



**Framework:** Collaborative Delivery Framework  
**Supplier:** VolkerStevin Ltd  
**Company Number:** 00288392

**Geographical Area:** South East  
**Project Name:** Worthing Capital Maintenance - Options Appraisal  
**Project Number:** ENV0003857C

**Contract Type:** Professional Service Contract  
**Option:** Option C

**Contract Number:** 34470

**Stage:** SOC\_to\_OBC

Revision	Status		Originator		Reviewer		Date

**PROFESSIONAL SERVICE CONTRACT under the Collaborative Delivery Framework**  
**CONTRACT DATA**

**Project Name** Worthing Capital Maintenance - Options Appraisal  
**Project Number** ENV0003857C

This contract is made on 16 September 2022  
between the *Client* and the *Consultant*

- This contract is made pursuant to the Framework Agreement (the "Agreement") dated 01st day of April 2019 between the *Client* and the *Consultant* in relation to the Collaborative Delivery Framework. The entire agreement and the following Schedules are incorporated into this Contract by reference
- Schedules 1 to 22 inclusive of the Framework schedules are relied upon within this contract.
- The following documents are incorporated into this contract by reference  
Worthing Capital Maintenance Early Supplier Engagement (ESE) Scope SOC to OBC (Final)

**Part One - Data provided by the *Client***  
**Statements given in all Contracts**

**1 General**

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017.

Main Option	Option C	Option for resolving and avoiding disputes	W2
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**Secondary Options**

X2: Changes in the law  
X9: Transfer of rights  
X10: Information modelling  
X11: Termination by the *Client*  
X18: Limitation of liability  
X20: Key Performance Indicators  
Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996  
Y(UK)3: The Contracts (Rights of Third Parties) Act 1999  
Z: *Additional conditions of contract*

The *service* is To provide Early Supplier Engagement support during options appraisal for the Worthing Capital Maintenance project, as per the Scope

The *Client* is  
Address for communications

Address for electronic communications

The *Service Manager* is  
Address for communications

Address for electronic communications

The *Scope* is in  
Worthing Capital maintenance Early Supplier Engagement (ESE) Scope SOC to OBC (Final)

The *language of the contract* is English

The *law of the contract* is  
the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

The *period for reply* is 2 weeks

The *period for retention* is 6 years following Completion or earlier termination

The following matters will be included in the Early Warning Register  
None

Early warning meetings are to be held at intervals no longer than 2 weeks

**2 The *Consultant's* main responsibilities**

The *key dates and conditions* to be met are  
*conditions* to be met  
None Set

*key date*  
None Set

The *Consultant* prepares forecasts of the total Defined Cost plus Fee and *expenses* at intervals no longer than 4 weeks

### 3 Time

The starting date is	26 September 2022
The Client provides access to the following persons, places and things	
access	access date
Asite	28 September 2022
Sharepoint	28 September 2022
FastDraft	03 October 2022
The Consultant submits revised programmes at intervals no longer than	4 weeks
The completion date for the whole of the service is	27 January 2023
The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is	4 weeks

### 4 Quality management

The period after the Contract Date within which the Consultant is to submit a quality policy statement and quality plan is	4 weeks
The period between Completion of the whole of the service and the defects date is	26 weeks

### 5 Payment

The currency of the contract is the £ sterling	
The assessment interval is	Monthly
The Client set total of the Prices is	£8,750.00
The expenses stated by the Client are as stated in Schedule 9	
The interest rate is	2.00% per annum (not less than 2) above the
Base rate of the	Bank of England
The locations for which the Consultant provides a charge for the cost of support people and office overhead are	All UK Offices

If Option C is used

The Consultant's share percentages and the share ranges are:				Consultant's share percentage
	share range			0 %
less than		80 %		
from	80 %	to	120 %	as set out in Schedule 17
greater than		120 %		as set out in Schedule 17

### 6 Compensation events

These are additional compensation events
1. Not used

### 8 Liabilities and insurance

These are additional Client's liabilities
1. Not used

The minimum amount of cover and the periods for which the Consultant maintains insurance are		
EVENT	MINIMUM AMOUNT OF COVER	PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE SERVICE OR TERMINATION
The Consultant's failure to use the skill and care normally used by professionals providing services similar to the service	£5,000,000 in respect of each claim, without limit to the number of claims	12 years after Completion
Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the Consultant) arising from or in connection with the Consultant Providing the Service	£15,000,000 in respect of each claim, without limit to the number of claims	12 years after Completion
Death of or bodily injury to the employees of the Consultant arising out of and in the course of their employment in connection with the contract	Legal minimum in respect of each claim, without limit to the number of claims	For the period required by law
The Consultant's total liability to the Client for all matters arising under or in connection with the contract, other than the excluded matters is limited to	£5,000,000	

### Resolving and avoiding disputes

The tribunal is litigation in the courts	
The Adjudicator is	'to be confirmed'
Address for communications	'to be confirmed'
Address for electronic communications	'to be confirmed'
The Adjudicator nominating body is	The Institution of Civil Engineers

## **Z Clauses**

### **Z1 Disputes**

Delete existing clause W2.1

### **Z2 Prevention**

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replaced by:

The *service* is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the *Consultant* and sub consultants,
- Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- Natural disaster,
- Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

### **Z3 Disallowed Costs**

Add the following in second bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken).

Add the following additional bullets after 'and the cost of':

- Mistakes or delays caused by the *Consultant's* failure to follow standards in Scopes/quality plans
- Reorganisation of the *Consultant's* project team
- Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats
- Exceeding the Scope without prior instruction that leads to abortive cost
- Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors
- Production or preparation of self-promotional material
- Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)
- Any hours exceeding 8 per day unless with prior written agreement of the *Service Manager*
- Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the *Service Manager*
- Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the *Service Manager*
- Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to *Consultant* performance
- Costs associated with rectifications that are due to *Consultant* error or omission
- Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement
- Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
- Was incurred as a result of the *Client* issuing a Yellow or Red Card to prepare a Performance Improvement Plan
- Was incurred as a resulting of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

### **Z4 Share on termination**

Delete existing clause 93.3 and 93.4 and replace with:

93.3 In the event of termination in respect of a contract relating to services there is no *Consultant's* share'

### **Z6 The Schedule of Cost Components**

The Schedule of Cost Components are as detailed in the Framework Schedule 9.

### **Z7 Consultant's share**

After c154.2 and before c154.3, insert the following additional clause:

54.2A If, prior to the Completion Date, the Price for Service Provided to Date exceeds 118% of the total of the Prices, the amount in excess of 118% of the total of the Prices is retained from the *Consultant*.

### **Z23 Linked contracts**

Issues requiring redesign or rework on this contract due to a fault or error of the *Consultant* will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract under this project or programme.

### **Z24 Requirement for Invoice**

Add the following sentence to the end of clause 51.1:

The Party to which payment is due submits an Invoice to the other Party for the amount to be paid within one week of the *Service Manager's* certificate.

Delete existing clause 51.2 and replace with:

51.2 Each certified payment is made by the later of

- one week after the paying Party receives an invoice from the other Party and
  - three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.
- If a certified payment is late, or if a payment is late because the *Service Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

### **Z25 Risks and Insurance**

The *Consultant* is required to submit insurances annually as Clause Z4 of the Framework Agreement

## Secondary Options

### OPTION X2: Changes in the law

The *law of the project* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

### OPTION X10: Information modelling

The period after the Contract Date within which the *Consultant* is to submit a first Information Execution Plan for acceptance is 2 weeks

### OPTION X18: Limitation of liability

The *Consultant's* liability to the *Client* for indirect or consequential loss is limited to £1,000,000

The *Consultant's* liability to the *Client* for Defects that are not found until after the *defects date* is limited to

£5,000,000

The *end of liability* date is 6 years after the Completion of the whole of the service

### OPTION X20: Key Performance Indicators (not used with Option X12)

The *incentive schedule* for Key Performance Indicators is in Schedule 17

A report of performance against each Key Performance Indicator is provided at intervals of 3 months

### Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

The period for payment is 14 days after the date on which payment becomes due

### Y(UK)3: The Contracts (Rights of Third Parties Act) 1999

term beneficiary

Not used Not used

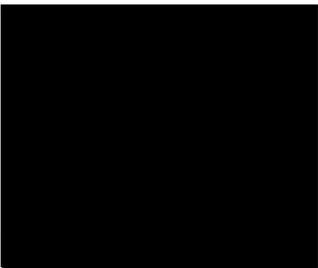
Part Two - Data provided by the Consultant

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The Consultant is  
Name VolkerStevin Ltd

Address for communications



Address for electronic communications

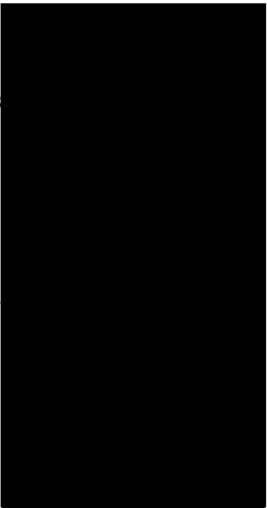
The fee percentage is

Option C

12.90%

The key persons are

Name (1)  
Job  
Responsibilities  
Qualifications  
Experience



Name (2)  
Job  
Responsibilities  
Qualifications  
Experience

Name (3)  
Job  
Responsibilities  
Qualifications  
Experience

Name (4)  
Job  
Responsibilities  
Qualifications  
Experience

Name (5)  
Job  
Responsibilities  
Qualifications  
Experience

Name (6)  
Job  
Responsibilities  
Qualifications  
Experience

Name (7)  
Job  
Responsibilities

Qualifications  
Experience

The following matters will be included in the Early Warning Register

**3 Time**

The programme identified in the Contract Data is

To be confirmed

**5 Payment**

The *activity schedule* is

**Resolving and avoiding disputes**

The *Senior Representatives* of the *Consultant* are

Name (1)

Address for communications

Address for electronic communications

Name (2)

Address for communications

Address for electronic communications

**X10: Information Modelling**

The *information execution plan* identified  
in the Contract Data is



Contract Execution

Client execution

Signed Underhand by [PRINT NAME] for and on behalf of the Environment Agency

[Redacted signature area]

Consultant execution

Signed Underhand by [PRINT NAME] for and on behalf of VolkerStevin Ltd

[Redacted signature area]

[Redacted signature area]