

## Doping Control Sample Collection Equipment

**Appendix III – Tender Questionnaires** 



# PART A SELECTION QUESTIONNAIRE (SQ)



#### DOPING CONTROL SAMPLE COLLECTION EQUIPMENT

### FTS REF: [INSERT REFERENCE NUMBER]

**OPEN PROCEDURE** 

#### Notes for completion

- 1. The "authority" means the contracting authority (UKAD), or anyone acting on behalf of the contracting authority, that is seeking to invite suitable candidates to participate in this procurement process.
- 2. "You" / "Your" refers to the potential supplier completing this standard Selection Questionnaire i.e., the legal entity responsible for the information provided. The term "potential supplier" is intended to cover any economic operator as defined by the Public Contracts Regulations 2015 (referred to as the "regulations") and could be a registered company; the lead contact for a group of economic operators; charitable organisation; Voluntary Community and Social Enterprise (VCSE); Special Purpose Vehicle; or other form of entity.
- 3. Please ensure that all questions are completed in full, and in the format requested. If the question does not apply to you, please state 'N/A'. Should you need to provide additional information in response to the questions, please submit a clearly identified annex.
- 4. The authority recognises that arrangements set out in section 1.2 of the standard Selection Questionnaire, in relation to a group of economic operators (for example, a consortium) and/or use of sub-contractors, may be subject to change and will, therefore, not be finalised until a later date. The lead contact should notify the authority immediately of any change in the proposed arrangements and ensure a completed Part 1 and Part 2 is submitted for any new organisation relied on to meet the selection criteria. The authority will make a revised assessment of the submission based on the updated information.
- 5. For Part 1 and Part 2 every organisation that is being relied on to meet the selection must complete and submit the self-declaration.
- 6. All sub-contractors are required to complete Part 1 and Part 2.
- 7. For answers to Part 3 If you are bidding on behalf of a group, for example, a consortium, or you intend to use sub-contractors, you should complete all of the questions on behalf of the consortium and/ or any sub-contractors, providing one composite response and declaration.

The authority confirms that it will keep confidential and will not disclose to any third parties any information obtained from a named customer contact, other than to the Cabinet Office and/or contracting authorities defined by the regulations, or pursuant to an order of the court or demand made by any competent authority or body where the authority is under a legal or regulatory obligation to make such a disclosure.



## **Part 1: Potential supplier Information**

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.

Section 1	Potential supplier information	
Question number	Question	Response
1.1(a)	Full name of the potential supplier submitting the information	
1.1(b) – (i)	Registered office address (if applicable)	
1.1(b) – (ii)	Registered website address (if applicable)	
1.1(c)	Trading status a) public limited company b) limited company c) limited liability partnership d) other partnership e) sole trader f) third sector g) other (please specify your trading status)	
1.1(d)	Date of registration in country of origin	
1.1(e)	Company registration number (if applicable)	
1.1(f)	Charity registration number (if applicable)	
1.1(g)	Head office DUNS number (if applicable)	
1.1(h)	Registered VAT number	
1.1(i) - (i)	If applicable, is your organisation registered with the appropriate professional or trade register(s) in the member state where it is established?	Yes □ No □ N/A □
1.1(i) - (ii)	If you responded yes to 1.1(i) - (i), please provide the relevant details, including the registration number(s).	
1.1(j) - (i)	Is it a legal requirement in the state where you are established for you to possess a particular authorisation, or be a member of a particular organisation in order to provide the services specified in this procurement?	Yes □ No □
1.1(j) - (ii)	If you responded yes to 1.1(j) - (i), please provide additional details of what is required and confirmation that you have complied with this.	



1.1(k)	Trading name(s) that will be used if successful in this procurement	
1.1(l)	<ul> <li>Relevant classifications (state whether you fall within one of these, and if so which one)</li> <li>a) Voluntary Community Social Enterprise (VCSE)</li> <li>b) Sheltered Workshop</li> <li>c) Public service mutual</li> </ul>	
1.1(m)	Are you a Small, Medium or Micro Enterprise (SME) <sup>1</sup> ?	Yes □ No □
1.1(n)	<ul> <li>Details of Persons of Significant Control (PSC), where appropriate: <sup>2</sup></li> <li>Name;</li> <li>Date of birth;</li> <li>Nationality;</li> <li>Country, state or part of the UK where the PSC usually lives;</li> <li>Service address;</li> <li>The date he or she became a PSC in relation to the company (for existing companies the 6 April 2016 should be used);</li> <li>Which conditions for being a PSC are met;</li> <li>Over 25% up to (and including) 50%,</li> <li>More than 50% and less than 75%,</li> <li>75% or more.</li> </ul>	
	(Please enter N/A if not applicable)	
1.1(o)	<ul> <li>Details of immediate parent company:</li> <li>Full name of the immediate parent company</li> <li>Registered office address (if applicable)</li> <li>Registration number (if applicable)</li> <li>Head office DUNS number (if applicable)</li> <li>Head office VAT number (if applicable)</li> <li>(Please enter N/A if not applicable)</li> </ul>	
1.1(p)	Details of ultimate parent company:	
· · /	- Full name of the ultimate parent company	

<sup>&</sup>lt;sup>1</sup> See UK definition of SME Small to medium sized enterprise (SME) action plan - GOV.UK (www.gov.uk)

<sup>&</sup>lt;sup>2</sup> UK companies, Societates European (SEs) and limited liability partnerships (LLPs) will be required to identify and record the people who own or control their company. Companies, SEs and LLPs will need to keep a PSC register and must file the PSC information with the central public register at Companies House. <u>See PSC guidance</u>.



<ul> <li>Registered office address (if applicable)</li> <li>Registration number (if applicable)</li> <li>Head office DUNS number (if applicable)</li> <li>Head office VAT number (if applicable)</li> </ul>	
(Please enter N/A if not applicable)	

Please note: A criminal record check for relevant convictions may be undertaken for the preferred suppliers and the persons of significant in control of them.

Please provide the following	a information about	your approach to this procurement:	
r lease provide the following	ly information about	your approach to this procurement.	

Section 1	Bidding model		
Question number	Question	Response	
1.2(a) - (i)	Are you bidding as the lead contact for a group of economic operators?	Yes □ No □ If yes, please provide details listed in questions 1.2(a) (ii), (a) (iii) and to 1.2(b) (i), (b) (ii), 1.3, Section 2 and 3. If no, and you are a supporting bidder please provide the name of your group at 1.2(a) (ii) for reference purposes, and complete 1.3, Section 2 and 3. If no and you are acting as a sole bidder, please proceed to section 1.2(b) (i)	
1.2(a) - (ii)	Name of group of economic operators (if applicable)		
1.2(a) - (iii)	Proposed legal structure if the group of economic operators intends to form a named single legal entity prior to signing a contract, if awarded. If you do not propose to form a single legal entity, please explain the legal structure.		
1.2(b) - (i)	Are you or, if applicable, the group of economic operators proposing to use sub-contractors?	Yes □ No □	
1.2(b) - (ii)	If you responded yes to 1.2(b)-(i) please provide additional details for each sub-contractor in the following table: we may ask them to complete this form as well.		



Name			
Name			
Registered address			
Trading status			
3			
Company registration			
number			
Lload Office DUNE			
Head Office DUNS number (if applicable)			
number (il applicable)			
Registered VAT			
number			
Type of organization			
Type of organisation			
SME (Yes/No)			
The role each sub-			
contractor will take in			
providing the works			
and /or supplies e.g.,			
key deliverables			
The approximate % of			
contractual obligations			
assigned to each sub-			
contractor			

#### Contact details and declaration

I declare that to the best of my knowledge the answers submitted, and information contained in this document are correct and accurate.

I declare that, upon request and without delay I will provide the certificates or documentary evidence referred to in this document.

I understand that the information will be used in the selection process to assess my organisation's suitability to be invited to participate further in this procurement.

I understand that the authority may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section.

I am aware of the consequences of serious misrepresentation.

Section 1	Contact details and declaration		
Question number	Question	Question Response	
1.3(a)	Contact name		
1.3(b)	Name of organisation		
1.3(c)	Role in organisation		
1.3(d)	Phone number		



1.3(e)	E-mail address	
1.3(f)	Postal address	
1.3(g)	Signature (electronic is acceptable)	
1.3(h)	Date	



## Part 2: Exclusion Grounds

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.

Section 2	Grounds for mandatory exclusion		
Question number	Question	Response	
2.1(a)	<b>Regulations 57(1) and (2)</b> The detailed grounds for mandatory exclusion of an organisation are set out on this webpage, which should be referred to before completing these questions.		
	Please indicate if, within the past five years other person who has powers of represent organisation have been convicted anywher offences within the summary below and lis	ation, decision or control in the re in the world of any of the	
	Participation in a criminal organisation	Yes □ No □ If yes, please provide details at 2.1(b)	
	Corruption	Yes □ No □ If yes, please provide details at 2.1(b)	
	Fraud	Yes □ No □ If yes, please provide details at 2.1(b)	
	Terrorist offences or offences linked to terrorist activities	Yes □ No □ If yes, please provide details at 2.1(b)	
	Money laundering or terrorist financing	Yes □ No □ If yes, please provide details at 2.1(b)	
	Child labour and other forms of trafficking in human beings	Yes □ No □ If yes, please provide details at 2.1(b)	
2.1(b)	If you have answered yes to question 2.1(a), please provide further details.		
	Date of conviction, specify which of the grounds listed the conviction was for, and the reasons for conviction.		
	Identity of who has been convicted.		



2.2	If the relevant documentation is available electronically, please provide the web address, issuing authority, precise reference of the documents. If you have answered Yes to any of the	Yes 🗆
	points above, have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self- Cleaning)	No 🗆
2.3(a)	<b>Regulation 57(3)</b> Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions?	Yes □ No □
2.3(b)	If you have answered yes to question 2.3(a), please provide further details. Please also confirm you have paid or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines.	

Please Note: The authority reserves the right to use its discretion to exclude a potential supplier where it can demonstrate by any appropriate means that the potential supplier is in breach of its obligations relating to the non-payment of taxes or social security contributions.



Section 3	Grounds for discretionary exclusion		
	Question Response		
3.1	<b>Regulation 57 (8)</b> The detailed grounds for discretionary exclusion of an organisation are set		
	out on this webpage, which should be referr questions.		
	Please indicate if, within the past three year the following situations have applied to you, person who has powers of representation, c organisation.	your organisation or any other	
3.1(a)	Breach of environmental obligations?	Yes □ No □ If yes, please provide details at 3.2	
3.1 (b)	Breach of social obligations?	Yes □ No □ If yes, please provide details at 3.2	
3.1 (c)	Breach of labour law obligations?	Yes □ No □ If yes, please provide details at 3.2	
3.1(d)	Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation's assets are being administered by a liquidator or by the court, where it is in an arrangement with creditors, where its business activities are suspended, or it is in any analogous situation arising from a similar procedure under the laws and regulations of any State?	Yes No If yes, please provide details at 3.2	
3.1(e)	Guilty of grave professional misconduct?	Yes □ No □ If yes, please provide details at 3.2	
3.1(f)	Entered into agreements with other economic operators aimed at distorting competition?	Yes □ No □ If yes, please provide details at 3.2	
3.1(g)	Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure?	Yes □ No □ If yes, please provide details at 3.2	
3.1(h)	Been involved in the preparation of the procurement procedure?	Yes □ No □ If yes, please provide details at 3.2	
3.1(i)	Shown significant or persistent deficiencies in the performance of a	Yes □ No □	



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	substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions?	If yes, please provide details at 3.2
3.1(j)	Please answer the following statements	
3.1(j) - (i)	The organisation is guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria.	Yes  No  If yes, please provide details at 3.2 Yes
3.1(j) - (ii)	The organisation has withheld such information.	No  If yes, please provide details at 3.2
3.1(j) – (iii)	The organisation is not able to submit supporting documents required under regulation 59 of the Public Contracts Regulations 2015.	Yes □ No □ If yes, please provide details at 3.2
3.1(j)-(iv)	The organisation has influenced the decision-making process of the contracting authority to obtain confidential information that may confer upon the organisation undue advantages in the procurement procedure, or to negligently provided misleading information that may have a material influence on decisions concerning exclusion, selection or award.	Yes No If yes, please provide details at 3.2

3.2	If you have answered Yes to any of the above, explain what measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self- Cleaning)	
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## **Part 3: Selection Questions**

Section 4	Economic and Financial Standing	
	Question	Response
4.1	Question         Are you able to demonstrate that your organisation's net worth is 25% of the approximate annual value of the contract (one year only) or provide a third-party guarantee or similar to this value.         Bidders are required to provide evidence of their financial standing, for example by providing any of the following (not exhaustive):         • A copy of your audited accounts for the last two years         • A statement of the turnover, Profit and Loss Account/Income Statement, Balance Sheet/Statement of Financial Position and Statement of Cash Flow for the most recent year of trading for this organisation         • A statement of the cash flow forecast for the current year and a bank letter outlining the current cash and credit position         • Alternative means of demonstrating financial status if any of the above are not available (e.g., forecast of turnover for the current year and a statement of funding provided by the owners and/or the bank, charity accruals accounts or an alternative means of demonstrating financial status)         • Evidence of a third-party guarantee or similar         Note: Bidders are required to provide evidence of their financial standing alongside their SQ response. Bidders who fail to provide this information with their SQ response may be excluded from further participation in the	Yes   No
	Procurement.	



Section 5	If you have indicated in the Selection Questionnaire question 1.2 that you are part of a wider group, please provide further details below:	
Name of o	of organisation	
Relationship to the Supplier completing these questions		

5.1	Are you able to provide parent company accounts if requested to at a later stage?	Yes □ No □
5.2	If yes, would the parent company be willing to provide a guarantee if necessary?	Yes □ No □
5.3	If no, would you be able to obtain a guarantee elsewhere (e.g., from a bank)?	Yes □ No □

Section 6	Technical and Professional Ability	
6.1	Relevant experience and contract examples	
	Please provide details of up to three contracts, from Anti-Doping Organisations (ADOs) or Sample Collection Agencies (SCAs) operating within the framework of the World Anti-Doping Code and International Standards and that are Contracts should have been performed during the past three years.	
	As per the Specification, a Bidder should be able to evidence it acts as a supplier of relevant equipment to ADOs/SCAs, supplying a minimum of 5,000 urine Sample Collection kits and 1,000 venous blood Sample collection kits a year.	
	The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided below.	
	Consortia bids should provide relevant examples of where the consortium has delivered similar requirements. If this is not possible (e.g. the consortium is newly formed or a Special Purpose Vehicle is to be created for this contract) then three separate examples should be provided between the principal member(s) of the proposed consortium or Special Purpose Vehicle (three examples are not required from each member).	
	Where the Supplier is a Special Purpose Vehicle, or a managing agent not intending to be the main provider of the supplies or services, the information requested should be provided in respect of the main intended provider(s) or	



sub-contractor(s) who will deliver the contract.	
Please note that UKAD may contact the individuals referenced in order to verify the responses provided.	

	Contract 1	Contract 2	Contract 3
Name of customer organisation			
Point of contact in the organisation			
Position in the organisation			
E-mail address			
Description of contract			
Contract Start date			
Contract completion date			
Estimated contract value			



6.2	Where you intend to sub-contract a proportion of the contract, please demonstrate how you have previously maintained healthy supply chains with your sub-contractor(s)	
	Evidence should include, but is not limited to, details of your supply chain management tracking systems to ensure performance of the contract and including prompt payment or membership of the UK Prompt Payment Code (or equivalent schemes in other countries)	

6.3	Please confirm that the Doping Control Sample Collection Equipment to be provided by your organisation should you be successfully appointed as the Supplier meets the following requirements stipulated in clause 6.3.4 of the International Standard for Testing & Investigations (ISTI):	
	<ul> <li>(a) Have a unique numbering system, incorporated into all A and B bottles, containers, tubes of other items used to seal the Sample and have a barcode or similar data code which meets the requirements of ADAMS on the applicable Sample Collection Equipment</li> </ul>	N/A
	(b) Have a Tamper-Evident sealing system	Yes □ No □ If 'No' please provide details at 6.4 N/A □
	<ul> <li>(c) Ensure the identity of the Athlete is not evident from the equipment itself</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
	<ul> <li>(d) Ensure that all equipment is clean and sealed prior to use by the Athlete</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
	<ul> <li>(e) Are constructed of a material and sealing system that is able to withstand the handling conditions and environment in which the equipment will be used or subjected to, including but not limited to transportation, Laboratory analysis and long-term frozen storage up to the period of the statute of limitations</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
	(f) Are constructed of a material and sealing system that will;	Yes 🗆



<ul> <li>Maintain the integrity (chemical and physical properties) of the Sample for the Analytical Testing;</li> <li>Can withstand temperatures of -80 °C for urine and blood. Tests conducted to determine integrity under freezing conditions shall use the matrix that will be stored in the Sample bottles, containers or tubes i.e., blood or urine;</li> <li>Are constructed of a material and sealing system that can withstand a minimum of three (3) freeze/thaw cycles;</li> </ul>	No □ If 'No' please provide details at 6.4 N/A □
(g) The A and B bottles, containers and tubes shall be transparent so the Sample is visible	Yes □ No □ If 'No' please provide details at 6.4 N/A □
(h) Have a sealing system which allows verification by the Athlete and the DCO that the Sample is correctly sealed in the A and B bottles or containers	Yes □ No □ If 'No' please provide details at 6.4 N/A □
<ul> <li>(i) Have a built-in security identification feature(s) which allows verification of the authenticity of the equipment</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
<ul> <li>(j) Are compliant with the standards published by the International Air Transport Association (IATA) for the transport of exempt human specimens which includes urine and/or blood Samples in order to prevent leakage during transportation by air</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
<ul> <li>(k) Have been manufactured under the internationally recognized ISO 9001 certified process which includes quality control management systems</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
<ul> <li>(I) Can be resealed after initial opening by a Laboratory using a new unique Tamper- Evident sealing system with a unique numbering system to maintain the</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □



integrity of the Sample and Chain of Custody in accordance with the requirements of the International Standard for Laboratories for long term storage of the Sample and further analysis	
<ul> <li>(m) Have undergone testing by a testing institution that is independent of the manufacturer and is ISO 17025 accredited, to validate at a minimum that the equipment meets the criteria set out in subsections b), f), g), h), i), j) and l) above</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
<ul> <li>(n) Any modification to the material or sealing system of the equipment shall require re-testing to ensure it continues to meet the stated requirements as per m) above</li> <li>For urine Sample collection:</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
(o) Have the capacity to contain a minimum of 85mL volume of urine in each A and B	Yes □ No □ If 'No' please provide details at 6.4 N/A □
<ul> <li>(p) Have a visual marking on the A and B bottles or containers and the collection vessel, indicating:</li> <li>the minimum volume of urine required in each A and B bottle or container as outlined in Annex C – Collection of Urine;</li> <li>the maximum volume levels that allow for expansion when frozen without compromising the bottle, container or the sealing system; and</li> <li>the level of Suitable Volume of</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
Urine for Analysis on the collection vessel     (q) Include a partial Sample Tamper	Yes 🗆
Evident sealing system with a unique numbering system to temporarily seal a Sample with an insufficient volume in accordance with Annex E – Urine Samples – Insufficient Volume	No □ If 'No' please provide details at 6.4 N/A □
For blood Sample collection:	



<ul> <li>(r) Have the ability to collect, store and transport blood in separate A and B tubes and containers</li> </ul>	Yes □ No □ If 'No' please provide details at 6.4 N/A □
(s) For the analysis of Prohibited Substances or Prohibited Methods in whole blood or plasma and/or for profiling blood parameters, the A and B tubes must have the capacity to contain a minimum of 3mL of blood and shall contain EDTA as an anti-coagulant	Yes □ No □ If 'No' please provide details at 6.4 N/A □
(t) For the analysis of Prohibited Substances or Prohibited Methods in serum, the A and B tubes must have the capacity to contain a minimum of 5mL of blood and shall contain an inert polymeric serum separator gel and clotting activation factor	Yes □ No □ If 'No' please provide details at 6.4 N/A □

6.4	If the Doping Control Sample Collection Equipment to be provided by your organisation cannot meet at least one of more of the criteria in 6.3, please provide an explanation below.

6.	5	Is your organisation able to meet the production requirements of UKAD of approximately 9,000- 10,000 urine Sample collection kits and 2,000-3,000 venous blood Sample collection kits?	Yes □ No □
		vendus blood Sample collection kits:	

Section 7	Modern Slavery Act 2015 or equivalent local legis details): Requirements under Modern Slavery Ac	
7.1	Are you a relevant commercial organisation as defined by section 54 ("Transparency in supply chains etc.") of the Modern Slavery Act 2015 ("the Act") or equivalent local legislation?	Yes 🗆



		N/A 🗆
7.2	If you have answered yes to question 7.1, are you compliant with the annual reporting requirements contained within Section 54 of the Act 2015 or equivalent local legislation?	Yes □ Please provide the relevant URL
		No □ Please provide an explanation

## 8. Additional Questions

Bidders who self-certify that they meet the requirements to these additional questions will be required to provide evidence of this if they are successful at contract award stage.

Section 8	Additional Questions
8.1	Insurance
а.	Please self-certify whether you already have, or can commit to obtain, prior to the commencement of the contract, the levels of insurance cover indicated below: Y/N
	Employer's (Compulsory) Liability Insurance = £5 million
	Public Liability Insurance = £5 million
	Professional Indemnity Insurance = £2 million
	*It is a legal requirement that all companies hold Employer's (Compulsory) Liability Insurance of £5 million as a minimum. Please note this requirement is not applicable to Sole Traders.