

SCHEDULE 9

Standards

1 General

- 1.1 Throughout the Contract Term, the Parties shall monitor and notify each other of any new or emergent standards which could affect the Contractor's provision, or the Authority's receipt, of the Services. Any changes to the Standards, including the adoption of any such new or emergent standard, shall be agreed in accordance with the Change Control Procedure.
- 1.2 Where a new or emergent standard is to be developed or introduced by the Authority, the Contractor shall be responsible for ensuring that the potential impact on the Contractor's provision, or the Authority's receipt, of the Services is explained in writing to the Authority as part of its Change Proposal (prepared in accordance with the Change Control Procedure), prior to the implementation of the new or emergent standard.
- 1.3 Where Standards referenced in this Schedule 9 (Standards) conflict with each other or with Good Industry Practice, then the later Standard or best practice shall be adopted by the Contractor. Any such alteration to any Standard(s) shall require the prior written agreement of the Authority and shall be implemented within an agreed timescale.
- 1.4 The Contractor shall comply with the Standards listed in this Schedule 9 (Standards), as well as any other standards referenced in the Contract including in Schedule 2 (Statement of Requirement).

2 Sustainability

The Contractor shall comply with the sustainability requirements set out in this Schedule 9 (Standards).

3 Quality Assurance

The Contractor shall comply with the quality assurance requirements set out in this Schedule 9 (Standards).

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|--|--|---|----------------------------------|
| BS EN ISO 9001 Including Certificate of Conformity | Quality Management Systems | Edition 5 | Sep-15 |
| DEFSTAN 05-61 | Quality Assurance Procedural Requirements Concessions | Part 1, Issue 7; Part 4, Issue 4; Part 9, Issue 6 | Nov-21; Nov-21; Feb-22 |
| JSP 940 | Authority policy for Quality | Part 1 Directive V2.0 Part 2 Guidance V2.1 | Apr-20 Jun-21 |
| AQAP 2105 | NATO Requirements for Quality Plans | Edition C V1 | Jan-19 |
| ISO 10005 | Quality Management Guidelines for Quality Plans September 1995 | | 2018 |
| AQAP2110 | NATO Quality Assurance Requirements for design, development and production | Edition 4 | Jun-16 |
| MGN 462 | Pollution – Entry into force of the Energy Efficiency Design Index | | |

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| MSN 1815 Amendment 2 | Countries whose Seafarer Medical Certificates have been assessed as meeting international requirements and are acceptable for use on UK ships | | |
| BRd1 | BR Library | | |
| MGN 432 (M&F) | Safety during Transfers to and from ships | | |
| MGN 515 | Special Purpose Ships Code-Offshore Vessels | | |
| HMC & E Notice 179 | HMRC Notice 179 – Motor and heating fuels | | |
| Port Orders | <p>Munitions</p> <p>KHM Portsmouth Code of Practice for the Movement of Ammunition by Water to and from Bedenham Pier and the UHAF in HMNB Portsmouth, Issue 13, 13 December 2016</p> <p>KHM_Code_of_Practice_for_Ammunitioning_by_Water_in_HMNB_PortsmouthU (003).doc</p> | | |
| MGN 50 | Manning of Pilot Boats | | |
| PTI-074 | Babcock procedure - Towed Array | | |
| Dockyard Port of Portsmouth Order 2005 | UK Statutory Instrument | | |

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| RNTM 06-010/21 | Direction and guidance on the management of oily liquid waste and Hydrogen Sulphide (H2S) | | |
| OPRC | International Convention on Oil Pollution Preparedness, Response and Cooperation (OPRC) | | |

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| DM(G) HSP (030) | Health and Safety Procedures - Harbour Task Procedures (Safe System of Work) | | |
| PORTNUSAFE | Portsmouth Site Specific Operators Emergency Plan | | |
| SOLFIRE | Solent and Southampton Water Marine Emergency Plan | | |
| REPIR | Radiation (Emergency Preparedness and Public Information) Regulations 2019 (REPIR) | | |
| SOTNUSAFE | Port of Southampton Site Specific Operators Emergency Plan | | |
| NBC/FSC300 & NBC/DSR301 | Nuclear Site Licence | | |
| The Devonport Ammunitioning Guide (OS) | The Devonport Ammunitioning Guide (OS) | | |
| DYP Order | Dockyard Port of Plymouth Order 2020 | | |
| Sound off | Plymouth dockyard emergency and contingency plan | | |

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| QKHM MoU 01 | QKHM/COL – General Procedures | | |
| | "The Boarding and Landing of Pilots – Code of safe Practice" United Kingdom Marine Pilots Association | | |
| | Site Safety Summary Document | | |
| | Radiological Protection Standing Orders | | |
| | HMNB Clyde – Oil Spill Contingency Plan | | |
| | Faslane Security Standing Orders | | |
| | HMNB Clyde Contractor & Visitor Security Policy Document | | |
| | HMNB Clyde Accreditation Policy | | |
| | HMNB Clyde Accreditation Procedures | | |
| | HMNB Clyde Portable Electronic Device Policy | | |
| IACs Standards | International Association of Classification Societies (IACS) Unified Standards for materials | | |
| NP 735 | International Association of Lighthouse Authorities (IALA) IALA Buoyage System | | |

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| BS 6349 Pt 6 | British Standard for Inshore Moorings (New Moorings Only) | | |
| ATP 57 | NATO Submarine Search and Rescue Manual | | |
| Navy Op Order 03/21 | Operation Longstone Op Order for the generation of the capability to recover a disabled submarine (DISSUB) | | |
| SI 654 (2016) | BUTEC Byelaws | | |
| TITP Pub No 79690). | Heavy Weight Weapon Launch | | |

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|----------------------|---|--|--|
| TITP 79693 | Heavy Weight and Lightweight recovery - surface | | |
| TA PTI-074. | Babcock procedure for Towed Array transfers | | |
| Admiralty Chart 2480 | BUTEC Trials Area | | |
| QinetiQ/COR/PRO32 | QinetiQ Health safety and Environmental Policy | | |
| Site Security Plan | BUTEC Site Security Plan – Mission Support Facilities | | |

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Security

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|---|---|-----------|------------|
| JSP 440 <i>Restricted. Elements to be provided to Contractor as necessary on a case-by-case basis.</i> | Defence Manual of Security | | |
| NCSRs (BR4005) | Navy Command Security Regulations | NA | NA |
| DEFSTAN 05-138 | Cyber Security for Defence Suppliers | Issue 4 | May-24 |
| BS7799 | Information security management systems - Guidelines for information security risk management | NA | Sep-23 |
| ISO/IEC 27001:2022 and/or IASME Gold / Cyber Essentials Plus | Information security, cybersecurity and privacy protection | Edition 3 | Oct-22 |

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|--|--|-------------|--------|
| HMG Baseline Personnel Security Standard | HMG Baseline Personnel Security Standard | Version 7.0 | Jun-24 |
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5 Health Safety and Environment

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|------------------|---|-------------|------------|
| BRd 167 | Safety, Health & Environment Manual for HM Ships and Submarines | Version 1.0 | Jul-24 |
| DSA03.OME Part 2 | Defence Code of Practice (DCOP) for In-Service and Operational Safety Management of OME | Version 1.2 | Jun-20 |
| DSA03-DMR | NAG Rules for Certification, NA Rules for the Control of the Explosives Risk from MOD Shipping at a Berth, A Guide to Red, White and Blue Ensigns, Regulatory Terms and Definitions | | 2020 |
| DSA03-DMR | DMR Glossary | Version 2.0 | Aug-20 |
| JSP 375 | Management of Health and Safety in Defence | Version 1.7 | Feb-25 |
| ISO 45001 | Occupational health and safety management systems | Edition 1 | Mar-18 |

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| ISO 14001 | Environmental management systems | Edition 3 | Sep-15 |
| DSA01 | Defence Safety Authority (DSA) Operating Model documents | NA | Jan-24 |
| DSA02 DMR Regulations | Defence Maritime Regulations | Updated annually | 2025 |

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|---|--|------------------|------------|
| DSA 02 Defence Ordnance, Munitions and Explosives (OME) | Defence Ordnance, Munitions and Explosives (OME) Regulations | Version 5 | Oct-23 |
| BRd 862 | Naval Magazine Explosives Regulations | Version 2.0 | Oct-21 |
| DEF STAN 00-56 (Part 1 & Part 2) | Safety Management Requirements for Defence Systems | Issue 8, Issue 6 | Oct-23 |
| Local Port NSSJ <i>Elements to be provided to Contractor as necessary on a case-by-case basis.</i> | Local Port Nuclear Site Safety Justification | NA | NA |
| HMNB Clyde POMSR | Port Operational Management Safety Report | NA | NA |
| JSP 576 | Defence Nuclear Accident Response Supporting Information | Issue 1.0 | May-04 |
| BR 766 | Embarked Aviation Orders | Version 2.0 | Oct-24 |

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| HSE WEL (EH40) | EH40/2005 Workplace exposure limits | Fourth Edition | 2020 |
| KHM's Bunkering and Fuelling Code of Practice | | Issue 5 | Dec-22 |
| DEFSTAN 00-101 | Design Standards for Explosives Safety | Part 1 Issue 3 | Nov-20 |
| BR 3027 | Lifting Equipment Policy | 0 | Jun-23; Jun-19; Oct-21 |

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|-------------------|---|-----------|------------|
| | | | |
| MCA Workboat Code | The Safety of Small Workboats and Pilot Boats— A Code of Practice | Edition 3 | Jan-25 |

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| British Standard/s for the type of crane selected (BS 2573, BS EN 13001 or DIN 15000, BS EN14985, BS EN 13852, BS EN 60204-1 and 32, BS EN 13135, BS EN 13557, BS EN ISO 12100-1 & BS EN ISO 121002:+A1). | | | |
| BS EN ISO 12100-1 | <p>Safety of machinery</p> <p>General principles for design</p> <p>Risk assessment and risk reduction</p> | Edition 1 | Nov-10 |
| BS7121, ISO 4310 | Code of practice for the safe use of cranes, Cranes Test code and procedures | Unknown, Edition 2 | Feb-22, Jan-09 |
| Def Stan 59-411 Part 1-5 | Electromagnetic Compatibility | Part 1 Issue 3; Part 2, Issue 3; Part 3, Issue 3; Part 4, Issue 3; Part 5, Issue 3 | Jun-19 |
| BS 8444-3 | Risk management. Guide to risk analysis of technological systems | Unknown | Aug-96 |
| BS EN ISO 4414 | Pneumatic fluid power | Edition 3 | Nov-10 |

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|-----------------|--|-----------|------------|
| | General rules and safety requirements for systems and their components | | |
| BS EN ISO 4413 | Hydraulic fluid power General rules and safety requirements for systems and their components | Edition 3 | Nov-10 |
| BS EN 61340-5-1 | Electrostatics - Protection of electronic devices from electrostatic phenomena. General requirements | NA | Jun-24 |
| BS EN 60947 | Low-voltage switchgear and control gear | NA | Apr-23 |
| BS EN 60079 | Explosive atmospheres | NA | Nov-22 |
| BS EN 61000 | Electromagnetic compatibility (EMC) - Limits. Limitation of voltage changes, voltage fluctuations and flicker in public low-voltage supply systems, for equipment with rated current ≤ 16 A per phase and not subject to conditional connection | NA | Sep-22 |

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| ISO 19011 | Guidance for auditing management systems | Edition 3 | Jul-18 |
| ISO 7547 | Ships and marine technology | Edition 3 | Apr-22 |
| Def-Stan 00-51 | Environmental Management Requirements for Defence Systems | Part 1 - Issue 2; Part 2 - Issue 2 | Nov-21; Nov-21 |

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General

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|--|--|-----------|------------|
| Local Oil Spill Response Plans | The Dockyard Port of Portsmouth – Oil Spill Response Plan | | |
| | The Dockyard Port of Plymouth and Tamar Oil Spill Contingency Plan | Issue 7.2 | Feb-23 |
| | HMNB Clyde – Oil Spill Contingency Plan | NA | Dec-21 |
| UK National Standard for Marine Oil Spill Response Organisations | UK national standard for marine oil spill response organisations | Unknown | Dec-23 |

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| DEFSTAN 05-99 | Managing Government Furnished Equipment in Industry | Part 1 Issue 1; Part 2 Issue 1 | Jul-17 |
| DEFSTAN 01-005 | Fuel, Lubricants and Associated Products | 20 | Mar-23 |
| JSP 317 | Joint Service Safety Policy for the storage and handling of Fuels, Lubricants and Associated products | Version 7 | Nov-24 |
| DEFSTAN 91-004 | Fuel, Naval Distillate, NATO Codes: F-75 (Low Pour Point) & F-76, Joint Service Designations: DIESO F-75 & DIESO F-76 | 11 | Nov-20 |
| Marine Guidance Notes | Marine Guidance Note | Not specific | Not specific |

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|-----------|--|-----------|------------|
| HMRC 2005 | The Commissioners for Revenue and Customs Act 2005 | NA | 2005 |
| BRd 820 | Potable Water Management | NA | Jun-15 |

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|---|--|-------------|--------|
| BR 67 | Admiralty Manual of Seamanship | Version 2.0 | Dec-23 |
| Lloyds Register Rules and Regulations for the Classification of Ships | | | |
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| Port Marine Safety Code | Port Marine Safety Code | NA | Nov-16 |
| BRd 8754 | Submarine Towed Array Systems - Recovery and Deployment | Version 1.0 | Jul-20 |
| BR 2807 (5) (P) | (5) (P) Type C TMCC And Support Equipment | | |
| BR2807 (5) (P) (2) | TRANSPORTABLE MANNED COMPRESSION CHAMBER (TMCC) (SHIP FIT) | Edition 1 | Jan-19 |

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|-----------------|--|-----------|------------|
| BR 2807 (5) (K) | (5) (K) Type B 2CCC & Support Unit details | | |

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|---|---|--|-----------------------|
| DEFSTAN 68-284 | Maintenance of air standards | Issue 1.0 | Oct-20 |
| BR 2806 Supps 1-4; 6 | UK Military Diving Manual | NA | Apr-16 |
| JSP 456 | Defence Catering Manual | Version 1.1 | Dec-23 |
| KHM(P) Pilotage and Towage Directions (Defence Related Activities) | | Clyde 06/20; Plymouth Issue No. 6 | Jan-20; Mar-23 |
| KHM COPAP | KHM Code of Practice for the Embarkation and Disembarkation of Admiralty Pilots | Issue 7 | Nov 24 |
| BS EN 13765 | Thermoplastic multi-layer (non-vulcanized) hoses and hose assemblies for the transfer of hydrocarbons, solvents and chemicals. Specification | NA | Jul-18 |
| BS EN 1765 | Rubber hose assemblies for oil suction and discharge services. Specification for the assemblies | NA | Aug-16 |

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| JSP 418 | Management of Environmental Protection in Defence | Version 1.0 | Dec-14 |
| MOU between the MOD and the MCA (Affected Services) | MOU between the MOD and the MCA (Affected Services) | Issue 6 | Nov-24 |

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|-----------|---|---|---|
| BR 1043 | Gunnery and Guided Weapon Practices User Instructions | Version 1 | May-24 |
| JSP 800 | Defence Movement and Transport Policy | Volume 2 V8.24; Volume 3 V4.18; Dangerous Goods Manual V2.5; Volume 5 V10.8; Volume 6 V4.3; Volume 7 V4.2 | Feb-25; Dec-24; Jan-25; Oct-24; Dec-24; Nov-23 |

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| Merchant Shipping Notice 1837 (M) | Amendment 2. Categorisation of waters | Amendment 3 | May-24 |
| SOLAS XIV (international convention for safety of life at sea 1974) | | | |
| BR 9957 | MoD Mooring Manual | 1 | Sep-23 |
| BR 1313 | Maintenance Management in Surface Warships | | May-21 |
| BR 3019 | Naval Reactor Severe Accident Manual | Version 0.0 | Oct-18 |

| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
|-----------------|---|-------------------------------|-------------------|
| JSP 426 | Defence Fire Safety & Fire Risk Management Policy, Guidance and Information | Part 1, V1.0; Part 2, V1.1 | Nov-21; Nov-23 |
| JSP 319 Annex E | Storage Handling of Gases | Version 5 | Dec-22 |

| JSP 911 | Survival, Evasion, Resistance and Extraction (SERE) | Part 1 V3.2; Part 2 Volume 1: Survival V3.5; Part 2 Volume 2: Evasion V3.3; Part 2 Volume 3: Resistance V3.1; Part 2 Volume 4: Extraction V3.2; Part 2 Volume 5: Equipment to Aid Isolated Personnel V1.1; | Feb-22; Jan-24; Jan-24; Feb-22; Feb-22; Feb-22 |
|----------------------------|---|---|---|
| BR 767 | Naval Aviation Orders | Version 1.0 | Jan-24 |
| BR 9424 | Fleet Operating Orders | 3 | Oct-24 |
| BR 9600 | Ships General Orders | 4 | Dec-24 |
| Def Stan 02-174 | Requirements for Ship and Mooring Anchors | | |
| REFERENCE | DESCRIPTION | ISSUE NO. | ISSUE DATE |
| NSRS requirements document | Generic requirements relating to NSRS operational and embarkation requirements. | | Feb-19 |
| JSP 975 | MOD Lifting Policy | Version 1.1 | Jan-25 |

ANNEX

Sustainability

1 Definitions

In this Annex, the following definitions shall apply:

“Permitted Item” means those items which are permissible under this Contract to the extent set out in Table B of this Annex

“Prohibited Items” means those items which are not permissible under this Contract as set out at Table A of this Annex

“Waste Hierarchy” means prioritization of waste management in the following order of preference as set out in the Waste (England and Wales) Regulation 2011:

- (a) Prevention;
- (b) Preparing for re-use;
- (c) Recycling;
- (d) Other Recovery; and (e) Disposal.

2 Public Sector Equality Duty

2.1 In addition to legal obligations, where the Contractor is providing a Service to which the Public Sector Equality duty applies, the Contractor shall support the Authority in fulfilling its Public Sector Equality duty under S149 of the Equality Act 2010 by ensuring that it fulfils its obligations under the Contract in a way that seeks to:

2.1.1 eliminate discrimination, harassment or victimization and any other conduct prohibited by the Equality Act 2010; and

2.1.2 advance:

2.1.2.1 equality of opportunity; and

2.1.2.2 good relations,

between those with a protected characteristic (age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex, sexual orientation, and marriage and civil partnership) and those who do not share it.

3 Environmental Requirements

- 3.1 The Contractor must perform its obligations meeting in all material respects the requirements of all applicable Laws Contract regarding the environment.
- 3.2 The Contractor warrants that it has obtained relevant Environment Management System (EMS) certified to ISO 14001 or an equivalent certification from a UKAS accredited body and shall comply with and maintain certification requirements throughout the Term.
- 3.3 In performing its obligations under the Contract the Contractor shall, where applicable to the Contract, to the reasonable satisfaction of the Authority:
 - 3.3.1 demonstrate low carbon resource efficiency, including minimising the use of resources and responding promptly to the Authority's reasonable questions; 3.3.2 prioritise waste management in accordance with the Waste Hierarchy as set out in Law;
 - 3.3.3 be responsible for ensuring that any waste generated by the Contractor and sent for recycling, disposal or other recovery as a consequence of this Contract is taken by a licensed waste carrier to an authorised site for treatment or disposal and that the disposal or treatment of waste complies with the law;
 - 3.3.4 ensure that it and any third parties used to undertake recycling disposal or other recovery as a consequence of this Contract do so in a legally compliant way, and can demonstrate that reasonable checks are undertaken to ensure this on a regular basis and provide relevant data and evidence of recycling, recovery and disposal;
 - 3.3.5 in circumstances that a permit, licence or exemption to carry or send waste generated under this Contract is revoked, the Contractor shall cease to carry or send waste or allow waste to be carried by any Subcontractor until authorisation is obtained from the Environment Agency; minimise the release of greenhouse gases (including carbon dioxide emissions), air pollutants, volatile organic compounds and other substances damaging to health and the environment; and
 - 3.3.6 reduce and minimise carbon emissions by taking into account factors including, but not limited to, the locations from which materials are sourced, the transport of materials, the locations from which the work force are recruited and emissions from offices and on-site equipment.

3.4 In performing its obligations under the Contract, the Contractor shall to the reasonable satisfaction of the Authority (where the anticipated Charges in any Contract Year are above £5 million per annum (excluding VAT)), where related to and proportionate to the contract in accordance with PPN 06/21), publish and maintain a credible Carbon Reduction Plan in accordance with PPN 06/21.

3.5 The Contractor shall not provide to the Authority goods or deliverables which comprise wholly or partly of Prohibited Items unless such item is a Permitted Item.

3.6 The Contractor shall not use anything which comprises wholly or partly of the Prohibited Items to provide the Services under this Contract unless:

3.6.1 it is a Permitted Item; or

3.6.2 the use is primarily related to the management of the Contractor's own facilities or internal operations as opposed to the provision of Services.

3.7 The Contractor shall meet the applicable Government Buying Standards applicable to Services which can be found online at:

<https://www.gov.uk/government/collections/sustainable-procurement-the-government-buying-standards-gbs>

4 Supplier Code of Conduct

4.1 In February 2019, HM Government published a Supplier Code of Conduct setting out the standards and behaviours expected of contractors who work with government which can be found online at: https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/779660/20190220Supplier_Code_of_Conduct.pdf. The Authority expects to meet, and expects its Contractors and subcontractors to meet, the standards set out in that Code.

5 Reporting Requirements

The Contractor shall comply with reasonable requests by the Authority for information evidencing compliance with its sustainability obligations within fourteen (14) days of such request, provided that such requests are limited to two (2) per Contract Year.

Table A: Prohibited Items

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| The following consumer single use plastics are Prohibited Items: | Catering <ul style="list-style-type: none"> (a) Single use sachets e.g. coffee pods, sauce sachets, milk sachets (b) Take away cutlery (c) Take away boxes and plates (d) Cups made wholly or partially of plastic (e) Straws (f) Stirrers |
| | Facilities <ul style="list-style-type: none"> (a) Single use containers e.g. hand soap, cleaning products (b) Wipes containing plastic |
| | Packaging <ul style="list-style-type: none"> (a) Single use plastic packaging from deliveries where avoidable e.g. shrink wrapped packaging from office supplier or facilities products. (b) Single use carrier bags (c) Single use plastic water bottles |

Table B: Permitted Items

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| Project specific permitted items | There are no project specific permitted items |
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