirement	
<b>PART A</b>			
1.	1.2, 9.1.1, (Part B, para 1.1)	The Level of which the SBC will be an equivalent as follows	Level 2 to 5 or equivalent
2.	2.3	The requirements for a relevant Competition as set out in this Contract Order Form	N/A
3.	8, 9, 10, 11, 12, 13, (Part B,	The Category of the SBC	The Category is Construction and the Built Environment.
4.		The Sub-category (level 1) of the SBC	

5.	paras 3, 4, 5, 6), (Part C, para 2.2)	The Sub-category (level 2) of the SBC	<p>The Sub-categories (level 1) are:</p> <p>Construction and the Built Environment (general)</p> <p>Pathway to Accelerated Apprenticeships in Construction and the Built Environment</p> <p>Green Skills in Construction and the Built Environment</p> <p>The Sub-categories (level 2) for <b>Construction and the Built Environment (general)</b> and <b>Green Skills in Construction and the Built Environment</b> are:</p> <p>Building services engineering</p> <p>Design, surveying &amp; planning</p> <p>Onsite construction</p>
6.		The Geographical Filter of the SBC	
			<p>Emerging Skills</p> <p>The Sub-categories (level 2) for <b>Pathway to Accelerated Apprenticeships in Construction and the Built Environment</b> are:</p> <p>Building services engineering</p> <p>Design, surveying &amp; planning</p> <p>Onsite construction</p> <p>All competitions are National.</p>
	8.3		

7.		The minimum percentage of SME Employers that a Supplier must engage as part of its employer engagement	N/A
8.	8.5	For Employers using Skills Bootcamps to train their own employees only: -  The amount of Employer Financial Contribution which a Supplier must secure the Employer's commitment to contribute	30% generally; 10% for small and medium enterprises (SMEs)
9.	9.1.3, 12.1.4	The duration (in weeks) of the SBC	Maximum 16 weeks.
10.	9.1.4(c)	The minimum number of Guided Learning Hours that the SBC will comprise	60 GLH
11.	11.1, 11.1.6(c)	The period of time after a Learner finishes the training of a Skills Bootcamp during which the Supplier must track	Suppliers will be expected to achieve positive outcomes with learners within six months of the learner completing the training of the Skills Bootcamps unless the

		whether the Learner has achieved a positive outcome  (See para 11.1.6. of the Service Requirements)	Customer states otherwise. Suppliers should track learners within this 6-month period until the learner achieves a positive outcome.
12.	14	The milestone payments to be paid to a Supplier on achievement of Outcomes.	Commencement: 40% Completion: 30% Positive outcome: 30%
12.1		The dates at which payment milestones must be achieved, in line with delivery plans	20% of starts by 31 <sup>st</sup> March 2024  Completions by 31 <sup>st</sup> July 2025 Outcomes by 31 <sup>st</sup> January 2026



13.	14.1.1(d)	In relation to an <b>Independent Positive Outcome</b> , the minimum number of <i>hours per week</i> which a <u>job</u> (that a Learner secures following an SBC) comprises	Not applicable.
14.		In relation to an <b>Independent Positive Outcome</b> , the minimum <i>gross annual salary</i> which a <u>job</u> (that a Learner secures following an SBC) pays	
15.	14.2.1(d)	In relation to a <b>CoFunded Positive Outcome</b> , the minimum number of <i>hours per week</i> which a <u>job</u> (that a Learner secures following an SBC) comprises	
16.		In relation to a <b>CoFunded Positive Outcome</b> , the minimum <i>gross annual salary</i> which a <u>job</u> (that a Learner secures following an SBC) pays	
17.	14.2.2(d)	In relation to a <b>CoFunded Positive Outcome</b> , the minimum number of <i>hours per week</i> which <u>new responsibilities</u> (that a	

		Learner secures with their Employer following an SBC) comprises	
18.		In relation to a <b>CoFunded Positive Outcome</b> , the minimum <i>gross annual salary</i> which <u>new responsibilities</u> (that a Learner secures with their Employer following an SBC) pays	

19.	14.2.3	In relation to a <b>CoFunded Positive Outcome</b> , the minimum number of <i>hours per week</i> which a <u>job</u> (that a Learner secures following an SBC) comprises	
20.		In relation to a <b>CoFunded Positive Outcome</b> , the minimum <i>gross annual salary</i> which a <u>job</u> (that a Learner secures following an SBC) pays	
PART B			
21.	2.1	The additional requirements that shall apply to each Contract for a Skills Bootcamps for which Pathway to Accelerated Apprenticeship is specified	No additional requirement – requirements in DPA still apply
22.	3.1, 3.2, 3.3	The equivalent Level at which the Supplier will provide apprenticeship training	Level 2 to 5 or equivalent.
23.	7	The milestone payments that the Supplier will receive when the Learner achieves Outcomes	Commencement: 40% Completion: 30% Positive outcome: 30%
23.1		The dates at which payment milestones must be achieved, in line with delivery plans	20% of starts by 31 <sup>st</sup> March 2024  Completions by 31 <sup>st</sup> July 2025 Outcomes by 31 <sup>st</sup> January 2026
PART C			
24.	2.1	The additional requirements that shall apply to each Contract for a SBC for which Green Skills is specified	No additional requirement – requirements in DPA still apply
PART D			

25.	1	The additional requirements that shall apply to each Contract for a Skills Bootcamp for which Supplementary Requirements are specified	N/A
26.	2	Any supplementary service requirements	

## **ANNEX 2**

### **SUPPLEMENTARY SERVICE REQUIREMENTS**

1. As part of the information advice and guidance process (“IAG”), with every potential Learner, suppliers must provide the learner with information about:

- (a) the level of the Skills Bootcamp
- (b) length of the course;
- (c) course outline, including modules taught;
- (d) expected time commitment (including for Self-Guided Learning);
- (e) the offer of an interview on completion of the course and;
- (f) potential employment outcomes, career progression routes, potential geographical location of the roles and salary expectations upon receiving a positive outcome completion of the Bootcamp;
- (g) any other information useful for the learner to make an informed decision about enrolment

and check that the potential Learner understands the possible employment outcomes and intends to use the Skills Bootcamp to achieve an employment outcome which is related to the Relevant Skills

1. Suppliers should provide learners with wraparound support set out in the service requirements. In addition to this, suppliers shall provide throughout the duration of the Skills Bootcamp:

- (i) personalised wraparound career and personal development support to the Learner, taking into consideration the Learner’s employment history and existing skills
- (ii) Sector-specific Behavioural Skills Support (as defined in the service agreements) for employment
- (iii) Assistance with the drafting of industry standard, sector-specific CVs and preparation for interviews personalised to the individual learner need

2.1 Such support may include but is but not limited to:

- (a) giving mock interviews from industry professionals or Employers, with experience in the sector
- (b) Tailored career coaching from industry professionals with experience in the Skills Bootcamps sector)

2.2 As part of the support provided to a Learner that is either unsuccessful at Job Interview or does not attend an interview, as defined in the DPS service requirements, the supplier shall: Based on review, signpost appropriate next steps for the Learner as set out in the DPS service requirements and to include further career and personal development support to pass

See Schedule 2 Parts 2 (performance Management) and 3 (Contract Management and Management Information).

### Document 3. Skills Bootcamps Call Off Agreement