**modification notice: 29.09.21**

| **OJEU Section** |  |
| --- | --- |
| **Section I: Contracting authority/entity** | |
| **I.1: Name, addresses and contact point(s)** | Official name: Social Mobility Commission  Postal address: Sanctuary Buildings, Great Smith Street Town: London NUTS code: UKI32 Westminster Postal code: SW1P 3BT Country: United Kingdom E-mail: [colin.seymour@cabinetoffice.gov.uk](mailto:colin.seymour@cabinetoffice.gov.uk) |
| **Section II: Object** | |
| **II.1.1: Title** | Contract for the Next Generation:30 Years Projects |
| **II.1.2: Main CPV code** | Social research services – 79315000  Supplementary CPV code: RD07: In social sciences |
| **II.1.3: Type of contract** | Services |
| **II.2.2: Additional CPV codes** | 73210000-7 Research consultancy services  98200000-5 Equal opportunities consultancy services  Research and development services and related consultancy services - 73000000 |
| **II.2.3: Place of performance** | UK wide |
| **II.2.4: Description of the procurement at the time of conclusion of the contract** | At the time the contract was concluded (i.e. 20 January 2020), its estimated value fell below the relevant threshold stated in Regulation 5.1(b) of the Public Contract Regulations 2015 and as such, was not advertised in the Official Journal of the European Union. However, the opportunity was advertised in Contracts Finder on 28 October 2019 and a description of the original contract can be found at <https://www.contractsfinder.service.gov.uk/notice/56582a9d-e819-4b88-a881-f61f450c2a25?origin=SearchResults&p=1> (the “Contract”).  The Contract required the supplier (Nuffield College) to perform a number of tasks (to be delivered in the form of ‘outputs’) for the benefit of the Social Mobility Commission (“SMC”) including:   1. a short report detailing the literature on social mobility over the last 30 years and future trends, including key policy areas that affect social mobility; 2. statistical work on projections of future trends and revise draft literature review as required; 3. development of theory of change and accompanying visuals; 4. prepare/provide four workshops/webinars with academics, practitioners/stakeholders, policymakers and ‘wash-up’; 5. statistical analysis to provide a longitudinal assessment of annual and interim measures over the last 10-20 years; 6. develop additional long-term metrics, subject to the findings of the longitudinal assessment; 7. prepare, in collaboration with SMC staff, a short report detailing the long-term vision of the SMC; 8. prepare a final report, including the literature review, theory of change, and new metrics for the measurement framework, a concise and newsworthy summary of findings, plus the full details of the methodology, data and analysis.   The contract was originally intended to expire on 31 January 2021, unless the SMC exercised an option to extend the contract for a further two months subject to satisfactory performance (which the SMC subsequently exercised). The contract was further extended on 25 March 2021 for a further 7 months to provide additional time to complete ‘output 8’ (i.e. the preparation of the final report). |
| **II.2.7: Duration of the contract, framework agreement, dynamic purchasing system or concession** | 12 months originally, was subsequently extended by an additional 7 months |
| **II.2.13: Information about European union Funds** | N/A |
| **Section IV: Procedure** | |
| **IV.2.1: Contract award notice concerning this contract** | https://www.contractsfinder.service.gov.uk/notice/e6e518ef-f977-45af-899d-1ee62c6bb78b?origin=SearchResults&p=1 |
| Section V: Award of contract/concession | |
| Contract No: Lot No: Title: | con\_6123  Contract for the Next Generation:30 Years Projects |
| **V.2.1: Date of conclusion of the contract** | 20 January 2021 |
| **V.2.2: Information about tenders** | N/A |
| **V.2.3: Name and address of the contractor** | The Warden and Fellows of Nuffield College in the University of Oxford,  New Road,  Oxford,  OX1 1NF  United Kingdom |
| **V.2.4: Information on value of contract/lot/concession (at the time of conclusion of the contract;** (excluding VAT) | £93,966.66 |
| **Section VI: complementary information** | |
| **VI.3: Additional information** |  |
| **VI.4.1: Review body** | Official name: The High Court Postal address: Strand Town: London Postal code: WC2A 2LL Country: United Kingdom Internet address: <https://www.gov.uk/courts-tribunals> |
| **VI.4.2: Body responsible for mediation procedures** | N/A |
| **VI.4.3: Review procedure** |  |
| **VI.4.4: Service from which information about the review procedure may be obtained** | See Section I.1 above |
| **VI.5: Date of dispatch of this notice** | 1 October 2021 |
| **Section VII: modifications to the contract** | |
| **VII.1.1 Main CPV code** | 793150000-5 - Social research services |
| **VII.1.2 Additional CPV code(s)** | 73210000-7 Research consultancy services  98200000-5 Equal opportunities consultancy services  73000000 – Research and development services and related consultancy services |
| **VII.1.3 Place of performance** | UK wide |
| **VII.1.4 Description of the procurement** | As explained in Section II.2.4 above, the SMC advertised the Contract in Contracts Finder and undertook a competitive process. As the estimated value of the procurement fell below the threshold for the Public Contracts Regulations 2015 to apply, the SMC was not obliged to observe a process pursuant to the Regulations. |
| **VII.1.5 Duration of the contract, framework agreement, dynamic purchase system or concession** | 24 [*months, i.e. January 2020 – December 2021*] |
| **VII.1.6 Information on value of the contract/lot/concession (excluding VAT)** | 140 000 GBP |
| **VII.1.7 Name and address of the contractor/concessionaire 1** | The Warden and Fellows of Nuffield College in the University of Oxford,  New Road,  Oxford,  OX1 1NF  United Kingdom |
| **VII.2.1 Description of the modifications** | The original Contract has been modified to:   * extend the end date to 31st October 2021 with an option to extend the contract for an additional 2 months if required; * extend the deadline for the delivery of the final report to 31 October 2021; * accommodate additional services which have become necessary to be performed by the Contractor including:  1. an additional set of workshops with stakeholders to test reactions to the proposed measurement framework; 2. develop metrics for the 'drivers' which were not mentioned in the original contract in addition to developing additional new short-term metrics as well as developing the long-term metrics mentioned in the original contract; 3. conducting a longitudinal assessment of all the new metrics, in addition to the existing/original metrics; 4. produce an expanded, updated and publishable Literature Review on social mobility; 5. further editing and assistance with a project question and answer (Q&A) exercise due to take place in October 2021 to incorporate comments from Commissioners; and 6. to provide the SMC with a database evidencing the source of the underlying data for each figure or chart used in the final report, and  * include additional payment provisions in light of the additional work required as outlined above, which increases the original contract value by £40,000. |
| **VII.2.2 Reasons for modification** | Additional services from the original contractor are required, which have become necessary and were not included in the initial procurement as further described in Regulation 72(1)(b) of the Public Contracts Regulations 2015.  The additional services are necessary because:  **Additional set of workshops with stakeholders (Output 9) -** as the Project made progress on developing the measures that would form part of the framework, it became clear that many of the measures that the SMC would ideally have liked, were not available and also that further measures (deliverables/outputs) needed to be added due to unforeseen ministerial interest, particularly in measures related to business and enterprise. This meant that the SMC also had to ensure that the Contractor tests new potential measures with expert stakeholders, to guarantee that nothing has been missed. The alternative (of not including these necessary new outputs), would have been a very incomplete framework document, which would have gone against the original project objectives.  **Final draft of additional metrics and metrics for the ‘drivers’ (Output 10) -** Related to the above rationale for Output 9, the final set of measures needed to be calculated and commented on.  **Final draft of longitudinal assessment of all the new metrics (Output 11) -** again, related to the above rationale for Output 9, the final set of measures needed to be calculated and commented on.  **Final draft of Literature Review (Output 12)** - since the framework has become more complex than was originally expected, it's become necessary to publish a literature review explaining the theory of change behind the measures. A Theory of Change describes how change is assumed to come about through intervention in a prevailing situation. The theory is usually laid out in a diagram showing the connections between interventions and outcomes – the causal pathways or results chains. But more than this, it makes clear that these causal pathways rest on a set of assumptions. And these assumptions have varying degrees of evidence to support them. It makes all three things explicit: causal pathways, assumptions, and evidence.  **Database of underlying data (Output 13) -** the accessibility regulations came into force for public sector bodies on 23 September 2018. They say that government bodies like the SMC must make websites or mobile apps more accessible by making them ‘perceivable, operable, understandable and robust’. All newly published SMC reports must be compliant with the accessibility regulations.  **Extension of time and increased price –** it would not be possible to provide the above additional outputs without an extension of time. In addition as these are additional services, the SMC is required to remunerate the Contractor for those services.  A change of Contractor cannot be made as the additional services require the unique knowledge, research and ways of working established by the Contractor during the course of the original contract. Further, it would cause significant inconvenience and/or substantial duplication of costs, if the SMC appointed an alternative Contractor to undertake the additional works, which would undoubtedly require a significant transition period which would result in significant delays to the delivery of the project.  Many of the circumstances leading to the requirement to extend the timeframe of the contract relate to delays experienced due to the COVID-19 pandemic, which delayed the process of the Contractor from undertaking their duties which required direct interaction with SMC staff. Certain of those staff were redeployed to other parts of government as part of the government’s overall response to the crisis. The SMC also relocated from the Department of Education to the Cabinet Office. The significant change in working practices and the transfer of records and equipment further limited the ability of the SMC staff to engage with the Contractor. |
| **VII.2.3 Increase in price** | Before the modification: **£93,966.66 (excluding VAT)**  After the modification: **£133,966.66 (excluding VAT)** |