

**COMMERCIAL COMPLIANCE MATRIX**

Tenderers Commercial Proposals will be judged initially against their Compliance with the Commercial Evaluation Criteria set out below. For the Purposes of Commercial Tender Assessment, Tenderers **MUST** be **'Fully Compliant'** with all of the commercial criteria set out in this document to **PASS** the Commercial tender assessment stage and progress to the Technical and Pricing evaluation stages

If associated comments are attached to an individual serial which caveat or restrict Full Compliance with each criteria, the overall assessment of Full Compliance shall be determined by the Authority taking into consideration both the declared level of compliance and the comments.

The following abbreviations are to be used to indicate compliance of ITT - FTS5/SACC/0005 – 'Provision of External Safety Advisor (ESA) Support to SACC DT'

- FC – Fully Compliant
- NC – Non-Complaint (add details to explanation column)

Serial	TENDER CONDITIONS	COMPLIANCE LEVEL (FC / NC)	COMMENTS
1	Acceptance of all the contract conditions for the Framework Agreement for Technical Support 5 (FATS5) and the Order Conditions set out therein		
2	Tender Submission Before Deadline – Commercial proposal ( <b>Priced</b> ): 2 x Hard copy and 1 x CD <b>AND</b> Technical Proposal ( <b>Un-priced</b> ): 2 x Hard Copy and 1 x CD		
3	Validity of Tender (in accordance with Section 1.5 of the SNITS)		

Serial	TENDER CONDITIONS	COMPLIANCE LEVEL (FC / NC)	COMMENTS
<b>TASKING ORDER FORM</b>			
<b>1, Schedule of Requirements (Core)</b>			
4	Schedule of Requirements Line Item 1 – <b>Firm Price</b>		
5	Schedule of Requirements Line item 2 – <b>Limit of Liability</b>		
<b>1, Schedule of Requirements (Options)</b>			
6	Schedule of Requirements Line item 2a – <b>Limit of Liability</b>		
7	Schedule of Requirements Line Item 3 (Option 1) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>8 x Firm Price per Safety and Environmental Management Committees (SEMC) = Bid Max Price for line item 3</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
8	Schedule of Requirements Line Item 4 (Option 2) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>19 x Firm Price per Safety Working Groups (SWG) = Max Price for line item 4</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
9	Schedule of Requirements Line Item 5 (Option 3) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>4 x Firm Price per Project Safety Committees (PSC) = Bid Max Price for line item 5</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
10	Schedule of Requirements Line Item 6 (Option 4) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>10 x Firm Price per SEMP Review/Update = Bid Max Price for line item 6</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>

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11	Schedule of Requirements Line Item 6a (Option 4) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>6 x Firm Price per SEMP Review/Update = Bid Max Price for line item 6a</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
12	Schedule of Requirements Line Item 6b (Option 4) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>2 x Firm Price per SEMP Write up = Bid Max Price for line item 6b</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
13	Schedule of Requirements Line Item 7 (Option 5) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>21 x Firm Price per Safety Case Review/Update = Bid Max Price for line item 7</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
14	Schedule of Requirements Line Item 7a (Option 5) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>14 x Firm Price per Safety Case Review/Update = Bid Max Price for line item 7a</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
15	Schedule of Requirements Line Item 7b (Option 5) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>5 x Firm Price per Safety Case Write up = Bid Max Price for line item 7b</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
16	Schedule of Requirements Line Item 8 (Option 6) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>3 x Firm Price per Safety Statement of Work Write up = Bid Max Price for line item 8</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>

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17	Schedule of Requirements Line Item 9 (Option 7) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>1 x Firm Price per ITT/ITN Review = Bid Max Price for line item 9</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
18	Schedule of Requirements Line Item 10 (Option 8) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>4 x Firm Price per HFI workshops Attendance/Document Review &amp; Input = Bid Max Price for line item 10</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
19	Schedule of Requirements Line Item 10a (Option 8) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>4 x Firm Price per HFI working group = Bid Max Price for line item 10a</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
20	Schedule of Requirements Line Item 10b (Option 8) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>4 x Firm Price per review and input to RiSE documentation = Bid Max Price for line item 10b</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
21	Schedule of Requirements Line Item 10c (Option 8) – <b>Max Price</b>		<p><i>The Max Price Shall be calculated as follows:</i></p> <p><i>4 x Firm Price per Attendance to MAA/RT SA meetings = Bid Max Price for line item 10c</i></p> <p><i>Bidders should not that this Option is currently unfunded</i></p>
<b>2, Order Conditions (Additional DEFCON's Selected Within the TOF)</b>			
22	DEFCON 514 (Edn 08/15) – Material Breach		

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23	DEFCON 522 ( <i>Edn 11/17</i> ) – Payment and Recover of Sums Due		
24	DEFCON 5J ( <i>Edn 18/11/16</i> ) – Unique Identifiers		
25	Milestone / Stage Payments		<i>FC here is the acknowledgement that the Supplier will be paid upon completion of work packages as per the Firm Price Pricing Menu upon completion of each task called off by the SACC DT Project Manager and not via a Milestone Payment Plan</i>
26	DEFCON 703 ( <i>Edn 08/13</i> ) Intellectual Property Rights - Vesting In The Authority		
27	DEFCON 642 ( <i>Edn 06/14</i> ) – Progress Meetings		
28	DEFCON 621B ( <i>Edn 10/04</i> ) – Transport (if the Contractor is responsible for transport)		
29	DEFCON 602A ( <i>Edn 12/17</i> ) - Quality Assurance with Quality Plan		
30	Warranty – Remedies Implied By General Law		

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Appendices to The Tasking Order Form;			
31	Appendix 2 - Confidentiality Agreement (in addition to DEFCON 531 (Edn 11/14) - Disclosure of Information)		
32	Appendix 3 - Price Summary		
33	Appendix 4 - Montreal Protocol Substances		
34	Appendix 5 - Tenderer's Commercially Sensitive Information  Completed <b>DEFFORM 539A</b> (Edn 08/13) Tenderer's Commercially Sensitive Information		
Additional Conditions (Appendix 6 to Tasking Order Form)			
35	DEFCON 532B (Edn 05/18) - Protection Of Personal Data (Where Personal Data is being processed on behalf of the Authority)		
36	DEFCON 608 (Edn. 10/14) - Access And Facilities To Be Provided By The Contractor		

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37	DEFCON 627 ( <i>Edn. 12/10</i> ) - Quality Assurance - Requirement for a Certificate of Conformity		
38	DEFCON 658 ( <i>Edn 10/17</i> ) – CYBER		<i>Note: If the tenderer cannot meet the level of security controls required by the contract start date it does not mean an immediate fail. The tenderer should submit a <b>Cyber Implementation Plan (CIP)</b> as part of their tender submission detailing the steps they would take to meet the necessary controls, together with associated timescales, details of any equivalent standards they have, or reasons why they are unable to comply. If the project manager, in conjunction with the DCCP cyber implementation team as necessary, agrees the CIP, this would mean the tenderer has passed this evaluation criteria. The CIP will then become a schedule to the Contract.</i>
	<ul style="list-style-type: none"> <li>- Completion of Cyber Security Model (CSM) Supplier Assurance Questionnaire (SAQ).</li> </ul>		
	<ul style="list-style-type: none"> <li>- Provision of Valid Cyber Essentials Certification</li> <li><b>OR</b></li> <li>- Provision of Cyber Implementation Plan as at SNITS 'Appendix 4 - Cyber Implementation Plan Template'</li> </ul>		
39	Document Deliverables		
40	Payment of Travel & Subsistence		
41	Call-off Tasking Process (Core)		

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42	Call of tasking Process (Options)		
43	Work In Progress Certificate		
44	Key Personal		
Additional Quality Assurance Requirements (Appendix 7 to Tasking Authorisation Form)			
45	Conformance to all required Quality Assurance Requirements contained within 'Appendix 7 - Additional Quality Assurance Conditions' to the Tasking Order Form		
Annexes to Tasking Order Form			
46	Annex A – Statement of Work		
47	Annex B – Firm Price Pricing Menu		
48	Annex C – Work in Progress Certificate		
49	Annex D – Travel & Subsistence Rates		<i>Submitted rates must be in accordance with the overarching FATS 5 Framework</i>
50	Annex E – A security response to address the Security Aspects Letter (SAL) attached.		
Appendices to the SNITS			
51	Appendix 1 – A completed Commercial Compliance Matrix (This Document)		

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Additional Requirements			
52	A full price breakdown detailing hourly labour rates, hours assigned per deliverable and T&S rates. (This must be in addition to Appendix 3 to the Draft Tasking Order Form)		
53	Conflict of Interest Compliance Regime for current/future potential conflicts for the Tenderer and at sub-contract level. <b>OR</b> In the event that no current/future Conflict of Interest exists, provide a statement to confirm that no conflicts exist.		The Authority reserves the right to review any Conflict of Interest Compliance Regime submitted, including the right to clarify areas of concern and rejecting a tender as non-compliant where a Compliance Regime does not satisfy the Authority's Policy on COI.