

Invitation to Quote (ITQ) on behalf of UK Research and Innovation (UKRI)

Subject UK SBS Policy Engagement Training for Researchers in the Arts and Humanities

Sourcing reference number: CS19162

Section 6 – Evaluation questionnaire

Bidders should note that the evaluation questionnaire is located within the **e-sourcing questionnaire**.

Guidance on completion of the questionnaire is available at http://www.uksbs.co.uk/services/procure/Pages/supplier.aspx

PLEASE NOTE THE QUESTIONS ARE NOT NUMBERED SEQUENCIALLY

COMMERCIAL QUESTIONNAIRE

SEL1.1	Please state the full legal name and address and contact details of	
	the organisation tendering (or organisation acting as lead contact	
	where a consortium bid is being submitted).	
Bidder	The information should be based on the details of the organisation	
guidance	bidding (or organisation acting as lead contact where a consortium bid is	
	being submitted).	
	This is the legal entity with whom we will Contract if successful.	
Scoring	For information only	
criteria		
Bidder	Table	
response	Bidders full legal name	
	Address line 1	
	Address line 2	
	Address line 3	
	Address line 4	
	Town / City	
	Country	
	Post code (or equivalent)	
	Bidder contact	
	Telephone No.	
	Email	

SEL1.2	In the last three years, has any finding of unlawful discrimination been made against your organisation by an Employment Tribunal, an Employment Appeal Tribunal or any other court (or in comparable proceedings in any jurisdiction other than the UK)? And/or; In the last three years, has your organisation had a complaint upheld following an investigation by the Equality and Human Rights Commission or its predecessors (or a comparable body in any jurisdiction other than the UK), on grounds of alleged unlawful discrimination?
Bidder guidance	The Bidder shall answer Yes or No Yes – Fail No – Pass *If you have answered "yes" please provide, as an attachment to this question, a summary of the nature of the investigation and an explanation of the outcome of the investigation to date. If the investigation upheld the complaint against your organisation, please use the attachment to explain what action (if any) you have taken to prevent unlawful discrimination from reoccurring. You may be excluded if you are unable to demonstrate to the Contracting Authority's satisfaction that appropriate remedial action has been taken to prevent similar unlawful discrimination reoccurring.
Scoring Criteria	Mandatory Pass / Fail
Bidder	Yes / No
response	

SEL3.11	If you are Commercial organisation with a minimum financial turnover of £36M, please confirm that you are compliant with Section 54 of the Modern Slavery Act 2015.
Bidder guidance	The Bidder shall choose from the following options;
garatar	A. N/A – our turnover is less than £36M B. Yes – information attached
	B. Yes – information attached
	C. No (with justification) – we are not compliant but will be prior to commencement of a contract
	D. No – we are not and will not be compliant at the time of award of the contract
Scoring Criteria	Mandatory Pass/ Fail
Bidder response	Selection

SEL3.12 Cyber Essentials is mandatory for central government contracts advertised after 1 October 2014 which involve handling personal information and providing certain ICT products and services. It is mandatory for bidders to demonstrate that they meet the technical requirements prescribed by Cyber Essentials for those contracts featuring any of the characteristics set out below in bidders' guidance. Cyber Essentials is for all organisations, of all sizes, and in all sectors. This is not limited to companies in the private sector, but is also applicable to universities, charities, and public sector organisations. Further details are available at: https://www.cyberstreetwise.com/cyberessentials/ Bidder Any of the following characteristics will necessitate the mandatory requirement for bidders to have an up to date Cyber Essentials guidance Certificate: Where personal information of citizens, such as home i) addresses, bank details, or payment information is handled by a supplier. Where personal information of Government employees, Ministers and Special Advisors such as payroll, travel booking or expenses information is handled by a supplier. Where ICT systems and services are supplied which are iii) designed to store, or process, data at the OFFICIAL level of the Government Protective Marking scheme. Bidders can answer

	Yes – the Cyber Essential Certificate is currently in place
	No – the Cyber Essential Certificate is not in place and we have no intention of having it in place for commencement of the contract
	Intend – the Cyber Essential Certificate is not in place and we intend to have it in place for commencement of the contract.
	A response of 'Yes' or 'Intend' will result in a pass and a response of 'No' will result in a fail against this question.
Scoring criteria	Mandatory Pass / Fail
Bidder response	Drop down menu – Yes / No/Intend

SEL3.13 General Data Protection Regulations (GDPR) The GDPR is mandatory requirement for all contracts or agreements both in the contracting authority and the private sectors that involves the transfer and processing of personal data which came into force on the 25th May 2018. It is mandatory for bidders to demonstrate that they will be able to meet the technical requirements and obligations prescribed by the GDPR. All contracts or agreements that are awarded by the Contracting Authority (the data controller) shall contain terms and conditions that oblige the successful bidder and any bidder supply chain (data processor) to comply with the GDPR and indemnify the Contracting Authority (data controller). Further information and guidance relating to the GDPR is available from the Information Commissioners Office (ICO) at: https://ico.org.uk/ Bidder guidance Bidders can answer **Yes** – We will are able to demonstrate compliance as is required by the GDPR now **No** – We will not be compliant prior to any award and we have no intention of being compliant **Intend** – We are not compliant with the GDPR but we confirm that we will be compliant prior to commencement of the contract. A response of 'Yes' or 'Intend' will result in a pass and a response of

'No' will result in a fail against this question.

Mandatory Pass / Fail
Drop down menu – Yes / No / Intend

FOI1.1	FREEDOM OF INFORMATION ACT 2000 (FOI) AND / OR ENVIRONMENTAL INFORMATION REGULATIONS 2004 (EIR) Information provided in the course of the procurement process may be disclosed under Freedom of Information Act 2000 or Environmental Information Regulations 2004 if requested under an FOI request or EIR request. Please note that some of the information provided may be protected under the FOI Act exemptions and EIR Exceptions. More information on applying the exemptions or exceptions can be found under the Information Commissioners Office (ICO) website http://ico.org.uk Please confirm you have been informed that information provided under this Bid may be disclosed under the FOI Act 2000 and Environmental Information Regulations 2004 and agree to it being published irrespective of submitting a successful or unsuccessful Bid.
Bidder guidance	The Bidder shall answer Yes or No Yes – Pass
Scoring criteria	No – Fail Mandatory Pass / Fail
Bidder response	Yes / No

FOI1.2	FREEDOM OF INFORMATION ACT 2000 (FOI) AND / OR
	ENVIRONMENTAL INFORMATION REGULATIONS 2004 (EIR)
	EXEMPTIONS
	Please complete this section only if you have agreed for your
	information to be disclosed under the FOI Act or EIR in Question FOI1.1.
	If you have not agreed to your information to be disclosed under the
	FOI Act or EIR in Question FOI1.1 please complete a field 'N/A' (Not applicable)
	If you have agreed for your information to be disclosed under the
	FOI Act or EIR in Question FOI1.1 please tell us what exemptions or
	exceptions may apply to your information and why? If you are not
	relying on any exemptions or exceptions please complete each field
	'N/A' (Not applicable)
Bidder	The Bidder shall provide details of their proposed exemptions/exception
guidance	in the table below.
	The Bidder (irrespective of submitting a successful or unsuccessful Bid)
	shall note that if the Contracting Authority believes that the suggested
	Exemptions or Exceptions have not been applied properly as per the Act
	or Regulation, the Contracting Authority will disclose the requested
	information unless another exemption or exception can be applied by the
	Contracting Authority.
	Be aware that by completing FOI1.1 and answering 'Yes' you have
	agreed for UK SBS to disclose the provided information under the

	Freedom of Information Act 2000 Regulation 2004, therefore you wi	
Scoring criteria	For information only	
Bidder response	Confidential Information	Justification for exemption/exception under FOI Act
	Commercially sensitive information	Justification for exemption/exception under FOI Act

AW1.1 FORM OF BID

I declare that to the best of my knowledge the answers submitted in this ITQ are correct. I understand that the information will be used in the process to assess my organisation's suitability to be invited to bid for the Contracting Authority's requirement and I am signing on behalf of my organisation. I understand that the Contracting Authority may reject this ITQ if there is a failure to answer all relevant questions fully or if I provide false/misleading information. I understand that the Government's transparency agenda requires that sourcing documents, including ITQ templates such as this, are published on a designated, publicly searchable web site, and, that the same applies to other sourcing documents issued by the Contracting Authority, including the ITQ, and any contract entered into by the Contracting Authority or its customers with its preferred supplier once the procurement is complete.

By submitting a response to this ITQ I agree that our participation may be made public.

I understand that the answers given in this response will not be published on the web site (but may fall to be disclosed under Freedom of Information Act 2000 or Environmental Information Regulations 2004).

By submitting a response to this ITQ I agree and accept the justification for the Contracting Authority's evaluation criteria. By submitting a response to this ITQ I agreed and accept that the Contracting Authority reserves the right to change without notice the procedure for awarding the Contract, to reject any or all bids for the Contract, to stop the process and not award the Contract (in whole or in part) at any time without any liability on its part. By submitting a response to this ITQ I agree and accept that nothing in this process is intended to form any express or implied contractual relationship between the Parties unless and until a Contract is awarded. The Contracting Authority is not liable for any costs resulting from cancellation of this process nor any costs incurred by Bidders taking part in this procurement process. I understand that where sourcing documents issued by the Contracting Authority or contracts with its suppliers fall to be disclosed the Contracting Authority will redact them as it thinks necessary, having regard (inter alia) to the exemptions/exceptions in the FOIA or EIR.

Bidder	The Bidder shall answer Yes or No
guidance	Yes – Pass
	No – Fail
Scoring	Mandatory Pass / Fail
criteria	
Bidder	Yes / No
response	

AW1.3	CERTIFICATE OF BONA FIDE BID		
	The essence of procurement is that the customer shall receive bona fide		
	competitive Bids, from all those Bidding. In recognition of this principle, we certify		
	that this is a bona fide bid, intended to be competitive and that we have not fixed or		
	adjusted the amount of bid by or under or in accordance with any agreement with		
	any other person.		
	We also certify that we have not done and we undertake that we will not do at any time before the hour and date specified for the return of this bid any of the		
	following:		
	(a) Communicate to a person other than the person calling for these		
	bids the amount or approximate amount of the proposed bid,		
	except where the disclosure, in confidence, of the approximate		
	amount of the bid was necessary to obtain insurance premium		
	quotations for the preparation of the bid;		
	(b) Enter into any agreement or arrangement with any other person		
	that he shall refrain from bidding or as to the amount of any bid to		
	be submitted;		
	(c) Offer to pay or agree to pay or give any sum of money or valuable		
	consideration directly or indirectly to any person for doing or having done or causing or have caused to be done in relation to		
	any other bid or proposed bid for the said supply / service any act		
	or thing of the sort described above.		
	In this certificate, the word "person" includes any persons and any body or		
	association, corporate or unincorporated, and any "agreement or arrangement"		
	includes any such transaction, formal or informal, and whether legally binding or		
	not.		
	We acknowledge that the Contracting Authority will be entitled to cancel the		
	contract and to recover from us the amount of any loss resulting from such		
	cancellation if we or our representatives (whether with our without our knowledge)		
	shall have practiced collusion in Bidding for this contract or any other contract with the Contracting Authority or shall employ any corrupt or illegal practices either in		
	the obtaining or execution of this contract or any other contract with the		
	Contracting Authority.		
	We agree that the Contracting Authority may disclose the Bidders		
	information/documentation (submitted to the Contracting Authority during this		
	Procurement) more widely within Government for the purpose of ensuring effective		
	cross-Government procurement processes, including value for money and related		
	purposes.		
Bidder	The Bidder shall answer Yes or No		
guidance	Yes – Pass		
	No – Fail		
Scoring	Mandatory Pass / Fail		
criteria	indiadory i doo / i dii		
	Voc / No		
Bidder	Yes / No		
response			

AW3.1	In the event of a Bidder successfully providing the most advantageous offer to the Contracting Authority against a procurement requirement, the Bidder is expected to provide an
	answer to the attached questions as a validation check prior to the award of any Contract.

	If the Bidder fails to meet the Contracting Authority's expectations we reserve the right to not award the Contract to the relevant Bidder and either award to the Bidder with the second most advantageous response or run a new procurement.`
Bidder guidance	The Bidder is not required to complete the validation check at this stage but will be required to respond to the questions in the event of providing the most advantageous offer to the Contracting Authority's against a procurement requirement. Yes – Pass No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Yes I have understood that I need to complete the validation check in the event of providing the most advantageous offer to the Contracting Authority against a procurement requirement.

AW4.1	Please confirm your acceptance of the attached Contract Terms. CS19162 UKRI Precedent Supplies
Bidder guidance	The Bidder shall answer Yes , No with justification or No Yes – Pass No with justification – Pass. See question AW4.2 for details of what amounts to a valid justification. No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder response	Drop down menu - Yes, No with justification, No

AW4.2	Where a Bidder has answered question AW4.1 with 'no with justification' they must detail the justification and the proposed change to the clause.
Bidder guidance	A justification for not accepting a specific clause or series of clauses from the attached Contract Terms is that the Bidder would be in breach of legal requirements or statutory regulations by complying with that clause or series of clauses (i.e. for the avoidance of doubt, being unable to comply with a clause or series of clauses because of an internal policy reason will not be considered to be a justification for a legal requirement or statutory regulation). Where the Bidder has answered question AW4.1 as "no with justification", the Bidder shall provide a Contract mark-up utilising track changes detailing the relevant clause, the proposed changes to the clause and the justification for the changes. In this case, the Bidder shall score a pass if (but only if) the Contracting Authority considers both the following requirements are satisfied:

	 the reasons stated as justifying the Bidder's statement that it cannot accept the Contract Terms due to legal requirements or statutory regulations are valid; and the changes submitted do not create significant risk for the Contracting Authority and are reasonably necessary and proportionate to ensure the Bidder complies with the legal requirements and statutory regulations. Where a Bidder has answered Question AW4.1 with 'Yes' or 'No' it must answer 'not applicable' to achieve a Pass to question AW4.2 (noting that an answer of 'No' to question AW4.1 has already resulted in a fail). In the event of a Bidder answering Yes or No to Question AW4.1 and then providing a proposed mark up, rejection of a clause or a justification for a change then the response will be a Fail.
Scoring criteria	Mandatory Pass / Fail
Bidder	Drop down menu
response	'N/A'
	'I have answered AW4.1 'No with justification' and attach modifications /
	requested / justification'.

PRICE QUESTIONNAIRE

AW5.2	Bidders are required to complete the Excel Pricing Schedule attached in the Bidder Response section. CS19162 AW5.2 Price Schedule.pdf All prices shall be exclusive of VAT. All costs appearing elsewhere in the Bid but not mentioned in this Pricing Schedule shall be presumed waived.
Bidder guidance	Bidders shall confirm they have completed the Pricing Schedule. The scoring methodology for this question shall be: The lowest price for a response which meets the pass criteria shall score 100. All other bids shall be scored on a pro rata basis in relation to the lowest
	price. The score is then subject to a multiplier to reflect the percentage value of the price criterion. Where the scoring criterion is worth 50% then the 0-100 score achieved will be multiplied by 50
	In the example if a supplier scores 80 from the available 100 points this will equate to 40% by using the following calculation: Score/Total Points multiplied by 50 ($80/100 \times 50 = 40$) The lowest score possible is 0 even if the price submitted is more than 100% greater than the lowest price.

	The lowest price for a response which meets the pass criteria shall score 100. All other bids shall be scored on a pro rata basis in relation to the lowest price. The lowest score possible is 0. For example, assuming the lowest bid is £100,000.		
	Bid Price	Differential to the lowest price which meets the mandatory pass criteria	Score
	£100,000	0	100
	£120,000	20%	80
	£140,000	40%	60
	£150,000	50%	50
	£175,000	75%	25
	£200,000	100%	0
	£300,000	200%	0
Scoring criteria	Maximum Mar	k: 10%	•
Bidder response	Yes		

AW5.5	The Contracting Authority is committed to delivering payments to suppliers within the timescales stated within our Contract terms and intend to embrace e-invoicing. There are a number of options for suppliers to choose from outlined in the attached FAQ. Please confirm your acceptance of e-payment. Supplier Fact Sheet ISupplier ISupp
Bidder guidance	The Bidder shall answer Yes or No
guidance	Yes – we will utilise an e-invoicing option - Pass
	No – we will not utilise an e-invoicing option - Fail
	j .
Scoring	Mandatory Pass / Fail
criteria	
Bidder	Yes / No
response	

AW5.6	Please confirm if you are successfully awarded this contract your implementation team will work with the Contracting Authority to ensure that e-invoicing is established within 28 days of Contract award by the Contracting Authority.
Bidder guidance	The Bidder shall answer Yes or No
J	Yes – Pass
	No – Fail

Scoring criteria	Mandatory Pass / Fail
Bidder	Yes / No
response	

QUALITY QUESTIONNAIRE

AW6.1	Please confirm your compliance to the requirements of Section 4 Specification
Bidder	The Bidder shall answer Yes or No
guidance	Yes – Pass
	No – Fail
Scoring	Mandatory Pass / Fail
criteria	
Bidder	Yes / No
response	

AW6.2	Variable Bids
Bidder	The Contracting Authority shall not accept variable bids as part of this
guidance	Procurement. The criteria in regards to variable bids for this Procurement is outlined below.
	The Bidder shall answer Yes or No
	Yes - We have provided a variable bid only – Fail No - We have chosen to only offer a main bid and have not chosen to provide an alternative bid submission – Pass
Scoring criteria	Mandatory Pass / Fail
Bidder	Yes / No
response	

PROJ1.1	Approach to Public Policy Engagement training delivery
	Please advise how you will deliver Public Policy Engagement training to arts and humanities researchers, including how you will ensure that the training will equip participants with the skills, knowledge and experience required to enable them to engage effectively.
	Please ensure that the response covers the following as a minimum:
	 how you understand, and can communicate to participants and policy-makers, the unique value of arts and humanities research to public policy.

	 the proposed training methodology including suggested number, length (day/half day) of workshops, whether workshops will be face-to-face, online or a mixture of both, and the frequency of face-to-face or online interactions and the reason this choosen methodology will ensure the most sucessful outcome. a high-level overview of the proposed training content and how this will be developed, to include any support and additional information that will be required from AHRC. how you will ensure training content is updated to reflect current policy landscape and developments in higher education research policy, which may affect knowledge exchange and research impact. an outline of the intended learning outcomes, and how the success of delivery will be measured.
Bidder guidance	An attachment is allowed for this question Please attach your response to this question as a PDF document limited to a maximum of 8 sides of A4, text in Arial font size 11 any content over this page limit will not be considered during the evaluation. Bidders are asked to confirm that they have attached their response as a PDF attachment to this question
Scoring criteria	Scoring shall be based on 0-100 scoring methodology. Maximum Mark: 40%
Bidder response	Yes, I have attached my response as a PDF to this question

PROJ1.2	Alumni Engagement, Evidence and Impact
	Please describe how you will communicate with course alumni and how you will collect and present evidence for the impact of the training.
	Please ensure that the response covers the following as a minimum:
	 how you will coordinate a network of alumni, with only minimal guidance and input from AHRC.
	 the method and frequency of your communication with alumni of the training.
	 Your proposed evaluation model to measure the success of the training, including how you will collect evidence for the impact of the course on alumni's policy engagement activities, and present this information for a non-specialist audience.
Bidder guidance	An attachment is allowed for this question
guidance	Please attach your response to this question as a PDF document limited to a maximum of 4 sides of A4, text in Arial font size 11 any content over this page limit will not be considered during the evaluation.

	Bidders are asked to confirm that they have attached their response as a PDF attachment to this question
Scoring criteria	Scoring shall be based on 0-100 scoring methodology.
	Maximum Mark: 20%
Bidder	Yes, I have attached my response as a PDF to this question
response	

PROJ1.3	Contract Management and Continuous Improvement
	 Please describe your approach to successfully managing this contract. Please ensure that the response covers the following as a minimum: the processes you will use to maintain an effective working relationship with AHRC. how roles and responsibilities will be defined to manage contract performance and continuous improvement, including the main points of contact and escalation procedures. how you will adapt the training content based on feedback and evaluations.
Bidder guidance	An attachment is allowed for this question Please attach your response to this question as a PDF document limited to a maximum of 4 sides of A4, text in Arial font size 11 any content over
	this page limit will not be considered during the evaluation. Bidders are asked to confirm that they have attached their response as a PDF attachment to this question
Scoring criteria	Scoring shall be based on 0-100 scoring methodology. Maximum Mark: 10%
Bidder response	Yes, I have attached my response as a PDF to this question

PROJ1.4	Project Team and Capability to Deliver
	Please demonstrate how you will apply your knowledge, skills and expertise that are essential to the successful delivery of this project to effectively deliver the requirements detailed in the specification.
	Please provide your methodology as to how you will maintain your ability to deliver these through the lifetime of the project.
	Please ensure your response covers the following as a minimum:
	the key roles within the team, and what skills and expertise they would bring to this project

	your awareness of the challenges faced by policy makers in
	engaging with researchers, especially any issues that are distinctive to arts and humanities research.
	 What the proposed teams Knowledge of the nature of arts and humanities research, and the contribution that it can make to policy making. Ideally, this would involve an understanding of working with arts and humanities researchers in this context. Knowledge of work in arts and humanities research which has current policy impact, in order to identify appropriate contributors and case studies.
	 How the project team will focus on relationships with senior policy makers and influencers, in order to identify and draw on contributors to the training proposed.
	Capabilities to review, research and update training content annually, to keep it up to date with changes in the policy landscape, and developments in Higher Education research policy, which may affect knowledge exchange and research impact.
	 The proposed teams ability and resources to develop and deliver the resources and training for a four year period, and to coordinate a network of alumni, with only minimal guidance and input from AHRC.
	cover for staff absence
	dissemination of information / amendments
	procedures and practises
	 external support that would be needed and from whom, in order to deliver the training. This should include any support from UKRI - AHRC.
Bidder	An attachment is allowed for this question
guidance	Please attach your response to this question as a PDF document limited to a maximum of 4 sides of A4, text in Arial font size 11 any content over this page limit will not be considered during the evaluation. Bidders are asked to confirm that they have attached their response as a PDF attachment to this question
Scoring criteria	Scoring shall be based on 0-100 scoring methodology. Maximum Mark: 20%
Bidder response	Yes, I have attached my response as a PDF to this question

PROJ1.5	Maximum Budget	
	As stated within the tender documents, the maximum budget for this	
	requirement will be £122,000 ex VAT. This should include all costs	

	relating to the projects as well as Travel, Subsistence and Overhead costs.
	Any bid received in excess of this maximum value will be deemed non-compliant and will subsequently not be evaluated.
	Please confirm that your bid will fall within this budget.
Bidder guidance	The Bidder shall answer Yes or No
	Yes – Pass
	No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder	Yes – Pass
response	No – Fail

PROJ1.6	Capacity
	Do you have the capacity to complete the deliverables within the timeframes? Please confirm that you can begin this contract from 1 st September 2019.
Bidder guidance	The Bidder shall answer Yes or No
	Yes – Pass
	No – Fail
Scoring criteria	Mandatory Pass / Fail
Bidder	Yes – Pass
response	No – Fail