



Crown
Commercial
Service

PEGA CR-55112 (Master Ref)

G-Cloud 11 Call-Off Contract (version 4)

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Part A - Order Form

| | |
|---|--|
| Digital Marketplace service ID number: | 467997843916570 |
| Call-Off Contract reference: | PEGA CR-52668 |
| Call-Off Contract title: | HARP system and remaining sub-systems relating to the management of clinical research applications, including IRAS. |
| Call-Off Contract description: | Provision of replacement HRA Assessment Review Portal ("HARP") system and remaining sub-systems relating to the management of clinical research applications (including IRAS). HARP system is a database which was established to record and track the progress of clinical research applications which have been submitted to the Health Research Authority. |
| Start date: | This Call-Off Contract Starts on 28 June 2020 ("Start Date"). |
| Expiry date: | 24 months from the Start Date (unless extended by an extension period) |
| Call-Off Contract value: |  Total cost (inc. CPI) for 2 years: £679,680 IRAS contract (previously provided under CR-46387 and CR-46386) Renewal figure includes the cost of Standard Sandbox previously provided under Pega CR-56573. If extended, from 3 rd anniversary of Start Date annual CPI to apply. |
| Charging method: | BACS |
| Purchase order number: | To follow/not available at time of signing |

This Order Form is issued under the G-Cloud 11 Framework Agreement (RM1557.11).

Buyers can use this Order Form to specify their G-Cloud service requirements when placing an Order.

The Order Form cannot be used to alter existing terms or add any extra terms that materially change the Deliverables

offered by the Supplier and defined in the Application.

There are terms in the Call-Off Contract that may be defined in the Order Form. These are identified in the contract with square brackets.

This Contract supersedes and replaces the previous IRAS contract (CR-46387 and CR-46386) and Standard Sandbox contract (CR-56573) from the Start Date.

| | |
|--------------------------------|--|
| From: the Buyer | Health Research Authority ("HRA") Skipton House 80 London Road London SE1 6LN |
| To: the Supplier | Pegasystems Limited Suppliers Phone: [REDACTED] Supplier's address: 23 Forbury Road, 3 Forbury Place, 3rd Floor Reading Berkshire RG1 3JH UK Company number: 02883981 |
| Together: the 'Parties' | |

Principle contact details

| | |
|--|--|
| For the Buyer: (being technical advisor also and including delivery to details) | Title: Research Systems Resource Manager Name: [REDACTED] Email: [REDACTED] Phone: [REDACTED] |
| For the Supplier: | Title: Account Executive Name: [REDACTED] Email: [REDACTED] Phone: [REDACTED] |

Call-Off Contract term

| | |
|------------------------------|--|
| Start date: | This Call-Off Contract starts on the Start Date and is for 2 years. |
| Ending (termination): | The notice period needed for Ending the Call-Off Contract is at least 90 Working Days from the date of written notice for undisputed sums or at least 30 days written notice for Ending without cause but not to take effect during the initial 2 years. |
| Extension period: | This Call-Off Contract can be extended by the Buyer on two occasions for period(s) of up to 12 months each, by giving the Supplier 1 month written notice before its expiry. Extensions which extend the Term beyond 24 months are only permitted if the Supplier complies with the additional exit plan requirements at clauses 21.3 to 21.8. |

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Buyer contractual details

This Order is for the G-Cloud Services outlined below. It is acknowledged by the Parties that the volume of the G-Cloud Services used by the Buyer may vary during this Call-Off Contract.

| | |
|-----------------------------------|---|
| G-Cloud lot: | This Call-Off Contract is for the provision of Services under: Lot 2 - Cloud software |
| G-Cloud services required: | The Services to be provided by the Supplier under the above Lot are listed in Framework Section 2 and outlined below: Lot 2: <ul style="list-style-type: none"> Pega Government Platform. To be provided on Pega Cloud. |
| Additional Services: | Professional services to be sourced by Customer under separate arrangement. |
| Location: | The Services will be delivered to HRA London sites in UK. Data will be stored in UK Amazon Web Services (AWS) data centres (London). (Supplier must seek Buyers consent if want to change, not to be unreasonably withheld or delayed). |
| Quality standards: | The quality standards required for this Call-Off Contract are ISO27001. Pegasystems has ISO/IEC 27001:2013 ("ISO 27001") certification of its information security management system supporting infrastructure and services used to support the Pega Cloud Managed Service Infrastructure. Buyer to comply with Acceptable Use Policy: "Acceptable Use Policy" means the then current policy as published from time to time at www.pegasystems.com/cloud-aup . |
| Technical standards: | Technical Standards required are: Maintain Cloud Security Controls: "Cloud Security Controls" means Pegasystems' controls regarding the security of the Subscription Services available at: https://community.pegasystems.com/knowledgebase/articles/pegasystems-cloud-services-subscription-documentation/pegasystems-cloud-services-subscription-documentation Subscription Documentation means the specifications and operating guides associated with the Pega Cloud as published from time to time on Pegasystems' web site at: https://community.pegasystems.com/knowledgebase/articles/pegasystems-cloud-services-subscription-documentation/pegasystems-cloud-services-subscription-documentation NEW SUBSCRIPTION SERVICES CLIENTS: To access the Subscription Documentation URLs, first create an account by clicking this URL or pasting it into your browser: https://accounts.pegasystems.com/register |
| Service level agreement: | The service level and availability criteria required for this Call-Off Contract are: |

| | |
|-------------------------------------|---|
| | <p>Maintain support in accordance with Pega Customer Support Handbook: “Customer Support Handbook” means the terms for Pegasystems’ provided support, upgrades, and updates located at: https://community.pega.com/knowledgebase/documents/support-pegasystems, as may be periodically updated. Customer is provided Premium Level maintenance.</p> <p>Maintain uptime SLA as per:</p> <p>https://community.pega.com/knowledgebase/articles/pega-cloud-services-subscription-documentation/pega-cloud-services-subscription-documentation</p> |
| Onboarding: | <p>The onboarding plan for this Call-Off Contract is:</p> <p>N/A as covered under prior contract CR-46387.</p> |
| Offboarding: | <p>The offboarding plan for this Call-Off Contract is:</p> <p>At the end of this Call-Off Contract (upon Ending or expiry); Supplier will make Buyers data in its possession available to Buyer by means of a backup file of the Production Environment database and that is encrypted to customary standards, unless otherwise agreed in writing. Buyer shall request any such information prior to the Ending of the Call-Off Contract. Upon providing the Buyer data to Buyer, or the lapse of the time period for Buyer to request its data, Pegasystems will have no obligation to maintain or provide Buyer’s data in its possession or control and may delete such Buyer data, unless legally prohibited.</p> <p>Other than above, there is no other offboarding plan for this Call-Off Contract however the parties will discuss Buyers offboarding requirements and any associated costs, timescales and resources closer the expiry of this Call-Off Contract and if additional services required by Buyer.</p> |
| Collaboration agreement: | N/A |
| Limit on Parties’ liability: | <p>The total liability of either Party for all physical and tangible property loss caused by the other will not exceed £1,000,000.</p> <p>The total liability for Buyer Data defaults will not exceed 125% of the first year Charges payable by the Buyer to the Supplier.</p> <p>The total liability for all other defaults will not exceed 125% of the first year Charges payable by the Buyer to the Supplier.</p> |
| Insurance: | <p>The insurance(s) required will be:</p> <ul style="list-style-type: none"> • a minimum insurance period of 6 years following the expiration or Ending of this Call-Off Contract • professional indemnity insurance cover to be held by the Supplier and by any agent, Subcontractor or consultant involved in the supply of the G-Cloud Services. This professional indemnity insurance cover will have a minimum limit of indemnity of \$1,000,000 for each individual claim or any higher limit the Buyer requires (and as required by Law)] • employers’ liability insurance with a minimum limit of £5,000,000 or any higher minimum limit required by Law |
| Force majeure: | A Party may End this Call-Off Contract if the Other Party is affected by a Force Majeure Event that lasts for more than 30 consecutive days. |
| Audit: | The following Framework Agreement audit provisions will be incorporated under clause 2.1 of this Call-Off Contract to enable the Buyer to carry out audits (in line with clauses 7.4 to 7.13 of the Framework Agreement, reference to CCS in this section shall be |

taken to be reference to the Buyer):

What will happen during the Framework Agreement's Term

7.4 The Supplier will maintain full and accurate records and accounts, using Good Industry Practice and generally accepted accounting principles, of the:

- operation of the Framework Agreement and the Call-Off Contracts entered into with Buyers
- Services provided under any Call-Off Contracts (including any Subcontracts)
- amounts paid by each Buyer under the Call-Off Contracts

What will happen when the Framework Agreement Ends

7.5 The Supplier will provide a completed self audit certificate (Schedule 2) to CCS within 3 months of the expiry or Ending of this Framework Agreement.

7.6 The Supplier's records and accounts will be kept until the latest of the following dates:

- 7 years after the date of Ending or expiry of this Framework Agreement
- 7 years after the date of Ending or expiry of the last Call-Off Contract to expire or End
- another date agreed between the Parties

7.7 During the timeframes highlighted in clause 7.6, the Supplier will maintain:

- commercial records of the Charges and costs (including Subcontractors' costs) and any variations to them, including proposed variations
- books of accounts for this Framework Agreement and all Call-Off Contracts
- MI Reports
- access to its published accounts and trading entity information
- proof of its compliance with its obligations under the Data Protection Legislation and the Transparency provisions under this Framework Agreement
- records of its delivery performance under each Call-Off Contract, including that of its Subcontractors

What will happen during an audit or inspection

7.8 CCS will use reasonable endeavours to ensure that the Audit does not unreasonably disrupt the Supplier, but the Supplier accepts that control over the conduct of Audits carried out by the auditors is outside of CCS's control.

7.9 Subject to any Confidentiality obligations, the Supplier will use reasonable endeavours to:

- provide audit information without delay
- provide all audit information within scope and give auditors access to Supplier Staff

7.10 The Supplier will allow the representatives of CCS, Buyers receiving Services, the Controller and Auditor General and their staff, any appointed representatives of the National Audit Office, HM Treasury, the Cabinet Office and any successors or assigns of the above access to the records, documents, and account information referred to in clause 7.7 (including at the Supplier's premises), as may be required by them, and subject to reasonable and appropriate confidentiality undertakings, to verify and review:

| | |
|----------------------------------|--|
| | <ul style="list-style-type: none"> • the accuracy of Charges (and proposed or actual variations to them under this Framework Agreement) • any books of accounts kept by the Supplier in connection with the provision of the G-Cloud Services for the purposes of auditing the Charges and Management Charges under the Framework Agreement and Call-Off Contract only • the integrity, Confidentiality and security of the CCS Personal Data and the Buyer Data held or used by the Supplier • any other aspect of the delivery of the Services including to review compliance with any legislation • the accuracy and completeness of any MI delivered or required by the Framework Agreement • any MI Reports or other records about the Supplier's performance of the Services and to verify that these reflect the Supplier's own internal reports and records • the Buyer's assets, including the Intellectual Property Rights, Equipment, facilities and maintenance, to ensure that the Buyer's assets are secure and that any asset register is up to date <p>Costs of conducting audits or inspections</p> <p>7.11 The Supplier will reimburse CCS its reasonable Audit costs if it reveals:</p> <ul style="list-style-type: none"> • an underpayment by the Supplier to CCS in excess of 5% of the total Management Charge due in any monthly reporting and accounting period • a Material Breach <p>7.12 CCS can End this Framework Agreement under Section 5 (Ending and suspension of a supplier's appointment) for Material Breach if either event in clause 7.11 applies.</p> <p>7.13 Each Party is responsible for covering all their own other costs incurred from their compliance with the Audit obligations.</p> <p>Audit of AWS is excluded but Supplier will use reasonable endeavours to provide relevant info to Buyer reasonably requested (as reasonably determined by Supplier).</p> |
| Buyer's responsibilities: | The Buyer is responsible for configuration and testing of Software. This includes the provision of all resources. The Buyer is also responsible for ensuring integration into their environment, systems and processes. The Buyer is responsible for any Buyer related responsibilities as stated within the technical standards to this Call-Off Contract |
| Buyer's equipment: | The Buyer's equipment to be used with this Call-Off Contract includes desktop computers and IT infrastructure. |

Supplier's information

| | |
|------------------------------------|---|
| Subcontractors or partners: | <p>The following is a list of the Supplier's Subcontractors or Partners:</p> <ul style="list-style-type: none"> - Amazon Web Services (AWS) <p>No others unless obtain prior written consent, not to be unreasonably withheld.</p> |
|------------------------------------|---|

Call-Off Contract charges and payment

The Call-Off Contract charges and payment details are in the table below. See Schedule 2 for a full breakdown.

| | |
|-------------------------|--|
| Payment method: | The payment method for this Call-Off Contract is BACS. |
| Payment profile: | The payment profile for this Call-Off Contract is: Lot 2 - Software: Annually in advance. First payment on the Start Date and then on |

| | |
|--|---|
| | the anniversary of the Start Date thereafter. |
| Invoice details: | The Supplier will issue invoices. The Buyer will pay the Supplier within 30 days of receipt of a valid invoice. |
| Who and where to send invoices to (bill to details): | Invoices will be sent to: Health Research Authority, T71 Payables F275, Phoenix House, Topcliffe Lane, Wakefield, West Yorkshire, WF3 1WE. |
| Invoice information required – for example purchase order, project reference: | All invoices must include project reference. |
| Invoice frequency: | Invoice will be sent to the Buyer: Lot 2 - Software: Payable annually in advance |
| Call-Off Contract value: | The total value of this Call-Off Contract is £679,680. |
| Call-Off Contract charges: | <div style="background-color: black; width: 100%; height: 100px; margin-bottom: 10px;"></div> <p>Yr 1 Payment= £ Yr 2 Payment= £ Total cost (inc. CPI) for 2 years: £679,680</p> <p>The breakdown of the Charges is:</p> <ul style="list-style-type: none"> IRAS contract (previously provided under CR-46387 and CR-46386) Renewal figure includes the cost of Standard Sandbox previously provided under Pega CR-56573. After the second year's anniversary, (so if extended from year 3) the annual fees are subject to UK indexation increase based on CPI. All fees exclude VAT, which is payable in addition. Acceptance of Software is deemed upon delivery. This Acceptance is not dependent on any remaining services, conditions, or contingencies, and there are no other written or verbal agreements with respect to this acceptance. Once due all fees are non-cancellable and non-refundable. The Charges are payable annually in advance. Licence assumes HARP development commencing on or after 1 Jan 2021 for 6 months with the assumption of production on or from 28 June 2021. No reduction or refunds in fees apply should development or production is delayed. |

Additional Buyer terms

| | |
|---|---|
| Performance of the service and deliverables: | None |
| Guarantee: | Not relevant. |
| Warranties, representations: | <p>As per the incorporated Framework Agreement clause 4.1, the Supplier warrants and represents to the Buyer that (reference to CCS in this section shall be taken to mean Buyer):</p> <p>Warranties and representations</p> <p>4.1 The Supplier warrants, represents and undertakes to CCS and each Buyer that:</p> <ul style="list-style-type: none"> it has full capacity, authority and all necessary authorisations, consents, licences and permissions, to enter into and perform its obligations under the Framework Agreement |

| | |
|---|---|
| | <p>and each Call-Off Contract, including if a Supplier's processes need the consent of its Parent Company</p> <ul style="list-style-type: none"> • the Supplier or an authorised representative will sign the Framework Agreement and the Call-Off Contract • it has used and will continue to use all reasonable endeavours, software and the most up to date antivirus definitions available from an industry accepted antivirus software seller to minimize the impact of Malicious Software accessing systems owned by, under the control of, or used by CCS or any Buyer via its own access to these systems • in entering into this Framework Agreement and any Call-Off Contract, it has not committed, will not commit or agree to commit a Prohibited Act • it will continue to pay all taxes due to HMRC and will not indulge in 'disguised employment' practices when delivering services under this Framework Agreement • at the Start Date, it has notified CCS in writing of any Tax Non-Compliance or any Tax Non-Compliance litigation it is involved in • it will perform all obligations under this Framework Agreement and any Call-Off Contract complying with all Laws • it will perform its obligations with all reasonable care, skill and diligence, according to Good Industry Practice • on a Call-Off Start Date, all information, statements and representations in the Application are accurate and not misleading except if the Buyer has been notified in writing before signing the Call-Off Contract <p>The fact that any provision within this Framework Agreement is expressed as a warranty does not preclude any right of Ending CCS may have if the Supplier breaches that provision.</p> |
| Supplemental requirements in addition to the Call-Off terms: | N/A |
| Alternative clauses: | N/A |
| Buyer specific amendments to/refinements of the Call-Off Contract terms: | Termination for convenience (Clause 18). 30 days from the date of written notice for Ending without cause but not to take effect during the initial 2 years. To clarify, the minimum commitment for this contract is 2 years and therefore termination for convenience not permitted to take effect during this period. Open Source only by agreement (Clause 15). |
| Public Services Network (PSN): | N/A |
| Personal Data and Data Subjects: | Confirm whether either Annex 1 or Annex 2 of Schedule 7 is being used: Annex 1 |





1. Formation of contract





- 1.1 By signing and returning this Order Form (Part A), the Supplier agrees to enter into a Call-Off Contract with the Buyer.
- 1.2 The Parties agree that they have read the Order Form (Part A) and the Call-Off Contract terms and by signing below agree to be bound by this Call-Off Contract.
- 1.3 This Call-Off Contract will be formed on the Effective Date (see 2.(C) below).

- 1.4 In cases of any ambiguity or conflict the terms and conditions of the Call-Off Contract and Order Form will supersede those of the Supplier Terms and Conditions.

2. Background to the agreement

- (A) The Supplier is a provider of G-Cloud Services and agreed to provide the Services under the terms of Framework Agreement number RM1557.11.
- (B) The Buyer provided an Order Form for Services to the Supplier.
- (C) This Call-Off Contract becomes effective on the date of last signing hereof ("Effective Date"). From the Effective Date, the parties will comply with the terms and conditions of this Call-Off Contract.

| | | |
|-------------------|--|---|
| Signed: | Supplier | Buyer |
| Name: |  |  |
| Title: | Finance Director | Chair, Health Research Authority |
| Signature: |  |  |
| Date: | 18 May 2020 | 18/05/2020 |

| | | |
|-------------------|---|--|
| Signed: | Buyer | Buyer |
| Name: |  |  |
| Title: | HRA Chief Executive | Deputy Director of Finance, Procurement and Estates |
| Signature: |  |  |
| Date: | 18/05/2020 | 18/05/2020 |

Schedule 1 – Services

1. Description of the Subscription Services (Supplier reference; **CR-52668**):

a. During the Term, Supplier will provide HRA with Subscription Services for the following:

| | |
|--|--|
| Supplier Software | Pega Government Platform |
| Number of Services and Connectors | An aggregate of six (6) generally available as of the Start Date, as published on the Pega Community (formerly known as the “Pega Discovery Network” (PDN)). |
| Number of Studies | <p>The Buyer is licensed for up to the following number of annual Studies:</p> <ul style="list-style-type: none"> • 7,000 Non-Production Studies raised from new each year • 17,000 Production Studies raised from new each year <p>Definitions</p> <ul style="list-style-type: none"> - A ‘Non-Production Study’ is a Customer Study which manages the processing and completion of work including updating the Buyers other transaction systems (defined within Pegasystems as a Work Management Case). And defined within HRA as initial submission’ - A ‘Production Study’ is a Customer Study involving potentially dozens or hundreds of updates and takes more than one week from the time it is open until it is resolved (defined within Pegasystems as a Work Execution Case). And defined within the HRA as post approval update. |
| Number of Environments* (additional info below) | 2 Standard Sandbox 1 Large Sandbox 1 Production Environment |
| Number of VPN Connections | 1 |
| Production Data Storage (means the storage of business data and rules data in the Pega database). | 1,000 GB |
| Production File Storage (means the storage of files associated with features such as archive/purge, large attachment handling and file transfer services). | 5,000 GB |

*

One additional Standard Sandbox provided in addition as present utilise an additional one under CR-56573.

Cloud Standard offering includes 3 environments as follows:

| | |
|-------------------------------|---|
| Number of Environments | 1 Standard Sandbox 1 Large Sandbox 1 Production Environment |
|-------------------------------|---|

Standard Production Cloud Offering Includes:

- One Dev/Test Standard Sandbox service that supports up to a maximum of 15 developers and testers
- One Dev/Test/Pre-prod Large Sandbox service that supports up to a maximum of 45 developers and tester:
- One Pega Cloud Production Environment scaled to support the licenses and applications purchased

Standard Dev/Sandbox details: Includes 50GB Storage

Large Dev/Test/Pre-prod Sandbox: Includes 100GB Storage

- b. Scope of Use: The Buyer may use the Subscription Services in the Production Environment for the entire lifecycle management of HRA clinical research studies to the licensed number of annual Studies originating from or routed through the IRAS system, HARP or sub-systems relating to the management of clinical research applications including (but not limited to) CWOW, HAP and TOPS.

2. Additional Purchase Options (of Subscription Services).

- a. During the Term, HRA may purchase additional usage and capacity as follows:

| Usage | Fees |
|--|----------------|
| Additional block of 1,000 Non-Production Studies (Yr1) | ██████ /year |
| Additional block of 1,000 Production Studies (Yr1) | £██████ /year |
| Additional block of 1,000 Non-Production Studies (Yr2) | £██████ /year |
| Additional block of 1,000 Production Studies (Yr2) | £██████ /year |
| Service/Connector now generally available | £██████ / year |
| VPN Connection | ██████ / year |

| Capacity | Fees |
|------------------|---------------|
| Storage (100 GB) | ██████ / year |
| Standard Sandbox | ██████ year |
| Large Sandbox | ██████ year |

- b. Purchases of additional usage and/or capacity will be exercised by sending an amendment to this Call-Off Contract. The term for any additional usage and/or capacity purchased will be co-terminus and pro rata'd with the Term of the Call-Off Contract. The fees for additional usage and/or capacity set forth above are subject to annual indexation adjustment (UK CPI).
- c. Subject to commercially reasonable availability, if Buyer exceeds any of its usage rights, then Supplier will invoice Buyer for the additional usage and/or additional capacity per the pricing set forth in the table above.
- d. .

3. Description of the Additional Services (Professional Services)

- a. The parties agree to the Additional Services (Professional Services) described within the attached Work Order (CR-XXXX) attached at Appendix A to this Call-Off Contract. Also referred to SoW in this document. **N/A**

Schedule 2 - Call-Off Contract charges

For each individual Service, the applicable Call-Off Contract Charges (in accordance with the Supplier's Digital Marketplace pricing document) can't be amended during the term of the Call-Off Contract. The detailed Charges breakdown for the provision of Services during the Term will include:

- Please refer to Call-Off Contract Charges as detailed in Part A above.

Part B - Terms and conditions

1. Call-Off Contract start date and length

- 1.1 The Supplier must start providing the Services on the date specified in the Order Form.
- 1.2 This Call-Off Contract will expire on the Expiry Date in the Order Form. It will be for up to 24 months from the Start Date unless Ended earlier under clause 18 or extended by the Buyer under clause 1.3.
- 1.3 The Buyer can extend this Call-Off Contract, with written notice to the Supplier, by the period in the Order Form, as long as this is within the maximum permitted under the Framework Agreement of 2 periods of up to 12 months each.
- 1.4 The Parties must comply with the requirements under clauses 21.3 to 21.8 if the Buyer reserves the right in the Order Form to extend the contract beyond 24 months.

2. Incorporation of terms

- 2.1 The following Framework Agreement clauses (including clauses and defined terms referenced by them) as modified under clause 2.2 are incorporated as separate Call-Off Contract obligations and apply between the Supplier and the Buyer:
 - 4.1 (Warranties and representations)
 - 4.2 to 4.7 (Liability)
 - 4.11 to 4.12 (IR35)
 - 5.4 to 5.5 (Force majeure)
 - 5.8 (Continuing rights)
 - 5.9 to 5.11 (Change of control)
 - 5.12 (Fraud)
 - 5.13 (Notice of fraud)
 - 7.1 to 7.2 (Transparency)
 - 8.3 (Order of precedence)
 - 8.4 (Relationship)
 - 8.7 to 8.9 (Entire agreement)
 - 8.10 (Law and jurisdiction)
 - 8.11 to 8.12 (Legislative change)
 - 8.13 to 8.17 (Bribery and corruption)
 - 8.18 to 8.27 (Freedom of Information Act)
 - 8.28 to 8.29 (Promoting tax compliance)
 - 8.30 to 8.31 (Official Secrets Act)
 - 8.32 to 8.35 (Transfer and subcontracting)
 - 8.38 to 8.41 (Complaints handling and resolution)
 - 8.42 to 8.48 (Conflicts of interest and ethical walls)

- 8.49 to 8.51 (Publicity and branding)
- 8.52 to 8.54 (Equality and diversity)
- 8.57 to 8.58 (data protection)
- 8.62 to 8.63 (Severability)
- 8.64 to 8.77 (Managing disputes and Mediation)
- 8.78 to 8.86 (Confidentiality)
- 8.87 to 8.88 (Waiver and cumulative remedies)
- 8.89 to 8.99 (Corporate Social Responsibility)
- paragraphs 1 to 10 of the Framework Agreement glossary and interpretations
- any audit provisions from the Framework Agreement set out by the Buyer in the Order Form

2.2 The Framework Agreement provisions in clause 2.1 will be modified as follows:

- a reference to the 'Framework Agreement' will be a reference to the 'Call-Off Contract'
- a reference to 'CCS' will be a reference to 'the Buyer'
- a reference to the 'Parties' and a 'Party' will be a reference to the Buyer and Supplier as Parties under this Call-Off Contract

2.3 The Parties acknowledge that they are required to complete the applicable Annexes contained in schedule 4 (Processing Data) of the Framework Agreement for the purposes of this Call-Off Contract. The applicable Annexes being reproduced at schedule 7 of this Call-Off Contract.

2.4 The Framework Agreement incorporated clauses will be referred to as 'incorporated Framework clause XX', where 'XX' is the Framework Agreement clause number.

2.5 When an Order Form is signed, the terms and conditions agreed in it will be incorporated into this Call-Off Contract.

3. Supply of services

3.1 The Supplier agrees to supply the G-Cloud Services and any Additional Services under the terms of the Call-Off Contract and the Supplier's Application.

3.2 The Supplier undertakes that each G-Cloud Service will meet the Buyer's acceptance criteria, as defined in the Order Form.

4. Supplier staff

4.1 The Supplier Staff must:

- be appropriately experienced, qualified and trained to supply the Services
- apply all due skill, care and diligence in faithfully performing those duties
- obey all lawful instructions and reasonable directions of the Buyer and provide the Services to the reasonable satisfaction of the Buyer
- respond to any enquiries about the Services as soon as reasonably possible
- complete any necessary Supplier Staff vetting as specified by the Buyer

4.2 The Supplier must retain overall control of the Supplier Staff so that they are not considered to be employees, workers, agents or contractors of the Buyer.

4.3 The Supplier may substitute any Supplier Staff as long as they have the equivalent experience and qualifications to the substituted staff member.

- 4.4 The Buyer may conduct IR35 Assessments using the ESI tool to assess whether the Supplier's engagement under the Call-Off Contract is Inside or Outside IR35.
- 4.5 The Buyer may End this Call-Off Contract for Material Breach if the Supplier is delivering the Services Inside IR35.
- 4.6 The Buyer may need the Supplier to complete an Indicative Test using the ESI tool before the Start Date or at any time during the provision of Services to provide a preliminary view of whether the Services are being delivered Inside or Outside IR35. If the Supplier has completed the Indicative Test, it must download and provide a copy of the PDF with the 14-digit ESI reference number from the summary outcome screen and promptly provide a copy to the Buyer.
- 4.7 If the Indicative Test indicates the delivery of the Services could potentially be Inside IR35, the Supplier must provide the Buyer with all relevant information needed to enable the Buyer to conduct its own IR35 Assessment.
- 4.8 If it is determined by the Buyer that the Supplier is Outside IR35, the Buyer will provide the ESI reference number and a copy of the PDF to the Supplier.

5. Due diligence

- 5.1 Both Parties agree that when entering into a Call-Off Contract they:
- have made their own enquiries and are satisfied by the accuracy of any information supplied by the other Party
 - are confident that they can fulfil their obligations according to the Call-Off Contract terms
 - have raised all due diligence questions before signing the Call-Off Contract
 - have entered into the Call-Off Contract relying on its own due diligence

6. Business continuity and disaster recovery

- 6.1 The Supplier will have a clear business continuity and disaster recovery plan in their service descriptions.
- 6.2 The Supplier's business continuity and disaster recovery services are part of the Services and will be performed by the Supplier when required.
- 6.3 If requested by the Buyer prior to entering into this Call-Off Contract, the Supplier must ensure that its business continuity and disaster recovery plan is consistent with the Buyer's own plans.

7. Payment, VAT and Call-Off Contract charges

- 7.1 The Buyer must pay the Charges following clauses 7.2 to 7.11 for the Supplier's delivery of the Services.
- 7.2 The Buyer will pay the Supplier within the number of days specified in the Order Form on receipt of a valid invoice.
- 7.3 The Call-Off Contract Charges include all Charges for payment Processing. All invoices submitted to the Buyer for the Services will be exclusive of any Management Charge.
- 7.4 If specified in the Order Form, the Supplier will accept payment for G-Cloud Services by the Government Procurement Card (GPC). The Supplier will be liable to pay any merchant fee levied for using the GPC and must not recover this charge from the Buyer.

- 7.5 The Supplier must ensure that each invoice contains a detailed breakdown of the G-Cloud Services supplied. The Buyer may request the Supplier provides further documentation to substantiate the invoice.
- 7.6 If the Supplier enters into a Subcontract it must ensure that a provision is included in each Subcontract which specifies that payment must be made to the Subcontractor within 30 days of receipt of a valid invoice.
- 7.7 All Charges payable by the Buyer to the Supplier will include VAT at the appropriate rate.
- 7.8 The Supplier must add VAT to the Charges at the appropriate rate with visibility of the amount as a separate line item.
- 7.9 The Supplier will indemnify the Buyer on demand against any liability arising from the Supplier's failure to account for or to pay any VAT on payments made to the Supplier under this Call-Off Contract. The Supplier must pay all sums to the Buyer at least 5 Working Days before the date on which the tax or other liability is payable by the Buyer.
- 7.10 The Supplier must not suspend the supply of the G-Cloud Services unless the Supplier is entitled to End this Call-Off Contract under clause 18.6 for Buyer's failure to pay undisputed sums of money. Interest will be payable by the Buyer on the late payment of any undisputed sums of money properly invoiced under the Late Payment of Commercial Debts (Interest) Act 1998.
- 7.11 If there's an invoice dispute, the Buyer must pay the undisputed amount and return the invoice within 10 Working Days of the invoice date. The Buyer will provide a covering statement with proposed amendments and the reason for any non-payment. The Supplier must notify the Buyer within 10 Working Days of receipt of the returned invoice if it accepts the amendments. If it does then the Supplier must provide a replacement valid invoice with the response.
- 7.12 Due to the nature of G-Cloud Services it isn't possible in a static Order Form to exactly define the consumption of services over the duration of the Call-Off Contract. The Supplier agrees that the Buyer's volumes indicated in the Order Form are indicative only.

8. Recovery of sums due and right of set-off

- 8.1 If a Supplier owes money to the Buyer, the Buyer may deduct that sum from the Call-Off Contract Charges.

9. Insurance

- 9.1 The Supplier will maintain the insurances required by the Buyer including those in this clause.
- 9.2 The Supplier will ensure that:
- during this Call-Off Contract, Subcontractors hold third--party public and products liability insurance of the same amounts that the Supplier would be legally liable to pay as damages, including the claimant's costs and expenses, for accidental death or bodily injury and loss of or damage to Property, to a minimum of £1,000,000
 - the third-party public and products liability insurance contains an 'indemnity to principals' clause for the Buyer's benefit
 - all agents and professional consultants involved in the Services hold professional indemnity insurance to a minimum indemnity of £1,000,000 for each individual claim during the Call-Off Contract, and for 6 years after the End or Expiry Date

- all agents and professional consultants involved in the Services hold employers liability insurance (except where exempt under Law) to a minimum indemnity of £5,000,000 for each individual claim during the Call-Off Contract, and for 6 years after the End or Expiry Date

- 9.3 If requested by the Buyer, the Supplier will obtain additional insurance policies, or extend existing policies bought under the Framework Agreement.
- 9.4 If requested by the Buyer, the Supplier will provide the following to show compliance with this clause:
- a broker's verification of insurance
 - receipts for the insurance premium
 - evidence of payment of the latest premiums due
- 9.5 Insurance will not relieve the Supplier of any liabilities under the Framework Agreement or this Call-Off Contract and the Supplier will:
- take all risk control measures using Good Industry Practice, including the investigation and reports of claims to insurers
 - promptly notify the insurers in writing of any relevant material fact under any insurances
 - hold all insurance policies and require any broker arranging the insurance to hold any insurance slips and other evidence of insurance
- 9.6 The Supplier will not do or omit to do anything, which would destroy or impair the legal validity of the insurance.
- 9.7 The Supplier will notify CCS and the Buyer as soon as possible if any insurance policies have been, or are due to be, cancelled, suspended, Ended or not renewed.
- 9.8 The Supplier will be liable for the payment of any:
- premiums, which it will pay promptly
 - excess or deductibles and will not be entitled to recover this from the Buyer

10. Confidentiality

- 10.1 Subject to clause 24.1 the Supplier must during and after the Term keep the Buyer fully indemnified against all Losses, damages, costs or expenses and other liabilities (including legal fees) arising from any breach of the Supplier's obligations under the Data Protection Legislation or under incorporated Framework Agreement clauses 8.78 to 8.86. The indemnity doesn't apply to the extent that the Supplier breach is due to a Buyer's instruction.

11. Intellectual Property Rights

- 11.1 Unless otherwise specified in this Call-Off Contract, a Party will not acquire any right, title or interest in or to the Intellectual Property Rights (IPRs) of the other Party or its licensors.
- 11.2 The Supplier grants the Buyer a non-exclusive, transferable, perpetual, irrevocable, royalty-free licence to use the Project Specific IPRs and any Background IPRs embedded within the Project Specific IPRs for the Buyer's ordinary business activities.
- 11.3 The Supplier must obtain the grant of any third-party IPRs and Background IPRs so the Buyer can enjoy full use of the Project Specific IPRs, including the Buyer's right to publish the IPR as open source.

- 11.4 The Supplier must promptly inform the Buyer if it can't comply with the clause above and the Supplier must not use third-party IPRs or Background IPRs in relation to the Project Specific IPRs if it can't obtain the grant of a licence acceptable to the Buyer.
- 11.5 The Supplier will, on written demand, fully indemnify the Buyer and the Crown for all Losses which it may incur at any time from any claim of infringement or alleged infringement of a third party's IPRs because of the:
- rights granted to the Buyer under this Call-Off Contract
 - Supplier's performance of the Services
 - use by the Buyer of the Services
- 11.6 If an IPR Claim is made, or is likely to be made, the Supplier will immediately notify the Buyer in writing and must at its own expense after written approval from the Buyer, either:
- modify the relevant part of the Services without reducing its functionality or performance
 - substitute Services of equivalent functionality and performance, to avoid the infringement or the alleged infringement, as long as there is no additional cost or burden to the Buyer
 - buy a licence to use and supply the Services which are the subject of the alleged infringement, on terms acceptable to the Buyer
- 11.7 Clause 11.5 will not apply if the IPR Claim is from:
- the use of data supplied by the Buyer which the Supplier isn't required to verify under this Call-Off Contract
 - other material provided by the Buyer necessary for the Services
- 11.8 If the Supplier does not comply with clauses 11.2 to 11.6, the Buyer may End this Call-Off Contract for Material Breach. The Supplier will, on demand, refund the Buyer all the money paid for the affected Services.

12. Protection of information

- 12.1 The Supplier must:
- comply with the Buyer's written instructions and this Call-Off Contract when Processing Buyer Personal Data
 - only Process the Buyer Personal Data as necessary for the provision of the G-Cloud Services or as required by Law or any Regulatory Body
 - take reasonable steps to ensure that any Supplier Staff who have access to Buyer Personal Data act in compliance with Supplier's security processes
- 12.2 The Supplier must fully assist with any complaint or request for Buyer Personal Data including by:
- providing the Buyer with full details of the complaint or request
 - complying with a data access request within the timescales in the Data Protection Legislation and following the Buyer's instructions
 - providing the Buyer with any Buyer Personal Data it holds about a Data Subject (within the timescales required by the Buyer)
 - providing the Buyer with any information requested by the Data Subject

- 12.3 The Supplier must get prior written consent from the Buyer to transfer Buyer Personal Data to any other person (including any Subcontractors) for the provision of the G-Cloud Services.

13. Buyer data

The Supplier must not remove any proprietary notices in the Buyer Data.

- 13.1 The Supplier will not store or use Buyer Data except if necessary to fulfil its obligations.
- 13.2 If Buyer Data is processed by the Supplier, the Supplier will supply the data to the Buyer as requested.
- 13.3 The Supplier must ensure that any Supplier system that holds any Buyer Data is a secure system that complies with the Supplier's and Buyer's security policy and all Buyer requirements in the Order Form.
- 13.4 The Supplier will preserve the integrity of Buyer Data processed by the Supplier and prevent its corruption and loss.
- 13.5 The Supplier will ensure that any Supplier system which holds any protectively marked Buyer Data or other government data will comply with:
- the principles in the Security Policy Framework at <https://www.gov.uk/government/publications/security-policy-framework> and the Government Security Classification policy at <https://www.gov.uk/government/publications/government-security-classifications>
 - guidance issued by the Centre for Protection of National Infrastructure on Risk Management at <https://www.cpni.gov.uk/content/adopt-risk-management-approach> and Protection of Sensitive Information and Assets at <https://www.cpni.gov.uk/protection-sensitive-information-and-assets>
 - the National Cyber Security Centre's (NCSC) information risk management guidance, available at <https://www.ncsc.gov.uk/collection/risk-management-collection>
 - government best practice in the design and implementation of system components, including network principles, security design principles for digital services and the secure email blueprint, available at <https://www.gov.uk/government/publications/technology-code-of-practice/technology-code-of-practice>
 - the security requirements of cloud services using the NCSC Cloud Security Principles and accompanying guidance at <https://www.ncsc.gov.uk/guidance/implementing-cloud-security-principles>
- 13.6 The Buyer will specify any security requirements for this project in the Order Form.
- 13.7 If the Supplier suspects that the Buyer Data has or may become corrupted, lost, breached or significantly degraded in any way for any reason, then the Supplier will notify the Buyer immediately and will (at its own cost if corruption, loss, breach or degradation of the Buyer Data was caused by the action or omission of the Supplier) comply with any remedial action reasonably proposed by the Buyer.
- 13.8 The Supplier agrees to use the appropriate organisational, operational and technological processes to keep the Buyer Data safe from unauthorised use or access, loss, destruction, theft or disclosure.
- 13.9 The provisions of this clause 13 will apply during the term of this Call-Off Contract and for as long as the Supplier holds the Buyer's Data.

14. Standards and quality

- 14.1 The Supplier will comply with any standards in this Call-Off Contract, the Order Form and the Framework

Agreement.

- 14.2 The Supplier will deliver the Services in a way that enables the Buyer to comply with its obligations under the Technology Code of Practice, which is available at <https://www.gov.uk/government/publications/technology-code-of-practice/technology-code-of-practice>
- 14.3 If requested by the Buyer, the Supplier must, at its own cost, ensure that the G-Cloud Services comply with the requirements in the PSN Code of Practice.
- 14.4 If any PSN Services are Subcontracted by the Supplier, the Supplier must ensure that the services have the relevant PSN compliance certification.
- 14.5 The Supplier must immediately disconnect its G-Cloud Services from the PSN if the PSN Authority considers there is a risk to the PSN's security and the Supplier agrees that the Buyer and the PSN Authority will not be liable for any actions, damages, costs, and any other Supplier liabilities which may arise.

15. Open source

- 15.1 All software created for the Buyer must be suitable for publication as open source if agreed by the parties.
- 15.2 If software needs to be converted before publication as open source, the Supplier must also provide the converted format if agreed by the parties.

16. Security

- 16.1 If requested to do so by the Buyer, before entering into this Call-Off Contract the Supplier will, within 15 Working Days of the date of this Call-Off Contract, develop (and obtain the Buyer's written approval of) a Security Management Plan and an Information Security Management System. After Buyer approval the Security Management Plan and Information Security Management System will apply during the Term of this Call-Off Contract. Both plans will comply with the Buyer's security policy and protect all aspects and processes associated with the delivery of the Services.
- 16.2 The Supplier will use all reasonable endeavours, software and the most up-to-date antivirus definitions available from an industry-accepted antivirus software seller to minimise the impact of Malicious Software.
- 16.3 If Malicious Software causes loss of operational efficiency or loss or corruption of Service Data, the Supplier will help the Buyer to mitigate any losses and restore the Services to operating efficiency as soon as possible.
- 16.4 Responsibility for costs will be at the:
- Supplier's expense if the Malicious Software originates from the Supplier software or the Service Data while the Service Data was under the control of the Supplier, unless the Supplier can demonstrate that it was already present, not quarantined or identified by the Buyer when provided
 - Buyer's expense if the Malicious Software originates from the Buyer software or the Service Data, while the Service Data was under the Buyer's control
- 16.5 The Supplier will immediately notify CCS of any breach of security of CCS's Confidential Information (and the Buyer of any Buyer Confidential Information breach). Where the breach occurred because of a Supplier Default, the Supplier will recover the CCS and Buyer Confidential Information however it may be recorded.
- 16.6 Any system development by the Supplier should also comply with the government's '10 Steps to Cyber Security' guidance, available at <https://www.ncsc.gov.uk/guidance/10-steps-cyber-security>

- 16.7 If a Buyer has requested in the Order Form that the Supplier has a Cyber Essentials certificate, the Supplier must provide the Buyer with a valid Cyber Essentials certificate (or equivalent) required for the Services before the Start Date.

17. Guarantee

- 17.1 If this Call-Off Contract is conditional on receipt of a Guarantee that is acceptable to the Buyer, the Supplier must give the Buyer on or before the Start Date:
- an executed Guarantee in the form at Schedule 5
 - a certified copy of the passed resolution or board minutes of the guarantor approving the execution of the Guarantee

18. Ending the Call-Off Contract

- 18.1 The Buyer can End this Call-Off Contract at any time by giving 30 days' written notice to the Supplier after the initial 2 years from the Start Date, unless a shorter period is specified in the Order Form. The Supplier's obligation to provide the Services will end on the date in the notice.
- 18.2 The Parties agree that the:
- Buyer's right to End the Call-Off Contract under clause 18.1 is reasonable considering the type of cloud Service being provided
 - Call-Off Contract Charges paid during the notice period is reasonable compensation and covers all the Supplier's avoidable costs or Losses
- 18.3 Subject to clause 24 (Liability), if the Buyer Ends this Call-Off Contract under clause 18.1, it will indemnify the Supplier against any commitments, liabilities or expenditure which result in any unavoidable Loss by the Supplier, provided that the Supplier takes all reasonable steps to mitigate the Loss. If the Supplier has insurance, the Supplier will reduce its unavoidable costs by any insurance sums available. The Supplier will submit a fully itemised and costed list of the unavoidable Loss with supporting evidence.
- 18.4 The Buyer will have the right to End this Call-Off Contract at any time with immediate effect by written notice to the Supplier if either the Supplier commits:
- a Supplier Default and if the Supplier Default cannot, in the reasonable opinion of the Buyer, be remedied
 - any fraud
- 18.5 A Party can End this Call-Off Contract at any time with immediate effect by written notice if:
- the other Party commits a Material Breach of any term of this Call-Off Contract (other than failure to pay any amounts due) and, if that breach is remediable, fails to remedy it within 15 Working Days of being notified in writing to do so
 - an Insolvency Event of the other Party happens
 - the other Party ceases or threatens to cease to carry on the whole or any material part of its business
- 18.6 If the Buyer fails to pay the Supplier undisputed sums of money when due, the Supplier must notify the Buyer

and allow the Buyer 5 Working Days to pay. If the Buyer doesn't pay within 5 Working Days, the Supplier may End this Call-Off Contract by giving the length of notice in the Order Form.

- 18.7 A Party who isn't relying on a Force Majeure event will have the right to End this Call-Off Contract if clause 23.1 applies.

19. Consequences of suspension, ending and expiry

- 19.1 If a Buyer has the right to End a Call-Off Contract, it may elect to suspend this Call-Off Contract or any part of it.

- 19.2 Even if a notice has been served to End this Call-Off Contract or any part of it, the Supplier must continue to provide the Ordered G-Cloud Services until the dates set out in the notice.

- 19.3 The rights and obligations of the Parties will cease on the Expiry Date or End Date (whichever applies) of this Call-Off Contract, except those continuing provisions described in clause 19.4.

- 19.4 Ending or expiry of this Call-Off Contract will not affect:

- any rights, remedies or obligations accrued before its Ending or expiration
- the right of either Party to recover any amount outstanding at the time of Ending or expiry
- the continuing rights, remedies or obligations of the Buyer or the Supplier under clauses 7 (Payment, VAT and Call-Off Contract charges); 8 (Recovery of sums due and right of set-off); 9 (Insurance); 10 (Confidentiality); 11 (Intellectual property rights); 12 (Protection of information); 13 (Buyer data); 19 (Consequences of suspension, ending and expiry); 24 (Liability); incorporated Framework Agreement clauses: 4.2 to 4.7 (Liability); 8.42 to 8.48 (Conflicts of interest and ethical walls) and 8.87 to 8.88 (Waiver and cumulative remedies)
- any other provision of the Framework Agreement or this Call-Off Contract which expressly or by implication is in force even if it Ends or expires

- 19.5 At the end of the Call-Off Contract Term, the Supplier must promptly:

- return all Buyer Data including all copies of Buyer software, code and any other software licensed by the Buyer to the Supplier under it
- return any materials created by the Supplier under this Call-Off Contract if the IPRs are owned by the Buyer
- stop using the Buyer Data and, at the direction of the Buyer, provide the Buyer with a complete and uncorrupted version in electronic form in the formats and on media agreed with the Buyer
- destroy all copies of the Buyer Data when they receive the Buyer's written instructions to do so or 12 calendar months after the End or Expiry Date, and provide written confirmation to the Buyer that the data has been securely destroyed, except if the retention of Buyer Data is required by Law
- work with the Buyer on any ongoing work
- return any sums prepaid for Services which have not been delivered to the Buyer, within 10 Working Days of the End or Expiry Date

- 19.6 Each Party will return all of the other Party's Confidential Information and confirm this has been done, unless there is a legal requirement to keep it or this Call-Off Contract states otherwise.

- 19.7 All licences, leases and authorisations granted by the Buyer to the Supplier will cease at the end of the Call-Off

Contract Term without the need for the Buyer to serve notice except if this Call-Off Contract states otherwise.

20. Notices

20.1 Any notices sent must be in writing. For the purpose of this clause, an email is accepted as being 'in writing'.

| Manner of delivery | Deemed time of delivery | Proof of service |
|---------------------------|--|---|
| Email | 9am on the first Working Day after sending | Sent by pdf to the correct email address without getting an error message |

20.2 This clause does not apply to any legal action or other method of dispute resolution which should be sent to the addresses in the Order Form (other than a dispute notice under this Call-Off Contract).

21. Exit plan

21.1 The Supplier must provide an exit plan in its Application which ensures continuity of service and the Supplier will follow it.

21.2 When requested, the Supplier will help the Buyer to migrate the Services to a replacement supplier in line with the exit plan. This will be at the Supplier's own expense if the Call-Off Contract Ended before the Expiry Date due to Supplier cause.

21.3 If the Buyer has reserved the right in the Order Form to extend the Call-Off Contract Term beyond 24 months the Supplier must provide the Buyer with an additional exit plan for approval by the Buyer at least 8 weeks before the 18 month anniversary of the Start Date.

21.4 The Supplier must ensure that the additional exit plan clearly sets out the Supplier's methodology for achieving an orderly transition of the Services from the Supplier to the Buyer or its replacement Supplier at the expiry of the proposed extension period or if the contract Ends during that period.

21.5 Before submitting the additional exit plan to the Buyer for approval, the Supplier will work with the Buyer to ensure that the additional exit plan is aligned with the Buyer's own exit plan and strategy.

21.6 The Supplier acknowledges that the Buyer's right to extend the Term beyond 24 months is subject to the Buyer's own governance process. Where the Buyer is a central government department, this includes the need to obtain approval from GDS under the Spend Controls process. The approval to extend will only be given if the Buyer can clearly demonstrate that the Supplier's additional exit plan ensures that:

- the Buyer will be able to transfer the Services to a replacement supplier before the expiry or Ending of the extension period on terms that are commercially reasonable and acceptable to the Buyer
- there will be no adverse impact on service continuity
- there is no vendor lock-in to the Supplier's Service at exit
- it enables the Buyer to meet its obligations under the Technology Code Of Practice

21.7 If approval is obtained by the Buyer to extend the Term, then the Supplier will comply with its obligations in the additional exit plan.

21.8 The additional exit plan must set out full details of timescales, activities and roles and responsibilities of the Parties for:

- the transfer to the Buyer of any technical information, instructions, manuals and code reasonably

required by the Buyer to enable a smooth migration from the Supplier

- the strategy for exportation and migration of Buyer Data from the Supplier system to the Buyer or a replacement supplier, including conversion to open standards or other standards required by the Buyer
- the transfer of Project Specific IPR items and other Buyer customisations, configurations and databases to the Buyer or a replacement supplier
- the testing and assurance strategy for exported Buyer Data
- if relevant, TUPE-related activity to comply with the TUPE regulations
- any other activities and information which is reasonably required to ensure continuity of Service during the exit period and an orderly transition

22. Handover to replacement supplier

22.1 At least 10 Working Days before the Expiry Date or End Date, the Supplier must provide any:

- data (including Buyer Data), Buyer Personal Data and Buyer Confidential Information in the Supplier's possession, power or control
- other information reasonably requested by the Buyer

22.2 On reasonable notice at any point during the Term, the Supplier will provide any information and data about the G-Cloud Services reasonably requested by the Buyer (including information on volumes, usage, technical aspects, service performance and staffing). This will help the Buyer understand how the Services have been provided and to run a fair competition for a new supplier.

22.3 This information must be accurate and complete in all material respects and the level of detail must be sufficient to reasonably enable a third party to prepare an informed offer for replacement services and not be unfairly disadvantaged compared to the Supplier in the buying process.

23. Force majeure

23.1 If a Force Majeure event prevents a Party from performing its obligations under this Call-Off Contract for more than the number of consecutive days set out in the Order Form, the other Party may End this Call-Off Contract with immediate effect by written notice.

24. Liability

24.1 Subject to incorporated Framework Agreement clauses 4.2 to 4.7, each Party's Yearly total liability for defaults under or in connection with this Call-Off Contract (whether expressed as an indemnity or otherwise) will be set as follows:

- Property: for all defaults resulting in direct loss to the property (including technical infrastructure, assets, IPR or equipment but excluding any loss or damage to Buyer Data) of the other Party, will not exceed the amount in the Order Form
- Buyer Data: for all defaults resulting in direct loss, destruction, corruption, degradation or damage to any Buyer Data caused by the Supplier's default will not exceed the amount in the Order Form

- Other defaults: for all other defaults, claims, Losses or damages, whether arising from breach of contract, misrepresentation (whether under common law or statute), tort (including negligence), breach of statutory duty or otherwise will not exceed the amount in the Order Form

25. Premises

- 25.1 If either Party uses the other Party's premises, that Party is liable for all loss or damage it causes to the premises. It is responsible for repairing any damage to the premises or any objects on the premises, other than fair wear and tear.
- 25.2 The Supplier will use the Buyer's premises solely for the performance of its obligations under this Call-Off Contract.
- 25.3 The Supplier will vacate the Buyer's premises when the Call-Off Contract Ends or expires.
- 25.4 This clause does not create a tenancy or exclusive right of occupation.
- 25.5 While on the Buyer's premises, the Supplier will:
- comply with any security requirements at the premises and not do anything to weaken the security of the premises
 - comply with Buyer requirements for the conduct of personnel
 - comply with any health and safety measures implemented by the Buyer
 - immediately notify the Buyer of any incident on the premises that causes any damage to Property which could cause personal injury
- 25.6 The Supplier will ensure that its health and safety policy statement (as required by the Health and Safety at Work etc Act 1974) is made available to the Buyer on request.

26. Equipment

- 26.1 The Supplier is responsible for providing any Equipment which the Supplier requires to provide the Services.
- 26.2 Any Equipment brought onto the premises will be at the Supplier's own risk and the Buyer will have no liability for any loss of, or damage to, any Equipment.
- 26.3 When the Call-Off Contract Ends or expires, the Supplier will remove the Equipment and any other materials leaving the premises in a safe and clean condition.

27. The Contracts (Rights of Third Parties) Act 1999

- 27.1 Except as specified in clause 29.8, a person who isn't Party to this Call-Off Contract has no right under the Contracts (Rights of Third Parties) Act 1999 to enforce any of its terms. This does not affect any right or remedy of any person which exists or is available otherwise.

28. Environmental requirements

- 28.1 The Buyer will provide a copy of its environmental policy to the Supplier on request, which the Supplier will comply with.
- 28.2 The Supplier must provide reasonable support to enable Buyers to work in an environmentally friendly way, for example by helping them recycle or lower their carbon footprint.

29. The Employment Regulations (TUPE)

- 29.1 The Supplier agrees that if the Employment Regulations apply to this Call-Off Contract on the Start Date then it must comply with its obligations under the Employment Regulations and (if applicable) New Fair Deal (including entering into an Admission Agreement) and will indemnify the Buyer or any Former Supplier for any loss arising from any failure to comply.
- 29.2 Twelve months before this Call-Off Contract expires, or after the Buyer has given notice to End it, and within 28 days of the Buyer's request, the Supplier will fully and accurately disclose to the Buyer all staff information including, but not limited to, the total number of staff assigned for the purposes of TUPE to the Services. For each person identified the Supplier must provide details of:
- the activities they perform
 - age
 - start date
 - place of work
 - notice period
 - redundancy payment entitlement
 - salary, benefits and pension entitlements
 - employment status
 - identity of employer
 - working arrangements
 - outstanding liabilities
 - sickness absence
 - copies of all relevant employment contracts and related documents
 - all information required under regulation 11 of TUPE or as reasonably requested by the Buyer
- 29.3 The Supplier warrants the accuracy of the information provided under this TUPE clause and will notify the Buyer of any changes to the amended information as soon as reasonably possible. The Supplier will permit the Buyer to use and disclose the information to any prospective Replacement Supplier.
- 29.4 In the 12 months before the expiry of this Call-Off Contract, the Supplier will not change the identity and number of staff assigned to the Services (unless reasonably requested by the Buyer) or their terms and conditions, other than in the ordinary course of business.

- 29.5 The Supplier will co-operate with the re-tendering of this Call-Off Contract by allowing the Replacement Supplier to communicate with and meet the affected employees or their representatives.
- 29.6 The Supplier will indemnify the Buyer or any Replacement Supplier for all Loss arising from both:
- its failure to comply with the provisions of this clause
 - any claim by any employee or person claiming to be an employee (or their employee representative) of the Supplier which arises or is alleged to arise from any act or omission by the Supplier on or before the date of the Relevant Transfer
- 29.7 The provisions of this clause apply during the Term of this Call-Off Contract and indefinitely after it Ends or expires.
- 29.8 For these TUPE clauses, the relevant third party will be able to enforce its rights under this clause but their consent will not be required to vary these clauses as the Buyer and Supplier may agree.

30. Additional G-Cloud services

- 30.1 The Buyer may require the Supplier to provide Additional Services. The Buyer doesn't have to buy any Additional Services from the Supplier and can buy services that are the same as or similar to the Additional Services from any third party.
- 30.2 If reasonably requested to do so by the Buyer in the Order Form, the Supplier must provide and monitor performance of the Additional Services using an Implementation Plan.

31. Collaboration

- 31.1 If the Buyer has specified in the Order Form that it requires the Supplier to enter into a Collaboration Agreement, the Supplier must give the Buyer an executed Collaboration Agreement before the Start Date.
- 31.2 In addition to any obligations under the Collaboration Agreement, the Supplier must:
- work proactively and in good faith with each of the Buyer's contractors
 - co-operate and share information with the Buyer's contractors to enable the efficient operation of the Buyer's ICT services and G-Cloud Services

32. Variation process

- 32.1 The Buyer can request in writing a change to this Call-Off Contract if it isn't a material change to the Framework Agreement/or this Call-Off Contract. Once implemented, it is called a Variation.
- 32.2 The Supplier must notify the Buyer immediately in writing of any proposed changes to their G-Cloud Services or their delivery by submitting a Variation request. This includes any changes in the Supplier's supply chain.
- 32.3 If Either Party can't agree to or provide the Variation, the Buyer may agree to continue performing its obligations under this Call-Off Contract without the Variation, or End this Call-Off Contract by giving 30 days notice to the Supplier.

33. Data Protection Legislation (GDPR)

33.1 Pursuant to clause 2.1 and for the avoidance of doubt, clauses 8.57 and 8.58 of the Framework Agreement are incorporated into this Call-Off Contract. For reference, the appropriate GDPR templates which are required to be completed in accordance with clauses 8.57 and 8.58 are reproduced in this Call-Off Contract document at schedule 7

Schedule 3 - Collaboration agreement

N/A

Schedule 4 - Alternative clauses

N/A

Schedule 5 - Guarantee

N/A

Schedule 6 - Glossary and interpretations

In this Call-Off Contract the following expressions mean:

| Expression | Meaning |
|----------------------------|--|
| Additional Services | Any services ancillary to the G-Cloud Services that are in the scope of Framework Agreement Section 2 (Services Offered) which a Buyer may request. |
| Admission Agreement | The agreement to be entered into to enable the Supplier to participate in the relevant Civil Service pension scheme(s). |
| Application | The response submitted by the Supplier to the Invitation to Tender (known as the Invitation to Apply on the Digital Marketplace). |
| Audit | An audit carried out under the incorporated Framework Agreement clauses specified by the Buyer in the Order (if any). |
| Background IPRs | <div>For each Party, IPRs:</div> <ul style="list-style-type: none">owned by that Party before the date of this Call-Off Contract (as may be enhanced and/or modified but not as a consequence of the Services) including IPRs contained in any of the Party's Know-How, documentation and processescreated by the Party independently of this Call-Off Contract, or <div>For the Buyer, Crown Copyright which isn't available to the Supplier otherwise than under this Call-Off Contract, but excluding IPRs owned by that Party in Buyer software or Supplier software.</div> |
| Buyer | The contracting authority ordering services as set out in the Order Form. |
| Buyer Data | All data supplied by the Buyer to the Supplier including Personal Data and Service Data that is owned and managed by the Buyer. |
| Buyer Personal Data | The personal data supplied by the Buyer to the Supplier for purposes of, or in |

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| | connection with, this Call-Off Contract. |
| Buyer Representative | The representative appointed by the Buyer under this Call-Off Contract. |
| Buyer Software | Software owned by or licensed to the Buyer (other than under this Agreement), which is or will be used by the Supplier to provide the Services. |
| Call-Off Contract | This call-off contract entered into following the provisions of the Framework Agreement for the provision of Services made between the Buyer and the Supplier comprising the Order Form, the Call-Off terms and conditions, the Call-Off schedules and the Collaboration Agreement. |
| Charges | The prices (excluding any applicable VAT), payable to the Supplier by the Buyer under this Call-Off Contract. |
| Cloud Data Storage | Cloud Data Storage means the storage of business data and rules data in the Pegasystems database that is available for the Production Environment only. |
| Cloud File Storage | Cloud File Storage means the storage of files associated with features such as archive/purge, large attachment handling and file transfer services that is available across all Environments. |
| Collaboration Agreement | An agreement, substantially in the form set out at Schedule 3, between the Buyer and any combination of the Supplier and contractors, to ensure collaborative working in their delivery of the Buyer's Services and to ensure that the Buyer receives end-to-end services across its IT estate. |
| Commercially Sensitive Information | Information, which the Buyer has been notified about by the Supplier in writing before the Start Date with full details of why the Information is deemed to be commercially sensitive. |
| Confidential Information | Data, personal data and any information, which may include (but isn't limited to) any: <ul style="list-style-type: none"> information about business, affairs, developments, trade secrets, know-how, personnel, and third parties, including all Intellectual Property Rights (IPRs), together with all information derived from any of the above other information clearly designated as being confidential or which ought reasonably be considered to be confidential (whether or not it is marked 'confidential'). |
| Control | 'Control' as defined in section 1124 and 450 of the Corporation Tax Act 2010. 'Controls' and 'Controlled' will be interpreted accordingly. |
| Controller | Takes the meaning given in the GDPR. |
| Crown | The government of the United Kingdom (including the Northern Ireland Assembly and Executive Committee, the Scottish Executive and the National Assembly for Wales), including, but not limited to, government ministers and government departments and particular bodies, persons, commissions or agencies carrying out functions on its behalf. |
| Data Loss Event | event that results, or may result, in unauthorised access to Personal Data held by the Processor under this Framework Agreement and/or actual or potential loss and/or destruction of Personal Data in breach of this Agreement, including any Personal Data Breach |
| Data Protection Impact Assessment | An assessment by the Controller of the impact of the envisaged Processing on the protection of Personal Data. |
| Data Protection Legislation (DPL) | Data Protection Legislation means: <ul style="list-style-type: none"> i) (i) the GDPR, the LED and any applicable national implementing |

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| | <p>ii) Laws as amended from time to time</p> <p>(ii) the DPA 2018 [subject to Royal Assent] to the extent that it relates to Processing of personal data and privacy;</p> <p>iii) (iii) all applicable Law about the Processing of personal data and privacy including if applicable legally binding guidance and codes of practice issued by the Information Commissioner .</p> |
| Data Subject | Takes the meaning given in the GDPR |
| Default | <p>Default is any:</p> <ul style="list-style-type: none"> • breach of the obligations of the Supplier (including any fundamental breach or breach of a fundamental term) • other default, negligence or negligent statement of the Supplier, of its Subcontractors or any Supplier Staff (whether by act or omission), in connection with or in relation to this Call-Off Contract <p>Unless otherwise specified in the Framework Agreement the Supplier is liable to CCS for a Default of the Framework Agreement and in relation to a Default of the Call-Off Contract, the Supplier is liable to the Buyer.</p> |
| Deliverable(s) | The G-Cloud Services the Buyer contracts the Supplier to provide under this Call-Off Contract. |
| Digital Marketplace | The government marketplace where Services are available for Buyers to buy. (https://www.digitalmarketplace.service.gov.uk/) |
| DPA 2018 | Data Protection Act 2018. |
| Employment Regulations | The Transfer of Undertakings (Protection of Employment) Regulations 2006 (SI 2006/246) ('TUPE') which implements the Acquired Rights Directive. |
| End | Means to terminate; and Ended and Ending are construed accordingly. |
| Environment | <p>Environment means one of the following Pega Cloud deployments provided by Pegasystems:</p> <ul style="list-style-type: none"> • "Standard Sandbox" means a service that is intended to be used for development, functional/unit testing, UAT testing of Customer Applications and training. A Standard Sandbox is scaled to support up to 15 developers/users with a storage limit of 50GB of Cloud Data Storage. • "Large Sandbox" means a service that is intended to support development, functional/unit testing, UAT testing, pre-production, staging, and/or training of the Customer Applications. A Large Sandbox is scaled to support up to 45 developers/users with a storage limit of 100GB of Cloud Data Storage. • "Production Environment" means a service that is designed, built and scaled to accommodate Customer Applications to process live and/or real-time data in connection with Customer's ongoing business operations and is deployed within a single geographic region. The Production Environment is scaled to support up to the licensed metrics defined in the Schedule. |
| Environmental Information Regulations or EIR | The Environmental Information Regulations 2004 together with any guidance or codes of practice issued by the Information Commissioner or relevant Government department about the regulations. |
| Equipment | The Supplier's hardware, computer and telecoms devices, plant, materials and such other items supplied and used by the Supplier (but not hired, leased or loaned from CCS or the Buyer) in the performance of its obligations under this Call-Off Contract. |
| ESI Reference Number | The 14 digit ESI reference number from the summary of outcome screen of the ESI tool. |

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| Employment Status Indicator test tool or ESI tool | The HMRC Employment Status Indicator test tool. The most up-to-date version must be used. At the time of drafting the tool may be found here: http://tools.hmrc.gov.uk/esi |
| Expiry Date | The expiry date of this Call-Off Contract in the Order Form. |
| Force Majeure | <p>A Force Majeure event means anything affecting either Party's performance of their obligations arising from any:</p> <ul style="list-style-type: none"> • acts, events or omissions beyond the reasonable control of the affected Party • riots, war or armed conflict, acts of terrorism, nuclear, biological or chemical warfare • acts of government, local government or Regulatory Bodies • fire, flood or disaster and any failure or shortage of power or fuel • industrial dispute affecting a third party for which a substitute third party isn't reasonably available <p>The following do not constitute a Force Majeure event:</p> <ul style="list-style-type: none"> • any industrial dispute about the Supplier, its staff, or failure in the Supplier's (or a Subcontractor's) supply chain • any event which is attributable to the wilful act, neglect or failure to take reasonable precautions by the Party seeking to rely on Force Majeure • the event was foreseeable by the Party seeking to rely on Force Majeure at the time this Call-Off Contract was entered into • any event which is attributable to the Party seeking to rely on Force Majeure and its failure to comply with its own business continuity and disaster recovery plans |
| Former Supplier | A supplier supplying services to the Buyer before the Start Date that are the same as or substantially similar to the Services. This also includes any Subcontractor or the Supplier (or any subcontractor of the Subcontractor). |
| Framework Agreement | The clauses of framework agreement RM1557.11 together with the Framework Schedules. |
| Fraud | Any offence under Laws creating offences in respect of fraudulent acts (including the Misrepresentation Act 1967) or at common law in respect of fraudulent acts in relation to this Call-Off Contract or defrauding or attempting to defraud or conspiring to defraud the Crown. |
| Freedom of Information Act or FoIA | The Freedom of Information Act 2000 and any subordinate legislation made under the Act together with any guidance or codes of practice issued by the Information Commissioner or relevant Government department in relation to the legislation. |
| G-Cloud Services | The cloud services described in Framework Agreement Section 2 (Services Offered) as defined by the Service Definition, the Supplier Terms and any related Application documentation, which the Supplier must make available to CCS and Buyers and those services which are deliverable by the Supplier under the Collaboration Agreement. |
| GDPR | The General Data Protection Regulation (Regulation (EU) 2016/679). |
| Good Industry Practice | Standards, practices, methods and process conforming to the Law and the exercise of that degree of skill and care, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced person or body engaged in a similar undertaking in the same or similar circumstances. |

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| Government Procurement Card | The Government's preferred method of purchasing and payment for low value goods or services https://www.gov.uk/government/publications/government-procurement-card--2 . |
| Guarantee | The guarantee described in Schedule 5. |
| Guidance | Any current UK Government Guidance on the Public Contracts Regulations 2015. In the event of a conflict between any current UK Government Guidance and the Crown Commercial Service Guidance, current UK Government Guidance will take precedence. |
| Implementation Plan | The plan with an outline of processes (including data standards for migration), costs (for example) of implementing the services which may be required as part of Onboarding. |
| Indicative Test | ESI tool completed by contractors on their own behalf at the request of CCS or the Buyer (as applicable) under clause 4.6. |
| Information | Has the meaning given under section 84 of the Freedom of Information Act 2000. |
| Information Security Management System | The information security management system and process developed by the Supplier in accordance with clause 16.1. |
| Inside IR35 | Contractual engagements which would be determined to be within the scope of the IR35 Intermediaries legislation if assessed using the ESI tool. |
| Insolvency Event | Can be: <ul style="list-style-type: none"> • a voluntary arrangement • a winding-up petition • the appointment of a receiver or administrator • an unresolved statutory demand • a Schedule A1 moratorium. |
| Intellectual Property Rights or IPR | Intellectual Property Rights are: <ul style="list-style-type: none"> • copyright, rights related to or affording protection similar to copyright, rights in databases, patents and rights in inventions, semi-conductor topography rights, trade marks, rights in internet domain names and website addresses and other rights in trade names, designs, Know-How, trade secrets and other rights in Confidential Information • applications for registration, and the right to apply for registration, for any of the rights listed at (a) that are capable of being registered in any country or jurisdiction • all other rights having equivalent or similar effect in any country or jurisdiction |
| Intermediary | For the purposes of the IR35 rules an intermediary can be: <ul style="list-style-type: none"> • the supplier's own limited company • a service or a personal service company • a partnership <p>It does not apply if you work for a client through a Managed Service Company (MSC) or agency (for example, an employment agency).</p> |
| IPR Claim | As set out in clause 11.5. |
| IR35 | IR35 is also known as 'Intermediaries legislation'. It's a set of rules that affect tax and National Insurance where a Supplier is contracted to work for a client through an Intermediary. |
| IR35 Assessment | Assessment of employment status using the ESI tool to determine if engagement is Inside or Outside IR35. |

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| Know-How | All ideas, concepts, schemes, information, knowledge, techniques, methodology, and anything else in the nature of know-how relating to the G-Cloud Services but excluding know-how already in the Supplier's or CCS's possession before the Start Date. |
| Law | Any applicable Act of Parliament, subordinate legislation within the meaning of Section 21(1) of the Interpretation Act 1978, exercise of the royal prerogative, enforceable community right within the meaning of Section 2 of the European Communities Act 1972, judgment of a relevant court of law, or directives or requirements of any Regulatory Body. |
| LED | Law Enforcement Directive (EU) 2016/680. |
| Loss | All losses, liabilities, damages, costs, expenses (including legal fees), disbursements, costs of investigation, litigation, settlement, judgment, interest and penalties whether arising in contract, tort (including negligence), breach of statutory duty, misrepresentation or otherwise and ' Losses ' will be interpreted accordingly. |
| Lot | Any of the 3 Lots specified in the ITT and Lots will be construed accordingly. |
| Malicious Software | Any software program or code intended to destroy, interfere with, corrupt, or cause undesired effects on program files, data or other information, executable code or application software macros, whether or not its operation is immediate or delayed, and whether the malicious software is introduced wilfully, negligently or without knowledge of its existence. |
| Management Charge | The sum paid by the Supplier to CCS being an amount of up to 1% but currently set at 0.75% of all Charges for the Services invoiced to Buyers (net of VAT) in each month throughout the duration of the Framework Agreement and thereafter, until the expiry or End of any Call-Off Contract. |
| Management Information | The management information specified in Framework Agreement section 6 (What you report to CCS). |
| Material Breach | Those breaches which have been expressly set out as a material breach and any other single serious breach or persistent failure to perform as required under this Call-Off Contract. |
| Ministry of Justice Code | The Ministry of Justice's Code of Practice on the Discharge of the Functions of Public Authorities under Part 1 of the Freedom of Information Act 2000. |
| New Fair Deal | The revised Fair Deal position in the HM Treasury guidance: "Fair Deal for staff pensions: staff transfer from central government" issued in October 2013 as amended. |
| Order | An order for G-Cloud Services placed by a Contracting Body with the Supplier in accordance with the Ordering Processes. |
| Order Form | The order form set out in Part A of the Call-Off Contract to be used by a Buyer to order G-Cloud Services. |
| Ordered G-Cloud Services | G-Cloud Services which are the subject of an Order by the Buyer. |
| Outside IR35 | Contractual engagements which would be determined to not be within the scope of the IR35 intermediaries legislation if assessed using the ESI tool. |
| Party | The Buyer or the Supplier and 'Parties' will be interpreted accordingly. |
| Pega Cloud | Pega Cloud means a Pegasystems' managed, virtual private cloud (VPC) deployment of the Buyers application. |
| Personal Data | Takes the meaning given in the GDPR. |

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| Personal Data Breach | Takes the meaning given in the GDPR. |
| Processing | Takes the meaning given in the GDPR |
| Processor | Takes the meaning given in the GDPR. |
| Prohibited Act | <p>To directly or indirectly offer, promise or give any person working for or engaged by a Buyer or CCS a financial or other advantage to:</p> <ul style="list-style-type: none"> • induce that person to perform improperly a relevant function or activity • reward that person for improper performance of a relevant function or activity • commit any offence: <ul style="list-style-type: none"> ○ under the Bribery Act 2010 ○ under legislation creating offences concerning Fraud ○ at common Law concerning Fraud ○ committing or attempting or conspiring to commit Fraud |
| Project Specific IPRs | Any intellectual property rights in items created or arising out of the performance by the Supplier (or by a third party on behalf of the Supplier) specifically for the purposes of this Call-Off Contract including databases, configurations, code, instructions, technical documentation and schema but not including the Supplier's Background IPRs. |
| Property | Assets and property including technical infrastructure, IPRs and equipment. |
| Protective Measures | Appropriate technical and organisational measures which may include: pseudonymising and encrypting Personal Data, ensuring confidentiality, integrity, availability and resilience of systems and services, ensuring that availability of and access to Personal Data can be restored in a timely manner after an incident, and regularly assessing and evaluating the effectiveness of such measures adopted by it. |
| PSN or Public Services Network | The Public Services Network (PSN) is the Government's high-performance network which helps public sector organisations work together, reduce duplication and share resources. |
| Regulatory Body or Bodies | Government departments and other bodies which, whether under statute, codes of practice or otherwise, are entitled to investigate or influence the matters dealt with in this Call-Off Contract. |
| Relevant Person | Any employee, agent, servant, or representative of the Buyer, any other public body or person employed by or on behalf of the Buyer, or any other public body. |
| Relevant Transfer | A transfer of employment to which the Employment Regulations applies. |
| Replacement Services | Any services which are the same as or substantially similar to any of the Services and which the Buyer receives in substitution for any of the Services after the expiry or Ending or partial Ending of the Call-Off Contract, whether those services are provided by the Buyer or a third party. |
| Replacement Supplier | Any third-party service provider of Replacement Services appointed by the Buyer (or where the Buyer is providing replacement Services for its own account, the Buyer). |
| Security Management Plan | The Supplier's security management plan developed by the Supplier in accordance with clause 16.1. |
| Services | The services ordered by the Buyer as set out in the Order Form. |
| Service Data | Data that is owned or managed by the Buyer and used for the G-Cloud Services, including backup data. |

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| Service Definition(s) | The definition of the Supplier's G-Cloud Services provided as part of their Application that includes, but isn't limited to, those items listed in Section 2 (Services Offered) of the Framework Agreement. |
| Service Description | The description of the Supplier service offering as published on the Digital Marketplace. |
| Service Personal Data | The Personal Data supplied by a Buyer to the Supplier in the course of the use of the G-Cloud Services for purposes of or in connection with this Call-Off Contract. |
| Spend Controls | The approval process used by a central government Buyer if it needs to spend money on certain digital or technology services, see https://www.gov.uk/service-manual/agile-delivery/spend-controls-check-if-you-need-approval-to-spend-money-on-a-service |
| Start Date | The start date of this Call-Off Contract as set out in the Order Form. |
| Subcontract | Any contract or agreement or proposed agreement between the Supplier and a Subcontractor in which the Subcontractor agrees to provide to the Supplier the G-Cloud Services or any part thereof or facilities or goods and services necessary for the provision of the G-Cloud Services or any part thereof. |
| Subcontractor | Any third party engaged by the Supplier under a Subcontract (permitted under the Framework Agreement and the Call-Off Contract) and its servants or agents in connection with the provision of G-Cloud Services. |
| Subprocessor | Any third party appointed to process Personal Data on behalf of the Supplier under this Call-Off Contract. |
| Supplier | The person, firm or company identified in the Order Form. |
| Supplier Representative | The representative appointed by the Supplier from time to time in relation to the Call-Off Contract. |
| Supplier Staff | All persons employed by the Supplier together with the Supplier's servants, agents, suppliers and Subcontractors used in the performance of its obligations under this Call-Off Contract. |
| Supplier Terms | The relevant G-Cloud Service terms and conditions as set out in the Terms and Conditions document supplied as part of the Supplier's Application. |
| Term | The term of this Call-Off Contract as set out in the Order Form. |
| Variation | This has the meaning given to it in clause 32 (Variation process). |
| Working Days | Any day other than a Saturday, Sunday or public holiday in England and Wales. |
| Year | A contract year. |

Schedule 7 - GDPR Information

This schedule reproduces the annexes to the GDPR schedule contained within the Framework Agreement and incorporated into this Call-off Contract.

Annex 1 - Processing Personal Data

- 1.1 The contact details of the Buyer's Data Protection Officer are: Stephen Tebbutt – Stephen.tebbutt@hra.nhs.uk
- 1.2 The contact details of the Supplier's Data Protection Officer are: For contact purposes please liaise with Steve Potter – Tom.Lanigan@pega.com
- 1.3 The Processor shall comply with any further written instructions with respect to Processing by the Controller.
- 1.4 Any such further instructions shall be incorporated into this Annex.

| Description | Details |
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| Identity of Controller for each Category of Personal Data | <p>The Buyer is Controller and the Supplier is Processor</p> <p>The Parties acknowledge that in accordance with paragraph 2-15 Framework Agreement Schedule 4 (Where the Party is a Controller and the other Party is Processor) and for the purposes of the Data Protection Legislation, the Buyer is the Controller and the Supplier is the Processor of the following Personal Data:</p> <ul style="list-style-type: none"> Names and Addresses of researchers and sponsor in relation to research studies. <p>The Supplier is Controller and the Buyer is Processor</p> <p><i>The Parties acknowledge that for the purposes of the Data Protection Legislation, the Supplier is the Controller and the Buyer is the Processor in accordance with paragraph 2 to paragraph 15 of the following Personal Data:</i> N/A</p> <p>The Parties are Joint Controllers</p> <p><i>The Parties acknowledge that they are Joint Controllers for the purposes of the Data Protection Legislation in respect of:</i> N/A</p> <p>The Parties are Independent Controllers of Personal Data</p> <p><i>The Parties acknowledge that they are Independent Controllers for the purposes of the Data Protection Legislation in respect of:</i> N/A</p> |
| Duration of the Processing | Duration of contract (G-Cloud 11 Contract Pega CR-5511). |

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| Nature and purposes of the Processing | In accordance with G-Cloud 11 Contract Pega CR-55112 |
| Type of Personal Data | Names and addresses. |
| Categories of Data Subject | Research study submitters and associated sponsors. |
| Plan for return and destruction of the data once the Processing is complete UNLESS requirement under Union or Member State law to preserve that type of data | Please refer to Off-boarding section of the main Call-Off Contract |

Additional Notes:

1. General provisions on data

Buyer will ensure that it has the necessary consents, notices and other requirements to enable lawful processing. To the extent Data Protection Legislation applies to Pegasystems's processing of Buyer Personal Data, then the following terms apply:

- a. The parties intend that, in relation to such processing, Buyer is the controller and Pegasystems is a processor.
- b. Each party will comply with the obligations applicable to it pursuant to the Data Protection Legislation;
- c. When acting as the controller, Buyer is responsible for the processing, access and use of Buyer Personal Data, and for responding to data subjects' requests concerning their rights under the Data Protection Legislation. If Buyer is unable to respond to or fulfill such requests, Pegasystems shall assist Buyer by providing appropriate technical and organizational measures, insofar as this is possible, for the fulfilment of the Buyer's obligation to respond to such requests.
- d. Buyer authorizes Pegasystems to process Buyer Personal Data in order to provide the services.

2. Subprocessors and Affiliates

a. Pegasystems may engage the services of its affiliates and subprocessors published at: <https://community.pegasystems.com/knowledgebase/articles/pegasystems-subprocessors> (the "**Website**") to provide the Pega Cloud. Pegasystems may also engage subprocessors in the course of providing professional services. Buyer consents to the use of subprocessors, including as concerns data transfers. Pegasystems shall inform Buyer by updating the Website, or by notifying Buyer in writing, of any intended changes concerning the addition or replacement of the subprocessors. Buyer will have 14 days from the date of such notice to object to the change. In the event of no objection, Buyer is deemed to have accepted the subprocessor. If Buyer objects in good faith to the appointment or replacement of a subprocessor, Buyer shall cooperate with Pegasystems in good faith in determining a replacement subprocessor. If the parties are unable to agree on a replacement subprocessor within a reasonable time period, Pegasystems or Buyer may terminate the affected Schedule(s) with immediate effect on written notice to the other party.

b. Where Pegasystems engages the services of an affiliate or subprocessor for carrying out any part of the services or Subscription Services, it shall impose on that subprocessor substantially the same data protection obligations as set forth herein, including sufficient guarantees to implement the technical and organizational measures appropriate for their processing obligations Pegasystems is fully liable to Buyer for the performance of its subprocessors' data protection obligations.

c. Pegasystems shall ensure that its personnel, and those of its affiliates and subprocessors, authorized to provide Subscription Services have committed themselves to appropriate obligations of confidentiality.

3. Technical and Organizational Measures

a. Pegasystems has implemented and shall maintain appropriate technical and organizational security measures for the processing of Buyer Personal Data in the context of providing the Pegasystems services in such a manner (i) to ensure a level of security appropriate to the risk to the Buyer Personal Data when it is processed by Pegasystems and (ii) to enable Pegasystems to assist Buyer in the fulfillment of its obligations to respond to requests from data subjects exercising their rights under Data Protection Legislation.

b. Pegasystems will not assess the content of Buyer Personal Data in order to identify information subject to specific legal requirements. Buyer is solely responsible for complying with incident notification laws applicable to Buyer and fulfilling any third-party notification obligations related to regulators and/or data subjects.

4. Data Residency and Data Transfer

Pegasystems offers geographically segmented data residency services specific to its Pega Cloud offering. If Buyer selects a regional zone in the European Union in the applicable Subscription Schedule, then Buyer Data, including all Buyer Personal Data, will be stored at a data center(s) in the European Union and will not be transferred or accessed from outside of the European Union except at the Buyer's instruction or in connection with a support request submitted by Buyer. Pegasystems may provide network monitoring and system provisioning activities for the Environments from its network operation centers located inside and outside of the European Union.

5. Network Monitoring

Without accessing the Buyer's data, Pegasystems may provide network monitoring and system provisioning activities for the Environments from its network operation centers located inside and outside of the European Union.

6. Screen Shots

Notwithstanding the foregoing, as part of entering and/or resolving a support request, the Buyer could incidentally transfer personal data via a shared screen, screen shot, customer log or database extract to Pegasystems' Global Customer Support organization. To the extent that personal data is transferred outside of the European Economic Area or Switzerland in connection with a support request or otherwise at the Customer's instruction, it shall only be transferred to an affiliate or subcontractor (i) established in a country which ensures an adequate level of protection, as decided by the European Commission, or (ii) if such transfer is governed by other appropriate transfer mechanisms under the Data Protection Legislation including but not limited to the use of standard data protection clauses adopted by the European Commission or adherence to the EU-US and Swiss-US Privacy Shield Frameworks. For information about the Privacy Shield Frameworks and to view Pegasystems' certification, please visit <https://www.privacyshield.gov>.

Annex 2 - Joint Controller Agreement

N/A

APPENDIX A – STATEMENT OF WORK (“SOW”)

None