

## G-Cloud 14 Call-Off Contract

This Call-Off Contract for the G-Cloud 14 Framework Agreement (RM1557.14) includes:

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## Part A: Order Form

Buyers must use this template order form as the basis for all Call-Off Contracts and must refrain from accepting a Supplier's prepopulated version unless it has been carefully checked against template drafting.

<b>Platform service ID number</b>	422367976628252
<b>Call-Off Contract reference</b>	CCSO24A44
<b>Call-Off Contract title</b>	Provision of Cloud Consumption Data
<b>Call-Off Contract description</b>	To utilise the services of a 3rd party to design, develop and deploy tools and processes to consolidate cloud consumption data from across government
<b>Start date</b>	13/01/2025
<b>Expiry date</b>	13/04/2025
<b>Call-Off Contract value</b>	Month 1: REDACTED TEXT under FOIA Section 43 Commercial Interests.  Month 2 and 3: REDACTED TEXT under FOIA Section 43 Commercial Interests.  (Extension option will be subjected to further budgetary approval, up to the value of £79,800 excluding VAT)
<b>Charging method</b>	BACS

<b>Purchase order number</b>	REDACTED TEXT under FOIA Section 43 Commercial Interests.
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This Order Form is issued under the G-Cloud 14 Framework Agreement (RM1557.14).

Buyers can use this Order Form to specify their G-Cloud service requirements when placing an Order.

The Order Form cannot be used to alter existing terms or add any extra terms that materially change the Services offered by the Supplier and defined in the Application.

There are terms in the Call-Off Contract that may be defined in the Order Form. These are identified in the contract with square brackets.

<b>From the Buyer</b>	REDACTED TEXT under FOIA Section 40, Personal Information.
<b>To the Supplier</b>	REDACTED TEXT under FOIA Section 40, Personal Information.
<b>Together the 'Parties'</b>	

## Principal contact details

### For the Buyer:

Title: REDACTED TEXT under FOIA Section 40, Personal Information.  
Name: REDACTED TEXT under FOIA Section 40, Personal Information.  
Email: REDACTED TEXT under FOIA Section 40, Personal Information.  
Phone: REDACTED TEXT under FOIA Section 40, Personal Information.

### For the Supplier:

Title: REDACTED TEXT under FOIA Section 40, Personal Information.  
Name: REDACTED TEXT under FOIA Section 40, Personal Information.  
Email: REDACTED TEXT under FOIA Section 40, Personal Information.  
Phone: REDACTED TEXT under FOIA Section 40, Personal Information.

## Call-Off Contract term

<b>Start date</b>	This Call-Off Contract Starts on 13/01/2025 and is valid for 3 months.
<b>Ending (termination)</b>	<p>The notice period for the Supplier needed for Ending the Call-Off Contract is at least <b>90</b> Working Days from the date of written notice for undisputed sums (as per clause 18.6).</p> <p>The notice period for the Buyer is a maximum of <b>30</b> days from the date of written notice for Ending without cause (as per clause 18.1).</p>
<b>Extension period</b>	<p>This Call-Off Contract can be extended by the Buyer for <b>one</b> period of up to 3 months, by giving the Supplier <b>four (4) weeks</b> written notice before its expiry. The extension period is subject to clauses 1.3 and 1.4 in Part B below.</p> <p>Extensions which extend the Term beyond 36 months are only permitted if the Supplier complies with the additional exit plan requirements at clauses 21.3 to 21.8.</p>

## Buyer contractual details

This Order is for the G-Cloud Services outlined below. It is acknowledged by the Parties that the volume of the G-Cloud Services used by the Buyer may vary during this Call-Off Contract.

<b>G-Cloud Lot</b>	<p>This Call-Off Contract is for the provision of Services Under:</p> <ul style="list-style-type: none"><li>• Lot 3: Cloud Support</li></ul>
<b>G-Cloud Services required</b>	<p>The Services to be provided by the Supplier under the above Lot are listed in Framework Schedule 4 and outlined below:</p> <ul style="list-style-type: none"><li>• Centralised view of near real-time information about cloud utilisation in the public sector, across cloud vendors.</li><li>• Baseline and improve general FinOps practices across public sector organisations.</li><li>• Support the establishment of a centralised view of resilience risk in the cloud.</li><li>• Liaison with relevant points of contact from public sector organisations to ensure requirements are understood and that any barriers to sharing cloud cost data are understood and addressed.</li><li>• Agree an initial subset of cost data that is required - a minimum data set.</li><li>• Agree an initial subset of public sector organisations to be onboarded.</li><li>• Complete relevant documentation to ensure the product can be supported by CDDO in future.</li></ul>
<b>Additional Services</b>	<b>Not Applicable</b>
<b>Location</b>	<p>The Services will majorly be delivered Remotely. Based on Client need, Supplier might be required to come to the location below. (at Suppliers' expense)</p> <p>REDACTED TEXT under FOIA Section 40, Personal Information</p>

Quality Standards	Staff Security Clearance - SC Clearance			
Technical Standards:	The technical standards used as a requirement for this Call-Off Contract are ISO 27001			
Service level agreement:				
	KPI/S LA	Service Area	KPI/SLA description	Target
	1	Onboarding	The Supplier shall respond to all emails received from the Authority within 24 hours on working days.	95%
	2	Service Delivery communication	The Supplier shall respond to emails related to service design, deployment and operation within 3 working days.	95%
	3	Knowledge Transfer	The Supplier shall respond to emails related to knowledge transfer within 3 working days.	100%
Onboarding	<p>a ) Pre-engagement mobilisation activities:</p> <ul style="list-style-type: none"><li>● Assemble proposed Appvia project team to discuss and review the Authority's project objectives and requirements, our proposed approach, resource levels, project governance.</li><li>● Run mobilisation workshops with Appvia team members to further refine our understanding and share knowledge across the team so that everyone has a clear and consistent understanding of the work, challenges,</li></ul>			

	<p>approach, their roles and responsibilities.</p> <ul style="list-style-type: none"> <li>● Perform final preparations ahead project start date including security clearance, completing documentation, reading Authority policies and procedures which must be adhered to e.g. security, working practices, GDPR.</li> </ul> <p>b) Project Initiation Stage:</p> <ul style="list-style-type: none"> <li>● During initiation we will use a meeting or workshop to obtain any final updates on the project (e.g. objectives, risks, issues and milestones for the work), which will be used to update our project plan and brief all team members.</li> <li>● Undertake all final onboarding preparations including confirmation of security clearance, confirming adherence to Authority policies and procedures, completing paperwork.</li> </ul>
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<b>Offboarding</b>	<p>a) Preparation:</p> <ul style="list-style-type: none"> <li>● Follow the Off-Boarding Checklist in order to ensure an orderly exit from the contract.</li> <li>● The Off-Boarding Checklist will contain the timescales, off-boarding activities (e.g. workshops and handover session with the Authority or an alternative supplier), roles and responsibilities for Appvia and other parties; sign-off process with the Authority.</li> <li>● Share the Off-Boarding Plan with the Authority and obtain sign-off.</li> </ul> <p>b) Delivery:</p> <ul style="list-style-type: none"> <li>● Perform all off-boarding activities as defined in the Off-Boarding Plan.</li> <li>● Perform continuous communication with the Authority to ensure all off-boarding activities are going to plan.</li> <li>● Carry out review of off-boarding activities with the Authority, identify and address any outstanding issues and obtain final sign-off from the Authority.</li> </ul> <p>Close/Deactivate CO google accounts created for Supplier employee</p>
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<b>Collaboration agreement</b>	Not Applicable
<b>Limit on Parties' liability</b>	<p>Defaults by either party resulting in direct loss or damage to the property (including technical infrastructure, assets or equipment but excluding any loss or damage to Buyer Data) of the other Party will not exceed <b>125%</b> per year.</p> <p>The annual total liability of the Supplier for Buyer Data Defaults resulting in direct loss, destruction, corruption, degradation of or damage to any Buyer Data will not exceed <b>125%</b> of the Charges payable by the Buyer to the Supplier during the Call-Off Contract Term (whichever is the greater).</p> <p>The annual total liability of the Supplier for all other Defaults will not exceed the greater of 125% of the Charges payable by the Buyer to the Supplier during the Call-Off Contract Term (whichever is the greater).</p>
<b>Buyer's responsibilities</b>	<p>The Buyer is responsible for;</p> <ul style="list-style-type: none"> <li>• Completion of Authority actions related to onboarding, including the provision of: <ul style="list-style-type: none"> <li>- Account IDs for Appvia resources</li> <li>- Buildings access as required to attend onsite meetings, etc</li> </ul> </li> <li>• Facilitation of key contacts in departments that will participate in this project</li> <li>• Resolution of issues related to the Authority and other departmental engagements</li> <li>• Timely response to supplier questions and issues related to this project.</li> </ul>
<b>Buyer's equipment</b>	Not Applicable

Supplier's information



<b>Subcontractors or partners</b>	<b>Not applicable, any sub contracts must be approved by the Buyer.</b>
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### Call-Off Contract charges and payment

The Call-Off Contract charges and payment details are in the table below. See Schedule 2 for a full breakdown.

<b>Payment method</b>	BACS
<b>Payment profile</b>	The payment profile for this Call-Off Contract is <b>monthly</b> in arrears.
<b>Invoice details</b>	<p>The Supplier will issue electronic invoices monthly in arrears. The Buyer will pay the Supplier within 30 days of receipt of a valid undisputed invoice. A Purchase Order will be issued once the Contract has been signed, The PO is a vehicle for payment and not a firm commitment of spend.</p> <p>The PO number must be referenced on the Invoice and each invoice must include a detailed breakdown of work completed and the associated cost</p>
<b>Who and where to send invoices to</b>	Invoices should be submitted to <b>REDACTED TEXT under FOIA Section 40, Personal Information</b>

<b>Invoice information required</b>	All Invoices must include the PO number. Each invoice must be accompanied by a breakdown of the deliverables and services, quantity thereof, applicable unit charges and total charge for the invoice period, in sufficient detail to enable the Customer to validate the invoice.
<b>Invoice frequency</b>	Invoice will be sent to the Buyer Monthly.
<b>Call-Off Contract value excluding extension options</b>	The total value of this Call-Off Contract is £79,800 excluding VAT
<b>Call-Off Contract charges</b>	The breakdown of the Charges is Month 1: REDACTED TEXT under FOIA Section 43 Commercial Interests, Month 2 and 3: REDACTED TEXT under FOIA Section 43 Commercial Interests.

#### Additional Buyer terms

<b>Performance of the Service</b>	<p>This Call-Off Contract will include the following Implementation Plan, and milestones:</p> <ul style="list-style-type: none"> <li>• Completion of Phase 1 activity by 10/02/2025</li> <li>• Completion of Phase 2 activity by 13/04/2025 (contract end date)</li> </ul> <p>Supplier to report to client on status of project activity on a weekly basis.</p>
<b>Guarantee</b>	Not Applicable

<b>Warranties, representations</b>	Not Applicable
<b>Supplemental requirements in addition to the Call-Off terms</b>	Not Applicable
<b>Alternative clauses</b>	Not Applicable
<b>Buyer specific amendments to/refinements of the Call-Off Contract terms</b>	Additional Security Clause
<b>Personal Data and Data Subjects</b>	Annex 1 of Schedule 7 is being used
<b>Intellectual Property</b>	All materials produced and associated IPR will be owned by the buyer
<b>Social Value</b>	<p>The Supplier will be expected to abide by the CDDO organisation's commitment that: "A joined-up, standardised and simplified approach to building technology across government will improve our speed to deployment and the quality of what we build." It should do this by working effectively and in line with cross- government standards. The Supplier will be also expected to work in alignment to the Increase supply chain resilience and capacity and Effective stewardship of the environment Outcomes of the Procurement Policy Note – Taking Account of Social Value in the Award of Central Government Contracts.</p> <p>PPN 06/20 guidance documents can be found at:</p>

	<a href="https://www.gov.uk/government/publications/procurement-policy-note-0620-taking-account-of-social-value-in-the-award-of-central-government-contracts">https://www.gov.uk/government/publications/procurement-policy-note-0620-taking-account-of-social-value-in-the-award-of-central-government-contracts</a>
<b>Performance Indicators</b>	Data supplied by the Supplier in relation to Performance Indicators is deemed the Intellectual Property of the Buyer and may be published by the Buyer. [Note required Performance Indicators needed from the Supplier for future publication or otherwise]

## 1. Formation of contract

1.1 By signing and returning this Order Form (Part A), the Supplier agrees to enter into a Call-Off Contract with the Buyer.

1.2 The Parties agree that they have read the Order Form (Part A) and the Call-Off Contract terms and by signing below agree to be bound by this Call-Off Contract.

1.3 This Call-Off Contract will be formed when the Buyer acknowledges receipt of the signed copy of the Order Form from the Supplier.

1.4 In cases of any ambiguity or conflict, the terms and conditions of the Call-Off Contract (Part B) and Order Form (Part A) will supersede those of the Supplier Terms and Conditions as per the order of precedence set out in clauses 8.3 to 8.6 inclusive of the Framework Agreement.

## 2. Background to the agreement

2.1 The Supplier is a provider of G-Cloud Services and agreed to provide the Services under the terms of Framework Agreement number RM1557.14.

<b>Signed</b>	Supplier	Buyer
<b>Name</b>	REDACTED TEXT under FOIA Section 40, Personal Information	REDACTED TEXT under FOIA Section 40, Personal Information

<b>Title</b>	REDACTED TEXT under FOIA Section 40, Personal Information	REDACTED TEXT under FOIA Section 40, Personal Information
<b>Signature</b>	REDACTED TEXT under FOIA Section 40, Personal Information	REDACTED TEXT under FOIA Section 40, Personal Information
<b>Date</b>	REDACTED TEXT under FOIA Section 40, Personal Information	REDACTED TEXT under FOIA Section 40, Personal Information

2.2 The Buyer provided an Order Form for Services to the Supplier.

### Buyer Benefits

For each Call-Off Contract please complete a buyer benefits record, by following this link:

[G-Cloud 14 Buyer Benefit Record](#)

## Part B: Terms and conditions

### 1. Call-Off Contract Start date and length

1.1 The Supplier must start providing the Services on the date specified in the Order Form.

1.2 This Call-Off Contract will expire on the Expiry Date in the Order Form. It will be for up to 36 months from the Start date unless Ended earlier under clause 18 or extended by the Buyer under clause 1.3.

1.3 The Buyer can extend this Call-Off Contract, with written notice to the Supplier, by the period in the Order Form, provided that this is within the maximum permitted under the Framework Agreement of 1 period of up to 12 months.

1.4 The Parties must comply with the requirements under clauses 21.3 to 21.8 if the Buyer reserves the right in the Order Form to set the Term at more than 36 months.

### 2. Incorporation of terms

2.1 The following Framework Agreement clauses (including clauses, schedules and defined terms referenced by them) as modified under clause 2.2 are incorporated as separate Call-Off Contract obligations and apply between the Supplier and the Buyer:

- 2.3 (Warranties and representations)
- 4.1 to 4.6 (Liability)
- 4.10 to 4.11 (IR35)
- 5.4 to 5.6 (Change of control)
- 5.7 (Fraud)
- 5.8 (Notice of fraud)
- 7 (Transparency and Audit)
- 8.3 to 8.6 (Order of precedence)
- 11 (Relationship)
- 14 (Entire agreement)
- 15 (Law and jurisdiction)
- 16 (Legislative change)
- 17 (Bribery and corruption)
- 18 (Freedom of Information Act)
- 19 (Promoting tax compliance)
- 20 (Official Secrets Act)
- 21 (Transfer and subcontracting)
- 23 (Complaints handling and resolution)
- 24 (Conflicts of interest and ethical walls)
- 25 (Publicity and branding)
- 26 (Equality and diversity)
- 28 (Data protection)
- 30 (Insurance)

- 31 (Severability)
- 32 and 33 (Managing disputes and Mediation)
- 34 (Confidentiality)
- 35 (Waiver and cumulative remedies)
- 36 (Corporate Social Responsibility)
- paragraphs 1 to 10 of the Framework Agreement Schedule 3

2.2 The Framework Agreement provisions in clause 2.1 will be modified as follows:

2.2.1 a reference to the 'Framework Agreement' will be a reference to the 'Call-Off Contract'

2.2.2 a reference to 'CCS' or to 'CCS and/or the Buyer' will be a reference to 'the Buyer'

2.2.3 a reference to the 'Parties' and a 'Party' will be a reference to the Buyer and Supplier as Parties under this Call-Off Contract

2.3 The Parties acknowledge that they are required to complete the applicable Annexes contained in Schedule 7 (Processing Data) of the Framework Agreement for the purposes of this Call-Off Contract. The applicable Annexes being reproduced at Schedule 7 of this Call-Off Contract.

2.4 The Framework Agreement incorporated clauses will be referred to as incorporated Framework clause 'XX', where 'XX' is the Framework Agreement clause number.

2.5 When an Order Form is signed, the terms and conditions agreed in it will be incorporated into this Call-Off Contract.

### 3. Supply of services

3.1 The Supplier agrees to supply the G-Cloud Services and any Additional Services under the terms of the Call-Off Contract and the Supplier's Application.

3.2 The Supplier undertakes that each G-Cloud Service will meet the Buyer's acceptance criteria, as defined in the Order Form.

### 4. Supplier staff

4.1 The Supplier Staff must:

4.1.1 be appropriately experienced, qualified and trained to supply the Services

4.1.2 apply all due skill, care and diligence in faithfully performing those duties

4.1.3 obey all lawful instructions and reasonable directions of the Buyer and provide the Services to the reasonable satisfaction of the Buyer

4.1.4 respond to any enquiries about the Services as soon as reasonably possible

4.1.5 complete any necessary Supplier Staff vetting as specified by the Buyer

4.2 The Supplier must retain overall control of the Supplier Staff so that they are not considered to be employees, workers, agents or contractors of the Buyer.

4.3 The Supplier may substitute any Supplier Staff as long as they have the equivalent experience and qualifications to the substituted staff member.

4.4 The Buyer may conduct IR35 Assessments using the ESI tool to assess whether the Supplier's engagement under the Call-Off Contract is Inside or Outside IR35.

4.5 The Buyer may End this Call-Off Contract for Material Breach as per clause 18.5 hereunder if the Supplier is delivering the Services Inside IR35.

4.6 The Buyer may need the Supplier to complete an Indicative Test using the ESI tool before the Start date or at any time during the provision of Services to provide a preliminary view of whether the Services are being delivered Inside or Outside IR35. If the Supplier has completed the Indicative Test, it must download and provide a copy of the PDF with the 14 digit ESI reference number from the summary outcome screen and promptly provide a copy to the Buyer.

4.7 If the Indicative Test indicates the delivery of the Services could potentially be Inside IR35, the Supplier must provide the Buyer with all relevant information needed to enable the Buyer to conduct its own IR35 Assessment.

4.8 If it is determined by the Buyer that the Supplier is Outside IR35, the Buyer will provide the ESI reference number and a copy of the PDF to the Supplier.

## 5. Due diligence

5.1 Both Parties agree that when entering into a Call-Off Contract they:

5.1.1 have made their own enquiries and are satisfied by the accuracy of any information supplied by the other Party

5.1.2 are confident that they can fulfil their obligations according to the Call-Off Contract terms

5.1.3 have raised all due diligence questions before signing the Call-Off Contract

5.1.4 have entered into the Call-Off Contract relying on their own due diligence



## 6. Business continuity and disaster recovery

6.1 The Supplier will have a clear business continuity and disaster recovery plan in their Service Descriptions.

6.2 The Supplier's business continuity and disaster recovery services are part of the Services and will be performed by the Supplier when required.

6.3 If requested by the Buyer prior to entering into this Call-Off Contract, the Supplier must ensure that its business continuity and disaster recovery plan is consistent with the Buyer's own plans.

## 7. Payment, VAT and Call-Off Contract charges

7.1 The Buyer must pay the Charges following clauses 7.2 to 7.11 for the Supplier's delivery of the Services.

7.2 The Buyer will pay the Supplier within the number of days specified in the Order Form on receipt of a valid invoice.

7.3 The Call-Off Contract Charges include all Charges for payment processing. All invoices submitted to the Buyer for the Services will be exclusive of any Management Charge.

7.4 If specified in the Order Form, the Supplier will accept payment for G-Cloud Services by the Government Procurement Card (GPC). The Supplier will be liable to pay any merchant fee levied for using the GPC and must not recover this charge from the Buyer.

7.5 The Supplier must ensure that each invoice contains a detailed breakdown of the G-Cloud Services supplied. The Buyer may request the Supplier provides further documentation to substantiate the invoice.

7.6 If the Supplier enters into a Subcontract it must ensure that a provision is included in each Subcontract which specifies that payment must be made to the Subcontractor within 30 days of receipt of a valid invoice.

7.7 All Charges payable by the Buyer to the Supplier will include VAT at the appropriate Rate.

7.8 The Supplier must add VAT to the Charges at the appropriate rate with visibility of the amount as a separate line item.

7.9 The Supplier will indemnify the Buyer on demand against any liability arising from the Supplier's failure to account for or to pay any VAT on payments made to the Supplier under this Call-Off Contract. The Supplier must pay all sums to the Buyer at least 5 Working Days before the date on which the tax or other liability is payable by the Buyer.

7.10 The Supplier must not suspend the supply of the G-Cloud Services unless the Supplier is entitled to End this Call-Off Contract under clause 18.6 for Buyer's failure to pay undisputed sums of money. Interest will be payable by the Buyer on the late payment of any undisputed sums of money properly invoiced under the Late Payment of Commercial Debts (Interest) Act 1998.

7.11 If there's an invoice dispute, the Buyer must pay the undisputed portion of the amount and return the invoice within 10 Working Days of the invoice date. The Buyer will provide a covering statement with proposed amendments and the reason for any non-payment. The Supplier must notify the Buyer within 10 Working Days of receipt of the returned invoice if it accepts the amendments. If it does then the Supplier must provide a replacement valid invoice with the response.

7.12 Due to the nature of G-Cloud Services it isn't possible in a static Order Form to exactly define the consumption of services over the duration of the Call-Off Contract. The Supplier agrees that the Buyer's volumes indicated in the Order Form are indicative only.

## 8. Recovery of sums due and right of set-off

8.1 If a Supplier owes money to the Buyer, the Buyer may deduct that sum from the Call-Off Contract Charges.

## 9. Insurance

9.1 The Supplier will maintain the insurances required by the Buyer including those in this clause.

9.2 The Supplier will ensure that:

9.2.1 during this Call-Off Contract, Subcontractors hold third party public and products liability insurance of the same amounts that the Supplier would be legally liable to pay as damages, including the claimant's costs and expenses, for accidental death or bodily injury and loss of or damage to Property, to a minimum of £1,000,000

9.2.2 the third-party public and products liability insurance contains an 'indemnity to principals' clause for the Buyer's benefit

9.2.3 all agents and professional consultants involved in the Services hold professional indemnity insurance to a minimum indemnity of £1,000,000 for each individual claim during the Call-Off Contract, and for 6 years after the End or Expiry Date

9.2.4 all agents and professional consultants involved in the Services hold employers liability insurance (except where exempt under Law) to a minimum indemnity of £5,000,000 for each individual claim during the Call-Off Contract, and for 6 years after the End or Expiry Date

9.3 If requested by the Buyer, the Supplier will obtain additional insurance policies, or extend existing policies bought under the Framework Agreement.

9.4 If requested by the Buyer, the Supplier will provide the following to show compliance with this clause:

9.4.1 a broker's verification of insurance

9.4.2 receipts for the insurance premium

9.4.3 evidence of payment of the latest premiums due

9.5 Insurance will not relieve the Supplier of any liabilities under the Framework Agreement or this Call-Off Contract and the Supplier will:

9.5.1 take all risk control measures using Good Industry Practice, including the investigation and reports of claims to insurers

9.5.2 promptly notify the insurers in writing of any relevant material fact under any Insurances

9.5.3 hold all insurance policies and require any broker arranging the insurance to hold any insurance slips and other evidence of insurance

## 10. Confidentiality

10.1 The Supplier must during and after the Term keep the Buyer fully indemnified against all Losses, damages, costs or expenses and other liabilities (including legal fees) arising from any breach of the Supplier's obligations under incorporated Framework Agreement clause 34. The indemnity does not apply to the extent that the Supplier breach is due to a Buyer's instruction.

## 11. Intellectual Property Rights

11.1 Save for the licences expressly granted pursuant to Clauses 11.3 and 11.4, neither Party shall acquire any right, title or interest in or to the Intellectual Property Rights ("IPR"s) (whether pre-existing or created during the Call-Off Contract Term) of the other Party or its licensors unless stated otherwise in the Order Form.

11.2 Neither Party shall have any right to use any of the other Party's names, logos or trademarks on any of its products or services without the other Party's prior written consent.

11.3 The Buyer grants to the Supplier a royalty-free, non-exclusive, non-transferable licence during the Call-Off Contract Term to use the Buyer's or its relevant licensor's Buyer Data and related IPR solely to the extent necessary for providing the Services in accordance with this Contract, including the right to grant sub-licences to Subcontractors provided that:

11.3.1 any relevant Subcontractor has entered into a confidentiality undertaking with the Supplier on substantially the same terms as set out in Framework Agreement clause 34 (Confidentiality); and

11.3.2 The Supplier shall not and shall procure that any relevant Sub-Contractor shall not, without the Buyer's written consent, use the licensed materials for any other purpose or for the benefit of any person other than the Buyer.

11.4 The Supplier grants to the Buyer the licence taken from its Supplier Terms which licence shall, as a minimum, grant the Buyer a non-exclusive, non-transferable licence during the Call-Off Contract Term to use the Supplier's or its relevant licensor's IPR solely to the extent necessary to access and use the Services in accordance with this Call-Off Contract.

11.5 Subject to the limitation in Clause 24.3, the Buyer shall:

11.5.1 defend the Supplier, its Affiliates and licensors from and against any third-party claim:

- (a) alleging that any use of the Services by or on behalf of the Buyer and/or Buyer Users is in breach of applicable Law;
- (b) alleging that the Buyer Data violates, infringes or misappropriates any rights of a third party;
- (c) arising from the Supplier's use of the Buyer Data in accordance with this Call-Off Contract; and

11.5.2 in addition to defending in accordance with Clause 11.5.1, the Buyer will pay the amount of Losses awarded in final judgement against the Supplier or the amount of any settlement agreed by the Buyer, provided that the Buyer's obligations under this Clause 11.5 shall not apply where and to the extent such Losses or third-party claim is caused by the Supplier's breach of this Contract.

11.6 The Supplier will, on written demand, fully indemnify the Buyer for all Losses which it may incur at any time from any claim of infringement or alleged infringement of a third party's IPRs because of the:

11.6.1 rights granted to the Buyer under this Call-Off Contract

11.6.2 Supplier's performance of the Services

11.6.3 use by the Buyer of the Services

11.7 If an IPR Claim is made, or is likely to be made, the Supplier will immediately notify the Buyer in writing and must at its own expense after written approval from the Buyer, either:

11.7.1 modify the relevant part of the Services without reducing its functionality or performance

11.7.2 substitute Services of equivalent functionality and performance, to avoid the infringement or the alleged infringement, as long as there is no additional cost or burden to the Buyer

11.7.3 buy a licence to use and supply the Services which are the subject of the alleged infringement, on terms acceptable to the Buyer

11.8 Clause 11.6 will not apply if the IPR Claim is from:

11.8.1 the use of data supplied by the Buyer which the Supplier isn't required to verify under this Call-Off Contract

11.8.2 other material provided by the Buyer necessary for the Services

11.9 If the Supplier does not comply with this clause 11, the Buyer may End this Call-Off Contract for Material Breach. The Supplier will, on demand, refund the Buyer all the money paid for the affected Services.

## 12. Protection of information

12.1 The Supplier must:

12.1.1 comply with the Buyer's written instructions and this Call-Off Contract when Processing Buyer Personal Data

12.1.2 only Process the Buyer Personal Data as necessary for the provision of the G-Cloud Services or as required by Law or any Regulatory Body

12.1.3 take reasonable steps to ensure that any Supplier Staff who have access to Buyer Personal Data act in compliance with Supplier's security processes

12.2 The Supplier must fully assist with any complaint or request for Buyer Personal Data including by:

12.2.1 providing the Buyer with full details of the complaint or request

12.2.2 complying with a data access request within the timescales in the Data Protection Legislation and following the Buyer's instructions

12.2.3 providing the Buyer with any Buyer Personal Data it holds about a Data Subject (within the timescales required by the Buyer)

12.2.4 providing the Buyer with any information requested by the Data Subject

12.3 The Supplier must get prior written consent from the Buyer to transfer Buyer Personal Data to any other person (including any Subcontractors) for the provision of the G-Cloud Services.

## 13. Buyer data

13.1 The Supplier must not remove any proprietary notices in the Buyer Data.

13.2 The Supplier will not store or use Buyer Data except if necessary to fulfil its obligations.

13.3 If Buyer Data is processed by the Supplier, the Supplier will supply the data to the Buyer as requested.

13.4 The Supplier must ensure that any Supplier system that holds any Buyer Data is a secure system that complies with the Supplier's and Buyer's security policies and all Buyer requirements in the Order Form.

13.5 The Supplier will preserve the integrity of Buyer Data processed by the Supplier and prevent its corruption and loss.

13.6 The Supplier will ensure that any Supplier system which holds any protectively marked Buyer Data or other government data will comply with:

13.6.1 the principles in the Security Policy Framework:  
<https://www.gov.uk/government/publications/security-policy-framework> and the Government Security - Classification policy:  
<https://www.gov.uk/government/publications/government-security-classifications>

13.6.2 guidance issued by the Centre for Protection of National Infrastructure on Risk Management: <https://www.npsa.gov.uk/content/adopt-risk-management-approach> and Protection of Sensitive Information and Assets: <https://www.npsa.gov.uk/sensitive-information-assets>

13.6.3 the National Cyber Security Centre's (NCSC) information risk management guidance: <https://www.ncsc.gov.uk/collection/risk-management-collection>

13.6.4 government best practice in the design and implementation of system components, including network principles, security design principles for digital services and the secure email blueprint: <https://www.gov.uk/government/publications/technologycode-of-practice/technology-code-of-practice>

13.6.5 the security requirements of cloud services using the NCSC Cloud Security Principles and accompanying guidance: <https://www.ncsc.gov.uk/guidance/implementing-cloud-security-principles>

13.6.6 Buyer requirements in respect of AI ethical standards.

13.7 The Buyer will specify any security requirements for this project in the Order Form.

13.8 If the Supplier suspects that the Buyer Data has or may become corrupted, lost, breached or significantly degraded in any way for any reason, then the Supplier will notify the Buyer immediately and will (at its own cost if corruption, loss, breach or degradation of the Buyer Data was caused by the action or omission of the Supplier) comply with any remedial action reasonably proposed by the Buyer.

13.9 The Supplier agrees to use the appropriate organisational, operational and technological processes to keep the Buyer Data safe from unauthorised use or access, loss, destruction, theft or disclosure.

13.10 The provisions of this clause 13 will apply during the term of this Call-Off Contract and for as long as the Supplier holds the Buyer's Data.

## 14. Standards and quality

14.1 The Supplier will comply with any standards in this Call-Off Contract, the Order Form and the Framework Agreement.

14.2 The Supplier will deliver the Services in a way that enables the Buyer to comply with its obligations under the Technology Code of Practice, which is at:  
<https://www.gov.uk/government/publications/technologycode-of-practice/technology-code-of-practice>

14.3 If requested by the Buyer, the Supplier must, at its own cost, ensure that the G-Cloud Services comply with the requirements in the PSN Code of Practice.

14.4 If any PSN Services are Subcontracted by the Supplier, the Supplier must ensure that the services have the relevant PSN compliance certification.

14.5 The Supplier must immediately disconnect its G-Cloud Services from the PSN if the PSN Authority considers there is a risk to the PSN's security and the Supplier agrees that the Buyer and the PSN Authority will not be liable for any actions, damages, costs, and any other Supplier liabilities which may arise.

## 15. Open source

15.1 All software created for the Buyer must be suitable for publication as open source, unless otherwise agreed by the Buyer.

15.2 If software needs to be converted before publication as open source, the Supplier must also provide the converted format unless otherwise agreed by the Buyer.

## 16. Security

16.1 If requested to do so by the Buyer, before entering into this Call-Off Contract the Supplier will, within 15 Working Days of the date of this Call-Off Contract, develop (and obtain the Buyer's written approval of) a Security Management Plan and an Information Security Management System. After Buyer approval the Security Management Plan and Information Security Management System will apply during the Term of this Call-Off Contract. Both plans will comply with the Buyer's security policy and protect all aspects and processes associated with the delivery of the Services.

16.2 The Supplier will use all reasonable endeavours, software and the most up-to-date antivirus definitions available from an industry-accepted antivirus software seller to minimise the impact of Malicious Software.

16.3 If Malicious Software causes loss of operational efficiency or loss or corruption of Service Data, the Supplier will help the Buyer to mitigate any losses and restore the Services to operating efficiency as soon as possible.

16.4 Responsibility for costs will be at the:

16.4.1 Supplier's expense if the Malicious Software originates from the Supplier software or the Service Data while the Service Data was under the control of the Supplier, unless the Supplier can demonstrate that it was already present, not quarantined or identified by the Buyer when provided

16.4.2 Buyer's expense if the Malicious Software originates from the Buyer software or the Service Data, while the Service Data was under the Buyer's control

16.5 The Supplier will immediately notify the Buyer of any breach of security of Buyer's Confidential Information. Where the breach occurred because of a Supplier Default, the Supplier will recover the Buyer's Confidential Information however it may be recorded.

16.6 Any system development by the Supplier should also comply with the government's '10 Steps to Cyber Security' guidance:

<https://www.ncsc.gov.uk/guidance/10-steps-cyber-security>

16.7 If a Buyer has requested in the Order Form that the Supplier has a Cyber Essentials certificate, the Supplier must provide the Buyer with a valid Cyber Essentials certificate (or equivalent) required for the Services before the Start date.

## 17. Guarantee

17.1 If this Call-Off Contract is conditional on receipt of a Guarantee that is acceptable to the Buyer, the Supplier must give the Buyer on or before the Start date:

17.1.1 an executed Guarantee in the form at Schedule 5



17.1.2 a certified copy of the passed resolution or board minutes of the guarantor approving the execution of the Guarantee

## 18. Ending the Call-Off Contract

18.1 The Buyer can End this Call-Off Contract at any time by giving 30 days' written notice to the Supplier, unless a shorter period is specified in the Order Form. The Supplier's obligation to provide the Services will end on the date in the notice.

18.2 The Parties agree that the:

18.2.1 Buyer's right to End the Call-Off Contract under clause 18.1 is reasonable considering the type of cloud Service being provided

18.2.2 Call-Off Contract Charges paid during the notice period are reasonable compensation and cover all the Supplier's avoidable costs or Losses

18.3 Subject to clause 24 (Liability), if the Buyer Ends this Call-Off Contract under clause 18.1, it will indemnify the Supplier against any commitments, liabilities or expenditure which result in any unavoidable Loss by the Supplier, provided that the Supplier takes all reasonable steps to mitigate the Loss. If the Supplier has insurance, the Supplier will reduce its unavoidable costs by any insurance sums available. The Supplier will submit a fully itemised and costed list of the unavoidable Loss with supporting evidence.

18.4 The Buyer will have the right to End this Call-Off Contract at any time with immediate effect by written notice to the Supplier if either the Supplier commits:

18.4.1 a Supplier Default and if the Supplier Default cannot, in the reasonable opinion of the Buyer, be remedied

18.4.2 any fraud

18.5 A Party can End this Call-Off Contract at any time with immediate effect by written notice if:

18.5.1 the other Party commits a Material Breach of any term of this Call-Off Contract (other than failure to pay any amounts due) and, if that breach is remediable, fails to remedy it within 15 Working Days of being notified in writing to do so

18.5.2 an Insolvency Event of the other Party happens

18.5.3 the other Party ceases or threatens to cease to carry on the whole or any material part of its business

18.6 If the Buyer fails to pay the Supplier undisputed sums of money when due, the Supplier must notify the Buyer and allow the Buyer 5 Working Days to pay. If the Buyer

doesn't pay within 5 Working Days, the Supplier may End this Call-Off Contract by giving the length of notice in the Order Form.

18.7 A Party who isn't relying on a Force Majeure event will have the right to End this Call-Off Contract if clause 23.1 applies.

## 19. Consequences of suspension, ending and expiry

19.1 If a Buyer has the right to End a Call-Off Contract, it may elect to suspend this Call-Off Contract or any part of it.

19.2 Even if a notice has been served to End this Call-Off Contract or any part of it, the Supplier must continue to provide the ordered G-Cloud Services until the dates set out in the notice.

19.3 The rights and obligations of the Parties will cease on the Expiry Date or End Date whichever applies) of this Call-Off Contract, except those continuing provisions described in clause 19.4.

19.4 Ending or expiry of this Call-Off Contract will not affect:

19.4.1 any rights, remedies or obligations accrued before its Ending or expiration

19.4.2 the right of either Party to recover any amount outstanding at the time of Ending or expiry

19.4.3 the continuing rights, remedies or obligations of the Buyer or the Supplier under clauses

- 7 (Payment, VAT and Call-Off Contract charges)
- 8 (Recovery of sums due and right of set-off)
- 9 (Insurance)
- 10 (Confidentiality)
- 11 (Intellectual property rights)
- 12 (Protection of information)
- 13 (Buyer data)
- 19 (Consequences of suspension, ending and expiry)
- 24 (Liability); and incorporated Framework Agreement clauses: 4.1 to 4.6, (Liability), 24 (Conflicts of interest and ethical walls), 35 (Waiver and cumulative remedies)

19.4.4 Any other provision of the Framework Agreement or this Call-Off Contract which expressly or by implication is in force even if it Ends or expires.

19.5 At the end of the Call-Off Contract Term, the Supplier must promptly:

19.5.1 return all Buyer Data including all copies of Buyer software, code and any other software licensed by the Buyer to the Supplier under it

19.5.2 return any materials created by the Supplier under this Call-Off Contract if the IPRs are owned by the Buyer

19.5.3 stop using the Buyer Data and, at the direction of the Buyer, provide the Buyer with a complete and uncorrupted version in electronic form in the formats and on media agreed with the Buyer

19.5.4 destroy all copies of the Buyer Data when they receive the Buyer's written instructions to do so or 12 calendar months after the End or Expiry Date, and provide written confirmation to the Buyer that the data has been securely destroyed, except if the retention of Buyer Data is required by Law

19.5.5 work with the Buyer on any ongoing work

19.5.6 return any sums prepaid for Services which have not been delivered to the Buyer, within 10 Working Days of the End or Expiry Date

19.6 Each Party will return all of the other Party's Confidential Information and confirm this has been done, unless there is a legal requirement to keep it or this Call-Off Contract states otherwise.

19.7 All licences, leases and authorisations granted by the Buyer to the Supplier will cease at the end of the Call-Off Contract Term without the need for the Buyer to serve notice except if this Call-Off Contract states otherwise.

## 20. Notices

20.1 Any notices sent must be in writing. For the purpose of this clause, an email is accepted as being 'in writing'.

- Manner of delivery: email
- Deemed time of delivery: 9am on the first Working Day after sending
- Proof of service: Sent in an emailed letter in PDF format to the correct email address without any error message

20.2 This clause does not apply to any legal action or other method of dispute resolution which should be sent to the addresses in the Order Form (other than a dispute notice under this Call-Off Contract).

## 21. Exit plan

21.1 The Supplier must provide an exit plan in its Application which ensures continuity of service and the Supplier will follow it.

21.2 When requested, the Supplier will help the Buyer to migrate the Services to a replacement supplier in line with the exit plan. This will be at the Supplier's own expense if the Call-Off Contract Ended before the Expiry Date due to Supplier cause.

21.3 If the Buyer has reserved the right in the Order Form to extend the Call-Off Contract Term beyond 36 months the Supplier must provide the Buyer with an additional exit plan for approval by the Buyer at least 8 weeks before the 30 month anniversary of the Start date.

21.4 The Supplier must ensure that the additional exit plan clearly sets out the Supplier's methodology for achieving an orderly transition of the Services from the Supplier to the Buyer or its replacement Supplier at the expiry of the proposed extension period or if the contract Ends during that period.

21.5 Before submitting the additional exit plan to the Buyer for approval, the Supplier will work with the Buyer to ensure that the additional exit plan is aligned with the Buyer's own exit plan and strategy.

21.6 The Supplier acknowledges that the Buyer's right to take the Term beyond 36 months is subject to the Buyer's own governance process. Where the Buyer is a central government department, this includes the need to obtain approval from CDDO under the Spend Controls process. The approval to extend will only be given if the Buyer can clearly demonstrate that the Supplier's additional exit plan ensures that:

21.6.1 the Buyer will be able to transfer the Services to a replacement supplier before the expiry or Ending of the period on terms that are commercially reasonable and acceptable to the Buyer

21.6.2 there will be no adverse impact on service continuity

21.6.3 there is no vendor lock-in to the Supplier's Service at exit

21.6.4 it enables the Buyer to meet its obligations under the Technology Code of Practice

21.7 If approval is obtained by the Buyer to extend the Term, then the Supplier will comply with its obligations in the additional exit plan.

21.8 The additional exit plan must set out full details of timescales, activities and roles and responsibilities of the Parties for:

21.8.1 the transfer to the Buyer of any technical information, instructions, manuals and code reasonably required by the Buyer to enable a smooth migration from the Supplier

21.8.2 the strategy for exportation and migration of Buyer Data from the Supplier system to the Buyer or a replacement supplier, including conversion to open standards or other standards required by the Buyer

21.8.3 the transfer of Project Specific IPR items and other Buyer customisations, configurations and databases to the Buyer or a replacement supplier

21.8.4 the testing and assurance strategy for exported Buyer Data

21.8.5 if relevant, TUPE-related activity to comply with the TUPE regulations

21.8.6 any other activities and information which is reasonably required to ensure continuity of Service during the exit period and an orderly transition

## 22. Handover to replacement supplier

22.1 At least 10 Working Days before the Expiry Date or End Date, the Supplier must provide any:

22.1.1 data (including Buyer Data), Buyer Personal Data and Buyer Confidential Information in the Supplier's possession, power or control

22.1.2 other information reasonably requested by the Buyer

22.2 On reasonable notice at any point during the Term, the Supplier will provide any information and data about the G-Cloud Services reasonably requested by the Buyer (including information on volumes, usage, technical aspects, service performance and staffing). This will help the Buyer understand how the Services have been provided and to run a fair competition for a new supplier.

22.3 This information must be accurate and complete in all material respects and the level of detail must be sufficient to reasonably enable a third party to prepare an informed offer for replacement services and not be unfairly disadvantaged compared to the Supplier in the buying process.

## 23. Force majeure

23.1 Neither Party will be liable to the other Party for any delay in performing, or failure to perform, its obligations under this Call-Off Contract (other than a payment of money) to the extent that such delay or failure is a result of a Force Majeure event.

23.2 A Party will promptly (on becoming aware of the same) notify the other Party of a Force Majeure event or potential Force Majeure event which could affect its ability to perform its obligations under this Call-Off Contract.

23.3 Each Party will use all reasonable endeavours to continue to perform its obligations under the Call-Off Contract and to mitigate the effects of Force Majeure. If a Force Majeure event prevents a Party from performing its obligations under the Call-Off Contract for more than 30 consecutive Working Days, the other Party can End the Call-Off Contract with immediate effect by notice in writing.

## 24. Liability

24.1 Subject to incorporated Framework Agreement clauses 4.1 to 4.6, each Party's Yearly total liability for Defaults under or in connection with this Call-Off Contract shall not exceed the greater of five hundred thousand pounds (£500,000) or one hundred and twenty-five per cent (125%) of the Charges paid and/or committed to be paid in that Year (or such greater sum (if any) as may be specified in the Order Form).

24.2 Notwithstanding Clause 24.1 but subject to Framework Agreement clauses 4.1 to 4.6, the Supplier's liability:

24.2.1 pursuant to the indemnities in Clauses 7, 10, 11 and 29 shall be unlimited; and

24.2.2 in respect of Losses arising from breach of the Data Protection Legislation shall be as set out in Framework Agreement clause 28.

24.3 Notwithstanding Clause 24.1 but subject to Framework Agreement clauses 4.1 to 4.6, the Buyer's liability pursuant to Clause 11.5.2 shall in no event exceed in aggregate five million pounds (£5,000,000).

24.4 When calculating the Supplier's liability under Clause 24.1 any items specified in Clause

24.2 will not be taken into consideration.

## 25. Premises

25.1 If either Party uses the other Party's premises, that Party is liable for all loss or damage it causes to the premises. It is responsible for repairing any damage to the premises or any objects on the premises, other than fair wear and tear.

25.2 The Supplier will use the Buyer's premises solely for the performance of its obligations under this Call-Off Contract.

25.3 The Supplier will vacate the Buyer's premises when the Call-Off Contract Ends or expires.

25.4 This clause does not create a tenancy or exclusive right of occupation.

25.5 While on the Buyer's premises, the Supplier will:

25.5.1 comply with any security requirements at the premises and not do anything to weaken the security of the premises

25.5.2 comply with Buyer requirements for the conduct of personnel

25.5.3 comply with any health and safety measures implemented by the Buyer

25.5.4 immediately notify the Buyer of any incident on the premises that causes any damage to Property which could cause personal injury

25.6 The Supplier will ensure that its health and safety policy statement (as required by the Health and Safety at Work etc Act 1974) is made available to the Buyer on request.

## 26. Equipment

26.1 The Supplier is responsible for providing any Equipment which the Supplier requires to provide the Services.

26.2 Any Equipment brought onto the premises will be at the Supplier's own risk and the Buyer will have no liability for any loss of, or damage to, any Equipment.

26.3 When the Call-Off Contract Ends or expires, the Supplier will remove the Equipment and any other materials leaving the premises in a safe and clean condition.

## 27. The Contracts (Rights of Third Parties) Act 1999

27.1 Except as specified in clause 29.8, a person who is not a Party to this Call-Off Contract has no right under the Contracts (Rights of Third Parties) Act 1999 to enforce any of its terms. This does not affect any right or remedy of any person which exists or is available otherwise.

## 28. Environmental requirements

28.1 The Buyer will provide a copy of its environmental policy to the Supplier on request, which the Supplier will comply with.

28.2 The Supplier must provide reasonable support to enable Buyers to work in an environmentally friendly way, for example by helping them recycle or lower their carbon footprint.

## 29. The Employment Regulations (TUPE)

29.1 The Supplier agrees that if the Employment Regulations apply to this Call-Off Contract on the Start date then it must comply with its obligations under the Employment Regulations and (if applicable) New Fair Deal (including entering into an Admission Agreement) and will indemnify the Buyer or any Former Supplier for any loss arising from any failure to comply.

29.2 Twelve months before this Call-Off Contract expires, or after the Buyer has given notice to end it, and within 28 days of the Buyer's request, the Supplier will fully and accurately disclose to the Buyer all staff information including, but not limited to, the total number of staff assigned for the purposes of TUPE to the Services. For each person identified the Supplier must provide details of:

29.2.1 the activities they perform

29.2.2 age

29.2.3 start date

29.2.4 place of work

29.2.5 notice period

29.2.6 redundancy payment entitlement

29.2.7 salary, benefits and pension entitlements

29.2.8 employment status

29.2.9 identity of employer

29.2.10 working arrangements

29.2.11 outstanding liabilities

29.2.12 sickness absence

29.2.13 copies of all relevant employment contracts and related documents

29.2.14 all information required under regulation 11 of TUPE or as reasonably requested by the Buyer.

29.3 The Supplier warrants the accuracy of the information provided under this TUPE clause and will notify the Buyer of any changes to the amended information as soon as reasonably possible. The Supplier will permit the Buyer to use and disclose the information to any prospective Replacement Supplier.

29.4 In the 12 months before the expiry of this Call-Off Contract, the Supplier will not change the identity and number of staff assigned to the Services (unless reasonably requested by the Buyer) or their terms and conditions, other than in the ordinary course of business.

29.5 The Supplier will cooperate with the re-tendering of this Call-Off Contract by allowing the Replacement Supplier to communicate with and meet the affected employees or their representatives.

29.6 The Supplier will indemnify the Buyer or any Replacement Supplier for all Loss arising from both:



29.6.1 its failure to comply with the provisions of this clause

29.6.2 any claim by any employee or person claiming to be an employee (or their employee representative) of the Supplier which arises or is alleged to arise from any act or omission by the Supplier on or before the date of the Relevant Transfer

29.7 The provisions of this clause apply during the Term of this Call-Off Contract and indefinitely after it Ends or expires.

29.8 For these TUPE clauses, the relevant third party will be able to enforce its rights under this clause but their consent will not be required to vary these clauses as the Buyer and Supplier may agree.

### 30. Additional G-Cloud services

30.1 The Buyer may require the Supplier to provide Additional Services. The Buyer doesn't have to buy any Additional Services from the Supplier and can buy services that are the same as or similar to the Additional Services from any third party.

30.2 If reasonably requested to do so by the Buyer in the Order Form, the Supplier must provide and monitor performance of the Additional Services using an Implementation Plan.

### 31. Collaboration

31.1 If the Buyer has specified in the Order Form that it requires the Supplier to enter into a Collaboration Agreement, the Supplier must give the Buyer an executed Collaboration Agreement before the Start date.

31.2 In addition to any obligations under the Collaboration Agreement, the Supplier must:

31.2.1 work proactively and in good faith with each of the Buyer's contractors

31.2.2 co-operate and share information with the Buyer's contractors to enable the efficient operation of the Buyer's ICT services and G-Cloud Services

### 32. Variation process

32.1 The Buyer can request in writing a change to this Call-Off Contract using the template in Schedule 9 if it isn't a material change to the Framework Agreement or this Call-Off Contract. Once implemented, it is called a Variation.

32.2 The Supplier must notify the Buyer immediately in writing of any proposed changes to their G-Cloud Services or their delivery by submitting a Variation request using the template in Schedule 9. This includes any changes in the Supplier's supply chain.

32.3 If either Party can't agree to or provide the Variation, the Buyer may agree to continue performing its obligations under this Call-Off Contract without the Variation, or End this Call-Off Contract by giving 30 days' notice to the Supplier.

### 33. Data Protection Legislation (GDPR)

33.1 Pursuant to clause 2.1 and for the avoidance of doubt, clause 28 of the Framework Agreement is incorporated into this Call-Off Contract. For reference, the appropriate UK GDPR templates which are required to be completed in accordance with clause 28 are reproduced in this Call-Off Contract document at Schedule 7.

## Schedule 1 - Services

### 1. PURPOSE

1.1 The Buyer intends to procure the services of a specialist third party supplier, in order to develop and deploy tools and processes to allow the centralised collation of cloud cost data from multiple public sector organisations.

### 2. BACKGROUND TO THE BUYER

2.1 The CDDO Cloud and Platforms team owns Government Cloud First Policy and related strategy policy and guidance. We work with public sector organisations to help them accelerate their adoption of cloud technologies and services, in line with best practice.

### 3. BACKGROUND TO REQUIREMENT/OVERVIEW OF REQUIREMENT

3.1 CDDO has a requirement to obtain data on cloud costs from across the public sector. This information is not currently accessible in a consolidated form. To enable that consolidated view of cloud costs we need support from a specialist 3rd party that can rapidly develop a product and onboard multiple public sector organisations.

3.2 We anticipate this requirement to focus on a subset of cloud suppliers and organisational cost data initially, with a view to expanding the scope of both cloud suppliers and volume of organisations in future.

### 4. DEFINITIONS

Expression or Acronym	Definition
CO	means Cabinet Office; the Authority / Contracting Authority.
CDDO	means Central Digital and Data Office.

### 5. SCOPE OF REQUIREMENT

5.1 The Supplier will undertake work to define, develop and deploy a product and supporting processes to consolidate cloud cost data from multiple public sector organisations. The requirement includes, but is not limited to, the following:

5.1.1 Centralised view of near real-time information about cloud utilisation in the public sector, across cloud vendors.

5.1.2 Baseline and improve general FinOps practices across public sector organisations.

5.1.3 Support the establishment of a centralised view of resilience risk in the cloud.

5.1.4 Liaison with relevant points of contact from public sector organisations to ensure requirements are understood and that any barriers to sharing cloud cost data are understood and addressed.

5.1.5 Agree an initial subset of cost data that is required - a minimum data set.

5.1.6 Agree an initial subset of public sector organisations to be onboarded.

5.1.7 Complete relevant documentation to ensure the product can be supported by CDDO in future.

## 6. THE REQUIREMENT

6.1 We estimate the length of this engagement to be approximately 3 months, with a first phase of activity (1 month) related to discovery and design. This would then be followed by a further 2 months of activity to develop, deploy and operationalise the product. We would like an optional extension of 3 months

6.1.1 **Discovery and design:** Supplier to work with CDDO and select departments to agree the initial dataset to be collected, and document a high level product design to evidence technical and wider feasibility.

6.1.2 **Develop, Deploy and Operationalise:** Supplier to work with CDDO and select departments to develop and deploy the agreed solution, that enables the collection and aggregation of cloud consumption data for at least one cloud supplier. Supplier to work with designated points of contact to onboard select departments and conduct knowledge transfer as required.

6.2 Knowledge transfer to public sector resources in CDDO to ensure a thorough handover of the tools and processes developed

6.3 CDDO will define and document acceptance testing criteria that must be completed successfully prior to completion of the contract.

## 7. LOCATION WHERE SERVICES ARE PROVIDED

7.1 This service will be provided remotely

## 8. KEY MILESTONES AND DELIVERABLES

8.1 The following Contract milestones/deliverables shall apply:

The Key Milestones / Deliverables for each role to be recruited are:

Key Milestone / Deliverable	State of Completion	Percentage of Tendered Fixed Fee per Role	Completion date
Phase One	Completion of product discovery and design activity, evidenced by a document that details the solution to be used to consolidate cloud cost data from multiple	30%	Within 1 month of contract

	public sector organisations.		
Phase Two & Final completion	Completion of v1 product development and deployment, including consolidation of cloud cost data from at least one cloud supplier for a minimum of 6 public sector organisations. Completion of required product documentation to enable effective handover to CDDO resources.	70%	Within 8 weeks of phase 2 commencement

## 9. BUYER'S RESPONSIBILITIES

9.1 The Supplier will provide ad hoc reports as required and engage in meetings when required.

9.2 The Buyer will support the Supplier by:

9.2.1 Providing necessary details and clarifications related to job roles and requirements.

9.2.2 Providing feedback and determining the completion of deliverables/milestones.

## 10. REPORTING AND PROGRESS MEETINGS

10.1 The Supplier will provide ad hoc reports as required and engage in meetings when required.

10.2 The Buyer reserves the right to require additional reporting or documentation as necessary to ensure contract compliance and satisfactory performance of services.

10.3 The Supplier will attend weekly progress review meetings with Buyer as required by the Buyer.

## 11. MANAGEMENT INFORMATION/REPORTING

11.1 Weekly Project Status Report.

## 12. CONTINUOUS IMPROVEMENT

12.1 The Supplier will be expected to continually improve the way in which the required Services are to be delivered throughout the Contract duration.

12.2 The Supplier should present new ways of working to the Buyer during monthly Contract review meetings.

12.3 Changes to the way in which the Services are to be delivered must be brought to the Buyer's attention and agreed prior to any changes being implemented.

### 13. SUSTAINABILITY/SOCIAL VALUE

13.1 Suppliers will need to demonstrate the incorporation of sustainable technology principles within their product development. This will ensure that CDDO can design strategies and approaches that enable sustainable technology and contribute to Net Zero commitments

### 14. QUALITY

14.1 Supplier must possess ISO 27001

### 15. PRICE

15.1 Prices as set on G-cloud 14

15.1.1 Phase 1 (1 month): REDACTED TEXT under FOIA Section 43 Commercial Interests.

15.1.2 Phase 2 (2 months): REDACTED TEXT under FOIA Section 43 Commercial Interests.

### 16. STAFF AND CUSTOMER SERVICE

16.1 The Supplier shall provide a sufficient level of resource throughout the duration of the Contract in order to consistently deliver a quality service.

16.2 The Supplier's staff assigned to the Contract shall have the relevant qualifications and experience to deliver the Contract to the required standard.

16.3 The Supplier shall ensure that staff understand the Buyer's vision and objectives and will provide excellent customer service to the Buyer throughout the duration of the Contract.

### 17. SERVICE LEVELS AND PERFORMANCE

17.1 The Buyer will measure the quality of the Supplier's delivery by:

KPI/SLA	Service Area	KPI/SLA description	Target
1	Onboarding	The Supplier shall respond to all emails received from the Authority within 24 hours on working days.	95%
2	Service Delivery communication	The Supplier shall respond to emails related to service design, deployment and operation within 3 working days.	95%

3	Knowledge Transfer	The Supplier shall respond to emails related to knowledge transfer within 3 working days.	100%
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## 18. SECURITY AND CONFIDENTIALITY REQUIREMENTS

18.1 The Supplier will provide suitably skilled expertise, using individuals who hold the requisite level of National Security Vetting (NSV), with a minimum of SC (Security Clearance)

## 19. PAYMENT AND INVOICING

19.1 Payment can only be made following satisfactory delivery of pre-agreed certified products and deliverables. Before payment can be considered, each invoice must include a detailed elemental breakdown of work completed and the associated costs.

19.2 Invoices should be submitted to:

**REDACTED TEXT under FOIA Section 40, Personal Information.**

## 20. CONTRACT MANAGEMENT

20.1 The supplier will be required to present progress at programme boards and regular sprint meetings as required, as well as coordinating structures for delivery meetings as part of delivery overheads included in the arrangement. It will also be required to attend contract review meetings.

20.2 Attendance at Contract Review meetings shall be at the Supplier's own expense.

## 21. LOCATION

The location of the Services will be carried out at the **REDACTED TEXT under FOIA Section 40, Personal Information**. It will also be possible for Supplier employees to work from home for a proportion of the week if viable, in agreement with the Authority.

## Schedule 2 - Call-Off Contract charges

For each individual Service, the applicable Call-Off Contract Charges (in accordance with the Supplier's Platform pricing document) can't be amended during the term of the Call-Off Contract. The detailed Charges breakdown for the provision of Services during the Term will include:

Month 1: REDACTED TEXT under FOIA Section 43  
Commercial Interests

Month 2 and 3: REDACTED TEXT under FOIA Section  
43 Commercial Interests

Total estimated Contract value excluding VAT £79,800

Total estimated Contract Value including VAT £95,760

Schedule 3 - Collaboration agreement - NOT APPLICABLE

Schedule 4 - Alternative clauses - NOT APPLICABLE

Schedule 5 - Guarantee - NOT APPLICABLE

## Schedule 6 - Glossary and interpretations

In this Call-Off Contract the following expressions mean:

Expression	Meaning
<b>Additional Services</b>	Any services ancillary to the G-Cloud Services that are in the scope of Framework Agreement Clause 2 (Services) which a Buyer may request.
<b>Admission Agreement</b>	The agreement to be entered into to enable the Supplier to participate in the relevant Civil Service pension scheme(s).
<b>Application</b>	The response submitted by the Supplier to the Invitation to Tender (known as the Invitation to Apply on the Platform).
<b>Audit</b>	An audit carried out under the incorporated Framework Agreement clauses.



<b>Background IPRs</b>	<p>For each Party, IPRs:</p> <ul style="list-style-type: none"> <li>• owned by that Party before the date of this Call-Off Contract (as may be enhanced and/or modified but not as a consequence of the Services) including IPRs contained in any of the Party's Know-How, documentation and processes</li> <li>• created by the Party independently of this Call-Off Contract, or</li> </ul> <p>For the Buyer, Crown Copyright which isn't available to the Supplier otherwise than under this Call-Off Contract, but excluding IPRs owned by that Party in Buyer software or Supplier software.</p>
<b>Buyer</b>	The contracting authority ordering services as set out in the Order Form.
<b>Buyer Data</b>	All data supplied by the Buyer to the Supplier including Personal Data and Service Data that is owned and managed by the Buyer.
<b>Buyer Personal Data</b>	The Personal Data supplied by the Buyer to the Supplier for purposes of, or in connection with, this Call-Off Contract.
<b>Buyer Representative</b>	The representative appointed by the Buyer under this Call-Off Contract.

<b>Buyer Software</b>	Software owned by or licensed to the Buyer (other than under this Agreement), which is or will be used by the Supplier to provide the Services.
<b>Call-Off Contract</b>	This call-off contract entered into following the provisions of the Framework Agreement for the provision of Services made between the Buyer and the Supplier comprising the Order Form, the Call-Off terms and conditions, the Call-Off schedules and the Collaboration Agreement.
<b>Charges</b>	The prices (excluding any applicable VAT), payable to the Supplier by the Buyer under this Call-Off Contract.

<b>Collaboration Agreement</b>	An agreement, substantially in the form, set out at Schedule 3, between the Buyer and any combination of the Supplier and contractors, to ensure collaborative working in their delivery of the Buyer's Services and to ensure that the Buyer receives end-to-end services across its IT estate.
<b>Commercially Sensitive Information</b>	Information, which the Buyer has been notified about by the Supplier in writing before the Start date with full details of why the Information is deemed to be commercially sensitive.
<b>Confidential Information</b>	<p>Data, Personal Data and any information, which may include (but isn't limited to) any:</p> <ul style="list-style-type: none"> <li>• information about business, affairs, developments, trade secrets, know-how, personnel, and third parties, including all Intellectual Property Rights (IPRs), together with all information derived from any of the above</li> <li>• other information clearly designated as being confidential or which ought reasonably be considered to be confidential (whether or not it is marked 'confidential').</li> </ul>
<b>Control</b>	'Control' as defined in section 1124 and 450 of the Corporation Tax Act 2010. 'Controls' and 'Controlled' will be interpreted accordingly.
<b>Controller</b>	Takes the meaning given in the UK GDPR.
<b>Crown</b>	The government of the United Kingdom (including the Northern Ireland Assembly and Executive Committee, the Scottish Executive and the National Assembly for Wales), including, but not limited to, government ministers and government departments and particular bodies, persons, commissions or agencies carrying out functions on its behalf.
<b>Data Loss Event</b>	Event that results, or may result, in unauthorised access to Personal Data held by the Processor under this Call-Off Contract and/or actual or potential loss and/or destruction of Personal Data in breach of this Agreement, including any Personal Data Breach.

<b>Data Protection Impact Assessment (DPIA)</b>	An assessment by the Controller of the impact of the envisaged Processing on the protection of Personal Data.
<b>Data Protection Legislation (DPL)</b>	(i) the UK GDPR as amended from time to time; (ii) the DPA 2018 to the extent that it relates to Processing of Personal Data and privacy; (iii) all applicable Law about the Processing of Personal Data and privacy.
<b>Data Subject</b>	Takes the meaning given in the UK GDPR
<b>Default</b>	<p>Default is any:</p> <ul style="list-style-type: none"> <li>• breach of the obligations of the Supplier (including any fundamental breach or breach of a fundamental term)</li> <li>• other default, negligence or negligent statement of the Supplier, of its Subcontractors or any Supplier Staff (whether by act or omission), in connection with or in relation to this Call-Off Contract</li> </ul> <p>Unless otherwise specified in the Framework Agreement the Supplier is liable to CCS for a Default of the Framework Agreement and in relation to a Default of the Call-Off Contract, the Supplier is liable to the Buyer.</p>
<b>DPA 2018</b>	Data Protection Act 2018.
<b>Employment Regulations</b>	The Transfer of Undertakings (Protection of Employment) Regulations 2006 (SI 2006/246) ('TUPE') .
<b>End</b>	Means to terminate; and Ended and Ending are construed accordingly.
<b>Environmental Information Regulations or EIR</b>	The Environmental Information Regulations 2004 together with any guidance or codes of practice issued by the Information Commissioner or relevant government department about the regulations.
<b>Equipment</b>	The Supplier's hardware, computer and telecoms devices, plant, materials and such other items supplied and used by the Supplier (but not hired, leased or loaned from CCS or the Buyer) in the performance of its obligations under this Call-Off Contract.

<b>ESI Reference Number</b>	The 14 digit ESI reference number from the summary of the outcome screen of the ESI tool.
<b>Employment Status Indicator test tool or ESI tool</b>	The HMRC Employment Status Indicator test tool. The most up-to-date version must be used. At the time of drafting the tool may be found here: <a href="https://www.gov.uk/guidance/check-employment-status-fortax">https://www.gov.uk/guidance/check-employment-status-fortax</a>
<b>Expiry Date</b>	The expiry date of this Call-Off Contract in the Order Form.
<b>Financial Metrics</b>	The following financial and accounting measures: <ul style="list-style-type: none"> <li>• Dun and Bradstreet score of 50</li> <li>• Operating Profit Margin of 2%</li> <li>• Net Worth of 0</li> <li>• Quick Ratio of 0.7</li> </ul>
<b>Force Majeure</b>	<p>A force Majeure event means anything affecting either Party's performance of their obligations arising from any:</p> <ul style="list-style-type: none"> <li>• acts, events or omissions beyond the reasonable control of the affected Party</li> <li>• riots, war or armed conflict, acts of terrorism, nuclear, biological or chemical warfare</li> <li>• acts of government, local government or Regulatory Bodies</li> <li>• fire, flood or disaster and any failure or shortage of power or fuel</li> <li>• industrial dispute affecting a third party for which a substitute third party isn't reasonably available</li> </ul> <p>The following do not constitute a Force Majeure event:</p> <ul style="list-style-type: none"> <li>• any industrial dispute about the Supplier, its staff, or failure in the Supplier's (or a Subcontractor's) supply chain</li> <li>• any event which is attributable to the wilful act, neglect or failure to take reasonable precautions by the Party seeking to rely on Force Majeure</li> <li>• the event was foreseeable by the Party seeking to rely on Force</li> </ul> <p>Majeure at the time this Call-Off Contract was entered into</p> <ul style="list-style-type: none"> <li>• any event which is attributable to the Party seeking to rely on Force Majeure and its failure to comply with its own business continuity and disaster recovery plans</li> </ul>

<b>Former Supplier</b>	A supplier supplying services to the Buyer before the Start date that are the same as or substantially similar to the Services. This also includes any Subcontractor or the Supplier (or any subcontractor of the Subcontractor).
<b>Framework Agreement</b>	The clauses of framework agreement RM1557.14 together with the Framework Schedules.
<b>Fraud</b>	Any offence under Laws creating offences in respect of fraudulent acts (including the Misrepresentation Act 1967) or at common law in respect of fraudulent acts in relation to this Call-Off Contract or defrauding or attempting to defraud or conspiring to defraud the Crown.

<b>Freedom of Information Act or FoIA</b>	The Freedom of Information Act 2000 and any subordinate legislation made under the Act together with any guidance or codes of practice issued by the Information Commissioner or relevant government department in relation to the legislation.
<b>G-Cloud Services</b>	The cloud services described in Framework Agreement Clause 2 (Services) as defined by the Service Definition, the Supplier Terms and any related Application documentation, which the Supplier must make available to CCS and Buyers and those services which are deliverable by the Supplier under the Collaboration Agreement.
<b>UK GDPR</b>	The retained EU law version of the General Data Protection Regulation (Regulation (EU) 2016/679).
<b>Good Industry Practice</b>	Standards, practices, methods and process conforming to the Law and the exercise of that degree of skill and care, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced person or body engaged in a similar undertaking in the same or similar circumstances.
<b>Government Procurement Card</b>	The government's preferred method of purchasing and payment for low value goods or services.
<b>Guarantee</b>	The guarantee described in Schedule 5.

<b>Guidance</b>	Any current UK government guidance on the Public Contracts Regulations 2015. In the event of a conflict between any current UK government guidance and the Crown Commercial Service guidance, current UK government guidance will take precedence.
<b>Implementation Plan</b>	The plan with an outline of processes (including data standards for migration), costs (for example) of implementing the services which may be required as part of Onboarding.
<b>Indicative test</b>	ESI tool completed by contractors on their own behalf at the request of CCS or the Buyer (as applicable) under clause 4.6.
<b>Information</b>	Has the meaning given under section 84 of the Freedom of Information Act 2000.

<b>Information security management system</b>	The information security management system and process developed by the Supplier in accordance with clause 16.1.
<b>Inside IR35</b>	Contractual engagements which would be determined to be within the scope of the IR35 Intermediaries legislation if assessed using the ESI tool.

<b>Insolvency event</b>	<p>Can be:</p> <ul style="list-style-type: none"> <li>• a voluntary arrangement</li> <li>• a winding-up petition</li> <li>• the appointment of a receiver or administrator</li> <li>• an unresolved statutory demand</li> <li>• a Schedule A1 moratorium</li> <li>• a Supplier Trigger Event</li> </ul>
<b>Intellectual Property Rights or IPR</b>	<p>Intellectual Property Rights are:</p> <p>(a) copyright, rights related to or affording protection similar to copyright, rights in databases, patents and rights in inventions, semi-conductor topography rights, trade marks, rights in internet domain names and website addresses and other rights in trade names, designs, Know-How, trade secrets and other rights in Confidential Information</p> <p>(b) applications for registration, and the right to apply for registration, for any of the rights listed at (a) that are capable of being registered in any country or jurisdiction</p> <ul style="list-style-type: none"> <li>• (c) all other rights having equivalent or similar effect in any country or jurisdiction</li> </ul>

<b>Intermediary</b>	<p>For the purposes of the IR35 rules an intermediary can be:</p> <ul style="list-style-type: none"> <li>• the supplier's own limited company</li> <li>• a service or a personal service company</li> <li>• a partnership</li> </ul> <p>It does not apply if you work for a client through a Managed Service Company (MSC) or agency (for example, an employment agency).</p>
<b>IPR claim</b>	As set out in clause 11.5.
<b>IR35</b>	IR35 is also known as 'Intermediaries legislation'. It's a set of rules that affect tax and National Insurance where a Supplier is contracted to work for a client through an Intermediary.
<b>IR35 assessment</b>	Assessment of employment status using the ESI tool to determine if engagement is Inside or Outside IR35.

<b>Know-How</b>	All ideas, concepts, schemes, information, knowledge, techniques, methodology, and anything else in the nature of know-how relating to the G-Cloud Services but excluding know-how already in the Supplier's or Buyer's possession before the Start date.
<b>Law</b>	Any law, subordinate legislation within the meaning of Section 21(1) of the Interpretation Act 1978, bye-law, regulation, order, regulatory policy, mandatory guidance or code of practice, judgement of a relevant court of law, or directives or requirements with which the relevant Party is bound to comply.
<b>Loss</b>	All losses, liabilities, damages, costs, expenses (including legal fees), disbursements, costs of investigation, litigation, settlement, judgement, interest and penalties whether arising in contract, tort (including negligence), breach of statutory duty, misrepresentation or otherwise and ' <b>Losses</b> ' will be interpreted accordingly.
<b>Lot</b>	Any of the 3 Lots specified in the ITT and Lots will be construed accordingly.
<b>Malicious Software</b>	Any software program or code intended to destroy, interfere with, corrupt, or cause undesired effects on program files, data or other information, executable code or application software macros, whether or not its operation is immediate or delayed, and whether the malicious software is introduced wilfully, negligently or without knowledge of its existence.

<b>Management Charge</b>	The sum paid by the Supplier to CCS being an amount of up to 1% but currently set at 0.75% of all Charges for the Services invoiced to Buyers (net of VAT) in each month throughout the duration of the Framework Agreement and thereafter, until the expiry or End of any Call-Off Contract.
<b>Management Information</b>	The management information specified in Framework Agreement Schedule 6.
<b>Material Breach</b>	Those breaches which have been expressly set out as a Material Breach and any other single serious breach or persistent failure to perform as required under this Call-Off Contract.
<b>Ministry of Justice Code</b>	The Ministry of Justice's Code of Practice on the Discharge of the Functions of Public Authorities under Part 1 of the Freedom of Information Act 2000.

<b>New Fair Deal</b>	The revised Fair Deal position in the HM Treasury guidance: "Fair Deal for staff pensions: staff transfer from central government" issued in October 2013 as amended.
<b>Order</b>	An order for G-Cloud Services placed by a contracting body with the Supplier in accordance with the ordering processes.
<b>Order Form</b>	The order form set out in Part A of the Call-Off Contract to be used by a Buyer to order G-Cloud Services.
<b>Ordered G-Cloud Services</b>	G-Cloud Services which are the subject of an order by the Buyer.
<b>Outside IR35</b>	Contractual engagements which would be determined to not be within the scope of the IR35 intermediaries legislation if assessed using the ESI tool.
<b>Party</b>	The Buyer or the Supplier and 'Parties' will be interpreted accordingly.



<b>Performance Indicators</b>	The performance information required by the Buyer from the Supplier set out in the Order Form.
<b>Personal Data</b>	Takes the meaning given in the UK GDPR.
<b>Personal Data Breach</b>	Takes the meaning given in the UK GDPR.
<b>Platform</b>	The government marketplace where Services are available for Buyers to buy.
<b>Processing</b>	Takes the meaning given in the UK GDPR.
<b>Processor</b>	Takes the meaning given in the UK GDPR.
<b>Prohibited act</b>	<p>To directly or indirectly offer, promise or give any person working for or engaged by a Buyer or CCS a financial or other advantage to:</p> <ul style="list-style-type: none"> <li>• induce that person to perform improperly a relevant function or activity</li> <li>• reward that person for improper performance of a relevant function or activity</li> <li>• commit any offence: <ul style="list-style-type: none"> <li>○ under the Bribery Act 2010</li> <li>○ under legislation creating offences concerning Fraud</li> <li>○ at common Law concerning Fraud</li> <li>○ committing or attempting or conspiring to commit Fraud</li> </ul> </li> </ul>
<b>Project Specific IPRs</b>	Any intellectual property rights in items created or arising out of the performance by the Supplier (or by a third party on behalf of the Supplier) specifically for the purposes of this Call-Off Contract including databases, configurations, code, instructions, technical documentation and schema but not including the Supplier's Background IPRs.

<b>Property</b>	Assets and property including technical infrastructure, IPRs and equipment.
<b>Protective Measures</b>	Appropriate technical and organisational measures which may include: pseudonymisation and encrypting Personal Data, ensuring confidentiality, integrity, availability and resilience of systems and services, ensuring that availability of and access to Personal Data can be restored in a timely manner after an incident, and regularly assessing and evaluating the effectiveness of such measures adopted by it.
<b>PSN or Public Services Network</b>	The Public Services Network (PSN) is the government's high performance network which helps public sector organisations work together, reduce duplication and share resources.
<b>Regulatory body or bodies</b>	Government departments and other bodies which, whether under statute, codes of practice or otherwise, are entitled to investigate or influence the matters dealt with in this Call-Off Contract.
<b>Relevant person</b>	Any employee, agent, servant, or representative of the Buyer, any other public body or person employed by or on behalf of the Buyer, or any other public body.
<b>Relevant Transfer</b>	A transfer of employment to which the employment regulations applies.
<b>Replacement Services</b>	Any services which are the same as or substantially similar to any of the Services and which the Buyer receives in substitution for any of the services after the expiry or Ending or partial Ending of the Call-Off Contract, whether those services are provided by the Buyer or a third party.
<b>Replacement supplier</b>	Any third-party service provider of replacement services appointed by the Buyer (or where the Buyer is providing replacement Services for its own account, the Buyer).

<b>Security management plan</b>	The Supplier's security management plan developed by the Supplier in accordance with clause 16.1.
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<b>Services</b>	The services ordered by the Buyer as set out in the Order Form.
<b>Service Data</b>	Data that is owned or managed by the Buyer and used for the G-Cloud Services, including backup data and Performance Indicators data.
<b>Service definition(s)</b>	The definition of the Supplier's G-Cloud Services provided as part of their Application that includes, but isn't limited to, those items listed in Clause 2 (Services) of the Framework Agreement.
<b>Service description</b>	The description of the Supplier service offering as published on the Platform.
<b>Service Personal Data</b>	The Personal Data supplied by a Buyer to the Supplier in the course of the use of the G-Cloud Services for purposes of or in connection with this Call-Off Contract.
<b>Spend controls</b>	The approval process used by a central government Buyer if it needs to spend money on certain digital or technology services, see <a href="https://www.gov.uk/service-manual/agile-delivery/spend-controlscheck-if-you-need-approval-to-spend-money-on-a-service">https://www.gov.uk/service-manual/agile-delivery/spend-controlscheck-if-you-need-approval-to-spend-money-on-a-service</a>
<b>Start date</b>	The Start date of this Call-Off Contract as set out in the Order Form.
<b>Subcontract</b>	Any contract or agreement or proposed agreement between the Supplier and a subcontractor in which the subcontractor agrees to provide to the Supplier the G-Cloud Services or any part thereof or facilities or goods and services necessary for the provision of the G-Cloud Services or any part thereof.
<b>Subcontractor</b>	Any third party engaged by the Supplier under a subcontract (permitted under the Framework Agreement and the Call-Off Contract) and its servants or agents in connection with the provision of G-Cloud Services.

<b>Subprocessor</b>	Any third party appointed to process Personal Data on behalf of the Supplier under this Call-Off Contract.
<b>Supplier</b>	The person, firm or company identified in the Order Form.
<b>Supplier Representative</b>	The representative appointed by the Supplier from time to time in relation to the Call-Off Contract.

<b>Supplier staff</b>	All persons employed by the Supplier together with the Supplier's servants, agents, suppliers and subcontractors used in the performance of its obligations under this Call-Off Contract.
<b>Supplier Terms</b>	The relevant G-Cloud Service terms and conditions as set out in the Terms and Conditions document supplied as part of the Supplier's Application.
<b>Term</b>	The term of this Call-Off Contract as set out in the Order Form.
<b>Trigger Event</b>	The Supplier simultaneously fails to meet three or more Financial Metrics for a period of at least ten Working Days.
<b>Variation</b>	This has the meaning given to it in clause 32 (Variation process).
<b>Variation Impact Assessment</b>	<p>An assessment of the impact of a variation request by the Buyer completed in good faith, including:</p> <ul style="list-style-type: none"> <li>a) details of the impact of the proposed variation on the Deliverables and the Supplier's ability to meet its other obligations under the Call-Off Contract;</li> <li>b) details of the cost of implementing the proposed variation;</li> <li>c) details of the ongoing costs required by the proposed variation when implemented, including any increase or decrease in the Charges, any alteration in the resources and/or expenditure required by either Party and any alteration to the working practices of either Party;</li> <li>d) a timetable for the implementation, together with any proposals for the testing of the variation; and</li> </ul> <p>such other information as the Buyer may reasonably request in (or in response to) the variation request;</p>

<b>Working Days</b>	Any day other than a Saturday, Sunday or public holiday in England and Wales.
<b>Year</b>	A contract year.

Intentionally Blank

## Schedule 7 - UK GDPR Information

This schedule reproduces the annexes to the UK GDPR schedule contained within the Framework Agreement and incorporated into this Call-off Contract and clause and schedule references are to those in the Framework Agreement but references to CCS have been amended

### Annex 1 - Processing Personal Data

This Annex shall be completed by the Controller, who may take account of the view of the Processors, however the final decision as to the content of this Annex shall be with the Buyer at its absolute discretion.

- 1.1 The contact details of the Buyer's Data Protection Officer are: **REDACTED TEXT under FOIA Section 40, Personal Information**
- 1.2 The contact details of the Supplier's Data Protection Officer are: **REDACTED TEXT under FOIA Section 40, Personal Information**
- 1.3 The Processor shall comply with any further written instructions with respect to Processing by the Controller.
- 1.4 Any such further instructions shall be incorporated into this Annex.

Description	Details
Identity of Controller and Processor for each Category of Personal Data	<b>The Buyer is Controller and the Supplier is Processor</b> The Parties acknowledge that in accordance with paragraphs 2 to paragraph 15 of Schedule 7 and for the purposes of the Data Protection Legislation, the Buyer is the Controller and the Supplier is the Processor of the following Personal Data: <ul style="list-style-type: none"><li><b>Contact Details of Stakeholders that are part of the project</b></li></ul>
Duration of the Processing	<b>3 Months</b>
Nature and purposes of the Processing	Process of personal data relates only to electronic and verbal communications with project stakeholders from the Authority and participating departments.

Type of Personal Data	Names, Email Address, Job Titles
Categories of Data Subject	Civil Servants
International transfers and legal gateway	There is no international transfer of personal data or any form of data in this contract
Plan for return and destruction of the data once the Processing is complete	All personal data will be removed from the suppliers' system following the end of contract

## Annex 2 - Joint Controller Agreement - NOT USED



## Schedule 8 - Corporate Resolution Planning

### 1. Definitions

1.1 In this Schedule, the following words shall have the following meanings and they shall supplement Schedule 6 (Glossary and interpretations):

<b>"Accounting Reference Date"</b>	means in each year the date to which the Supplier prepares its annual audited financial statements;
<b>"Annual Revenue"</b>	<p>means, for the purposes of determining whether an entity is a Public Sector Dependent Supplier, the audited consolidated aggregate revenue (including share of revenue of joint ventures and Associates) reported by the Supplier or, as appropriate, the Supplier Group in its most recent published accounts, subject to the following methodology:</p> <p>figures for accounting periods of other than 12 months should be scaled pro rata to produce a proforma figure for a 12 month period; and</p> <p>where the Supplier, the Supplier Group and/or their joint ventures and Associates report in a foreign currency, revenue should be converted to British Pound Sterling at the closing exchange rate on the Accounting Reference Date;</p>

<p><b>“Appropriate Authority” or “Appropriate Authorities”</b></p>	<p>means the Buyer and the Cabinet Office Markets and Suppliers Team or, where the Supplier is a Strategic Supplier, the Cabinet Office Markets and Suppliers Team;</p>
<p><b>“Associates”</b></p>	<p>means, in relation to an entity, an undertaking in which the entity owns, directly or indirectly, between 20% and 50% of the voting rights and exercises a degree of control sufficient for the undertaking to be treated as an associate under generally accepted accounting principles;</p>
<p><b>"Cabinet Office Markets and Suppliers Team"</b></p>	<p>means the UK Government’s team responsible for managing the relationship between government and its Strategic Suppliers, or any replacement or successor body carrying out the same function;</p>
<p><b>“Class 1 Transaction”</b></p>	<p>has the meaning set out in the listing rules issued by the UK Listing Authority;</p>

<p><b>“Control”</b></p>	<p>the possession by a person, directly or indirectly, of the power to direct or cause the direction of the management and policies of the other person (whether through the ownership of voting shares, by contract or otherwise) and “Controls” and “Controlled” shall be interpreted accordingly;</p>
<p><b>“Corporate Change Event”</b></p>	<p>means:</p> <ul style="list-style-type: none"> <li>(a) any change of Control of the Supplier or a Parent Undertaking of the Supplier;</li> <li>(b) any change of Control of any member of the Supplier Group which, in the reasonable opinion of the Buyer, could have a material adverse effect on the Services;</li> <li>(c) any change to the business of the Supplier or any member of the Supplier Group which, in the reasonable opinion of the Buyer, could have a material adverse effect on the Services;</li> <li>(d) a Class 1 Transaction taking place in relation to the shares of the Supplier or any Parent Undertaking of the Supplier whose shares are listed on the main market of the London Stock Exchange plc;</li> <li>(e) an event that could reasonably be regarded as being equivalent to a Class 1 Transaction taking place in respect of the Supplier or any Parent Undertaking of the Supplier;</li> <li>(f) payment of dividends by the Supplier or the ultimate Parent Undertaking of the Supplier Group exceeding 25% of the Net Asset Value of the Supplier or the ultimate Parent Undertaking of the Supplier Group respectively in any 12 month period;</li> <li>(g) an order is made or an effective resolution is passed for the winding up of any member of the Supplier Group;</li> <li>(h) any member of the Supplier Group stopping payment of its debts generally or becoming unable to pay its debts within the meaning of section 123(1) of the Insolvency Act 1986 or any member of the Supplier Group ceasing to carry on all or substantially all its business, or any compromise, composition, arrangement or agreement being made with creditors of any member of the Supplier Group;</li> </ul>

	<p>(i) the appointment of a receiver, administrative receiver or administrator in respect of or over all or a material part of the undertaking or assets of any member of the Supplier Group; and/or</p> <p>(j) any process or events with an effect analogous to those in paragraphs (e) to (g) inclusive above occurring to a member of the Supplier Group in a jurisdiction outside England and Wales;</p>
<b>"Corporate Change Event Grace Period"</b>	means a grace period agreed to by the Appropriate Authority for providing CRP Information and/or updates to Business Continuity Plan after a Corporate Change Event;
<b>"Corporate Resolvability Assessment (Structural Review)"</b>	means part of the CRP Information relating to the Supplier Group to be provided by the Supplier in accordance with Paragraph 3 and Annex 2 of this Schedule;
<b>"Critical National Infrastructure" or "CNI"</b>	<p>means those critical elements of UK national infrastructure (namely assets, facilities, systems, networks or processes and the essential workers that operate and facilitate them), the loss or compromise of which could result in:</p> <p>major detrimental impact on the availability, integrity or delivery of essential services – including those services whose integrity, if compromised, could result in significant loss of life or casualties – taking into account significant economic or social impacts; and/or</p>

	significant impact on the national security, national defence, or the functioning of the UK;
<b>“Critical Service Contract”</b>	means the overall status of the Services provided under the Call-Off Contract as determined by the Buyer and specified in Paragraph 2 of this Schedule;
<b>“CRP Information”</b>	<p>means the corporate resolution planning information, together, the:</p> <p>(a) Exposure Information (Contracts List);</p> <p>(b) Corporate Resolvability Assessment (Structural Review); and</p> <p>(c) Financial Information and Commentary</p>
<b>“Dependent Parent Undertaking”</b>	means any Parent Undertaking which provides any of its Subsidiary Undertakings and/or Associates, whether directly or indirectly, with any financial, trading, managerial or other assistance of whatever nature, without which the Supplier would be unable to continue the day to day conduct and operation of its business in the same manner as carried on at the time of entering into the Call-Off Contract, including for the avoidance of doubt the provision of the Services in accordance with the terms of the Call-Off Contract;

<p><b>“FDE Group”</b></p> <p><b>“Financial Distress Event”</b></p>	<p>means the Supplier</p> <p>the credit rating of an FDE Group entity dropping below the applicable Financial Metric;</p> <p>an FDE Group entity issuing a profits warning to a stock exchange or making any other public announcement, in each case about a material deterioration in its financial position or prospects;</p> <p>there being a public investigation into improper financial accounting and reporting, suspected fraud or any other impropriety of an FDE Group entity;</p> <p>an FDE Group entity committing a material breach of covenant to its lenders;</p> <p>a Subcontractor notifying CCS or the Buyer that the Supplier has not satisfied any material sums properly due under a specified invoice and not subject to a genuine dispute;</p> <p>any of the following:</p> <p>commencement of any litigation against an FDE Group entity with respect to financial indebtedness greater than £5m or obligations under a service contract with a total contract value greater than £5m;</p> <p>non-payment by an FDE Group entity of any financial indebtedness;</p> <p>any financial indebtedness of an FDE Group entity becoming due as a result of an event of default;</p> <p>the cancellation or suspension of any financial indebtedness in respect of an FDE Group entity; or</p> <p>the external auditor of an FDE Group entity expressing a qualified opinion on, or including an emphasis of matter in, its opinion on the statutory accounts of that FDE entity;</p> <p>in each case which the Buyer reasonably believes (or would be likely to reasonably believe) could directly impact on the</p>
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	<p>continued performance and delivery of the Services in accordance with the Call-Off Contract; and</p> <p>any two of the Financial Metrics for the Supplier not being met at the same time.</p>
<b>“Parent Undertaking”</b>	has the meaning set out in section 1162 of the Companies Act 2006;
<b>“Public Sector Dependent Supplier”</b>	means a supplier where that supplier, or that supplier’s group has Annual Revenue of £50 million or more of which over 50% is generated from UK Public Sector Business;
<b>“Strategic Supplier”</b>	means those suppliers to government listed at <a href="https://www.gov.uk/government/publications/strategic-suppliers">https://www.gov.uk/government/publications/strategic-suppliers</a> ;
<b>“Subsidiary Undertaking”</b>	has the meaning set out in section 1162 of the Companies Act 2006;

<b>“Supplier Group”</b>	means the Supplier, its Dependent Parent Undertakings and all Subsidiary Undertakings and Associates of such Dependent Parent Undertakings;
<b>“UK Public Sector Business”</b>	means any goods, service or works provision to UK public sector bodies, including Central Government Departments and their arm's length bodies and agencies, non-departmental public bodies, NHS bodies, local authorities, health bodies, police, fire and rescue, education bodies and devolved administrations; and
<b>“UK Public Sector / CNI Contract Information”</b>	means the information relating to the Supplier Group to be provided by the Supplier in accordance with Paragraphs 3 to 5 and Annex 1;

## 2. Service Status and Supplier Status

2.1 This Call-Off Contract is not a Critical Service Contract.

2.2 The Supplier shall notify the Buyer and the Cabinet Office Markets and Suppliers Team in writing within 5 Working Days of the Start Date and throughout the Call-Off Contract Term within 120 days after each Accounting Reference Date as to whether or not it is a Public Sector Dependent Supplier. The contact email address for the Markets and Suppliers Team is [resolution.planning@cabinetoffice.gov.uk](mailto:resolution.planning@cabinetoffice.gov.uk).

2.3 The Buyer and the Supplier recognise that, where specified in the Framework Agreement, CCS shall have the right to enforce the Buyer's rights under this Schedule.



### 3. Provision of Corporate Resolution Planning Information

3.1 Paragraphs 3 to 5 shall apply if the Call-Off Contract has been specified as a Critical Service Contract under Paragraph 2.1 or the Supplier is or becomes a Public Sector Dependent Supplier.

3.2 Subject to Paragraphs 3.6, 3.10 and 3.11:

3.2.1 where the Call-Off Contract is a Critical Service Contract, the Supplier shall provide the Appropriate Authority or Appropriate Authorities with the CRP Information within 60 days of the Start Date; and

3.2.2 except where it has already been provided, where the Supplier is a Public Sector Dependent Supplier, it shall provide the Appropriate Authority or Appropriate Authorities with the CRP Information within 60 days of the date of the Appropriate Authority's or Appropriate Authorities' request.

3.3 The Supplier shall ensure that the CRP Information provided pursuant to Paragraphs 3.2, 3.8 and 3.9:

3.3.1 is full, comprehensive, accurate and up to date;

3.3.2 is split into three parts:

- (a) Exposure Information (Contracts List);
- (b) Corporate Resolvability Assessment (Structural Review);
- (c) Financial Information and Commentary

and is structured and presented in accordance with the requirements and explanatory notes set out in the latest published version of the Resolution Planning Guidance Note published by the Cabinet Office Government Commercial Function and available at <https://www.gov.uk/government/publications/the-sourcing-and-consultancy-playbooks> and contains the level of detail required (adapted as necessary to the Supplier's circumstances);

3.3.3 incorporates any additional commentary, supporting documents and evidence which would reasonably be required by the Appropriate Authority or Appropriate Authorities to understand and consider the information for approval;

3.3.4 provides a clear description and explanation of the Supplier Group members that have agreements for goods, services or works provision in respect of UK Public Sector Business and/or Critical National Infrastructure and the nature of those agreements; and

3.3.5 complies with the requirements set out at Annex 1 (Exposure Information (Contracts List)), Annex 2 (Corporate Resolvability Assessment (Structural Review)) and Annex 3 (Financial Information and Commentary) respectively.

3.4 Following receipt by the Appropriate Authority or Appropriate Authorities of the CRP Information pursuant to Paragraphs 3.2, 3.8 and 3.9, the Buyer shall procure that the Appropriate Authority or Appropriate Authorities shall discuss in good faith the contents of the CRP Information with the Supplier and no later than 60 days after the date on which the CRP Information was delivered by the Supplier either provide an Assurance to the Supplier that the Appropriate Authority or Appropriate Authorities approve the CRP Information or that the Appropriate Authority or Appropriate Authorities reject the CRP Information.

3.5 If the Appropriate Authority or Appropriate Authorities reject the CRP Information:

3.5.1 the Buyer shall (and shall procure that the Cabinet Office Markets and Suppliers Team shall) inform the Supplier in writing of its reasons for its rejection; and

3.5.2 the Supplier shall revise the CRP Information, taking reasonable account of the Appropriate Authority's or Appropriate Authorities' comments, and shall re-submit the CRP Information to the Appropriate Authority or Appropriate Authorities for approval within 30 days of the date of the Appropriate Authority's or Appropriate Authorities' rejection. The provisions of paragraph 3.3 to 3.5 shall apply again to any resubmitted CRP Information provided that either Party may refer any disputed matters for resolution under clause 32 of the Framework Agreement (Managing disputes).

3.6 Where the Supplier or a member of the Supplier Group has already provided CRP Information to a central government body or the Cabinet Office Markets and Suppliers Team (or, in the case of a Strategic Supplier, solely to the Cabinet Office Markets and Suppliers Team) and has received an Assurance of its CRP Information from that central government body and the Cabinet Office Markets and Suppliers Team (or, in the case of a Strategic Supplier, solely from the Cabinet Office Markets and Suppliers Team), then provided that the Assurance remains Valid (which has the meaning in paragraph 3.7 below) on the date by which the CRP Information would otherwise be required, the Supplier shall not be required to provide the CRP Information under Paragraph 3.2 if it provides a copy of the Valid Assurance to the Appropriate Authority or Appropriate Authorities on or before the date on which the CRP Information would otherwise have been required.

3.7 An Assurance shall be deemed Valid for the purposes of Paragraph 3.6 if:

3.7.1 the Assurance is within the validity period stated in the Assurance (or, if no validity period is stated, no more than 12 months has elapsed since it was issued and no more than

18 months has elapsed since the Accounting Reference Date on which the CRP Information was based); and

3.7.2 no Corporate Change Events or Financial Distress Events (or events which would be deemed to be Corporate Change Events or Financial Distress Events if the Call-Off Contract had then been in force) have occurred since the date of issue of the Assurance.

3.8 If the Call-Off Contract is a Critical Service Contract, the Supplier shall provide an updated version of the CRP Information (or, in the case of Paragraph 3.8.3 of its initial CRP Information) to the Appropriate Authority or Appropriate Authorities:

3.8.1 within 14 days of the occurrence of a Financial Distress Event (along with any additional highly confidential information no longer exempted from disclosure under Paragraph 3.11) unless the Supplier is relieved of the consequences of the Financial Distress Event as a result of credit ratings being revised upwards;

3.8.2 within 30 days of a Corporate Change Event unless

(a) the Supplier requests and the Appropriate Authority (acting reasonably) agrees to a Corporate Change Event Grace Period, in the event of which the time period for the Supplier to comply with this Paragraph shall be extended as determined by the Appropriate Authority (acting reasonably) but shall in any case be no longer than six months after the Corporate Change Event. During a Corporate Change Event Grace Period the Supplier shall regularly and fully engage with the Appropriate Authority to enable it to understand the nature of the Corporate Change Event and the Appropriate Authority shall reserve the right to terminate a Corporate Change Event Grace Period at any time if the Supplier fails to comply with this Paragraph; or

(b) not required pursuant to Paragraph 3.10;

3.8.3 within 30 days of the date that:

(a) the credit rating(s) of each of the Supplier and its Parent Undertakings fail to meet any of the criteria specified in Paragraph 3.10; or

(b) none of the credit rating agencies specified at Paragraph 3.10 hold a public credit rating for the Supplier or any of its Parent Undertakings; and

3.8.4 in any event, within 6 months after each Accounting Reference Date or within 15 months of the date of the previous Assurance received from the Appropriate Authority (whichever is the earlier), unless:

(a) updated CRP Information has been provided under any of Paragraphs 3.8.1 3.8.2 or 3.8.3 since the most recent Accounting Reference Date (being no more than 12 months previously) within the timescales that would ordinarily be required for the provision of that information under this Paragraph 3.8.4; or

(b) not required pursuant to Paragraph 3.10.

3.9 Where the Supplier is a Public Sector Dependent Supplier and the Call-Off Contract is not a Critical Service Contract, then on the occurrence of any of the events specified in Paragraphs 3.8.1 to 3.8.4, the Supplier shall provide at the request of the Appropriate Authority or Appropriate Authorities and within the applicable timescales for each event as set out in

Paragraph 3.8 (or such longer timescales as may be notified to the Supplier by the Buyer), the CRP Information to the Appropriate Authority or Appropriate Authorities.

3.10 Where the Supplier or a Parent Undertaking of the Supplier has a credit rating of either:

3.10.1 Aa3 or better from Moody's;

3.10.2 AA- or better from Standard and Poors;

3.10.3 AA- or better from Fitch;

the Supplier will not be required to provide any CRP Information unless or until either (i) a Financial Distress Event occurs (unless the Supplier is relieved of the consequences of the Financial Distress Event due to credit ratings being revised upwards) or (ii) the Supplier and its Parent Undertakings cease to fulfil the criteria set out in this Paragraph 3.10, in which cases the Supplier shall provide the updated version of the CRP Information in accordance with paragraph 3.8.

3.11 Subject to Paragraph 5, where the Supplier demonstrates to the reasonable satisfaction of the Appropriate Authority or Appropriate Authorities that a particular item of CRP Information is highly confidential, the Supplier may, having orally disclosed and discussed that information with the Appropriate Authority or Appropriate Authorities, redact or omit that information from the CRP Information provided that if a Financial Distress Event occurs, this exemption shall no longer apply and the Supplier shall promptly provide the relevant information to the Appropriate Authority or Appropriate Authorities to the extent required under Paragraph 3.8.

## 4. Termination Rights

4.1 The Buyer shall be entitled to terminate the Call-Off Contract if the Supplier is required to provide CRP Information under Paragraph 3 and either:

4.1.1 the Supplier fails to provide the CRP Information within 4 months of the Start Date if this is a Critical Service Contract or otherwise within 4 months of the Appropriate Authority's or Appropriate Authorities' request; or

4.1.2 the Supplier fails to obtain an Assurance from the Appropriate Authority or Appropriate Authorities within 4 months of the date that it was first required to provide the CRP Information under the Call-Off Contract, which shall be deemed to be an event to which Clause 18.4 applies.

## 5. Confidentiality and usage of CRP Information

5.1 The Buyer agrees to keep the CRP Information confidential and use it only to understand the implications of an Insolvency Event of the Supplier and/or Supplier Group members on its UK Public Sector Business and/or services in respect of CNI and to enable contingency planning to maintain service continuity for end users and protect CNI in such eventuality.

5.2 Where the Appropriate Authority is the Cabinet Office Markets and Suppliers Team, at the Supplier's request, the Buyer shall use reasonable endeavours to procure that the Cabinet Office enters into a confidentiality and usage agreement with the Supplier containing terms no less stringent than those placed on the Buyer under paragraph 5.1 and incorporated Framework Agreement clause 34.

5.3 The Supplier shall use reasonable endeavours to obtain consent from any third party which has restricted the disclosure of the CRP Information to enable disclosure of that information to the Appropriate Authority or Appropriate Authorities pursuant to Paragraph 3 subject, where necessary, to the Appropriate Authority or Appropriate Authorities entering into an appropriate confidentiality agreement in the form required by the third party.

5.4 Where the Supplier is unable to procure consent pursuant to Paragraph 5.3, the Supplier shall use all reasonable endeavours to disclose the CRP Information to the fullest extent possible by limiting the amount of information it withholds including by:

5.4.1 redacting only those parts of the information which are subject to such obligations of confidentiality;

5.4.2 providing the information in a form that does not breach its obligations of confidentiality including (where possible) by:

- (a) summarising the information;
- (b) grouping the information;
- (c) anonymising the information; and
- (d) presenting the information in general terms

5.5 The Supplier shall provide the Appropriate Authority or Appropriate Authorities with contact details of any third party which has not provided consent to disclose CRP Information where that third party is also a public sector body and where the Supplier is legally permitted to do so.

## ANNEX 1: EXPOSURE: CRITICAL CONTRACTS LIST

1 The Supplier shall:

1.1 provide details of all agreements held by members of the Supplier Group where those agreements are for goods, services or works provision and:

(a) are with any UK public sector bodies including: central government departments and their arms-length bodies and agencies, non-departmental public bodies, NHS bodies, local buyers, health bodies, police fire and rescue, education bodies and the devolved administrations;

(b) are with any private sector entities where the end recipient of the service, goods or works provision is any of the bodies set out in Paragraph 1.1(a) of this Annex 1 and where the member of the Supplier Group is acting as a key sub-contractor under the contract with the end recipient; or

(c) involve or could reasonably be considered to involve CNI;

1.2 provide the Appropriate Authority with a copy of the latest version of each underlying contract worth more than £5m per contract year and their related key sub-contracts, which shall be included as embedded documents within the CRP Information or via a directly accessible link

## ANNEX 2: CORPORATE RESOLVABILITY ASSESSMENT (STRUCTURAL REVIEW)

1 The Supplier shall:

1.1 provide sufficient information to allow the Appropriate Authority to understand the implications on the Supplier Group's UK Public Sector Business and CNI agreements listed pursuant to Annex 1 if the Supplier or another member of the Supplier Group is subject to an Insolvency Event;

1.2 ensure that the information is presented so as to provide a simple, effective and easily understood overview of the Supplier Group; and

1.3 provide full details of the importance of each member of the Supplier Group to the Supplier Group's UK Public Sector Business and CNI agreements listed pursuant to Annex 1 and the dependencies between each.

### ANNEX 3: Financial information AND COMMENTARY

1 The Supplier shall:

1.1 provide sufficient financial information for the Supplier Group level, contracting operating entities level, and shared services entities' level to allow the Appropriate Authority to understand the current financial interconnectedness of the Supplier Group and the current performance of the Supplier as a standalone entity; and

1.2 ensure that the information is presented in a simple, effective and easily understood manner.

2 For the avoidance of doubt the financial information to be provided pursuant to Paragraph 1 of this Annex 3 should be based on the most recent audited accounts for the relevant entities (or interim accounts where available) updated for any material changes since the Accounting Reference Date provided that such accounts are available in a reasonable timeframe to allow the Supplier to comply with its obligations under this Schedule. If such accounts are not available in that timeframe, to the extent permitted by Law financial information should be based on unpublished unaudited accounts or management accounts (disclosure of which to the Appropriate Authority remains protected by confidentiality).



## Schedule 9 - Variation Form

This form is to be used in order to change a Call-Off Contract in accordance with Clause 32 (Variation process)

Contract Details	
This variation is between:	
Contract name:	
Contract reference number:	
Details of Proposed Variation	
Variation initiated by:	
Variation number:	
Date variation is raised:	
Proposed variation	
Reason for the variation:	
A Variation Impact Assessment shall be provided within:	
Impact of Variation	
Likely impact of the proposed variation:	
Outcome of Variation	
Contract variation:	•

Financial variation:	Original Contract Value:	£
	Additional cost due to variation:	£
	New Contract value:	£

1. This Variation must be agreed and signed by both Parties to the Contract and shall only be effective from the date it is signed by Buyer
2. Words and expressions in this Variation shall have the meanings given to them in the Contract.
3. The Contract, including any previous Variations, shall remain effective and unaltered except as amended by this Variation.

Signed by an authorised signatory for and on behalf of the Buyer

Signature

Date

Name (in Capitals)

Address

Signed by an authorised signatory to sign for and on behalf of the Supplier

Signature

Date

Name (in Capitals)

Address

# Schedule 10 - (Security) Development Security Schedule

## 1 Buyer Options

Where the Buyer has selected an option in the table below, the Supplier must comply with the requirements relating to that option set out in the relevant Paragraph:

<b>Buyer risk assessment</b> (see Paragraph 2)		
The Buyer has assessed this Contract as:	a higher-risk agreement	<input type="checkbox"/>
	a standard agreement	<input checked="" type="checkbox"/>
<b>Certifications</b> (see Paragraph 10) (applicable only for standard risk agreements)		
Where the Buyer has assessed this Contract as a standard risk agreement, the Supplier must have the following Certifications (or equivalent):	Cyber Essentials Plus	<input type="checkbox"/>
	Cyber Essentials	<input type="checkbox"/>
	No certification required	<input checked="" type="checkbox"/>
The Supplier must ensure that Higher-risk Sub-contractors have the following Certifications (or equivalent):	Cyber Essentials Plus	<input type="checkbox"/>
	Cyber Essentials	<input type="checkbox"/>
	No certification required	<input checked="" type="checkbox"/>
The Supplier must ensure that Medium-risk Sub-contractors have the following Certifications (or equivalent):	Cyber Essentials Plus	<input type="checkbox"/>
	Cyber Essentials	<input type="checkbox"/>
	No certification required	<input checked="" type="checkbox"/>
<b>Buyer Security Policies</b> (see Paragraph 6)		
The Buyer requires the Supplier to comply with the following policies relating to security management:		<input type="checkbox"/>
<b>Secure by Design Questionnaire</b> (Paragraph 12)		

The Buyer requires the Supplier to complete the Secure by Design Questionnaire		<input type="checkbox"/>
<b>Locations</b> (see Paragraph 1 of the Security Requirements)		
The Supplier and Sub-contractors may store, access or Handle Government Data in:	the United Kingdom only	<input type="checkbox"/>
	any territory as permitted by and in accordance with any regulations for the time being in force made under section 17A of the Data Protection Act 2018 (adequacy decisions by the Secretary of State)	<input checked="" type="checkbox"/>
	anywhere in the world not prohibited by the Buyer	<input type="checkbox"/>
<b>Support Locations</b> (see Paragraph 1 of the Security Requirements)		
The Supplier and Subcontractors may operate Support Locations in:	the United Kingdom only	<input type="checkbox"/>
	any territory as permitted by and in accordance with any regulations for the time being in force made under section 17A of the Data Protection Act 2018 (adequacy decisions by the Secretary of State)	<input checked="" type="checkbox"/>
	anywhere in the world not prohibited by the Buyer	<input type="checkbox"/>
<b>Locations for Development Activity</b> (see Paragraph 1 of the Security Requirements)		<input type="checkbox"/>
	the United Kingdom only	<input type="checkbox"/>

The Supplier and Subcontractors may undertake Development Activity in:	any territory as permitted by and in accordance with any regulations for the time being in force made under section 17A of the Data Protection Act 2018 (adequacy decisions by the Secretary of State)	<input checked="" type="checkbox"/>
	anywhere in the world not prohibited by the Buyer	<input type="checkbox"/>

## 2 Supplier obligations

Where the Buyer has assessed this Contract as a standard risk agreement, the Supplier must comply with all requirements of this Schedule 10 (Security) except:

- (a) Paragraph 11 (*Security Management Plan*);
- (b) Paragraph 9 of the Security Requirements (*Code Reviews*);
- (c) Paragraph 11 of the Security Requirements (*Third-party Software Modules*);
- (d) Paragraph 12 of the Security Requirements (*Hardware and software support*);
- (e) Paragraph 13 of the Security Requirements (*Encryption*); and
- (f) Paragraph 20 of the Security Requirements (*Access Control*).

## 3 Definitions

### 3.1 In this Schedule 10 (Security):

<p>“Anti-virus Software”</p>	<p>means software that:</p> <ul style="list-style-type: none"> <li>(a) protects the Supplier Information Management System from the possible introduction of Malicious Software;</li> <li>(b) scans for and identifies possible Malicious Software in the Supplier Information Management System;</li> <li>(c) if Malicious Software is detected in the Supplier Information Management System, so far as possible: <ul style="list-style-type: none"> <li>(i) prevents the harmful effects of the Malicious Software; and</li> <li>(ii) removes the Malicious Software from the Supplier Information Management System;</li> </ul> </li> </ul>
<p>“Backup and Recovery Plan”</p>	<p>the document setting out the Suppliers’ and Sub-contractors’ plans for the back and recovery of any Government Data they Handle;</p>
<p>“Breach Action Plan”</p>	<p>means a plan prepared under Paragraph 23.3 of the Security Requirements addressing any Breach of Security;</p>

<p>“Breach of Security”</p>	<p>means the occurrence of:</p> <p>A. any unauthorised access to or use of the Services, the Buyer Premises, the Sites, the Supplier Information Management System and/or any information or data used by the Buyer, the Supplier or any Sub-contractor in connection with this Contract, including the Government Data and the Code;</p> <p>B. the loss (physical or otherwise), corruption and/or unauthorised disclosure of any information or data, including copies of such information or data, used by the Buyer, the Supplier or any Sub-contractor in connection with this Contract, including the Government Data and the Code; and/or</p> <p>C. any part of the Supplier Information Management System ceasing to be compliant with the Certification Requirements;</p> <p>D. the installation of Malicious Software in the:</p> <p>(i) Supplier Information Management System;</p> <p>(ii) Development Environment; or</p> <p>(iii) Developed System;</p> <p>(e) any loss of operational efficiency or failure to operate to specification as the result of the installation or operation of Malicious Software in the:</p> <p>(i) Supplier Information Management System;</p> <p>(ii) Development Environment; or</p> <p>(iii) Developed System; and</p> <p>(f) includes any attempt to undertake the activities listed in sub-Paragraph (a) where the Supplier has reasonable grounds to suspect that attempt:</p> <p>(i) was part of a wider effort to access information and communications technology operated by or on behalf of Central Government Bodies; or</p> <p>(ii) was undertaken, or directed by, a state other than the United Kingdom;</p>
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“Buyer Equipment”	means any hardware, computer or telecoms devices, and equipment that forms part of the Buyer System;
“Certification Default”	means the occurrence of one or more of the circumstances listed in Paragraph 10.4;
“Certification Rectification Plan”	means the plan referred to in Paragraph 10.5(a);
“Certification Requirements”	means the requirements set out in Paragraph 10.3;
“CHECK Scheme”	means the NCSC’s scheme under which approved companies can conduct authorised penetration tests of public sector and critical national infrastructure systems and networks;
“CHECK Service Provider”	means a company which, under the CHECK Scheme:  (a) has been certified by the National Cyber Security Centre;  (b) holds “Green Light” status; and  (c) is authorised to provide the IT Health Check services required by Paragraph 19 of the Security Requirements;
CHECK Team Leader	means an individual with a CHECK Scheme team leader qualification issued by the NCSC;
CHECK Team Member	means an individual with a CHECK Scheme team member qualification issued by the NCSC;
“Code”	means, in respect of the Developed System:  (a) the source code;  (b) the object code;  (c) third-party components, including third-party coding frameworks and libraries; and  (d) all supporting documentation;



“Code Review”	means a periodic review of the Code by manual or automated means to:  (a) identify and fix any bugs; and  (b) ensure the Code complies with:  (i) the requirements of this Schedule 10 (Security); and  (ii) the Secure Development Guidance;
“Code Review Plan”	means the document agreed with the Buyer under Paragraph 9.3 of the Security Requirements setting out the requirements for, and frequency of, Code Reviews;
“Code Review Report”	means a report setting out the findings of a Code Review;
“Cyber Essentials”	means the Cyber Essentials certificate issued under the Cyber Essentials Scheme;
“Cyber Essentials Plus”	means the Cyber Essentials Plus certificate issued under the Cyber Essentials Scheme;
“Cyber Essentials Scheme”	means the Cyber Essentials scheme operated by the National Cyber Security Centre;
“Developed System”	means the software or system that the Supplier is required to develop under this Contract;
“Development Activity”	means any activity relating to the development, deployment maintenance and upgrading of the Developed System, including:  (a) coding;  (b) testing;  (c) code storage; and  (d) deployment;

“Development Environment”	means any information and communications technology system and the Sites that the Supplier or its Sub-contractors will use to provide the Development Activity;
“EEA”	means the European Economic Area;
“End-user Device”	means any personal computers, laptops, tablets, terminals, smartphones or other portable electronic device provided by the Supplier or a Sub-contractor and used in the provision of the Services;
“Email Service”	means a service that will send, or can be used to send, emails from the Buyer’s email address or otherwise on behalf of the Buyer;
“Expected Behaviours”	means the expected behaviours set out and updated from time to time in the Government Security Classification Policy, currently found at paragraphs 12 to 16 and in the table below paragraph 16 of <a href="https://www.gov.uk/government/publications/government-security-classifications/guidance-11-working-at-official-html">https://www.gov.uk/government/publications/government-security-classifications/guidance-11-working-at-official-html</a> ;
“Government Data Register”	means the register of all Government Data the Supplier, or any Sub-contractor, receives from or creates for the Buyer, produced and maintained in accordance with Paragraph 24 of the Security Requirements;
“Government Security Classification Policy”	means the policy, as updated from time to time, establishing an administrative system to protect information assets appropriately against prevalent threats, including classification tiers, protective security controls and baseline behaviours, the current version of which is found at <a href="https://www.gov.uk/government/publications/government-security-classifications">https://www.gov.uk/government/publications/government-security-classifications</a> ;
“Handle”	means any operation performed on data, whether or not by automated means, including collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction of that data;
“Higher-risk Sub-contractor”	means a Sub-contractor that Handles Authority Data that the Authority, in its discretion, has designated as a Higher-risk Sub-contractor;

"HMG Baseline Personnel Security Standard"	means the employment controls applied to any individual member of the Supplier Staff that performs any activity relating to the provision or management of the Services, as set out in "HMG Baseline Personnel Standard", Version 7.0, June 2024 ( <a href="https://www.gov.uk/government/publications/government-baseline-personnel-security-standard">https://www.gov.uk/government/publications/government-baseline-personnel-security-standard</a> ), as that document is updated from time to time;
ISO Certification	means either of the following certifications when issued by a UKAS-recognised Certification Body:  (a) ISO/IEC27001:2013, where the certification was obtained before November 2022, but only until November 2025; and  (a) ISO/IEC27001:2022 in all other cases;
"IT Health Check"	means security testing of the Supplier Information Management System, insofar as it relates to the Developed System but excluding the Development Environment in accordance with Paragraph 19.2 of the Security Requirements;
"Medium-risk Sub-contractor"	means a Sub-contractor that Handles Authority Data that the Authority, in its discretion, has designated as a Higher-risk Sub-contractor;
"Modules Register"	means the register of Third-party Software Modules required for higher risk agreements by Paragraph 11.4 of the Security Requirements;
"NCSC"	means the National Cyber Security Centre;
"NCSC Cloud Security Principles"	means the NCSC's document "Implementing the Cloud Security Principles" as updated or replaced from time to time and found at <a href="https://www.ncsc.gov.uk/collection/cloud/the-cloud-security-principles">https://www.ncsc.gov.uk/collection/cloud/the-cloud-security-principles</a> ;
"NCSC Device Guidance"	means the NCSC's document "Device Security Guidance", as updated or replaced from time to time and found at <a href="https://www.ncsc.gov.uk/collection/device-security-guidance">https://www.ncsc.gov.uk/collection/device-security-guidance</a> ;

“NCSC Protecting Bulk Personal Data Guidance”	means the NCSC’s document “Protecting Bulk Personal Data”, as updated or replaced from time to time and found at <a href="https://www.ncsc.gov.uk/collection/protecting-bulk-personal-data">https://www.ncsc.gov.uk/collection/protecting-bulk-personal-data</a> ;
“NCSC Secure Design Principles”	means the NCSC’s document “Secure Design Principles”, as updated or replaced from time to time and found at <a href="https://www.ncsc.gov.uk/collection/cyber-security-design-principles/cyber-security-design-principles">https://www.ncsc.gov.uk/collection/cyber-security-design-principles/cyber-security-design-principles</a> ;
“OWASP”	means the Open Web Application Security Project Foundation;
“OWASP Secure Coding Practice”	means the Secure Coding Practices Quick Reference Guide published by OWASP, as updated or replaced from time to time and found at <a href="https://owasp.org/www-project-secure-coding-practices-quick-reference-guide/">https://owasp.org/www-project-secure-coding-practices-quick-reference-guide/</a> ;
“OWASP Top Ten”	means the list of the most critical security risks to web applications published annually by OWASP and found at <a href="https://owasp.org/www-project-top-ten/">https://owasp.org/www-project-top-ten/</a> ;
“Privileged User”	means a user with system administration access to the Supplier Information Management System, or substantially similar access privileges;
“Prohibited Activity”	means the storage, access or Handling of Government Data prohibited by a Prohibition Notice;
“Prohibition Notice”	means a notice issued under Paragraph 1.11 of the Security Requirements;
“Protective Monitoring System”	means the system implemented by the Supplier and its Sub-contractors under Paragraph 21.1 of the Security Requirements to monitor and analyse access to and use of the Supplier Information Management System, the Development Environment, the Government Data and the Code;
“Questionnaire Response”	means the Supplier’s response to the Secure by Design Questionnaire;


<p>“Register of Support Locations and Third-party Tools”</p>	<p>means document setting out, in respect of Support Locations and Third-party Tools:</p> <ul style="list-style-type: none"> <li>(a) the nature of the activity performed at the Support Location or by the Third-party Tool on the Code or the Government Data (as applicable);</li> <li>(b) where that activity is performed by individuals, the place or facility from where that activity is performed; and</li> <li>(c) in respect of the entity providing the Support Locations or Third-party Tools, its: <ul style="list-style-type: none"> <li>(i) full legal name;</li> <li>(ii) trading name (if any)</li> <li>(iii) country of registration;</li> <li>(iv) registration number (if applicable); and</li> <li>(v) registered address;</li> </ul> </li> </ul>
<p>“Relevant Activities”</p>	<p>means those activities specified in Paragraph 1 of the Security Requirements;</p>

<p>“Relevant Certifications”</p>	<p>means:</p> <p>(a) for the Supplier:</p> <p>(i) in the case of a higher-risk agreement</p> <p>(A) either:</p> <p>(1) an ISO Certification in respect of the Supplier Information Management System; or</p> <p>(2) where the Supplier Information Management System is included within the scope of a wider ISO Certification, that ISO Certification; and</p> <p>(B) Cyber Essentials Plus;</p> <p>(ii) in the case of a standard agreement, either:</p> <p>(C) the certification selected by the Buyer in Paragraph 1; or</p> <p>(D) where the Buyer has not selected a certification option, Cyber Essentials; and</p> <p>(b) for Higher-risk Subcontractors and Medium-risk Sub-contractors, either:</p> <p>(i) the certification selected by the Buyer in Paragraph 1; or</p> <p>(ii) where the Buyer has not selected a certification option, Cyber Essentials,</p> <p>(or equivalent certifications);</p>
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“Relevant Convictions”	means any previous or pending prosecution, conviction or caution (excluding any spent conviction under the Rehabilitation of Offenders Act 1974) relating to offences involving dishonesty, terrorism, immigration, firearms, fraud, forgery, tax evasion, offences against people (including sexual offences), or any other offences relevant to Services as the Buyer may specify;
“Remediation Action Plan”	means the plan prepared by the Supplier in accordance with Paragraph 19.14 to 19.18, addressing the vulnerabilities and findings in a IT Health Check report;
Remote Location	means a location other than a Supplier’s or a Sub-contractor’s Site;
Remote Working	means the provision or management of the Services by Supplier Staff from a location other than a Supplier’s or a Sub-contractor’s Site;
Remote Working Policy	the policy prepared and approved under Paragraph 3 of the Security Requirements under which Supplier Staff are permitted to undertake Remote Working;
Secure by Design Approach	means the Secure by Design policy issued by the Cabinet Office as updated or replaced from time to time, currently found at: <a href="https://www.security.gov.uk/policy-and-guidance/secure-by-design/principles/">https://www.security.gov.uk/policy-and-guidance/secure-by-design/principles/</a> ;
Secure by Design Principles	means the Secure by Design Principles issued by the Cabinet Office, as updated or replaced from time-to-time, currently found at <a href="https://www.security.gov.uk/guidance/secure-by-design/activities/tracking-secure-by-design-progress/">https://www.security.gov.uk/guidance/secure-by-design/activities/tracking-secure-by-design-progress/</a> ;
Secure by Design Questionnaire	the questionnaire in Annex 3 ( <i>Secure by Design Questionnaire</i> ), implementing the Secure by Design Principles issued by the Cabinet Office, as updated or replaced from time to time, currently found at <a href="https://www.security.gov.uk/policy-and-guidance/secure-by-design/activities/tracking-secure-by-design-progress/">https://www.security.gov.uk/policy-and-guidance/secure-by-design/activities/tracking-secure-by-design-progress/</a> ;

<p>“Secure Development Guidance”</p>	<p>means:</p> <p>(a) the NCSC’s document “Secure development and deployment guidance” as updated or replaced from time to time and found at <a href="https://www.ncsc.gov.uk/collection/developers-collection">https://www.ncsc.gov.uk/collection/developers-collection</a>; and</p> <p>(b) the OWASP Secure Coding Practice as updated or replaced from time to time;</p>
<p>“Security Management Plan”</p>	<p>means the document prepared in accordance with the requirements of Paragraph 11 and in the format, and containing the information, specified in Annex 2;</p>
<p>“SMP Sub-contractor”</p>	<p>means a Sub-contractor with significant market power, such that:</p> <p>(a) they will not contract other than on their own contractual terms; and</p> <p>(b) either:</p> <p>(i) there are no other substitutable suppliers of the particular services other than SMP Sub-contractors; or</p> <p>(ii) the Sub-contractor concerned has an effective monopoly on the provision of the Services;</p>
<p>“Sub-contractor”</p>	<p>means, for the purposes of this Schedule 10 (Security) only, any individual or entity that:</p> <p>(a) forms part of the supply chain of the Supplier; and</p> <p>(b) has access to, hosts, or performs any operation on or in respect of the Supplier Information Management System, the Development Environment, the Code and the Government Data,</p> <p><u>and this definition shall apply to this Schedule 10 in place of the definition of Sub-Contractor in Schedule 1 (Definitions).</u></p>



“Sub-contractor Staff”	<p>means:</p> <p>(a) any individual engaged, directly or indirectly, or employed, by any Sub-contractor; and</p> <p>(b) engaged in or likely to be engaged in:</p> <p>(i) the performance or management of the Services;</p> <p>(ii) or the provision of facilities or services that are necessary for the provision of the Services;</p>
“Supplier Information Management System”	<p>means:</p> <p>(a) those parts of the information and communications technology system and the Sites that the Supplier or its Sub-contractors will use to provide the Services;</p> <p>(b) the associated information assets and systems (including organisational structure, controls, policies, practices, procedures, processes and resources); and</p> <p>(c) for the avoidance of doubt includes the Development Environment;</p>
“Security Requirements”	<p>mean the security requirements in Annex 1 to this Schedule 16] (<i>Security Management</i>);</p>
“Support Location”	<p>means a place or facility where or from which individuals may access or Handle the Code or the Government Data;</p>
“Support Register”	<p>means the register of all hardware and software used to provide the Services produced and maintained for Higher Risk Contracts in accordance with Paragraph 12 of the Security Requirements;</p>

"Third-party Software Module"	<p>means any module, library or framework that:</p> <p>(d) is not produced by the Supplier or a Sub-contractor as part of the Development Activity; and</p> <p>(e) either:</p> <p>(i) forms, or will form, part of the Code; or</p> <p>(ii) is, or will be, accessed by the Developed System during its operation;</p>
"Third-party Tool"	means any Software used by the Supplier by which the Code or the Government Data is accessed, analysed or modified or some form of operation is performed on it;
"UKAS"	means the United Kingdom Accreditation Service;
"UKAS-recognised Certification Body"	<p>means:</p> <p>(a) an organisation accredited by UKAS to provide certification of ISO/IEC27001:2013 and/or ISO/IEC27001:2022; or</p> <p>(b) an organisation accredited to provide certification of ISO/IEC27001:2013 and/or ISO/IEC27001:2022 by a body with the equivalent functions as UKAS in a state with which the UK has a mutual recognition agreement recognising the technical equivalence of accredited conformity assessment.</p>

## 4 Introduction

4.1 This Schedule 10 (Security) sets out:

(a) the assessment of this Contract as either a:

- (i) higher risk agreement; or
- (ii) standard agreement,

in Paragraph 1;

(b) the arrangements the Supplier must implement before, and comply with when, providing the Services and performing its other obligations under this Contract to ensure the security of:

- (i) the Development Activity;
  - (ii) the Development Environment;
  - (iii) the Government Data;
  - (iv) the Services; and
  - (v) the Supplier Information Management System;
- (c) the principle of co-operation between the Supplier and the Buyer on security matters, in Paragraph 5;
- (d) the Buyer's access to the Supplier Staff and Supplier Information Management System, in Paragraph 8;
- (e) the Certification Requirements, in Paragraph 10;
- (f) the requirements for a Security Management Plan in the case of higher-risk agreements, in Paragraph 11; and
- (g) the Security Requirements with which the Supplier and its Sub-contractors must comply.

## **5 Principles of Security**

5.1 The Supplier acknowledges that the Buyer places great emphasis on the confidentiality, integrity and availability of the Government Data, and the integrity and availability of the Developed System, and, consequently, on the security of:

- (a) the Buyer System;
- (b) the Supplier System;

- (c) the Sites;
- (d) the Services; and
- (e) the Supplier's Information Management System.

5.2 The Parties shall share information and act in a co-operative manner at all times to further the principles of security in Paragraph 5.1.

5.3 Notwithstanding the involvement of the Buyer in the assurance of the Supplier Information Management System, the Supplier remains responsible for:

- (a) the security, confidentiality, integrity and availability of the Government Data when that Government Data is under the control of the Supplier or any of its Sub-contractors;
- (b) the security and integrity of the Developed System; and
- (c) the security of the Supplier Information Management System.

5.4 Where the Supplier, a Sub-contractor or any of the Supplier Staff is granted access to the Buyer System or to the Buyer Equipment, it must comply with and ensure that all such Sub-contractors and Supplier Staff comply with, all rules, policies and guidance provided to it and as updated from time to time concerning the Buyer System or the Buyer Equipment.

## **6 Security Requirements**

6.1 The Supplier shall:

- (a) comply with the Security Requirements; and
- (b) where the relevant option in Paragraph 1 is selected, comply with the Buyer Security Policies;
- (c) ensure that all Sub-contractors comply with:
  - (i) the Security Requirements; and
  - (ii) where the relevant option in Paragraph 1 is selected, the Buyer Security Policies, that apply to the activities that the Sub-contractor performs under its Sub-contract, unless:
  - (iii) Paragraph 6.2 applies; or

(iv) the table in Annex 3 limits the Security Requirements that apply to a Sub-contractor; and

(d) where the Buyer has assessed this Contract as a higher-risk agreement, ensure at all times that its provision of the Services and its operation and management of the Supplier Information Management System complies with the Security Management Plan.

6.2 Where a Sub-contractor is SMP Sub-contractor, the Supplier shall:

(a) use reasonable endeavours to ensure that the SMP Sub-contractor complies with all obligations this Schedule 10 (Security) imposes on Sub-contractors, including the Security Requirements;

(b) document the differences between those requirements the obligations that the SMP Sub-contractor is prepared to accept in sufficient detail to allow the Buyer to form an informed view of the risks concerned;

(c) take such steps as the Buyer may require to mitigate those risks.

## **7 Staff**

7.1 The Supplier must ensure that at all times it maintains within the Supplier Staff sufficient numbers of qualified, skilled security professionals to ensure the Supplier complies with the requirements of this Schedule 10 (Security).

7.2 The Supplier must appoint:

(a) a senior individual within its organisation with accountability for managing security risks and the Supplier's implementation of the requirements of this Schedule 10 (Security); and

(b) a senior individual within the team responsible for the delivery of the Services with responsibility for managing the security risks to the Supplier Information Management System.

7.3 The individuals appointed under Paragraph 7.2:

(a) must have sufficient experience, knowledge and authority to undertake their roles effectively; and

(b) are to be designated as Key Staff and treated for the purposes of this Contract as Key Staff, whether or not they are otherwise designated as such;

7.4 The Supplier must review, and if necessary replace, the individuals appointed under Paragraph 7.2 if required to do so by the Buyer.

## **8 Access to Supplier Staff and Supplier Information Management System**

8.1 The Buyer may require, and the Supplier must provide, and ensure that each Sub-contractor provides, the Buyer and its authorised representatives with:

- (a) access to the Supplier Staff, including, for the avoidance of doubt, the Sub-contractor Staff;
- (b) access to the Supplier Information Management System, including those parts of the Supplier Information Management System under the control of, or operated by, any Sub-contractor; and
- (c) such other information and/or documentation that the Buyer or its authorised representatives may require,

to allow the Buyer to audit the Supplier and its Sub-contractors' compliance with this Schedule 10 (Security) and the Security Requirements.

8.2 The Supplier must provide the access required by the Buyer in accordance with Paragraph 8.1:

- (a) in the case of a Breach of Security within 24 hours of such a request; and
- (b) in all other cases, within 10 Working Days of such request.

## **9 Government Data Handled using Supplier Information Management System**

9.1 The Supplier acknowledges that the Supplier Information Management System:

- (a) is intended only for the Handling of Government Data that is classified as OFFICIAL; and
- (b) is not intended for the Handling of Government Data that is classified as SECRET or TOP SECRET,

in each case using the Government Security Classification Policy.

9.2 The Supplier must:

- (a) not alter the classification of any Government Data; and
- (b) if it becomes aware that any Government Data classified as SECRET or TOP SECRET is being Handled using the Supplier Information Management System:
  - (i) immediately inform the Buyer; and
  - (ii) follow any instructions from the Buyer concerning that Government Data.

9.3 The Supplier must, and must ensure that Sub-contractors and Supplier Staff, when Handling Government Data, comply with:

- (a) the Expected Behaviours; and
- (b) the Security Controls.

9.4 Where there is a conflict between the Expected Behaviours or the Security Controls and this Schedule 10 (Security) the provisions of this Schedule 10 (Security) shall apply to the extent of any conflict.

## **10 Certification Requirements**

10.1 The Supplier shall ensure that, unless otherwise agreed by the Buyer, both:

- (a) it; and
- (b) any Higher-risk Sub-contractor and any Medium-risk Sub-contractor,

is certified as compliant with the Relevant Certifications

10.2 Unless otherwise agreed by the Buyer, before it begins to provide the Services, the Supplier must provide the Buyer with a copy of:

- (a) the Relevant Certifications for it and any Sub-contractor; and
- (b) in the case of a higher-risk agreement, the relevant scope and statement of applicability required under the ISO Certifications.

10.3 The Supplier must ensure that at the time it begins to provide the Services, the Relevant Certifications for it and any Sub-contractor are:

- (a) currently in effect;
- (b) together, cover at least the full scope of the Supplier Information Management System; and
- (c) are not subject to any condition that may impact the provision of the Services or the Development Activity (the "Certification Requirements").

10.4 The Supplier must notify the Buyer promptly, and in any event within three (3) Working Days, after becoming aware that, in respect of it or any Sub-contractor:

- (a) a Relevant Certification in respect of the Supplier Information Management System has been revoked or cancelled by the body that awarded it;
- (b) a Relevant Certification in respect of the Supplier Information Management System has expired and has not been renewed;
- (c) the Relevant Certifications, together, no longer apply to the full scope of the Supplier Information Management System; or
- (d) the body that awarded a Relevant Certification has made it subject to conditions, the compliance with which may impact the provision of the Services

(each a "Certification Default").

10.5 Where the Supplier has notified the Buyer of a Certification Default under Paragraph 10.4:

- (a) the Supplier must, within 10 Working Days of the date in which the Supplier provided notice under Paragraph 10.4 (or such other period as the Parties may agree) provide a draft plan (a "Certification Rectification Plan") to the Buyer setting out:
  - (i) full details of the Certification Default, including a root cause analysis;
  - (ii) the actual and anticipated effects of the Certification Default;
  - (iii) the steps the Supplier and any Sub-contractor to which the Certification Default relates will take to remedy the Certification Default;
- (b) the Buyer must notify the Supplier as soon as reasonably practicable whether it accepts or rejects the Certification Rectification Plan;



(c) if the Buyer rejects the Certification Rectification Plan, the Supplier must within 5 Working Days of the date of the rejection submit a revised Certification Rectification Plan and Paragraph (b) will apply to the re-submitted plan;

(d) the rejection by the Buyer of a revised Certification Rectification Plan is a material Default of this Contract;

(e) if the Buyer accepts the Certification Rectification Plan, the Supplier must start work immediately on the plan.

## **11 Security Management Plan**

11.1 This Paragraph 11 applies only where the Buyer has assessed that this Contract is a higher-risk agreement.

### *Preparation of Security Management Plan*

11.2 The Supplier shall document in the Security Management Plan how the Supplier and its Sub-contractors shall comply with the requirements set out in this Schedule 10 (Security) and the Contract in order to ensure the security of the Development Environment, the Developed System, the Government Data and the Supplier Information Management System.

11.3 The Supplier shall prepare and submit to the Buyer within 20 Working Days of the date of this Contract, the Security Management Plan, which must include:

(a) an assessment of the Supplier Information Management System against the requirements of this Schedule 10 (Security), including the Security Requirements;

(b) the process the Supplier will implement immediately after it becomes aware of a Breach of Security to restore normal operations as quickly as possible, minimising any adverse impact on the Development Environment, the Developed System, the Government Data, the Buyer, the Services and/or users of the Services; and

(c) the following information, so far as is applicable, in respect of each Sub-contractor:

(i) the Sub-contractor's:

(A) legal name;

(B) trading name (if any);

(C) registration details (where the Sub-contractor is not an individual);

(ii) the Relevant Certifications held by the Sub-contractor;

- (iii) the Sites used by the Sub-contractor;
- (iv) the Development Activity undertaken by the Sub-contractor;
- (v) the access the Sub-contractor has to the Development Environment;
- (vi) the Government Data Handled by the Sub-contractor;
- (vii) the Handling that the Sub-contractor will undertake in respect of the Government Data;
- (viii) the measures the Sub-contractor has in place to comply with the requirements of this Schedule 10 (Security);
- (d) the Register of Support Locations and Third-party Tools;
- (e) the Modules Register;
- (f) the Support Register;
- (g) details of the steps taken to comply with:
  - (i) the Secure Development Guidance; and
  - (ii) the secure development policy required by the ISO/IEC 27001:2022 Relevant Certifications;
- (h) details of the protective monitoring that the Supplier will undertake in accordance with Paragraph 21 of the Security Requirements, including:
  - (i) the additional audit and monitoring the Supplier will undertake of the Supplier Information Management System and the Development environment; and
  - (ii) the retention periods for audit records and event logs.

*Approval of Security Management Plan*

11.4 The Buyer shall review the Supplier's proposed Security Management Plan as soon as possible and must issue the Supplier with either:

- (a) an information security approval statement, which shall confirm that the Supplier may use the Supplier Information Management System to:
  - (i) undertake the Development Activity; and/or

(ii) Handle Government Data; or

(b) a rejection notice, which shall set out the Buyer's reasons for rejecting the Security Management Plan.

11.5 If the Buyer rejects the Supplier's proposed Security Management Plan, the Supplier must prepare a revised Security Management Plan taking the Buyer's reasons into account, which the Supplier must submit to the Buyer for review within 10 Working Days of the date of the rejection, or such other period agreed with the Buyer.

11.6 The process set out in Paragraph 11.5 shall be repeated until such time as the Authority issues a Risk Management Approval Statement to the Supplier or terminates this Contract.

11.7 The rejection by the Buyer of a second revised Certification Rectification Plan is a material Default of this Contract.

#### *Updating Security Management Plan*

11.8 The Supplier shall regularly review and update the Security Management Plan, and provide such to the Buyer, at least once each year and as required by this Paragraph.

#### *Monitoring*

11.9 The Supplier shall notify the Buyer within 2 Working Days after becoming aware of:

(a) a significant change to the components or architecture of the Supplier Information Management System;

(b) a new risk to the components or architecture of the Supplier Information Management System;

(c) a vulnerability to the components or architecture of the Supplier Information Management System using an industry standard vulnerability scoring mechanism;

(d) a change in the threat profile;

(e) a significant change to any risk component;

(f) a significant change in the quantity of Personal Data held within the Service;

(g) a proposal to change any of the Sites from which any part of the Services are provided; and/or

(h) an ISO27001 audit report produced in connection with the Certification Requirements indicates significant concerns.

11.10 Within 10 Working Days of such notifying the Buyer or such other timescale as may be agreed with the Buyer, the Supplier shall make the necessary changes to the Security Management Plan and submit the updated Security Management Plan to the Buyer for review and approval.

## **12 Secure by Design Questionnaire**

12.1 This Paragraph 12 applies only when the Buyer has selected the relevant option in Paragraph 1.

12.2 The Supplier must complete, by the date and in the format specified by the Buyer, and keep updated the Secure by Design Questionnaire

12.3 The Supplier must provide any explanations or supporting documents required by the Buyer to verify the contents of the Questionnaire Response.

12.4 The Supplier must ensure that at all times it provides the Services and operates and manages the Supplier System in the manner set out in its Questionnaire Response.

12.5 Where, at any time, the Buyer reasonably considers the Supplier's Questionnaire Responses do not, or do not adequately demonstrate the Supplier's compliance with:

- (a) this Schedule;
- (b) the Secure by Design Approach;
- (c) the Security Management Plan (where applicable); or
- (d) any applicable Buyer Security Policies,

the Supplier must, at its own costs and expense and by the date specified by the Buyer:

- (e) update the Supplier System to remedy the areas of non-compliance identified by the Buyer;

(f) update the Questionnaire Responses to reflect the changes to the Supplier System; and

(g) re-submit the Questionnaire Responses to the Buyer.

12.6 Where the Supplier considers that there is an inconsistency between the explicit or implicit requirements of the Secure by Design Questionnaire and the requirements of this Schedule 10 (Security), the Supplier must:

(a) immediately inform the Buyer; and

(b) comply with any instructions from the Buyer to resolve the inconsistency.

12.7 Where the instructions from the Buyer have the effect of imposing additional or different requirements on the Supplier than the requirements of this Schedule 10 (Security):

(a) the Parties must agree an appropriate Contract Change to amend this Schedule; and

(b) until the agreement of that Contract Change, any inconsistency must be resolved by applying the documents in the following order of precedence:

(i) the requirements of this Schedule 10 (Security);

(ii) the Secure by Design Questionnaire; and

(iii) the Buyer Security Policies.

### **13 Withholding of Charges**

13.1 The Buyer may withhold some or all of the Charges in accordance with the provisions of this Paragraph 13 where:

(a) the Supplier is in material Default of any of its obligations under this Schedule 10 (Security); or

(b) any of the following matters occurs (where those matters arise from a Default by the Supplier of its obligations under this Schedule 10 (Security)):

(i) a Notifiable Default;

(ii) an Intervention Cause; or

(iii) a Step-In Trigger Event.

13.2 The Buyer may withhold a amount of the Charges that it considers sufficient, in its sole discretion, to incentivise the Supplier to perform the obligations it has Defaulted upon.

13.3 Before withholding any Charges under Paragraph 13.1 the Buyer must

(a) provide written notice to the Supplier setting out:

(i) the Default in respect of which the Buyer has decided to withhold some or all of the Charges;

(ii) the amount of the Charges that the Buyer will withhold;

(iii) the steps the Supplier must take to remedy the Default;

(iv) the date by which the Supplier must remedy the Default;

(v) the invoice in respect of which the Buyer will withhold the Charges; and

(b) consider any representations that the Supplier may make concerning the Buyer's decision.

13.4 Where the Supplier does not remedy the Default by the date specified in the notice given under Paragraph 13.3(a), the Buyer may retain the withheld amount.

13.5 The Supplier acknowledges:

(a) the legitimate interest that the Buyer has in ensuring the security of the Supplier Information Management System and the Government Data and, as a consequence, the performance by the Supplier of its obligations under this Schedule 10 (Security); and

(b) that any Charges that are retained by the Buyer are not out of all proportion to the Buyer's legitimate interest, even where:

(i) the Buyer has not suffered any Losses as a result of the Supplier's Default; or

(ii) the value of the Losses suffered by the Buyer as a result of the Supplier's Default is lower than the amount of the Charges retained.

13.6 The Supplier may raise a Dispute under the Dispute Resolution Procedure with any decision by the Buyer to:

(a) withhold any Charges under Paragraph 13.1; or

- (b) retain any Charges under Paragraph 13.4.

13.7 Any Dispute raised by the Supplier does not prevent the Buyer withholding Charges in respect of:

- (a) the decision subject to the Dispute; or
- (b) any other matter to which this Paragraph 13 applies.

13.8 Where any Dispute raised by the Supplier is resolved wholly or partially in its favour, the Buyer must return such sums as are specified in any agreement or other document setting out the resolution of the Dispute.

13.9 The Buyer's right to withhold or retain any amount under this Paragraph 13 are in addition to any other rights that the Buyer may have under this Contract or in Law, including any right to claim damages for Losses it suffers arising from the Default.

## Annex 1 Security Requirements

### 1 Location

#### *Location for Relevant Activities*

1.1 Unless otherwise agreed with the Buyer, the Supplier must, and ensure that its Sub-contractors, at all times:

- (a) undertake the Development Activity;
- (b) host the Development Environment; and
- (c) store, access or Handle Government Data,

(the "**Relevant Activities**") only in the geographic areas permitted by the Buyer in Paragraph 1.

1.2 Where the Buyer has not selected an option concerning location in Paragraph 1, the Supplier may only undertake the Relevant Activities in or from:

- (a) the United Kingdom; or

(b) a territory permitted by and in accordance with any regulations for the time being in force made under section 17A of the Data Protection Act 2018 (adequacy decisions by the Secretary of State).

1.3 The Supplier must, and must ensure its Sub-contractors undertake the Relevant Activities in a facility operated by an entity where:

(a) the entity has entered into a binding agreement with the Supplier or Sub-contractor (as applicable);

(b) that binding agreement includes obligations on the entity in relation to security management at least as onerous as those relating to Sub-contractors in this Schedule 10 (Security);

(c) the Supplier or Sub-contractor has taken reasonable steps to assure itself that the entity complies with the binding agreement;

(d) the Supplier has provided the Buyer with such information as the Buyer requires concerning:

(i) the entity;

(ii) the arrangements with the entity; and

(iii) the entity's compliance with the binding agreement; and

(e) the Buyer has not given the Supplier a Prohibition Notice under Paragraph 1.11.

1.4 Where the Supplier cannot comply with one or more of the requirements of Paragraph 1.3:

(a) it must provide the Buyer with such information as the Buyer requests concerning:

(i) the security controls in places at the relevant location or locations; and

(ii) where certain security controls are not, or only partially, implemented the reasons for this;

(b) the Buyer may grant approval to use that location or those locations, and that approval may include conditions; and

(c) if the Buyer does not grant permission to use that location or those locations, the Supplier must, within such period as the Buyer may specify:

(i) cease to store, access or Handle Government Data at that location or those locations;



(ii) sanitise, in accordance with instructions from the Buyer, such equipment within the information and communications technology system used to store, access or Handle Government Data at that location, or those locations, as the Buyer may specify.

#### *Support Locations*

1.5 The Supplier must ensure that all Support Locations are located only in the geographic areas permitted by the Buyer.

1.6 Where the Buyer has not selected an option concerning location in Paragraph 1, the Supplier may only undertake the Relevant Activities in or from:

(a) the United Kingdom; or

(b) a territory permitted by and in accordance with any regulations for the time being in force made under section 17A of the Data Protection Act 2018 (adequacy decisions by the Secretary of State).

1.7 the Supplier must, and must ensure its Sub-contractors, operate the Support Locations in a facility operated by an entity where:

(a) the entity has entered into a binding agreement with the Supplier or Sub-contractor (as applicable);

(b) that binding agreement includes obligations on the entity in relation to security management equivalent to those relating to Sub-contractors in this Schedule 10 (Security);

(c) the Supplier or Sub-contractor has taken reasonable steps to assure itself that the entity complies with the binding agreement;

(d) the Supplier has provided the Buyer with such information as the Buyer requires concerning:

(i) the entity;

(ii) the arrangements with the entity; and

(iii) the entity's compliance with the binding agreement; and

(e) the Buyer has not given the Supplier a Prohibition Notice under Paragraph 1.11.

#### *Third-party Tools*

1.8 Before using any Third-party Tool, the Supplier must, and must ensure that its Sub-contractors:

(a) enter into a binding agreement with the provider of the Third-party Tool;

- (b) the binding agreement includes obligations on the provider in relation to security management equivalent to those relating to Sub-contractors in this Schedule 10 (Security);
- (c) take reasonable steps to assure itself that the provider complies with the binding agreement;
- (d) perform adequate due diligence to determine whether there are any recognised security vulnerabilities with that Third-party Tool;
- (e) the Supplier has provided the Buyer with such information as the Buyer requires concerning:
  - (i) the provider;
  - (ii) the arrangements with the provider; and
  - (iii) the provider's compliance with the binding agreement; and
  - (iv) the due diligence undertaken by the Supplier or Sub-contractor; and
- (f) the Buyer has not given the Supplier a Prohibition Notice under Paragraph 1.11.

1.9 The Supplier must use, and ensure that Subcontractors use, only those Third-party Tools included in the Register of Sites, Support Locations and Third-party Tools.

1.10 The Supplier must not, and must not allow Sub-contractors to, use:

- (c) a Third-party Tool other than for the activity specified for that Third-party Tool in the Register of Sites, Support Locations and Third-party Tools; or
- (d) a new Third-party Tool, or replace an existing Third-party Tool, without the permission of the Buyer.

#### *Prohibited Activities*

1.11 The Buyer may by notice in writing at any time give notice to the Supplier that it and its Sub-contractors must not:

- (a) undertake or permit to be undertaken some or all of the Relevant Activities or operate Support Locations (a "**Prohibited Activity**").
  - (i) in any particular country or group of countries;
  - (ii) in or using facilities operated by any particular entity or group of entities; or
  - (iii) in or using any particular facility or group of facilities, whether operated by the Supplier, a Sub-contractor or a third-party entity; or

(b) use any specified Third-party Tool,

(a “**Prohibition Notice**”).

1.12 Where the Supplier or Sub-contractor, on the date of the Prohibition Notice:

(a) undertakes any Prohibited Activities;

(b) uses any Support Locations;

(c) or employs any Third-party Tool,

affected by the notice, the Supplier must, and must procure that Sub-contractors, cease to undertake that Prohibited Activity within 40 Working Days of the date of the Prohibition Notice.

## 2 Physical Security

2.1 The Supplier must ensure, and must ensure that Sub-contractors ensure, that:

(a) all Sites, locations at which Relevant Activities are performed, or Support Locations (**Secure Locations**) have the necessary physical protective security measures in place to prevent unauthorised access, damage and interference, whether malicious or otherwise, to Government Data;

(a) the operator of the Secure Location has prepared a physical security risk assessment and a site security plan for the Secure Location; and

(b) the physical security risk assessment and site security plan for each Secure Location:

(i) considers whether different areas of the Secure Location require different security measures based on the functions of each area;

(ii) adopts a layered approach to physical security;

(iii) has sections dealing with the following matters:

(A) the permitter of the Secure Location;

(B) the building fabric;

(C) security guarding;

(D) visitor and people management;

(E) server and communications rooms;

- (F) protection of sensitive data;
- (G) closed circuit television;
- (H) automated access and control systems;
- (I) intruder detection; and
- (J) security control rooms.

2.2 The Supplier must provide the Buyer with the physical security risk assessment and site security plan for any Secure Location within 20 Working Days of a request by the Buyer.

### 3 Vetting, Training and Staff Access

#### *Vetting before performing or managing Services*

3.1 The Supplier must not engage Supplier Staff, and must ensure that Sub-contractors do not engage Sub-contractor Staff in:

- (a) Development Activity;
- (b) any activity that provides access to the Development Environment; or
- (c) any activity relating to the performance and management of the Services

unless:

- (d) that individual has passed the security checks listed in Paragraph 3.2; or
- (e) the Buyer has given prior written permission for a named individual to perform a specific role.

3.2 For the purposes of Paragraph 3.1, the security checks are:

- (a) the checks required for the HMG Baseline Personnel Security Standard (BPSS) to verify:
  - (i) the individual's identity;
  - (ii) the individual's nationality and immigration status so as to demonstrate that they have a right to work in the United Kingdom;
  - (iii) the individual's previous employment history; and
  - (iv) that the individual has no Relevant Convictions;

- (b) national security vetting clearance to the level specified by the Buyer for such individuals or such roles as the Buyer may specify; or
- (c) such other checks for the Supplier Staff of Sub-contractors as the Buyer may specify.

*Exception for certain Sub-contractors*

3.3 Where the Supplier considers it cannot ensure that a Sub-contractors will undertake the relevant security checks on any Sub-contractor Staff, it must:

- (a) as soon as practicable, and in any event within 20 Working Days of becoming aware of the issue, notify the Buyer;
- (b) provide such information relating to the Sub-contractor, its vetting processes and the roles the affected Sub-contractor Staff will perform as the Buyer reasonably requires; and
- (c) comply, at the Supplier's cost, with all directions the Buyer may provide concerning the vetting of the affected Sub-contractor Staff and the management of the Sub-contractor.

*Annual training*

3.4 The Supplier must ensure, and ensure that Sub-contractors ensure, that all Supplier Staff, complete and pass security training at least once every calendar year that covers:

- (a) General training concerning security and data handling; and
- (b) Phishing, including the dangers from ransomware and other malware; and
- (c) the Secure by Design Principles.

*Staff access*

3.5 The Supplier must ensure, and ensure that Sub-contractors ensure, that individual Supplier Staff can access only the Government Data necessary to allow individuals to perform their role and fulfil their responsibilities in the provision of the Services.

3.6 The Supplier must ensure, and ensure that Sub-contractors ensure, that where individual Supplier Staff no longer require access to the Government Data or any part of the Government Data, their access to the Government Data or that part of the Government Data is revoked immediately when their requirement to access Government Data ceases.

3.7 Where requested by the Buyer, the Supplier must remove, and must ensure that Sub-contractors remove, an individual Supplier Staff's access to the Government Data, or part of that Government Data specified by the Buyer, as soon as practicable and in any event within 24 hours of the request.

*Remote Working*

3.8 The Supplier must ensure, and ensure that Sub-contractors ensure, that:

- (a) unless in writing by the Authority, Privileged Users do not undertake Remote Working;
- (b) where the Authority permits Remote Working by Privileged Users, the Supplier ensures, and ensures that Sub-contractors ensure, that such Remote Working takes place only in accordance with any conditions imposed by the Authority.

3.9 Where the Supplier or a Sub-contractor wishes to permit Supplier Staff to undertake Remote Working, it must:

- (a) prepare and have approved by the Buyer the Remote Working Policy in accordance with this Paragraph;
- (b) undertake and, where applicable, ensure that any relevant Sub-contractors undertake, all steps required by the Remote Working Policy;
- (c) ensure that Supplier Staff undertake Remote Working only in accordance with the Remote Working Policy;
- (d) may not permit any Supplier Staff of the Supplier or any Sub-contractor to undertake Remote Working until the Remote Working Policy is approved by the Buyer.

3.10 The Remote Working Policy must include or make provision for the following matters:

- (a) restricting or prohibiting Supplier Staff from printing documents in any Remote Location;
- (b) restricting or prohibiting Supplier Staff from downloading any Government Data to any End-user Device other than an End User Device that:
  - (i) is provided by the Supplier or Sub-contractor (as appropriate); and
  - (ii) complies with the requirements set out in Paragraph 4 (*End-user Devices*);
- (c) ensuring that Supplier Staff comply with the Expected Behaviours (so far as they are applicable);
- (d) giving effect to the Security Controls (so far as they are applicable);
- (e) for each different category of Supplier Staff subject to the proposed Remote Working Policy:
  - (i) the types and volumes of Government Data that the Supplier Staff can Handle in a Remote Location and the Handling that those Supplier Staff will undertake;

- (ii) any identified security risks arising from the proposed Handling in a Remote Location;
- (iii) the mitigations, controls and security measures the Supplier or Sub-contractor (as applicable) will implement to mitigate the identified risks;
- (iv) the residual risk levels following the implementation of those mitigations, controls and measures;
- (v) when the Supplier or Sub-contractor (as applicable) will implement the proposed mitigations, controls and measures; and
- (vi) the business rules with which the Supplier Staff must comply; and
- (f) how the Supplier or the Subcontractor (as applicable) will:
  - (i) communicate the Remote Working Policy and business rules to Supplier Staff; and
  - (ii) enforce the Remote Working Plan and business rules.

3.11 The Supplier may submit a proposed Remote Working Policy to the Buyer for consideration at any time.

3.12 The Buyer must, within 20 Working Days of the submission of a proposed Remote Working Plan, either:

- (a) approve the proposed Remote Working Policy, in which case the Supplier must, and ensure that any applicable Sub-contractor, implements the approved Remote Working Plan in accordance with its terms;
- (b) reject the proposed Remote Working Policy, in which case:
  - (i) the Buyer may set out any changes to the proposed Remote Working Policy the Buyer requires to make the plan capable of approval; and
  - (ii) the Supplier may:
    - (A) revise the proposed Remote Working Plan; and
    - (B) re-submit the proposed Remote Working Plan to the Buyer for approval under Paragraph 3.11.

## 4 End-user Devices

4.1 The Supplier must manage, and must ensure that all Sub-contractors manage, all End-user Devices on which Government Data or Code is stored or Handled in accordance the following requirements:

- (a) the operating system and any applications that store, Handle or have access to Government Data or Code must be in current support by the vendor, or the relevant community in the case of open source operating systems or applications;
- (b) users must authenticate before gaining access;
- (c) all Government Data and Code must be encrypted using a encryption tool agreed to by the Buyer;
- (d) the End-user Device must lock and require any user to re-authenticate after a period of time that is proportionate to the risk environment, during which the End-user Device is inactive;
- (e) the End-User Device must be managed in a way that allows for the application of technical policies and controls over applications that have access to Government Data and Code to ensure the security of that Government Data and Code;
- (f) the Supplier or Sub-contractor, as applicable, can, without physical access to the End-user Device, remove or make inaccessible all Government Data or Code stored on the device and prevent any user or group of users from accessing the device;
- (g) all End-user Devices are within the scope of any Relevant Certification.

4.2 The Supplier must comply, and ensure that all Sub-contractors comply, with the recommendations in NCSC Device Guidance as if those recommendations were incorporated as specific obligations under this Contract.

4.3 Where there any conflict between the requirements of this Schedule 10 (Security) and the requirements of the NCSC Device Guidance, the requirements of this Schedule take precedence.

## 5 Secure Architecture

5.1 The Supplier shall design and build the Developed System in a manner consistent with:

- (a) the NCSC's guidance on "Security Design Principles for Digital Services";
- (b) where the Developed System will Handle bulk data, the NCSC's guidance on "Bulk Data Principles"; and
- (c) the NCSC's guidance on "Cloud Security Principles".

5.2 Where any of the documents referred to in Paragraph 5.1 provides for various options, the Supplier must document the option it has chosen to implement and its reasons for doing so.



5.3 Notwithstanding anything in the specification for the Developed System or this Contract, the Supplier must ensure that the Developed System encrypts Government Data:

- (a) when the Government Data is stored at any time when no operation is being performed on it; and
- (b) when the Government Data is transmitted.

5.4 The Supplier must ensure that the Developed System is developed and configured so as to provide for the matters set out in Paragraphs 20.2 to 20.5 of the Security Requirements.

## 6 Secure Software Development by Design

6.1 The Supplier must, and must ensure that all Sub-contractors engaged in Development Activity, implement secure development and deployment practices to ensure that:

- (a) no Malicious Code is introduced into the Developed System or the Supplier Information Management System; and
- (b) the Developed System can continue to function in accordance with the Specification:
  - (i) in unforeseen circumstances; and
  - (ii) notwithstanding any attack on the Developed System using common cyber-attack techniques, including attacks using those vulnerabilities identified at any time in the OWASP Top Ten.

6.2 To those ends, the Supplier must, and ensure that all Sub-contractors engaged in Development Activity:

- (a) comply with the Secure Development Guidance as if its requirements were terms of this Contract; and
- (b) document the steps taken to comply with that guidance.

6.3 In particular, the Supplier must, and ensure that all Sub-contractors engaged in Development Activity:

- (a) ensure that all Supplier Staff engaged in Development Activity are:
  - (i) trained and experienced in secure by design code development;
  - (ii) provided with regular training in secure software development and deployment;
- (b) ensure that all Code:

- (i) is subject to a clear, well-organised, logical and documented architecture;
- (ii) follows OWASP Secure Coding Practice
- (iii) follows recognised secure coding standard, where one is available;
- (iv) employs consistent naming conventions;
- (v) is coded in a consistent manner and style;
- (vi) is clearly and adequately documented to set out the function of each section of code;
- (vii) is subject to appropriate levels of review through automated and non-automated methods both as part of:
  - (A) any original coding; and
  - (B) at any time the Code is changed;
- (c) ensure that all Development Environments:
  - (i) protect access credentials and secret keys;
  - (ii) is logically separate from all other environments, including production systems, operated by the Supplier or Sub-contractor;
  - (iii) requires multi-factor authentication to access;
  - (iv) have onward technical controls to protect the Developed System or the Supplier Information Management System in the event a Development Environment is compromised; and
  - (v) use network architecture controls to constrain access from the Development Environment to the Developed System or the Supplier Information Management System.

6.4 The Supplier must, and must ensure that all Sub contractors engaged in Development Activity, incorporate into the Developed System any security requirements identified:

- (a) during any user research concerning the Developed System; or
- (b) identified in any business case, or similar document, provided by the Buyer to the Supplier to inform its Development Activity.

## 7 Code Repository and Deployment Pipeline

The Supplier must, and must ensure that all Sub-contractors engaged in Development Activity:

7.1 when using a cloud-based code repository for the deployment pipeline, use only a cloud-based code repository that has been assessed against the NCSC Cloud Security Principles;

7.2 ensure user access to code repositories is authenticated using credentials, with passwords or private keys;

7.3 ensure secret credentials are separated from source code.

7.4 run automatic security testing as part of any deployment of the Developed System.

## 8 Development and Testing Data

The Supplier must, and must ensure that all Sub-contractors engaged in Development Activity, use only anonymised, dummy or synthetic data when using data within the Development Environment for the purposes of development and testing.

## 9 Code Reviews

9.1 This Paragraph applies where the Buyer has assessed that this Contract is a higher-risk agreement.

9.2 The Supplier must:

- (a) regularly; or
- (b) as required by the Buyer

review the Code in accordance with the requirements of this Paragraph 9 (a “**Code Review**”).

9.3 Before conducting any Code Review, the Supplier must agree with the Buyer:

- (a) the modules or elements of the Code subject to the Code Review;
- (b) the development state at which the Code Review will take place;
- (c) any specific security vulnerabilities the Code Review will assess; and
- (d) the frequency of any Code Reviews,

(the “**Code Review Plan**”).

9.4 For the avoidance of doubt, the Code Review Plan may specify different modules or elements of the Code are reviewed at a different development state, for different security vulnerabilities and at different frequencies.

9.5 The Supplier:

- (a) must undertake Code Reviews in accordance with the Code Review Plan; and
- (b) may undertake Code Reviews by automated means if this is consistent with the approach specified in the Code review Plan.

9.6 No later than 10 Working Days after each Code Review, the Supplier must provide the Buyer with a full, unedited and unredacted copy of the Code Review Report.

9.7 Where the Code Review identifies any security vulnerabilities, the Supplier must:

- (a) remedy these at its own cost and expense;
- (b) ensure, so far as reasonably practicable, that the identified security vulnerabilities are not present in any other modules or code elements; and
- (c) modify its approach to undertaking the Development Activities to ensure, so far as is practicable, the identified security vulnerabilities will not re-occur; and
- (d) provide the Buyer with such information as it requests about the steps the Supplier takes under this Paragraph 9.7.

10 Third-party Software

10.1 The Supplier must not, and must ensure that Sub-contractors do not, use any software to Handle Government Data where the licence terms of that software purport to grant the licensor rights to Handle the Government Data greater than those rights strictly necessary for the use of the software.

11 Third-party Software Modules

11.1 This Paragraph 11 applies only where the Buyer has assessed that this Contract is a higher-risk agreement

11.2 Where the Supplier or a Sub-contractor incorporates a Third-party Software Module into the Code, the Supplier must:

- (a) verify the source and integrity of the Third-party Software Module by cryptographic signing or such other measure that provides the same level of assurance;
- (b) perform adequate due diligence to determine whether there are any recognised security vulnerabilities with that Third-party Software Module;
- (c) continue to monitor any such Third-party Software Module so as to ensure it promptly becomes aware of any newly-discovered security vulnerabilities;

(d) take appropriate steps to minimise the effect of any such security vulnerability on the Developed System.

11.3 For the purposes of Paragraph 11.2(b), the Supplier must perform due diligence that is proportionate to the significance of the Third-party Software Module within the Code.

11.4 The Supplier must produce and maintain a register of all Third-party Software Modules that form part of the Code (the “**Modules Register**”).

11.5 The Modules Register must include, in respect of each Third-party Software Module:

- (a) full details of the developer of the module;
- (b) the due diligence the Supplier undertook on the Third-party Software Module before deciding to use it;
- (c) any recognised security vulnerabilities in the Third-party Software Module; and
- (d) how the Supplier will minimise the effect of any such security vulnerability on the Developed System.

11.6 The Supplier must:

- (a) review and update the Modules Register:
  - (i) within 10 Working Days of becoming aware of a security vulnerability in any Third-party Software Module; and
  - (ii) at least once every 6 (six) months;
- (b) provide the Buyer with a copy of the Modules Register:
  - (i) whenever it updates the Modules Register; and
  - (ii) otherwise when the Buyer requests.

## 12 Hardware and software support

12.1 This Paragraph 12 applies only where the Buyer has assessed that this Contract is a higher-risk agreement

12.2 Before using any software as part of the Supplier Information Management System, the Supplier must:

- (a) perform adequate due diligence to determine whether there are any recognised security vulnerabilities with that software; and

- (b) where there are any recognised security vulnerabilities, either:
  - (i) remedy vulnerabilities; or
  - (ii) ensure that the design of the Supplier Information Management System mitigates those vulnerabilities.

12.3 The Supplier must ensure that all software used to provide the Services remains at all times in full security support, including any extended or bespoke security support.

12.4 The Supplier must produce and maintain a register of all software that form the Supplier Information Management System (the “**Support Register**”).

12.5 The Support Register must include in respect of each item of software:

- (a) any vulnerabilities identified with the software and the steps the Supplier has taken to remedy or mitigate those vulnerabilities;
  - (i) within ten Working days of becoming aware of any new vulnerability in any item of software;
  - (b) the date, so far as it is known, that the item will cease to be in mainstream security support; and
  - (c) the Supplier’s plans to upgrade the item before it ceases to be in mainstream security support.

12.6 The Supplier must:

- (a) review and update the Support Register:
  - (i) within 10 Working days of becoming aware of any new vulnerability in any item of software;
  - (ii) within 10 Working Days of becoming aware of the date on which, or any change to the date on which, any item of software will cease to be in mainstream security report;
  - (iii) within 10 Working Days of introducing new software, or removing existing software, from the Supplier Information Management System; and
  - (iv) at least once every 12 months;
- (b) provide the Buyer with a copy of the Support Register:
  - (i) whenever it updates the Support Register; and
  - (ii) otherwise when the Buyer requests.

12.7 Where any element of the Developed System consists of COTS Software, the Supplier shall ensure:

- (a) those elements are always in mainstream or extended security support from the relevant vendor; and
- (b) the COTS Software is not more than one version or major release behind the latest version of the software.

12.8 The Supplier shall ensure that all hardware used to provide the Services, whether used by the Supplier or any Sub-contractor is, at all times, remains in mainstream vendor support, that is, that in respect of the hardware, the vendor continues to provide:

- (a) regular firmware updates to the hardware; and
- (b) a physical repair or replacement service for the hardware.

12.9 The Supplier must ensure that where any software or hardware component of the Supplier Information Management System is no longer required to provide the Services or has reached the end of its life it is removed or disconnected from the Supplier Information Management System.

### 13 Encryption

13.1 This Paragraph applies where the Buyer has assessed that this Contract is a higher-risk agreement.

13.2 Before Handling any Government Data, the Supplier must agree with the Buyer the encryption methods that it and any Sub-contractors that Handle Government Data will use to comply with this Paragraph 13.

13.3 Where this Paragraph 13 requires Government Data to be encrypted, the Supplier must use, and ensure that Subcontractors use, the methods agreed by the Buyer under Paragraph 13.2.

13.4 Notwithstanding anything in the specification for the Developed System or this Contract, the Supplier must ensure that the Developed System encrypts Government Data:

- (a) when the Government Data is stored at any time when no operation is being performed on it; and
- (b) when the Government Data is transmitted.

13.5 Unless Paragraph 13.6 applies, the Supplier must ensure, and must ensure that all Sub-contractors ensure, that Government Data is encrypted:

- (a) when stored at any time when no operation is being performed on it, including when stored on any portable storage media; and
- (b) when transmitted.

13.6 Where the Supplier, or a Sub-contractor, cannot encrypt Government Data as required by Paragraph 13.5, the Supplier must:

- (a) immediately inform the Buyer of the subset or subsets of Government Data it cannot encrypt and the circumstances in which and the reasons why it cannot do so;
- (b) provide details of the protective measures the Supplier or Sub-contractor (as applicable) proposes to take to provide equivalent protection to the Buyer as encryption;
- (c) provide the Buyer with such information relating to the Government Data concerned, the reasons why that Government Data cannot be encrypted and the proposed protective measures as the Buyer may require.

13.7 The Buyer, the Supplier and, where the Buyer requires, any relevant Sub-contractor shall meet to agree appropriate protective measures for the unencrypted Government Data.

13.8 Where the Buyer and Supplier reach agreement, the Supplier must document:

- (a) the subset or subsets of Government Data not encrypted and the circumstances in which that will occur;
- (b) the protective measure that the Supplier and/or Sub-contractor will put in place in respect of the unencrypted Government Data.

13.9 Where the Buyer and Supplier do not reach agreement within 40 Working Days of the date on which the Supplier first notified the Buyer that it could not encrypt certain Government Data, either party may refer the matter to be determined by an expert in accordance with the Dispute Resolution Procedure.

## 14 Backup and recovery of Government Data

### Backups and recovery of Government Data

14.1 The Supplier must backup and recover the Government Data in accordance with the Backup and Recovery Plan to ensure the recovery point objective and recovery time objective in Paragraph 14.3(a).

14.2 Any backup system operated by the Supplier or Sub-contractor forms part of the Supplier System or that Sub-contractor's System to which this Schedule 10 (Security) and the Security Requirements apply.

### Backup and Recovery Plan



14.3 Unless otherwise required by the Buyer, the Backup and Recovery Plan must provide for:

(a) in the case of a full or partial failure of the Supplier System or a Sub-contractor's System:

- (i) a recovery time objective of **72 hours**; and
- (ii) a recovery point objective of **72 hours**; and
- (b) a retention period of **30 days**.

14.4 In doing so, the Backup and Recovery Plan must ensure that in respect of any backup system operated by the Supplier or a Sub-contractor:

(a) the backup location for Government Data is sufficiently physically and logically separate from the rest of the Supplier System or a Sub-contractor's System that it is not affected by any Disaster affecting the rest of the Supplier System or a Sub-contractor's System;

(b) there is sufficient storage volume for the amount of Government Data to be backed up;

(c) all back-up media for Government Data is used in accordance with the manufacturer's usage recommendations;

(d) newer backups of Government Data do not overwrite existing backups made during the retention period specified in Paragraph 14.3(a)(ii);

(e) the backup system monitors backups of Government Data to:

- (i) identifies any backup failure; and
- (ii) confirm the integrity of the Government Data backed up;
- (f) any backup failure is remedied promptly;

(g) the backup system monitors the recovery of Government Data to:

- (i) identify any recovery failure;
- (ii) confirm the integrity of Government Data recovered; and
- (h) any recovery failure is promptly remedied.

15 Email

15.1 Notwithstanding anything in the specification for the Developed System or this Contract, the Supplier must ensure that where the Developed System will provide an Email Service to the Buyer, the Developed System:

- (a) supports transport layer security ("**TLS**") version 1.2, or higher, for sending and receiving emails;
- (b) supports TLS Reporting ("**TLS-RPT**");
- (c) is capable of implementing:
  - (i) domain-based message authentication, reporting and conformance ("**DMARC**");
  - (ii) sender policy framework ("**SPF**"); and
  - (iii) domain keys identified mail ("**DKIM**"); and
- (d) is capable of complying in all respects with any guidance concerning email security as issued or updated from time to time by:
  - (i) the UK Government (current version at <https://www.gov.uk/guidance/set-up-government-email-services-securely>); or
  - (ii) the NCSC (current version at <https://www.ncsc.gov.uk/collection/email-security-and-anti-spoofing>).

## 16 DNS

16.1 Unless otherwise agreed by the Buyer, the Supplier must ensure that the Developed System uses the UK public sector Protective DNS ("**PDNS**") service to resolve internet DNS queries.

## 17 Malicious Software

17.1 The Supplier shall install and maintain Anti-virus Software or procure that Anti-virus Software is installed and maintained on the Supplier Information Management System.

17.2 The Supplier must ensure that such Anti-virus Software:

- (a) prevents the installation of the most common forms of Malicious Software in the Supplier Information Management System and the Development Environment;
- (b) is configured to perform automatic software and definition updates;
- (c) provides for all updates to be the Anti-virus Software to be deployed within 10 Working Days of the update's release by the vendor;

(d) performs regular scans of the Supplier Information Management System to check for and prevent the introduction of Malicious Software; and

(e) where Malicious Software has been introduced into the Supplier Information Management System, identifies, contains the spread of, and minimises the impact of Malicious Software.

17.3 If Malicious Software is found, the Parties shall cooperate to reduce the effect of the Malicious Software and, particularly if Malicious Software causes loss of operational efficiency or loss or corruption of Government Data, assist each other to mitigate any Losses and to restore the Services to their desired operating efficiency.

17.4 Any Breach of Security caused by Malicious Software where the Breach of Security arose from a failure by the Supplier, or a Sub-contractor, to comply with this Paragraph 17 is a material Default.

## 18 Vulnerabilities

18.1 Unless the Buyer otherwise agrees, the Supplier must ensure that it or any relevant Sub-contractor applies security patches to any vulnerabilities in the Supplier Information Management System no later than:

(a) seven (7) days after the public release of patches for vulnerabilities classified as “critical”;

(b) thirty (30) days after the public release of patches for vulnerabilities classified as “important”; and

(c) sixty (60) days after the public release of patches for vulnerabilities classified as “other”.

18.2 The Supplier must:

(a) scan the Supplier Information Management System and the Development Environment at least once every month to identify any unpatched vulnerabilities; and

(b) if the scan identifies any unpatched vulnerabilities ensure they are patched in accordance with Paragraph 18.1.

18.3 For the purposes of this Paragraph 18, the Supplier must implement a method for classifying vulnerabilities to the Supplier Information Management System as “critical”, “important” or “other” that is aligned to recognised vulnerability assessment systems, such as:

(a) the National Vulnerability Database’s vulnerability security ratings; or

(b) Microsoft’s security bulletin severity rating system.

## 19 Security testing

### *Responsibility for security testing*

19.1 The Supplier is solely responsible for:

- (a) the costs of conducting any security testing required by this Paragraph 19; and
- (b) the costs of implementing any findings, or remedying any vulnerabilities, identified in that security testing.

### *Security tests by Supplier*

19.2 The Supplier must:

- (a) during the testing of the Developed System and before the Developed System goes live;
- (b) at least once during each Contract Year; and
- (c) when required to do so by the Buyer;

undertake the following activities:

- (d) conduct security testing of the Supplier Information Management System, insofar as it relates to the Developed System but excluding the Development Environment (an “**IT Health Check**”) in accordance with Paragraph 19.8 to 19.10; and
- (e) implement any findings, and remedy any vulnerabilities identified by the IT Health Check in accordance with Paragraph and 19.11 to 19.20.

19.3 In addition to its obligations under Paragraph 19.2, the Supplier must undertake any tests required by:

- (a) any Remediation Action Plan;
- (b) the ISO27001 Certification Requirements;
- (c) the Security Management Plan; and
- (d) the Buyer, following a Breach of Security or a significant change, as assessed by the Buyer, to the components or architecture of the Supplier Information Management System,

**(each a Supplier Security Test).**

19.4 The Supplier must:

(a) design and implement the Supplier Security Tests so as to minimise the impact on the delivery of the Services;

(b) agree the date, timing, content and conduct of such Supplier Security Tests in advance with the Buyer.

19.5 Where the Supplier fully complies with Paragraph 19.4, if a Supplier Security Test causes a Performance Failure in a particular Measurement Period, the Supplier shall be entitled to relief in respect of such Performance Failure for that Measurement Period.

19.6 The Buyer may send a representative to witness the conduct of the Supplier Security Tests.

19.7 The Supplier shall provide the Buyer with a full, unedited and unredacted copy of the results of such Security Tests (in a form approved by the Buyer in advance) as soon as practicable, and in any case within ten Working Days, after completion of each Supplier Security Test

#### *IT Health Checks*

19.8 In arranging an IT Health Check, the Supplier must:

(a) use only a CHECK Service Provider to perform the IT Health Check;

(b) ensure that the CHECK Service Provider uses a qualified CHECK Team Leader and CHECK Team Members to perform the IT Health Check;

(c) design and plan for the IT Health Check so as to minimise the impact of the IT Health Check on the Supplier Information Management System and the delivery of the Services.

(d) promptly provide the Buyer with such technical and other information relating to the Information Management System as the Buyer requests;

(e) include within the scope of the IT Health Check such tests as the Buyer requires;

(f) agree with the Buyer the scope, aim and timing of the IT Health Check.

19.9 The Supplier must commission the IT Health Check in accordance with the scope, aim and timing agreed by the Buyer.

19.10 Following completion of an IT Health Check, the Supplier must provide the Buyer with a full, unedited and unredacted copy of the report relating to the IT Health Check without delay and in any event within 10 Working Days of its receipt by the Supplier.

#### *Remedying vulnerabilities*

19.11 In addition to complying with Paragraphs 19.13 to 19.20., the Supplier must remedy:

- (a) any vulnerabilities classified as critical in the IT Health Check report within 5 Working Days of becoming aware of the vulnerability and its classification;
- (b) any vulnerabilities classified as high in the IT Health Check report within 1 month of becoming aware of the vulnerability and its classification; and
- (c) any vulnerabilities classified as medium in the IT Health Check report within 3 months of becoming aware of the vulnerability and its classification.

19.12 The Supplier must notify the Buyer immediately if it does not, or considers it will not be able to, remedy the vulnerabilities classified as critical, high or medium in the IT Health Check report within the time periods specified in Paragraph 19.11.

#### *Significant vulnerabilities*

19.13 Where the IT Health Check report identifies more than 10 vulnerabilities classified as either critical or high, the Buyer may, at the Supplier's cost, appoint an independent and appropriately qualified and experienced security architect and adviser to perform a root cause analysis of the identified vulnerabilities.

#### *Responding to Supplier Security Test report*

19.14 Where the IT Health Check identifies vulnerabilities in, or makes findings in respect of, the Information Management System, the Supplier must within 20 Working Days of receiving the IT Health Check report, prepare and submit for approval to the Buyer a draft plan addressing the vulnerabilities and findings (the "**Remediation Action Plan**").

19.15 Where the Buyer has commissioned a root cause analysis under Paragraph 19.13, the Supplier shall ensure that the draft Remediation Action Plan addresses that analysis.

19.16 The draft Remediation Action Plan must, in respect of each vulnerability identified or finding made by the IT Health Check report:

- (a) how the vulnerability or finding will be remedied;
- (b) the date by which the vulnerability or finding will be remedied; and
- (c) the tests that the Supplier proposes to perform to confirm that the vulnerability has been remedied or the finding addressed.

19.17 The Supplier shall promptly provide the Buyer with such technical and other information relating to the Supplier Information Management System, the IT Health Check report or the draft Remediation Action Plan as the Buyer requests.

19.18 The Buyer may:

(a) reject the draft Remediation Action Plan where it considers that the draft Remediation Action Plan is inadequate, providing its reasons for doing so, in which case:

(i) the Supplier shall within 10 Working Days of the date on which the Buyer rejected the draft Remediation Action Plan submit a revised draft Remediation Action Plan that takes into account the Buyer's reasons; and

(ii) Paragraph 19.16 to 19.18 shall apply, with appropriate modifications, to the revised draft Remediation Action Plan;

(b) accept the draft Remediation Action Plan, in which case the Supplier must immediately start work on implementing the Remediation Action Plan in accordance with Paragraph 19.19 and 19.20.

*Implementing an approved Remediation Action Plan*

19.19 In implementing the Remediation Action plan, the Supplier must conduct such further tests on the Supplier Information Management System as are required by the Remediation Action Plan to confirm that the Remediation Action Plan has fully and correctly implemented.

19.20 If any such testing identifies a new risk, new threat, vulnerability or exploitation technique with the potential to affect the security of the Supplier Information Management System, the Supplier shall within [2] Working Days of becoming aware of such risk, threat, vulnerability or exploitation technique:

(a) provide the Buyer with a full, unedited and unredacted copy of the test report;

(b) implement interim mitigation measures to vulnerabilities in the Information System known to be exploitable where a security patch is not immediately available;

(c) as far as practicable, remove or disable any extraneous interfaces, services or capabilities not needed for the provision of the Services within the timescales set out in the test report or such other timescales as may be agreed with the Buyer.

*Significant vulnerabilities*

19.21 Where:

(a) a Security Test report identifies more than 10 vulnerabilities classified as either critical or high; or

(b) the Buyer rejected a revised draft Remediation Action Plan,

**the Buyer may, at the Supplier's cost, either:**

- (c) appoint an independent and appropriately qualified and experienced security architect and adviser to perform a root cause analysis of the identified vulnerabilities; or
- (d) give notice to the Supplier requiring the appointment as soon as reasonably practicable, and in any event within ten Working Days, of an Independent Security Adviser.

## 20 Access Control

20.1 This Paragraph applies where the Buyer has assessed that this Contract is a higher-risk agreement.

20.2 The Supplier must, and must ensure that all Sub-contractors:

- (a) identify and authenticate all persons who access the Supplier Information Management System and Sites before they do so;
- (b) require multi-factor authentication for all user accounts that have access to Government Data or that are Privileged Users;
- (c) allow access only to those parts of the Supplier Information Management System and Sites that those persons require;
- (d) maintain records detailing each person's access to the Supplier Information Management System and Sites, and make those records available to the Buyer on request.

20.3 The Supplier must ensure, and must ensure that all Sub-contractors ensure, that the user accounts for Privileged Users of the Supplier Information Management System:

- (a) are allocated to a single, individual user;
- (b) are accessible only from dedicated End-user Devices;
- (c) are configured so that those accounts can only be used for system administration tasks;
- (d) require passwords with high complexity that are changed regularly;
- (e) automatically log the user out of the Supplier Information Management System after a period of time that is proportionate to the risk environment during which the account is inactive; and
- (f) in the case of a higher-risk agreement are:
  - (i) restricted to a single role or small number of roles;
  - (ii) time limited; and



(iii) restrict the Privileged User's access to the internet.

20.4 The Supplier must ensure, and must ensure that all Sub-contractors ensure, that it logs all activity of the Privileged Users while those users access those accounts and keeps the activity logs for 20 Working Days before deletion.

20.5 The Supplier must require, and must ensure that all Sub-contractors require, that Privileged Users use unique and substantially different high-complexity passwords for their different accounts on the Supplier Information Management System.

20.6 The Supplier must ensure that the Developed System is developed and configured so as to provide for the matters set out in Paragraphs 20.2 to 20.5.

20.7 The Supplier must, and must ensure that all Sub-contractors:

(a) configure any hardware that forms part of the Supplier Information Management System that is capable of requiring a password before it is accessed to require a password; and

(b) change the default password of that hardware to a password of high complexity that is substantially different from the password required to access similar hardware.

21 Event logging and protective monitoring

#### *Protective Monitoring System*

21.1 The Supplier must, and must ensure that Sub-contractors, implement an effective system of monitoring and reports analysing access to and use of the Supplier Information Management System, the Development Environment, the Government Data and the Code to:

(a) identify and prevent potential Breaches of Security;

(b) respond effectively and in a timely manner to Breaches of Security that do occur;

(c) identify and implement changes to the Supplier Information Management System to prevent future Breaches of Security; and

(d) help detect and prevent any potential criminal offence relating to fraud, bribery or corruption using the Supplier Information Management System or the Developed System

(the "**Protective Monitoring System**").

21.2 The Protective Monitoring System must provide for:

(a) event logs and audit records of access to the Supplier Information Management system; and

- (b) regular reports and alerts to identify:
  - (i) changing access trends;
  - (ii) unusual usage patterns; or
  - (iii) the access of greater than usual volumes of Government Data;
- (c) the detection and prevention of any attack on the Supplier Information Management System or the Development Environment using common cyber-attack techniques;
- (d) any other matters required by the Security Management Plan.

#### *Event logs*

21.3 The Supplier must ensure that, unless the Buyer otherwise agrees, any event logs do not log:

- (a) personal data, other than identifiers relating to users; or
- (b) sensitive data, such as credentials or security keys.

#### *Provision of information to Buyer*

21.4 The Supplier must provide the Buyer on request with:

- (a) full details of the Protective Monitoring System it has implemented; and
- (b) copies of monitoring logs and reports prepared as part of the Protective Monitoring System.

#### *Changes to Protective Monitoring System*

21.5 The Buyer may at any time require the Supplier to update the Protective Monitoring System to:

- (a) respond to a specific threat identified by the Buyer;
- (b) implement additional audit and monitoring requirements; and
- (c) stream any specified event logs to the Buyer's security information and event management system.

## 22 Audit rights

#### *Right of audit*

22.1 The Buyer may undertake an audit of the Supplier or any Sub-contractor to:

- (a) verify the Supplier's or Sub-contractor's (as applicable) compliance with the requirements of this Schedule 10 (Security) and the Data Protection Laws as they apply to Government Data;
- (b) inspect the Supplier Information Management System (or any part of it);
- (c) review the integrity, confidentiality and security of the Government Data; and/or
- (d) review the integrity and security of the Code.

22.2 Any audit undertaken under this Paragraph 22:

- (a) may only take place during the Term and for a period of 18 months afterwards; and
- (b) is in addition to any other rights of audit the Buyer has under this Contract.

22.3 The Buyer may not undertake more than one audit under Paragraph 22.1 in each calendar year unless the Buyer has reasonable grounds for believing:

- (a) the Supplier or any Sub-contractor has not complied with its obligations under this Contract or the Data Protection Laws as they apply to the Government Data;
- (b) there has been or is likely to be a Security Breach affecting the Government Data or the Code; or
- (c) where vulnerabilities, or potential vulnerabilities, in the Code have been identified by:
  - (i) an IT Health Check; or
  - (ii) a Breach of Security.

#### *Conduct of audits*

22.4 The Buyer must use reasonable endeavours to provide 15 Working Days' notice of an audit.

22.5 The Buyer must when conducting an audit:

- (a) comply with all relevant policies and guidelines of the Supplier or Sub-contractor (as applicable) concerning access to the Supplier Information Management System the Buyer considers reasonable having regard to the purpose of the audit; and
- (b) use reasonable endeavours to ensure that the conduct of the audit does not unreasonably disrupt the Supplier or Sub-contractor (as applicable) or delay the provision of the Services.

22.6 The Supplier must, and must ensure that Sub-contractors, on demand provide the Buyer with all co-operation and assistance the Buyer may reasonably require, including:

- (a) all information requested by the Buyer within the scope of the audit;
- (b) access to the Supplier Information Management System; and
- (c) access to the Supplier Staff.

*Response to audit findings*

22.7 Where an audit finds that:

- (a) the Supplier or a Sub-contractor has not complied with this Contract or the Data Protection Laws as they apply to the Government Data; or
- (b) there has been or is likely to be a Security Breach affecting the Government Data

the Buyer may require the Supplier to remedy those defaults at its own cost and expense and within the time reasonably specified by the Buyer.

22.8 The exercise by the Buyer of any rights it may have under this Paragraph 3 does not affect the exercise by it of any other or equivalent rights it may have under this Contract in respect of the audit findings.

## 23 Breach of Security

*Reporting Breach of Security*

23.1 If either party becomes aware of a Breach of Security it shall notify the other as soon as reasonably practicable after becoming aware of the breach, and in any event within [24] hours.

*Immediate steps*

23.2 The Supplier must, upon becoming aware of a Breach of Security immediately take those steps identified in the Security Management Plan and all other steps reasonably necessary to:

- (a) minimise the extent of actual or potential harm caused by such Breach of Security;
- (b) remedy such Breach of Security to the extent possible;
- (c) apply a tested mitigation against any such Breach of Security; and
- (d) prevent a further Breach of Security in the future which exploits the same root cause failure;

### *Subsequent action*

23.3 As soon as reasonably practicable and, in any event, within 5 Working Days, or such other period agreed with the Buyer, following the Breach of Security, provide to the Buyer:

- (a) full details of the Breach of Security; and
- (b) if required by the Buyer:
  - (i) a root cause analysis; and
  - (ii) a draft plan addressing the Breach of Security,

(the “**Breach Action Plan**”).

23.4 The draft Breach Action Plan must, in respect of each issue identified in the root cause analysis:

- (a) in respect of each issue identified in the root cause analysis:
  - (i) how the issue will be remedied;
  - (ii) the date by which the issue will be remedied; and
  - (iii) the tests that the Supplier proposes to perform to confirm that the issue has been remedied or the finding addressed;
- (b) the assistance the Supplier will provide to the Buyer to resolve any impacts on the Buyer, the Government Data and the Code;
- (c) the Supplier’s communication and engagement activities in respect of the Breach of Security, including any communication or engagement with individuals affected by any Breach of Security that results in the accidental or unlawful destruction, loss, alteration, unauthorised disclosure of, or access to, Personal Data;
- (d) the infrastructure, services and systems (including any contact centre facilities) the Supplier will establish to undertake the remediation, communication and engagement activities.

23.5 The Supplier shall promptly provide the Buyer with such technical and other information relating to the draft Breach Action Plan as the Buyer requests.

23.6 The Buyer may:

- (a) reject the draft Breach Action Plan where it considers that the draft Breach Action Plan is inadequate, providing its reasons for doing so, in which case:

(i) the Supplier shall within 10 Working Days of the date on which the Buyer rejected the draft Breach Action Plan submit a revised draft Breach Action Plan that takes into account the Buyer's reasons; and

(ii) Paragraph 23.5 and 23.6 shall apply to the revised draft Breach Action Plan;

(b) accept the draft Breach Action Plan, in which case the Supplier must immediately start work on implementing the Breach Action Plan.

23.7 When implementing the Breach Action Plan, the Supplier must:

(a) establish infrastructure, services and systems referred to in the Breach Action Plan;

(b) communicate and engage with affected individuals in accordance with the Breach Action Plan;

(c) communicate and engage with the Buyer and stakeholders identified by the Buyer in accordance with the Breach Plan and as otherwise required by the Buyer; and

(d) engage and deploy such additional resources as may be required to perform its responsibilities under the Breach Plan and this Contract in respect of the Personal Data Breach without any impact on the provision of the Services;

(e) continue to implement the Breach Action Plan until the Buyer indicates that the Breach of Security and the impacts on the Buyer, the Government Data, the Code and the affected individuals have been resolved to the Buyer's satisfaction.

23.8 The obligation to provide and implement a Breach Action Plan under Paragraphs 23.3 to 23.7 continues notwithstanding the expiry or termination of this Contract.

#### *Costs of preparing and implementing a Breach Action Plan*

23.9 The Supplier is solely responsible for its costs in preparing and implementing a Breach Action Plan.

#### *Reporting of Breach of Security to regulator*

23.10 Where the Law requires the Supplier report a Breach of Security to the appropriate regulator, the Supplier must:

(a) make that report within the time limits:

(i) specified by the relevant regulator; or

(ii) otherwise required by Law;

(b) to the extent that the relevant regulator or the Law permits, provide the Buyer with a full, unredacted and unedited copy of that report at the same time it is sent to the relevant regulator.

23.11 Where the Law requires the Buyer to report a Breach of Security to the appropriate regulator, the Supplier must:

- (a) provide such information and other input as the Buyer requires within the timescales specified by the Buyer;
- (b) ensure so far as practicable the report it sends to the relevant regulator is consistent with the report provided by the Buyer.

## 24 Return and Deletion of Government Data

24.1 The Supplier must create and maintain a register of:

- (a) all Government Data the Supplier, or any Sub-contractor, receives from or creates for the Buyer; and
- (b) those parts of the Supplier Information Management System, including those parts of the Supplier Information Management System that are operated or controlled by any Sub-contractor, on which the Government Data is stored,

(the “**Government Data Register**”).

24.2 The Supplier must:

- (a) review and update the Government Data Register:
  - (i) within 10 Working Days of the Supplier or any Sub-contractor changes those parts of the Supplier Information Management System on which the Government Data is stored;
  - (ii) within 10 Working Days of a significant change in the volume, nature or overall sensitivity of the Government Data stored on the Supplier Information Management System;
  - (iii) at least once every 12 (twelve) months; and
- (b) provide the Buyer with a copy of the Government Data Register:
  - (i) whenever it updates the Government Data Register; and
  - (ii) otherwise when the Buyer requests.

24.3 Subject to Paragraph 24.4, the Supplier must, and must ensure that all Subcontractors, securely erase any or all Government Data held by the Supplier or Subcontractor, including any or all Code:

- (a) when requested to do so by the Buyer; and
- (b) using a deletion method agreed with the Buyer that ensures that even a determined expert using specialist techniques can recover only a small fraction of the data deleted.

24.4 Paragraph 24.4 does not apply to Government Data:

- (a) that is Personal Data in respect of which the Supplier is a Controller;
- (b) to which the Supplier has rights to Handle independently from this Contract; or
- (c) in respect of which, the Supplier is under an obligation imposed by Law to retain.

24.5 The Supplier must, and must ensure that all Sub-contractors, provide the Buyer with copies of any or all Government Data held by the Supplier or Sub-contractor, including any or all Code:

- (a) when requested to do so by the Buyer; and
- (b) using the method specified by the Buyer.