



Framework: Collaborative Delivery Framework
Supplier: Ove Arup & Partners Ltd
Company Number: 01312453

Geographical Area:
Contract Name: LNA Resource
Project Number: ENV60006934R

Contract Type: Professional Service Contract
Option: Option E

Contract Number: C23058

Stage: Other

Revision	Status		Originator		Reviewer		Date

PROFESSIONAL SERVICE CONTRACT under the Collaborative Delivery Framework
CONTRACT DATA

Project Name UNR Resource
Project Number ENV600069346

- This contract is made on [REDACTED] between the Client and the Consultant
- This contract is made pursuant to the Framework Agreement (the "Agreement") dated 01st day of April 2019 and Framework Agreement Extension dated 1st April 2022 between the Client and the Consultant in relation to the Collaborative Delivery Framework. The entire agreement and the following Schedules are incorporated into the Contract by reference
 - Schedules 1 to 23 inclusive of the Framework schedules are relied upon within this contract.
 - The following documents are incorporated into this contract by reference

Part One - Data provided by the Client
Statements given in all Contracts

1 General

The conditions of contract are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017:

Main Option: Option E Option for resolving and avoiding disputes: W2

Secondary Options

- X2: Changes in the law
X9: Transfer of rights
X10: Information modelling
X11: Termination by the Client
X18: Limitation of liability
X20: Key Performance Indicators
Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996
Y(UK)3: The Contracts (Rights of Third Parties) Act 1999
Z: Additional conditions of contract

The service is Provision of low risk Admin and project support for the hub, short predefined time durations for specific instructed tasks

The Client is Environment Agency

Address for communications Horizon House
Denny Road
Bristol
BS1 5AH

Address for electronic communications ecquiries@environment-agency.gov.uk

The Service Manager is [REDACTED]

Address for communications Environment Agency
Alpha House
Lisiel Street
Birmingham
B3 1AQ

Address for electronic communications [REDACTED]

The Scope is in L17: L1709 - Professional Services Scope Template (LMA) v2

The language of the contract is English

The law of the contract is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

The period for reply is 2 weeks

The period for retention is 6 years following Completion or earlier termination

The following matters will be included in the Early Warning Register

Early warning meetings are to be held at intervals no longer than 2 weeks

2 The Consultant's main responsibilities

The key dates and conditions to be met are conditions to be met Key date
'none set' 'none set'
'none set' 'none set'
'none set' 'none set'
The Consultant prepares forecasts of the total Defined Cost plus Fee and expenses at intervals no longer than 4 weeks

3 Time

The starting date is 01 March 2024
The Client provides access to the following persons, places and things access date

The Consultant submits revised programmes at intervals no longer than 4 weeks

The completion date for the whole of the service is 31 March 2027

The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is 4 weeks

4 Quality management

The period after the Contract Date within which the Consultant is to submit a quality plan statement and quality plan is 4 weeks
The period between Completion of the whole of the service and the defects date is 26 weeks

5 Payment

The currency of the contract is the £ sterling
The assessment interval is Monthly
The forecast of the Prices is £400,000.00
The expenses stated by the Client are as stated in Schedule 9

The interest rate is 3.00% per annum (not less than 2) above the Base rate of the Bank of England

The locations for which the Consultant provides a charge for the cost of support people and office overhead are All UK Offices

6 Compensation events

These are additional compensation events

1. not used
2. not used
3. not used
4. not used
5. not used

8 Liabilities and insurance

These are additional Client's liabilities

1. not used
2. not used
3. not used

The minimum amount of cover and the periods for which the Consultant maintains insurance are

EVENT	MINIMUM AMOUNT OF COVER	PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE SERVICE OR TERMINATION
The Consultant's failure to use the full and care normally used by professionals providing services similar to the service	£1,000,000 in respect of each claim, without limit to the number of claims	12 years after Completion
Loss of or damage to property and liability for death or bodily injury to or death of a person (not an employee of the Consultant) arising from or in connection with the Consultant Providing the Service	£15,000,000 in respect of each claim, without limit to the number of claims	12 months after Completion
Death of or bodily injury to the employees of the Consultant arising out of and in the course of their employment in connection with the contract	Legal minimum in respect of each claim, without limit to the number of claims	For the period required by law
The Consultant's total liability to the Client for all matters arising under or in connection with the contract, other than the excluded matters is limited to	£5,000,000	

Resolving and avoiding disputes

The tribunal is litigation in the courts

The Adjudicator is to be confirmed

The Adjudicator is to be confirmed

Z Clauses

Z1 Disputes

Delete existing clause W2.1

Z2 Prevention

The text of clause 18 Prevention is deleted.

Delete the text of clause 18.1(2) and replace by:

The service is affected by any of the following events:

- War, civil war, rebellion, insurrection, riotous or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the Consultant and sub-consultants;
- Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the construction of nuclear fuel;
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device;
- Natural disaster;
- Fire and explosion;
- Impact by aircraft or other aerial device or thing dropped from them.

Z3 Disallowed Costs

Add the following in recent bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken).

Add the following additional bullet after "and the cost of":

- Retention or delays caused by the Consultant's failure to follow standards in Scope/quality plans
- Reorganisation of the Consultant's project team
- Additional costs or delays incurred due to Consultant's failure to comply with published and known guidance or document formats
- Exceeding the Scope without prior instruction that leads to abortive cost
- Re-writing of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors
- Provision or preparation of self-protection materials
- Excessive charges for project management time on a commission for secondments or full time appointments (greater than 70% of commission value)
- Any hours exceeding 8 per day unless with prior written agreement of the Service Manager
- Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager
- Attendance of additional individuals to meetings/ workshops etc. who have not been previously invited by the Service Manager
- Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to Consultant performance
- Costs associated with rectifications that are due to Consultant error or omission
- Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the Consultant's involvement
- Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
- Was incurred as a result of the Client issuing a Yellow or Red Card to prepare a Performance Improvement Plan
- Was incurred as a result of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

Z5 Secondments

When appointing Consultants on a secondment basis only:

Add clause 19

19.1 The Client will from the starting date to the completion date indemnify the Consultant against any and all claims, proceedings, costs, claims, claims and demands whatsoever arising directly or indirectly out of the activities of the Consultant in providing the services save where such claims, in the reasonable opinion of the Client, arise from or are contributed to by:

19.1.1 Misrepresentation or negligence by or on behalf of the Consultant;

or

19.1.2 The Consultant has acted contrary to the Service Manager's reasonable instructions or wholly outside the Scope of the Consultant's duties as defined by the Service Manager.

Z6 The Schedule of Cost Components

The Schedule of Cost Components are as detailed in the Framework Schedule 9.

Z23 Linked contracts

Issues requiring redesign or rework on this contract due to a fault or error of the Consultant will neither be an allowable cost under this contract or any subsequent contract, nor will be a Compensation event under this contract or any subsequent contract under this project or programme.

Z24 Requirement for Invoice

Add the following sentence to the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the Service Manager's certificate.

Delete existing clause 51.2 and replace with:

51.2 Each certified payment is made by the date of

- one week after the paying Party receives an invoice from the other Party and
- three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.

If a certified payment is late, or if a payment is late because the Service Manager has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made.

Z25 Risk and Insurance

The Consultant is required to submit insurances annually as clause 24 of the Framework Agreement

Z 29 Payment for Service Provided to Date

Delete existing clause 11.2 (21) and replace with:

"11.2 (21) The Price for Service Provided to Date is the total Defined Cost which the Service Manager forecasts will have been paid by the Consultant before the next assessment date plus the Fee. The Price for Service Provided to Date shall not exceed the forecast for the same as provided under clause 20.5"

Z111 PSC - Fee adjustment for non compliance with Scope

Delete existing 11.2 (8) and replace with the following clause:

The fee is the amount calculated by applying the fee percentage to the amount of the Defined Cost excluding the cost of subcontractors that have not complied with procurement by first value processes as defined in the Scope. 80% of the fee percentage is applied to the amount of the Defined Cost for subcontractors that have not complied with procurement by best value processes as defined in the Scope.

Secondary Options

OPTION X2: Changes in the law

The *law of the project* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

OPTION X10: Information modelling

The period after the Contract Date within which the *Consultant* is to submit a first Information Execution Plan for acceptance is 2 weeks

OPTION X18: Limitation of liability

The *Consultant's* liability to the *Client* for indirect or consequential loss is limited to £1,000,000

The *Consultant's* liability to the *Client* for Defects that are not found until after the *defects date* is limited to £5,000,000

The *end of liability* date is 6 years after the Completion of the whole of the *service*

OPTION X20: Key Performance Indicators (not used with Option X12)

The *incentive schedule* for Key Performance Indicators is in Schedule 17

A report of performance against each Key Performance Indicator is provided at intervals of 3 months

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

The period for payment is 14 days after the date on which payment becomes due

Y(UK)3: The Contracts (Rights of Third Parties Act) 1999

term beneficiary

Part Two - Data provided by the Consultant

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The Consultant is
Name

Address for communications

Address for electronic communications

The fee percentage is

Option E

14.00%

The key persons are

Name (1)
Job
Responsibilities Overall responsibility for project delivery
Qualifications Beng Ceng MICE
Experience 20+ years

Name (2)
Job
Responsibilities management
Qualifications Beng Ceng MICE
Experience 20+ years

Name (3)
Job
Responsibilities
Qualifications
Experience

Name (4)
Job
Responsibilities
Qualifications
Experience

Name (5)
Job
Responsibilities
Qualifications
Experience

Name (6)
Job
Responsibilities
Qualifications
Experience

Name (7)
Job

Responsibilities
Qualifications
Experience

The following matters will be included in the Early Warning Register

3 Time

The programme identified in the Contract Data is

TBC

Resolving and avoiding disputes

The *Senior Representatives* of the *Consultant* are

Name (1)

Address for communications

Address for electronic communications

Name (2)

Address for communications

Address for electronic communications

X10: Information Modelling

The *information execution plan* identified
in the Contract Data is

TBC

Contract Execution

Client execution

Signed Underhand by [PRINT NAME] for and on behalf of the Environment Agency

[Redacted Signature]

[Redacted] Date

[Redacted]

[Redacted]

[Redacted]

[Redacted] by [PRINT NAME] for and on behalf of Ove Arup & Partners I

[Redacted]

[Redacted]

Director - Ove Arup & Partners Ltd
[Redacted] Role