

CONTRACT FOR KS1 & KS2 English Writing – Teacher Assessment Standardisation and Training Materials for Moderators

THIS CONTRACT IS DATED

Parties

- 1) The Secretary of State for Education whose Head Office is at Sanctuary Buildings, Great Smith Street, London, SW1P 3BT acting as part of the Crown (**“the Department”**); and
- 2) ACER International UK Ltd whose registered office is at Column House, London Road, Shrewsbury, Shropshire, United Kingdom, SY2 6NN (**“the Contractor”**)

Recitals

The Contractor has agreed to provide Teacher Assessment Standardisation and Training material for Moderators (KS1 & KS2 - English Writing) on the terms and conditions set out in this Contract.

The Department's reference number for this Contract is STA 0281/ Jaggaer Project Ref: 5534/ITT514

1 Interpretation

1.1 In this Contract the following words shall mean:-

“the Services”	the services to be performed by the Contractor as described in Schedule 1;
"Affiliate"	in relation to a body corporate, any other entity which directly or indirectly Controls, is Controlled by, or is under direct or indirect common Control with, that body corporate from time to time;
“Central Government Body”	means a body listed in one of the following sub-categories of the Central Government classification of the Public Sector Classification Guide, as published and amended from time to time by the Office for National Statistics:

- (a) Government Department;
- (b) Non-Departmental Public Body or Assembly Sponsored Public Body (advisory, executive, or tribunal);
- (c) Non-Ministerial Department; or
- (d) Executive Agency;

"the Contract Manager" [REDACTED] Cheylesmore House, 5 Quinton Road, Coventry, CV1 2WT

"Contract Period" The start and end date of the contract as set out in Clause 2 subject to any extensions.

"Contractor Personnel" all employees, agents, Contractors and contractors of the Contractor and/or of any Sub-contractor;

"the Contractors Contract Manager" [REDACTED] ACER UK, 13–15 Canfield Place, London NW6 3BT

"Confidential Information" the Department's Confidential Information and/or the Contractor's Confidential Information;

"Contracting Department" any contracting Department as defined in Regulation 5(2) of the Public Contracts (Works, Services and Supply) (Amendment) Regulations 2000 other than the Department;

"Contractor Personnel" all employees, agents, consultants and contractors of the Contractor and/or of any Sub-contractor;

"Contracts Finder" the Government's publishing portal for public sector procurement opportunities.

"Control" means that a person possesses, directly or indirectly, the power to direct or cause the direction of the management and policies of the other person (whether through the ownership of voting shares, by contract or otherwise) and "**Controls**" and "**Controlled**" shall be interpreted accordingly;

“Controller”, “Processor,” “Data Subject”, “Personal Data”, “Personal Data Breach”, “Data Protection Officer”		take the meaning given in the GDPR
“Crown”		means Queen Elizabeth II and any successor
"Crown Body"		any department, office or agency of the Crown;
“Data Loss Event”		any event that results, or may result, in unauthorised access to Personal Data held by the Contractor under this Contract, and/or actual or potential loss and/or destruction of Personal Data in breach of this Contract, including any Personal Data Breach.
“DPA 2018”		Data Protection Act 2018
“Data Protection Impact Assessment”		an assessment by the Controller of the impact of the envisaged processing on the protection of Personal Data.
“Data Protection Legislation”		(i) the GDPR, the LED and any applicable national implementing Laws as amended from time to time (ii) the DPA 2018 to the extent that it relates to processing of personal data and privacy; (iii) all applicable Law about the processing of personal data and privacy;
“Data Subject Request”		a request made by, or on behalf of, a Data Subject in accordance with rights granted pursuant to the Data Protection Legislation to access their Personal Data.
"Department's Information"	Confidential	all Personal Data and any information, however it is conveyed, that relates to the business, affairs, developments, trade secrets, know-how, personnel, and suppliers of the Department, including all IPRs, together with all information derived from any of the above, and any other information clearly designated as being confidential (whether or not it is marked

		"confidential") or which ought reasonably be considered to be confidential;
"Department's Property Rights"	Intellectual	means all Intellectual Property Rights comprised in or necessary for or arising from the performance of the Consultancy Services
"Environmental Regulations"	Information	the Environmental Information Regulations 2004 together with any guidance and/or codes of practice issues by the Information Commissioner or relevant Government Department in relation to such regulations;
"FOIA"		the Freedom of Information Act 2000 and any subordinate legislation made under this Act from time to time together with any guidance and/or codes of practice issued by the Information Commissioner or relevant Government Department in relation to such legislation;
"GDPR"		the General Data Protection Regulation (Regulation (EU) 2016/679)
"Her Majesty's Government"		means the duly elected Government for the time being during the reign of Her Majesty and/or any department, committee, office, servant or officer of such Government
"Information"		has the meaning given under section 84 of the Freedom of Information Act 2000;
"Intellectual Property Rights"		means any copyright, rights in designs, database rights, domain names, trade marks, service marks, patents or any applications for any of the foregoing, know-how or similar rights or obligations (whether registerable or not) including Moral Rights as defined in Chapter IV of the Copyright, Designs and Patents Act 1988
"Joint Controllers"		Where two or more Controllers jointly determine the purposes and means of processing
"Law"		means any law, subordinate legislation within the meaning of Section 21(1) of the Interpretation Act 1978, bye-law, enforceable right within the meaning of Section 2 of the European Communities Act 1972, regulation,

order, regulatory policy, mandatory guidance or code of practice, judgment of a relevant court of law, or directives or requirements with which the Processor is bound to comply;

"LED"

Law Enforcement Directive (Directive (EU) 2016/680)

"Personal Data"

shall have the same meaning as set out in the Data Protection Act 1998;

"Processor Personnel"

employees, agents, consultants and contractors of the Processor and/or of any Sub-Processor engaged in the performance of its obligations under this Contract.

"Property"

means the property, other than real property, issued or made available to the Contractor by the Client in connection with the Contract.

"Protective Measures"

appropriate technical and organisational measures which may include: pseudonymising and encrypting Personal Data, ensuring confidentiality, integrity, availability and resilience of systems and services, ensuring that availability of and access to Personal Data can be restored in a timely manner after an incident, and regularly assessing and evaluating the effectiveness of the such measures adopted by it including those set out in the Contract.

.

"Regulatory Bodies"

those government departments and regulatory, statutory and other entities, committees and bodies which, whether under statute, rules, regulations, codes of practice or otherwise, are entitled to regulate, investigate, or influence the matters dealt with in this Contract or any other affairs of the Department and "**Regulatory Body**" shall be construed accordingly.

"Request for Information"

a request for information or an apparent request under the Code of Practice on Access to Government Information, FOIA or the Environmental Information Regulations;

"SME"	means a micro, small or medium-sized enterprise defined in accordance with the European Commission Recommendation 2003/361/EC and any subsequent revisions.
"Sub-contractor"	the third party with whom the Contractor enters into a Sub-contract or its servants or agents and any third party with whom that third party enters into a Sub-contract or its servants or agents;
"Sub-processor"	any third Party appointed to process Personal Data on behalf of the Contractor related to this Contract
"VCSE"	means a non-governmental organisation that is value-driven and which principally reinvests its surpluses to further social, environmental or cultural objectives.
"Working Day"	any day other than a Saturday, Sunday or public holiday in England and Wales.

- 1.2** References to "Contract" mean this contract (and include the Schedules). References to "Clauses" and "Schedules" mean clauses of and schedules to this Contract. The provisions of the Schedules shall be binding on the parties as if set out in full in this Contract.
- 1.3** Reference to the singular include the plural and vice versa and references to any gender include both genders and the neuter. References to a person include any individual, firm, unincorporated association or body corporate.

2 Commencement and Continuation

The Contractor shall commence the Services on 21 June 2021 and, subject to Clause 10.1 shall complete the Services on or before 31 May 2026

This Contract shall be deemed to have been effective from 21 June 2021.

3 Contractor's Obligations

- 3.1** The Contractor shall promptly and efficiently complete the Services in accordance with the provisions set out in Schedule 1 and the special conditions set out in Schedule 3. Where there is any conflict between the terms of this Contract and the special conditions set out in Schedule 3, the special conditions shall prevail.
- 3.2** The Contractor shall comply with the accounting and information provisions of Schedule 2.

- 3.3** The Contractor shall comply with all statutory provisions including all prior and subsequent enactments, amendments and substitutions relating to that provision and to any regulations made under it.

4 Departments Obligations

The Department will comply with the payment provisions of Schedule 2 provided that the Department has received full and accurate information and documentation as required by Schedule 2 to be submitted by the Contractor for work completed to the satisfaction of the Department.

5 Changes to the Department's Requirements

- 5.1** The Department shall notify the Contractor of any material change to the Department's requirement under this Contract.
- 5.2** The Contractor shall use its best endeavours to accommodate any changes to the needs and requirements of the Department provided that it shall be entitled to payment for any additional costs it incurs as a result of any such changes. The amount of such additional costs to be agreed between the parties in writing.

6 Management

- 6.1** The Contractor shall promptly comply with all reasonable requests or directions of the Contract Manager in respect of the Services.
- 6.2** The Contractor shall address any enquiries about procedural or contractual matters in writing to the Contract Manager. Any correspondence relating to this Contract shall quote the reference number set out in the Recitals to this Contract.

7 Contractor's Employees and Sub-Contractors

- 7.1** Where the Contractor enters into a contract with a supplier or contractor for the purpose of performing its obligations under the Contract (the "**Sub-contractor**") it shall ensure prompt payment in accordance with this clause 7.1. Unless otherwise agreed by the Department in writing, the Contractor shall ensure that any contract requiring payment to a Sub-contractor shall provide for undisputed sums due to the Sub-contractor to be made within a specified period from the receipt of a valid invoice not exceeding:

7.1.1 10 days, where the Sub-contractor is an SME; or

7.1.2 30 days either, where the sub-contractor is not an SME, or both the Contractor and the Sub-contractor are SMEs,

The Contractor shall comply with such terms and shall provide, at the Department's request, sufficient evidence to demonstrate compliance.

- 7.2** The Department shall be entitled to withhold payment due under clause 7.1 for so long as the Contractor, in the Department's reasonable opinion, has failed to comply with

its obligations to pay any Sub-contractors promptly in accordance with clause 7.1. For the avoidance of doubt the Department shall not be liable to pay any interest or penalty in withholding such payment. .

- 7.3** The Contractor shall take all reasonable steps to satisfy itself that its employees or sub-contractors (or their employees) are suitable in all respects to perform the Services.
- 7.4** The Contractor shall give to the Department if so requested a list of all persons who are or may be at any time directly concerned with the performance of this Contract specifying the capacity in which they are concerned with the provision of the Services and giving such other particulars as the Department may reasonably require.
- 7.5** If the Department notifies the Contractor that it considers that an employee or sub-contractor is not appropriately qualified or trained to provide the Services or otherwise is not providing the Services in accordance with this Contract, then the Contractor shall, as soon as is reasonably practicable, take all such steps as the Department considers necessary to remedy the situation or, if so required by the Department, shall remove the said employee or sub-contractor from providing the Services and shall provide a suitable replacement (at no cost to the Department).
- 7.6** The Contractor shall take all reasonable steps to avoid changes of employees or sub-contractors assigned to and accepted to provide the Services under the Contract except whenever changes are unavoidable or of a temporary nature. The Contractor shall give at least one month's written notice to the Contract Manager of proposals to change key employees or sub-contractors.
- 7.7** The Contractor shall immediately notify the Department if they have any concerns regarding the propriety of any of its sub-contractors in respect of work/services rendered in connection with this Contract.
- 7.8** The Contractor, its employees and sub-contractors (or their employees), whilst on Departmental premises, shall comply with such rules, regulations and requirements (including those relating to security arrangements) as may be in force from time to time.
- 7.9** The Contractor shall ensure the security of all the Property whilst in its possession, during the supply of the Services, in accordance with the Department's reasonable security requirements as required from time to time.

8 Intellectual Property Rights

- 8.1** It is acknowledged and agreed between the parties that all existing or future Department's Intellectual Property Rights shall vest in the Crown absolutely.
- 8.2** Any Intellectual Property Rights of the Contractor which are in existence at the date

of this Contract and which are comprised in or necessary for or arising from the performance of the Consultancy Services owned by the Contractor ("**Background Intellectual Property**") shall remain in the ownership of the Contractor but in consideration of the fees payable pursuant to this Contract, the Contractor hereby grants to the Department in respect of such Background Intellectual Property an irrevocable, non-exclusive, royalty-free, perpetual licence with rights to grant sub-licences.

- 8.3** The Contractor agrees that at the request and cost of the Department it will and procure that its officers, employees and agents will at all times do all such reasonable acts and execute all such documents as may be reasonably necessary or desirable to ensure that the Department receives the full benefit of all of its rights under this Contract in respect of the Department's Intellectual Property Rights or to assist in the resolution of any question concerning the Intellectual Property Rights.
- 8.4** The Contractor hereby waives any Moral Rights as defined at Chapter IV of the Copyright, Designs and Patents Act 1988.
- 8.5** The Contractor warrants:
- 8.5.1 that the Department's Intellectual Property Rights comprise the original work of and were created by or on behalf of the Contractor;
 - 8.5.2 that the Department's Intellectual Property Rights have not and will not be copied wholly or in part from any other work or material;
 - 8.5.3 That the use of or exercise by the Department of the Department's Intellectual Property Rights and the Background Intellectual Property will not infringe the rights of any third party;
 - 8.5.4 that the Contractor has not granted or assigned any rights of any nature in the Department's Intellectual Property Rights to any third party.
- 8.6** The Contractor shall ensure that any copyright materials produced by or on behalf of the Contractor shall be marked with the following copyright notice " © Crown Copyright ***year of publication***".

9 Warranty and Indemnity

- 9.1** The Contractor warrants to the Department that the obligations of the Contractor under this Contract will be performed by appropriately qualified and trained personnel with reasonable skill, care and diligence and to such high standards of quality as it is reasonable for the Department to expect in all the circumstances. The Department will be relying upon the Contractor's skill, expertise and experience in the performance of the Services and also upon the accuracy of all representations or statements made and the advice given by the Contractor in connection with the performance of the Services and the accuracy of any documents conceived, originated, made or developed by the Contractor as part of this Contract. The Contractor warrants that any goods supplied by the Contractor forming a part of the Services will be of

satisfactory quality and fit for their purpose and will be free from defects in design, material and workmanship.

- 9.2** Without prejudice to any other remedy, if any part of the Services is not performed in accordance with this Contract then the Department shall be entitled, where appropriate to:

9.2.1 require the Contractor promptly to re-perform or replace the relevant part of the Services without additional charge to the Department; or

9.2.2 assess the cost of remedying the failure ("the assessed cost") and to deduct from any sums due to the Contractor the Assessed Cost for the period that such failure continues.

- 9.3** The Contractor shall be liable for and shall indemnify the Department in full against any expense, liability, loss, claim or proceedings arising under statute or at common law in respect of personal injury to or death of any person whomsoever or loss of or damage to property whether belonging to the Department or otherwise arising out of or in the course of or caused by the provision of the Services.

- 9.4** The Contractor shall be liable for and shall indemnify the Department against any expense, liability, loss, claim or proceedings arising as a result of or in connection with any breach of the terms of this Contract or otherwise through the default of the Contractor

- 9.5** All property of the Contractor whilst on the Department's premises shall be there at the risk of the Contractor and the Department shall accept no liability for any loss or damage howsoever occurring to it.

- 9.6** The Contractor shall ensure that it has adequate insurance cover with an insurer of good repute to cover claims under this Contract or any other claims or demands which may be brought or made against it by any person suffering any injury damage or loss in connection with this Contract. The Contractor shall upon request produce to the Department, its policy or policies of insurance, together with the receipt for the payment of the last premium in respect of each policy or produce documentary evidence that the policy or policies are properly maintained.

10 Termination

- 10.1** This Contract may be terminated by either party giving to the other party at least 3 months' notice in writing

- 10.2** In the event of any breach of this Contract by either party, the other party may serve a notice on the party in breach requiring the breach to be remedied within a period specified in the notice which shall be reasonable in all the circumstances. If the breach has not been remedied by the expiry of the specified period, the party not in breach may terminate this Contract with immediate effect by notice in writing.

- 10.3** In the event of a material breach of this Contract by either party, the other party may terminate this Contract with immediate effect by notice in writing.

- 10.4** This Contract may be terminated by the Department with immediate effect by notice in writing if at any time:-
- 10.4.1** the Contractor passes a resolution that it be wound-up or that an application be made for an administration order or the Contractor applies to enter into a voluntary arrangement with its creditors; or
 - 10.4.2** a receiver, liquidator, administrator, supervisor or administrative receiver be appointed in respect of the Contractor's property, assets or any part thereof; or
 - 10.4.3** the court orders that the Contractor be wound-up or a receiver of all or any part of the Contractor's assets be appointed; or
 - 10.4.4** the Contractor is unable to pay its debts in accordance with Section 123 of the Insolvency Act 1986.
 - 10.4.5** there is a change in the legal or beneficial ownership of 50% or more of the Contractor's share capital issued at the date of this Contract or there is a change in the control of the Contractor, unless the Contractor has previously notified the Department in writing. For the purpose of this Sub-Clause 10.4.5 "control" means the power of a person to secure that the affairs of the Contractor are conducted in accordance with the wishes of that person by means of the holding of shares or the possession of voting power.
 - 10.4.6** the Contractor is convicted (or being a company, any officers or representatives of the Contractor are convicted) of a criminal offence related to the business or professional conduct
 - 10.4.7** the Contractor commits (or being a company, any officers or representatives of the Contractor commit) an act of grave misconduct in the course of the business;
 - 10.4.8** the Contractor fails (or being a company, any officers or representatives of the Contractor fail) to fulfil its obligations relating to the payment of Social Security contributions;
 - 10.4.9** the Contractor fails (or being a company, any officers or representatives of the Contractor fail) to fulfil its obligations relating to payment of taxes;
 - 10.4.10** the Contractor fails (or being a company, any officers or representatives of the Contractor fail) to disclose any serious misrepresentation in supplying information required by the Department in or pursuant to this Contract.
- 10.5** Nothing in this Clause 10 shall affect the coming into, or continuance in force of any provision of this Contract which is expressly or by implication intended to come into force or continue in force upon termination of this Contract.

11 Status of Contractor

- 11.1** In carrying out its obligations under this Contract the Contractor agrees that it will be acting as principal and not as the agent of the Department.
- 11.2** The Contractor shall not say or do anything that may lead any other person to believe that the Contractor is acting as the agent of the Department.

12 Confidentiality

- 12.1** Except to the extent set out in this clause or where disclosure is expressly permitted elsewhere in this Contract, each party shall:
 - 12.1.1 treat the other party's Confidential Information as confidential and safeguard it accordingly; and
 - 12.1.2 not disclose the other party's Confidential Information to any other person without the owner's prior written consent.
- 12.2** Clause 12 shall not apply to the extent that:
 - 12.2.1 such disclosure is a requirement of Law placed upon the party making the disclosure, including any requirements for disclosure under the FOIA, Code of Practice on Access to Government Information or the Environmental Information Regulations pursuant to Clause 13 (Freedom of Information);
 - 12.2.2 such information was in the possession of the party making the disclosure without obligation of confidentiality prior to its disclosure by the information owner;
 - 12.2.3 such information was obtained from a third party without obligation of confidentiality;
 - 12.2.4 such information was already in the public domain at the time of disclosure otherwise than by a breach of this Contract; or
 - 12.2.5 it is independently developed without access to the other party's Confidential Information.
- 12.3** The Contractor may only disclose the Department's Confidential Information to the Contractor Personnel who are directly involved in the provision of the Project and who need to know the information and shall ensure that such Contractor Personnel are aware of and shall comply with these obligations as to confidentiality.
- 12.4** The Contractor shall not, and shall procure that the Contractor Personnel do not, use any of the Department's Confidential Information received otherwise than for the purposes of this Contract.

- 12.5** The Contractor shall ensure that their employees, servants or such professional advisors or consultants sign a confidentiality undertaking before commencing work in connection with the Contract
- 12.6** Nothing in this Contract shall prevent the Department from disclosing the Contractor's Confidential Information:
- 12.6.1 on a confidential basis to any Central Government Body for any proper purpose of the Department or of the relevant Central Government Body;
 - 12.6.2 to Parliament and Parliamentary Committees or if required by any Parliamentary reporting requirement;
 - 12.6.3 to the extent that the Department (acting reasonably) deems disclosure necessary or appropriate in the course of carrying out its public functions;
 - 12.6.4 on a confidential basis to a professional adviser, consultant, supplier or other person engaged by any of the entities described in Clause 12.6.1 (including any benchmarking organisation) for any purpose relating to or connected with this Contract;
 - 12.6.5 on a confidential basis for the purpose of the exercise of its rights under this Contract, including audit rights, step-in rights and exit management rights; or
 - 12.6.6 on a confidential basis to a proposed successor body in connection with any assignment, novation, or disposal of any of its rights, obligations or liabilities under this Contract.
- 12.7** The Department shall use all reasonable endeavours to ensure that any Central Government Body, Contracting Department, employee, third party or Sub-contractor to whom the Contractor's Confidential Information is disclosed pursuant to clause 12 is made aware of the Department's obligations of confidentiality.
- 12.8** Nothing in this clause 12 shall prevent either party from using any techniques, ideas or know-how gained during the performance of the Contract in the course of its normal business to the extent that this use does not result in a disclosure of the other party's Confidential Information or an infringement of Intellectual Property Rights.
- 12.9** The parties acknowledge that, except for any information which is exempt from disclosure in accordance with the provisions of the FOIA, the content of this Contract is not Confidential Information. The Department shall be responsible for determining in its absolute discretion whether any of the content of the Contract is exempt from disclosure in accordance with the provisions of the FOIA.
- 12.10** Subject to Clause 12.9, the Contractor hereby gives its consent for the Department to publish the Contract in its entirety, including from time to time agreed changes to the Contract, to the general public.
- 12.11** The Department may consult with the Contractor to inform its decision regarding any redactions but the Department shall have the final decision in its absolute discretion.

12.12 The Contractor shall assist and cooperate with the Department to enable the Department to publish this Contract.

13 Freedom of Information

13.1 The Contractor acknowledges that the Department is subject to the requirements of the FOIA and the Environmental Information Regulations and shall assist and cooperate with the Department to enable the Department to comply with its information disclosure obligations.

13.2 The Contractor shall and shall procure that its Sub-contractors shall:

13.2.1 transfer to the Department all Requests for Information that it receives as soon as practicable and in any event within two Working Days of receiving a Request for Information;

13.2.2 provide the Department with a copy of all Information in its possession, or power in the form that the Department requires within five Working Days (or such other period as the Department may specify) of the Department's request; and

13.2.3 provide all necessary assistance as reasonably requested by the Department to enable the Department to respond to the Request for Information within the time for compliance set out in section 10 of the FOIA or regulation 5 of the Environmental Information Regulations.

13.3 The Department shall be responsible for determining in its absolute discretion and notwithstanding any other provision in this Contract or any other agreement whether any Information is exempt from disclosure in accordance with the provisions of the FOIA or the Environmental Information Regulations.

13.4 In no event shall the Contractor respond directly to a Request for Information unless expressly authorised to do so by the Department.

13.5 The Contractor acknowledges that (notwithstanding the provisions of Clause 13) the Department may, acting in accordance with the Ministry of Justice's Code of Practice on the Discharge of the Functions of Public Authorities under Part 1 of the Freedom of Information Act 2000 ("**the Code**"), be obliged under the FOIA, or the Environmental Information Regulations to disclose information concerning the Contractor or the Project:

13.5.1 in certain circumstances without consulting the Contractor; or

13.5.2 following consultation with the Contractor and having taken their views into account;

provided always that where 13.5.1 applies the Department shall, in accordance with any recommendations of the Code, take reasonable steps, where appropriate, to give the Contractor advanced notice, or failing that, to draw the disclosure to the Contractor's attention after any such disclosure.

- 13.6** The Contractor shall ensure that all Information is retained for disclosure and shall permit the Department to inspect such records as requested from time to time.

14 Access and Information

The Contractor shall provide access at all reasonable times to the Department's internal auditors or other duly authorised staff or agents to inspect such documents as the Department considers necessary in connection with this Contract and where appropriate speak to the Contractors employees.

15 Transfer of Responsibility on Expiry or Termination

- 15.1** The Contractor shall, at no cost to the Department, promptly provide such assistance and comply with such timetable as the Department may reasonably require for the purpose of ensuring an orderly transfer of responsibility upon the expiry or other termination of this Contract. The Department shall be entitled to require the provision of such assistance both prior to and, for a reasonable period of time after the expiry or other termination of this Contract.
- 15.2** Such assistance may include (without limitation) the delivery of documents and data in the possession or control of the Contractor which relate to this Contract, including the documents and data, if any, referred to in the Schedule.
- 15.3** The Contractor undertakes that it shall not knowingly do or omit to do anything which may adversely affect the ability of the Department to ensure an orderly transfer of responsibility.

16 Tax Indemnity

- 16.1** Where the Contractor is liable to be taxed in the UK in respect of consideration received under this contract, it shall at all times comply with the Income Tax (Earnings and Pensions) Act 2003 (ITEPA) and all other statutes and regulations relating to income tax in respect of that consideration. Where the Department has deemed the Contractor to be an Off-Payroll Contractor as defined by Her Majesty's Revenue and Customs the Department reserves the right to calculate Income Tax and pay it to HMRC. The amounts will be deducted from the Contractor's fee for the work provided.
- 16.2** Where the Contractor is liable to National Insurance Contributions (NICs) in respect of consideration received under this contract, it shall at all times comply with the Social Security Contributions and Benefits Act 1992 (SSCBA) and all other statutes and regulations relating to NICs in respect of that consideration. . Where the Department has deemed the Contractor to be an Off-Payroll Contractor as defined by Her Majesty's Revenue and Customs the Department reserves the right to calculate primary (employee) National Insurance contributions (NICs) and pay them to HMRC. The amounts will be deducted from the Contractor's fee for the work provided.
- 16.3** The Department may, at any time during the term of this contract, ask the Contractor

to provide information which demonstrates how the Contractor complies with Clauses 16.1 and 16.2 above or why those Clauses do not apply to it.

16.4 A request under Clause 16.3 above may specify the information which the Contractor must provide and the period within which that information must be provided.

16.5 The Department may terminate this contract if-

- (a) in the case of a request mentioned in Clause 16.3 above if the Contractor:
 - (i) fails to provide information in response to the request within a reasonable time, or
 - (ii) provides information which is inadequate to demonstrate either how the Contractor complies with Clauses 16.1 and 16.2 above or why those Clauses do not apply to it;
- (b) in the case of a request mentioned in Clause 16.4 above, the Contractor fails to provide the specified information within the specified period, or
- (c) it receives information which demonstrates that, at any time when Clauses 16.1 and 16.2 apply, the Contractor is not complying with those Clauses.

16.6 The Department may supply any information which it receives under Clause 16.3 to the Commissioners of Her Majesty's Revenue and Customs for the purpose of the collection and management of revenue for which they are responsible.

16.7 The Contractor warrants and represents to the Department that it is an independent contractor and, as such, bears sole responsibility for the payment of tax and national insurance contributions which may be found due from it in relation to any payments or arrangements made under this Contract or in relation to any payments made by the Contractor to its officers or employees in connection with this Contract.

16.8 The Contractor will account to the appropriate authorities for any income tax, national insurance, VAT and all other taxes, liabilities, charges, and duties relating to any payments made to the Contractor under this Contract or in relation to any payments made by the Contractor to its officers or employees in connection with this Contract.

16.9 The Contractor shall indemnify Department against any liability, assessment or claim made by the HM Revenue and Customs or any other relevant authority arising out of the performance by the parties of their obligations under this Contract (other than in respect of employer's secondary national insurance contributions) and any costs, expenses, penalty fine or interest incurred or payable by Department in connection with any such assessment or claim.

16.10 The Contractor authorises the Department to provide the HM Revenue and Customs and all other departments or agencies of the Government with any information which they may request as to fees and/or expenses paid or due to be paid under this Contract whether or not Department is obliged as a matter of law to comply with such request.

17 Data Protection

- 17.1** The Parties acknowledge that for the purposes of the Data Protection Legislation, the Customer is the Controller and the Contractor is the Processor unless otherwise specified in Schedule 3a. The only processing that the Processor is authorised to do is listed in Schedule 3a by the Controller and may not be determined by the Processor
- 17.2** The Processor shall notify the Controller immediately if it considers that any of the Controller's instructions infringe the Data Protection Legislation.
- 17.3** The Processor shall provide all reasonable assistance to the Controller in the preparation of any Data Protection Impact Assessment prior to commencing any processing. Such assistance may, at the discretion of the Controller, include:
- (a) a systematic description of the envisaged processing operations and the purpose of the processing;
 - (b) an assessment of the necessity and proportionality of the processing operations in relation to the Services;
 - (c) an assessment of the risks to the rights and freedoms of Data Subjects; and
 - (d) the measures envisaged to address the risks, including safeguards, security measures and mechanisms to ensure the protection of Personal Data.
- 17.4** The Processor shall, in relation to any Personal Data processed in connection with its obligations under this Contract:
- (a) process that Personal Data only in accordance with Schedule 3a , unless the Processor is required to do otherwise by Law. If it is so required the Processor shall promptly notify the Controller before processing the Personal Data unless prohibited by Law;
 - (b) ensure that it has in place Protective Measures, which are appropriate to protect against a Data Loss Event, which the Controller may reasonably reject (but failure to reject shall not amount to approval by the Controller of the adequacy of the Protective Measures), having taken account of the:
 - (i) nature of the data to be protected;
 - (ii) harm that might result from a Data Loss Event;
 - (iii) state of technological development; and
 - (iv) cost of implementing any measures;
 - (c) ensure that :
 - (i) the Processor Personnel do not process Personal Data except in accordance with this Contract (and in particular Schedule 3a);
 - (ii) it takes all reasonable steps to ensure the reliability and integrity of any Processor Personnel who have access to the Personal Data and ensure that they:
 - (A) are aware of and comply with the Processor's duties under this clause;
 - (B) are subject to appropriate confidentiality undertakings with the Processor or any Sub-processor;

- (C) are informed of the confidential nature of the Personal Data and do not publish, disclose or divulge any of the Personal Data to any third Party unless directed in writing to do so by the Controller or as otherwise permitted by this Contract; and
 - (D) have undergone adequate training in the use, care, protection and handling of Personal Data; and
- (d) not transfer Personal Data outside of the EU unless the prior written consent of the Controller has been obtained and the following conditions are fulfilled:
- (i) the Controller or the Processor has provided appropriate safeguards in relation to the transfer (whether in accordance with GDPR Article 46 or LED Article 37) as determined by the Controller;
 - (ii) the Data Subject has enforceable rights and effective legal remedies;
 - (iii) the Processor complies with its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred (or, if it is not so bound, uses its best endeavours to assist the Controller in meeting its obligations); and
 - (iv) the Processor complies with any reasonable instructions notified to it in advance by the Controller with respect to the processing of the Personal Data;
- (e) at the written direction of the Controller, delete or return Personal Data (and any copies of it) to the Controller on termination of the Contract unless the Processor is required by Law to retain the Personal Data.

17.5 Subject to clause 17.6, the Processor shall notify the Controller immediately if it:

- (a) receives a Data Subject Request (or purported Data Subject Request);
- (b) receives a request to rectify, block or erase any Personal Data;
- (c) receives any other request, complaint or communication relating to either Party's obligations under the Data Protection Legislation;
- (d) receives any communication from the Information Commissioner or any other regulatory authority in connection with Personal Data processed under this Contract;
- (e) receives a request from any third Party for disclosure of Personal Data where compliance with such request is required or purported to be required by Law; or
- (f) becomes aware of a Data Loss Event.

17.6 The Processor's obligation to notify under clause 17.5 shall include the provision of further information to the Controller in phases, as details become available.

17.7 Taking into account the nature of the processing, the Processor shall provide the Controller with full assistance in relation to either Party's obligations under Data Protection Legislation and any complaint, communication or request made under clause 17.5 (and insofar as possible within the timescales reasonably required by the Controller) including by promptly providing:

- (a) the Controller with full details and copies of the complaint, communication or request;

- (b) such assistance as is reasonably requested by the Controller to enable the Controller to comply with a Data Subject Request within the relevant timescales set out in the Data Protection Legislation;
- (c) the Controller, at its request, with any Personal Data it holds in relation to a Data Subject;
- (d) assistance as requested by the Controller following any Data Loss Event;
- (e) assistance as requested by the Controller with respect to any request from the Information Commissioner's Office, or any consultation by the Controller with the Information Commissioner's Office.

17.8 The Processor shall maintain complete and accurate records and information to demonstrate its compliance with this clause. This requirement does not apply where the Processor employs fewer than 250 staff, unless:

- (a) the Controller determines that the processing is not occasional;
- (b) the Controller determines the processing includes special categories of data as referred to in Article 9(1) of the GDPR or Personal Data relating to criminal convictions and offences referred to in Article 10 of the GDPR; and
- (c) the Controller determines that the processing is likely to result in a risk to the rights and freedoms of Data Subjects.

17.9 The Processor shall allow for audits of its Data Processing activity by the Controller or the Controller's designated auditor.

17.10 Each Party shall designate its own data protection officer if required by the Data Protection Legislation.

17.11 Before allowing any Sub-processor to process any Personal Data related to this Contract, the Processor must:

- (a) notify the Controller in writing of the intended Sub-processor and processing;
- (b) obtain the written consent of the Controller;
- (c) enter into a written agreement with the Sub-processor which give effect to the terms set out in this clause 17 such that they apply to the Sub-processor; and
- (d) provide the Controller with such information regarding the Sub-processor as the Controller may reasonably require.

17.12 The Processor shall remain fully liable for all acts or omissions of any Sub-processor.

17.13 The Controller may, at any time on not less than 30 Working Days' notice, revise this clause by replacing it with any applicable controller to processor standard clauses or similar terms forming part of an applicable certification scheme (which shall apply when incorporated by attachment to this Contract).

17.14 The Parties agree to take account of any guidance issued by the Information Commissioner's Office. The Controller may on not less than 30 Working Days' notice to the Processor amend this Contract to ensure that it complies with any guidance issued by the Information Commissioner's Office.

18 Amendment and variation

No amendment or variation to this Contract shall be effective unless it is in writing and signed by or on behalf of each of the parties hereto. The Contractor shall comply with any formal procedures for amending or varying contracts which the Department may have in place from time to time.

19 Assignment and Sub-contracting

The benefit and burden of this Contract may not be assigned or sub-contracted in whole or in part by the Contractor without the prior written consent of the Department. Such consent may be given subject to any conditions which the Department considers necessary. The Department may withdraw its consent to any sub-contractor where it no longer has reasonable grounds to approve of the sub-contractor or the sub-contracting arrangement and where these grounds have been presented in writing to the Contractor.

20 The Contract (Rights of Third Parties) Act 1999

This Contract is not intended to create any benefit, claim or rights of any kind whatsoever enforceable by any person not a party to the Contract.

21 Waiver

No delay by or omission by either Party in exercising any right, power, privilege or remedy under this Contract shall operate to impair such right, power, privilege or remedy or be construed as a waiver thereof. Any single or partial exercise of any such right, power, privilege or remedy shall not preclude any other or further exercise thereof or the exercise of any other right, power, privilege or remedy.

22 Notices

22.1 Any notice, demand or communication in connection with the Contract shall be in writing and may be delivered by hand, pre-paid first class post or (where being sent to an address in a different country to where posted) airmail, or e-mail, addressed to the recipient at its registered office or its address (or such other address, or e-mail address as may be notified in writing from time to time).

22.2 The notice, demand or communication shall be deemed to have been duly served:

22.2.1 if delivered by hand, when left at the proper address for service;

22.2.2 if given or made by prepaid first class post 48 hours after being posted or in the case of airmail 14 days after being posted;

22.2.3 if made by e-mail, at the time of transmission, dispatched as a pdf attachment to an e-mail to the correct e-mail address without any error message or, in the case of transmission by e-mail where the time of transmission is not between 9.00 am and 5.00 pm, service shall be deemed to occur at 9.00 am on the next

following Business Day (such times being local time at the address of the recipient).

23 Dispute resolution

- 23.1** The Parties shall use all reasonable endeavours to negotiate in good faith and settle amicably any dispute that arises during the continuance of this Contract.
- 23.2** Any dispute not capable of resolution by the parties in accordance with the terms of Clause 23 shall be settled as far as possible by mediation in accordance with the Centre for Dispute Resolution (CEDR) Model Mediation Procedure.
- 23.3** No party may commence any court proceedings/arbitration in relation to any dispute arising out of this Contract until they have attempted to settle it by mediation, but any such mediation may be terminated by either party at any time of such party wishing to commence court proceedings/arbitration.

24 Discrimination

- 24.1** The Contractor shall not unlawfully discriminate within the meaning and scope of any law, enactment, order, or regulation relating to discrimination (whether in race, gender, religion, disability, sexual orientation or otherwise) in employment.
- 24.2** The Contractor shall take all reasonable steps to secure the observance of Clause [REDACTED] contractors employed in the execution of the Contract.

25 Law and Jurisdiction

This Contract shall be governed by and interpreted in accordance with English Law and the parties submit to the jurisdiction of the English courts.

As witness the hands of the parties

Authorised to sign for and on
behalf of the Secretary of

State for Education

Signature [REDACTED]

Name in CAPITALS [REDACTED]

Position in Organisation

Address in full

Date

Authorised to sign for and on
behalf of **ACER International UK
Limited**

Signature [REDACTED]

Name in CAPITALS [REDACTED]

Position in Organisation

Address in full

Date

Schedule 1 – SPECIFICATION

1. INTRODUCTION

- 1.1. The Department for Education ("DfE" or "the Department") is responsible for the central administration of all aspects of education and has the primary statutory duty of promoting the education of pupils in England and ensuring the effective implementation of education policy.
- 1.2. The government's responsibilities for National Curriculum Assessment are delivered by the Standards and Testing Agency, an executive agency of the DfE. The STA's main functions are to:
 - 1.2.1. develop high quality and rigorous DfE tests and assessments in line with Ministerial policy;
 - 1.2.2. undertake operational delivery of DfE tests and assessment (including printing, distribution, marking and data capture of tests as appropriate);
 - 1.2.3. support schools, test centres and other stakeholders to deliver DfE tests and assessments;
 - 1.2.4. support and implement arrangements for moderation of teacher assessment judgements.

2. BACKGROUND

- 2.1. STA on behalf of the Secretary of State for Education undertakes yearly local authority (LA) moderator training and standardisation for KS1 and KS2 English writing. Although other KS1 subjects are teacher assessed, materials for these are not required even though they are moderated. This is due to the complexity of the English Writing Framework and how these might be interpreted, leading to a need to ensure a standardised approach to it. Moderator training and standardisation ensures that the teacher assessment (TA) frameworks are applied accurately and consistently across the country, contributing to the provision of a robust and reliable national data set.
- 2.2. STA require a supplier to devise KS1 and KS2 English writing materials to enable a repository of self-guided training materials, with 20% of materials developed by September 2021 (this is including materials partially completed for last years training that will be supplied and can be amended and completed) and the remaining materials for completion by October 2022 and create standardisation exercises by October each year for the 5 years the contract runs.
- 2.3. This material will be used by local authority moderation managers as well as moderators to ensure that they are able to deliver robust moderation of teacher assessments.
- 2.4. This material will be used by STA to deliver national LA moderator training and standardisation for KS1 and KS2 English writing in order to meet the Secretary of State's continued commitment, to provide schools with assurance that local authorities moderation teams have the required knowledge to undertake moderation of KS1 and KS2 English writing teacher assessment.
- 2.5. The Department for Education will own copyright of all work commissioned.

- 2.6. The information below is for costing purposes only and is a close approximation of what will be required.

3. PRODUCT REQUIREMENTS

- 3.1. There are three types of product required under this contract: training exercises; professional discussion exemplars; and standardisation materials. The training exercises and professional discussion exemplars form the training materials for the repository.
- 3.2. The training exercises should be developed to ensure moderators have a robust understanding of the teacher assessed standards in the [Pre-key stage 1 standards & Pre-key stage 2 standards](#) and the [Teacher assessment frameworks at the end of key stage 1 Teacher assessment frameworks at the end of key 2 stage](#). This should enable them to ensure pupils have been appropriately assessed by schools during their moderation visits and TA frameworks.
- 3.3. The professional discussion exemplars should be developed to ensure moderators are confident in holding professional discussions with schools during the moderation visit, particularly where these conversations may be difficult and require sensitive handling.
- 3.4. The standardisation materials are used to ensure that all approved moderators have interpreted that standards appropriately and can apply them to pupil work. Moderators are required to correctly assess 5-6 pieces of work per pupil, for 3 pupils, in order to become approved moderators. Potential moderators will have 2 opportunities to achieve approved moderator status.
- 3.5. The following table provides more detail for each product.

Training Materials for Repository	
<p><i>Minimum of 30 activities and 5 professional discussions. These are to include a variety of virtual delivery routes – including video.</i></p>	<p>The supplier will gather pupil work for use in the activities and professional discussions. These must exemplify the teacher assessment frameworks, including the pre-key stage standards, and be moderated pieces of work. (For the 20% requested in year one, due to no moderation occurring in the past two years, materials will need to be gathered from those that had been previously moderated since the introduction of the pre-key stage and main TA frameworks. Alternatively, work could be used that is moderated by a qualified moderator now rather than work that was moderated during an actual statutory assessment process. The remaining materials can be built around a combination of moderated materials in test cycle 2021/22 and in the above way)</p> <p>Training exercises Below are some examples of things that could be included in the training exercises. The supplier will propose the range of content for the training materials for STA approval:</p> <p>KS1</p> <ul style="list-style-type: none"> • writing effectively and coherently for different purposes, drawing on reading to inform the vocabulary and purpose of writing • consideration of the attributes of a writer

	<p>demonstrating different standards such as working at greater depth</p> <ul style="list-style-type: none"> • transition from writing simple, coherent narratives to writing effectively and coherently for different purposes • making simple additions, revisions and proof-reading corrections to writing • write simple, coherent narratives about personal experiences and those of others (real or fictional) • the use of co-ordination and subordination to aid coherence • the transition from 'sentences that are sequenced to form a short narrative' at different standards to 'a simple coherent narrative about personal experiences and those of others' <p>KS2</p> <ul style="list-style-type: none"> • writing effectively for a range of purposes and audiences, selecting language that shows good awareness of the reader • selecting vocabulary and grammatical structures that reflect what the writing requires, doing this mostly appropriately • using a range of devices to build cohesion (e.g. conjunctions, adverbials of time and place, pronouns, synonyms) within and across paragraphs • distinguishing between differing standards including pre-key stage standards • writing effectively for purpose and audience, selecting the appropriate form and drawing independently on what they have read as models for their own writing • the language of speech and writing and choose the appropriate register; • distinguishing between 'working at the expected standard' and 'working at greater depth within the expected standard' <p>Professional discussion exemplars The professional discussions exemplars should focus on:</p> <ul style="list-style-type: none"> • reviewing the purpose and intention of a professional discussion and what constitutes an effective professional discussion • examples of effective discussions • how to appropriately ensure that teachers have the required subject knowledge of the national standards • skills required to manage a professional discussion, particularly when these are difficult and require sensitive handling
Yearly Standardisation Materials	
<p><i>30 Exercises in total – 6 per year, 3 for each Key Stage.</i></p> <p><i>KS1 3 Exercises</i> <i>Each year for the duration of the 5 year contract</i></p> <p><i>KS2 3 Exercises</i></p>	<p>The supplier will gather pupil work for use in the exercises and these must have been produced since the introduction of the current frameworks and be moderated pieces of work. (For the 20% requested in year one, due to no moderation occurring in the past two years, materials will need to be gathered from those that had been previously moderated since the introduction of the pre-key stage and main TA frameworks. Alternatively, work could be used that is moderated by a qualified moderator now rather than work that was moderated during an actual statutory assessment process. The remaining materials can be built around a combination of moderated materials in test cycle 2021/22 and in the above way)</p>

<i>Each year for the duration of the 5 year contract</i>	Each exercise will consist of pupils work and some supporting information for each piece (please see example in attachment 3)– there will be 3 pupils with 5 - 6 pieces of work asking potential moderators to assess the standard that the pupil is working at. All assessments should be made using the teacher assessment frameworks at the end of key stage 1 and 2: English writing – working towards the expected standard, working at the expected standard or working at greater depth, and pre-key stage standards.
On-going updates and amendments	
If there are any changes to the TA frameworks during this period, the contract variation process will be used to agree any changes to requirements.	

SCOPE OF SERVICES

4. The core requirements for the KS1 & KS2 English Writing include:
- Gathering moderated pupil scripts for use in the designing of activities and professional discussions
 - Producing activities and examples of professional discussions.
 - Producing yearly standardisation exercises for potential moderators to be assessed.
 - Creating a repository of self- guided training materials

SECURITY

5. STA enforce strict security procedures to ensure the work environment and working practices are secure (for example, this has implications for the transfer of electronic files and hardcopy proofs). It is also crucial that an audit trail for all amendments and access (including printing) is maintained.
6. All files and proofs must be handled in a secure and confidential manner. Transmission of information regarding materials via unsecured networks or unencrypted email or by regular postal service is prohibited. STA will work with successful contractor to ensure security is maintained.

SAMPLES OF PREVIOUS WORK FOR STYLE AND CONTENT

7. Example of standardisation material for:
- KS2 Standardisation Activity
 - KS2 Standardisation Exercise
 - KS1 Standardisation Activity
 - KS1 Standardisation Discussion have been included in Attachment 6

DEPARTMENT RESOURCES

8. The successful Bidder will be expected to work with:
- Project manager (TA Team)
 - Assistant project manager (TA Team)
 - Wider TA team
 - Other researchers and project managers as required.

This will require regular communication via email/phone to agree initial briefs and schedule, and subsequent amendments and sign off.

TIMESCALE

9. KS1 and KS2 20% of self-guided training materials and the first year's standardisation exercises are required to be delivered by 1st October 2021. The remaining 80% of training self-guided materials must be delivered by 25 October 2022 with the yearly standardisation exercises produced each year by 1st October.

KS1 and KS2 Training materials	
• STA to hand over materials from last year	14 June 2021 (flexible)
• Draft training materials submitted to STA (first 20%)	2 August 2021 (fixed)
• Sign off training materials	27 September 2021 (flexible)
• Training materials to STA for uploading for schools and LAs.	25 October 2021 (fixed)
• Draft training materials submitted to STA (remaining 80%) NB: sets of training materials will be shared in draft form prior to this date, with the final draft batch shared at this point	2 August 2022 (fixed)
• Sign off training materials NB: sets of training materials will be shared for sign off prior to this date, with sign off of the final batch of materials at this point	27 September 2022 (flexible)
• Training materials to STA for uploading for schools and LAs.	25 October 2022 (fixed)
Standardisation exercise 1,2 and 3	
• Draft exercise 1 materials to STA – 3 collections of pupils work and supporting commentaries per Key Stage	9 September 2021 (fixed)
• Exercise 1 review meeting	14 September 2021 (fixed)
• Exercise 1 materials re-work following review meeting	26 September 2021 (flexible)
• Sign off exercise 1 materials	4 October 2021 (flexible)
• Exercise 1 scripts and commentaries to STA	11 October 2021 (fixed)
• Draft exercise 2 materials to STA – 3 collections of pupils work and supporting commentaries per Key Stage	26 October 2021 (fixed)
• Exercise 2 review meeting	2 November 2021 (fixed)
• Exercise 2 materials re-work following review meeting	Agreed 3 – 9 November 2021 (flexible)
• Sign off exercise 2 materials	15 November 2021 (flexible)
• Exercise 2 scripts and commentaries to STA	22 November 2021 (fixed)
• Draft exercise 3 materials to STA – 3 collections of pupils work and supporting commentaries per Key Stage	3 December 2021 (fixed)
• Exercise 3 review meeting	7 December 2021 (fixed)
• Exercise 3 materials re-work following review meeting	Agreed 8 – 15 December 2021 (flexible)
• Sign off exercise 3 materials	23 December 2021 (flexible)
• Exercise 3 scripts and commentaries to STA	6 January 2022 (fixed)

Note: Standardisation exercise timescales to be used as template for remaining years.

COSTS

10. The Supplier must provide a detailed breakdown of costs.
11. Proposals should be made exclusive of relevant VAT.
12. Payments will be made by BACS transfer following receipt of a valid invoice.
13. The Supplier should provide details of discounts for prompt payment.

MANAGEMENT INFORMATION

14. Management information is critical for DfE to assist performance management of the contract and also to respond expediently to Parliamentary Questions/Freedom of Information requests. Tenderers must commit to being open and transparent and demonstrate a willingness to share information throughout the life of the contract.

15. The Supplier will be asked to provide the management information to meet the needs of the Department.

Management Information	Frequency	Unit	Duration
Training Materials Development Stage – project status report, risk, and issues report, examples of work	Fortnightly	Progress against project plan	For duration of development
Standardisation Exercises Development Stage - project status report, risk, and issues report, area status summary	Fortnightly	Progress against project plan	For duration of development – this occurs each year

KEY PERFORMANCE INDICATORS & SERVICE LEVELS

16. The Supplier will, as part of the contract/performance management process, be required to report regularly in progress towards meeting the key performance indicators (KPIs) in the following table:

Table - KPI

KPI	Measurement Period	Performance Measure	Service Period	Monitoring Method	Service Credit applied
------------	---------------------------	----------------------------	-----------------------	--------------------------	-------------------------------

Project management	<p>From April 2021 – October 2021</p> <p>From April 2022 – October 2023</p>	<p>Full attendance at project start-up meeting, process walk through, project management meetings and lessons learnt meeting (face to face and teleconference as necessary). Progress reports, including risk and issues reporting to be submitted. Issue reports to be written for any deviations from the agreed contract. Security incident reports to be written for all breaches and potential breaches of security and confidentiality.</p>	<p>100% – meeting documents to be provided at least 24 hours prior to organised project meetings.</p>	<p>MI submitted monthly during agreed windows.</p>	
On-Time Delivery - Interim Handover	<p>Training Materials</p> <p>2 August 2021 (20%) 2 August 2022 (80%)</p> <p>Exercises 9 September 2021 26 October 2021 3 December 2021 (and each year for the remainder of the contract)</p>	<p>Handover of materials and outline suggestions for improvement.</p>	<p>The provider must report on this measure by the final working day of each calendar month until 100% of materials have been developed.</p>	<p>MI submitted monthly during agreed windows.</p>	
On-Time Delivery - Final Handover	<p>25 October 2021 (20%) 25 October 2022 (80%)</p> <p>Exercises 11 October 2021 22 November 2021 3 January 2022 (and similar dates each year for the remainder of the contract)</p>	<p>High quality materials are handed over to the STA on time and with all specifications met.</p>			

Customer Satisfaction	April 2022	Review materials and outline suggestions for improvement.	To deliver training with 80% customer satisfaction from satisfaction survey after second year. (Survey run in both first (April 22) and second year April 23)	Survey results	In the event that customer satisfaction falls below 80% threshold for the second-year survey – the Supplier shall pay to the DFE by way of a Service Credit (SC). The value of SC will be based on the aggregated training material charges and will be calculated as follow:							
		Policy to develop the survey questions to share with bidders.										
		Statistically viable										
		<table><tr><th>Customer Satisfaction</th><th>Service Credit %</th></tr><tr><td>80% and above</td><td>0%</td></tr><tr><td>79% -70%</td><td>5%</td></tr><tr><td>69% - 60%</td><td>7%</td></tr><tr><td>59% and below</td><td>10%</td></tr></table>	Customer Satisfaction	Service Credit %	80% and above	0%	79% -70%	5%	69% - 60%	7%	59% and below	10%
Customer Satisfaction	Service Credit %											
80% and above	0%											
79% -70%	5%											
69% - 60%	7%											
59% and below	10%											

Managing key performance requirements, highlighted above, is essential to delivering this project on-time and in full. Key performance requirements will be monitored throughout the life of the project and form part of the contractual relationship. Please review and confirm acceptance within your submission.

End of schedule 1

Schedule 2 – PRICING

1 Table

KS1 & KS2 English Writing – Teacher Assessment Standardisation and Training Materials for Moderators.									
Note: The information provided below is for cost evaluation purposes only and is a close approximation of what will be required.									
No	Cost Element	Quantity (variable)	AY2021/22 £ (Ex.VAT)	AY2022/23 £ (Ex.VAT)	AY2023/24 £ (Ex.VAT)	AY2024/25 £ (Ex.VAT)	AY2025/26 £ (Ex.VAT)	TOTAL COST £ (Ex.VAT)	Notes

VAT will be payable at the prevailing rate

- 2 Funds allocated to a particular expenditure heading in the table at paragraph 1 ("the Table") are available for that expenditure heading only. Funds allocated to a particular accounting year are available for that accounting year only. The allocation of funds in the Table may not be altered except with the prior written consent of the Department.
- 3 The Contractor shall maintain full and accurate accounts for the Service against the expenditure headings in the Table. Such accounts shall be retained for at least 6 years after the end of the financial year in which the last payment was made under this Contract. Input and output VAT shall be included as separate items in such accounts.
- 4 The Contractor shall permit duly authorised staff or agents of the Department or the National Audit Office to examine the accounts at any reasonable time and shall furnish oral or written explanations of the account if required. The Department reserves the right to have such staff or agents carry out examinations into the economy, efficiency and effectiveness with which the Contractor has used the Department's resources in the performance of this Contract.

- 5 Invoices shall be prepared by the Contractor monthly in arrears, for all months where a key deliverable (as per Schedule 2, table 1) is handed over, and shall be detailed against the expenditure headings set out in the Table. The Contractor or its nominated representative or accountant shall certify on the invoice that the amounts claimed were expended wholly and necessarily by the Contractor on the Service in accordance with the Contract and that the invoice does not include any costs being claimed from any other body or individual or from the Department within the terms of another contract.
- 6 The Department shall accept and process for payment an electronic invoice submitted for payment by the Contractor where the invoice is undisputed and where it complies with the standard on electronic invoicing. For the purposes of this paragraph, an electronic invoice complies with the standard on electronic invoicing where it complies with the European standard and any of the syntaxes published in Commission Implementing Decision (EU) 2017/1870.
- 7 Invoices shall be sent, within 30 days of the end of the relevant month electronically by email to [REDACTED], quoting the Contract reference number. To request a statement, please email [REDACTED], quoting the Contract reference number. The Department undertakes to pay correctly submitted invoices within 5 days of receipt. The Department is obliged to pay invoices within 30 days of receipt from the day of physical or electronic arrival at the nominated address of the Department. Any correctly submitted invoices that are not paid within 30 days will be subject to the provisions of the Late Payment of Commercial Debt (Interest) Act 1998. A correct invoice is one that: is delivered in timing in accordance with the contract; is for the correct sum; in respect of goods/services supplied or delivered to the required quality (or are expected to be at the required quality); includes the date, supplier name, contact details and bank details; quotes the relevant purchase order/contract reference and has been delivered to the nominated address. If any problems arise, contact the Department's Contract Manager. The Department aims to reply to complaints within 10 working days. The Department shall not be responsible for any delay in payment caused by incomplete or illegible invoices.
- 8 The Contractor shall have regard to the need for economy in all expenditure. Where any expenditure in an invoice, in the Department's reasonable opinion, is excessive having due regard to the purpose for which it was incurred, the Department shall only be liable to reimburse so much (if any) of the expenditure disallowed as, in the Department's reasonable opinion after consultation with the Contractor, would reasonably have been required for that purpose.
- 9 If this Contract is terminated by the Department due to the Contractor's insolvency or default at any time before completion of the Service, the Department shall only be liable under paragraph 1 to reimburse eligible payments made by, or due to, the Contractor before the date of termination.
- 10 On completion of the Service or on termination of this Contract, the Contractor shall promptly draw-up a final invoice, which shall cover all outstanding expenditure incurred for the Service. The final invoice shall be submitted not later than 30 days after the date of completion of the Service.

- 11 The Department shall not be obliged to pay the final invoice until the Contractor has carried out all the elements of the Service specified as in Schedule 1.
- 12 It shall be the responsibility of the Contractor to ensure that the final invoice covers all outstanding expenditure for which reimbursement may be claimed. Provided that all previous invoices have been duly paid, on due payment of the final invoice by the Department all amounts due to be reimbursed under this Contract shall be deemed to have been paid and the Department shall have no further liability to make reimbursement of any kind.

End of Schedule 2

Schedule 3a – PROCESSING, PERSONAL DATA AND DATA SUBJECTS

This Schedule shall be completed by the Controller, who may take account of the view of the Processors, however the final decision as to the content of this Schedule shall be with the Controller at its absolute discretion.

1. The contact details of the Controller's Data Protection Officer are: [REDACTED]
2. The contact details of the Processor's Data Protection Officer are: [REDACTED]
[REDACTED] The Processor shall comply with any further written instructions with respect to processing as provided by the Controller, provided they do not infringe the DPA 2018.
3. Any such further instructions shall be incorporated into this Schedule.

Description	Details
Identity of the Controller and Processor	The Parties acknowledge that for the purposes of the Data Protection Legislation, the Customer is the Controller and the Contractor is the Processor in accordance with Clause 17.1.
Subject matter of the processing	ACER is to supply training and standardisation material for use within English KS1-2 writing moderation training and a requirement of this is to capture examples pupil writing, with supporting comments hence the data processing requirement. Note we do not need to capture the personal data of the pupils in this instance.
Duration of the processing	For the duration of the agreement - From June 2021 to May 2026
Nature and purposes of the processing	<p>ACER as a controller:</p> <p>Information relating to ACER staff and direct consultants, and/or companies / organisations working directly for ACER on this project, will be processed in the following ways: for the purposes of ensuring ACER UK complies with its statutory requirements, including Employment Law, HMRC, FCA, H & Safety, and other applicable laws. This processing is carried out outside of the scope of this contract.</p> <p>For ACER to supply the project materials as specified in this contract, fulfilling requirements for a range of contributors, including for moderation and the provision of exemplar pupil work; all data provided from Schools, Local Authorities and/or other individuals: this data is being processed as a processor under this contract and is subject to the provisions of this</p>

	<p>contract. Note ACER will require contact details of specific contact individuals at schools and other institutions but will never be in receipt of pupil personal data.</p> <p>Information relating to pupils: where exemplar pupils work is supplied by teachers and/or organisations to ACER, there will be No pupil personal data; it will relate only to School, Year Group, and assessed standard, there is full anonymity provided. This information will be processed in the following ways: for the explicit purposes of ensuring project materials are produced as specified in this contract, fulfilling requirements for a range of pupil work.</p> <p>All data held by ACER will be stored securely on ACER UK's server, with access restricted to project staff. Transfer of materials may take place through secure file transfer within the EEA.</p>
Type of Personal Data	<p>For this project, ACER as Controller will store what is required to satisfy its statutory duties on staff, consultants etc.</p> <p>Schools or Local Authority or Academy Trust representatives who supply ACER with pupil work will be given unique identifiers to assign to sets of pupil work representing School, Year Group and assessed standard but no details of pupil names or other identifying information will be held or sought. No physical, hard copies of pupil work will be held by ACER or by Content development consultants, Moderation managers or moderators, or sought as part of this project. Pupil work will be collected, provided and stored in electronic form only, using secure means of electronic file storage and transfer.</p> <p>To enable project deliverables direct contact details of key contact staff at various institutions will be processed by ACER: this data to include name, contact phone number (mobile/landline) and email address. This data will be used explicitly for the means of making contact, communication between the parties and transfer of materials facilitating meetings etc. These data will not be used in the training materials but rather the unique identifiers (pseudo anonymised data).</p> <p>It will be a matter for the school/institution to decide how it will forward the agreed exemplar pupil materials to ACER and the legal basis for which this transfer is undertaken: i.e. Article 6 (c) of the UK General Data Protection Regulation (GDPR)</p>

	<p>necessary for compliance with a legal obligation of the controller or 6 (e) necessary for the performance of a task carried out in the public interest or exercise of official authority vested in the controller.</p> <p>It may be an issue to rely on Consent from parents as technically consent may be revoked at any time without reason and thereby jeopardise work undertaken by ACER using these materials.</p>
Categories of Data Subject	<p>ACER direct staff/consultants/ moderators/ videography etc. (out of scope)</p> <p>STA contacts plus other institution contact staff, (teachers, senior managers, project staff, Local Authority contacts etc.)</p> <p>Pupils: no personal details held, as detailed above</p>
Plan for return and destruction of the data once the processing is complete UNLESS requirement under union or member state law to preserve that type of data	<p>ACER proposes to store all data in encrypted format during the life of the project; however, upon successful completion of the contract and handover of the deliverables, with subsequent confirmation of receipt of such from the Controller will be deemed the project end date. ACER will delete / destroy all personal data, pupil work and associated project outputs within 30 days of Controller confirmed end date. All backups and DR/BC copies will be deleted or made non recoverable within a further 30 days. A confirmation statement will be provided to the Controller on completion of data removal works.</p>

End of Schedule 3a

Schedule 4 – THE PROPOSAL



ANNEX_1-ITT_Decla 003-STA-0281_Writin
ration_Forms_-TA_A(g_Teacher_Assessmer

End of Schedule 4