

Regulation Index

Invitation to Tender KTN-A0824



Document Information

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1 Background to the Project

Innovate UK KTN connects ideas, people and communities to drive innovation that changes lives.

Innovation is complex. The journey from idea to market is not straightforward and requires a diverse range of know-how, investment, market knowledge and research. Innovate UK KTN exists to connect innovators with new partners and new opportunities beyond their existing thinking - accelerating ambitious ideas into real-world solutions.

The future holds both challenges and opportunities. We are passionate about working with innovative businesses to overcome these challenges and shape the opportunities and solutions that follow.

Our vision is to create a network of innovators so powerful its ideas will change the world.

While business is at the heart of our work, our diverse connections span government, funders, research and the third sector. This unrivalled overview and connectivity enable us to be a trusted guide through a complex global landscape of research, development and innovation.

To strengthen our role as a trusted guide, we are looking to develop and share insights that will help improve the innovation policy environment in the UK and enable businesses to innovate more effectively.



2 Description of work

This tender seeks proposals by which the successful supplier will co-design and deliver a global regulation index to be used by policy makers and regulators to effect positive change in regulation policy.

The regulation index seeks to identify how well countries across the globe are using regulation as a lever for innovation, providing a scoreboard driven by key metrics. We have identified the following metrics we'd like to explore in Index but would like to work with the successful supplier to propose and develop further ones:

- Number of sandboxes and test beds developed
- How rapidly regulation updates to reflect changes in the market
- Use of anticipatory regulation to stimulate innovation
- Extent to which regulators in x country collaborate with each other to share best practice
- Extent to which regulators support skills development
- Dedicated Research, Deveoplment and Innovation funding models from regulators

The regulation index will form a report which includes recommendations for improving regulation and examples of best practice globally.

2.1 Phase 1 - Design

Scoping workshop with KTN Futures team to agree roles and responsibilities.

Co-design the scope of countries and regulators the Index will include.

Co-design the format of the Index with KTN Futures team to align with and strengthen agreed metrics.

Collate and analyse best practice case studies of global regulators.

2.2 Phase 2 – Delivery

Provide the index in a format that can be shared publicly with government stakeholders and regulators.

Provide a written report which outlines key findings from the Index.

Provide a verbal presentation on findings to KTN Futures team, with recommendations for policy change. Innovate UK KTN will then lead on follow-up stakeholder engagement to disseminate the index and its findings.



3 Activity Timings & Outputs

The project is planned to commence on 5th September 2022 and to be completed by 9th January 2023.

Cost Allocations

The successful proposal will not exceed £70,000 excluding VAT.

The Contract will be awarded in accordance with a pricing proposal for the successful service provider. Payment will be dependent upon the successful delivery and approval by the KTN as below:

- 20% on signature of the contract
- 30% upon approval of the first iteration of the Index
- 50% upon approval of the publication version of the Index

4 Submission

In response to this invitation to tender please provide the following information. Shortlisted suppliers will then be invited to pitch to KTN before a final decision is made. These pitches are expected to take place w/c 15th August online. A final decision is due to be made by 22nd August.

- 1. Technical capability, including:
 - a. A brief description of how you would meet the deliverables
 - b. Evidence of past relevant work, including references
 - c. Competency, qualifications and accreditation certification
- 2. Staff resources
 - a. resumes of the people who will undertake the work
 - b. company organisational chart
- 3. Legal details
 - a. Litigation, claims and other disputes in progress.
 - b. Ownership of any relevant intellectual property; patents; and licenses required to carry out the work.
 - c. Insurances in place
 - d. Any potential conflicts of interest and how these might be successfully mitigated



- 4. Schedule of rates
 - a. Cost breakdown for the deliverables, above
- 5. Additional information
 - a. Any additional service you could provide that would enhance the deliverables

5 Key Milestones

The response to the ITT should be emailed to Alissa.dhaliwal@ktn-uk.org before noon on the 5th August 2022.

Shortlisted suppliers will then be invited to pitch to KTN before a final decision is made. These pitches are expected to take place w/c 15th August online. A final decision is due to be made by 22nd August.

6 Evaluation structure

Mandatory requirements:

- Satisfactory health and safety (pass/fail)
- Cybersecurity accreditation (pass/fail)

Evaluation criteria

- Previous relevant experience (25%)
- Relevant skills of team (15%)
- Project team structure (10%)
- Understanding of proposed requirements (20%)
- Methodology proposed (10%)
- Additional services (10%)
- Legal aspects (10%)

7 Terms and Conditions

This invitation to Quote is subject to "KTN's Terms and Conditions of Contractor Engagement – Company Edition", which are provided separately.