

Professional Service Contract

Contract Data Forms

June 2017 (with amendments January 2023)

Template version history

V 1	As per bidder pack (was V4 in bidder pack)	Go live template
V 2	Updates to Z clauses to ensure they are applicable to Main Option and Framework Schedules: Z24 terminology updated	June 24
V 2.1	Updates to Liabilities and Insurance in Section 8 and mandated Z125	Dec 24
V 2.2	Updates to X18 and guidance notes for Y(UK)2	Jan 25
V 2.3	Liability guidance notes updated re Section 8 CD Pt1 and X18	Feb 25

Contract Execution

This agreement is made between the *Client*, the *Consultant* and the Named Suppliers.

Terms in this agreement have the meanings given to them in the contract between the Environment Agency and Mott MacDonald Ltd for Inland Forecast Model Improvements Programme – River Nene (Upper, Middle, Lower and Tribs) Stage 1 Inception (the *service*).

The *Consultant* offers to Provide the Services in accordance with these conditions of contract for an amount to be determined in accordance with these conditions of contract.

The *Consultant* was appointed to the 2024 Mapping and Modelling Framework and executed the framework agreement

Executed under hand





PART ONE - DATA PROVIDED BY THE CLIENT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017 (with amendments January 2019)

Main Option

Se

Е

Option for resolving and avoiding disputes

W2

condary Options	X2 Changes in the Law
	X10 Information Modelling
	X11 Termination by the <i>Client</i>
	X18 Limitation of Liability
	Y(UK)2, The Housing Grants Construction and Regeneration Act 1996
	Y(UK)3, The Contracts (Rights of Third Parties) Act 1999
	additional conditions of contract
	Z1 Disputes
	Z2 Prevention
	Z3 Disallowable Costs
	Z6 The Schedule of Cost Components
	Z24 Requirement for invoice
	Z125 Limitation of Liability
	Z130 Rate Adjustment

The service is The overall aim of the service is for the Consultant to complete Real-Time Flood Forecasting Model Development Guidance (RTMDG) Stage 1 inception tasks for all models and risk locations in the Upper Nene, Middle Nene, Middle Nene Tribs and Lower Nene sub-basins.

Based on these findings, the *Consultant* shall collaborate with the *Client* to make a "Go/No-Go" decision on whether Stage 2 and Stage 3 modelling tasks (as outlined in a technical programme of works to be produced for each sub-basin) should proceed in a future delivery stage project, or if there is a requirement for a data improvement phase (underpinned by an accepted data improvement plan to be produced for each subbasin).

The *Consultant* shall follow the logical process outlined in the Inland Forecast Model Improvements Programme Technical Scopes "Upper Nene", "Middle Nene", "Middle Nene Tribs" and "Lower Nene", and deliver these modelling and modelling related activities to the standards specified therein accordingly. The Client is

Name

Address for communications

Address for electronic communicatio

The Service Manager is

Name

Address for communications

Address for electronic communicatio

The Scope is in

PSC Scope for Inland Forecast Model Improvements Programme – River Nene (Upper, Middle, Lower and Tribs) Stage 1 Inception

English		
England and Wales, subject to the jurisdiction of the courts of England and Wales		
2 weeks	exce	ept that
n/a	is	n/a
n/a	is	n/a
	England and Wales, subj jurisdiction of the courts of Wales 2 weeks n/a	England and Wales, subject to jurisdiction of the courts of Eng Wales 2 weeks n/a is

The *period for retention* is 6 year(s) following Completion or earlier termination

The following matters will be included in the Early Warning Register

[add]			

Early warning meetings are to be held at intervals no longer than

2 weeks

2 The Consultant's main responsibilities

If the *Client* has identified work which is set to meet a stated *condition* by a *key date*

The key dates and conditions to be met are

condition to be met

- (1) Not used
- (2) Not Used
- (3) Not Used

key	date
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If Option A is used	The <i>Consultant</i> prepares forecasts of the total <i>expenses</i> at intervals no longer than	Not applicable
If Option C or E is used	The <i>Consultant</i> prepares forecasts of the total Defined Cost plus Fee and <i>expenses</i> at intervals no longer than	4 weeks
3 Time		
	The <i>starting date</i> is	28 July 2025
	The <i>Client</i> provides access to the following persons, places and the	
		cess date From the starting date
	(2)	
	(3)	
	The <i>Consultant</i> submits revised programmes at intervals no longer than	4 weeks
	longer than	4 weeks
If the <i>Client</i> has decided the <i>completion date</i> for the whole of the <i>service</i>	The <i>completion date</i> for the whole of the <i>service</i> is	30 November 2025 The Parties can agree to extend the Completion Date by up to 6 months
If no programme is identified in part two of the Contract Data	The period after the Contract Date within which the <i>Consultant</i> is to submit a first programme for acceptance is	4 weeks
4 Quality manageme	nt	
	The period after the Contract Date within which the Consultant	
	is to submit a quality policy statement and quality plan is	4 weeks, if not previously provided by the <i>Consultant</i>
	The period between Completion of the whole of the <i>service</i> and the <i>defects date</i> is	26 weeks
5 Payment		
	The currency of the contract is the	£ sterling
	The assessment interval is	Monthly
If the <i>Client</i> states any expenses	The <i>expenses</i> stated by the <i>Client</i> are	
	item amount	
	The <i>interest rate</i> is 2 % per annum (not less than	2) above the
	Base rate of the Bank of Englar	nd bank
If the period in which paymer	nts are made is not three weeks and Y(UK)2 is not used	I

I

 If Option C or E is used and the Client
 The period within which payments are made is
 3 weeks

 states any locations
 The locations for which the
 3 weeks

 Consultant provides a charge-for the cost of support people and office overhead are
 N/A

 Option C or E is used
 The exchange rates are those published in
 Financial Times

on a monthly (date) occurrence

6 Compensation events

If there are additional

I

These are additional compensation events

8 Liabilities and insurance

_

If there are additional *Client's* liabilities These are additional Client's liabilities

(1) Not used
(2) Not used
(3) Not used

The minimum amount of cover and the periods for which the *Consultant* maintains insurance are

EVENT	MINIMUM AMOUNT OF COVER	PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE SERVICE OR TERMINATION
The <i>Consultant's</i> failure to use the skill and care normally used by professionals providing services similar to the <i>service</i>	Whichever is greater of £2 million or the amount required by law in respect of each claim, without limit to the number of claims	6 years following Completion of the whole works or earlier termination
Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the <i>Consultant</i>) arising from or in connection with the <i>Consultant</i> Providing the Service	Whichever is greater of £5 million or the amount required by law in respect of each event, without limit to the number of events	12 months
Death of or bodily injury to employees of the <i>Consultant</i> arising out of and in the course of their employment in	Whichever is greater of £5 million or the amount required by law	For the period required by law
connection with the contract	in respect of each event, without limit to the number of events	

The Consultant provides these additional insurances

(1) Insurance against	
Minimum amount of cover is	
The deductibles are	n/a
(2) Insurance against	n/a
Minimum amount of cover is	n/a
The deductibles are	n/a
(3) Insurance against	n/a
Minimum amount of cover is	n/a
The deductibles are	n/a

The *Consultant's* total liability to the *Client* for all matters arising under or in connection with the contract, other than the excluded matters is limited to

£2 million

Resolving and avoidi	ng disputes	
	The <i>tribunal</i> is	Litigation in the courts
If the <i>tribunal</i> is arbitration	The arbitration procedure is	to be confirmed
	The place where arbitration	
	is to be held is	to be confirmed
		will choose an arbitrator if the Parties cannot agree a dure does not state who selects an arbitrator is
	to be confirmed	
	The Senior Representatives of the	ne Client are
	Name (1)	
	Address for communications	
	Address for electronic comm	nunication
	Name (2)	
	Address for communications	
	Address for electronic comm	nunication
	The Adjudicator is	
	Name	to be confirmed
	Address for communications	to be confirmed

Address for electronic communications

The Adjudicator nominating body is

Institution of Civil Engineers

to be confirmed

X2: Changes in th	e law	
If Option X2 is used	The <i>law of the project</i> is	The law of England and Wales, subject to the jurisdiction of the courts of England and Wales
X10: Information m	odelling	
If Option X10 is used		
If no <i>information</i> execution plan is identified in part two of the Contract Data X11: Termination by	Information Execution Plan	act Date within which the <i>Consultant</i> is to submit a first for acceptance is 4 weeks
X18: Limitation of li	ability	
If Option X18 is used	The <i>Consultant's</i> liability to the consequential loss is limited to	Client for indirect or £2 million
	The Consultant's liability to the not found until after the defects	
	The <i>end of liability date</i> is 6	years after the Completion of the whole of the service

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

If Option Y(UK)2 is used	The perio
and the final date for	
payment is not fourteen	
days after the date on	
which payment becomes	
due	

The period for payment is 14

days after the date on which payment becomes due

Y(UK)3: The Contracts (Rights of Third Parties) Act 1999

term	beneficiary
No terms under this contract	No beneficiary under this contract

If Y(UK)3 is used with

If Option Y(UK)3 is used

term

beneficiary

Y(UK)1 the following entry is added to the table for Y(UK)3

The provisions of Options Y(UK)1

Named Suppliers

The additional conditions of contract are

Z1 Disputes:

If Option Z is used

Delete existing clause W2.1

Z2 Prevention

The text of clause 18 Prevention is deleted.

- Delete the text of clause 60.1(12) and replace with:
- The service is affected by any of the following events
- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants,
- Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- Natural disaster,
- · Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

Z3 Disallowed Costs Option C & E contracts only

Add the following in second bullet of 11.2 (18) add: (including compensation events with the sub contractor, i.e. payment for work that should not have been undertaken). Add the following additional bullets after 'and the cost of ':

• Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans.

• Reorganisation of the Consultant's project team.

• Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats.

- · Exceeding the Scope without prior instruction that leads to abortive cost
- Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.
- Production or preparation of self-promotional material.

• Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)

• Any hours exceeding 8 per day unless with prior written agreement of the Service Manager

• Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager

• Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager

• Costs associated with the attendance at additional meetings after programmed completion, if delay is due to *Consultant* performance.

• Costs associated with rectifications that are due to Consultant error or omission.

• Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement

• Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements

• Was incurred as a result of the Client issuing a Yellow or Red Card to prepare a Performance Improvement Plan

• Was incurred as a resulting of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

Z6 The Schedule of Cost Components

Amend Clause 11.2 (17)

Defined Cost is the cost of the components in the Short Schedule of Cost Components as amended in the Framework Schedule 2 less Disallowed Cost.

Add clause 11.2 (19)

The People Rates are the people rates unless later changed in accordance with the contract.

Z24 Requirement for Invoice

Add the following sentence to the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the *Service Manager's* Assessment.

Delete existing clause 51.2 and replace with:

51.2 Each certified payment is made within one week after the paying Party receives an invoice from the other Party and If a certified payment is late, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

Z125 Limitation of Liability

Under clause 87.1 after the fourth bullet point insert the additional bullet points:

• loss of or damage to the *Client's* property, to the sum that the *Consultant* is required to insure under the contract in respect of such loss or damage,

• death of or bodily injury to employees of the *Consultant* arising out of and in the course of their employment in connection with the contract, to the sum that the *Consultant* is required to insure under the contract in respect of such death or bodily injury.

Z 130 Rate adjustment

Z130.1 The outstanding portion of Defined Cost for People Rates shall be increased by the same proportion and on the same date as the appropriate Staff Grade Rate Cap adjustment.

Z130.2 The Prices are adjusted for the outstanding portion of the Prices for the amendment to rates in Z130.1.

PART TWO – DATA PROVIDED BY THE CONSULTANT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The Consultant is	
Name	
Address for communications	
Address for electronic communication	
The fee percentage is	
The ree percentage is	
The key persons are	
Name (1)	
Job	
Responsibilities	
Qualifications	
Eventioned	
Experience	
Name (2)	
Job	
Responsibilities	
Qualifications	
Experience	

The following matters will be included in the Early Warning Register

2 The Consultant's	main responsibilities				
If the <i>Consultant</i> is to provide Scope	The Scope provided by the <i>Consultant</i> is in	n/a			
5 Payment					
If the <i>Consultant</i> states <i>expenses</i>	The expenses stated by the Consultant are any item amount Expenses will be billed accordingly				
If Option E is used	The forecast of the prices is	£74,979.50 (excl. VAT)			
Resolving and avoid	ling disputes				
	The Senior Representatives of the Consultant are				
	Name (1)				
	Address for communications				
	Address for electronic communication				
	Name (2)				
	Address for communications				
	Address for electronic communication				

X10: Information modelling

If Option X10 is used

If an <i>information</i> <i>execution plan</i> is to be identified in the Contract Data	The <i>informatic</i> in the Contrac	on execution plan ider at Data is	ntified NA			
Y(UK)1: Project E	Y(UK)1: Project Bank Account					
If Option Y(UK)1 is used	d The proj	<i>iect bank</i> is				
	NA					
	named	suppliers are				
	NA					
Data for the Short	Schedule of	Cost Componer	its			
The <i>people rates</i> are						
category of person (grade1-5)	Unit (hours)	Rate (Staff Grade Rate Cap £/hr)	Contract Rate Variance	Contract <i>people</i> <i>rat</i> e (£/hr)		