Attachment 6 – Participation Requirements & Selection Questionnaire

**CONTENTS**

1. [Attachment 6 – Participation Requirements & Selection Questionnaire 1](#_Toc482865203)

2. [Attachment 6 – Standard Selection Questionnaire 2](#_Toc482865204)

3. [Part 1: Potential Provider Information 3](#_Toc482865205)

4. [Part 2: Exclusion Grounds 9](#_Toc482865206)

5. [Part 3: Selection Questions 13](#_Toc482865207)

Attachment 6 – Standard Selection Questionnaire

This procurement exercise will follow the principals of the Light Touch Regime (LTR) as stated in section 1.5 and 3.1 ‘*Attachment 1 - Invitation to Tender*’, and in accordance with the LTR we will evaluate your responses using the selection criteria, guidance and scoring matrix set out in ‘*Attachment 5- Evaluation Guidance’*

**Potential Provider Information and Exclusion Grounds: Part 1 and Part 2**

The standard Selection Questionnaire is a self-declaration, made by you that you do not meet any of the grounds for exclusion[[1]](#footnote-2). If there are grounds for exclusion, there is an opportunity to explain the background and any measures you have taken to rectify the situation (we call this self-cleaning).

A completed declaration of Part 1 and Part 2 provides a formal statement that the organisation making the declaration has not breached any of the exclusions grounds. Consequently we require all the organisations that you will rely on to meet the selection criteria to provide a completed Part 1 and Part 2. For example these could be parent companies, affiliates, associates, or essential sub-contractors, if they are relied upon to meet the selection criteria. This means that where you are joining in a group of organisations, including joint ventures and partnerships, each organisation in that group must complete one of these self-declarations. Sub-contractors that you rely on to meet the selection criteria must also complete a self-declaration (although sub-contractors that are not relied upon do not need to complete the self-declaration).

When completed, this form is to be sent back to the contact point given in the procurement documents along with the selection information requested in the procurement documentation.

**Supplier Selection Questions: Part 3**

 If you are bidding on behalf of a group (consortium) or you intend to use sub-contractors, you should complete all of the selection questions on behalf of the consortium and/or any sub-contractors.

If the relevant documentary evidence referred to in the Selection Questionnaire is not provided upon request and without delay we reserve the right to amend the contract award decision and award to the next compliant bidder.

**Consequences of misrepresentation**

If you seriously misrepresent any factual information in filling in the Selection Questionnaire, and so induce an authority to enter into a contract, there may be significant consequences. You may be excluded from the procurement procedure, and from bidding for other contracts for three years. If a contract has been entered into you may be sued for damages and the contract may be rescinded. If fraud, or fraudulent intent, can be proved, you or your responsible officers may be prosecuted and convicted of the offence of fraud by false representation, and you must be excluded from further procurements for five years.

**Notes for completion**

1. The “authority” means the contracting authority, or anyone acting on behalf of the contracting authority, that is seeking to invite suitable candidates to participate in this procurement process.

“You” / “Your” refers to the potential provider completing this standard Selection Questionnaire i.e. the legal entity responsible for the information provided. The term “potential provider” is intended to cover any economic operator as defined by the Public Contracts Regulations 2015 (referred to as the “regulations”) and could be a registered company; the lead contact for a group of economic operators; charitable organisation; Voluntary Community and Social Enterprise (VCSE); Special Purpose Vehicle; or other form of entity.

1. Please ensure that all questions are completed in full, and in the format requested. If the question does not apply to you, please state ‘N/A’. Should you need to provide additional information in response to the questions, please submit a clearly identified annex.
2. We recognise that arrangements set out in section 1.2 of the standard Selection Questionnaire, in relation to a group of economic operators (for example, a consortium) and/or use of sub-contractors, may be subject to change and will, therefore, not be finalised until a later date. The lead contact should notify us immediately of any change in the proposed arrangements and ensure a completed Part 1 and Part 2 is submitted for any new organisation relied on to meet the selection criteria. We will make a revised assessment of the submission based on the updated information.
3. For Part 1 and Part 2 every organisation that is being relied on to meet the selection criteria must complete and submit the self-declaration.
4. For answers to Part 3 - If you are bidding on behalf of a group, for example, a consortium, or you intend to use sub-contractors, you should complete all of the questions on behalf of the consortium and/or any sub-contractors, providing one single, composite response and declaration.

## The authority confirms that it will keep confidential and will not disclose to any third parties any information obtained from a named customer contact, other than to the Cabinet Office and/or contracting authorities defined by the regulations, or pursuant to an order of the court or demand made by any competent authority or body where the authority is under a legal or regulatory obligation to make such a disclosure.

****Part 1: Potential Provider Information****

**1.1. Potential Provider details**

Please complete the following information:

|  |  |
| --- | --- |
| **Section 1** | **Potential Provider Information** |
| Question number | Question | Response |
| SQ1.1(a) | Full name of the Potential Provider submitting the information |       |
| SQ1.1(b)-(i) | Registered office address (if applicable) |       |
| SQ1.1(b)-(ii) | Registered website address (if applicable) |       |
| SQ1.1(c)-(i) | Trading status:public limited companylimited company limited liability partnership other partnership sole traderthird sector OTHER (please specify)If you responded OTHER, please specify, in the following text field, your trading status. | [ ]  [ ]  [ ]  [ ]  [ ]  [ ]  [ ]        |
| SQ1.1(d) | Date of registration in country of origin |       |
| SQ1.1(e) | Company registration number (if applicable) |       |
| SQ1.1(f) | Charity registration number (if applicable) |       |
| SQ1.1(g) | Head office DUNS number |       |
| SQ1.1(h) | Registered VAT number |       |
| SQ1.1(i)-(i) | If applicable, is your organisation registered with the appropriate professional or trade register(s) in the member state where it is established? | Yes [ ]  No [ ] N/A [ ]  |
| SQ1.1(i)-(ii) | If you responded Yes to SQ1.1(i)-(i), please provide the relevant details, including the registration number(s). |       |
| SQ1.1(j)-(i) | Is it a legal requirement in the state where you are established for you to possess a particular authorisation or be a member of a particular organisation in order to provide the services specified in this procurement? | Yes [ ]  No [ ]  |
| SQ1.1(j)-(ii) | If you responded Yes to SQ1.1(j)-(i), please provide additional details within this box of what is required and confirmation that you have complied with this. |       |
| SQ1.1(k) | Trading name(s) that will be used if successful in this procurement. |       |
| SQ1.1(l) | Relevant classifications (state whether you fall within one of these, and if so which one)Voluntary Community Social Enterprise (VCSE)Sheltered WorkshopPublic service mutual | [ ]  [ ]  [ ]   |
| SQ1.1(m) | Are you a Small Medium Enterprise (SME)[[2]](#footnote-3)? | Yes [ ]  No [ ]  |
| SQ1.1(n) | Details of Persons of Significant Control (PSC),[[3]](#footnote-4) where appropriate: * Name;
* Date of birth;
* Nationality;
* Country, state or part of the UK where the PSC usually lives;
* Service address;
* The date he or she became a PSC in relation to the company (for existing companies the 6 April 2016 should be used);
* Which conditions for being a PSC are met;
	+ Over 25% up to (and including) 50%,
	+ More than 50% and less than 75%,
	+ 75% or more.[[4]](#footnote-5)

(Please enter N/A if not applicable) |       |
| SQ1.1(o) | Details of immediate parent company:* Full name of the immediate parent company
* Registered office address (if applicable)
* Registration number (if applicable)
* Head office DUNS number (if applicable)
* Head Office VAT number (if applicable)

[Please enter N/A if not applicable] |       |
| SQ1.1(p) | Details of ultimate parent company:* Full name of the ultimate parent company
* Registered office address (if applicable)
* Registration number (if applicable)
* Head office DUNS number (if applicable)
* Head Office VAT number (if applicable)

(Please enter N/A if not applicable) |       |

Please note: A criminal record check for relevant convictions may be undertaken for the preferred suppliers and the persons of significant in control of them.

**1.2. Bidding Model**

Please provide the following information about your approach to this procurement:

|  |  |
| --- | --- |
|  | **Bidding Model** |
| Question number | Question | Response |
| SQ1.2(a) - (i) | Are you bidding as the lead contact for a group of economic operators? | Yes [ ]  No [ ]  (If Yes, please provide details listed in questions 1.2(a) (ii), (a) (iii) and to 1.2(b) (i), (b) (ii), 1.3, Section 2 and 3.If no, and you are a supporting bidder please provide the name of your group at 1.2(a) (ii) for reference purposes, and complete 1.3, Section 2 and 3.) |
| SQ1.2(a) - (ii) | Name of group of economic operators (if applicable) |       |
| SQ1.2(a) - (iii) | Proposed legal structure if the group of economic operators intends to form a named single legal entity prior to signing a contract, if awarded. If you do not propose to form a single legal entity, please explain the legal structure |       |
| SQ1.2(b) - (i) | Are you or, if applicable, the group of economic operators proposing to use sub-contractors? | Yes [ ]  No [ ]  |
| SQ1.2(b) - (ii) | If you responded Yes to SQ1.2(b) - (i), please provide additional details for each sub-contractor. Details can be provided in the box below or, if multiple sub-contractors are proposed, on a separate sheet (clearly referencing SQ1.2(b)-(ii)We my ask them to complete this form as well.* Name
* Registered address
* Trading status
* Company registration number
* Head Office DUNS number
* Registered VAT number
* Type of organisation
* SME (Yes/No)
* The role each sub-contractor will take in providing the works and /or supplies e.g. key deliverables
* The approximate % of contractual obligations assigned to each Sub-Contractor
 |

**1.3. This Section is Not Used**

Please complete the following information:

|  |  |
| --- | --- |
|  | **Bidding Model** |
| Question number | Question | Response |
| SQ1.3(a) | Please indicate which Lot(s) you are tendering for by selecting the relevant option from the drop down menu:[Insert Lot numbers and descriptorsLot 1:Lot 2] | **NOT USED** |
| SQ1.3(b) | [**Guidance Note**: use this section to cross refer to the part of the ITT the decision as to how lots are awarded is set out. The question should be reworded to meet specific requirements]The procedure for awarding lots is set out in [insert reference]Please rank the Lots for which you are tendering, listed in your response to SQ1.3(a), in your order of preference (starting from your most preferred Lot and ending with your least preferred Lot).This information will only be used where a Potential Provider is successful in more than [insert number] Lots. The Potential Provider will be awarded a maximum of only [insert number] Lots.(Guidance Note: Please state “N/A” if you are using a Lot structure but this question does not apply). | **NOT USED** |

**1.4. Participation Requirements**

|  |  |
| --- | --- |
|  | **Participation Requirements** |
| Question number | Question | Response |
| SQ1.4(a) | I declare that to the best of my knowledge the answers submitted and information contained in this document are correct and accurate.  | Yes [ ]  No [ ]  |
| SQ1.4(b) | I declare that, upon request and without delay I will provide the certificates or documentary evidence referred to in this document.  | Yes [ ]  No [ ]  |
| SQ1.4(c) | I understand that the information will be used in the selection process to assess my organisation’s suitability to be invited to participate further in this procurement.  | Yes [ ]  No [ ]  |
| SQ1.4(d) | I accept and confirm that we, including named Sub-Contractors and all Group of Economic Operators members, comply with the *‘Terms of Participation’* in Attachment 2 | Yes [ ]  No [ ]  |
| SQ1.4(e) | I understand that the authority may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section. | Yes [ ]  No [ ]  |
| SQ1.4(f) | I accept the draft Terms and Conditions as set out in the ‘*Contract for the Provision of Services’* in Attachment 4 | Yes [ ]  No [ ]  |
| SQ1.4(g) | I have read and understood the Authority’s [BMS e-Tendering Manual](https://www.gov.uk/government/organisations/department-of-health/about/procurement), | Yes [ ]  No [ ]  |

**1.5. Contact Details and Declaration**

|  |  |
| --- | --- |
| **Section 1** | **Contact details and declaration** |
| Question number | Question | Response |
| SQ1.5(a) | Contact name |       |
| SQ1.5(b) | Name of organisation |       |
| SQ1.5(c) | Role in organisation |       |
| SQ1.5(d) | Phone number |       |
| SQ1.5(e) | E-mail address  |       |
| SQ1.5(f) | Postal address |       |
| SQ1.5(g) | Signature (electronic is acceptable) |       |
| SQ1.5(h) | Date |       |

Part 2: Exclusion Grounds

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection criteria must complete and submit the Part 1 and Part 2 self-declaration.

**2. Grounds for Mandatory Exclusion**

|  |  |
| --- | --- |
| **Section 2** | **Grounds for Mandatory Exclusion** |
| Question number | Question | Response |
| SQ2.1(a) | **Regulations 57(1) and (2)** The detailed grounds for mandatory exclusion of an organisation are set out on this [webpage](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf), which should be referred to before completing these questions. Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation been convicted anywhere in the world of any of the offences within the summary below and listed on the [webpage](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf). |
| Participation in a criminal organisation | Yes [ ]  No [ ] If Yes please provide details at 2.1(b) |
| Corruption | Yes [ ]  No [ ] If Yes please provide details at 2.1(b) |
| Fraud | Yes [ ]  No [ ] If Yes please provide details at 2.1(b) |
| Terrorist offences or offences linked to terrorist activities  | Yes [ ]  No [ ] If Yes please provide details at 2.1(b) |
| Money laundering or terrorist financing | Yes [ ]  No [ ] If Yes please provide details at 2.1(b) |
| Child labour and other forms of trafficking in human beings | Yes [ ]  No [ ] If Yes please provide details at 2.1(b) |
| SQ2.1(b) | If you have answered yes to question 2.1(a), please provide further details.* Date of conviction, specify which of the grounds listed the conviction was for, and the reasons for conviction,
* Identity of who has been convicted
* If the relevant documentation is available electronically please provide the web address, issuing authority, precise reference of the documents.
 |       |
| SQ2.2 | If you have answered Yes to any of the points above have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self Cleaning) | Yes [ ]  No [ ]  |
| SQ2.3(a) | **Regulation 57(3)**Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions? | Yes [ ]  No [ ]  |
| SQ2.3(b) | If you have answered yes to question 2.3(a), please provide further details. Please also confirm you have paid, or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines. |       |

**Please Note: We reserve the right to use our discretion to exclude a Potential Provider where we can demonstrate by any appropriate means that the Potential Provider is in breach of its obligations relating to the non-payment of taxes or social security contributions.**

**3. Grounds for Discretionary Exclusion**

|  |  |
| --- | --- |
| **Section 3**  | **Grounds for discretionary exclusion**  |
| Question number | Question | Response |
| SQ3.1 | **Regulation 57(8)**The detailed grounds for discretionary exclusion of an organisation are set out on this [webpage](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf), which should be referred to before completing these questions. Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation. |
| SQ3.1(a) | Breach of environmental obligations?  | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(b) | Breach of social obligations?  | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(c) | Breach of labour law obligations?  | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(d) | Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation’s assets are being administered by a liquidator or by the court, where it is in an arrangement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the laws and regulations of any State? | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(e) | Guilty of grave professional misconduct? | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(f) | Entered into agreements with other economic operators aimed at distorting competition? | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(g) | Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure? | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(h) | Been involved in the preparation of the procurement procedure? | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(i) | Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions? | Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.1(j)SQ3.1(j) - (i)SQ3.1(j) - (ii)SQ3.1(j) –(iii)SQ3.1(j)-(iv) | Please answer the following statementsThe organisation is guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria.The organisation has withheld such information. The organisation is not able to submit supporting documents required under regulation 59 of the Public Contracts Regulations 2015.The organisation has influenced the decision-making process of the contracting authority to obtain confidential information that may confer upon the organisation undue advantages in the procurement procedure, or to negligently provided misleading information that may have a material influence on decisions concerning exclusion, selection or award. | Yes [ ]  No [ ] If yes please provide details at 3.2Yes [ ]  No [ ] If yes please provide details at 3.2Yes [ ]  No [ ] If yes please provide details at 3.2Yes [ ]  No [ ] If yes please provide details at 3.2 |
| SQ3.2 | If you have answered Yes to any of the above, explain what measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self Cleaning) |       |

**Please Note: We reserve the right to use our discretion to exclude a Potential Provider where we can demonstrate by any appropriate means that the Potential Provider is in breach of its obligations relating to the non-payment of taxes or social security contributions.**

Part 3: Selection Questions

**4. Economic and Financial Standing**

|  |  |
| --- | --- |
| **Section 4** | **Economic and Financial Standing** |
| Question number | Question | Response |
| SQ4.1 | Are you able to provide a copy of your audited accounts for the last two years, if requested?If no, can you provide **one** of the following: answer with Y/N in the relevant box. | Yes [ ]  No [ ]  |
| (a) A statement of the turnover, Profit and Loss Account/Income Statement, Balance Sheet/Statement of Financial Position and Statement of Cash Flow for the most recent year of trading for this organisation. | Yes [ ]  No [ ]  |
| (b) A statement of the cash flow forecast for the current year and a bank letter outlining the current cash and credit position. | Yes [ ]  No [ ]  |
| (c) Alternative means of demonstrating financial status if any of the above are not available (e.g. forecast of turnover for the current year and a statement of funding provided by the owners and/or the bank, charity accruals accounts or an alternative means of demonstrating financial status). | Yes [ ]  No [ ]  |
| SQ4.2 | Where we have specified a minimum level of economic and financial standing and/ or a minimum financial threshold within the evaluation criteria for this procurement, please self-certify by answering ‘Yes’ or ‘No’ that you meet the requirements set out. | Yes [ ]  No [ ]  |

**5. Wider Group / Parent Company**

|  |  |
| --- | --- |
| **Section 5** | **If you have indicated in the Selection Questionnaire question 1.2 that you are part of a wider group, please provide further details below:** |
| Question number | Question | Response |
| **Name of organisation** |       |
| **Relationship to the Supplier completing these questions** |       |
| SQ5.1 | Are you able to provide parent company accounts if requested to at a later stage? | Yes [ ]  No [ ]  |
| SQ5.2 | If yes, would the parent company be willing to provide a guarantee if necessary? | Yes [ ]  No [ ]  |
| SQ5.3 | If no, would you be able to obtain a guarantee elsewhere (e.g. from a bank)?  | Yes [ ]  No [ ]  |

**6. Technical and Professional Ability**

|  |  |
| --- | --- |
| **Section 6** | **Technical and Professional Ability** |
| Question number | Question |
| SQ6.1 | **Relevant experience and contract examples**Please provide details of up to three contracts, in any combination from either the public or private sector; voluntary, charity or social enterprise (VCSE) that are relevant to our requirement. VCSEs may include samples of grant-funded work. Contracts for supplies or services should have been performed during the past three years. Works contracts may be from the past five years.The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided below.Consortia bids should provide relevant examples of where the consortium has delivered similar requirements. If this is not possible (e.g. the consortium is newly formed or a Special Purpose Vehicle is to be created for this contract) then three separate examples should be provided between the principal member(s) of the proposed consortium or Special Purpose Vehicle (three examples are not required from each member).Where the Supplier is a Special Purpose Vehicle, or a managing agent not intending to be the main provider of the supplies or services, the information requested should be provided in respect of the main intended provider(s) or sub-contractor(s) who will deliver the contract.If you cannot provide examples see question 6.3 |
|  | Contract 1 | Contract 2 | Contract 3 |
| Name of customer organisation |       |       |       |
| Point of contact in the organisation |       |       |       |
| Position in the organisation |       |       |       |
| E-mail address |       |       |       |
| Description of contract  |       |       |       |
| Contract Start date |       |       |       |
| Contract completion date |       |       |       |
| Estimated contract value |       |       |       |

|  |  |
| --- | --- |
| SQ6.2 | Where you intend to sub-contract a proportion of the contract, please demonstrate how you have previously maintained healthy supply chains with your sub-contractor(s)Evidence should include, but is not limited to, details of your supply chain management tracking systems to ensure performance of the contract and including prompt payment or membership of the UK Prompt Payment Code (or equivalent schemes in other countries) |
|       |
| SQ6.3 | If you cannot provide at least one example for questions 6.1, in no more than 500 words please provide an explanation for this e.g. your organisation is a new start-up or you have provided services in the past but not under a contract. |
|       |

****7. Modern Slavery****

|  |  |
| --- | --- |
| **Section 7** | **Modern Slavery Act 2015: Requirements under Modern Slavery Act 2015** |
| Question number | Question | Response |
| SQ7.1 | Are you a relevant commercial organisation as defined by section 54 ("Transparency in supply chains etc.") of the Modern Slavery Act 2015 ("the Act")? | Yes [ ]  No [ ]  |
| SQ7.2 | If you have answered yes to question 7.1 are you compliant with the annual reporting requirements contained within Section 54 of the Act 2015? | Yes [ ]  Please provide the relevant URL     No [ ] Please provide an explanation      |

****8. Additional Questions****

Suppliers who self-certify that they meet the requirements to these additional questions will be required to provide evidence of this if they are successful at contract award stage.

|  |  |
| --- | --- |
| **Section 8** | **Additional Questions** |
| Question number | Question | Response |
| **8.1** | **Insurance** |
| SQ8.1(a) | Please self-certify whether you already have, or can commit to obtain, prior to the commencement of the contract, the levels of insurance cover indicated in Schedule 1, item 9 (pg 6) of Attachment 4 – Conditions of Contract for the Provision of Services | Yes [ ]  No [ ]  |

|  |  |
| --- | --- |
| **8.2** | **Skills and Apprentices [[5]](#footnote-6) – NOT USED** |
| SQ8.2(a) | Public procurement of contracts with a full life value of £10 million and above and duration of 12 months and above should be used to support skills development and delivery of the apprenticeship commitment. This policy is set out in detail in Procurement Policy Note 14/15.Please confirm if you will be supporting apprenticeships and skills development through this contract. | **NOT USED** |
| SQ8.2(b) | If yes, can you provide at a later stage documentary evidence to support your commitment to developing and investing in skills, development and apprenticeships to build a more skilled and productive workforce and reducing the risks of supply constraints and increasing labour cost inflation? | **NOT USED** |
| SQ8.2(c) | Do you have a process in place to ensure that your supply chain supports skills, development and apprenticeships in line with PPN 14/15 (see guidance) and can provide evidence if requested? | **NOT USED** |

|  |  |
| --- | --- |
| **8.3** | **Steel [[6]](#footnote-7) – NOT USED** |
| Question number | Question |
| SQ8.3(a) | Please describe the supply chain management systems, policies, standards and procedures you currently have in place to ensure robust supply chain management |
| **NOT USED** |
| SQ8.3(b) | Please provide details of previous similar projects where you have demonstrated a high level of competency and effectiveness in managing of all supply chain members involved in steel supply or production so that there was a sustainable and safe supply of steel. |
| **NOT USED** |
| SQ8.3(c) | Please provide all the relevant details of previous breaches of health and safety legislation in the last 5 years, applicable to the country in which you operate, on comparable projects, for both:(i) Your company(ii) All your supply chain members involved in the production or supply of steel. |
| **NOT USED** |

|  |  |
| --- | --- |
| **8.4** | **Suppliers’ Past Performance[[7]](#footnote-8) - NOT USED** |
| SQ8.4(a) | Can you supply a list of your relevant principal contracts for goods and/or services provided in the last three years? | **NOT USED** |
| SQ8.4(b) | On request can you provide a certificate from those customers on the list?  | **NOT USED** |
| SQ8.4(c) | If you cannot obtain a certificate from a customer can you explain the reasons why? | **NOT USED** |
| SQ8.4(d) | If the certificate states that goods and/or services supplied were not satisfactory are you able to supply information which shows why this will not recur in this contract if you are awarded it?  | **NOT USED** |
| SQ8.4(e) | Can you supply the information in questions a. to d. above for any sub-contractors (or consortium members) who you are relying upon to perform this contract?  | **NOT USED** |

1. For the list of exclusion please see <https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf> [↑](#footnote-ref-2)
2. See EU definition of SME <https://ec.europa.eu/growth/smes/business-friendly-environment/sme-definition_en> [↑](#footnote-ref-3)
3. UK companies, Societates European (SEs) and limited liability partnerships (LLPs) will be required to identify and record the people who own or control their company. Companies, SEs and LLPs will need to keep a PSC register, and must file the PSC information with the central public register at Companies House. See PSC guidance <https://www.gov.uk/government/publications/guidance-to-the-people-with-significant-control-requirements-for-companies-and-limited-liability-partnerships> [↑](#footnote-ref-4)
4. Central Government contracting authorities should use this information to have the PSC information for the preferred supplier checked before award. [↑](#footnote-ref-5)
5. Procurement Policy Note 14/15– Supporting Apprenticeships and Skills Through Public Procurement [↑](#footnote-ref-6)
6. [Procurement Policy Note 16/15– Procuring steel in major projects](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/473545/PPN_16-15_Procuring_steel_in_major_projects.pdf) [↑](#footnote-ref-7)
7. [Procurement Policy Note 04/15 Taking Account of Suppliers’ Past Performance](https://www.gov.uk/government/publications/procurement-policy-note-0415-taking-account-of-suppliers-past-performance) [↑](#footnote-ref-8)