**Annex D:** Warm Homes: Social Housing Fund Wave 3 Secondary Data Sources

DESNZ will provide data from the following sources for analysis to support the Wave 3 evaluation.

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| Data source | Description | When available | Key metrics include |
| Scheme monitoring information | MI data is expected to be one of the main secondary data sources used to indicate Wave 3’s progress. Analysing timely monitoring data will help answer evaluation questions about whether Wave 3 has achieved its outcomes, and whether its policy design has led to an improved targeting of measures. | On at most a monthly basis, via the Data Management System (DMS) | * Forecasts and progress against a common set of monthly KPIs * Project updates * Addresses and type of households in receipt of measures * Number of measures installed and the cost of measures * Project spend, including capital, administration and ancillary (A&A), and co-funding * Project outcomes (e.g. pre and post EPC rating) |
| Application data | This will contain a wealth of detail about successful and unsuccessful applicants, which will help DESNZ understand the types of social housing landlords each route appealed to. It also enables a comparison between plans and actual delivery. Analysis of application data will also inform sample decisions for the primary research with grant recipients. | Once successful projects have been announced | * Number, value and spending profiles of bids in each application route * Number and value of bids from consortia vs. non-consortia * Size of applicant organisation * Regional spread of projects * Scoring thresholds reached * Number of unsuccessful Strategic Partnership applicants who successfully convert to the Challenge Fund * Number and value of bids with previous experience vs. without * Proposed project outcomes |
| Official Statistics | Official Statistics are derived from the scheme monitoring data outlined above. As well as measure and cost details, these include modelled energy, carbon, and bill savings. **These modelled estimates will be the main source of building performance data for the Wave 3 evaluation** and will inform our understanding of whether Wave 3 has delivered intended benefits. We do not intend to measure in-use energy consumption as part of this contract.[[1]](https://ukc-word-edit.officeapps.live.com/we/wordeditorframe.aspx?new=1&ui=en-US&rs=en-US&wdenableroaming=1&mscc=1&hid=455742A1-902F-9000-8CC6-4293B22E26D2.0&uih=sharepointcom&wdlcid=en-US&jsapi=1&jsapiver=v2&corrid=ffa91f0b-75d3-2755-e6af-ca3a470a2323&usid=ffa91f0b-75d3-2755-e6af-ca3a470a2323&newsession=1&sftc=1&uihit=docaspx&muv=1&cac=1&sams=1&mtf=1&sfp=1&sdp=1&hch=1&hwfh=1&wopisrc=https%3A%2F%2Fbeisgov.sharepoint.com%2Fsites%2FEnergyEfficiencyandLocal%2F_vti_bin%2Fwopi.ashx%2Ffiles%2F8d4729f864824b72ab6d986ad3f34d5f&dchat=1&sc=%7B%22pmo%22%3A%22https%3A%2F%2Fbeisgov.sharepoint.com%22%2C%22pmshare%22%3Atrue%7D&ctp=LeastProtected&rct=Normal&wdorigin=DocLib&wdhostclicktime=1722605337158&wdredirectionreason=Unified_SingleFlush#_ftn1) | Published on a monthly basis throughout scheme delivery | * Number of measures installed * Measure costs * Pre- and post-installation EPC ratings * Modelled annual energy, carbon and bill savings by measure type * Modelled lifetime annual energy, carbon and bill savings by measure type |
| Phase request data | Phase requests will provide a more detailed breakdown of Challenge Fund projects’ planned measures and their costs. Analysing these will provide insight into how grant recipients divide their phases, which in turn provides insight into how they deliver social housing retrofit (for example, retrofitting area-by-area). | Throughout delivery when Challenge Fund projects submit phase requests | * Number of properties (and proportion of total planned properties) per phase * Total grant funding requested, and co-funding contributed, per phase * Number and type of measures * Number of phase requests per project * Justification for phase splits |
| RISE[[1]](#footnote-1) data | Information from the RISE service will provide additional insight into the application process and support available, against which primary research with grant recipients and scheme stakeholders can be triangulated. It may also provide some insight into unsuccessful applicants, who we do not plan to undertake separate evaluation research with. | Early stages of delivery | * Conversion rate from accessing RISE support to submitting a successful application * RISE web analytics (e.g. page views, average time on page) * Number of organisations supported to form consortia, develop project proposals, and develop stock understanding * Number of organisations attending sessions * User feedback on RISE (e.g. customer feedback forms and surveys) |
| Project change request data | Though the design of Wave 3 seeks to minimise the need for change requests, this process may still be required, and therefore deliver data, on an ad hoc basis throughout delivery. Analysing the range and nature of project change requests will test the assumptions upon which the funding models are based and further inform our understanding of delivery challenges faced. | Throughout delivery | * Number of and reasons for change requests * Characteristics of projects submitting higher numbers of change requests * New project baselines |
| Delivery Partner reports | Regular reports delivered by the Delivery Partner throughout scheme delivery will provide additional insight into delivery progress, enablers and barriers. This will be a mixture of quantitative and qualitative data. | Throughout delivery | * Risk registers * Progress towards milestones and KPIs * RAG ratings * Learning logs |
| Trustmark data[[2]](#footnote-2) | Trustmark lodgements can be triangulated with project monitoring data and address evaluation questions about whether Wave 3 has achieved its outcomes, and whether its policy design has led to an improved targeting of measures, as well as questions related to the supply chain. | Throughout delivery | * Lodgement information about measures and property type * Pre- and post-installation EPC ratings * Number of installers, lodging parties and assessors associated with Wave 3 * Date of installer registration/accreditation |

1. [Retrofit Information, Support & Expertise](https://riseretrofit.org.uk/) (formerly the Social Housing Retrofit Accelerator (SHRA)) is a free support service funded by DESNZ which provides training, guidance and support to help social housing providers, local authorities and their supply chains across England plan and deliver successful domestic retrofit programmes. Support includes high quality training, events, one-to-one mentoring, and a comprehensive online hub containing resources and an ongoing learning and development programme. Support is offered which is relevant to those at the very start of their retrofit journey, and those with more experience who are looking to expand and improve.  [↑](#footnote-ref-1)
2. Trustmark is the UK’s Government-Endorsed Quality Scheme for work carried out in and around the home. All measures delivered by a government scheme must be ‘lodged’ in the Trustmark Retrofit Portal to be eligible for grant funding. This should be done within 20 working days of completion. [↑](#footnote-ref-2)