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|---------------------------|---|
| Framework: | Client Support Framework |
| Supplier: | Capita Binnies |
| Company Number: | 02018542 / 03163649 |
| Geographical Area: | National |
| Project Name: | Upper Thames Bridges Principal Inspections |
| Project Number: | ENV0003695C |
| Contract Type: | Professional Service Contract |
| Option: | Option A |
| Contract Number: | 34007 |
| Stage: | Study_or_Service_NOT_Design |

| Revision | Status | | Originator | | Reviewer | | Date |
|----------|--------|--|------------|--|----------|--|------|
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PROFESSIONAL SERVICE CONTRACT - Under the Client Support Framework
CONTRACT DATA

Project Name Upper Thames Bridges Principal Inspections

Project Number ENV0003695C

- This contract is made on
between the *Client* and the *Consultant*
- This contract is made pursuant to the Framework Agreement (the "Agreement") dated 02nd day of July 2019 between the *Client* and the *Consultant* in relation to the Client Support Framework. The entire Agreement and the following schedules are incorporated into this contract by reference
 - Schedules 1 through to 14 inclusive of the Framework schedules are relied upon within this contract.
 - The following documents are incorporated into this contract by reference
PSC Scope_Upper Thames Bridges PI_010921 v6

Part One - Data provided by the *Client*
Statements given in
all Contracts

1 General The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017.

| | | | |
|-------------|----------|--|----|
| Main Option | Option A | Option for resolving and avoiding disputes | W2 |
|-------------|----------|--|----|

Secondary Options

- X2: Changes in the law
- X7: Delay damages
- X9: Transfer of rights
- X10: Information modelling
- X11: Termination by the *Client*
- X18: Limitation of liability
- Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996
- Y(UK)3: The Contracts (Rights of Third Parties) Act 1999
- Z: *Additional conditions of contract*

The *service* is Principal Inspections of bridges along the River Thames

The *Client* is Environment Agency

Address for communications

Horizon House
Deanery Road
Bristol
BS1 5AH

Address for electronic communications

The *Service Manager* is

Address for communications

Kings Meadow House
Kings Meadow Road
Reading
Berkshire
RG1 8DQ

Address for electronic communications

The Scope is in
PSC Scope_Upper Thames Bridges PI_010921 v6

The *language of the contract* is English

The *law of the contract* is
the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

The *period for reply* is 2 weeks

The *period for retention* is 6 years following Completion or earlier termination

The following matters will be included in the Early Warning Register

Early warning meetings are to be held at intervals no longer than 2 weeks

2 The Consultant's main responsibilities

The *key dates* and *conditions* to be met are
condition to be met *key date*
'none set' 'none set'
'none set' 'none set'
'none set' 'none set'

The *Consultant* prepares forecasts of the total *expenses* at intervals no longer than 4 weeks

3 Time

The *starting date* is 15 October 2021

The *Client* provides access to the following persons, places and things
access *access date*
FastDraft 15 October 2021
ASite 15 October 2021

The *Consultant* submits revised programmes at intervals no longer than 4 weeks

The *completion date* for the whole of the *service* is 31 March 2022

The period after the Contract Date within which the *Consultant* is to submit a first programme for acceptance is 4 weeks

4 Quality management

The period after the Contract Date within which the *Consultant* is to submit a quality policy statement and quality plan is 4 weeks

The period between Completion of the whole of the *service* and the *defects date* is 26 weeks

5 Payment

The *currency of the contract* is the £ sterling

The *assessment interval* is Monthly

The *expenses* stated by the *Client* are as stated in Schedule 6.

The *interest rate* is 2.00% per annum (not less than 2) above the
Base rate of the Bank of England

The locations for which the *Consultant* provides a charge for the cost of support people and office overhead are All UK Offices

The *exchange rates* are those published in on

6 Compensation events

These are additional compensation events

- 1. 'not used'
- 2. 'not used'
- 3. 'not used'
- 4. 'not used'
- 5. 'not used'

8 Liabilities and insurance

These are additional *Client's* liabilities

- 1. 'not used'
- 2. 'not used'
- 3. 'not used'

The minimum amount of cover and the periods for which the *Consultant* maintains insurance are

| EVENT | MINIMUM AMOUNT OF | PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE <i>SERVICE</i> OR TERMINATION |
|-------|-------------------|---|
|-------|-------------------|---|

| | |
|---|--|
| The <i>Consultant's</i> failure to use the skill and care normally used by professionals providing services similar to the <i>service</i> | £5 million in respect of each 12 years claim, without limit to the number of claims |
| Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the <i>Consultant</i>) <i>arising</i> from or in connection with the <i>Consultant</i> Providing the Service | Which ever is the greater of 12 months £5m or the amount required by law in respect of each claim, without limit to the number of claims |
| Death of or bodily injury to employees of the <i>Consultant</i> arising out of and in the course of their employment in connection with the contract | Which ever is the greater of £5m or the amount required by law in respect of each claim, without limit to the number of claims |
| The <i>Consultant's</i> total liability to the <i>Client</i> for all matters arising under or in connection with the contract, other than the excluded matters is limited to | For the period required by law £5 million |

Resolving and avoiding disputes

| | |
|---|------------------------------------|
| The <i>tribunal</i> is | litigation in the courts |
| The <i>Adjudicator</i> is | 'to be confirmed' |
| Address for communications | 'to be confirmed' |
| Address for electronic communications | 'to be confirmed' |
| The <i>Adjudicator nominating body</i> is | The Institution of Civil Engineers |

Z Clauses

Z1 Disputes

Delete existing clause W2.1

Z2 Prevention

The text of clause 18 Prevention is deleted.
Delete the text of clause 60.1(12) and replace with:
The *service* is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the *Consultant* and sub consultants,
- Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- Natural disaster,
- Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

Z3 Disallowed Costs

In second bullet of 11.2 (18) add:
(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken).
Add the following additional bullets after 'and the cost of ' :

- Mistakes or delays caused by the *Consultant's* failure to follow standards in Scopes/quality plans.
- Reorganisation of the *Consultant's* project team.
- Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats.
- Exceeding the Scope without prior instruction that leads to abortive cost
- Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.
- Production or preparation of self-promotional material.
- Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)
- Any hours exceeding 8 per day unless with prior written agreement of the *Service Manager*
- Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the *Service Manager*
- Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the *Service Manager*
- Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to *Consultant* performance.
- Costs associated with rectifications that are due to *Consultant* error or omission.
- Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement
- Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
- Was incurred as a result of the *Client* issuing a Yellow or Red Card to prepare a Performance Improvement Plan
- Was incurred as a resulting of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

Z6 The Schedule of Cost Components

The Schedule of Cost Components are as detailed in the Framework Schedule 6.

Z7 Linked contracts

Issues requiring redesign or rework on this contract due to a fault or error of the *Consultant* under this contract or a previous contract will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract under this project or programme.

Z8 Requirement for Invoice

Insert the following sentence at the end of clause 51.1:
The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the *Service Manager's* certificate.
Delete existing clause 51.2 and insert the following:

51.2 Each certified payment is made by the later of

- one week after the paying Party receives an invoice from the other Party and
- three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.

If a certified payment is late, or if a payment is late because the *Service Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

Z9 Conflict of Interest

The *Consultant* immediately notifies the *Client* of any circumstances giving rise to or potentially giving rise to conflicts of interest relating to the *Consultant* (including without limitation its reputation and standing) and/or the *Client* of which it is aware or which it anticipates may justify the *Client* taking action to protect its interests. Should the Parties be unable to remove the conflict of interest to the satisfaction of the *Client*, the *Client*, in its sole discretion, may terminate this Contract.

Z10 Change in Control

The *Consultant* shall notify the *Client* as soon as reasonably practicable, in writing, of any agreement, proposal or negotiations which will or may result in a *Consultant* Change in Control and shall give further notice to the *Client* when any Change in Control has occurred. The *Client* may terminate this contract with immediate effect by notice in writing and without compensation to the *Consultant* within six (6) months of being notified that a Change of Control has occurred, or, where no notification has been made, the date that the *Client* becomes aware of the Change of Control, but shall not be permitted to terminate where the Client's prior written acceptance was granted prior to the Change in Control. A Change of Control is defined as per the Deed of Agreement, Z14.4.

Z12 Waiver

No waiver shall be effective unless it is expressly stated to be a waiver and communicated to the other Party by the Service Manager in writing in accordance with the Contract, and with express reference to Clause Z12. The failure of either party to insist upon strict performance of the Contract, or any failure or delay in exercising any right or remedy shall not constitute a waiver or diminution of the obligations established by the Contract.

Secondary Options

OPTION X2: Changes in the law

The *law of the project* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

OPTION X7: Delay damages

X7 only Delay damages for Completion of the whole of the *service* are £133.21 per day

OPTION X10: Information modelling

The period after the Contract Date within which the *Consultant* is to submit a first Information Execution Plan for acceptance is 2 weeks

OPTION X18: Limitation of liability

The *Consultant's* liability to the *Client* for indirect or consequential loss is limited to £1,000,000

The *Consultant's* liability to the *Client* for Defects that are not found until after the *defects date* is limited to £5,000,000

The *end of liability date* is 6 years after the Completion of the whole of the *service*

Y(UK2): The Housing Grants, Construction and Regeneration Act 1996

The period for payment is 14 Days after the date on which payment becomes due

Y(UK3): The Contracts (Rights of Third Parties Act) 1999

term beneficiary

Part Two - Data provided by the *Consultant*

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The *Consultant* is

Name and company number

Address for communications

Address for electronic communications

The *fee percentage* is

Option A

The *key persons* are

| | |
|------------------|---|
| Name (1) | |
| Job | Project Director |
| Responsibilities | Project Director and point of escalation for client teams. Overseeing delivery of the project |
| Qualifications | |
| Experience | 20 years experience in the construction industry, 14 years of which are in the UK |

The *key persons* are

| | |
|------------------|--|
| Name (2) | |
| Job | Project Manager & Lead Inspection Engineer |
| Responsibilities | Project management of the inspection teams incl. programme and resource management |
| Qualifications | |
| Experience | 10 years |

The *key persons* are

| | |
|------------------|--|
| Name (3) | |
| Job | Lead Engineer & Quality Reviewer |
| Responsibilities | |
| Qualifications | |
| Experience | 27 years' experience across structures and geotechnical engineering design |

The *key persons* are

| | |
|------------------|---|
| Name (4) | |
| Job | Lead Inspection Engineer |
| Responsibilities | Lead inspection team to undertake inspections and prepare Inspection Reports |
| Qualifications | |
| Experience | 30 years' experience in infrastructure, wastewater, flood defence and river engineering |

The *key persons* are

| | |
|------------------|--|
| Name (5) | |
| Job | Inspection Engineer |
| Responsibilities | Undertake inspections and prepare inspection reports |
| Qualifications | |
| Experience | 6 years' experience in the water industry with a focus on inspections and safety |

The *key persons* are

| | |
|------------------|---|
| Name (6) | |
| Job | Assistant Inspection Engineer |
| Responsibilities | Undertake inspections and prepare inspection reports |
| Qualifications | |
| Experience | Graduate engineer with 1 years' experience in flood engineering |

The *key persons* are

| | |
|------------------|--|
| Name (7) | |
| Job | Environmental & Carbon Lead |
| Responsibilities | Provide environmental monitoring, promote efficiencies that will benefit the project |
| Qualifications | |
| Experience | 9 years' experience in managing and auditing carbon management scheme |

The following matters will be included in the Early Warning Register

- Delays to contract sign off and start up
- Additional sites to be added to programme
- Extended programme to gain certainty on completion

3 Time

The programme identified in the Contract Data is

Appendix 1

5 Payment

The *activity schedule* is

Appendix 2

The tendered total of the Prices is

£85,580.00

Resolving and avoiding disputes

The *Senior Representatives* of the *Consultant* are

Name (1) [REDACTED]

Address for communications

Capita 4th Floor

64 Gresham Street

London

EC2V 7NQ

Address for electronic communications

[REDACTED]

Name (2)

Address for communications

Address for electronic communications

X10: Information Modelling

The *information execution plan* identified in the Contract Data is

Contract Execution

Client execution

Signed Underhand by [PRINT NAME]

for and on behalf of the Environment Agency

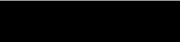


Signature Date

Project Executive

Role

Consultant execution

Signed Underhand by 

for and on behalf of



Signature Date

27.01.2022

Managing Director

Role

Signed Underhand by 

for and on behalf of



Signature Date

27.01.2022

Finance Director

Role

Signed Underhand by [PRINT NAME]

for and on behalf of Binnies UK Limited

Signature Date

Role