



Waterstons

we're with you

CONFIDENTIAL

NECS - Cyber Essentials Recertification 2024

Service Order - Project

**NHS North of England Commissioning
Support Unit**



Version: 1.1

Issue date: 06/06/2024



Order Summary

Client Contact Name	[REDACTED]
Reference Number/ Name	11492
Related Project(s)	N/A
Related Documents	N/A
Business Driver for Project	Ongoing security compliance and technical risk management.
Summary of Scope	Cyber Essentials and Cyber Essentials Plus certification of NHS North of England Commissioning Support Unit.

Special Terms

No special terms beyond the standard Waterstons framework terms and conditions will be in place for this project.

Acceptance

This Service Order is subject to the prevailing Framework Agreement or other agreed terms in place between Waterstons and NHS North of England Commissioning Support NECS.

Client Authorised Signature	
Name	
Date	27/06/2024
Purchase Order number	

Waterstons Authorised Signature	
Name	
Date	01/07/2024

Project Deliverables

What will the Project Deliver?

NHS North of England Commissioning Support Unit (NECS) have maintained Cyber Essentials and Cyber Essentials Plus certification for a number of years, with a whole organisation coverage for maximum assurance and holistic enforcement of best practice technical security controls. NECS wish to continue to uphold these security controls and renew their Cyber Essentials certification, as part of the required annual recertification schedule.

Waterstons presents this Service Order to operate collaboratively with NECS to maintain these certifications, providing pre-assessment consultancy and optional assistance, as well as Cyber Essentials assessment and certification, as an accredited Certifying Body.

This year NECS will be bringing mobile devices into scope for the first time as part of their whole organisation certification. In addition, due to the latest "Montpellier" version of the Cyber Essentials standard, NECS server estate will also be in scope of the Cyber Essentials Plus audit for the first time.

In summary, this project will provide the following deliverables:

Phase	Description





Implementation and Quality Checks

- QC - Cyber Essentials and Cyber Essentials Plus certifications will be quality reviewed by a lead auditor before being awarded.
- QC - All audit and pre-audit activities will be conducted by a certified and experienced Cyber Essentials and Cyber Essentials Plus auditor.

Excluded from Scope

- Any required technical remediation will be completed by NECS - Waterstons can provide assistance with remediation tasks however this will be scoped as part of a separate proposal if required.

- Assessment of non-technical security risks outside of the scope of Cyber Essentials standard
- Costs for annual re-certification beyond 2024 are not included in this proposal.

Assumptions

- NECS will be required to undergo an annual recertification audit to maintain their Cyber Essentials/Plus certifications.
- Internal vulnerability assessments will be conducted using NECS own Nessus Professional instance – a representative sample of devices will be available on the internal network (either onsite or connected via a stable VPN) to allow sufficient scanning.
- During the Cyber Essentials Plus audit NECS' IT estate is expected to approximately match the following breakdown:
 - Workstations: 2000 devices (with 2 x variants in Operating System - specifically Windows 11 and Windows 10)
 - Servers: 1800 (with 5 x variants in Operating System)
 - Public IPs: 1100
 - Mobile devices: 966 (corporate devices with 5 x variants in handsets)
 - Cloud systems: 25

Required from NHS North of England Commissioning Support NECS and Project Constraints

- NECS will provide a Single Point of Contact (SPoC) to liaise with Waterstons and coordinate each certification and required portal responses.
- NECS SPoC will provide required details of registered company name and contact details (email and mobile number) for single point of contact in order to create the Cyber Essentials Assessment Portal.
- NECS will assist with both the pre-audit and audit activities, including, but not limited to, assistance in the collation of sample devices and mobile device audit evidence during the assessment phases.
- NECS will grant Waterstons access to their Nessus vulnerability assessment platform during both the pre-audit and audit phases.

Why Waterstons

Waterstons are an IT and Security consultancy headquartered in the North-East of England, in close proximity to NECS premises. Waterstons has also worked extensively with NHS Commissioning Support Unit's over many years to deliver Cyber Essentials and Cyber Essentials Plus services, meaning they understand the working processes, strategic priorities and IT estates of a CSU.

Waterstons are a registered certification body for the IASME Cyber Essentials and Cyber Essentials Plus Schemes, having delivered certifications to a global portfolio of clients a wide spectrum of sectors.

Information Security and Privacy

Any evidence collected will be handled in accordance with NECS information classification and handling requirements and where there is personal sensitive information in accordance with the Data Protection Act 2018, UK GDPR and General Data Protection Regulation (GDPR). Waterstons take security and data protection very seriously and are registered with the ICO and hold the following certifications:

- Cyber Essentials and Cyber Essential Plus
- BSI ISO 27001
- Crest Accredited (SOC and Penetration Testing Certifications)
- National Cyber Security Centre "Assured Service Provider" – Waterstons are therefore NSCS approved to delivery Cyber Security Consultancy

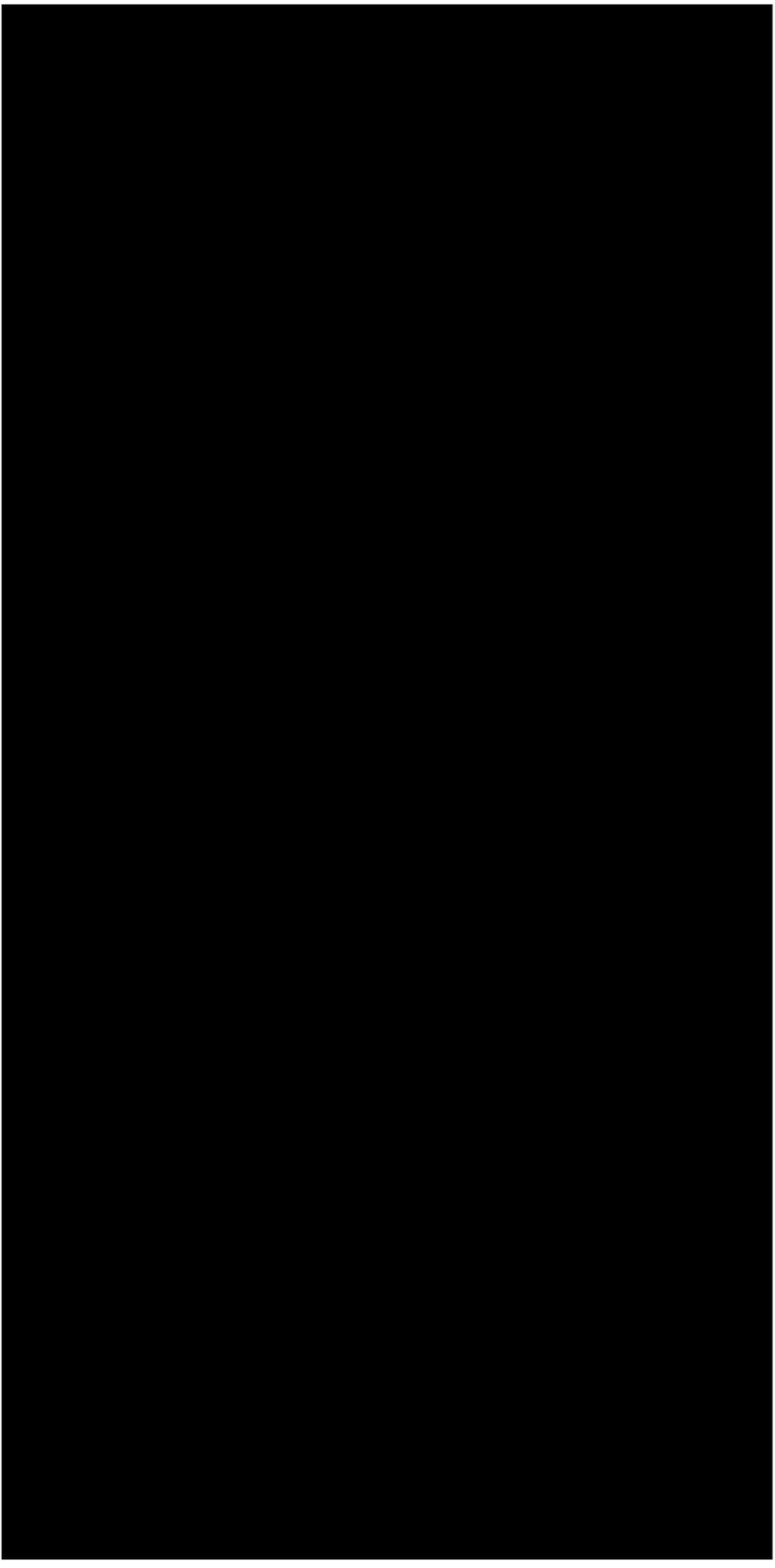
For all Waterstons security accreditations and partnerships, please see [our website](#).

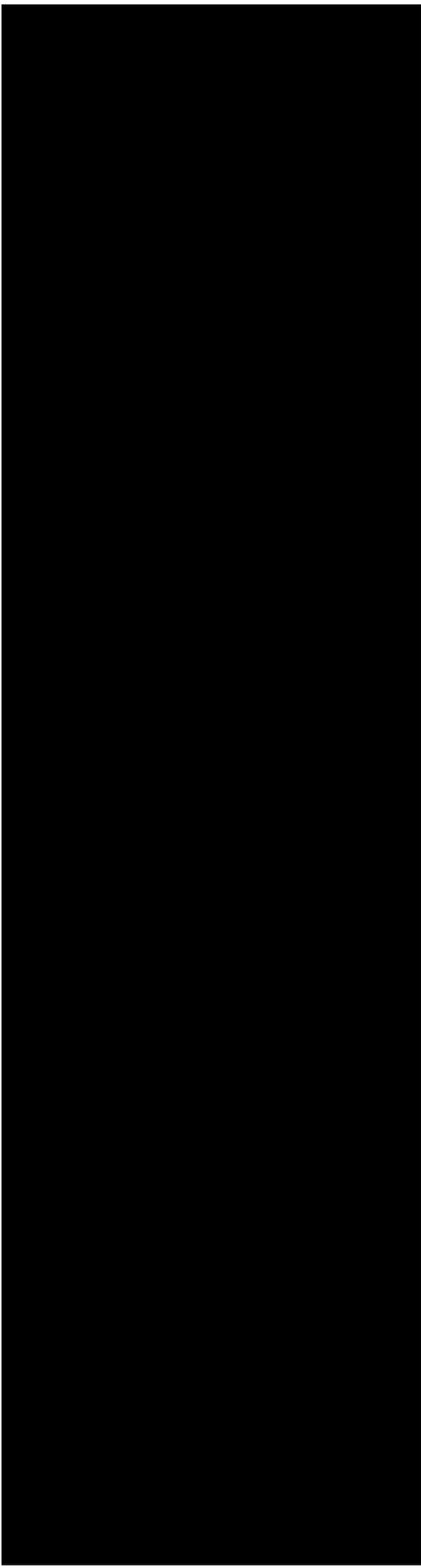
Key Activities or Phases

Activities/Phase	Owner



Risk Assessment





Review

Role	Name	Date
Technical Review	[REDACTED]	06/06/2024
Commercial Review	[REDACTED]	06/06/2024