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| **NEC logo** 3 | Engineering and Construction |
| **Short Contract** |
| **A contract between** | Westcountry Rivers Trust |
|  | Rain-Charm House, Kyl Cober Parc, Stoke Climsland, Cornwall, PL17 8PH |
|  |  |
| **and** | TBC |
|  |  |
|  |  |
| **for** | Fish Passage ImprovementCraigshill Wood Gauging Weir |
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|  | Conditions of Contract | CC1 |
| **1** |

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| Contract Data |
|  | The *Employer* is |
| Name | Westcountry Rivers Trust |
| Address | Rain-Charm House, Kyl Cober Parc, Stoke Climsland, Cornwall, PL17 8PH  |
| Telephone | 01579 372140  |
| E-mail address | W4Gfishpassage@wrt.org.uk  |
| The *works* are | The lowering of part of the existing pre-barrage to facilitate a dry working area. Removal of existing baffles bolted to the weir glacis and the making good of fixing holes and any scour to the weir glacis with proprietary repair mortar to form uniform glacis surfaces true in line and level tying in seamlessly with adjoining unscoured areas. The structural design and construction of the extension of the central weir glacis and adjoining wingwalls by approximately 2.6m downstream. The fixing of new Fish Brush fish passage systems and the reconstruction of the part of existing pre-barrage lowered to facilitate a dry working area. |
| The *site is*  | Craigshill Wood GS, SX18444 65997. Refer to location plan drawing 01. |
| The *starting date* is | 30 April 2020 |
| The *completion date* is | 30 September 2020  |
| The *period for reply* is | One | week. |
| The *defects date* is  | One | year after Completion. |
| The *defect correction period* is | Four | weeks. |
| The *delay damages* are | £250 | per week. |
| The *assessment* *day* is the | last Thursday | of each month. |
| The *retention* is | Five | %. |
| Does the United Kingdom Housing Grants, Construction and Regeneration Act (1996) apply? |  No |
|  | The *Adjudicator* is  |
| Name | Construction Industry Council (CIC) |
| Address | Construction Industry Council (CIC)The Building Centre26 Store StreetLondonWC1E 7BT |
|  |  |
| Telephone | 020 7399 7400 |
| E-mail address | enquiries@cic.org.uk |
| **2** |

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| Contract Data |
| The interest rate on late payment is 0.15 % per complete week of delay. |
| The *Contractor* is not liable to the *Employer* for loss of or damage to the *Employer*’s  |
| property in excess of | £10,000,000 for any one event. |
|  |
| The *Employer* provides thisinsurance | None |
|  |  |
| The minimum amount of cover for the third insurance (Public Liability) stated in the |
| Insurance Table is |  £5,000,000 |
| The minimum amount of cover for the fourth insurance (Employers Liability) stated in the  |
| Insurance Table is | £10,000,000 |
| The *Adjudicator nominating body* is |  Construction Industry Council (CIC) |
| The adjudication procedure is | The current CIC Model Adjudication Procedure  |
| The *conditions of contract* are the **NEC3 Engineering and Construction Short Contract (June 2005 with amendments September 2011)** and the following additional conditions |
| **Security** | **Z1**Z1.1 | The *Contractor* submits to the *Employer* details of people who are to be employed by him and his Subcontractors in connection with the *works*. The details include a list of names and addresses, the capacities in which they are employed, insurance details and other information required by the *Employer*.  |
|  | Z1.5 | The *Contractor* does not take photographs of the *site* or the *works* or any part of them unless he has obtained the acceptance of the *Employer*. |
|  | Z1.6  | The *Contractor* takes the measures needed to prevent his and his subcontractors’ people taking, publishing or otherwise circulating such photographs. |
| **Parent Company Guarantee** | **Z2**Z2.1  | It is a condition precedent to the obligation of the *Employer* to pay any sums under this contract that if the *Contractor* is a subsidiary within the meaning of the Companies Act 2006 the *Contractor* has provided to the *Employer* a parent company guarantee in the form set out in this contract duly executed as a deed by the *Contractor’s* ultimate parent. |
| **Principal Contractor** | **Z3** Z3.1  | The *Contractor* is the Principal Contractor in terms of The Construction (Design and Management) Regulations 2015. |
|  | **Z3.2** | The *Employer* is the Principal Designer in terms of The Construction (Design and Management) Regulations 2015. |
|  |  | **3** |
| **The Contracts (Rights of Third Parties) Act 1999** | **Z4**Z4.1  | For the purposes of the Contracts (Rights of Third Parties) Act 1999, nothing in this contract confers or purports to confer on a third party any benefit or any right to enforce a term of this contract. |
| **Subcontracting** | **Z5** Z5.1  | The *Contractor* submits the name of each proposed Subcontractor and/or *Consultant* to the *Employer* for acceptance; details of their appropriate insurance cover will also be required. A reason for not accepting the Subcontractor is that his appointment will not allow the *Contractor* to provide the *works*. The *Contractor* does not appoint a proposed Subcontractor until the *Employer* has accepted him. |
| **Dispute Resolution** | **Z9**Z9.1 | Disputes between Employer and Contractor are to be resolved in the first instance by the Project Managers for the Employer and Contractor. Where a resolution between these two designated individuals is not possible, the dispute should be escalated to the Chief Executive of the parties for resolution. Should the Chief Executives fail to reach agreement the dispute is to be referred to a neutral third party for mediation. Only when these steps fail should the dispute be resolved in line with the Contract Data as set out on page 3 of this contract.  |
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| **4** |

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| Contract Data |
| The *Contractor*’s Offer |
| The *Contractor* is |
| Name | TBC |
| Address |  |
| Telephone |  |
| E-mail address |  |
|  |
|  The percentage for overheads and profit added to the Defined Cost for people is %. The percentage for overheads and profit added to other Defined Cost is %. |
| The *Contractor* offers to Provide the Works in accordance with the *conditions of contract* for an amount to be determined in accordance with the *conditions of contract.* |
| The offered total of thePrices is |  |
|  |  |
|  | **Enter the total of the Prices from the Price List.** |  |
| Signed on behalf of the *Contractor* |  |
| Name |  |
| Position |  |
|  |
|  Signature |  | Date  |
| The *Employer*’sAcceptance |
| The *Employer* accepts the *Contractor*’s Offer to Provide the WorksSigned on behalf of the *Employer* |
| Name |   |
| Position |   |
|  |
| Signature |   | Date  |
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| **5** |

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| Contract Data |
| Price List |
| **PREAMBLE TO THE PRICE LIST**The Prices entered against each item in the Price List shall allow for all costs of performing or procuring all activities and obligations required and described in, or that can be reasonable inferred from, the contract, other than any which are specifically described in the contract as costs to be borne by the *Employer*.The Price List forms the basis for payment. The amount entered against an item shall be the sum due to the *Contractor* on completion of the item. The *Employer* will not make any payment for work other than against completed items in the Price List. Completion of an item is deemed to include supplying the *Employer* with any relevant certificates relating to Plant, Materials and tests applicable to the *works* contained in the item. The costs of any activities which have not been included within the Price List shall be deemed to have been included within the prices for the other items within the Price List.Items in the Price List must be for discrete, clearly identifiable sections of work. Because payment depends on the satisfactory completion of items it is necessary to define tangible completion for items where completion is not physically obvious.Every item in the Price List upon which the quotation has been based and for which the contractor would expect to be paid under any ensuing contract shall be legibly priced in ink.Each item must be given a unique and logical reference number.It must be possible to identify items in the Price List with work items shown on the programme required by the contract.The contractor may add additional items to the Price List to ensure that he can be paid for fulfilling his obligations under the contract, particularly the requirements and conditions described in the Works Information.The contractor may expand each item into as many sub-items as he wishes, bearing in mind that one aim of using a Price List is to simplify the assessment of payments due to the *Contractor*.Separate sub-total sums of the Prices for all the sub-items within each item must be shown, and these item sub-totals must be added together to form the total amount of the Quotation. Sub-items need not be site construction activities. The various items of contractor’s input, the preparation of the CDM Construction Phase Health and Safety Plan, making a down payment for materials, undertaking contractor’s design or off-site works may be legitimate sub-items, but it must be possible to identify tangible completion for items where completion is not physically obvious.The contractor shall note that the *Employer* retains the right to omit any of the items as required to conform to the allowable budget for the project. Where items listed by the contractor in the Price List are to be omitted, notification will be given to the contractor by the *Employer*.  |
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| **6** |
| **Entries in the first four columns in this Price List are made either by the Employer or the contractor.****If the Contractor is to be paid an amount for the item which is not adjusted if the quantity of work in the item changes, the contractor enters the amount in the Price column only; the Unit, Quantity and Rate columns being left blank.****If the Contractor is to be paid an amount for the item of work which is the rate for the work multiplied by the quantity completed, the contractor enters the rate which is then multiplied by the expected quantity to produce the Price, which is also entered.** |
| **Item number** | **Description** | **Unit** | **Quantity** | **Rate** | **Price** |
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|  | **The total of the Prices, excluding VAT**  | £ |  |
|  |  |  |  |  |  |
|  | **7** |

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| Contract Data |
| Works Information |
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| **1 Description of the *works*** |
| The works seek to optimise up and downstream fish passage at Craigshill Wood Gauging Weir for salmonids. It is proposed to remove the existing low-cost baffles and make good fixing holes and any scour damage with proprietary repair mortar to form uniform glacis surfaces true in line and level tying in seamlessly with adjoining unscoured areas to the existing weir glacis. The appointed Contractor is to supply the structural design and construction of the extension of the central weir glacis and adjoining wingwalls by approximately 2.6m downstream and the fixing of a Fish Brush fish passage system to the downstream glacis of the weir; all Fish Brush Heads required will be supplied to the appointed contractor free of charge. A functioning eel passes already exist at the site.The Brush Head arrangement requires differing height Fish Brushes as indicated on the proposal drawings. The contractor is to act as the Principal Contractor for the purposes of CDM2015 and, amongst all other duties required of them under this legislation, undertake appropriate utilities/services searches to ensure the works do not compromise any existing services within the work areas and are undertaken safely. The site is extremely sensitive lying within a County Wildlife Site. All works must comply with current industry standard best practice appropriate for the operations required, all latest editions of relevant British and European Standards, the Civil Engineering Specification for the Water Industry and PPG5 - Pollution Prevention Guidelines - Works and maintenance in or near water. The site location plan and project drawings are listed below. The Contractor is to prepare a Method Statement for the undertaking of the works, including all temporary works required; a Construction Phase Health and Safety Plan is also required. A programme must also be prepared demonstrating completion of the works by 30 September 2020 at the latest (subject to consents being in place and favourable weather / river conditions). Once consents are in place (to be sought by the employer) and in times of suitable river and weather conditions, the Contractor is to undertake the works as agreed and in full compliance with this contract.  |
| **8** |
| **2 Drawings** |
|  |  |  |
|  | **Drawing number** | **Revision** | **Title** |  |
|  |  |  |  |  |
|  | 01 | 0 | Site Location Plan  |  |
|  | 0203 | 00 | Site Block Plan – Craigshill Wood GSExisting Layout – Craigshill Wood GS |  |
|  | 04 | 0 | Proposed Layout – Craigshill Wood GS |  |
|  | 05 | 0 | Section & Details – Craigshill Wood GS |  |
|  | 06 | 0 | Temporary Works – Craigshill Wood GS |  |
|  | - | - | Fish Brush Assembly 2020 |  |
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|  | **9** |

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| Contract Data |
| Works Information |
| **3 Specifications** |
|  | **Title** | **Date or revision** | **Tick if publicly available** |  |
|  | Pollution Prevention Guidelines 5 (PPG5) Working in or Near a Watercourse | October 2007 | **** |  |
|  | Civil Engineering Specification for the Water Industry 7th Edition  | 7th Edition | **** |  |
|  | The *works* are to be carried out in a manner that is sympathetic to the surrounding environment. Anti-pollution measures must be in place to prevent water pollution and all work and machinery must conform to Pollution Prevention Guidelines 5 (PPG5) Working in or Near a Watercourse.All proposed works must conform to all relevant British and European Standards and current Best Practice Guidelines. |  |
|  |
| **4 Constraints on how the *Contractor* Provides the Works** |
|  | All works must be undertaken in accordance with the drawings and documents provided. The site is not available for occupation by the Contractor until 30 April 2020.All ‘works must be complete by 30 September 2020, preferably earlier. The flow regime during the summer months on the St Neot River is expected to be high due to water releases from Colliford Reservoir. Summer flows in the St Neot River are usually high in summer with low flows only available in Spring and Autumn. All ‘in river’ work areas must be suitably bunded to form secure, fully enclosed, dry working area. Access to the Craigshill Wood site is via a private forestry track; all access to and from the site is to be in close liaison with WRT. The appointed contractor has to provide a safe method of work for entering the site and secure suitable working areas. The site is, as far as practical, to be left in an ‘as found’ condition.  |  |
|  | **10** |

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| Contract Data |
| Works Information |
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| **5 Requirements for the programme** |
|  |  |  |  |  |  |
| The Contractor is to provide an estimated duration for the undertaking of the works, subject to weather and river conditions, and an indication of when they propose to commence the work.  |
| The Contractor is to be out of the river and the works complete by the 30 September 2020 at the latest.  |
|  |
| **6 Services and other things provided by the *Employer*** |
|  |  |  |
|  | **Item** | **Date by which it** **will be provided** |  |
|  |  |
| - |  - |
|  | **11** |
| Contract Data |
| Site Information |
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| **Site Location –** drawing 01 indicates the location of Craigshill Wood Gauging Station. |
| **Access Routes and Site Boundaries –** please refer to drawings 02, site block plan. The contractor is todetail in their Method Statement their preferred method of work including pollution prevention measures, access and temporary works.  |
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|  | **12** |