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**RM6100 Technology Services 3 Agreement
Framework Schedule 4 - Annex 1
Lots 2, 3 and 5 Order Form**

Order Form

This Order Form is issued in accordance with the provisions of the Technology Services 3 Framework Agreement RM6100 dated **31st August 2022** between the Supplier (as defined below) and the Minister for the Cabinet Office (the "**Framework Agreement**") and should be used by Buyers after making a direct award or conducting a further competition under the Framework Agreement.

The Contract, referred to throughout this Order Form, means the contract between the Supplier and the Buyer (as defined below) (entered into pursuant to the terms of the Framework Agreement) consisting of this Order Form and the Call Off Terms. The Call-Off Terms are substantially the terms set out in Annex 2 to Schedule 4 to the Framework Agreement and copies of which are available from the Crown Commercial Service website:
<http://ccs-agreements.cabinetoffice.gov.uk/contracts/rm1234>.

The agreed Call-Off Terms for the Contract being set out as the Annex 1 to this Order Form.

The Supplier shall provide the Services and/or Goods specified in this Order Form (including any attachments to this Order Form) to the Buyer on and subject to the terms of the Contract for the duration of the Contract Period.

In this Order Form, capitalised expressions shall have the meanings set out in Schedule 1 (Definitions) of the Call-Off Terms

This Order Form shall comprise:

1. This document headed "Order Form";
2. Attachment 1 – Services Specification;
3. Attachment 2 – Charges and Invoicing;
4. Attachment 3 – Implementation Plan - NOT USED



5. Attachment 4 – Service Levels and Service Credits;
6. Attachment 5 – Key Supplier Personnel and Key Sub-Contractors;
7. Attachment 6 – Software;
8. Attachment 7 – Financial Distress;
9. Attachment 8 - Governance
10. Attachment 9 – Schedule of Processing, Personal Data and Data Subjects;
11. Attachment 10 – Transparency Reports; and
12. Annex 1 – Call Off Terms and Additional/Alternative Schedules and Clauses.

The Order of Precedence shall be as set out in Clause 2.2 of the Call-Off Terms being:

- .1.1 the Framework, except Framework Schedule 18 (Tender);
- .1.2 the Order Form;
- .1.3 the Call Off Terms; and
- .1.4 Framework Schedule 18 (Tender).

Section A

General information

Contract Details	
Contract Reference:	703927452
Contract Title:	Ship Alongside System 3 (SAS3) Contractor Logistic Support.



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Contract Description:	Ensure ship to shore information exchange equipment is maintained on applicable surface subsurface Royal Navy (RN) and Royal Fleet Auxiliary (RFA) platforms when alongside in Baseport, UK commercial and foreign ports.
Contract Anticipated Potential Value: this should set out the total potential value of the Contract	£7,000,000.00 (£295,000.00 for Core Taskings; remaining is maximum value to be used for PDS Taskings)
Estimated Year 1 Charges:	£ [REDACTED]
Commencement Date: this should be the date of the last signature on Section E of this Order Form	1 st October 2022
Buyer details	
Buyer organisation name	
Ministry of Defence	
Billing address	
Your organisation's billing address - please ensure you include a postcode	
MoD Corsham, Westwells Road, Corsham, Wiltshire SN13 9NR	
Buyer representative name	
The name of your point of contact for this Order	



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Buyer representative contact details

Email and telephone contact details for the Buyer's representative. This must include an email for the purpose of Clause 50.6 of the Contract.

Email: [REDACTED] Telephone: [REDACTED]

Buyer Project Reference

Please provide the customer project reference number.

703927452

Supplier details

Supplier name

The supplier organisation name, as it appears in the Framework Agreement

NSSL Global Limited

Supplier address

Supplier's registered address

6 Wells Place
Gatton Park Business Centre
Redhill
Surrey
RH1 3DR

Supplier representative name

The name of the Supplier point of contact for this Order



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■■■■

Supplier representative contact details

Email and telephone contact details of the supplier's representative. This must include an email for the purpose of Clause 50.6 of the Contract.

Email: ■■■■ Telephone: ■■■■

Order reference number or the Supplier's Catalogue Service Offer Reference Number

A unique number provided by the supplier at the time of the Further Competition Procedure. Please provide the order reference number, this will be used in management information provided by suppliers to assist CCS with framework management. If a Direct Award, please refer to the Supplier's Catalogue Service Offer Reference Number.

■■■■

Guarantor details

Guidance Note: Where the additional clause in respect of the guarantee has been selected to apply to this Contract under Part C of this Order Form, include details of the Guarantor immediately below.

Guarantor Company Name

The guarantor organisation name

■■■■

Guarantor Company Number

Guarantor's registered company number

■■■■

Guarantor Registered Address



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Guarantor's registered address





Section B

Part A – Framework Lot

Framework Lot under which this Order is being placed

Tick one box below as applicable (unless a cross-Lot Further Competition or Direct Award, which case, tick Lot 1 also where the buyer is procuring technology strategy & Services Design in addition to Lots 2, 3 and/or 5. Where Lot 1 is also selected then this Order Form and corresponding Call-Off Terms shall apply and the Buyer is not required to complete the Lot 1 Order Form.

- | | |
|--|-------------------------------------|
| 1. TECHNOLOGY STRATEGY & SERVICES DESIGN | <input type="checkbox"/> |
| 2. TRANSITION & TRANSFORMATION | <input type="checkbox"/> |
| 3. OPERATIONAL SERVICES | |
| a: End User Services | <input type="checkbox"/> |
| b: Operational Management | <input type="checkbox"/> |
| c: Technical Management | <input checked="" type="checkbox"/> |
| d: Application and Data Management | <input type="checkbox"/> |
| 5. SERVICE INTEGRATION AND MANAGEMENT | <input type="checkbox"/> |

Part B – The Services Requirement



Commencement Date

See above in Section A

Contract Period

Guidance Note – this should be a period which does not exceed the maximum durations specified per Lot below:

Lot	Maximum Term (including Initial Term and Extension Period) – Months (Years)
2	36 (3)
3	60 (5)
5	60 (5)

Initial Term Months

36

Extension Period (Optional) Months

24

Minimum Notice Period for exercise of Termination Without Cause 30

(Calendar days) (see Clause 35.1.9 of the Call-Off Terms)

Sites for the provision of the Services

Guidance Note - Insert details of the sites at which the Supplier will provide the Services, which shall include details of the Buyer Premises, Supplier premises and any third party premises.

The Supplier shall provide the Services from the following Sites:

Buyer Premises:

Please Refer to Statement of Requirements (SoR) document

Supplier Premises:

Please Refer to Statement of Requirements (SoR) document



Third Party Premises:

Please Refer to Statement of Requirements (SoR) document

Buyer Assets

Guidance Note: see definition of Buyer Assets in Schedule 1 of the Call-Off Terms

Please Refer to Statement of Requirements (SoR) document

Additional Standards

Guidance Note: see Clause 13 (Standards) and the definition of Standards in Schedule 1 of the Contract. Schedule 1 (Definitions). Specify any particular standards that should apply to the Contract over and above the Standards.

Please Refer to Statement of Requirements (SoR) document

Buyer Security Policy

Guidance Note: where the Supplier is required to comply with the Buyer's Security Policy then append to this Order Form below.

Please Refer to the Security Aspects Letter (SAL) document

Buyer ICT Policy

Guidance Note: where the Supplier is required to comply with the Buyer's ICT Policy then append to this Order Form below.

Not Applicable

Insurance



Guidance Note: if the Call Off Contract requires a higher level of insurance cover than the £1m default in Framework Agreement or the Buyer requires any additional insurances please specify the details below.

Third Party Public Liability Insurance (£) – ■■■■

Professional Indemnity Insurance (£) - ■■■■

Buyer Responsibilities

Guidance Note: list any applicable Buyer Responsibilities below.

Payment of accepted (non-disputed) Invoices will be made within 30 days; for any other obligations, please Refer to the Statement of Requirements (SoR) document

Goods

Guidance Note: list any Goods and their prices.

Please Refer to Statement of Requirements (SoR) document

Governance – Option Part A or Part B

Guidance Note: the Call-Off Terms has two options in respect of governance. Part A is the short form option and Part B is the long form option. The short form option should only be used where there is limited project governance required during the Contract Period.

Governance Schedule	Tick as applicable
Part A – Short Form Governance Schedule	<input checked="" type="checkbox"/>
Part B – Long Form Governance Schedule	<input type="checkbox"/>

The Part selected above shall apply this Contract.



Change Control Procedure – Option Part A or Part B

Guidance Note: the Call-Off Terms has two options in respect of change control. Part A is the short form option and Part B is the long form option. The short form option should only be used where there is no requirement to include a complex change control procedure where operational and fast track changes will not be required.

Change Control Schedule	Tick as applicable
Part A – Short Form Change Control Schedule	<input checked="" type="checkbox"/>
Part B – Long Form Change Control Schedule	<input type="checkbox"/>

The Part selected above shall apply this Contract. Where Part B is selected, the following information shall be incorporated into Part B of Schedule 5 (Change Control Procedure):

- for the purpose of Paragraph 3.1.2 (a), the figure shall be n/a; and
- for the purpose of Paragraph 8.2.2, the figure shall be n/a.



Section C

Part A - Additional and Alternative Buyer Terms

Additional Schedules and Clauses (see Annex 3 of Framework Schedule 4)

This Annex can be found on the RM6100 CCS webpage. The document is titled RM6100 Additional and Alternative Terms and Conditions Lots 2, 3 and 5.

Part A – Additional Schedules

Guidance Note: Tick any applicable boxes below

Additional Schedules	Tick as applicable
S1: Implementation Plan	<input type="checkbox"/>
S2: Testing Procedures	<input checked="" type="checkbox"/>
S3: Security Requirements (either Part A or Part B)	Part A <input checked="" type="checkbox"/> or Part B <input type="checkbox"/>
S4: Staff Transfer	<input checked="" type="checkbox"/>
S5: Benchmarking	<input checked="" type="checkbox"/>
S6: Business Continuity and Disaster Recovery	<input checked="" type="checkbox"/>
S7: Continuous Improvement	<input checked="" type="checkbox"/>
S8: Guarantee	<input type="checkbox"/>
S9: MOD Terms	<input checked="" type="checkbox"/>

Part B – Additional Clauses

Guidance Note: Tick any applicable boxes below

Additional Clauses	Tick as applicable
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C1: Relevant Convictions	<input checked="" type="checkbox"/>
C2: Security Measures	<input checked="" type="checkbox"/>
C3: Collaboration Agreement	<input type="checkbox"/>

Where selected above the Additional Schedules and/or Clauses set out in document RM6100 Additional and Alternative Terms and Conditions Lots 2, 3 and 5 shall be incorporated into this Contract.

Part C - Alternative Clauses

Guidance Note: Tick any applicable boxes below

The following Alternative Clauses will apply:

Alternative Clauses	Tick as applicable
Scots Law	<input type="checkbox"/>
Northern Ireland Law	<input type="checkbox"/>
Joint Controller Clauses	<input type="checkbox"/>

Where selected above the Alternative Clauses set out in document RM6100 Additional and Alternative Terms and Conditions Lots 2, 3 and 5 shall be incorporated into this Contract.

Part B - Additional Information Required for Additional Schedules/Clauses Selected in Part A

Additional Schedule S3 (Security Requirements)

Guidance Note: where Schedule S3 (Security Requirements) has been selected in Part A of Section C above, then for the purpose of the definition of "Security Management Plan" insert the Supplier's draft security management plan below.



To be completed at Award



Additional Schedule S4 (Staff Transfer)

Guidance Note: where Schedule S4 (Staff Transfer) has been selected in Part A of Section C above, then for the purpose of the definition of "Fund" in Annex D2 (LGPS) of Part D (Pension) insert details of the applicable fund below.

To be completed at Award

Not applicable

Additional Clause C1 (Relevant Convictions)

Guidance Note: where Clause C1 (Relevant Convictions) has been selected in Part A of Section C above, then for the purpose of the definition of "Relevant Convictions" insert any relevant convictions which shall apply to this contract below.

To be completed at Award

None

Additional Clause C3 (Collaboration Agreement)

Guidance Note: where Clause C3 (Collaboration Agreement) has been selected in Part A of Section C above, include details of organisation(s) required to collaborate immediately below.

Not Applicable

An executed Collaboration Agreement shall be delivered from the Supplier to the Buyer within the stated number of Working Days from the Commencement Date:



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Not Applicable

Section D Supplier Response

Commercially Sensitive information

Any confidential information that the Supplier considers sensitive for the duration of an awarded Contract should be included here. Please refer to definition of Commercially Sensitive Information in the Contract – *use specific references to sections rather than copying the relevant information here.*



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Section E Contract Award

This Call Off Contract is awarded in accordance with the provisions of the Technology Services 3 Framework Agreement RM6100.

SIGNATURES

For and on behalf of the Supplier

Name	████
Job role/title	████
Signature	████
Date	29/09/2022

For and on behalf of the Buyer

Name	████
Job role/title	████
Signature	████



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Date	29/09/2022
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Attachment 1 – Services Specification

Please Refer to the Statement of Requirements Document (SoR) enclosed



Attachment 2 – Charges and Invoicing

Part A – Milestone Payments and Delay Payments – Not Used

Part B – Service Charges

Charge Number	Service Charges
Service Line 1	
Simple Repairs	Monthly (see Annex I to the SoR)
Service Line 2	
24/7/365 (between the hours of 08:00 to 18:00) Help Desk for the logging and tracking of fault calls	Monthly (see Annex I to the SoR)
Service Line 3	
	Monthly (see Annex I to the SoR)
Service Line 4	
Contract Management – Contractor provided service	Monthly (see Annex I to the SoR)



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to be reviewed 6 monthly & a fault report to be produced monthly	
Service Line 5	
■	Monthly (see Annex I to the SoR)
Service Line 6	
Support to installations	Monthly (see Annex I to the SoR)
Service Line 7	
■	Monthly (see Annex I to the SoR)
Service Line 8	
■	Monthly (see Annex I to the SoR)
Service Line 9	
■	Monthly (see Annex I to the SoR)

Part C – Supplier Personnel Rate Card for Calculation of Time and Materials Charges

Staff Grade	Day Rate (£)
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Junior Support Engineer/Workshop Engineer (RM6100 Lot 3c SFIA Grade 3)	£ [REDACTED] (based on 10 hour day)
Field Service Engineer (RM6100 Lot 3c SFIA Grade 4)	£ [REDACTED] (based on 10 hour day)
Network Engineer (RM6100 Lot 3c SFIA Grade 4)	£ [REDACTED] (based on 10 hour day)
Project Manager (RM6100 Lot 3c SFIA Grade 5)	£ [REDACTED] (based on 10 hour day)
Senior Systems Engineer (RM6100 Lot 3c Grade 5)	£ [REDACTED] (based on 10 hour day)
Technical Director/Engineer Manager (RM6100 Lot 3c Grade 6)	£ [REDACTED] (based on 10 hour day)



Part D – Risk Register

As detailed in CQ9, NSSLGlobal has consolidated risks from the Transition Plan (indicated as TR), Integrated Logistic Support Plan (indicated as ILSR) and Training Plan (indicated as TgR). The Obsolescence Risk Report (within the Obsolescence Management Plan) details risks against each component.

It is assumed that the 'Likelihood' is post mitigation.



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This table has been redacted.

Part E – Early Termination Fee(s)

To pay for any work completed up to that point.



Attachment 3 – Outline Implementation Plan – NOT USED

Not required as confirmed in Authority response to CQ15

#	Milestone	Deliverables (<i>bulleted list showing all Deliverables (and associated tasks) required for each Milestone</i>)	Duration (Working Days)	Milestone Date
M1	{Concept Design}	{Statement of Requirements System/Application Specifications Interface Specifications Systems Testing Strategy Implementation Strategy and Plan Risk and Issues Management Plan Outline Disaster Recovery Plan Project Schedule Service Management Plan}		
M2	{Full Development}	{Design Verification Reports Design Validation Reports Change Management Plan System/Application Implementation Plan Risk and Issues Management Project Schedule Service Management Plan}		
M3	{System User Testing}	{System Test Report Risk and Issues Management Plan Project Schedule Service Management Plan Defects Log Final Inspection and Testing Report}		
M4	{User Readiness for Service}	{Training Plan Risk and Issues Log Implementation Plan Operations Plan Data Conversion & Cutover Plan Project Schedule Service Management Plan}		
M5	{Implementation}	{Implementation Plan Training Scripts}		
M6	{In Service Support}	{Post Implementation Report Data Conversion and Cut Over Plan Service Delivery Reports Risk and Issues Log Service Management Plan Defects Log}		



Attachment 4 – Service Levels and Service Credits

Service Levels and Service Credits

Service Levels				Service Credit for each Service Period
Service Level Performance Criterion	Key Indicator	Service Level Performance Measure	Service Level Threshold	
Accurate and timely billing of Buyer	Accuracy /Timelines	at least 98% at all times	The Authority's CP&F electronic billing & payment system will be used. Each invoice must include a detailed breakdown of the work completed and the associated costs.	0.5% Service Credit gained for each percentage under the specified Service Level Performance Measure
Access to Buyer support, Help Desk, SPOC and fault repairs	Availability	at least 95% at all times	SAS2 Equipment shall seek to target a minimum service availability of 95% per platform (See SoR for more details)	0.5% Service Credit gained for each percentage under the specified Service Level Performance Measure
Provide ad hoc reports and monthly reports	Reports	at least 98% at all times	Ad hoc reports within 10 working days of the request and monthly reports by the end of the first working week of the month (See SoR for more details).	0.5% Service Credit gained for each percentage under the specified Service Level Performance Measure



Service Levels				Service Credit for each Service Period
Service Level Performance Criterion	Key Indicator	Service Level Performance Measure	Service Level Threshold	
All calls are to be answered from platforms with a potential fault.	Fault Rectification	at least 98% at all times	5 minutes to answer the call.	0.5% Service Credit gained for each percentage under the specified Service Level Performance Measure
An engineer should engage with the affected platform to start fault diagnosis and potential repair.	Fault Rectification	at least 98% at all times	Within an hour of the call being received.	0.5% Service Credit gained for each percentage under the specified Service Level Performance Measure
All SAS and SAS2 faults to be repaired within a set timeframe from the call being logged to full resolution of service.	Fault Rectification	at least 98% at all times	48 hours allowable time to repair including weekends.	0.5% Service Credit gained for each percentage under the specified Service Level Performance Measure

The Service Credits shall be calculated on the basis of the following formula:

Example:

Formula: $x\% \text{ (Service Level Performance Measure)} - x\% \text{ (actual Service Level performance)}$ = $x\%$ of the Service Charges payable to the Buyer as Service Credits to be deducted from the next Invoice payable by the Buyer

Worked example: 98% (e.g. Service Level Performance Measure requirement for accurate and timely billing Service Level) - 75% (e.g. actual performance achieved) = 23% of the Service Charges payable to the Buyer as Service Credits to be deducted from the next Invoice payable by the Buyer]



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against this Service Level in a Service Period)

Service Credit Cap

Please see above –0.5% Service Credit gained for each percentage under the specified Service Level Performance Measure

Critical Service Level Failure

In relation to availability a Critical Service Level Failure shall include a loss of SAS and Legacy SAS during core hours (8 am to 6 pm, 7 days a week) to the SAS and Legacy SAS for more than 48 hours (2 business days).



Attachment 5 – Key Supplier Personnel and Key Sub-Contractors – To be completed at Contract Award

The Parties agree that they will update this Attachment 5 periodically to record any changes to Key Supplier Personnel and/or any Key Sub-Contractors appointed by the Supplier after the Commencement Date for the purposes of the delivery of the Services.

Part A – Key Supplier Personnel

Key Supplier Personnel	Key Role(s)	Duration
████	Service Delivery and Commercial Lead (Transition Team Leader during Transition)	Contract Period
████	Technical Lead and █████	Contract Period
████	Project and Through-Life Service Manager █████	Contract Period
████	Project and Through-Life Service Manager █████	Contract Period
████	Project and Through-Life Service Manager █████	Contract Period
████	Security Assurance Manager	Contract Period
████	Compliance and Safety Manager	Contract Period
████	Standards and Compliance Manager (Quality Management System manager)	Contract Period
████	Integrated Logistics Support Manager	Contract Period
████	Global Service Engineering Director	Contract Period
████	Contract and Project Facilitator	Contract Period

Part B – Key Sub-Contractors



Key Sub-contractor name and address (if not the same as the registered office)	Registered office and company number	Related product/Service description	Key Sub-contract price expressed as a percentage of total projected Charges over the Contract Period	Key role in delivery of the Services



Attachment 6 – Software

- .1.5 The Software below is licensed to the Buyer in accordance with Clauses 20 (*Intellectual Property Rights*) and 21 (*Licences Granted by the Supplier*).
- .1.6 The Parties agree that they will update this Attachment 6 periodically to record any Supplier Software or Third Party Software subsequently licensed by the Supplier or third parties for the purposes of the delivery of the Services.

Part A – Supplier Software

The Supplier Software includes the following items:

Software	Supplier (if an Affiliate of the Supplier)	Purpose	Number of Licences	Restrictions	Number of Copies	Type (COTS or Non-COTS)	Term/ Expiry
	Not applicable						



Part B – Third Party Software

The Third Party Software shall include the following items:

Third Party Software	Supplier	Purpose	Number of Licences	Restrictions	Number of Copies	Type (COTS or Non-COTS)	Term/ Expiry
Not applicable							

Attachment 7 – Financial Distress

For the purpose of Schedule 7 (Financial Distress) of the Call-Off Terms, the following shall apply:

PART A – CREDIT RATING THRESHOLD

Entity	Credit Rating (long term) <i>(insert credit rating issued for the entity at the Commencement Date)</i>	Credit Rating Threshold <i>(insert the actual rating (e.g. AA-) or the Credit Rating Level (e.g. Credit Rating Level 3))</i>
Supplier	████	████
Guarantor	N/A	N/A
Key Sub-contractor 1	N/A	N/A
Key Sub-contractor 2	N/A	N/A

NSSLGlobal has completed this based on a credit report by █████ on 16th June 22.
This can be rerun on the Commencement Date, if required.

PART B – RATING AGENCIES

████████████████████

- Credit Rating Level 1 = [A]
- Credit Rating Level 2 = [B]
- Credit Rating Level 3 = [C]
- Credit Rating Level 4 = [D]
- Credit Rating Level 5 = [E]

Attachment 8 – Governance

PART A – SHORT FORM GOVERNANCE

For the purpose of Part A of Schedule 7 (Short Form Governance) of the Call-Off Terms, the following board shall apply:

Operational Board	
Buyer Members for the Operational Board	■■■■
Supplier Members for the Operational Board	■■■■
Frequency of the Operational Board	Every 6 months
Location of the Operational Board	■■■■

PART B – LONG FORM GOVERNANCE – NOT APPLICABLE

For the purpose of Part B of Schedule 7 (Long Form Governance) of the Call-Off Terms, the following boards shall apply:

SERVICE MANAGEMENT BOARD – NOT APPLICABLE	
Buyer Members of Service Management Board (include details of chairperson)	NOT APPLICABLE (Part A Applies)
Supplier Members of Service Management Board	NOT APPLICABLE (Part A Applies)
Start Date for Service Management Board meetings	NOT APPLICABLE (Part A Applies)
Frequency of Service Management Board meetings	NOT APPLICABLE (Part A Applies)
Location of Service Management Board meetings	NOT APPLICABLE (Part A Applies)

Programme Board – NOT APPLICABLE	
Buyer members of Programme Board (include details of chairperson)	NOT APPLICABLE (Part A Applies)
Supplier members of Programme Board	NOT APPLICABLE (Part A Applies)
Start date for Programme Board meetings	NOT APPLICABLE (Part A Applies)
Frequency of Programme Board meetings	NOT APPLICABLE (Part A Applies)
Location of Programme Board meetings	NOT APPLICABLE (Part A Applies)

Change Management Board – NOT APPLICABLE	
Buyer Members of Change Management Board (include details of chairperson)	NOT APPLICABLE (Part A Applies)
Supplier Members of Change Management Board	NOT APPLICABLE (Part A Applies)
Start Date for Change Management Board meetings	NOT APPLICABLE (Part A Applies)
Frequency of Change Management Board meetings	NOT APPLICABLE (Part A Applies)
Location of Change Management Board meetings	NOT APPLICABLE (Part A Applies)

Technical Board – NOT APPLICABLE	
Buyer Members of Technical Board (include details of chairperson)	NOT APPLICABLE (Part A Applies)
Supplier Members of Technical Board	NOT APPLICABLE (Part A Applies)
Start Date for Technical Board meetings	NOT APPLICABLE (Part A Applies)
Frequency of Technical Board meetings	NOT APPLICABLE (Part A Applies)
Location of Technical Board meetings	NOT APPLICABLE (Part A Applies)

Risk Management Board – NOT APPLICABLE	
Buyer Members for Risk Management Board (include details of chairperson)	NOT APPLICABLE (Part A Applies)
Supplier Members for Risk Management Board	NOT APPLICABLE (Part A Applies)
Start Date for Risk Management Board meetings	NOT APPLICABLE (Part A Applies)
Frequency of Risk Management Board meetings	NOT APPLICABLE (Part A Applies)
Location of Risk Management Board meetings	NOT APPLICABLE (Part A Applies)

Attachment 9 – Schedule of Processing, Personal Data and Data Subjects – NOT USED (no personal Data being stored)

~~This Attachment 9 shall be completed by the Controller, who may take account of the view of the Processors, however the final decision as to the content of this Schedule shall be with the Buyer at its absolute discretion.~~

~~The contact details of the Buyer's Data Protection Officer are: [Insert Contact details]~~

~~The contact details of the Supplier's Data Protection Officer are: [Insert Contact details]~~

~~1.1.1.1 The Processor shall comply with any further written instructions with respect to processing by the Controller.~~

~~1.1.1.2 Any such further instructions shall be incorporated into this Attachment 9.~~

Description	Details
Identity of Controller for each Category of Personal Data	<p>The Authority is Controller and the Supplier is Processor</p> <p>The Parties acknowledge that in accordance with Clause 34.2 to 34.15 and for the purposes of the Data Protection Legislation, the Buyer is the Controller and the Supplier is the Processor of the following Personal Data:</p> <ul style="list-style-type: none"> • [Insert the scope of Personal Data for which the purposes and means of the processing by the Supplier is determined by the Authority] <p>The Supplier is Controller and the Authority is Processor</p> <p>The Parties acknowledge that for the purposes of the Data Protection Legislation, the Supplier is the Controller and the Buyer is the Processor in accordance with Clause 34.2 to 34.15 of the following Personal Data:</p> <ul style="list-style-type: none"> • [Insert the scope of Personal Data for which the purposes and means of the processing by the Authority is determined by the Supplier] <p>The Parties are Joint Controllers</p> <p>The Parties acknowledge that they are Joint Controllers for the purposes of the Data Protection Legislation in respect of:</p> <ul style="list-style-type: none"> • [Insert the scope of Personal Data for which the purposes and means of the processing is determined by both Parties together] <p>For the purpose of Clause 1.2 of the joint controller clauses the [insert either Buyer or Supplier] shall be the Party referenced and responsible for those matters set out in Clause 1.2(a)-(e). Insert for the purpose of Paragraph 1.2 of the joint controller clauses which Party (either Supplier or Buyer) shall be responsible for those matters listed in Clause 1.2(a) — (e), including whose privacy policy should apply i.e.</p> <p>The Parties are Independent Controllers of Personal Data</p> <p>The Parties acknowledge that they are Independent Controllers for the purposes of the Data Protection Legislation in respect of:</p>

	<ul style="list-style-type: none"> • Business contact details of Supplier Personnel, • Business contact details of any directors, officers, employees, agents, consultants and contractors of the Buyer (excluding the Supplier Personnel) engaged in the performance of the Buyer's duties under this Contract. • [Insert the scope of other Personal Data provided by one Party who is Data Controller to the other Party who will separately determine the nature and purposes of its processing the Personal Data on receipt. <p>e.g. where (1) the Supplier has professional or regulatory obligations in respect of Personal Data received, (2) a standardised service is such that the Buyer cannot dictate the way in which Personal Data is processed by the Supplier, or (3) where the Supplier comes to the transaction with Personal Data for which it is already Controller for use by the Buyer]</p>
Duration of the processing	[Clearly set out the duration of the processing including dates]
Nature and purposes of the processing	<p>[Please be as specific as possible, but make sure that you cover all intended purposes.</p> <p>The nature of the processing means any operation such as collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction of data (whether or not by automated means) etc.</p> <p>The purpose might include: employment processing, statutory obligation, recruitment assessment etc.]</p>
Type of Personal Data	[Examples here include: name, address, date of birth, NI number, telephone number, pay, images, biometric data etc.]
Categories of Data Subject	[Examples include: Staff (including volunteers, agents, and temporary workers), customers/ clients, suppliers, patients, students / pupils, members of the public, users of a particular website etc.]
Plan for return and destruction of the data once the processing is complete UNLESS requirement under union or member state law to preserve that type of data	[Describe how long the data will be retained for, how it be returned or destroyed]

Attachment 10 – Transparency Reports

Title	Content	Format	Frequency
Performance	RAG Status and data against KPIs	Microsoft Word/PDF	Monthly
Charges	List of TAFs raised within period + their status	Microsoft Word/PDF	Monthly
Key Sub-Contractors	N/A at Contract start, but Supplier to update if anything changes/if any sub-contractors are used for TAFs.	Microsoft Word/PDF	Monthly
Technical	Risks, Assumptions, Dependencies, Issues, Opportunities (RAIDO) General update on progress	Microsoft Word/PDF	Monthly
Performance management	RAG Status and data against KPIs + RAIDO against KPIs	Microsoft Word/PDF	Monthly

Annex 1 – Call Off Terms and Additional/Alternative Schedules and Clauses

Enclosed as a separate Document in the Contract Award Pack (see document “20220823-Ctt 703927452 (SAS3) - Additional-and-Alternative T&Cs – OSC”)