

# **Professional Service Contract**

# **Contract Data Forms**

June 2017 (with amendments January 2023)

# **Contract Execution**

This agreement is made between the *Client*, the *Consultant* and the Named Suppliers.

Terms in this agreement have the meanings given to them in the contract between the Environment Agency and Atkinsrealis for Inland Forecast Model Improvements - Darent & Cray (the *service*).

The Consultant offers to Provide the Services in accordance with these conditions of contract for an amount to be determined in accordance with these conditions of contract.

The *Consultant* was appointed to the 2024 Mapping and Modelling Framework and executed the framework agreement



# **Contract Data**

# PART ONE - DATA PROVIDED BY THE CLIENT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

### 1 General

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017 (with amendments January 2019)

Main Option

Е

Option for resolving and avoiding disputes

W2

**Secondary Options** 

X2 Changes in the Law

X10 Information Modelling

X11 Termination by the Client

X18 Limitation of Liability

Y(UK)2 The Housing Grants Construction and Regeneration Act 1996

Y(UK)3 The Contracts (Rights of Third Parties) act 1999

additional conditions of contract

Z1 Disputes

**Z2** Prevention

Z3 Disallowable Costs

Z6 The Schedule of Cost Components

Z24 Requirements for invoice

Z130 Rate Adjustment

The service is

The *service* is to deliver the identified improvements required for the flood forecasting models within the Darent and Cray subbasin. The *Consultant* shall provide the *service* in accordance with the technical requirements specified within the Inland Forecast Model Improvements Technical Scope - Darent & Cray.

The overall aim of the *service* is for the *Consultant* to complete Real-Time Flood Forecasting Model Development Guidance (RTMDG) Stage 1 inception tasks for all models and risk locations in the Darent and Cray sub-basin. Based on these findings, the *Consultant* shall collaborate with the *Client* to make "Go/No-Go" decisions regarding progression to Stage 2 and Stage 3 modelling tasks.

The Client is

Name

**Environment Agency** 

Address for communications

Horizon House Deanery Road Bristol BS1 5AH

Address for electronic communications

enquiries@environment-agency.gov.uk

The Service Manager is

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This page has been amended in 2019

Address for communications

Address for electronic communications

The Scope is in

PSC Scope for Inland Forecast Model Improvements – Darent & Cray

|                                                                        |              |                                                                          | English                                                                           |         |                |  |
|------------------------------------------------------------------------|--------------|--------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---------|----------------|--|
|                                                                        |              |                                                                          | England and Wales, subject to the jurisdiction of the courts of England and Wales |         |                |  |
|                                                                        | The <i>j</i> | period for reply is                                                      | 2 weeks                                                                           | exc     | ept that       |  |
|                                                                        | • т          | he period for reply for                                                  | n/a                                                                               | is      | n/a            |  |
|                                                                        | • Т          | he <i>period for reply</i> for                                           | n/a                                                                               | is      | n/a            |  |
|                                                                        | The fo       | period for retention is 6 yearllowing matters will be included in the    | ır(s) following Compl                                                             |         | er termination |  |
| 2 The Consultant's m                                                   | longe        | warning meetings are to be held at iter than sponsibilities              | ntervals no                                                                       | 2 weeks |                |  |
| If the <i>Client</i> has identified                                    | The ke       | ey dates and conditions to be met are                                    |                                                                                   |         |                |  |
| work which is set to meet<br>a stated <i>condition</i> by a <i>key</i> | C            | condition to be met                                                      |                                                                                   |         |                |  |
| date                                                                   | (1)          | Not used                                                                 |                                                                                   |         |                |  |
|                                                                        | (2)          | Not Used                                                                 |                                                                                   |         |                |  |
|                                                                        | (3)          | Not Used                                                                 |                                                                                   |         |                |  |
| If Option C or E is used                                               |              | Consultant prepares forecasts of the Fee and expenses at intervals no lo |                                                                                   | 4 weeks |                |  |
| 3 Time                                                                 |              |                                                                          |                                                                                   |         |                |  |
|                                                                        | The          | starting date is                                                         |                                                                                   | 16 Dece | mber 2024      |  |

|                                                                                                 | The Client provides access to the following persons, places an   | d things                                                     |
|-------------------------------------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
|                                                                                                 | access                                                           | access date                                                  |
|                                                                                                 | (1) [add]                                                        |                                                              |
|                                                                                                 |                                                                  |                                                              |
|                                                                                                 | (2)                                                              |                                                              |
|                                                                                                 | (3)                                                              |                                                              |
|                                                                                                 | The Consultant submits revised programmes at intervals no        |                                                              |
|                                                                                                 | longer than                                                      | 4 weeks                                                      |
| If the <i>Client</i> has decided the <i>completion date</i> for the whole of the <i>service</i> | The completion date for the whole of the service is              | 4 November 2025                                              |
| If no programme is                                                                              | The period after the Contract Date within which the              |                                                              |
| identified in part two of the Contract Data                                                     | Consultant is to submit a first programme for acceptance is      | 4 weeks                                                      |
| oon.accedate                                                                                    |                                                                  |                                                              |
| 4 Quality management                                                                            |                                                                  |                                                              |
|                                                                                                 | The period after the Contract Date within which the Consulta     |                                                              |
|                                                                                                 | is to submit a quality policy statement and quality plan is      | 4 weeks, if not previously provided by the <i>Consultant</i> |
|                                                                                                 | The period between Completion of the whole of the service        |                                                              |
|                                                                                                 | and the <i>defects date</i> is                                   | 26 weeks                                                     |
| 5 Payment                                                                                       |                                                                  |                                                              |
|                                                                                                 | The currency of the contract is the                              | £ sterling                                                   |
|                                                                                                 | The assessment interval is                                       | Monthly                                                      |
| If the <i>Client</i> states any                                                                 | The expenses stated by the Client are                            | _                                                            |
| expenses                                                                                        | •                                                                |                                                              |
|                                                                                                 | item amount                                                      | 1                                                            |
|                                                                                                 |                                                                  |                                                              |
|                                                                                                 |                                                                  |                                                              |
|                                                                                                 | The <i>interest rate</i> is 2 % per annum (not less th           | an 2) above the                                              |
|                                                                                                 | , ,                                                              |                                                              |
|                                                                                                 | Base rate of the Bank of Eng                                     | pland bank                                                   |
| If the period in which payments are made is not three weeks and Y(UK)2 is                       | The period within which payments are made is 1 Month             |                                                              |
| not used<br>If Option C or E is used                                                            | The locations for which the                                      |                                                              |
| and the <i>Client</i> states any locations                                                      | Consultant provides a charge-<br>for the cost of support people- |                                                              |

|    | _        |       | _      | _      |       |
|----|----------|-------|--------|--------|-------|
| ΙŦ | $\cap$ n | tion  | $\sim$ | r 🛏 ic | sused |
|    | -        | LIUII |        |        | useu  |

The exchange rates are those published in

Financial Times

on

a monthly (date)

# 6 Compensation events

If there are additional

These are additional compensation events

none

# 8 Liabilities and insurance

If there are additional Client's liabilities

These are additional Client's liabilities

- (1) Not used
- (2) Not used
- (3) Not used

The minimum amount of cover and the periods for which the *Consultant* maintains insurance are

| EVENT                                                                                                                                                                                                            | MINIMUM AMOUNT OF<br>COVER                                                                                                       | PERIOD FOLLOWING<br>COMPLETION OF THE<br>WHOLE OF THE SERVICE<br>OR TERMINATION |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| The Consultant's failure to use the skill and care normally used by professionals providing services similar to the service                                                                                      | £2 million in respect of each claim, without limit to the number of claims                                                       | 6 years following<br>Completion of the whole<br>works or earlier termination    |
| Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the <i>Consultant</i> ) arising from or in connection with the <i>Consultant</i> Providing the Service | Whichever is greater of £5 million or the amount required by law in respect of each event, without limit to the number of events | 12 months                                                                       |
| Death of or bodily injury to employees of the <i>Consultant</i> arising out of and in the course of their employment in connection with the contract                                                             | Whichever is greater of £5 million or the amount required by law in respect of each event, without limit to the number of events | For the period required by law                                                  |

The Consultant provides these additional insurances

| •                          |     |
|----------------------------|-----|
| (1) Insurance against      |     |
| Minimum amount of cover is |     |
| The deductibles are        | n/a |
| (2) Insurance against      | n/a |
| Minimum amount of cover is | n/a |
| The deductibles are        | n/a |
| (3) Insurance against      | n/a |
| Minimum amount of cover is | n/a |
| The deductibles are        | n/a |

| The Consultant's total liability to the Client for all matters |
|----------------------------------------------------------------|
| arising under or in connection with the contract, other than   |
| the excluded matters is limited to                             |

£5 million

# Resolving and avoiding disputes The tribunal is The arbitration procedure is The place where arbitration is to be confirmed The person or organisation who will choose an arbitrator if the Parties cannot agree a choice or if the arbitration procedure does not state who selects an arbitrator is [to be confirmed] The Senior Representatives of the Client are Name (1) Address for communications

Address for electronic communications

Address for communications

Address for electronic communications

Name (2)

| The Adjudicator is                    |                                |
|---------------------------------------|--------------------------------|
| Name                                  | to be confirmed                |
| Address for communications            | to be confirmed                |
| Address for electronic communications | to be confirmed                |
| The Adjudicator nominating body is    | Institution of Civil Engineers |

# X2: Changes in the law If Option X2 is used The law of England and Wales, subject to the The law of the project is jurisdiction of the courts of England and Wales X10: Information modelling If Option X10 is used If no information The period after the Contract Date within which the Consultant is to submit a first execution plan is Information Execution Plan for acceptance is 4 weeks identified in part two of the Contract Data X11: Termination by the *Client* X18: Limitation of liability The Consultant's liability to the Client for indirect or If Option X18 is used £5 million consequential loss is limited to The Consultant's liability to the Client for Defects that are £5 million not found until after the defects date is limited to The end of liability date is years after the Completion of the whole of the service

# If Option Y(UK)2 is used The period for payment is 14 days after the date on which payment becomes due and the final date for payment is not fourteen days after the date on which payment becomes due Y(UK)3: The Contracts (Rights of Third Parties) Act 1999 If Option Y(UK)3 is used beneficiary term If Y(UK)3 is used with term beneficiary Y(UK)1 the following The provisions of Named Suppliers entry is added to the Options Y(UK)1 table for Y(UK)3

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

### Z: Additional conditions of contract

If Option Z is used The additional conditions of contract are

# Z1 Disputes:

Delete existing clause W2.1

### **Z2** Prevention

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replace with:

The service is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the Consultant and sub consultants,
- lonising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel.
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- Natural disaster.
- · Fire and explosion.
- Impact by aircraft or other aerial device or thing dropped from them.

### Z3 Disallowed Costs Option C & E contracts only

Add the following in second bullet of 11.2 (18) add:

(including compensation events with the sub contractor, i.e. payment for work that should not have been undertaken). Add the following additional bullets after 'and the cost of ':

- · Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans.
- Reorganisation of the Consultant's project team.
- Additional costs or delays incurred due to Consultant's failure to comply with published and known guidance or document formats.
- Exceeding the Scope without prior instruction that leads to abortive cost
- Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.
- Production or preparation of self-promotional material.
- Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)
- Any hours exceeding 8 per day unless with prior written agreement of the Service Manager
- Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the Service Manager
- Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager
- Costs associated with the attendance at additional meetings after programmed completion, if delay is due to Consultant performance.
- Costs associated with rectifications that are due to *Consultant* error or omission.
- Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement
- · Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
- Was incurred as a result of the Client issuing a Yellow or Red Card to prepare a Performance Improvement Plan
- Was incurred as a resulting of rectifying a non-compliance with the Framework Agreement and/or any call off contracts following an audit

### **Z6 The Schedule of Cost Components**

Amend Clause 11.2 (17)

Defined Cost is the cost of the components in the Short Schedule of Cost Components as amended in the Framework Schedule 2 less Disallowed Cost.

Add clause 11.2 (19)

The People Rates are the people rates unless later changed in accordance with the contract.

# **Z24 Requirement for Invoice**

Add the following sentence to the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the *Service Manager's* Assessment..

Delete existing clause 51.2 and replace with:

51.2 Each certified payment is made within one week after the paying Party receives an invoice from the other Party and If a certified payment is late, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

### Z 130 Rate adjustment

Z130.1 The outstanding portion of Defined Cost for People Rates shall be increased by the same proportion and on the same date as the appropriate Staff Grade Rate Cap adjustment.

Z130.2 The Prices are adjusted for the outstanding portion of the Prices for the amendment to rates in Z130.1.

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# PART TWO – DATA PROVIDED BY THE CONSULTANT

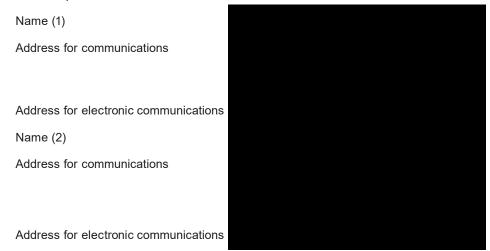
Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

| 1 General |                                              |          |                 |
|-----------|----------------------------------------------|----------|-----------------|
|           | ne <i>Consultant</i> is                      |          |                 |
|           | Name                                         |          |                 |
|           | Address for communications                   |          |                 |
|           |                                              |          |                 |
|           |                                              |          |                 |
|           | Address for electronic communications        |          |                 |
|           |                                              |          | _               |
|           | The fee percentage is                        | 1        | %               |
| Т         | ne <i>key persons</i> are                    |          |                 |
|           | Name (1)                                     |          |                 |
|           | Job                                          |          |                 |
|           | Responsibilities                             |          |                 |
|           | Qualifications                               |          |                 |
|           | Experience                                   |          |                 |
|           |                                              |          |                 |
|           | Name (2)                                     |          |                 |
|           | Job                                          |          |                 |
|           | Responsibilities                             |          |                 |
|           | Qualifications                               |          |                 |
|           | Experience                                   |          |                 |
|           |                                              |          |                 |
| TI        | ne following matters will be included in the | Early Wa | arning Register |
|           |                                              |          |                 |
|           |                                              |          |                 |
|           |                                              |          |                 |
|           |                                              |          |                 |

| 2 The Consultant's m                         | ain responsibilities         |        |            |
|----------------------------------------------|------------------------------|--------|------------|
| If the <i>Consultant</i> is to provide Scope | The Scope provided by the    |        |            |
| 5 Payment                                    |                              |        |            |
| If the Consultant states expenses            | The expenses stated by the ( | amount |            |
| If Option E is used                          | The forecast of the prices i | S      | £74,812.77 |

# Resolving and avoiding disputes

The Senior Representatives of the Consultant are



| X10: Information                                                                 | modelling                            |                                      |                              |                             |  |
|----------------------------------------------------------------------------------|--------------------------------------|--------------------------------------|------------------------------|-----------------------------|--|
| If Option X10 is used                                                            |                                      |                                      |                              |                             |  |
| If an <i>information</i> execution plan is to be identified in the Contract Data | The <i>informatio</i> in the Contrac | on execution plan ident<br>t Data is | ified                        |                             |  |
| Y(UK)1: Project E                                                                | Bank Accour                          | nt                                   |                              |                             |  |
| If Option Y(UK)1 is used                                                         | d The <i>proj</i> e                  | ect bank is                          |                              |                             |  |
|                                                                                  |                                      |                                      |                              |                             |  |
|                                                                                  |                                      |                                      |                              |                             |  |
|                                                                                  | named s                              | suppliers are                        |                              |                             |  |
|                                                                                  |                                      |                                      |                              |                             |  |
|                                                                                  |                                      |                                      |                              |                             |  |
| Data for the Short                                                               | Schedule of                          | Cost Component                       | s                            |                             |  |
| The people rates are                                                             |                                      |                                      |                              |                             |  |
| category of person<br>(grade1-5)                                                 | Unit (hours)                         | Rate (Staff Grade<br>Rate Cap £/hr)  | Contract<br>Rate<br>Variance | Contract people rate (£/hr) |  |
|                                                                                  |                                      |                                      |                              |                             |  |
|                                                                                  |                                      |                                      |                              |                             |  |