1. (Intellectual Property Rights)

***[Guidance: Part A of this Schedule should be used (and Part B should be deleted and marked as “Not Used”) where ICT Services do not form any part of the Deliverables. This set of clauses does not cover COTS/non-COTS Software and IPRs.***

***Part B of this Schedule should be used (and Part A should be deleted and marked as “Not Used”) where ICT Services form any part of the Deliverables. This set of clauses covers COTS/non-COTS Software and IPRs, and was previously included in Schedule 28 (ICT Services), and should be used when you are otherwise using optional Schedule 28.***

***Once you choose either Part A or Part B, you will need to decide which drafting options will apply for any IPR developed under this Contract. There are 5 options – see below for these. Once complete, you should only have one set of IPR clauses]***

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[13. Licences granted by the Buyer 11](#_Toc141429161)

[14. Buyer approval for Supplier to exploit New IPR and Buyer Existing IPR 12](#_Toc141429162)

[15. Provision of information on New IPR 13](#_Toc141429166)

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[22. Licences in respect of New IPR and Supplier Existing IPR 16](#_Toc141429171)

[23. Buyer approval for Supplier to exploit Buyer Existing IPR 18](#_Toc141429172)

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[27. Patents 21](#_Toc141429179)

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[32. Licences in respect of New IPR and Supplier Existing IPR 23](#_Toc141429182)

[33. Buyer approval for Supplier to exploit Buyer Existing IPR 25](#_Toc141429183)

[34. Licences granted by the Buyer 26](#_Toc141429187)

[35. Provision of information on New IPR 27](#_Toc141429188)

[36. Licences in respect of Third-party IPR 27](#_Toc141429199)

[37. Patents 28](#_Toc141429200)

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[6. Open Licence Publication 41](#_Toc141429211)

[7. Patents 43](#_Toc141429212)

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[11. Ownership and delivery of IPR created under this Contract 45](#_Toc141429215)

[12. Use of Supplier Existing IPRs and Third Party IPRs 46](#_Toc141429216)

[13. Licences in respect of Supplier Existing IPR that is not COTS Software 47](#_Toc141429217)

[14. Licences granted by the Buyer 49](#_Toc141429218)

[15. Buyer approval for Supplier to exploit New IPR 51](#_Toc141429219)

[16. Provision of information on New IPR 53](#_Toc141429220)

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[24. Licences in respect of Supplier Existing IPR that is not COTS Software 59](#_Toc141429227)

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[26. Licences granted by the Buyer 61](#_Toc141429229)

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[34. Licences in respect of Supplier Existing IPR that is not COTS Software 69](#_Toc141429251)

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[37. Buyer approval for Supplier to exploit Buyer Existing IPR 72](#_Toc141429254)

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Part A: Intellectual Property Rights (no ICT Services)

Option 1

# General Provisions and Ownership of IPR

## Any New IPR created under this Contract is owned by the Buyer.

## Each Party keeps ownership of its own Existing IPR.

## Where either Party acquires, by operation of law, ownership of Intellectual Property Rights that is inconsistent with Paragraphs 1.1 and 1.2, it must assign in writing the Intellectual Property Rights concerned to the other Party on the other Party’s request (whenever made).

## Neither Party has the right to use the other Party’s IPR, including any use of the other Party’s names, logos or trademarks, except as expressly granted elsewhere under this Contract or otherwise agreed in writing.

## Except as expressly granted elsewhere under this Contract, neither Party acquires any right, title or interest in or to the IPR owned by the other Party or any third party.

## Unless otherwise agreed in writing, the Supplier and the Buyer will record any New IPR in the table at Annex 1 to this Schedule and keep this updated throughout the Contract Period.

## If the Supplier becomes aware at any time, including after the earlier of the End Date or date of termination, that, in respect of any Deliverable, the Buyer has not received the licences to Supplier Existing IPRs or Third Party IPRs required by Paragraphs 2 and 4, the Supplier must, within 10 Working Days notify the Buyer:

### the specific Intellectual Property Rights the Buyer has not received licences to; and

### the Deliverables affected.

## For the avoidance of doubt:

### except as provided for in Paragraphs 2.3.2.2(c)(1) or 4.1.2.2 and 4.1.2.3, the expiry or termination of this Contract does not of itself terminate the licences granted to the Buyer under Paragraphs 2 and 4;

### the award of this Contract or the ordering of any Deliverables does not constitute an authorisation by the Crown under:

#### sections 55 and 56 of the Patents Act 1977;

#### section 12 of the Registered Designs Act 1949; or

#### sections 240 to 243 of the Copyright, Designs and Patents Act 1988.

# Licences in respect of Supplier Existing IPR

## The Supplier grants the Buyer a Supplier Existing IPR Licence on the terms set out in Paragraph 2.3 in respect of each Deliverable where:

### the Supplier Existing IPR is embedded in the Deliverable;

### the Supplier Existing IPR is necessary for the Buyer to use the Deliverable for its intended purpose; or

### the Deliverable is a customisation or adaptation of Supplier Existing IPR.

## The categories of Supplier Existing IPR described in Paragraph 2.1 are mutually exclusive.

## The Supplier Existing IPR Licence granted by the Supplier to the Buyer is a non-exclusive, perpetual, royalty-free, irrevocable, transferable, sub-licensable, worldwide licence that:

### in the case of Supplier Existing IPR embedded in a Deliverable:

#### has no restriction on the identity of any transferee or sub-licensee;

#### allows the Buyer and any transferee or sub-licensee to use, copy and adapt the Supplier Existing IPR for any of the purposes set out in Paragraph 2.4; and

#### is subject to the restriction that no sub-licence granted to the Supplier Existing IPR shall purport to provide the sub-licensee with any wider rights than those granted to the Buyer under this Paragraph;

### in the case of Supplier Existing IPR that is necessary for the Buyer to use the Deliverable for its intended purpose or has been customised or adapted to provide the Deliverable:

#### allows the Buyer and any transferee or sublicensee to use and copy, but not adapt, disassemble or reverse engineer the relevant Supplier Existing IPRs for any of the purposes set out in Paragraph 2.4;

#### is transferrable to only:

##### a Crown Body;

##### any body (including any private sector body) that performs or carries out any of the functions or activities that the Buyer had previously performed or carried out; or

##### a person or organisation that is not a direct competitor of the Supplier and that transferee either:

###### enters into a direct arrangement with the Supplier in the form set out in Annex 2; or

###### enters into a confidentiality arrangement with the Buyer in terms equivalent to those set out in set out in Clause 19 (What you must keep confidential);

#### is sub-licensable to the Replacement Supplier (including where the Replacement Supplier is a competitor of the Supplier) where the Replacement Supplier either:

##### enters into a direct arrangement with the Supplier in the form set out in Annex 2; or

##### enters into a confidentiality arrangement with the Buyer in terms equivalent to those set out in set out in Clause 19 (What you must keep confidential); and

#### is subject to the restriction that no sub-licence granted to the Supplier Existing IPR shall purport to provide the sub-licensee with any wider rights than those granted to the Buyer under this Paragraph.

## For the purposes of Paragraph 2.3, the relevant purposes are:

### to allow the Buyer or any End User to receive and use the Deliverables;

### to allow the Buyer to commercially exploit (including by publication under Open Licence) the New IPR and New IPR Items; and

### for any purpose relating to the exercise of the Buyer’s (or, if the Buyer is a Public Sector Body, any other Public Sector Body’s) business or function.

# Licences granted by the Buyer

## The Buyer grants the Supplier a licence to the New IPR and Buyer Existing IPR that:

### is non-exclusive, royalty-free and non-transferable;

### is sub-licensable to any Sub-contractor where:

#### the Sub-contractor enters into a confidentiality undertaking with the Supplier on the same terms as set out in Clause 19 (What you must keep confidential); and

####  the sub-licence does not purport to provide the sub-licensee with any wider rights than those granted to the Supplier under this Paragraph;

### allows the Supplier and any sub-licensee to use, copy and adapt any Buyer Existing IPR and New IPR for the purpose of fulfilling its obligations under this Contract; and

### terminates at the end of the Contract Period or the end of any Termination Assistance Period, whichever is the later.

## When the licence granted under Paragraph 3.1 terminates, the Supplier must, and must ensure that each Sub-contractor granted a sub-licence under Paragraph 3.1.2:

### immediately cease all use of the Buyer Existing IPR and New IPR (including the Government Data within which the Buyer Existing IPR or New IPR may subsist);

### either:

#### at the discretion of the Buyer, return or destroy documents and other tangible materials that contain any of the Buyer Existing IPR, New IPR and the Government Data; or

#### if the Buyer has not made an election within six months of the termination of the licence, destroy the documents and other tangible materials that contain any of the Buyer Existing IPR, the New IPR and the Government Data (as the case may be); and

### ensure, so far as reasonably practicable, that any Buyer Existing IPR, New IPR and Government Data held in electronic, digital or other machine-readable form ceases to be readily accessible from any computer, word processor, voicemail system or any other device of the Supplier.

# Licences in respect of Third-party IPR

## The Supplier shall not use in the delivery of the Deliverables any Third Party IPR unless:

### Approval is granted by the Buyer; and

### one of the following conditions is met:

#### the owner or an authorised licensor of the relevant Third Party IPR has granted a direct Third Party IPR Licence on the terms set out in Paragraph 4.2;

#### if the Supplier cannot, after commercially reasonable endeavours, obtain for the Buyer a Third Party IPR licence as set out in Paragraph 4.1.2.1, all the following conditions are met:

##### the Supplier has notified the Buyer in writing giving details of:

###### what licence terms can be obtained from the relevant third party; and

###### whether there are providers which the Supplier could seek to use and the licence terms obtainable from those third parties;

##### the Buyer has agreed to accept the licence terms of one of those third parties; and

##### the owner and authorised licensor of the Third Party IPR has granted a direct licence of the Third Party IPR to the Buyer on those terms; or

#### the Buyer has provided authorisation to the use of the Third Party IPR in writing, with reference to the acts authorised and the specific IPR involved.

## The Third Party IPR licence referred to in Paragraph 4.1 is the licence set out in Paragraph 2.3 as if:

### the term Third Party IPR were substituted for the term Supplier Existing IPR; and

### the term third party were substituted for the term Supplier,

in each place they occur.

# Open Licence Publication

***This Paragraph should be included where the Buyer intends to publish the*** ***New IPR as Open Licence under the Government’s standard Open Licence. This might be applicable where there is a public interest in collaborating with the private sector and making the New IPR freely available to other suppliers and wider users. This Paragraph will not be appropriate where the Buyer is permitted to and intends to exploit the*** ***and New IPR itself on a commercial basis, as it will undermine such commercial exploitation.***

## Subject to Paragraph 5.5, the Supplier agrees that the Buyer may at its sole discretion publish under Open Licence all or part of the New IPR Items.

## The Supplier warrants that:

### the New IPR Items are suitable for release under Open Licence;

### in developing the New IPR is has used reasonable endeavours to ensure that:

#### the publication by the Buyer will not:

##### allow a third party to use them in any way that could reasonably be foreseen to compromise the operation or security of the New IPRs;

##### cause any harm or damage to any party using them; or

##### breach the rights of any third party;

#### they do not contain any material which would bring the Buyer into disrepute if published.

## The Supplier must not include in the New IPR provided for publication by Open Licence any Supplier Existing IPRs unless the Supplier consents to:

### their publication by the Buyer under Open Licence; and

### their subsequent licence and treatment as Open Licence under the terms of the licence chosen by the Buyer.

## The Supplier must supply any or all New IPR Items in a format (whether it is provided in any other format or not) suitable for publication under an Open Licence (the **Open Licence Publication Material**) within thirty (30) Working Days of written request from the Buyer (**Buyer Open Licence Request**).

## The Supplier may within fifteen (15) Working Days of Buyer Open Licence Request under Paragraph 5.4 request in writing that the Buyer excludes all or part of:

### the New IPR Items; or

### Supplier Existing IPR or Third Party IPR that would otherwise be included in the Open Licence Publication Material supplied to the Buyer pursuant to Paragraph 5.4,

* 1. from Open Licence publication.

## The Supplier’s request under Paragraph 5.5 must include the Supplier’s assessment of the impact the Buyer’s agreeing to the request would have on its ability to publish other New IPR Items under an Open Licence.

## Any decision to Approve any such request from the Supplier under Paragraph 5.5 shall be at the Buyer’s sole discretion, not to be unreasonably withheld or delayed, or made subject to unreasonable conditions.

# Patents

## Where a patent owned by the Supplier is infringed by the use of the New IPR by the Buyer or any Replacement Supplier, the Supplier hereby grants to the Buyer and the Replacement Supplier a non-exclusive, irrevocable, royalty-free, worldwide patent licence to use the infringing methods, materials or software.

NOT USED

Part B: Intellectual Property Rights (ICT Services)

**ANNEX** **2: FORM OF CONFIDENTIALITY UNDERTAKING**

CONFIDENTIALITY AGREEMENT

THIS AGREEMENT is made on [date] 20

BETWEEN:

1. [insert name] of **[insert** address] (the “Sub-licensee”); and
2. [insert name]of **[insert** address] (the “Supplier” and together with the Supplier, the “Parties”).

WHEREAS:

(A) **[Insert** Buyer’s Name] (the “Buyer”) and the Supplier are party to a contract dated [**insert** date] (the “Contract”) for the provision by the Supplier of [**insert** brief description of services] to the Buyer.

(B) The Buyer wishes to grant a sub-licence to the Sub-licensee in respect of certain software and intellectual property rights licensed to the Buyer pursuant to this Contract (the “Sub-licence”).

(C) It is a requirement of this Contract that, before the Buyer grants such sub-licence to the Sub-licensee, the Sub-licensee execute a confidentiality agreement in favour of the Supplier in or substantially in the form of this Agreement to protect the Confidential Information of the Buyer.

IT IS AGREED as follows:

1. Interpretation
	1. In this Agreement, unless the context otherwise requires:

|  |  |
| --- | --- |
| “Confidential Information” | means:1. Information, including all personal data within the meaning of the Data Protection Act 2018, and however it is conveyed, provided by the Buyer to the Sub-licensee pursuant to or in connection with the Sub-licence that relates to:
	1. the Supplier; or
	2. the operations, business, affairs, developments, intellectual property rights, trade secrets, know-how and/or personnel of the Supplier;
2. the source code and the object code of the software sub-licensed to the Sub-licensee pursuant to the Sub-licence together with build information, relevant design and development information, technical specifications of all functionality including those not included in standard manuals (such as those that modify system performance and access levels), configuration details, test scripts, user manuals, operating manuals, process definitions and procedures, and all such other documentation supplied by the Supplier to the Buyer pursuant to or in connection with the Sub-licence;
3. other Information provided by the Buyer pursuant to this Agreement to the Sub-licensee that is clearly designated as being confidential or equivalent or that ought reasonably to be considered to be confidential which comes (or has come) to the Sub-licensee’s attention or into the Sub-licensee’s possession in connection with the Sub-licence; and
4. Information derived from any of the above,

but not including any Information that:1. was in the possession of the Sub-licensee without obligation of confidentiality prior to its disclosure by the Buyer;
2. was already generally available and in the public domain at the time of disclosure otherwise than by a breach of this Agreement or breach of a duty of confidentiality; or
3. was independently developed without access to the Information;
 |
| “Information” | means all information of whatever nature, however conveyed and in whatever form, including in writing, orally, by demonstration, electronically and in a tangible, visual or machine-readable medium (including CD-ROM, magnetic and digital form); and |
| “Sub-licence” | has the meaning given to that expression in recital (B) to this Agreement. |

* 1. In this Agreement:
		1. a reference to any gender includes a reference to other genders;
		2. the singular includes the plural and vice versa;
		3. the words “include” and cognate expressions shall be construed as if they were immediately followed by the words “without limitation”;
		4. references to any statutory provision include a reference to that provision as modified, replaced, amended and/or re-enacted from time to time (before or after the date of this Agreement) and any prior or subsequent subordinate legislation made under it;
		5. headings are included for ease of reference only and shall not affect the interpretation or construction of this Agreement; and
		6. references to Clauses are to clauses of this Agreement.
1. Confidentiality Obligations
	1. In consideration of the Buyer entering into the Sub-licence, the Sub-licensee shall:
		1. treat all Confidential Information as secret and confidential;
		2. have in place and maintain proper security measures and procedures to protect the confidentiality of the Confidential Information (having regard to its form and nature);
		3. not disclose or permit the disclosure of any of the Confidential Information to any other person without obtaining the prior written consent of the Supplier or except as expressly set out in this Agreement;
		4. not transfer any of the Confidential Information outside the United Kingdom;
		5. not use or exploit any of the Confidential Information for any purpose whatsoever other than as permitted under the Sub-licence;
		6. immediately notify the Supplier in writing if it suspects or becomes aware of any unauthorised access, copying, use or disclosure in any form of any of the Confidential Information; and
		7. upon the expiry or termination of the Sub-licence:
2. destroy or return to the Supplier all documents and other tangible materials that contain any of the Confidential Information;
3. ensure, so far as reasonably practicable, that all Confidential Information held in electronic, digital or other machine-readable form ceases to be readily accessible (other than by the information technology staff of the Sub-licensee) from any computer, word processor, voicemail system or any other device; and
4. make no further use of any Confidential Information.
5. Permitted Disclosures
	1. The Sub-licensee may disclose Confidential Information to those of its directors, officers, employees, consultants and professional advisers who:
		1. reasonably need to receive the Confidential Information in connection with the Sub-licence; and
		2. have been informed by the Sub-licensee of the confidential nature of the Confidential Information; and
		3. have agreed to terms similar to those in this Agreement.
	2. The Sub-licensee shall be entitled to disclose Confidential Information to the extent that it is required to do so by applicable law or by order of a court or other public body that has jurisdiction over the Sub-licensee.
	3. Before making a disclosure pursuant to Clause 3.2, the Sub-licensee shall, if the circumstances permit:
		1. notify the Supplier in writing of the proposed disclosure as soon as possible (and if possible before the court or other public body orders the disclosure of the Confidential Information); and
		2. ask the court or other public body to treat the Confidential Information as confidential.
6. General
	1. The Sub-licensee acknowledges and agrees that all property, including intellectual property rights, in Confidential Information disclosed to it by the Supplier shall remain with and be vested in the Supplier.
	2. This Agreement does not include, expressly or by implication, any representations, warranties or other obligations:
		1. to grant the Sub-licensee any licence or rights other than as may be expressly stated in the Sub-licence;
		2. to require the Supplier to disclose, continue disclosing or update any Confidential Information; or
		3. as to the accuracy, efficacy, completeness, capabilities, safety or any other qualities whatsoever of any Information or materials provided pursuant to or in anticipation of the Sub-licence.
	3. The rights, powers and remedies provided in this Agreement are cumulative and not exclusive of any rights, powers or remedies provided by law. No failure or delay by either Party to exercise any right, power or remedy will operate as a waiver of it nor will any partial exercise preclude any further exercise of the same, or of some other right, power or remedy.
	4. Without prejudice to any other rights or remedies that the Supplier may have, the Sub-licensee acknowledges and agrees that damages alone may not be an adequate remedy for any breach by the Sub-licensee of any of the provisions of this Agreement. Accordingly, the Sub-licensee acknowledges that the Supplier shall be entitled to the remedies of injunction and specific performance as well as any other equitable relief for any threatened or actual breach of this Agreement and/or breach of confidence and that no proof of special damages shall be necessary for the enforcement of such remedies.
	5. The maximum liability of the Sub-licensee to the Supplier for any breach of this Agreement shall be limited to ten million pounds (£10,000,000).
	6. For the purposes of the Contracts (Rights of Third Parties) Act 1999 no one other than the Parties has the right to enforce the terms of this Agreement.
	7. Each Party shall be responsible for all costs incurred by it or on its behalf in connection with this Agreement.
	8. This Agreement may be executed in any number of counterparts and by the Parties on separate counterparts, but shall not be effective until each Party has executed at least one counterpart. Each counterpart shall constitute an original of this Agreement, but all the counterparts shall together constitute but one and the same instrument.
7. Notices
	1. Any notice to be given under this Agreement (each a “Notice”) shall be given in writing and shall be delivered by hand and shall be deemed to have been duly given at the time of delivery provided that such Notice is sent to the relevant physical address, and expressly marked for the attention of the relevant individual, set out in Clause 5.2.
	2. Any Notice:
		1. if to be given to the Supplier shall be sent to:

[Address]

Attention: [Contact name and/or position, e.g. “The Finance Director”]

* + 1. if to be given to the Sub-licensee shall be sent to:

[Name of Organisation]
[Address]

Attention: [ ]

1. Governing law
	1. This Agreement shall be governed by, and construed in accordance with, English law and any matter claim or dispute arising out of or in connection with this Agreement whether contractual or non-contractual, shall be governed by and determined in accordance with English law.
	2. Each Party hereby irrevocably submits to the exclusive jurisdiction of the English courts in respect of any claim or dispute arising out of or in connection with this Agreement.

IN WITNESS of the above this Agreement has been signed by the duly authorised representatives of the Parties on the date which appears at the head of page 1.

For and on behalf of [name of Supplier]

|  |  |
| --- | --- |
| Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Date: |
| Name: | Position: |

For and on behalf of [name of Sub-licensee]

|  |  |
| --- | --- |
| Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Date: |
| Name: | Position: |