

# **Professional Service Contract**

# **Contract Data Forms**

June 2017 (with amendments January 2019)

# **Contract Execution**

This agreement is made between the Client, the Consultant and the Named Suppliers.

Terms in this agreement have the meanings given to them in the contract between the Environment Agency and Mott MacDonald Limited for the provision of the following services for the Leigh Expansion and Hildenborough Embankments Scheme (LEHES): Engagement services (the *service*).

The Consultant offers to Provide the Service in accordance with these conditions of contract for an amount to be determined in accordance with these conditions of contract.

The *Consultant* was appointed to the framework and executed the framework agreement (with reference number RM6165).

#### **Executed under hand**

by

Environment Agency. . . . . (Client)



For and on behalf of

Mott MacDonald Limited . . . (Consultant)



# **Contract Data**

# PART ONE - DATA PROVIDED BY THE CLIENT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

### 1 General

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017 (with amendments January 2019)

Main Option

E

Option for resolving and avoiding disputes

W2

Secondary Options

X2, X9, X10, X11, X18, Y(UK)2, Z1, Z2, Z3, Z5, Z8, Z9, Z12, Z130, Z131

The service is

The provision for Engagement services for the Leigh Expansion and Hildenborough Embankments Scheme.

This is for a period until End of March 2027 but the parties can agree to extend for an additional period of 12 months through single or multiple extensions.

The Client is

Name

**Environment Agency** 

Address for communications

Horizon House Deanery Road Bristol BS1 5AH

Address for electronic communications

enquiries@environment-agency.gov.uk

The Service Manager is

Name

Address for communications

Address for electronic communications

The Scope is in

PSC - Core Services Migration - South East – Engagement officer v2.0.docx (Scope)

|   | The language of the contract is  | English   |          |                |
|---|--|---|----------|----------------|
|   | The law of the contract is the law of  | England and Wales, subject to the jurisdiction of the courts of England and Wales |          |                |
|   | The period for reply is  | 2 weeks   | exc      | ept that       |
|   | • The period for reply for   | n/a   | is       | n/a            |
|   | • The period for reply for   | n/a   | is       | n/a            |
|   | The period for retention is 6 yea  The following matters will be included in the  None | r(s) following Compl<br>Early Warning Reg   |          | er termination |
| 2 The Consultant's m  | Early warning meetings are to be held at in longer than                                | ntervals no   | 2 weeks  |                |
| If the <i>Client</i> has identified work which is set to meet a stated <i>condition</i> by a <i>key</i> | The key dates and conditions to be met are condition to be met                         | ı   | key date |                |
| date  | (1) Not used   |   | Not used |                |
|   | (2) (3)  |   |          |                |
| If Option A is used   | The Consultant prepares forecasts of the intervals no longer than                      | total <i>expenses</i> at  | 4 weeks  |                |
| If Option C or E is used  | The Consultant prepares forecasts of the plus Fee and expenses at intervals no lor     |   | 4 weeks  |                |
| 3 Time  |  |   |          |                |
|   | The starting date is   |   | 1 Septer | mber 2024      |

|   | The Client provides access to the following persons, places   | and things   |
|---|---|--|
|   | access  | access date  |
|   | (1) EA offices, EA Staff, Site  | 23 September 2024  |
|   | (2) FastDraft   | 25 September 2024  |
|   | (3) Asite   | 25 September 2024  |
|   | The <i>Consultant</i> submits revised programmes at intervals r longer than                                     | ono 4 weeks  |
| f the <i>Client</i> has decided he <i>completion date</i> for the whole of the <i>service</i> | The completion date for the whole of the service is   | 31 March 2026  |
| f no programme is<br>dentified in part two of the<br>Contract Data                            | The period after the Contract Date within which the Consultant is to submit a first programme for acceptance is | s 2 weeks  |
| 4 Quality manageme  | nt  |  |
|   | The period after the Contract Date within which the Consu   | ltant  |
|   | is to submit a quality policy statement and quality plan is   | 4 weeks, if not previously provided by the <i>Consultant</i> |
|   | The period between Completion of the whole of the service   | e  |
|   | and the defects date is   | 26 weeks   |
| 5 Payment   |   |  |
|   | The currency of the contract is the   | £ sterling   |
|   | The assessment interval is  | Monthly  |
| f the <i>Client</i> states any expenses   | The expenses stated by the Client are   |  |
|   | item amount   |  |
|   |   |  |
|   | 1   |  |
|   | The interest rate is 2 % per annum (not less  | than 2) above the  |
|   | Base rate of the Bank of E  | ngland bank  |
| f the period in which<br>payments are made is not<br>hree weeks and Y(UK)2 is                 | The period within which payments are made is 1 Month  |  |
| not used  f Option C or E is used  and the <i>Client</i> states any ocations                  | The locations for which the Consultant provides a charge for the cost of support people and office overhead are |  |

|                       | <del>share range</del>  |                |                 |                  |              | Consultant's share percente   | age          |
|-----------------------|-------------------------|----------------|-----------------|------------------|--------------|---|--------------|
|                       | less than               | 80             |                 |                  | <del>%</del> | 0 — below this threshold-<br>any further savings are-<br>allocated 100% to the-<br>Client | <del>%</del> |
|                       | from                    | 80             | <del>% to</del> | <del>120</del> - | <u>%</u>     | 50  | <u>%</u>     |
|                       | from                    | _              | <del>% to</del> | -                | <u>%</u>     | -   | <del>%</del> |
|                       | <del>greater than</del> | <del>120</del> |                 |                  | <del>%</del> | 0   | <del>%</del> |
| Option C or E is used | The exchange rates a    | are those pub  | olished         | d in             | Financia     | l Times   |              |
|                       | on 23 September 2       | 2024 (date     | e)              |                  |              |   |              |
| Compensation even     | ts                      |                |                 |                  |              |   |              |
| there are additional  | These are additional co | mpensation     | event           | S                |              |   |              |

lf :

lf

| I | nese are additional compensation events |
|---|---|
|   | None                                    |
|   |   |
|   |   |
|   |   |

# 8 Liabilities and insurance

If there are additional Client's liabilities

These are additional Client's liabilities

| (1) | None |
|-----|------|
| (2) |      |
| (3) |      |

The minimum amount of cover and the periods for which the Consultant maintains insurance are

| EVENT  | MINIMUM AMOUNT OF<br>COVER   | PERIOD FOLLOWING<br>COMPLETION OF THE<br>WHOLE OF THE SERVICE<br>OR TERMINATION |
|--|--|---|
| The Consultant's failure to use the skill and care normally used by professionals providing services similar to the service  | £5 million in respect of each claim, without limit to the number of claims   | 6 years following<br>Completion of the whole<br>works or earlier termination    |
| Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the <i>Consultant</i> ) arising from or in connection with the <i>Consultant</i> Providing the Service | Whichever is greater of £5 million or the amount required by law in respect of each event, without limit to the number of events | 12 months   |
| Death of or bodily injury to employees of the <i>Consultant</i> arising out of and in the course of their employment in connection with the contract   | Whichever is greater of £5 million or the amount required by law in respect of each event, without limit to the number of events | For the period required by law  |

The Consultant provides these additional insurances

| (1) Insurance against  | n/a |            |
|--|-----|------------|
| Minimum amount of cover is                                     | n/a |            |
| The deductibles are  | n/a |            |
| (2) Insurance against  | n/a |            |
| Minimum amount of cover is                                     | n/a |            |
| The deductibles are  | n/a |            |
| (3) Insurance against  | n/a |            |
| Minimum amount of cover is                                     | n/a |            |
| The deductibles are  | n/a |            |
| The Consultant's total liability to the Client for all matters |     |            |
| arising under or in connection with the contract, other than   |     |            |
| the excluded matters is limited to                             |     | £5 million |

# Resolving and avoiding disputes The tribunal is Litigation in the courts If the tribunal is arbitration The arbitration procedure is To be confirmed The place where arbitration is to be held is To be confirmed The person or organisation who will choose an arbitrator if the Parties cannot agree a choice or if the arbitration procedure does not state who selects an arbitrator is To be confirmed The Senior Representatives of the Client are Name (1) Address for communications Address for electronic communications Name (2) Address for communications Address for electronic communications The Adjudicator is To be confirmed Name To be confirmed Address for communications

Address for electronic communications

The Adjudicator nominating body is

To be confirmed

Institution of Civil Engineers

| X2: Changes in the la                       | aw                          |  |                      |  |  |
|---|-----------------------------|--|----------------------|--|--|
| If Option X2 is used                        | The law of the project is   | The law of England and Wales, subject to the jurisdiction of the courts of England and Wales |                      |  |  |
| X5: Sectional Comple                        | etion                       |  |                      |  |  |
| If Option X5 is used                        | The completion date for eac | h section of the service is  |                      |  |  |
|   | section                     | description com  | pletion date         |  |  |
|   | (1)                         | Not used   | Not used             |  |  |
|   | (2)                         |  |                      |  |  |
|   | (3)                         |  |                      |  |  |
|   | (4)                         |  |                      |  |  |
| X7: Delay damages                           |                             |  |                      |  |  |
| If Option X7 is used without Option X5      | Delay damages for Comple    | etion of the whole of the service are  | per day              |  |  |
| If Option X7 is used with                   | Delay damages for each se   | ction of the service are   |                      |  |  |
| Option X5                                   | section                     | description amo  | ount per day         |  |  |
|   | (1)                         | N/A  | I/A                  |  |  |
|   | (2)                         |  |                      |  |  |
|   | (3)                         |  |                      |  |  |
|   | (4)                         |  |                      |  |  |
|   | The delay damages for the   | e remainder of the service are   |                      |  |  |
| X8: Undertakings to                         | Others                      |  |                      |  |  |
| If Option X8 is used                        | The undertakings to Others  | are provided to  |                      |  |  |
|   | •                           |  |                      |  |  |
|   |                             |  |                      |  |  |
| X9: Transfer of Intelle                     | ectual Property Rights      |  |                      |  |  |
| X10: Information mod                        | delling                     |  |                      |  |  |
| If Option X10 is used                       |                             |  |                      |  |  |
|   |                             |  |                      |  |  |
| If no information                           | The period after the Con    | tract Date within which the Consultant   | is to submit a first |  |  |
| execution plan is identified in part two of | Information Execution Pla   | an for acceptance is   | 2 weeks              |  |  |
| the Contract Data                           |                             |  |                      |  |  |

X11: Termination by the Client

| X13: Performance bon    | d   |   |
|-------------------------|---|---|
| If Option X13 is used   | The amount of the performance bond is   | H   |
| X18: Limitation of liab | ility   |   |
| If Option X18 is used   | The Consultant's liability to the Client for indirect or consequential loss is limited to   | £5 million                                |
|                         | The Consultant's liability to the Client for Defects that are not found until after the defects date is limited to  The end of liability date is 6 years after the Completion | £5 million  n of the whole of the service |
| X20: Key Performance    | Indicators (not used with Option X12)   |   |
| If Option X20 is used   | The <i>incentive schedule</i> for Key Performance Indicators is in<br>A report of performance against each Key Performance<br>Indicator is provided at intervals of           | months                                    |

# Y(UK)1: Project Bank Account Charges made and interest The Consultant is / is not to pay any charges made and to be paid any interest paid by the paid by the *project bank* project bank (Delete as applicable) Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996 If Option Y(UK)2 is used The period for payment is 14 days after the date on which payment becomes due and the final date for payment is not fourteen days after the date on which payment becomes due Y(UK)3: The Contracts (Rights of Third Parties) Act 1999 If Option Y(UK)3 is used term beneficiary If Y(UK)3 is used with beneficiary term Y(UK)1 the following The provisions of Named Suppliers entry is added to the Options Y(UK)1 table for Y(UK)3

# Z: Additional conditions of contract

The additional conditions of contract are

### Z1 Disputes:

Option W2 subclause W2.1(4) is deleted. The Parties agree that adjudication under Clause option W2 should only commence if the dispute resolution procedure has been exhausted and that the dispute resolution procedure in the Scope, takes precedence over Option W2.

#### **Z2 Prevention**

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replace with:

The service is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants.
- lonising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device.
- Natural disaster.
- Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

### **Z3 Disallowed Costs**

In second bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken). Add the following additional bullets after 'and the cost of ':

- Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans.
- Reorganisation of the Consultant's project team.
- Additional costs or delays incurred due to Consultant's failure to comply with published and known guidance or document formats
- Exceeding the Scope without prior instruction that leads to abortive cost
- Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors
- Production or preparation of self-promotional material.
- Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)
- Any hours exceeding 8 per day unless with prior written agreement of the Service Manager
- Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager
- Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager
- Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to Consultant performance.
- Costs associated with rectifications that are due to Consultant error or omission.
- Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement
- Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements

#### **Z4 Share on Termination**

Delete existing clause 93.3 and 93.4 and replace with:

93.3 In the event of termination in respect of a contract relating to services there is no Consultant's share.

## **Z5 Secondments**

When appointing Consultants on a secondment basis only:

### Add clause 19

19.1 The *Client* will from starting date to Completion Date indemnify the *Consultant* against any and all liabilities, proceedings, costs, losses, claims and demands whatsoever arising directly or indirectly out of the activities of the *Consultant* in providing the services save where such claims, in the reasonable opinion of the *Client*, arise from or are contributed to by:

19.1.1 Misrepresentation or negligence by or on behalf of the Consultant;

19.1.2 The *Consultant* has acted contrary to the *Service Manager's* reasonable instructions or wholly outside the scope of the *Consultant's* duties as defined by the *Service Manager*.

# **Z7 Linked contracts**

Issues requiring redesign or rework on this contract due to a fault or error of the *Consultant* under this contract or aprevious contract will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract under this project or programme.

#### **Z8** Requirement for Invoice

Insert the following sentence at the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the *Service Manager's* certificate.

Delete existing clause 51.2 and insert the following:

51.2 Each certified payment is made by the later of

- one week after the paying Party receives an invoice from the other Party and
- three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated. If a certified payment is late, or if a payment is late because the *Service Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made.

#### **Z9 Conflict of Interest**

The *Consultant* immediately notifies the *Client* of any circumstances giving rise to or potentially giving rise to conflicts of interest relating to the *Consultant* (including without limitation its reputation and standing) and/or the *Client* of which it is aware or which it anticipates may justify the Client taking action to protect its interests. Should the Parties be unable to remove the conflict of interest to the satisfaction of the *Client*, the *Client*, in its sole discretion, may terminate this Contract.

#### Z12 Waiver

No waiver shall be effective unless it is expressly stated to be a waiver and communicated to the other Party by the *Service Manager* in writing in accordance with the Contract, and with express reference to Clause Z12. The failure of either party to insist upon strict performance of the Contract, or any failure or delay in exercising any right or remedy shall not constitute a waiver or diminution of the obligations established by the Contract.

#### **Z125 Limitation of Liability**

Under clause 87.1; after the fourth bullet point; insert the additional bullet points:

• loss of or damage to the Client's property, to the sum that the Consultant is required to insure under the contract in respect of such loss or damage.

• death of or bodily injury to employees of the Consultant arising out of and in the course of their employment in connection-with the contract, to the sum that the Consultant is required to insure under the contract in respect of such death or bodily-injury.

#### Z 130 Rate adjustment

Z130.1 The Defined Cost for People Rates shall be increased by the same proportion and on the same date as the appropriate *Framework Prices*.

Z130.2 (Option C ONLY) The Prices are adjusted for the outstanding portion of the Prices for the amendment to rates in Z130.1.

## Z 131 Change to the Schedule of Cost Components

Add clause 11.2(19) The People Rates are the *people rates* unless later changed in accordance with the contract and provided that at all times and under any circumstance howsoever arising the People Rates do not exceed the equivalent and directly comparable Framework Price as set out in Crown Commercial Services (CCS) Construction Professional Services Framework RM6165.

In the Schedule of Cost Components delete the section titled **People** and replace with:

#### **People**

- 1 The following components of the cost of people.
- 11 Amounts calculated by multiplying each of the People Rates by the total time appropriate to that rate properly spent on work on the contract.

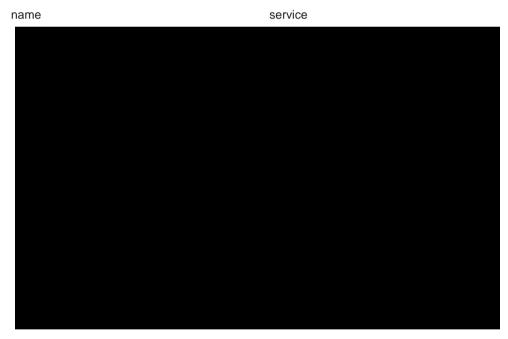
# PART TWO – DATA PROVIDED BY THE CONSULTANT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

# 1 General

The Consultant is Mott MacDonald Ltd Name Address for communications Address for electronic communications The fee percentage is

The key persons are



The following matters will be included in the Early Warning Register



# 2 The Consultant's main responsibilities

If the Consultant is to provide Scope

The Scope provided by the Consultant is in

N/A

# 5 Payment

If the Consultant states expenses

The expenses stated by the Consultant are any



If Option A or C is used

The activity schedule is

N/A

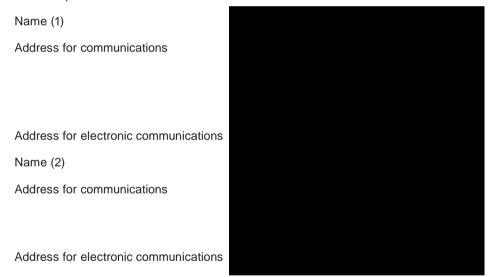
If Option E is used

The forecast of the prices is

£136,798.03

# Resolving and avoiding disputes

The Senior Representatives of the Consultant are



# X10: Information modelling

If Option X10 is used

If an information execution plan is to be identified in the Contract Data

The information execution plan identified

in the Contract Data is

Included in the Service Execution Plan within 4 weeks.

| Y(UK)1: Project Bank Account |   |                         |          |  |  |
|------------------------------|---|-------------------------|----------|--|--|
| If Option Y(UK)1 is used     | sed The project bank is   |                         |          |  |  |
|                              | N/A   |                         |          |  |  |
|                              |   |                         |          |  |  |
|                              | named suppliers are   |                         |          |  |  |
|                              | N/A   |                         |          |  |  |
|                              |   |                         |          |  |  |
| Data for the Schedu          | le of Cost Components (   | used only with Options  | C or E)  |  |  |
|                              | The overhead percentages for the cost of support people and office overhead |                         |          |  |  |
|                              | are location  | overhead percentage     |          |  |  |
|                              | Included within tender  | Included within tender  | %        |  |  |
|                              | rates   | rates                   | _        |  |  |
|                              |   |                         |          |  |  |
|                              |   |                         | %        |  |  |
|                              |   |                         |          |  |  |
| Data for the Short S         | chedule of Cost Compo   | nents (used only with O | ption A) |  |  |
|                              | The people rates are  |                         |          |  |  |
|                              | category of person  | unit                    | rate     |  |  |
|                              |   |                         |          |  |  |
|                              |   |                         |          |  |  |
|                              |   |                         |          |  |  |
|                              |   |                         | ]        |  |  |
|                              |   |                         |          |  |  |

customer service line 03708 506 506

www.environment-agency.gov.uk

incident hotline 0800 80 70 60 floodine 0845 988 1188

# Data for the Schedule of Cost Components (used only with Options C and E)

The people rates are

