

# **Professional Service Contract**

## **Contract Data Forms**

June 2017 (with amendments January 2019)

## **Contract Execution**

This agreement is made between the Client, the Consultant and the Named Suppliers.

Terms in this agreement have the meanings given to them in the contract between the Environment Agency and Aecom Ltd for Debris Screens Programme Delivery (the *service*).

The Consultant offers to Provide the Services in accordance with these conditions of contract for an amount to be determined in accordance with these conditions of contract.

The Consultant was appointed to the framework and executed the framework agreement (with reference number RM6165).



Executed under hand .....



# **Contract Data**

## PART ONE -

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General The conditions of contract are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017 (with amendments January 2019) Е W2 Option for resolving and avoiding disputes Main Option X2, X9, X10, X11, X18, Y(UK)2, Z1, Z2, Z3, Z8, Z9, Z12, Z130, Secondary Options Z131 The service is To provide Project Management, Cost Management and ECC PM services to the Midlands hub in support of Debris Screen Programme Delivery for a period of 16 months but the parties can agree to extend for a period of up to 12 months through single or multiple extensions. The Client is Name Address for communications Address for electronic communications The Service Manager is Name Address for communications Address for electronic communications The Scope is in

	The language of the contract is	English	
	The <i>law of the contract</i> is the law of	England and Wales, jurisdiction of the cou Wales	
	The <i>period for reply</i> is	2 weeks	except that
	• The period for reply for	n/a	is n/a
	• The period for reply for	n/a	is n/a
	The <i>period for retention</i> is 6 year The following matters will be included in the	r(s) following Completio Early Warning Register	
	Early warning meetings are to be held at in	tonuale no	
	longer than		2 weeks
	5	L	
2 The Consultant's m	ain responsibilities		
If the Client has identified	The key dates and conditions to be met are		
work which is set to meet a stated <i>condition</i> by a <i>key</i>	condition to be met	key	date
date	(1)		
	(2)		
	(3)		
If Option A is used	The <i>Consultant</i> prepares forecasts of the intervals no longer than		4 weeks
		Ľ	+ weeks
If Option C or E is used	The Consultant prepares forecasts of the	total Defined Cost	
	plus Fee and <i>expenses</i> at intervals no lon		4 weeks
3 Time			
		-	
	The <i>starting date</i> is		1 <sup>st</sup> April 2024

	The Client provides access to the following persons, places and	things
	access a	ccess date
	(1) EA offices, EA Staff, Site, FastDraft, Asite	1 <sup>st</sup> April 2024
	(2) Any other Software as required	1 <sup>st</sup> April 2024
	(3)	
	The Consultant submits revised programmes at intervals no	
	longer than	4 weeks
If the <i>Client</i> has decided the <i>completion date</i> for the whole of the <i>service</i>	The <i>completion date</i> for the whole of the <i>service</i> is	31st July 2025 or as extended by agreement between the parties as per the Service.
If no programme is identified in part two of the	The period after the Contract Date within which the	Quarte
Contract Data	<i>Consultant</i> is to submit a first programme for acceptance is	2 weeks
4 Quality managemen	it	
	The period after the Contract Date within which the Consultan	t
	is to submit a quality policy statement and quality plan is	4 weeks, if not previously provided by the <i>Consultant</i>
	The period between Completion of the whole of the service	
	and the <i>defects date</i> is	26 weeks
5 Payment		
• · · · · · · · · · · · · · · · · · · ·	The currency of the contract is the	£ sterling
	The assessment interval is	Monthly
		Wontiny
If the <i>Client</i> states any expenses	The expenses stated by the Client are	
	item amount	
	The <i>interest rate</i> is 2 % per annum (not less tha	n 2) above the
	Base rate of the Bank of Engla	
If the period in which payments are made is not three weeks and Y(UK)2 is not used	The period within which payments are made is 1 Month	
If Option C or E is used and the <i>Client</i> states any locations	The locations for which the <i>Consultant</i> provides a charge for the cost of support people and office overhead are	

If Option C is used	The Consultant's share percentages and the share ranges are					
	share range				Consultant's share percent	age
	less than	80		%	0 – below this threshold any further savings are allocated 100% to the Client	%
	from	80 %	to 120	%	50	%
	from	%	to	%		%
	greater than	120		%	0	%
If Option C or E is used	The exchange rate	es are those publis	shed in	Financia	l Times	
	on 1 <sup>st</sup> April 202	4 (date)				

## 6 Compensation events

If there are additional	These are additional compensation events		
	None		

## 8 Liabilities and insurance

#### If there are additional *Client's* liabilities

These are additional *Client's* liabilities
(1)
(2)
(3)

The minimum amount of cover and the periods for which the *Consultant* maintains insurance are

EVENT	MINIMUM AMOUNT OF COVER	PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE SERVICE OR TERMINATION
The <i>Consultant's</i> failure to use the skill and care normally used by professionals providing services similar to the <i>service</i>	£5 million in respect of each claim, without limit to the number of claims	6 years following Completion of the whole works or earlier termination
Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the <i>Consultant</i> ) arising from or in connection with the <i>Consultant</i> Providing the Service	Whichever is greater of £5 million or the amount required by law in respect of each event, without limit to the number of events	12 months
Death of or bodily injury to employees of the <i>Consultant</i> arising out of and in the course of their employment in connection with the contract	Whichever is greater of £5 million or the amount required by law in respect of each event, without limit to the number of events	For the period required by law

The Consultant provides these additional insurances

(1) Insurance against

Minimum amount of cover is

n/a		
n/a		

The deductibles are	n/a
(2) Insurance against	n/a
Minimum amount of cover is	n/a
The deductibles are	n/a
(3) Insurance against	n/a
Minimum amount of cover is	n/a
The deductibles are	n/a

The Consultant's total liability to the Client for all matters

arising under or in connection with the contract, other than the excluded matters is limited to

£5 million

Resolving and avoidi	ng disputes	
	The <i>tribunal</i> is	Litigation in the courts
If the tribunal is arbitration	The arbitration procedure is	'to be confirmed'
	The place where arbitration	
	is to be held is	'to be confirmed'
		will choose an arbitrator if the Parties cannot agree a <i>dure</i> does not state who selects an arbitrator is
	The Senior Representatives of	the <i>Client</i> are
	Name (1)	
	Address for communication	s
	Address for electronic com	nunications
	Name (2)	
	Address for communication	s
	Address for electronic com	nunications
	The Adjudicator is	
	Name	'to be confirmed'
	Address for communication	s 'to be confirmed'
	Address for electronic com	nunications 'to be confirmed'
	The Adjudicator nominating be	ody is Institution of Civil Engineers

X2: Changes in the la	W		
If Option X2 is used	The law of the project is	The law of England and W jurisdiction of the courts of	
X5: Sectional Comple	tion		
If Option X5 is used	The completion date for each	n section of the <i>service</i> is	
	section	description	completion date
	(1)		
	(2)		
	(3)		
	(4)		
X7: Delay damages			
If Option X7 is used without Option X5	Delay damages for Comple	tion of the whole of the <i>service</i> a	are per day
If Option X7 is used with	Delay damages for each sec	<i>tion</i> of the <i>service</i> are	
Option X5	section	description	amount per day
	(1)		
	(2)		
	(3)		
	(4)		
	The delay damages for the	remainder of the <i>service</i> are	
X8: Undertakings to (	Others		
If Option X8 is used	The undertakings to Others a	are provided to	
X9: Transfer of Intelle	ectual Property Rights		
X10: Information mod	lelling		
If Option X10 is used			
If no <i>information</i> execution plan is		ract Date within which the Const	
identified in part two of the Contract Data	Information Execution Pla	n for acceptance is	2 weeks
X11: Termination by th	e Client		
X13: Performance bond	\$		
f Option X13 is used	The amount of the performan	ce bond is	
Professional Service Contra			

X18: Limitation of I	iability	
If Option X18 is used	The <i>Consultant's</i> liability to the <i>Client</i> for indirect or consequential loss is limited to	£5 million
	The <i>Consultant's</i> liability to the <i>Client</i> for Defects that an not found until after the <i>defects date</i> is limited to The <i>end of liability date</i> is 6 years after the Con	e £5 million npletion of the whole of the <i>service</i>
X20: Key Performar	nce Indicators (not used with Option X12)	
If Option X20 is used	The incentive schedule for Key Performance Indicator	s is in

A report of performance against each Key Performance Indicator is provided at intervals of

months

## Y(UK)1: Project Bank Account

Charges made and interest The Consultant is / is not to pay any charges made and to be paid any interest paid by project bank (Delete as applicable)

## Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

If Option Y(UK)2 is used The period for payment is 14 days after the date on which payment become days after the date on which payment becomes due
--

## Y(UK)3: The Contracts (Rights of Third Parties) Act 1999

If Option Y(UK)3 is used	term	beneficiary
If Y(UK)3 is used with	term	beneficiary
Y(UK)1 the following entry is added to the table for Y(UK)3	The provisions of Options Y(UK)1	Named Suppliers

### If Option Z is used The additiona

#### The additional conditions of contract are

### Z1 Disputes:

Option W2 subclause W2.1(4) is deleted. The Parties agree that adjudication under Clause option W2 should only commence if the dispute resolution procedure has been exhausted and that the dispute resolution procedure in the Scope, takes precedence over Option W2.

### Z2 Prevention

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replace with:

The service is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants,
- Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- Natural disaster,
- Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

### Z3 Disallowed Costs

In second bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken). Add the following additional bullets after 'and the cost of ' :

• Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans.

• Reorganisation of the Consultant's project team.

• Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats.

· Exceeding the Scope without prior instruction that leads to abortive cost

• Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.

• Production or preparation of self-promotional material.

• Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)

• Any hours exceeding 8 per day unless with prior written agreement of the Service Manager

• Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager

• Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager

• Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to *Consultant* performance.

• Costs associated with rectifications that are due to *Consultant* error or omission.

• Costs associated with the identification of opportunities to improve our processes and procedures for project delivery

through the Consultant's involvement

· Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements

### **Z4 Share on Termination**

Delete existing clause 93.3 and 93.4 and replace with:

93.3 In the event of termination in respect of a contract relating to services there is no Consultant's share.

#### **Z5 Secondments**

When appointing Consultants on a secondment basis only:

## Add clause 19

19.1 The *Client* will from starting date to Completion Date indemnify the *Consultant* against any and all liabilities, proceedings, costs, losses, claims and demands whatsoever arising directly or indirectly out of the activities of the *Consultant* in providing the services save where such claims, in the reasonable opinion of the *Client*, arise from or are-contributed to by:

19.1.1 Misrepresentation or negligence by or on behalf of the Consultant;

<del>or</del>

19.1.2 The Consultant has acted contrary to the Service Manager's reasonable instructions or wholly outside the scope of the Consultant's duties as defined by the Service Manager.

#### Z7 Linked contracts

Issues requiring redesign or rework on this contract due to a fault or error of the *Consultant* under this contract or a previous contract will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract under this project or programme.

### Z8 Requirement for Invoice

Insert the following sentence at the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the Service Manager's certificate.

Delete existing clause 51.2 and insert the following:

51.2 Each certified payment is made by the later of

• one week after the paying Party receives an invoice from the other Party and

• three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated. If a certified payment is late, or if a payment is late because the *Service Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made.

#### **Z9** Conflict of Interest

The Consultant immediately notifies the *Client* of any circumstances giving rise to or potentially giving rise to conflicts of interest relating to the *Consultant* (including without limitation its reputation and standing) and/or the *Client* of which it is aware or which it anticipates may justify the Client taking action to protect its interests. Should the Parties be unable to remove the conflict of interest to the satisfaction of the *Client*, the *Client*, in its sole discretion, may terminate this Contract.

#### Z12 Waiver

No waiver shall be effective unless it is expressly stated to be a waiver and communicated to the other Party by the *Service Manager* in writing in accordance with the Contract, and with express reference to Clause Z12. The failure of either party to insist upon strict performance of the Contract, or any failure or delay in exercising any right or remedy shall not constitute a waiver or diminution of the obligations established by the Contract.

#### Z125 Limitation of Liability

Under clause 87.1; after the fourth bullet point; insert the additional bullet points:

• loss of or damage to the *Client's* property, to the sum that the *Consultant* is required to insure under the contract in respect of such loss or damage,

• death of or bodily injury to employees of the Consultant arising out of and in the course of their employment in connectionwith the contract, to the sum that the Consultant is required to insure under the contract in respect of such death or bodilyinjury.

#### Z 130 Rate adjustment

Z130.1 The Defined Cost for People Rates shall be increased by the same proportion and on the same date as the appropriate *Framework Prices*.

Z130.2 (Option C ONLY) The Prices are adjusted for the outstanding portion of the Prices for the amendment to rates in Z130.1.

#### Z 131 Change to the Schedule of Cost Components

Add clause 11.2(19) The People Rates are the *people rates* unless later changed in accordance with the contract and provided that at all times and under any circumstance howsoever arising the People Rates do not exceed the equivalent and directly comparable Framework Price as set out in Crown Commercial Services (CCS) Construction Professional Services Framework RM6165.

In the Schedule of Cost Components delete the section titled People and replace with:

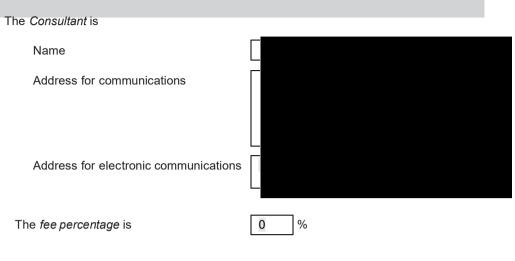
### People

1 The following components of the cost of people.

11 Amounts calculated by multiplying each of the People Rates by the total time appropriate to that rate properly spent on work on the contract.

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

## 1 General



The key persons are

service

service		
	s	

The following matters will be included in the Early Warning Register

## 2 The Consultant's main responsibilities

If the <i>Consultant</i> is to provide Scope			
5 Payment			
If the Consultant states expenses	The <i>expenses</i> st	ated by the Consultant are any	
	item	amount	
If Option A or C is used			
If Option E is used			
Resolving and avoid	ding disputes		
	The Senior Repr	resentatives of the Consultant are	
	Name (1)		
	Address for	communications	

Address for electronic communications

Name (2)

Address for communications

Address for electronic communications



X10:	Information	modelling

If Option X10 is used							
execution plan is to be	The <i>information execution plan</i> ide in the Contract Data is	ntified					
Y(UK)1: Project Bank Account							
If Option Y(UK)1 is used	The project bank is						
	nomed suppliers are						
	named suppliers are						
Data for the Schedule of Cost Components (used only with Options C or E)							
	The overhead percentages for	or the cost of support people ar	nd office overhead are				
	location	overhead percentage	_				
		]	%				
		]	%				
			%				
Data for the Short	Schedule of Cost Compor	ants (used only with O	ation A)				
Data for the orion	The people rates are	lents (used only with of					
	category of person	unit	rate				
		]					
Data for the Schedule of Cost Components (used only with Options C and E)							
	The people rates are						
	category of person	unit	rate				

Professional Service Contract: Contract Data | 16