

Chilterns Conservation Board Conditions of Contract

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1 DEFINITIONS

1.1 In the Contract, unless the context otherwise requires the following words and expressions shall have the following meanings assigned to them.

1.1.1 The Board The Chilterns Conservation Board

1.1.2 Board Property

All property issued or made available by the Board to the Contractor for use in connection with the Contract.

1.1.3 The Contract

These Conditions, any Additional Conditions, Specification, Price List, Contractor's tender, acceptance letter and any relevant documents agreeing modifications exchanged before the Contract is awarded, and any subsequent amendments or variations agreed in writing.

1.1.4 The Contractor

The person, firm company or body who undertakes to supply the Services to the Board as defined in the Contract.

1.1.5 Contract Period

The time period stated in the Contract Summary or otherwise provided in the Contract, for the performance of the Services.

1.1.6 Contract Price

The price exclusive of VAT set out in the Contract for which the Contractor has agreed to supply the services.

1.1.7 Contract Supervisor

Any duly authorised representative of the Board notified in writing to the Contractor for all purposes connected with the Contract. Any Notice or other written instruction given by or made to the Contract Supervisor, shall be taken as given by or made to the Board.

1.1.8 Intellectual Property Rights

All Intellectual Property Rights including without limitation, patents, patent applications, design rights, registered designs, utility models, trade and service marks and applications for same, copyright know-how, rights in semi-conductor chip topography, and in each case whether protectable at law or not, and if protectable, whether an application has been made for such protection or not, and all similar industrial, commercial, monopoly or other intellectual property rights whether present or future, vested or contingent wherever protected.

1.1.9 Results

All things produced in performing the Services including maps, plans, photographs, drawings, tapes, statistical data, experimental results, field data, analysis of results, published and unpublished results and reports, inventions, computer programmes and user documentation.

1.1.10 The Resulting Rights

All Intellectual Property Rights in the Results that are originated, conceived, written or made by the Contractor, whether alone or with others in the performance of the Services or otherwise resulting from the Contract.

1.1.11 Notice

Any written instruction or notice given to the Contractor by the Contract Supervisor, delivered by:

- fax, or hand delivery to the Contractor's registered office or other address notified for the purposes of the Contract and deemed to have been served at the date and time of delivery;
- first class post to the Contractor's registered office. Such Notices are deemed to have been served 48 hours after posting.
- 1.1.12 <u>Permission</u> Express permission given in writing before the act being permitted.

1.1.13 Services

All Services detailed in the Specification including any additions or substitutions as may be requested by the Contract Supervisor.

- 1.2 Except as set out above, the Contract shall be interpreted in accordance with the Interpretation Act 1988.
- 1.3 All headings in these Conditions are for ease of reference only, and shall not affect the construction of the Contract.
- 1.4 Any reference in these Conditions to a statutory provision will include all subsequent modifications.
- 1.5 All undefined words and expressions are to be given their normal English meaning within the context of this Contract. Any dispute as to the interpretation of such undefined words and expressions shall be settled by reference to the definition in the Shorter Oxford English Dictionary.

2 PRECEDENCE

To the extent that the following documents form the Contract, in the case of conflict of content, they shall have the following order of precedence:

Conditions of Contract including any Additional Conditions;

Specification:

Pricing List;

Drawings, maps or other diagrams.

3 CONTRACT SUPERVISOR

The Contractor shall strictly comply with any instruction given by the Contract Supervisor concerning or about, the Contract. All such instructions shall be confirmed in writing within 7 working days.

4 THE SERVICES

- 4.1 The Contractor shall provide all staff, equipment, materials and any other requirements necessary for the performance of the Contract using all skill, care and diligence, and to the satisfaction of the Contract Supervisor.
- 4.2 The Contractor shall only employ in the execution and superintendence of the Contract persons who are suitable and appropriately skilled and experienced. The Contract Supervisor shall be at liberty to object to and require the Contractor to remove any person employed in or about the Contract who is unsuitable, misconducts themself, is incompetent or negligent in the performance of their duties or persists in conduct which could endanger the health or safety of others. Such persons shall not be employed again on the Contract without the Permission of the Contract Supervisor.

5 ASSIGNMENT

- 5.1 The Contractor shall not assign, transfer or sub-contract the Contract, or any part of it, without the Permission of the Contract Supervisor.
- 5.2 Any assignment, transfer or sub-contract entered into, shall not relieve the Contractor of any of their obligations or duties under the Contract.
- 5.3 Nothing in this Contract confers or purports to confer on any third party any benefit or any right to enforce any term of the Contract

6 CONTRACT PERIOD

The Contractor shall perform the Services within the time stated in the Contract Summary, subject to any changes arising from Condition 10 (Variations,) and/or Condition 11 (Extensions of time.)

7 PROPERTY

- 7.1 All property issued by the Board to the Contractor in connection with the Contract shall remain the property of the Board, and shall be used in the execution of the Contract, and for no other purpose whatsoever without the prior approval of the Contract Supervisor.
- 7.2 The Contractor shall keep all Board Property in safe custody and good condition, set aside and clearly marked as the property of the Board.
- 7.3 On expiry or earlier termination of the Contract the Contractor shall, if so required, either surrender such property to the Board or otherwise dispose of it as instructed by the Contract Supervisor.

8 MATERIALS

- 8.1 The Contractor shall be responsible for establishing their own sources of supply for goods and materials and will be responsible for ensuring the reasonable and proper conduct by their suppliers and staff whilst conducting the Works.
- 8.2 The Contractor shall not place, or cause to be placed, any orders with suppliers or otherwise incur liabilities in the name of the Board or any representative of the Board.

9 SECURITY

- 9.1 The Contractor shall be responsible for the security of all goods and equipment hired or belonging to and used by the Contractor, Contractor's staff or subcontractors in the provision of the Services, sub-contractors whilst on site.
- 9.2 This Condition shall not prejudice the Board's rights under Condition 15.

10 VARIATION

- 10.1 The Contract Supervisor may vary the Contract by adding to, deleting or otherwise modifying the Services to be supplied, by written order to the Contractor.
- 10.2 The value of any such variation, other than any variation arising out of Condition 10.3, shall be determined by reference to the rates contained in the Pricing Schedule. Where the Services so ordered are not covered in the Pricing Schedule, they shall be valued at a fair and reasonable rate agreed between the Contract Supervisor and the Contractor.
- 10.3 Where a variation is the result of some default or breach of the Contract by the Contractor or some other cause for which he is solely responsible, any additional cost attributable to the variation shall be borne by the Contractor.
- 10.4 The Contractor may also propose a variation to the Services but no such variation shall take effect unless agreed and confirmed in writing by the Contract Supervisor.
- 10.5 No variation shall have the effect of invalidating the Contract, or placing the Contract at large, if that variation is reasonably consistent with the nature, scope and value of the Contract.

10 EXTENSIONS OF TIME

- 11.1 Should the performance of the Contract be directly delayed by any cause beyond the reasonable control of the Contractor, and provided that the Contractor shall first have given the Contract Supervisor written notice within five working days after becoming aware that such delay was likely to occur, then the Contract Supervisor, if satisfied that this Condition applies:
 - 11.1.1 in the case of any delay of which the Board is not the cause, may grant the Contractor such extension of time, as in their opinion is reasonable, having regard without limitation, to any other delays or extensions of time that may have occurred or been granted under the Contract. The Contract Price shall not increase as a result of such an extension of time.
 - 11.1.2 in the case of any delay of which the Board is the cause, shall grant the Contractor a reasonable extension of time to take account of the delay.

- 11.2 No extension of time shall be granted where in the opinion of the Board the Contractor has failed to use best endeavours to avoid or reduce the cause and/or effects of the delay.
- 11.3 Any extension of time granted under this Condition shall not affect the Board's rights to terminate or determine the Contract under Conditions 13 and 14.

12 DEFAULT

- 12.1 The Contractor shall be in default if they:
 - 12.1.1 fails to perform the Contract with due skill, care, diligence and timeliness:
 - 12.1.2 refuses or neglects to comply with any reasonable written instruction given by the Contract Supervisor;
 - 12.1.3 is in breach of the Contract.
- 12.2 Where in the opinion of the Contract Supervisor, the Contractor is in default, the Contract Supervisor may serve a Notice giving at least seven days in which to remedy the default.
- 12.3 If the Contractor fails to comply with such a Notice the Contract Supervisor may, without prejudice to any other rights or remedies under the Contract, take over for as such a period as is necessary the performance of the relevant part of the Contract and make other arrangements for its completion. Any extra costs arising from this action, will be paid by the Contractor or deducted from any monies owing to them.

13 TERMINATION

The Board may immediately, without prejudice to any other rights and remedies under the Contract, terminate all or any part of the Contract by Notice in writing to the Contractor, Receiver, Liquidator or to any other person in whom the Contract may become vested, if the Contractor:

- 13.1 fails in the opinion of the Contract Supervisor to comply with (or take reasonable steps to comply with) a Notice under Condition 12.2.
- 13.2 becomes bankrupt or insolvent, or has a receiving order made against them, or makes and arrangement with their creditors or (being a corporation) commences to be wound up, not being a voluntary winding up for the purpose of reconstruction or amalgamation, or has a receiver, administrator, or administrative receiver appointed by a Court.

14 DETERMINATION

- 14.1 Without prejudice to any other rights or remedies under the Contract, the Board reserves the right to determine the Contract at any time by giving not less than one month's Notice, (or such other time period as may be appropriate).
- 14.2 The Board shall pay the Contractor such amounts as may be necessary to cover their reasonable costs and outstanding and unavoidable commitments necessarily and solely incurred in properly performing the Contract prior to determination.

14.3 The Board will not pay for any costs or commitments that the Contractor is able to mitigate and shall only pay those costs that the Board has validated to its satisfaction. The Board's total liability under this Condition shall not in any circumstances exceed the Contract Price that would have been payable for the Services if the Contract had not been determined.

15 INDEMNITY

- 15.1 Without prejudice to the Board's remedies for breach of Contract, the Contractor shall fully indemnify the Board and its staff against any liability, loss, costs, expenses, claims or proceedings in respect of:
 - 15.1.1 death or injury to any person;
 - 15.1.2 loss or damage to any property excluding indirect and consequential loss;
 - 15.1.3 infringement of third party Intellectual Property Rights

which might arise as a direct consequence of the actions or negligence of the Contractor, their staff or agents in the execution of the Contract.

15.2 This Condition shall not apply where the damage, injury or death is a direct result of the actions, or negligence of the Board or its staff.

16 LIMIT OF CONTRACTOR'S LIABILITY

16.1 The limit of the Contractor's liability for each and every claim by the Board, other than for death or personal injury, whether by way of indemnity or by reason of breach of contract, or statutory duty, or by reason of any tort shall be: £5,000,000 (five million pounds).

17 INSURANCE

- 17.1 The Contractor shall insure and maintain appropriate insurance against liabilities under Condition 15 (Indemnity). The minimum value insured shall be: £5,000,000 (five million pounds).
- 17.2 If specifically required by the Board, nominated insurances shall be in the joint names of the Contractor and the Board.
- 17.3 The Contractor shall, upon request, produce to the Contract Supervisor documentary evidence that the insurances required are fully paid up and valid for the duration of the Contract.

18 INDUCEMENTS

The Contractor shall not, directly or indirectly through intermediaries commit any offence under the Corruption Acts 1906 to 1916 in any of their dealings with the Board.

19 MONITORING AND AUDIT

The Contract Supervisor may inspect and examine the Services being carried out on the Board's premises, or elsewhere at any reasonable time. Where the

Services are being performed on other than the Board's premises, reasonable notice to inspect shall be given to the Contractor. The Contractor shall give all such facilities as the Contract Supervisor may reasonably require for such inspection and examination.

20 CONTRACT PRICE

- 20.1 The Contract Price will be paid by the Board to the Contractor as amended by any Variations ordered under Condition 10 (Variations).
- 20.2 In addition to the Contract Price, the Board will pay to the Contractor such Value Added Tax (if any) as may properly be chargeable at rates ruling at the time of invoice.

21 INVOICING AND PAYMENT

- 21.1 Invoices shall only be submitted for work already satisfactorily completed and accompanied by such information as the Contract Supervisor may reasonably require to verify the Contractor's entitlement to payment. Such invoices will be paid in 30 days from receipt by the Board.
- 21.2 If any sum is payable under the Contract by the Contractor to the Board, whether by deduction from the Contract or otherwise, it will be deducted from the next available invoice.

22 INTELLECTUAL PROPERTY RIGHTS

- 22.1 All Prior Rights used in connection with the Services shall remain the property of the party introducing them.
- 22.2 All Results shall be the property of the Board.
- 22.3 The Resulting Rights in any Results, and any interim results shall, from the time they arise, be the property of the Board and the Board shall be free, should it so wish, to apply at its own expense for patent or other protection in respect of the Results or any interim results. The Board's intention to apply for such patent or other protection shall be notified to the Contractor. Such applications for patents or other registered intellectual property rights shall be filed in the name of the Board.

Unless otherwise agreed in writing between the Contractor and the Board, the Contractor hereby:

- 22.3.1 assigns to the Board all Resulting Rights
- 22.3.2 grants the Board an exclusive, irrevocable, royalty free perpetual licence to the Board in respect of all the Contractor's Prior Rights necessary in order for the Board to use or exploit the Resulting Rights.
- 22.4 The Contractor undertakes to the Board not to use, exploit or deal with any of the Board's Prior Rights, other than in the performance of the Contract unless the Contractor has first obtained a written licence from the Board, in specific terms to do so.
- 22.5 The Board undertakes to the Contractor not to use or exploit the Contractor's Prior Rights, save as provided in Condition 22.3.2.

- 22.6 The Contractor warrants to the Board that the performance of the Services, the Contractor's Prior Rights and the Results shall not in any way infringe any intellectual property rights of any third party.
- 22.7 If the Contractor is prevented from carrying out their obligations under the Contract due to any infringement or alleged infringement of any Intellectual Property Rights, the Board may without prejudice to any other rights and remedies under the Contract, exercise the powers and remedies available to it under Conditions 13 and 14, Termination and Determination respectively.
- 22.8 The Contractor shall not be liable if such infringement arises from the use of any design, technique or method of working provided by or specified by the Board.
- 22.9 The Contractor waives in favour of the Board its rights to object to derogatory treatment of the Results of the Work and the Contractor also agrees that he will not assert or seek to enforce against the Board and/or any other person, firm or company any of its moral rights as defined in the Copyright Designs and Patents Act 1988 without the prior agreement of the Board.
- 22.10 The Contractor shall not be liable for any consequential losses, damage or injuries arising from third party misuse of the Results, of which the Contractor is not aware.

23 WARRANTY

The Contractor warrants that the Services supplied by them are fit for the Board's intended purpose so far as this has been communicated to them, or which they would reasonably be expected to know.

24 STATUTORY REQUIREMENTS

The Contractor shall fully comply with all relevant statutory requirements in the performance of the Contract, including, but not limited to the giving of all necessary notices and the paying of all fees.

25 HEALTH & SAFETY AT WORK

The contractor shall comply with and shall ensure that their employees comply with the provisions of the Health and Safety at Work Act 1974 and all regulations made thereunder and codes of practice and guidelines relating thereto, relevant to the works comprised in the contract and the manner in which they are carried out, taking place within or upon the land stated including roads and rights of way crossing such land.

26 ENVIRONMENT

The Contractor shall in all their operations, including purchase of materials goods and services, adopt a sound proactive environmental approach, designed to minimise harm to the environment and be able to provide proof of so doing to the Contract Supervisor on demand.

27 PUBLICITY

The Contractor shall not advertise or publicly announce that they are supplying Services or undertaking work for the Board without the Permission of the Contract Supervisor.

28 LAW

This Contract shall be governed and construed in accordance with English Law, and subject to the jurisdiction of the courts of England and Wales.

29 WAIVER

- 29.1 No delay, neglect or forbearance by the Board in enforcing any provision of the Contract shall be deemed to be a waiver, or in any other way prejudice the rights of the Board under the Contract.
- 29.2 No waiver by the Board shall be effective unless made in writing.
- 29.3 No waiver by the Board of a breach of the Contract shall constitute a waiver of any subsequent breach.

30 ENFORCEABILITY

If any part of the Contract is found by a court of competent jurisdiction or other competent authority to be invalid or legally unenforceable, that part will be severed from the remainder of the Contract which will continue to be valid and enforceable to the fullest extent permitted by law.

31 DISPUTE RESOLUTION

- 31.1 All disputes under or in connection with this agreement shall be referred first to negotiators nominated at a suitable and appropriate working level by the Board and the Contractor.
- 31.2 If the parties' negotiators are unable to resolve the dispute within a period of forty five days from its being referred to them, the dispute shall be referred at the instance of either party to the parties' respective senior managers or directors (supported as necessary by their advisers).
- 31.3 If the parties' respective senior managers or directors are unable to resolve the dispute within forty five days the dispute shall be referred to the Centre for Dispute Resolution who shall appoint a mediator and the parties shall then submit to the mediator's supervision of the resolution of the dispute.
- 31.4 Recourse to this dispute resolution procedure shall be binding on the parties as to submission to the mediation but not as to its outcome. Accordingly all negotiations connected with the dispute shall be conducted in strict confidence and without prejudice to the rights of the parties in any future legal proceedings. Except for any party's right to seek interlocutory relief in the courts, no party may commence other legal proceedings under the jurisdiction of the courts or any other form of arbitration until forty five days after the appointment of the mediator.
- 31.5 If, with the assistance of the mediator, the parties reach a settlement, such settlement shall be put in writing and, once signed by a duly authorised representative of each of the parties, shall remain binding on the parties.

- 31.6 The parties shall bear their own legal costs of this dispute resolution procedure, but the costs and expenses of mediation shall be borne by the parties equally.
- 31.7 Any of the time limits in Conditions 30 may be extended by mutual agreement. Such agreed extension shall not prejudice the right of either party to proceed to the next stage of resolution.

32 GENERAL

- 32.1 Neither party to the Contract will be liable to the other for any delay in performing or failing to perform its obligations (other than a payment obligation) under the Contract because of any cause outside its reasonable control. Such delay or failure will not constitute a breach of the Contract and the time for performance of the affected obligation will be extended by a reasonable period.
- 32.2 The Contract contains the whole agreement between the parties and supersedes all previous communications, representations and arrangements, written or oral. It is accepted that the Contract has not been entered into on the basis of any representations that are not expressly contained in the Contract.

33 FREEDOM OF INFORMATION ACT

The Chilterns Conservation Board is committed to meeting its responsibilities under the Freedom of Information Act 2000. Accordingly, all information submitted to us may need to be disclosed by us in response to a request under the Act (subject to redaction of any information which is exempt from disclosure in accordance with the provisions of the Act). If you consider that any of the information included in your tender, or any other information that you have submitted or is otherwise in the Agreement, is commercially sensitive, please identify it and explain (in broad terms) what harm may result from disclosure if a request is received, and the time period applicable to that sensitivity. You should be aware that, even where you have indicated that information is commercially sensitive, we may be required to disclose it under the Act if a request is received. Please also note that the receipt of any material marked 'confidential' or equivalent by the Board should not be taken to mean that we accept any duty of confidence by virtue of that marking.

34 ADDITIONAL CONDITIONS

- 34.1 Where statutory consents must be obtained. The Contractor is responsible for obtaining these and paying fees. The Employer's acceptance of a tender and the Employer's instruction or variation of the works does not constitute statutory approval or consent.
- 34.2 The Contractor is responsible for obtaining an inventory of utilities present at the work site and for making necessary allowance in carrying out the contract to ensure any services that are present are not interrupted.

 Liability for damaging any utilities present either above or below ground will lie solely with the Contractor.
- 34.3 Please note that at no time are the contractors to excessively disturb the surface of the ground or access routes, obstruct the public highway or interfere with access to the site by other users. At any time during the contract the supervising officer is able to halt the works if they feel that the ground conditions are too bad to allow works to continue.

End