



# Invitation to Quote

Invitation to Quote (ITQ) on behalf of **UK Research and Innovation (UKRI)**

Subject: **Productivity Research Workshop**  
Sourcing Reference Number: **CS18061**



**UK Shared Business Services Ltd (UK SBS)**  
[www.ukpbs.co.uk](http://www.ukpbs.co.uk)

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# Section 1 – About UK Shared Business Services

## Putting the business into shared services

UK Shared Business Services Ltd (UK SBS) brings a commercial attitude to the public sector; helping our Contracting Authorities improve efficiency, generate savings and modernise.

It is our vision to become the leading service provider for the Contracting Authorities of shared business services in the UK public sector, continuously reducing cost and improving quality of business services for Government and the public sector.

Our broad range of expert services is shared by our Contracting Authorities. This allows Contracting Authorities the freedom to focus resources on core activities; innovating and transforming their own organisations.

Core services include Procurement, Finance, Grants Admissions, Human Resources, Payroll, ISS, and Property Asset Management all underpinned by our Service Delivery and Contact Centre teams.

UK SBS is a people rather than task focused business. It's what makes us different to the traditional transactional shared services centre. What is more, being a not-for-profit organisation owned by the Department for Business, Energy & Industrial Strategy (BEIS), UK SBS' goals are aligned with the public sector and delivering best value for the UK taxpayer.

UK Shared Business Services Ltd changed its name from RCUK Shared Services Centre Ltd in March 2013.

## Our Customers

Growing from a foundation of supporting the Research Councils, 2012/13 saw Business, Energy and Industrial Strategy (BEIS) transition their procurement to UK SBS and Crown Commercial Services (CCS – previously Government Procurement Service) agree a Memorandum of Understanding with UK SBS to deliver two major procurement categories (construction and research) across Government.

UK SBS currently manages £700m expenditure for its Contracting Authorities. Our Contracting Authorities who have access to our services and Contracts are detailed [here](#).

## **Privacy Statement**

At UK Shared Business Services (UK SBS) we recognise and understand that your privacy is extremely important and we want you to know exactly what kind of information we collect about you and how we use it.

This privacy notice link below details what you can expect from UK SBS when we collect your personal information.

- We will keep your data safe and private.
- We will not sell your data to anyone.

- We will only share your data with those you give us permission to share with and only for legitimate service delivery reasons.

<https://www.uksbs.co.uk/use/pages/privacy.aspx>

For details on how the Contracting Authority protect and process your personal data please follow the link below:

<https://www.ukri.org/privacy-notice/>

## Section 2 – About the Contracting Authority

### UK Research and Innovation

Operating across the whole of the UK and with a combined budget of more than £6 billion, UK Research and Innovation represents the largest reform of the research and innovation funding landscape in the last 50 years.

As an independent non-departmental public body UK Research and Innovation brings together the seven Research Councils (AHRC, BBSRC, EPSRC, ESRC, MRC, NERC, STFC) plus Innovate UK and a new organisation, Research England.

UK Research and Innovation ensures the UK maintains its world-leading position in research and innovation. This is done by creating the best environment for research and innovation to flourish.

For more information, please visit: [www.ukri.org](http://www.ukri.org)

### Economic and Social Research Council (ESRC)

ESRC is the UK's largest funder of research on the social and economic questions facing us today. Their research shapes public policy and contributes to making the economy more competitive, as well as giving people a better understanding of 21st century society.

<https://esrc.ukri.org/>

## Section 3 - Working with UK Research and Innovation (UKRI)

In this section you will find details of your Procurement contact point and the timescales relating to this opportunity.

| Section 3 – Contact details |   |  |
|-----------------------------|---|--|
| 3.1                         | Contracting Authority Name and address                | UK Research and Innovation (UKRI)  |
| 3.2                         | Buyer name  | Liz Vincent  |
| 3.3                         | Buyer contact details                                 | professionalservices@uksbs.co.uk   |
| 3.4                         | Estimated value of the Opportunity                    | £10,000  |
| 3.5                         | Process for the submission of clarifications and Bids | <b>All correspondence shall be submitted within the Emptoris e-sourcing tool. Guidance Notes to support the use of Emptoris is available <a href="#">here</a>. Please note submission of a Bid to any email address including the Buyer <u>will</u> result in the Bid <u>not</u> being considered.</b> |

| Section 3 - Timescales |  |                     |
|------------------------|--|---------------------|
| 3.6                    | Date of Issue of Contract Advert and location of original Advert                                       | 10/12/2018          |
| 3.7                    | Latest date/time ITQ clarification questions shall be received through Emptoris messaging system       | 17/12/2018          |
| 3.8                    | Latest date/time ITQ clarification answers should be sent to all Bidders by the Buyer through Emptoris | 19/12/2018<br>14:00 |
| 3.9                    | Latest date/time ITQ Bid shall be submitted through Emptoris   | 28/12/2018<br>14:00 |
| 3.10                   | Anticipated notification date of successful and unsuccessful Bids                                      | 11/01/2019          |
| 3.11                   | Anticipated Award date   | 11/01/2019          |
| 3.12                   | Anticipated Contract Start date  | 18/01/2019          |
| 3.13                   | Anticipated Contract End date  | 28/02/2019          |
| 3.14                   | Bid Validity Period  | 60 Days             |

## Section 4 – Specification

### 1. Introduction

Design and delivery of a two day workshop which is intended to provide a space for academic researchers to generate ideas for productivity research. These will need to reach an agreed level of maturity by the end of the second day when they will be evaluated as to their suitability to be invited to submit full proposals for funding from ESRC. ESRC intends to handle communications with participants up to the point of arriving at the venue. The workshop will take place in Birmingham at Edgbaston Cricket Ground on the on 05 and 06 February 2019.

Delivery to include specialist facilitation including idea generation support plus liaison on the two days with the venue and all aspects of workshop delivery including registration, handling and addressing enquiries and complaints from participants, inviting feedback during the two days and adjusting workshop format as appropriate, briefing participants during event and conducting and reporting post workshop feedback. It should be assumed that ESRC staff will not be available for these activities. At the end of the workshop, it is intended that invitations are extended for the “owners” (small groups that have been formed at the workshop) of the strongest ideas to submit full proposal for research council funding.

The workshop will consist of a maximum of 25 academic researchers at varying career stages, working together for the first time. They will be joined by circa 5 mentors who will help them develop their proposals plus ESRC staff. Some may have met each other but it should be assumed that they are not acquainted. Many are likely to come with their own ideas for research which they wish to pursue, so encouragement will be needed to build new ideas/blend existing ideas together.

### 2. Aims & Objectives

Through design, facilitation and practical operation of the workshop, the suppliers will create a comfortable yet challenging space for academic researchers to generate, combine, develop and present ideas for new productivity research. The suppliers will select and use techniques to enable the following:

- Build relationships between workshop participants, workshop delivery team including mentors,
- Clarification of the challenges that researchers are being asked to respond to,
- Encourage small group formation,
- Generate ideas for new research that responds to the challenges presented at the workshop,
- Enable joint working to develop ideas into proposals,
- Delivery of constructive feedback on initial ideas and as they mature during the workshop,
- Presentation of mature proposals by teams (or individuals) formed during the workshop,

### 3. Objectives

1. To encourage participants to share their own ideas with others,
2. To encourage participants to create new ideas, and being willing to adapt or change their initial idea substantially,
3. To encourage participants to find opportunities to build ideas that result in collaborations between participants,
4. To encourage participants to develop their ideas into well defined proposals during the workshop,
5. To encourage participants to engage with mentors constructively,

To enable mentors to provide advice to participants on progressing their ideas, and also to the ESRC on the value and strength of proposals being developed and their suitability to invite for full funding.

#### **4. Background to the Requirement**

ESRC has secured substantial funding to invest in new productivity research, with a UK focus and wishes to provide researchers (mainly social scientists who will be joined by other non social science researchers) with a focused opportunity to create high quality proposals, by convening a group of researchers to work collectively to develop research ideas. Researchers will either be leading or playing a key role in current large research, knowledge exchange or resources (methods, data infrastructures) investments funded by ESRC.

Productivity research is a new and growing area for ESRC which has the highest level of support within the Council and UKRI as a whole. At least three staff from ESRC will be attending the workshop and will have been involved in the process of selecting the participants and mentors and will be responsible for inviting participants who develop strong enough ideas at the workshop to submit full proposals for review after the event.

#### **5. Scope**

Participants (up to 25) plus mentors (independent experts with knowledge of the domain) will be recruited by the client, as will the venue.

Workshop design:

Suggest approaches for the workshop to the client, recommending options and describing the practical and facilitation requirements clearly in a session plan,

Clearly describe the content and method of delivering techniques used in the workshop,

Workshop delivery:

The suppliers will be required to:

- a) Set up the venue (room layouts, banners, all materials) well before the start of the workshop,
- b) Greet, register, direct and answer questions from participants,
- c) Receive and collate presenter slides (electronic),
- d) Maintain tidiness throughout the event, replenishing materials regularly,
- e) Be available the evening of Day 1 and before the beginning of Day 2 activities for briefing and feedback with ESRC,
- f) Set down the venue after the workshop has finished.

After the workshop:

Deploy a feedback and evaluation tool at the event, and undertake analysis of the results after the workshop.

#### **6. Requirement**

- Clarification of the brief with the client,
- Development of a draft and final workshop session plan, listing objectives, intended outcomes, techniques and methods for realising outcomes, timings,
- Consult the client on the session plan and integrate changes,
- Provide sufficient specialist expertise, with experience of facilitating group workshops in the UK university sector, to deliver the two day workshop,
- Provide sufficient operational expertise to manage the practical aspects of the workshop (from the point of participants arriving at the venue to leaving the venue).

The ESRC will be able to share and re-use the session plan and supporting resources after the workshop itself.

#### **Terms and Conditions**

Bidders are to note that any requested modifications to the Contracting Authority Terms and Conditions on the grounds of statutory and legal matters only, shall be raised as a formal clarification during the permitted clarification period.

## Section 5 – Evaluation model

The evaluation model below shall be used for this ITQ, which will be determined to two decimal places.

Where a question is 'for information only' it will not be scored.

The evaluation team may comprise staff from UK SBS and the Contracting Authority and any specific external stakeholders the Contracting Authority deems required. After evaluation the scores will be finalised by performing a calculation to identify (at question level) the mean average of all evaluators (Example – a question is scored by three evaluators and judged as scoring 5, 5 and 6. These scores will be added together and divided by the number of evaluators to produce the final score of 5.33 ( $5+5+6 = 16 \div 3 = 5.33$ ))

| Pass / fail criteria |         |   |
|----------------------|---------|---|
| Questionnaire        | Q No.   | Question subject  |
| Commercial           | SEL1.2  | Employment breaches/ Equality                                 |
| Commercial           | FOI1.1  | Freedom of Information Exemptions                             |
| Commercial           | AW1.1   | Form of Bid   |
| Commercial           | AW1.3   | Certificate of Bona Fide Bid                                  |
| Commercial           | AW3.1   | Validation check  |
| Commercial           | SEL3.11 | Compliance to Section 54 of the Modern Slavery Act            |
| Commercial           | AW4.1   | Contract Terms Part 1   |
| Commercial           | AW4.2   | Contract Terms Part 2   |
| Commercial           | AW6.4   | Non-Disclosure Agreement                                      |
| Price                | AW5.5   | E Invoicing   |
| Price                | AW5.6   | Implementation of E-Invoicing                                 |
| Quality              | AW6.1   | Compliance to the Specification                               |
| Quality              | AW6.2   | Variable Bids   |
| -                    | -       | Invitation to Quote – received on time within e-sourcing tool |

## Scoring criteria

### Evaluation Justification Statement

In consideration of this particular requirement the Contracting Authority has decided to evaluate Potential Providers by adopting the weightings/scoring mechanism detailed within this ITQ. The Contracting Authority considers these weightings to be in line with existing best practice for a requirement of this type.

| <b>Questionnaire</b> | <b>Q No.</b> | <b>Question subject</b>                | <b>Maximum Marks</b> |
|----------------------|--------------|--|----------------------|
| Price                | AW5.2        | Price                                  | 20%                  |
| Quality              | PROJ1.1      | Understanding                          | 30%                  |
| Quality              | PROJ1.2      | Project Plan and Timescales            | 20%                  |
| Quality              | PROJ1.3      | Risk Management                        | 10%                  |
| Quality              | PROJ1.4      | Project Team and Capability to Deliver | 20%                  |

## Evaluation of criteria

### Non-Price elements

Each question will be judged on a score from 0 to 100, which shall be subjected to a multiplier to reflect the percentage of the evaluation criteria allocated to that question.

Where an evaluation criterion is worth 20% then the 0-100 score achieved will be multiplied by 20%.

Example if a Bidder scores 60 from the available 100 points this will equate to 12% by using the following calculation:

$$\text{Score} = \{\text{weighting percentage}\} \times \{\text{bidder's score}\} = 20\% \times 60 = 12$$

The same logic will be applied to groups of questions which equate to a single evaluation criterion.

The 0-100 score shall be based on (unless otherwise stated within the question):

|     |  |
|-----|--|
| 0   | The Question is not answered, or the response is completely unacceptable.  |
| 10  | Extremely poor response – they have completely missed the point of the question.   |
| 20  | Very poor response and not wholly acceptable. Requires major revision to the response to make it acceptable. Only partially answers the requirement, with major deficiencies and little relevant detail proposed.  |
| 40  | Poor response only partially satisfying the selection question requirements with deficiencies apparent. Some useful evidence provided but response falls well short of expectations. Low probability of being a capable supplier.  |
| 60  | Response is acceptable but remains basic and could have been expanded upon. Response is sufficient but does not inspire.   |
| 80  | Good response which describes their capabilities in detail which provides high levels of assurance consistent with a quality provider. The response includes a full description of techniques and measurements currently employed.   |
| 100 | Response is exceptional and clearly demonstrates they are capable of meeting the requirement. No significant weaknesses noted. The response is compelling in its description of techniques and measurements currently employed, providing full assurance consistent with a quality provider. |

All questions will be scored based on the above mechanism. Please be aware that the final score returned may be different as there may be multiple evaluators and their individual scores will be averaged (mean) to determine your final score.

### Example

Evaluator 1 scored your bid as 60

Evaluator 2 scored your bid as 60

Evaluator 3 scored your bid as 40

Evaluator 4 scored your bid as 40

Your final score will  $(60+60+40+40) \div 4 = 50$

**Price elements** will be judged on the following criteria.

The lowest price for a response which meets the pass criteria shall score 100.

All other bids shall be scored on a pro rata basis in relation to the lowest price. The score is then subject to a multiplier to reflect the percentage value of the price criterion.

For example - Bid 1 £100,000 scores 100.

Bid 2 £120,000 differential of £20,000 or 20% remove 20% from price scores 80

Bid 3 £150,000 differential £50,000 remove 50% from price scores 50.

Bid 4 £175,000 differential £75,000 remove 75% from price scores 25.

Bid 5 £200,000 differential £100,000 remove 100% from price scores 0.

Bid 6 £300,000 differential £200,000 remove 100% from price scores 0.

Where the scoring criterion is worth 50% then the 0-100 score achieved will be multiplied by 50.

In the example if a supplier scores 80 from the available 100 points this will equate to 40% by using the following calculation:  $\text{Score/Total Points} \times 50$  ( $80/100 \times 50 = 40$ )

The lowest score possible is 0 even if the price submitted is more than 100% greater than the lowest price.

## **Section 6 – Evaluation questionnaire**

Bidders should note that the evaluation questionnaire is located within the **e-sourcing questionnaire**.

**Guidance on completion of the questionnaire is available at <http://www.uksbs.co.uk/services/procure/Pages/supplier.aspx>**

**PLEASE NOTE THE QUESTIONS ARE NOT NUMBERED SEQUENTIALLY**

## Section 7 – General Information

### What makes a good bid – some simple do's 😊

#### DO:

- 7.1 Do comply with Procurement document instructions. Failure to do so may lead to disqualification.
- 7.2 Do provide the Bid on time, and in the required format. Remember that the date/time given for a response is the last date that it can be accepted; we are legally bound to disqualify late submissions. Responses received after the date indicated in the ITQ shall not be considered by the Contracting Authority, unless the Bidder can justify that the reason for the delay, is solely attributable to the Contracting Authority
- 7.3 Do ensure you have read all the training materials to utilise e-sourcing tool prior to responding to this Bid. If you send your Bid by email or post it will be rejected.
- 7.4 Do use Microsoft Word, PowerPoint Excel 97-03 or compatible formats, or PDF unless agreed in writing by the Buyer. If you use another file format without our written permission, we may reject your Bid.
- 7.5 Do ensure you utilise the Emptoris messaging system to raise any clarifications to our ITQ. You should note that we will release the answer to the question to all Bidders and where we suspect the question contains confidential information we may modify the content of the question to protect the anonymity of the Bidder or their proposed solution
- 7.6 Do answer the question, it is not enough simply to cross-reference to a 'policy', web page or another part of your Bid, the evaluation team have limited time to assess bids and if they can't find the answer, they can't score it.
- 7.7 Do consider who the Contracting Authority is and what they want – a generic answer does not necessarily meet every Contracting Authority's needs.
- 7.8 Do reference your documents correctly, specifically where supporting documentation is requested e.g. referencing the question/s they apply to.
- 7.9 Do provide clear, concise and ideally generic contact details; telephone numbers, e-mails and fax details.
- 7.10 Do complete all questions in the questionnaire or we may reject your Bid.
- 7.11 Do ensure that the Response and any documents accompanying it are in the English Language, the Contracting Authority reserve the right to disqualify any full or part responses that are not in English.
- 7.12 Do check and recheck your Bid before dispatch.

## What makes a good bid – some simple do not's

### DO NOT

- 7.13 Do not cut and paste from a previous document and forget to change the previous details such as the previous buyer's name.
- 7.14 Do not attach 'glossy' brochures that have not been requested, they will not be read unless we have asked for them. Only send what has been requested and only send supplementary information if we have offered the opportunity so to do.
- 7.15 Do not share the Procurement documents, they are confidential and should not be shared with anyone without the Buyers written permission.
- 7.16 Do not seek to influence the procurement process by requesting meetings or contacting UK SBS or the Contracting Authority to discuss your Bid. If your Bid requires clarification the Buyer will contact you. All information secured outside of formal Buyer communications shall have no Legal standing or worth and should not be relied upon.
- 7.17 Do not contact any UK SBS staff or the Contracting Authority staff without the Buyers written permission or we may reject your Bid.
- 7.18 Do not collude to fix or adjust the price or withdraw your Bid with another Party as we will reject your Bid.
- 7.19 Do not offer UK SBS or the Contracting Authority staff any inducement or we will reject your Bid.
- 7.20 Do not seek changes to the Bid after responses have been submitted and the deadline for Bids to be submitted has passed.
- 7.21 Do not cross reference answers to external websites or other parts of your Bid, the cross references and website links will not be considered.
- 7.22 Do not exceed word counts, the additional words will not be considered.
- 7.23 Do not make your Bid conditional on acceptance of your own Terms of Contract, as your Bid will be rejected.
- 7.24 Do not unless explicitly requested by the Contracting Authority either in the procurement documents or via a formal clarification from the Contracting Authority send your response by any way other than via e-sourcing tool. Responses received by any other method than requested will not be considered for the opportunity.

## Some additional guidance notes

- 7.25 All enquiries with respect to access to the e-sourcing tool and problems with functionality within the tool must be submitted to Crown Commercial Service (previously Government Procurement Service), Telephone 0345 010 3503.
- 7.26 Bidders will be specifically advised where attachments are permissible to support a question response within the e-sourcing tool. Where they are not permissible any attachments submitted will not be considered as part of the evaluation process.
- 7.27 Question numbering is not sequential and all questions which require submission are included in the Section 6 Evaluation Questionnaire.
- 7.28 Any Contract offered may not guarantee any volume of work or any exclusivity of supply.
- 7.29 We do not guarantee to award any Contract as a result of this procurement
- 7.30 All documents issued or received in relation to this procurement shall be the property of the Contracting Authority. / UKSBS.
- 7.31 We can amend any part of the procurement documents at any time prior to the latest date / time Bids shall be submitted through Emptoris.
- 7.32 If you are a Consortium you must provide details of the Consortiums structure.
- 7.33 Bidders will be expected to comply with the Freedom of Information Act 2000 or your Bid will be rejected.
- 7.34 Bidders should note the Government's transparency agenda requires your Bid and any Contract entered into to be published on a designated, publicly searchable web site. By submitting a response to this ITQ Bidders are agreeing that their Bid and Contract may be made public
- 7.35 Your bid will be valid for 60 days or your Bid will be rejected.
- 7.36 Bidders may only amend the contract terms during the clarification period only, only if you can demonstrate there is a legal or statutory reason why you cannot accept them. If you request changes to the Contract terms without such grounds and the Contracting Authority fail to accept your legal or statutory reason is reasonably justified, we may reject your Bid.
- 7.37 We will let you know the outcome of your Bid evaluation and where requested will provide a written debrief of the relative strengths and weaknesses of your Bid.
- 7.38 If you fail mandatory pass / fail criteria we will reject your Bid.
- 7.39 Bidders are required to use IE8, IE9, Chrome or Firefox in order to access the functionality of the Emptoris e-sourcing tool.
- 7.40 Bidders should note that if they are successful with their proposal the Contracting Authority reserves the right to ask additional compliancy checks prior to the award of any Contract. In the event of a Bidder failing to meet one of the compliancy checks

the Contracting Authority may decline to proceed with the award of the Contract to the successful Bidder.

- 7.41 All timescales are set using a 24-hour clock and are based on British Summer Time or Greenwich Mean Time, depending on which applies at the point when Date and Time Bids shall be submitted through Emptoris.
- 7.42 All Central Government Departments and their Executive Agencies and Non-Departmental Public Bodies are subject to control and reporting within Government. In particular, they report to the Cabinet Office and HM Treasury for all expenditure. Further, the Cabinet Office has a cross-Government role delivering overall Government policy on public procurement - including ensuring value for money and related aspects of good procurement practice.

For these purposes, the Contracting Authority may disclose within Government any of the Bidders documentation/information (including any that the Bidder considers to be confidential and/or commercially sensitive such as specific bid information) submitted by the Bidder to the Contracting Authority during this Procurement. The information will not be disclosed outside Government. Bidders taking part in this ITQ consent to these terms as part of the competition process.

- 7.43 The Government introduced its new Government Security Classifications (GSC) classification scheme on the 2<sup>nd</sup> April 2014 to replace the current Government Protective Marking System (GPMS). A key aspect of this is the reduction in the number of security classifications used. All Bidders are encouraged to make themselves aware of the changes and identify any potential impacts in their Bid, as the protective marking and applicable protection of any material passed to, or generated by, you during the procurement process or pursuant to any Contract awarded to you as a result of this tender process will be subject to the new GSC. The link below to the Gov.uk website provides information on the new GSC:

<https://www.gov.uk/government/publications/government-security-classifications>

The Contracting Authority reserves the right to amend any security related term or condition of the draft contract accompanying this ITQ to reflect any changes introduced by the GSC. In particular where this ITQ is accompanied by any instructions on safeguarding classified information (e.g. a Security Aspects Letter) as a result of any changes stemming from the new GSC, whether in respect of the applicable protective marking scheme, specific protective markings given, the aspects to which any protective marking applies or otherwise. This may relate to the instructions on safeguarding classified information (e.g. a Security Aspects Letter) as they apply to the procurement as they apply to the procurement process and/or any contracts awarded to you as a result of the procurement process.

#### **USEFUL INFORMATION LINKS**

- [Emptoris Training Guide](#)
- [Emptoris e-sourcing tool](#)
- [Contracts Finder](#)
- [Equalities Act introduction](#)
- [Bribery Act introduction](#)
- [Freedom of information Act](#)