

SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

DATED 28 June

2023

### THE SECRETARY OF STATE FOR JUSTICE (OF THE MINISTRY OF JUSTICE)

and

#### KIER CONSTRUCTION LIMITED T/A KIER CONSTRUCTION - NORTH & SCOTLAND

and

### WATES CONSTRUCTION LIMITED

and

#### LAING O'ROURKE DELIVERY LIMITED

**FAC-1 CONTRACT** 

FOR THE PROVISION OF

THE MINISTRY OF JUSTICE'S

SMALL SECURE HOUSEBLOCKS PROGRAMME

(INCORPORATING THE TERMS OF THE NEC4 ENGINEERING & CONSTRUCTION SHORT CONTRACT & NEC4 ENGINEERING & CONSTRUCTION CONTRACT: OPTION A AS A SINGLE DELIVERY CONTRACT)

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Otherwise, no part or parts of this agreement shall be reproduced in any form or by any other means by an Alliance Member without the permission of the Client.

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SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

#### **FAC-1 AGREEMENT**

#### A FAC-1 CONTRACT is created on the

28 June

2023

**IN RELATION TO** the formation of an Alliance and the potential design and construction of up to one thousand two hundred (1,200) new prison places, provisionally at ten (10) sites forming part of the Client's prison estate, with each such site being a *Works Package Site* and the construction works (if any) to be undertaken at each *Works Package Site* being a *Works Package* (and together the *Works Packages*) (the *FAC-1 Programme*) as described in the *FAC-1 Documents* 

**BETWEEN** the Secretary of State for Justice of the Ministry of Justice, 102 Petty France, Westminster, London, SW1H 9AJ (the *Client*, with such term including its successors in title and assigns) and the *Alliance Members* who have signed this *FAC-1 Agreement* 

**WHO AGREE** to work in an *Alliance*, to fulfil their agreed roles and responsibilities and to apply their agreed expertise in relation to the *FAC-1 Programme*, in accordance with and subject to the terms of the *FAC-1 Documents* and the *Contract Terms* on the basis set out in this *FAC-1 Agreement*.

General	The following entries relate to the entirety of the FAC-1 Contract:						
Interpretation	Any reference in this FAC-1 Agreement to a "clause" means a clause forming pa of the Contract Terms (excluding the Special Terms) unless otherwise stated.						
Recitals	Pursuant to a public procurement process undertaken by CCS with OJEU Contra Notice reference 2021/S 000-023882, CCS has established the CCS Framework						
	Each of the Alliance Members:						
#5 E	has been appointed to the CCS Framework following such public procurement process; and						
e (a	is a party to an ACA Framework Alliance Contract (FAC-1) (as amende dated 21 March 2022 between (1) CCS and (2) the Alliance Members (ar other parties) in relation to a lot forming part of the CCS Framework name "Construction Works and Associated Services 2 (CWAS2) / ProCure 2 (P23)" (RM6267) and known as "Lot 5" (the CCS Framework Agreement)						
	For the purpose of the CCS Framework and the CCS Framework Agreement:						
	the Client is an "Additional Client" that has submitted a "Registration Notice to and which has been accepted by CCS;						
	CCS has notified the Alliance Members that the Client is an "Addition Client"; and						
	accordingly, the Client has the right to issue to the Alliance Members "Project Contract" pursuant to and in accordance with the terms of the CC Framework Agreement,						
	with the terms "Additional Client", "Registration Notice" and "Project Contract having the meanings given to them in the CCS Framework Agreement for the purposes of this bulleted item only.						
	> The Client wishes to procure the delivery of the FAC-1 Programme.						
	Following the completion of a "Competitive Award Procedure" undertaken						



	accordance with (and as such term is defined in) the CCS Framework Agreement (Jaggaer Unique Reference Number prj_8508), pursuant to which the Client requested that the Alliance Members (and other eligible parties to the CCS Framework Agreement) prepared and submitted tender submissions in connection with the Client's requirements in relation to the FAC-1 Programme and in response to which the Alliance Members (and other such parties) did so:  It is a Client now wishes to appoint the Alliance Members under this FAC-1 Contract to assist with the delivery of the FAC-1 Programme; and  It is a "Project Contract" for the purposes of (and as defined in) the CCS Framework Agreement.  Without prejudice to the generality of clause 1A, the Client and the Alliance Members acknowledge and agree that the FAC-1 Contract is not intended to nor shall be construed in any way as constituting a framework agreement as between the Client and the Alliance Members.					
Description of the	In this FAC-1 Contract:					
Stages						
	Stage 1 is the phase of the FAC-1 Programme relating to the provision of the services for pre-construction services in relation to the Works Packages and other ancillary services in connection with the FAC-1 Programme generally, as more particularly described in the FAC-1 Brief and the BIM Requirements; and					
	Stage 2 is the phase of the FAC-1 Programme relating to the construction of a Works Package by an Alliance Member (and, if instructed by the Client in accordance with the FAC-1 Contract, the provision of Stage 2 Services) following the issue and execution of a Notice to Proceed to Stage 2 in respect of that Works Package in accordance with the Contract Terms, as more particularly described in the FAC-1 Brief and the BIM Requirements.					
Reference in Contract Terms	The following entries relate to the Contract Terms:					
Clause 1.1	The <b>roles, expertise and responsibilities of the</b> <i>Alliance Members</i> are described in the <i>FAC-1 Documents</i> and the <i>Alliance Members</i> are each of the parties that have executed this <i>FAC-1 Agreement</i> other than the <i>Client</i> .					
Clauses 1.3, 1.4 and 1.5	The <b>FAC-1 Documents</b> , subject to addition and amendment in accordance with any Joining Agreements and the Contract Terms, are:					
	> this FAC-1 Agreement incorporating the:					
	> the <i>Objectives</i> (Schedule 1);					
	> the <i>Timetable</i> (Schedule 2);					
	> the <i>Risk Register</i> (Schedule 3);					
	> the <i>Allocation Schedule</i> (Schedule 4);					
	> the Works Package Procedure (Schedule 5);					
	> the <b>Special Terms</b> (Schedule 6);					
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- > the Contract Terms;
- the FAC-1 Brief (Schedule 7);
- the FAC-1 Prices (Schedule 8);
- the FAC-1 Proposals (Schedule 9);
- the Pricing Rules (Schedule 10);
- the Early Works Terms (Schedule 11) comprising:
  - the Early Works Order (Part 1 of Schedule 11);
  - the Template Early Works Supporting Documents (excluding the Early Works Amendments) (Part 2 of Schedule 11); and
  - > the Early Works Amendments (Part 3 of Schedule 11);
- the Main Works Terms (Schedule 12) comprising:
  - the form of Notice to Proceed to Stage 2 (Part 1 of Schedule 12);
  - the Template Main Works Contract Data (Part 2 of Schedule 12); and
  - the Main Works Amendments (Part 3 of Schedule 12);
- the Collateral Warranties & Third Party Rights Schedule (Schedule 13) comprising:
  - the template form of Contractor Collateral Warranty (Part 1 of Part 1 of Schedule 13);
  - the template form of Subcontractor Collateral Warranty (Part 2 of Part 1 of Schedule 13);
  - the template form of Subsubcontractor Collateral Warranty (Part 3 of Part 1 of Schedule 13);
  - the template form of Subconsultant Collateral Warranty (Part 4 of Part 1 of Schedule 13);
  - the Contractor Third Party Rights Schedule (Part 1 of Part 2 of Schedule 13);
  - the Subcontractor Third Party Rights Schedule (Part 2 of Part 2 of Schedule 13);
  - the template form of Subsubcontractor Third Party Rights Schedule (Part 3 of Part 2 of Schedule 13); and
  - the template form of Subconsultant Third Party Rights Schedule (Part 4 of Part 2 of Schedule 13);

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	the Performance Security Schedule (Schedule 14) comprising:
	the form of Parent Company Guarantee (Part 1 of Schedule 14);
	> the form of <i>Performance Bond</i> (Part 2 of Schedule 14); and
	> the forms of <b>Vesting Agreement</b> (Part 3 of Schedule 14);
	> the Data Protection Schedule (Schedule 15); and
	> the <i>BIM Requirements</i> (Schedule 16).
Clause 1.6	The Core Group members are:
	> appointed by the Client;
	> appointed by the Client;
	> appointed by the Client;
	> acting on behalf of in its capacity as a Programme Consultant appointed by the Client;
	acting on behalf of on the capacity as a Programme Consultant appointed by the Client,
	of acting as the Alliance Manager,
	➤ Appointed by Kier Construction Limited t/a Kier Construction – North & Scotland;
	> appointed by Wates Construction Limited; and
	➤ appointed by Laing O'Rourke Delivery Limited.
Clause 1.14	The Alliance Members shall engage with the following <b>Stakeholders</b> in accordance with clause 1.14 and the FAC-1 Documents:
	> the governor / controller for the relevant Works Package;
	> the head of works and head of security for the relevant Works Package;
	the local authority (or local authorities) with administrative control over the relevant Works Package Site;
	> the National Health Service;
	> the emergency services;
	> the education providers for the relevant Works Package;
	> any facilities management providers for the relevant Works Package;
	> the probation service provider for the relevant Works Package;
	> the custodial services provider for the relevant Works Package; and

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	the Client's professional team, as notified by the Client or the Alliance Manager from time to time in writing.				
Clause 1.15	The following Additional Alliance Members may join the Alliance in accordance with clause 1.15:				
	Any Supply Chain members that the Client and the Alliance Manager agree in advance and in writing with the Alliance Members during Stage 1 and any other parties that may be agreed as between the Client, the Alliance Manager and one (1) or more Alliance Members during Stage 1.				
	The Client and the Alliance Members acknowledge and agree that where an Additional Alliance Member joins the Alliance from time to time in accordance with clause 1.15 (and subject always to the terms of the relevant Joining Agreement):				
	that Additional Alliance Member shall have no entitlement to any payment whatsoever from the Client arising out of or in connection with their engagement under this FAC-1 Contract; and				
	the Joining Agreement will specify which provisions of the Contract Terms do and do not apply to any such Additional Alliance Member (provided always that clause 5 and clause 7 shall not apply to any Additional Alliance Member)				
Clause 3.1	The <i>Alliance Manager</i> is of whose registered office is situated at such other person as the <i>Client</i> may notify to the <i>Alliance Members</i> from time to time).				
	The Alliance Manager's authority under clause 3.1 is subject to the following restrictions:				
	the Alliance Manager shall not have the authority to execute an Order and/or a Notice to Proceed to Stage 2 on behalf of the Client,				
	the Alliance Manager shall not have the authority to vary any contract terms comprising and/or referred to in the FAC-1 Contract, the Early Works Terms and/or the Main Works Terms (or that otherwise apply to an Order and/or a Notice to Proceed to Stage 2); and				
	the Alliance Manager shall not have the authority to waive any liability of an Alliance Member to the Client under or in connection with any part of this FAC-1 Contract (including in relation to any Order and/or Notice to Proceed to Stage 2).				
Clause 3.2	The Alliance Manager may act on behalf of the Client in the following matters:				
	the Alliance Manager is authorised by the Client to manage the FAC-1 Contract (including all Orders and/or Notices to Proceed to Stage 2) on behalf of the Client (including, but not limited to, issuing instructions, assessing extension of time and loss and expense claims, assessing payments to and from each Alliance Member and issuing (but not executing) Orders and Notices to Proceed to Stage 2 on behalf of the Client) in accordance with the terms of this FAC-1 Contract and pursuant to and in accordance with its separate Programme Consultant Appointment with the Client).				
Clauses 4.3 and 4.4	The Agreed Works Package Prices for each Works Package shall be established in accordance with clause 4 and shall state separately:				
	> the agreed Profit and Overheads for the relevant Alliance Member (and which may				

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	be expressed as a combined percentage for the purpose of completing the "fee percentage" for the purposes of populating the "Contract Data" annexed to any Notice to Proceed to Stage 2 in respect of a Works Package);					
	any costs, prices, fee percentages and/or rates (of any type and nature) that are provided by an Alliance Member to the Client as part of the Works Package Procedure in respect of the relevant Works Package, which shall include any percentages and other information included in the Agreed Prices and/or FAC-1 Proposals of an Alliance Member that are relevant to the same, as the context requires; and					
	(if instructed by the Client in writing as part of a Works Package Procedure, as the context requires) any costs and/or prices that the Client has agreed in writing shall form part of (or be treated as having been paid on account of) the Agreed Works Package Prices as from the date of the Notice to Proceed to Stage 2 for that Works Package.					
Clause 1B and	Each Alliance Member shall undertake the following Alliance Activities:					
clause 6	as from the FAC-1 Contract Commencement Date, the Stage 1 Services as set out in the FAC-1 Brief and the BIM Requirements (and which shall continue for the duration of Stage 2 for each Works Package as the context requires); and					
	(if instructed by the <i>Client</i> in accordance with clause 7) its <i>Stage 2 Services</i> as from the date of the relevant <i>Order</i> and for the period stated therein.					
	Any Pre-Construction Services and/or Pre-Construction Activities that may be required by the Client in connection with a specific Works Package Site shall be instructed pursuant to and in accordance with clause 7 and are not Alliance Activities.					
Clause 6.3	The Alliance Members shall, in conjunction with the Client and the Alliance Manager, implement the following <b>Supply Chain Collaboration</b> and/or other activities in accordance with clause 6 within the timescales stated in the <i>Timetable</i> or as otherwise agreed:					
	as set out in the FAC-1 Brief (as applicable).					
Clause 8	For the purpose of clause 8:					
	> the Assessment Date is the final Working Day of each month;					
	> the <i>Due Date</i> is seven (7) days after the <i>Assessment Date</i> ;					
	> the Final Date for Payment is fourteen (14) days after:					
	> the <i>Due Date</i> ; or					
	if later, the date on which a Valid Invoice is received from an Alliance Member by the Alliance Manager in accordance with clause 8A; and					
	the rate of interest on late payment is three per cent (3%) per annum above the base rate in force from time to time of the Bank of England.					
Clause 8A	A <b>Valid Invoice</b> is a VAT compliant invoice in the form set out at Appendix 8 of the FAC-1 Brief, provided that the Client may (on reasonable notice) vary the form of invoice from time to time by written notice from the Alliance Manager to each Alliance Member, with any such amendments applying as from the next Assessment Date after such notification.					



Clause 12	12" and " respect of the gener   Notwithste document and/or a preceden   12A" entri subject o requireme	Each <i>Alliance Member</i> shall take out and maintain the <i>Insurances</i> referred to in the "clause 12" and "clause 12A" entries of the <i>FAC-1 Agreement</i> in accordance with clause 12 respect of matters governed by the <i>FAC-1 Contract</i> and the provisions below supplementate general requirements of such clause 12 and apply only to the <i>Alliance Members</i> .  Notwithstanding the foregoing, any insurance requirements as stated in (or stated in a documents referred to in and/or annexed to) an <i>Order</i> for <i>Pre-Construction Activital</i> and/or a <i>Notice to Proceed to Stage</i> 2 in respect of a <i>Works Package</i> shall to precedence over any insurance requirements as stated in the "clause 12" and the "clause 12A" entries of this <i>FAC-1 Agreement</i> in respect of the <i>Works and/or Services</i> that are subject of such <i>Order</i> or <i>Notice to Proceed to Stage</i> 2, with such insurance-related requirements being solely governed by the <i>Early Works Terms</i> or <i>Main Works Terms</i> to apply to the <i>Order</i> or <i>Notice to Proceed to Stage</i> 2 respectively.				
Clause 12A	12A					
	12A.1	The <i>Insun</i>	ances shall be:			
		12A.1.1	maintained (so far as is reasonably practicable) on terms no less favourable than those generally available to a prudent contractor in respect of risks insured in the international insurance market from time to time; and			
		12A.1.2	taken out and maintained with insurers who are of good financial standing, appropriately regulated and of good repute in the international insurance market.			
	12A.2	Cancellation				
		Each Alliance Member shall notify the Alliance Manager in writing at least five (5) Working Days prior to the cancellation, suspension, termination or non-renewal of any of the Insurances.				
	12A.3	Insurance	e claims, rates and deductibles			
		12A.3.1	Each Alliance Member shall promptly notify to its insurers any matter arising from, or in relation to any matter governed by the FAC-1 Contract for which it may be entitled to claim under any of the Insurances.			
		12A.3.2	Except in circumstances where the <i>Client</i> is the claimant party, in the event that the <i>Client</i> receives a claim relating to or arising out of any matter governed by the <i>FAC-1 Contract</i> , each <i>Alliance Member</i> shall co-operate with the <i>Client</i> and the <i>Alliance Manager</i> and assist them in dealing with such claims, including (without limitation) providing information and documentation in a timely manner as requested by the <i>Client</i> and/or the <i>Alliance Manager</i> .			
		12A.3.3	Each Alliance Member shall give the Alliance Manager written notice within twenty (20) Working Days after any insurance claim in excess of one hundred thousand pounds (£100,000) relating to or arising out of any matter governed by the FAC-1 Contract under any of the Insurances or which, but for the application of the applicable policy excess for a specific Insurance, would be made on any of the Insurances and (if required by the Client) full details of the incident giving rise to the claim.			
		12A.3.4	Where any <i>Insurance</i> requires payment of a premium, the relevant Alliance Member shall be liable for and shall promptly pay such premium at its own cost.			

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	12A.3.5	Where any <i>Insurance</i> is subject to an excess or deductible below which the indemnity from the relevant insurer(s) is excluded, the relevant <i>Alliance Member</i> shall be liable for such excess or deductible at its own cost.	
	12A.3.6	No Alliance Members shall be entitled to recover from the Client any sum paid by way of excess or deductible under any of the Insurances under or in connection with the FAC-1 Contract (including under any Early Works Terms as referred to in an Order and/or Main Works Terms that are referred to in a Notice to Proceed to Stage 2 in respect of a Works Package).	
12A.4	Third par	rty public and products liability insurance	
	Each Alliance Member shall take out and maintain third party public and products liability insurance on the following basis:		
	> Ins	sured	
	Th	e Allíance Member.	
	> Int	erest	
	bed	indemnify the insured in respect of all sums which the insured shall come legally liable to pay as damages, including any claimant's costs d expenses, in respect of accidental:	
	>	death or bodily injury to or sickness, illness or disease contracted by any person; and/or	
	>	loss of or damage to property,	
	1	opening during the period of insurance and arising out of or in nection with the FAC-1 Contract.	
	> Lir	nit of indemnity	
	> Te	rritorial limits	
	Un	ited Kingdom.	
	> Pe	riod of insurance	
	<i>Ad</i> dui	om the FAC-1 Contract Commencement Date (or, in respect of an ditional Alliance Member, the date of its Joining Agreement) for the ration of the FAC-1 Contract and renewed on an annual basis unless reed otherwise by the Client (acting by the Alliance Manager).	
	> Co	ver features and extensions	

### **Ministry**

of Justice

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### SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

This *Insurance* should include an "indemnity to principals" clause under which the Client shall be indemnified in respect of any claims made against the Client in respect of death, bodily injury and/or third party property damage arising out of or in connection with the FAC-1 Contract and for which that Alliance Member is legally liable. Principal exclusions war and related perils; nuclear and radioactive risks; liability for death, illness, disease or bodily injury sustained by ➣ employees of the insured during the course of their employment;  $\triangleright$ liability arising out of the use of mechanically propelled vehicles whilst required to be compulsorily insured by Applicable Law in respect of such vehicles; liability in respect of predetermined penalties or liquidated ➣ damages imposed under any contract entered into by the insured; liability arising out of technical or professional advice other than in ➣ respect of death or bodily injury to persons or damage to third party property; liability arising from the ownership, possession or use of any ➣ aircraft or marine vessel; and liability arising from seepage and pollution unless caused by a ➣ sudden, unintended, unexpected and accidental occurrence. Maximum deductible threshold 12A.5 Professional indemnity insurance Each Alliance Member shall take out and maintain professional indemnity insurance on the following basis: Insured The Alliance Member. Interest To indemnify the insured for all sums which the insured shall become legally liable to pay (including any claimant's costs and expenses) as a result of claims first made against the insured during the period of

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### SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

insurance by reason of any negligent act, error and/or omission arising from professional services and advice in connection with the FAC-1 Contract. Limit of indemnity **Territorial limits** United Kingdom. Period of insurance From the FAC-1 Contract Commencement Date (or, in respect of an Additional Alliance Member, the date of its Joining Agreement) and renewable on an annual basis unless agreed otherwise by the Client (acting by the Alliance Manager) until the End of Liability Date. Cover features and extensions Retroactive cover to apply to such Insurance, with the retroactive date being no later than the FAC-1 Contract Commencement Date. Principal exclusions war and related perils; and nuclear and radioactive risks. Maximum deductible threshold 12A.6 United Kingdom compulsory insurances Each Alliance Member (including each and every Additional Alliance Member) shall comply in full with its insurance obligations under the Applicable Law, including (without limitation) any insurances that it is required to take out and maintain pursuant to and in accordance with the Employers' Liability (Compulsory Insurance) Act 1969 and the Road Traffic Act 1988. This FAC-1 Contract is governed by and shall be construed in accordance with the laws Clause 13.4 and 15.4 of England and Wales and the *Client* and the *Alliance Members* hereby irrevocably submit to the non-exclusive jurisdiction of the English courts in respect of all matters relating to the FAC-1 Contract, provided that other jurisdictions may apply solely for the purpose of giving effect to this entry and for the enforcement of any judgment, order or award given under English jurisdiction in connection with this FAC-1 Contract. Clause 13.5 The **Special Terms** are set out at Schedule 6 of the *FAC-1 Agreement*. Clause 15.2 Any dispute under this FAC-1 Contract may be referred to conciliation conducted in accordance with clause 15.2 and Part 1 of Appendix 4 by a Conciliator who shall be

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	appointed by:
	the Association of Consultant Architects (ACA) in accordance with the Conciliation Procedure.
	The Conciliation Procedure is:
	the Association of Consultant Architects' conciliation procedure current as at the date of the referral.
	Any dispute in relation to any <i>Works and/or Services</i> in respect of a <i>Works Package</i> that are subject to an <i>Order</i> for <i>Pre-Construction Activities</i> or a <i>Notice to Proceed to Stage 2</i> shall be conducted in accordance with the dispute resolution provisions and procedures in the <i>Early Works Terms</i> or <i>Main Works Terms</i> respectively (and, as the context requires, such <i>Applicable Law</i> that applies to the same) and not the provisions and procedures set out in clause 15 of the <i>FAC-1 Contract</i> .
Clause 15.3	Any dispute under this <i>FAC-1 Contract</i> may be referred to adjudication conducted in accordance with clause 15.3 and Part 2 of Appendix 4 by an <i>Adjudicator</i> who shall be appointed by:
	Technology and Construction Solicitors Association (TeCSA) in accordance with the Model Adjudication Procedure.
	The Model Adjudication Procedure is:
	> the TeCSA Adjudication Rules current as at the date of the referral.
	Any stated above, any dispute in relation to any Works and/or Services in respect of a Works Package that are subject to an Order for Pre-Construction Activities or a Notice to Proceed to Stage 2 shall be conducted in accordance with the dispute resolution provisions and procedures in the Early Works Terms or Main Works Terms respectively (and, as the context requires, such Applicable Law that applies to the same) and not the provisions and procedures set out in clause 15 of the FAC-1 Contract.
Reference in Special Terms	The following entries relate to the Special Terms:
Special Term 6	The <b>Key People Schedule</b> for an <i>Alliance Member</i> is to be agreed in writing between the <i>Client</i> , the <i>Alliance Member</i> and that <i>Alliance Member</i> within thirty (30) Working Days of the <i>FAC-1 Contract Commencement Date</i> (and shall thereafter only be amended by the advance written agreement of the <i>Client</i> and the <i>Alliance Manager</i> ).
Special Term 7	The Programme Consultants as at the FAC-1 Contract Commencement Date are:
	>
Special Term 10	The BIM Requirements are set out at Schedule 16 (and shall be read in conjunction with

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### SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

	the BIM-related provisions of the FAC-1 Brief).						
Special Term 12	The <b>Security Aspects Letter</b> is set out at Appendix 5 of the <i>FAC-1 Brief</i> (or is a letter or document in such other form as the <i>Client</i> or <i>Alliance Manager</i> may issue to an <i>Alliance Member</i> from time to time in writing).						
Special Term 18	The <b>Relevant Policies</b> are the policies of CCS (as specified and/or referred to in the CCS Framework Agreement) and the Client's ethics, anti-bribery and anti-corruption policies as provided by the Client and/or the Alliance Manager to the Alliance Members from time to time.						
Special Term 24	The <b>Period for Retention</b> for each Alliance Member commences on the FAC-1 Contract Commencement Date and ends on the End of Liability Date.						
Special Term 26	The <i>ESP Schedule</i> is the schedule referred to in <i>Special Term 26</i> and which shall be developed and agreed between the <i>Client</i> , the <i>Alliance Manager</i> and each of the <i>Alliance Members</i> during <i>Stage 1</i> in accordance with the <i>FAC-1 Brief</i> . The requirement for the <i>Alliance Members</i> to comply with the provisions of <i>Special Term 26</i> shall commence on the date that the <i>Alliance Manager</i> confirms to the <i>Alliance Members</i> in writing that the <i>ESP Schedule</i> is agreed in the form provided with such confirmation.						
Special Term 29	<ul> <li>The Agreed Credit Rating is the credit rating of an Alliance Member as assessed and determined by the Credit Rating Agency as at the FAC-1 Contract Commencement Date.</li> <li>The Credit Rating Threshold is the threshold identified as such in the CCS Framework Agreement from time to time.</li> </ul>						
Special Term 35							

IN WITNESS WHEREOF THIS FAC-1 AGREEMENT IS EXECUTED AND DELIVERED AS A DEED BY THE PARTIES ON THE DATE FIRST WRITTEN ABOVE

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SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

Authenticated by (printed name):

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SMALL SECURE HOUSEBLOCKS PROGRAMME
FAC-1 CONTRACT

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SCOTLAND (company number 02099	NSTRUCTION LIMITED T/A KIER CONSTRUCTION - NORTH & 1533 and whose registered office is at 2nd Floor, Optimum House, and by an attorney in the presence of a witness pursuant to a power of
Attorney (printed name):	
Witness (signature):	
Witness (printed name):	
Witness Address (printed name):	
Witness Profession:	

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**EXECUTED** as a **DEED** by **WATES CONSTRUCTION LIMITED** (company number 01977948 and whose registered office is at Wates House, Station Approach, Leatherhead, Surrey, KT22 7SW) acting by an attorney in the presence of a witness pursuant to a power of attorney dated as below:

Power of attorney (date):

Attorney (signature):

Attorney (printed name):

Witness (signature):

Witness (printed name):

Witness Address (printed name):

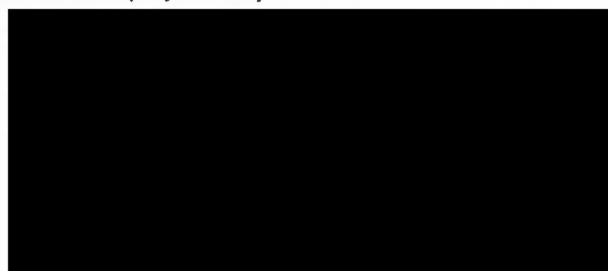


SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**EXECUTED** as a **DEED** by **LAING O'ROURKE DELIVERY LIMITED** (company number 04309402 and whose registered office is at Bridge Place, Anchor Boulevard, Admirals Park, Crossways, Dartford, Kent, DA2 6SN) acting by two directors or a director and a company secretary:

Director (signature):

Director / Company Secretary (signature):



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SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**SCHEDULE 1** 

OBJECTIVES (see clause 2.1)

# SCHEDULE REDACTED

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### **SCHEDULE 2**

TIMETABLE (see clause 6.1)

# SCHEDULE REDACTED

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### **SCHEDULE 3**

RISK REGISTER (see clauses 9.3 and 9.4)

# SCHEDULE REDACTED

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### **SCHEDULE 4**

### **ALLOCATION SCHEDULE**

# SCHEDULE REDACTED



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### **SCHEDULE 5**

### WORKS PACKAGE PROCEDURE (see clause 5.2)

The following Works Package Procedure shall govern the procedure to be completed by the Client and an Alliance Member in respect of a specific Allocated Works Package and which must be completed prior to the issue of any Notice to Proceed to Stage 2 in connection with such Works Package:

# SCHEDULE REDACTED



SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

### **SCHEDULE 6**

### SPECIAL TERMS (see clause 13.5)

The following *Special Terms* as set out in this Schedule 6 supplement the *Contract Terms*. Any reference in the *FAC-1 Contract* to a clause that is prefaced with "*Special Term*" or "*Special Terms*" means a clause (or clauses) as set out in this schedule.

1.	ENTIR	E AGREEMENT		
	1.1.	The Pan	ties acknowledge and agree that:	
		1.1.1.	this FAC-1 Contract supersedes any previous agreement, arrangement and/or understanding between the Client and each Alliance Member in relation to the matters referred to herein and extinguishes all previous agreements, promises, assurances, warranties, representations and understandings as between the Client and each Alliance Member, whether written or oral, relating to the same;	
		1.1.2.	(without prejudice to the generality of Contract Term 1A) the terms and conditions of the FAC-1 Contract apply to the exclusion of any other terms that an Alliance Member may seek to impose or incorporate, or which are implied by any trade, custom, practice or course of dealing in connection with the subject matter (or any individual element) of the same;	
		1.1.3.	this FAC-1 Contract represents the entire understanding and agreement as between the Client and the Alliance Members in relation to the matters referred to herein; and	
		1.1.4.	no Alliance Member has relied upon any prior representation by any other Party in entering into this FAC-1 Contract,	
		1.	I always that nothing in this <i>Special Term</i> 1 shall exclude or limit any of the <i>Client</i> or an <i>Alliance Member</i> for fraud.	
2.	COUN	The Client and the Alliance Members acknowledge and agree that the FA 1 Agreement (and any Joining Agreement, Order and/or Notice to Proceed Stage 2) may be executed:		
	2.1.			
		2.1.1.	in any number of separate counterparts, each of which when so executed and delivered is an original, and all counterparts together constitute one and the same instrument; and	
		2.1.2.	by electronic signature, which shall be considered as an original signature for all intents and purposes and shall have the same force and effect as an original signature (with "electronic signature" in this context including, without limitation, electronically scanned and transmitted versions of an original signature or any other form of signature in electronic form provided by or as between the Client, any Alliance Members and/or any Additional Alliance Member(s)).	
3.	ILLEG	SALITY OR UNENFORCEABILITY		
	3.1.	In the ev	vent that:	
		3.1.1.	any part, provision or condition of this FAC-1 Contract (including	



			any of the Early Works Terms and/or Main Works Terms) is held to be invalid, illegal or unenforceable by any court, such part, provision shall be severed and the remainder of the provisions of this FAC-1 Contract shall continue in full force and effect as if the FAC-1 Contract had been executed without the provision or condition in question; or  a court holds any part, provision or condition to be invalid, illegal and/or unenforceable and the part, provision or condition is so fundamental that its removal would prevent the accomplishment of the purpose of this FAC-1 Contract, then:	
		3.1.2.		
			3.1.2.1.	the <i>Client</i> and the <i>Alliance Members</i> shall immediately commence negotiations in good faith to ensure that the purpose of this <i>FAC-1 Contract</i> is achieved in the absence of the provision or condition in question; and
			3.1.2.2.	if they cannot reach such agreement, the <i>Client</i> may terminate this <i>FAC-1 Contract</i> as between itself and the relevant <i>Alliance Member(s)</i> with whom agreement cannot be reached or with all <i>Alliance Members</i> on written notice to the relevant <i>Alliance Members</i> and such termination shall be treated as a termination under <i>Contract Term</i> 14.2 and/or terminate the obligation of an <i>Alliance Member</i> (as a "Contractor") to Provide the Works under any <i>Early Works Terms</i> and/or <i>Main Works Terms</i> that may be the subject of an <i>Order</i> and/or a <i>Notice to Proceed to Stage</i> 2 between the <i>Client</i> and the <i>Alliance Member</i> at that time (with such termination being notified in accordance with such <i>Early Works Terms</i> and/or <i>Main Works Terms</i> ).
4.	DUE D	ILIGENCE & NO RELIANCE		
	4.1.	Each <i>Alli</i>	I	per represents and warrants that:
		4.1.1.	and docum	has delivered or made available to it all of the information nents that the <i>Alliance Member</i> considers necessary or or the performance or its obligations under the <i>FAC-1</i> is at the <i>FAC-1 Contract Commencement Date</i> ;
		4.1.2.	it has made its own enquiries to satisfy itself as to the accuracy of all such information and documents prior to the FAC-1 Contract Commencement Date;	
		4.1.3.	it has raised all relevant due diligence questions with the <i>Client</i> before the <i>FAC-1 Contract Commencement Date</i> , has undertaken all necessary due diligence and has entered into the <i>FAC-1 Contract</i> in reliance on its own due diligence alone;	
		4.1.4.	it shall not be excused from the performance of any of its duties and/or obligations under the <i>FAC-1 Contract</i> on the grounds of, nor shall it be entitled to recover any additional costs or charges, arising as a result of any:	
			4.1.4.1.	misrepresentation of the requirements of the <i>Alliance Member</i> in the <i>FAC-1 Documents</i> or elsewhere;
			4.1.4.2.	failure by the <i>Alliance Member</i> to satisfy itself as to the accuracy and/or adequacy of all information and

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				documents provided by or on hebalf of the Client to the
				documents provided by or on behalf of the <i>Client</i> to the <i>Alliance Member</i> , and/or
			4.1.4.3.	failure by the <i>Alliance Member</i> to undertake its own due diligence as referred to in this <i>Special Term</i> 4.1.
	4.2.	Notwiths	tanding ang	y other provision of the FAC-1 Contract:
		4.2.1.	Alliance suitability and/or nat in connec	makes no representation nor gives any warranty to any Member as to the accuracy, adequacy, sufficiency, and/or completeness of any information (of any type ture) provided by or on behalf of it to any Alliance Member tion with the FAC-1 Programme (including in respect of s Package and/or a Works Package Site); and
		4.2.2.	to the extent permitted by Applicable Law, the Client has no liability arising out of or in relation to such information provided by or on behalf it to any Alliance Member and/or from any representation or statement, whether negligently or otherwise made in relation to the FAC-1 Programme, any Works Package and/or Works Package Site.	
	4.3.	Stage 2 in the FACand the jand apple	s executed -1 <i>Contract</i> provisions y to (as the	ining Agreement, an Order and/or a Notice to Proceed to by the Client and an Alliance Member in accordance with the warranties and representations in Special Term 4.1 of Special Term 4.2 shall be deemed to be repeated by a context requires) the relevant Alliance Member(s) with recumstances existing at the time on a mutatis mutandis
		basis.		cambiance exicting at the time on a matarial
5.	REPRE	basis.		ARRANTIES
5.	<b>REPRE</b> 5.1.	basis. ESENTAT	IONS & W	
5.		basis. ESENTAT	IONS & Ware Memory it has full	ARRANTIES
5.		basis. ESENTAT Each <i>Alli</i>	IONS & Warden Memorit has full obligations	ARRANTIES  ber represents and warrants that:  capacity and authority to enter into and to perform its sunder the FAC-1 Contract,  1 Contract has been executed by its duly authorised
5.		basis. ESENTAT Each <i>Alli</i> 5.1.1.	it has full obligations the FAC-representations tribunal period its Affiliate	ARRANTIES  ber represents and warrants that:  capacity and authority to enter into and to perform its sunder the FAC-1 Contract,  1 Contract has been executed by its duly authorised ative(s);  e no actions, suits, proceedings and/or regulatory ions before any court or administrative body or arbitration ending or, to its knowledge, threatened against it or any of
5.		basis. ESENTAT Each Alli 5.1.1. 5.1.2.	it has full obligations the FAC-representational period its Affiliate under the its duties a valid and terms subbankrupto circumstatingenerally agenerally ageneral a	ARRANTIES  ber represents and warrants that:  capacity and authority to enter into and to perform its sunder the FAC-1 Contract,  1 Contract has been executed by its duly authorised ative(s);  e no actions, suits, proceedings and/or regulatory ions before any court or administrative body or arbitration ending or, to its knowledge, threatened against it or any of es that might affect its ability to perform its obligations
5.		basis.  ESENTAT  Each Alli  5.1.1.  5.1.2.  5.1.3.	it has full obligations the FAC-representational perioder the its duties a valid and terms subbankrupto circumstatingenerally a generally a proceed	ber represents and warrants that:  capacity and authority to enter into and to perform its sunder the FAC-1 Contract;  1 Contract has been executed by its duly authorised ative(s);  e no actions, suits, proceedings and/or regulatory ions before any court or administrative body or arbitration ending or, to its knowledge, threatened against it or any of est that might affect its ability to perform its obligations FAC-1 Contract; and  and obligations under the FAC-1 Contract constitute legal, binding obligations, enforceable in accordance with its eigent to (as the case may be for each Alliance Member) by, reorganisation, insolvency, moratorium or similar inces under Applicable Law affecting creditors' rights and subject, as to enforceability, to equitable principles of opplication (regardless of whether enforcement is sought in

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5.2.2.	it has obtained and will maintain all licences, authorisations, permits, necessary consents (including, where its procedures so require, the consent of its parent company) and regulatory approvals to enter into and perform its obligations under the FAC-1 Contract;
5.2.3.	its execution of and delivery and performance of its obligations under the FAC-1 Contract (including pursuant to any Order and/or Notice to Proceed to Stage 2 issued pursuant to and in accordance with the FAC-1 Contract) does not and will not constitute a breach of any Applicable Law or obligation applicable to it and does not and will not cause or result in a breach of any agreement by which it is bound;
5.2.4.	as at the FAC-1 Contract Commencement Date, all written statements and representations in any written submissions made and documents provided by the Alliance Member as part of the procurement process pursuant to which it has been appointed under the FAC-1 Contract (including the FAC-1 Prices and FAC-1 Proposals) submitted pursuant to such process remain true and accurate, save to the extent that such statements and representations have been superseded or varied by the FAC-1 Contract;
5.2.5.	it shall take all steps, using the <i>Standard of Care</i> , to prevent the introduction, creation or propagation of any disruptive elements (including any virus, worms and/or trojans, spyware or other malware) into information technology systems, data, software and/or the <i>Client Confidential Information</i> (held in electronic form) owned by and/or under the control of, or used by, the <i>Client</i> ,
5.2.6.	it is not subject to any contractual obligation in respect of which its compliance is likely to have a material adverse effect on its ability to perform its obligations under the FAC-1 Contract;
5.2.7.	it is not subject to an <i>Insolvency Event</i> and no proceedings or other steps have been taken and not discharged (nor, to the best of its knowledge, have been or are threatened) for the winding up of the <i>Alliance Member</i> or for its dissolution or for the appointment of a receiver, administrative receiver, liquidator, manager, administrator or similar officer in relation to any of the <i>Alliance Member's</i> assets or revenue; and
5.2.8.	as from the FAC-1 Contract Commencement Date and expiring on the date falling twelve (12) months after the date on which the final Notice to Proceed to Stage 2 in respect of a Works Package is issued to an Alliance Member under the FAC-1 Contract (or, if occurring earlier, the date on which the Alliance Manager notifies the Alliance Members that the Client will not issue any or any further such Notices to Proceed to Stage 2 in connection with the FAC-1 Contract) no Alliance Member shall not employ or offer employment to any staff of the Client who has been associated with implementing the FAC-1 Contract, the Works Package Procedure and/or the management of any Works Package without the prior written consent of the Client, which shall not be unreasonably withheld.

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5.3. Each of the representations and warranties set out in Special Term 5.1 and Special Term 5.2 shall be construed as a separate representation and warranty and shall not be limited or restricted by reference to, or inference from, the terms of any other representation, warranty or any undertaking in the FAC-1 Contract. If at any time an Alliance Member becomes aware that a representation or 5.4. warranty given by it under Special Term 5.1 and/or Special Term 5.2 has been breached, is untrue or is misleading, it shall immediately notify the Alliance Manager of the relevant occurrence in sufficient detail to enable the Alliance Manager and the Client to make an accurate assessment of the situation. 5.5. The fact that any provision within any part of the FAC-1 Contract is expressed as a warranty shall not preclude any right of termination the Client may have in respect of the breach of that provision by an Alliance Member which constitutes a breach of the relevant part of the FAC-1 Contract. Each time that a Joining Agreement, an Order and/or a Notice to Proceed to 5.6. Stage 2 is executed by the Client and an Alliance Member in accordance with the FAC-1 Contract, the warranties and representations in Special Term 5.1 and Special Term 5.2 shall be deemed to be repeated by the relevant Alliance Member(s) and the relevant Additional Alliance Member(s) with reference to the circumstances existing at the time on a mutatis mutandis basis. **KEY PEOPLE** 6. Each Alliance Member acknowledges and agrees that it has within its staff 6.1. certain experienced and/or recognised technical experts identified in this FAC-1 Contract in the Key People Schedule and each being a Key Person (and together being the Key People). 6.2. Pursuant to Special Term 6.1 (and without prejudice to the Early Works Terms and the Main Works Terms (as the context requires)), each Alliance Member shall: 6.2.1. use all reasonable endeavours to retain the Key People throughout the performance of the Works and/or Services provided or to be provided by it in connection with the FAC-1 Programme (including the construction of any Works Package following the execution of a Notice to Proceed to Stage 2 in respect of the same by the Client and that Alliance Member); 6.2.2. promptly inform the Alliance Manager in the event that any of the Key People leave, or give notice of an intention to leave the employment of that Alliance Member and obtain a substitute in accordance with the requirements of Special Term 6.2.5; 6.2.3. not reassign or allow the reassignment of the Key People to other projects during the performance of the Alliance Member's Works and/or Services in connection with the FAC-1 Programme without the *Client's* written approval (such consent not to be unreasonably withheld or delayed); 6.2.4. take all reasonable steps to ensure that the Key People perform their roles and responsibilities in accordance with any organisational structure agreed in writing between that Alliance

Member and the Client from time to time; and



		6.2.5	if all using as the	sa nantama anga bugan Allian sa Mambayatita Mada andon	
		6.2.5.	_	ne performance by an <i>Alliance Member</i> of its <i>Works and/or</i> n connection with the <i>FAC-1 Programme</i> :	
			6.2.5.1.	that <i>Alliance Member</i> wishes to reassign or to replace an individual designated as a <i>Key Person</i> ; or	
			6.2.5.2.	an individual designated as a <i>Key Person</i> gives notice of their intention to terminate its contract of employment or is otherwise no longer able to perform its duties due to ill health, death, personal injury or personal hardship suffered by the <i>Key Person</i> or maternity leave, paternity leave, compassionate leave or promotion as part of natural career progression or where the <i>Key Person</i> remains engaged by the <i>Alliance Member</i> but has requested to work in another country,	
			of a substantial similar to Manager unreasonate replacemental allow the Control of the Control o	ce Member will provide, by way of a written notice, details stitute with experience and qualifications equivalent or the relevant Key Person to be replaced to the Alliance for the Client's approval (such approval not to be ably withheld) and sufficiently in advance of the ent date insofar as this is possible in the circumstances to Client to properly consider the suitability of such substitute asonably), following which:	
			(a)	the Alliance Manager shall notify the Alliance Member in writing of any objections to a proposed Key Person within two (2) weeks of its receipt of the Alliance Member notice, failing which the Alliance Manager's acceptance will be deemed to have been given; and	
			(b)	if the Alliance Manager notifies the Alliance Member that the Client objects to such proposed Key Person, the Alliance Member shall not engage that person and shall put forward to the Alliance Manager an alternative person for consideration by the Alliance Manager and the above-mentioned process shall be repeated until such time as the Alliance Manager confirms its acceptance of a (or is deemed to have accepted the) proposed Key Person.	
	6.3.	Person	Without prejudice to the generality of <i>Special Term</i> 6.2, when replacing a <i>Ke Person</i> an <i>Alliance Member</i> shall (insofar as is possible in th circumstances):		
		6.3.1.	knowledge	nable endeavours to ensure that a process of skill and e transfer occurs prior to the replacement of a Key Person continuity in providing the Works and/or Services; and	
		6.3.2.	handover	at, where possible in the circumstances, there is a period of not less than four (4) weeks from the outgoing on to the incoming one.	
	6.4.	Each Alliance Member, at all relevant times, shall engage an adequate number of competent, suitably qualified and experienced personnel to provide the Works and/or Services.			
7.	co-o	PERATIO	PERATION & COLLABORATION		
	7.1.	Each Al	liance Mem	ber shall:	

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7.1.1. promote collaborative behaviours throughout its organisation, its Subcontractors and wider Supply Chain in connection with the FAC-1 Programme and act collaboratively with the Programme Consultants at all times; 7.1.2. cooperate with and interface in the performance of the Works and/or Services with the Programme Consultants in connection with the FAC-1 Programme; 7.1.3. establish an integrated collaborative team environment in order to encourage proactive, open and efficient sharing of knowledge and information between the *Alliance Members* and the *Programme* Consultants; and 7.1.4. proactively consult with the Programme Consultants (including pursuant to and in accordance with any Order and/or Notice to Proceed to Stage 2) when seeking to make decisions in relation to the FAC-1 Programme, in each case so far as reasonably practicable on a Programme Focused basis. 7.2. Each Alliance Member shall work with the Programme Consultants so far as reasonably practicable, using the Standard of Care, to: (to the extent reasonably within that *Alliance Member's* control) 7.2.1. assist the Programme Consultants in performing their obligations under their respective Programme Consultant Appointments in respect of which they are reliant upon information provided and/or developed by and/or input provided by the Alliance Member in connection with the FAC-1 Programme; 7.2.2. share best practice in connection with the FAC-1 Programme; and collaboratively seek to manage and mitigate any potential risks 7.2.3. identified in relation to the FAC-1 Programme during Stage 1 and Stage 2 in respect of any Works Package on a collective basis and individually in respect of their respective allocated Works Packages, on a *Programme Focused* basis. 7.3. Each Alliance Member acknowledges and agrees, for the purposes referred to in this Special Term 7, to promptly supply or allow each other Alliance Member and each Programme Consultant access to all information and documentation in its possession or control that is reasonably requested by such Alliance Member or Programme Consultant in connection with the FAC-1 Programme, insofar as the same is: not subject to disclosure and/or confidentiality restrictions by 7.3.1. Applicable Law and/or the FAC-1 Contract; 7.3.2. reasonably required by the relevant *Alliance Member* to properly perform its obligations under the FAC-1 Contract, and/or 7.3.3. reasonably required by a Programme Consultant to properly perform its obligations under its *Programme* Consultant Appointment. Each Alliance Member shall, in complying with its obligations under this 7.4. Special Term 7, consult with each Alliance Member, each Programme Consultant, the Alliance Manager and the Client and attend such meetings



		Т			
		as and when reasonably requested by the <i>Client</i> or the <i>Alliance Manager</i> to discuss any matters arising under the <i>FAC-1 Contract</i> and/or in relation to the <i>FAC-1 Programme</i> .			
	7.5.	Nothing in this Special Term 7 shall require the Alliance Member to perform tasks and/or duties which are the responsibility of another Alliance Member under the FAC-1 Contract or any Programme Consultant under its Programme Consultant Appointment.			
8.	PROHI	BITED MATERIALS			
	8.1.	Using the Standard of Care, no Alliance Member shall specify for use, approve for use and/or accept any materials, goods, equipment, products and/or plant (of any type and/or nature) which, at the time that the relevant Works and/or Services are being provided and/or undertaken by that Alliance Member, are generally accepted or reasonably suspected of:			
		8.1.1.	being <i>Prof</i>	hibited Materials in themselves;	
		8.1.2.	and/or in	Prohibited Materials when used in a particular situation combination with other materials, goods, equipment, nd/or plant (of any type and/or nature);	
		8.1.3.	becoming	Prohibited Materials with the passage of time;	
		8.1.4.	is higher the	Prohibited Materials without a level of maintenance which nan that which would normally be expected of a structure a under construction or to be constructed by an Alliance is part of the FAC-1 Programme; and/or	
		8.1.5.	_	aged by or causing damage to the structure in which they orated or to which they are affixed.	
	8.2.	Member FAC-1 Pany tier) equipment analogou	becomes and rogramme (and the second recorder)  In has specificated by the second recorder. The second recorder	ce of its duties under the FAC-1 Contract, an Alliance ware that it or any person engaged in connection with the (including its Subcontractors and other subcontractors at cified, approved and/or used any materials, goods, and/or plant of the type and/or nature specified in (or are referred to in) Special Term 8.1, that Alliance Member of the Alliance Manager of the same in writing.	
9.	CDM R	REGULATIONS			
	9.1.	Each <i>Alli</i>	ance Memb	ber warrants to the <i>Client</i> that it:	
		9.1.1.	Regulation in the perfe	lied and will comply with the requirements of the CDM is in the performance of any Works and/or Services and ormance of its duties and obligations generally under the intract and in connection with each Works Package;	
		9.1.2.		ent to discharge under the <i>CDM Regulations</i> and shall the role(s) of:	
			9.1.2.1.	if identified as such in an Order in respect of any Pre- Construction Services and/or Pre-Construction Activities for a specific Works Package as being the Alliance Member, Principal Designer and/or Principal Contractor, and/or	
			9.1.2.2.	as from the "Effective Date" of the <i>Main Works Terms</i> in connection with a <i>Works Package</i> (as such term is defined in the <i>Main Works Terms</i> ) following the issue	

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and execution of a Notice to Proceed to Stage 2 in respect of that Works Package and if identified as being the Alliance Member, Principal Designer and/or Principal Contractor, in respect of the relevant Works Package; (subject to Special Term 9.1.2 and to the extent that the Works 9.1.3. and/or Services involve the preparation, review and/or validation of any designs in connection with the FAC-1 Programme) it shall be a "designer" in respect of the same as such term is defined in the CDM Regulations; 9.1.4. will notify each of its Subcontractors and wider members of its Supply Chain of their obligations under the CDM Regulations and ensure that each is fully competent and are adequately resourced to meet those obligations; and will allocate adequate resources in relation to health and safety in 9.1.5. relation to the FAC-1 Programme and in connection with each Works Package to enable it to perform its duties and obligations under the CDM Regulations. **BIM REQUIREMENTS** 10. The Alliance Member shall comply with the BIM Requirements at all times in 10.1. the provision of all Works and/or Services in connection with the FAC-1 Programme, including when (as the context requires) undertaking any Pre-Construction Services and performing its duties and obligations under the Early Works Terms and/or Main Works Terms pursuant to the execution of an Order for Pre-Construction Activities and a Notice to Proceed to Stage 2 in respect of a specific Works Package respectively. 11. CONVICTIONS Unless agreed in writing in advance by the Alliance Manager, each Alliance 11.1. Member shall procure that, in respect of all potential persons performing any of Works and/or Services, whether an employee of each Alliance Member or any Subcontractor (each a "Named Employee"), before a Named Employee begins to attend any Works Package Site at which the Works and/or Services are being or are to be carried out in connection with the FAC-1 Contract: that Named Employee is questioned as to whether they have any 11.1.1. Convictions; 11.1.2. a Disclosure and Barring Service check is undertaken in respect of that Named Employee; and 11.1.3. save to the extent prohibited by Applicable Law, a copy of the results of such check are provided to the *Client*. Each Alliance Member shall procure that no person who discloses any 11.2. Convictions, or who is found to have any Convictions following the results of a Disclosure and Barring Service check, is engaged by it in connection with the FAC-1 Contract without the Client's prior written consent (such consent not to be unreasonably withheld or delayed). 11.3. Save to the extent prohibited by Applicable Law, each Alliance Member shall procure that the Alliance Manager is informed if any member of its staff (or any employee of a Subcontractor), whether a Named Employee or otherwise, involved in the provision of the Works and/or Services who, subsequent to

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their commencement of employment as a member of staff, receives a Conviction or whose previous Convictions become known to that Alliance Member. Without prejudice to Special Term 11.1 to Special Term 11.3 (inclusive), 11.4. where the Client or the Alliance Manager notifies an Alliance Member that the Alliance Member will be working in a regulated activity with vulnerable groups for the purposes of the Safeguarding Vulnerable Groups Act 2006, or is working in an environment deemed as sensitive and/or vulnerable for any reason by the Client, that Alliance Member shall comply at its own cost with the Client's requirements to the extent relevant to the delivery of the Works and/or Services to the Client, which may include (without limitation): 11.4.1. asking any person acting for or on behalf of that Alliance Member in connection with the FAC-1 Contract for the details of any Convictions, obtaining an enhanced Disclosure and Barring Service disclosure (including a barred list) check; and/or complying with the HM Government Baseline Personnel Security 11.4.2. Standard or similar standard and the results of such disclosures shall be shared with the Client and the Alliance Manager in writing. SECURITY ASPECTS LETTER 12. 12.1. Each Alliance Member warrants and undertakes to the Client to comply with the requirements of the Security Aspects Letter at all times in the performance of its duties and obligations under the FAC-1 Contract and in connection with the FAC-1 Programme (including pursuant to any Order and/or Notice to Proceed to Stage 2 in respect of any Works Package). 13. DISCLOSURE & CONFIDENTIALITY 13.1. Each Alliance Member shall treat all Confidential Information as confidential and safeguard it accordingly and not disclose Confidential Information to any other person without the owner's prior written consent, provided always that the FAC-1 Prices and FAC-1 Proposals of an Alliance Member (as well as any financial information and proposals prepared by an Alliance Member pursuant to any Works Package Procedure and/or included in an Order or a Notice to Proceed to Stage 2 in respect of a specific Works Package) are confidential as between that Alliance Member, the Client and the Alliance Manager. Subject always to Special Term 13.7, an Alliance Member's obligations in 13.2. relation to Confidential Information pursuant to Special Term 13.1 do not apply where: 13.2.1. the disclosure is a requirement of *Applicable Law* placed upon the Party making the disclosure, including any requirements for disclosure under the FOIA or the Environmental Information Regulations; the information was in the possession of the Party making the 13.2.2. disclosure without obligation of confidentiality prior to its disclosure by the information owner; the information was obtained from a third party without any 13.2.3. obligation of confidentiality being owed to such third party; 13.2.4. the information was already in the public domain at the time of

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		disclosure otherwise than by a breach of the FAC-1 Contract; and/or
	13.2.5.	it is independently developed without access to the Client Confidential Information.
13.3.	relevant its perso who are Services	to the carrying out and completion of the Works and/or Services to ennel (of any type) and Subcontractors (including its Supply Chain) directly involved in carrying out and completing the Works and/or and shall ensure that such persons are aware of and comply with ligations in respect of Confidential Information under the FAC-1
13.4.	type) and	iance Member shall not, and shall procure that its personnel (of any discontractors do not use any of the Client Confidential Information otherwise than for the purposes of the FAC-1 Contract.
13.5.	personne Supply undertak	ritten request of the <i>Client</i> , an <i>Alliance Member</i> shall procure that its el (of any type) and/or <i>Subcontractors</i> (including members of its <i>Chain</i> ) identified in the <i>Client</i> 's notice sign a confidentiality sing that reflect (as a minimum) the requirements of this <i>Special Term</i> to commencing any work in connection with the <i>FAC-1 Contract</i> .
13.6.	reasonal obligatio	in the FAC-1 Contract shall prevent the Client from (acting bly and/or in compliance with or in furtherance of its own duties and ns under any Applicable Law or that apply to the Client in its capacity with body) disclosing any Alliance Member Confidential Information:
	13.6.1.	to any Crown body or any other public body on the understanding that they are entitled to further disclose the <i>Alliance Member Confidential Information</i> to other Crown bodies or other public bodies on the basis that the information is to be treated as confidential and is not to be disclosed to a third party which is not part of any Crown body or any public body;
	13.6.2.	to any party engaged by the <i>Client</i> in connection with the <i>FAC-1 Programme</i> or any person conducting a review of the <i>FAC-1 Programme</i> (subject to any commercial redactions as may be reasonably appropriate so as not to disclose any commercially sensitive financial information of the relevant <i>Alliance Member</i> to a competitor organisation);
	13.6.3.	for the purpose of the examination and certification of the Client's accounts; and/or
	13.6.4.	for any examination pursuant to the National Audit Act 1983.
13.7.	Notwiths	tanding Special Term 13.1 to Special Term 13.6 (inclusive):
	13.7.1.	each Alliance Member shall ensure that it, its personnel (of any type) and its Subcontractors (including its Supply Chain) shall not use and/or disclose to any third party any Foreground Materials and/or Client Materials relating to any Security Measures in respect of the FAC-1 Programme and/or any individual Works Package without the prior written approval of the Client, and
	13.7.2.	this general prohibition shall not apply to such disclosure by an <i>Alliance Member</i> (on a limited basis) in connection with any tender and/or procurement processes undertaken by the <i>Alliance Member</i>

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			in connection with the FAC-1 Programme and/or any individual Works Package that is approved in writing by the Client in advance subject to the Alliance Member first complying with any reasonable requirements of the Client concerning the confidentiality, data security and/or the non-disclosure of the Foreground Materials and/or Client Materials relating to the Security Measures that may be relevant to such process.	
	13.8.	Notwiths Member:	tanding any other term of the FAC-1 Contract, each Alliance	
		13.8.1.	consents that the <i>Client</i> can publish the <i>FAC-1 Contract</i> in its entirety and any details in respect of the <i>FAC-1 Contract</i> , including from time to time agreed changes to the <i>FAC-1 Contract</i> , to the general public; and	
		13.8.2.	shall assist and co-operate with the <i>Client</i> in order to make information available to the general public as required by the <i>Client</i> from time to time,	
		in whole personal which is party col other col	that, prior to such publication, the <i>Client</i> may, at its sole discretion, or in part, redact information that concerns national security, data, information protected by intellectual property law, information not in the public interest to disclose (under a <i>FOIA</i> analysis), third infidential information, information technology security, pricing (and mmercially sensitive) information of an <i>Alliance Member</i> and/or the on of a <i>Corrupt Act</i> .	
14.	ANNO	INCEMENTS & PUBLICATION		
	14.1.	Subject t	to Special Term 15, no Alliance Member shall:	
			• · · · · · · · · · · · · · · · · · · ·	
		14.1.1.	make any press announcements regarding and/or publicise the FAC-1 Contract and/or its engagement under the FAC-1 Contract in any way (including the allocation of such Works Package to the Alliance Member); and/or	
		14.1.1. 14.1.2.	make any press announcements regarding and/or publicise the FAC-1 Contract and/or its engagement under the FAC-1 Contract in any way (including the allocation of such Works Package to the	
		14.1.2. without	make any press announcements regarding and/or publicise the FAC-1 Contract and/or its engagement under the FAC-1 Contract in any way (including the allocation of such Works Package to the Alliance Member); and/or use the Client's name or branding in any promotion or marketing or	
	14.2.	14.1.2. without to withheld Each All Members constitute Members	make any press announcements regarding and/or publicise the FAC-1 Contract and/or its engagement under the FAC-1 Contract in any way (including the allocation of such Works Package to the Alliance Member); and/or use the Client's name or branding in any promotion or marketing or announcement in connection with the same, the prior written approval of the Client, not to be unreasonably or delayed.  Viance Member acknowledges and agrees with the other Alliance is that nothing in the FAC-1 Contract either expressly or by implication	
15.	14.2.	14.1.2. without to withheld Each All Members constitute Members way as to	make any press announcements regarding and/or publicise the FAC-1 Contract and/or its engagement under the FAC-1 Contract in any way (including the allocation of such Works Package to the Alliance Member); and/or use the Client's name or branding in any promotion or marketing or announcement in connection with the same, the prior written approval of the Client, not to be unreasonably or delayed.  Itance Member acknowledges and agrees with the other Alliance is that nothing in the FAC-1 Contract either expressly or by implication es an approval and/or endorsement of any work of the other Alliance is and each Alliance Member agrees not to conduct itself in such a	
15.		14.1.2.  without the withheld Each All Members way as to ETING  Each All publishing engagent in any with Members way as to Members way as to Members way as to Members way as to Members way with any with Members way as to Members way way as to Members way as to Mem	make any press announcements regarding and/or publicise the FAC-1 Contract and/or its engagement under the FAC-1 Contract in any way (including the allocation of such Works Package to the Alliance Member); and/or  use the Client's name or branding in any promotion or marketing or announcement in connection with the same, the prior written approval of the Client, not to be unreasonably or delayed.  Viance Member acknowledges and agrees with the other Alliance is that nothing in the FAC-1 Contract either expressly or by implication are an approval and/or endorsement of any work of the other Alliance is and each Alliance Member agrees not to conduct itself in such a prior in the imply or express any such approval and/or endorsement.  Itiance Member shall obtain the Client's written approval prior to ag any content in relation to the FAC-1 Contract and/or its ment under the FAC-1 Contract in connection with a Works Package and (including the allocation of such Works Package to the Alliance) using any media, including on any electronic medium, and each Member will ensure that such content is regularly maintained and	



		reasonal Member such cor	notice to rectify the failure and if the failure is not rectified to the cle satisfaction of the Client within thirty (30) days of the Alliance is receipt of such notice, the Client shall have the right to remove the itself or require that the Alliance Member immediately arranges wal of such content (as the context requires).				
16.	FREED	DOM OF INFORMATION					
	16.1.	requirem each <i>Alli</i>	liance Member acknowledges that the Client is subject to the ents of the FOIA and the Environmental Information Regulations and liance Member shall assist and co-operate with the Client and the Manager (at its own expense) to enable it to comply with these lents.				
	16.2.	Each <i>All</i> provide:	iance Member shall and shall ensure that its Subcontractors shall				
		16.2.1.	the Client with a copy of all information in its possession, power or control in the form that it requires within five (5) days (or such other period as the Client, as the context permits, may notify to the Alliance Members) of receiving a written request from the Alliance Manager and/or the Client for such information; and				
		16.2.2.	all necessary assistance as is reasonably requested by the Alliance Manager and/or the Client to enable them to respond to a Request for Information within the time for compliance set out in section 10 of the FOIA or Regulation 5 of the Environmental Information Regulations,				
		Client fro costs and that the s	h Alliance Member shall be liable for and hereby indemnifies the om and against all claims, proceedings, damages, liabilities, losses, dexpenses suffered or incurred by the Client where and to the extent same arises in connection with any breach of this Special Term 16.2 Alliance Member and/or its personnel (of any type) and/or its ractors.				
	16.3.	<i>Client</i> un 43(1) of t	ance Member considers that all or any information provided to the der Special Term 16.2 is a "trade secret" in accordance with section the FOIA, or that a duty of confidentiality applies under section 41(1) DIA, or is exempt by the operation of any other provision of FOIA:				
		16.3.1.	it shall ensure that the relevant information and the claimed exemption is clearly identified as such to the <i>Alliance Manager</i> and <i>Client</i> ; and				
		16.3.2.	notwithstanding any such identification, the <i>Client</i> (as the context permits) shall be solely responsible for determining at its absolute discretion whether such information and/or any other information is exempt from disclosure in accordance with the provisions of the current version of "Freedom of Information Code of Practice" (as published by the Cabinet Office, or any successor body, at the relevant time), the <i>FOIA</i> and/or the <i>Environmental Information Regulations</i> or is to be disclosed in response to a <i>Request for Information</i> .				
	16.4.	personne Request authorise	ent shall an Alliance Member (or shall any Alliance Member allow its el (of any type) and/or its Subcontractors to) respond directly to any for Information from members of the public unless expressly ed to do so in advance by the Client or the Alliance Manager and/or third party.				

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	16.5.	accordar required <i>Member</i> (	liance Member acknowledges that the Client may, acting in the FOIA or the Environmental Information Regulations, be to disclose information without consulting with the affected Alliance (s) and/or following consultation with such Alliance Member(s) and onsidered their views.			
17.	CONFI	LICTS OF INTEREST				
	17.1.	Each <i>Alli</i>	ance Member shall:			
		17.1.1.	take all appropriate steps to ensure that neither it nor any person engaged by or on behalf of it (including its <i>Subcontractors</i> and/or any <i>Affiliates</i> ) is or is placed in a position where, in the reasonable opinion of the <i>Client</i> , there is or may be an actual conflict, or a potential conflict, between the pecuniary, professional and/or personal interests of that <i>Alliance Member</i> (including its <i>Subcontractors</i> and/or any <i>Affiliates</i> ) and the duties owed by that <i>Alliance Member</i> to the <i>Client</i> in connection with the <i>FAC-1 Programme</i> and/or under the <i>FAC-1 Contract</i> , and			
		17.1.2.	notify the <i>Client</i> immediately, giving full particulars of any such conflict of interest, which the <i>Alliance Member</i> becomes aware of or becomes aware may arise.			
	17.2.	terminate	ance Member is in breach of this Special Term 17, the Client may the Alliance Member's engagement under all or part of the FAC-1 in accordance with Contract Term 14.4.			
18.	COMP	ΕΠΤΙΟΝΙ	ETITION LAW, CORRUPT GIFTS & PAYMENT			
	18.1.	nor (as f ascertain with the /	iance Member represents and warrants to the Client that neither it far as the Alliance Member is reasonably aware or can reasonably any person(s) associated with the Alliance Member in connection FAC-1 Contract and/or the FAC-1 Programme (including its Affiliates) any time prior to the FAC-1 Contract Commencement Date:			
		18.1.1.	committed a Corrupt Act and/or have been formally notified that it is subject to an investigation or prosecution which relates to an alleged Corrupt Act;			
		18.1.2.	been listed by any governmental department or agency as being debarred, suspended, proposed for suspension or debarment and/or otherwise ineligible for participation in governmental procurement programmes or contracts in connection with a <i>Corrupt Act</i> ; and/or			
		18.1.3.	(without prejudice to the generality of <i>Special Term</i> 17), received a request or demand for any undue financial or other advantage of any kind in connection with the performance of the <i>FAC-1 Contract</i> and/or otherwise suspects that any person directly or indirectly connected with the <i>FAC-1 Contract</i> has committed or attempted to commit a <i>Corrupt Act</i> .			
	18.2.	Each <i>Alli</i> basis tha	ance Member represents and warrants to the Client on a continuing it it shall:			
		18.2.1.	not commit any Corrupt Act;			
		18.2.2.	comply with the Relevant Policies;			

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18.2.4. have and shall continue to maintain its own policies and procedures, including adequate procedures under the Bribery Act 2010, to ensure compliance with the Relevant Requirements and the Relevant Policies and to prevent the occurrence of Corrupt Acts and shall enforce them as appropriate; 18.2.5. provide reasonable evidence to demonstrate the *Alliance Member's* compliance with the provisions of this Special Term 18 as the Alliance Manager may reasonably request from time to time; 18.2.6. ensure that any person associated with the Alliance Member who is performing services in connection with the FAC-1 Contract (including any Subcontractor) does so only on the basis of a written contract which imposes on and secures from such person terms equivalent to those imposed on the Alliance Member in this Special Term 18 (the "Relevant Terms") and the Alliance Member shall be responsible for the observance and performance by such persons of the Relevant Terms, and shall be directly liable to the Client for any breach by such persons of any of the Relevant Terms; and not act in a manner, in relation to the performance of the FAC-1 18.2.7. Contract, which the Client reasonably considers to be inconsistent with the Competition Act 1998 and/or the Treaty on the Functioning of the European Union (2012/C 326/01) and/or any other Applicable Law that is equivalent in intent. 18.3. An Alliance Member shall immediately notify the Client and the Alliance Manager in writing if it becomes aware of any breach of this Special Term 18 or if it has reason to believe that it has or any person associated with the Alliance Member has: been subject to an investigation or prosecution which relates to an 18.3.1. alleged Corrupt Act; 18.3.2. been listed by any governmental department or agency as being debarred, suspended, proposed for suspension or debarment, or otherwise ineligible for participation in governmental procurement programmes or contracts in connection with a Corrupt Act; and/or received a request or demand for any undue financial or other 18.3.3. advantage of any kind in connection with the performance of the FAC-1 Contract or otherwise suspects that any person directly or indirectly connected with the FAC-1 Contract has committed or attempted to commit a Corrupt Act. For the purpose of this Special Term 18, the meaning of "adequate 18.4. procedures" and whether a person is "associated" with another person shall be determined in accordance with (as the context permits): section 7(2) of the Bribery Act 2010 and any guidance issued under 18.4.1. the Bribery Act 2010 (as applicable); and/or the definition of "associated person" in section 44(4) of the Criminal 18.4.2. Finances Act 2017, with, for the purposes of this Special Term 18, a person associated with the Alliance Member including any Subcontractor. 18.5. Any dispute, difference and/or question arising in respect of the interpretation of this Special Term 18 shall be decided by the Client (acting reasonably),

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whose decision, in the absence of manifest error, shall be final and conclusive. If an Alliance Member is in breach of this Special Term 18, the Client may 18.6. terminate the Alliance Member's engagement under the whole or part of the FAC-1 Contract in accordance with Contract Term 14.4. **EQUALITY LEGISLATION** 19. Notwithstanding any other provision of the FAC-1 Contract, each Alliance 19.1. Member shall (and shall ensure that its personnel and its Subcontractors): perform its duties and obligations under the FAC-1 Contract in 19.1.1. accordance with: any Applicable Law in relation to non-discrimination and 19.1.1.1. equality (whether in relation to race, sex, gender (including gender reassignment), religion or belief, disability, sexual orientation, pregnancy, maternity, age or otherwise); and any other requirements and instructions which the Client 19.1.1.2. or the Alliance Manager (on the instruction of the Client) reasonably notifies to the Alliance Members from time to time in writing in connection with any non-discrimination and/or equality-related obligations imposed on the Client at any time under any Applicable Law; and 19.1.2. take all necessary steps, and inform the *Client* of the steps taken, to prevent unlawful discrimination designated as such by any court or tribunal, or the Equality and Human Rights Commission (or any successor or equivalent replacement organisation to the same). 20. MODERN SLAVERY 20.1. Each Alliance Member undertakes that it shall comply with all requirements of the Modern Slavery Act 2015 and it warrants and represents to the Client that neither it nor any of its employees, agents and/or Subcontractors (as far as that Alliance Member is aware, having made reasonable enquiries of each Subcontractor prior to the date of their subcontracts and having also included wording in their subcontracts that is no less onerous than this Special Term 20) has: 20.1.1. committed an MSA Offence; 20.1.2. been notified that it is subject to an investigation relating to an alleged MSA Offence or prosecution under the Modern Slavery Act 2015; and/or 20.1.3. is aware of any circumstances within its Supply Chain (including its Subcontractors) that could give rise to an investigation relating to an alleged MSA Offence or prosecution under the Modern Slavery Act 2015. An Alliance Member shall notify the Client and Alliance Manager immediately 20.2. in writing if it becomes aware of the fact or has reason to believe that it has, or any of its employees, agents and/or Subcontractors have, breached or potentially breached any of each Alliance Member's obligations under Special Term 20.1 and any such notice shall set out full details of the circumstances concerning the breach or potential breach of that Alliance Member's obligations under Special Term 20.1.

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	20.3.	terminate	If an Alliance Member is in breach of this Special Term 20, the Client may terminate the Alliance Member's engagement under all or part of the FAC-1 Contract in accordance with Contract Term 14.4.				
21.	WHIST	LE BLOWING					
	21.1.	the FAC- engaged Interest Member staff hav	Each Alliance Member shall ensure that staff engaged by it in connection with the FAC-1 Contract (and use reasonable endeavours to ensure that any staff engaged by any Subcontractor) are aware of the requirements of the Public Interest Disclosure Act 1998, any whistle blowing policy that such Alliance Member may have and the arrangements to be followed in the event of any staff having any concerns and wishing to make a disclosure pursuant to the Public Interest Disclosure Act 1998.				
22.	TAX C	OMPLIANCE					
	22.1.	Contract Complia Managei	y time during an Alliance Member's engagement under the FAC-1 f, it becomes aware that it is subject to an Occasion of Tax Nonnace, that Alliance Member shall notify the Client and the Alliance or in writing of the same within seven (7) days of its occurrence and the promptly give the Client and the Alliance Manager.				
		22.1.1.	details of the steps it is taking to address the <i>Occasion of Tax Non-Compliance</i> and to prevent the same from recurring, together with any mitigating factors it considers relevant; and				
		22.1.2.	such other information in relation to the Occasion of Tax Non-Compliance as the Client and/or the Alliance Manager may reasonably require.				
	22.2.	at any ti and/or to	ance Member or any persons engaged by it (directly or indirectly and er) from time to time are liable to be taxed in the United Kingdom pay NICs in respect of consideration received under or pursuant to -1 Contract, that Alliance Member shall:				
		22.2.1.	at all times comply with <i>ITEPA</i> and all other <i>Applicable Law</i> relating to income tax, <i>SSCBA</i> and all other statutes and regulations relating to <i>NICs</i> , in respect of that consideration; and				
		22.2.2.	indemnify the <i>Client</i> against any income tax, <i>NICs</i> (including secondary contributions), apprenticeship levies, social security contributions and any other liability, deduction, contribution, assessment or claim (including any interest, fines, penalties and/or expenses thereon) arising from or made in connection with the provision of the <i>Works and/or Services</i> by that <i>Alliance Member</i> or any persons engaged by it, including where the <i>Client</i> is required to pay or account for to the relevant taxing authority any sums (of whatever type and nature) due to the engagement by the <i>Alliance Member</i> of any individual(s) engaged through a limited company or partnership which meets the conditions specified in sections 610 or 61P of <i>ITEPA</i> (provided that such recovery is not prohibited by <i>Applicable Law</i> ).				
23.	DATA	PROTEC	TION				
	23.1.	Each All	iance Member.				
		23.1.1.	shall comply and ensure that their respective personnel (which term for the purposes of this <i>Special Term</i> 23 shall include all directors, officers, employees, servants, agents, consultants, <i>Subcontractors</i>				
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and Supply Chain members) complies with all applicable requirements of the Data Protection Laws and shall not knowingly or negligently by any act and/or omission, place any Party in breach, or potential breach of Data Protection Laws; and 23.1.2. acknowledges and agrees that this Special Term 23 is in addition to and does not relieve, remove or replace its obligations under the Data Protection Laws. 23.2. Each Alliance Member acknowledges and agrees that it may need to Process Personal Data relating to another Party's (other Parties') personnel (in their respective capacities as Controllers) in order to (as appropriate): 23.2.1. administer and provide the Works and/or Services; 23.2.2. request and receive the Works and/or Services; request or grant access to any Works Package Site(s) and/or other 23.2.3. sites in connection with the FAC-1 Programme, including security and background checks where required; 23.2.4. compile, dispatch and manage the payment of invoices relating to the Works and/or Services; 23.2.5. manage the FAC-1 Contract and resolve any disputes relating to it; respond and/or raise general queries relating to the Works and/or 23.2.6. Services; and 23.2.7. comply with their respective obligations under and in connection with this FAC-1 Contract; together the "Permitted Purposes". 23.3. Each Alliance Member. 23.3.1. shall Process such Personal Data relating to each Party's personnel for the Permitted Purposes in accordance with their own fair processing notices (which each Party shall make available to the other); and 23.3.2. acknowledge that they may be required to share Personal Data with the Programme Consultants in the case of each Alliance Member their group companies, and other relevant parties in order to carry out the activities listed in Special Term 23.3 and in doing so each Alliance Member will ensure that the sharing and use of this Personal Data complies with applicable Data Protection Laws. 23.4. Each Alliance Member shall ensure that all fair processing notices have been given to (and as applicable consents obtained from) the relevant Data Subjects and are sufficient in scope to allow the relevant Party to Process the Personal Data as anticipated by Special Term 23.2 and Special Term 23.3. 23.5. Without limiting the application of Special Term 23.1 to Special Term 23.3 (inclusive), each Alliance Member shall: 23.5.1. only Process the Personal Data for the Permitted Purposes; 23.5.2. make due notification to the Information Commissioner's Office (or other such regulatory authority as required by Data Protection Laws), including in relation to its use and Processing of the Personal Data and comply at all times with the Data Protection Laws;



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	23.5.3.	demonstra always that where that	complete and accurate records and information to the its compliance with this Special Term 23, provided at this requirement does not apply to an Alliance Member to Alliance Member and staff, unless
		23.5.3.1.	the <i>Client</i> determines that the <i>Processing</i> is not occasional;
		23.5.3.2.	the <i>Client</i> determines the <i>Processing</i> includes special categories of data as referred to in Article 9(1) of the <i>UK GDPR</i> or <i>Personal Data</i> relating to criminal convictions and offences referred to in Article 10 of the <i>UK GDPR</i> ; and/or
		23.5.3.3.	the Client determines that the Processing is likely to result in a risk to the rights and freedoms of Data Subjects;
	23.5.4.	1	nd/or support the <i>Client</i> (as applicable) in preparing, any section Impact Assessment prior to commencing any g;
	23.5.5.	appropriate may reaso approval b	at it has in place <i>Protective Measures</i> , which are e to protect against a <i>Data Loss Event</i> , which the <i>Client</i> chably reject (but failure to reject shall not amount to y the <i>Client</i> of the adequacy of the <i>Protective Measures</i> ), en account of the:
		23.5.5.1.	nature of the data to be protected;
		23.5.5.2.	harm that might result from a Data Loss Event;
		23.5.5.3.	state of technological development; and
		23.5.5.4.	cost of implementing any measures;
	23.5.6.	prior writte	er Personal Data outside the United Kingdom unless the en consent of the Client has been obtained and the conditions are fulfilled:
		23.5.6.1.	the relevant Alliance Member has provided appropriate safeguards in relation to the transfer (in accordance with the Data Protection Laws) as determined by the Originating Controller,
		23.5.6.2.	the Data Subject has enforceable rights and effective legal remedies;
		23.5.6.3.	that Alliance Member complies with its obligations under the Data Protection Laws by providing an adequate level of protection to any Personal Data that is transferred; and
		23.5.6.4.	the Alliance Member complies with any reasonable instructions notified to it in advance by the Originating Controller with respect to the Processing of the Personal Data;
	23.5.7.	(and any o	en direction of the <i>Client</i> , delete or return <i>Personal Data</i> copies of it) to the <i>Client</i> on termination of that <i>Alliance</i> engagement under the <i>FAC-1 Contract</i> unless the



	Alliance M Personal L	Member is required by Applicable Law to retain such Data;
23.5.8.	notify the	Client immediately if it
	23.5.8.1.	receives a <i>Data Subject Request</i> (or purported <i>Data Subject Request</i> );
	23.5.8.2.	receives a request to rectify, block or erase any Personal Data;
	23.5.8.3.	receives any other request, complaint or communication relating to any <i>Party's</i> obligations under the <i>Data Protection Laws</i> ;
	23.5.8.4.	receives any communication from the Information Commissioner's Office or any other regulatory authority (including a supervisory authority as defined in the Data Protection Laws) in connection with Personal Data Processed under this FAC-1 Contract;
	23.5.8.5.	receives a request from any third party for disclosure of Personal Data where compliance with such request is required or purported to be required by Applicable Law; and/or
	23.5.8.6.	becomes aware of a Data Loss Event;
23.5.9.	determinate to whether contact an received (	notification to the Client as noted above and the Client's tion and confirmation to the relevant Alliance Member as it or that Alliance Member shall be the primary point of diresponder to the request, complaint or communication and all Parties shall ensure this is reflected within the air processing notices provided to Data Subjects) then Client:
	23.5.9.1.	designates that Alliance Member as the primary point of contact, provide updates and further information to the Client, including (where directed by the Client) allowing the Client to have final oversight and approval of any response, prior to such response being released to the relevant party; and
	23.5.9.2.	designates itself as the primary point of contact, provide all support as necessary within the timescales directed by the <i>Client</i> , including providing all <i>Personal Data</i> held by that <i>Alliance Member</i> in respect of the request, complaint or communication received to the <i>Client</i> as soon as practicable and in any event within five (5) days, or as otherwise agreed by the <i>Client</i> acting reasonably and in good faith;
23.5.10.	provide fu	an <i>Alliance Member's</i> obligation to notify set out above, or the client in phases, as details vailable, provided always that:
	23.5.10.1.	an <i>Alliance Member</i> shall be the primary point of contact for any communication in respect of the <i>Data Loss Event</i> to which it is subject;
	23.5.10.2.	that Alliance Member shall act quickly to remedy a Data



		Loss Event and minimise the impact(s) of a Data Loss Event; and
		23.5.10.3. that <i>Alliance Member</i> and the <i>Client</i> shall work together (acting reasonably and in good faith) to formulate responses, notifications and other communications in respect of the <i>Data Loss Event</i> ;
	23.5.11.	provide such assistance as is reasonably requested by the <i>Client</i> within such timescales as requested by the <i>Client</i> in relation to any <i>Data Subject Request</i> received by the <i>Client</i> , <i>Data Loss Event</i> suffered by the <i>Client</i> or any request from the Information Commissioner's Office, or any consultation by the <i>Client</i> with the Information Commissioner's Office in relation to any <i>Personal Data</i> shared by an <i>Alliance Member</i> with the <i>Client</i> ,
	23.5.12.	take reasonable steps to ensure the reliability of and adequate training of, any personnel who have access to the <i>Personal Data</i> ;
	23.5.13.	hold the information contained in the Personal Data confidentially
	23.5.14.	not do anything which shall damage the reputation of its (if applicable) or the <i>Client's</i> or any third party from whom the <i>Personal Data</i> may originate (being consultants, contractors and subcontractors) relationship with the <i>Data Subjects</i> ; and
	23.5.15.	allow for audits of its <i>Processing</i> activity by the <i>Client</i> or the <i>Client</i> 's designated auditor.
23.6.	Persona	an Alliance Member allows any Sub-Processor to Process any all Data received from the Client or the Client personnel related to the Contract, that Alliance Member must:
	23.6.1.	notify the Client in writing of the intended Sub-Processor and Processing;
	23.6.2.	obtain the written consent of the Client;
	23.6.3.	enter into a written agreement with the <i>Sub-Processor</i> which give effect to the terms set out in Articles 28 of the UK GDPR and to enable that <i>Alliance Member</i> to meet its obligations under this <i>Special Term 23</i> ; and
	23.6.4.	provide the Controller with such information regarding the Sub- Processor as the Controller may reasonably require.
23.7.	of its Su	liance Member shall remain fully liable for all acts or omissions of any ub-Processors in connection with the application of Data Protection and the provisions of this Special Term 23.
23.8.	revise th "Controll certificat	ent may, at any time on not less than thirty (30) Working Days' notice, nis Special Term 23 by replacing it with any applicable "Controller" to ler" standard clauses or similar terms forming part of an applicable tion scheme (which shall be deemed to apply to this FAC-1 Contract sceived by the Alliance Members).
23.9.	Informat	ance Members agree to take account of any guidance issued by the tion Commissioner's Office from time to time in connection with the and/or Processing of Personal Data.
23.10	1	ent may on not less than thirty (30) Working Days' notice to the Members amend this FAC-1 Contract to ensure that it complies with

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any guidance issued by the Information Commissioner's Office and/or its own internal requirements and/or policies in connection with Data Protection Laws. Each Alliance Member shall be liable for and hereby indemnifies the Client 23.11. from and against all claims, proceedings, damages, liabilities, losses, costs and expenses suffered or incurred by the Client where and to the extent that the same arises in connection with any breach of this Special Term 23 by that Alliance Member and/or its personnel (of any type) and/or its Subcontractors and Supply Chain members. 24. **AUDIT** 24.1. Each Alliance Member shall: 24.1.1. keep and maintain during the Period for Retention, full and accurate records in connection with its engagement under the FAC-1 Contract, covering all Works and/or Services provided by or on behalf of that Alliance Member and all payments made by the Client (including in relation to any Order and any Works Package pursuant to and in accordance with the Early Works Terms and/or Main Works Terms); on request, afford the Client or the Client's representatives such 24.1.2. access to those records and processes as may be requested by the Client in connection with the FAC-1 Contract. make available to the *Client*, without charge and on request, copies 24.1.3. of audit reports obtained by each Alliance Member in relation to the Works and/or Services: allow authorised representatives of the Client and/or the National 24.1.4. Audit Office, the Financial Reporting Council and/or the Cabinet Office to examine each Alliance Member's records and documents relating to the FAC-1 Contract (including any documents annexed to and/or referred to in an Order for Pre-Construction Activities and any Notice to Proceed to Stage 2 in respect of a Works Package) and provide such copies and any oral and/or written explanations as may reasonably be required to substantiate the same; and allow the Comptroller and Auditor General (as the head of the 24.1.5. National Audit Office from time to time, as well as its appointed representatives) access free of charge during normal business hours on reasonable notice, to all such documents (in any medium) and other information as the Comptroller and Auditor General may reasonably require for the purposes of its financial audit of the Client and for carrying out examinations into the economy, efficiency and effectiveness with which the Client has used its resources and each Alliance Member shall provide such explanations as are reasonably required for these purposes. 25. SUSTAINABILITY Each Alliance Member shall: 25.1. comply with the applicable Government Buying Standards; 25.1.1. 25.1.2. provide, from time to time, in a format reasonably required by the Alliance Manager, reports on the environmental effects of providing the Works and/or Services;

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25.1.3. maintain ISO 14001 (as the family of standards related to environmental management published by the International Organisation for Standardisation) or BS 8555 (as the standard published to help organisations improve their environmental performance by the British Standards Institution) or an equivalent standard intended to manage its environmental responsibilities; and 25.1.4. perform its obligations under the FAC-1 Contract in a way that: 25.1.4.1. supports the Client's achievement of the Greening Government Commitments; 25.1.4.2. conserves energy, water, wood, paper and other resources; reduces waste and avoids the use of ozone depleting 25.1.4.3. substances; and minimises the release of greenhouse gases, volatile 25.1.4.4. organic compounds and other substances damaging to health and the environment. EMPLOYMENT & SKILLS PLAN, SOCIAL VALUE AND BIODIVERSITY 26. Each Alliance Member shall comply with and implement the "Employment 26.1. Skills Plan (ESP)", "Social Value and Biodiversity" commitments and the "Method Statements" as stated and identified in the ESP Schedule or as notified by the Alliance Manager to an Alliance Member in writing from time to time. Each Alliance Member shall nominate an individual to liaise with the Client 26.2. and the Alliance Manager (and confirm the identity of such individual to the Alliance Manager in writing) and provide the Client and the Alliance Manager with such information as required by them to demonstrate compliance with its duties and obligations under this Special Term 26. 26.3. The Client shall provide to each Alliance Member any information it has available to enable each Alliance Member to comply with and implement its duties and obligations under this Special Term 26. Each Alliance Member shall provide to the Alliance Manager on a monthly 26.4. basis a report demonstrating its compliance with its duties and obligations under this Special Term 26 and any related performance measures specified in the FAC-1 Contract and/or the ESP Schedule (or as notified by the Alliance Manager to each Alliance Member from time to time) in relation to the same. 26.5. The Client and the Alliance Manager shall monitor each Alliance Member's achievement of its duties and obligations under this Special Term 26. Each Alliance Member shall perform and discharge their respective duties 26.6. and obligations under this Special Term 26 at its own time and cost. CYBER ESSENTIALS SCHEME 27. 27.1. Where the *Client* has notified an *Alliance Member* that its engagement (and continued engagement) under the FAC-1 Contract is conditional upon receipt of a Valid Cyber Essentials Plus Certificate or Valid Cyber Essential Certificate equivalent, then that Alliance Member shall provide to the Client a copy of relevant certificate within ten (10) Working Days of the FAC-1 Contract Commencement Date or the date of the Client's request (as the context requires).



27.2. FINAN 28.1.	connection under the Alliance Cyber Electrical equivalence by the All Term 27.  In the evand/or Sengagemaccordar  ICIAL STA  The Client FAC-1 Creasonal	on with the aller FAC-1 Colors Member shall see that an Aller Member and Aller that an Aller that an Aller that an Aller that and that and the with Contact of the ANDING	Member processes Cyber Essentials Scheme Data in FAC-1 Programme at any time during its engagement entract in connection with the FAC-1 Programme, the all deliver to the Client evidence of renewal of a Valid dus Certificate or Valid Cyber Essentials Certificate eniversary of the first (1st) applicable certificate obtained ber, whether pursuant to and in accordance with Special se (as the context requires).  Alliance Member fails to comply with Special Term 27.1 of 27.2, the Client reserves the right to terminate the at Alliance Member under the FAC-1 Contract in stract Term 14.4.		
FINAN	and/or Sengagement accordant STA  The Client FAC-1 Coreasonals	Special Term nent of that nce with Con ANDING	27.2, the Client reserves the right to terminate the table to the table table to the table table table to the table		
	The Client FAC-1 (controller)				
28.1.	FAC-1 (reasonal	,	CIAL STANDING		
	financial	The Client may terminate the appointment of an Alliance Member under the FAC-1 Contract in accordance with Contract Term 14.4 where, in the reasonable opinion of the Client, there is a material detrimental change in the financial standing and/or the credit rating of the Alliance Member which:			
	28.1.1.	1	mpacts that Alliance Member's ability to perform its under the FAC-1 Contract, and/or		
	28.1.2.		onably be expected to have an adverse impact on the ember's ability to perform its obligations under the FAC-		
FINAN	ICIAL DIS	CIAL DISTRESS			
29.1.	Each Alliance Member warrants and represents to the Client that as at the FAC-1 Contract Commencement Date its credit rating as determined by the Rating Agency is equal to or exceeds its Agreed Credit Rating.				
29.2.	An Alliance Member shall promptly notify (or shall procure that its auditors promptly notify) the Client and the Alliance Manager in writing if any Rating Agency downgrades the Alliance Member's credit rating below its Agreed Credit Rating (and in any event within five (5) Working Days from the occurrence of the downgrade) (a "Notifiable Credit Rating Downgrade").  If an Alliance Member is subject to a Notifiable Credit Rating Downgrade, that Alliance Member shall ensure that the Alliance Member's auditors thereafter provide the Client and the Alliance Manager within ten (10) Working Days from the end of the then current financial year of the Alliance Member and within ten (10) Working Days from the date of any written request by the Client or the Alliance Manager (such requests not to exceed four (4) in any one (1) financial year of the Alliance Member as at the relevant time, with "quick ratio" in this context being the sum of the following calculation:				
29.3.					
1	<u>A +</u>	<u>B + C</u> D	<ul> <li>is the value at the relevant date of all cash in hand and at the bank of the <i>Alliance Member</i>,</li> <li>is the value of all marketable securities held by the <i>Alliance Member</i> determined using closing prices on the Working Day preceding the relevant date;</li> <li>is the value at the relevant date of all account</li> </ul>		
		context b	context being the sun		



1			-1	.1
				value at the relevant date of the current es of the <i>Alliance Member</i> .
29.4.	Without Member		o the gener	ality of <i>Special Term</i> 29.2, each <i>Alliance</i>
	29.4.1.	regularly m Rating Age		redit ratings of the <i>Alliance Member</i> with the
	29.4.2.	Client and of a Finan which coul ensure that of the date the Finance	the <i>Alliance</i> cial Distress dicause a Fir it such notific e on which th cial Distress	procure that its auditors promptly notify) the Manager in writing following the occurrence Event or any fact, circumstance or matter nancial Distress Event and in any event, shall cation is made within ten (10) Working Days he Alliance Member first becomes aware of Event or the fact, circumstance or matter fnancial Distress Event.
29.5.	Following Term 29	_	ation issued	by the <i>Alliance Member</i> pursuant to <i>Special</i>
	29.5.1.	the <i>Allianc</i>	e Member st	nall:
		29.5.1.1.	and the Allia of such notife the Alliance and notify to the effect of Event on it discharge it	est of the Alliance Manager, meet the Client ance Manager within three (3) Working Days fication (or such other period as the Client or Manager on the Client's behalf may permit to the Alliance Member in writing) to review of the actual or potential Financial Distress to continuing ability to performance and its obligations under and in connection with Contract, and
		29.5.1.2.	Alliance Mediscussions 29.5.1.1) the Event could performance	Client reasonably believes and notifies the ember in writing (taking into account any and representations under Special Term at the actual or potential Financial Distress impact on the Alliance Member's continued and discharge its obligations under and in with the FAC-1 Contract, the Alliance all:
			(a)	submit to the Client and the Alliance Manager for approval, a draft Financial Distress Service Continuity Plan within five (5) Working Days of the date of the notification (or such other period as the Client may permit and notify to the Alliance Member in writing); and
			(b)	provide such financial information relating to the financial standing of the <i>Alliance Member</i> as the <i>Client</i> and the <i>Alliance Manager</i> may reasonably require;
	29.5.2.	I .		nce Manager shall not withhold its approval fress Service Continuity Plan unreasonably;



		29.5.3.	Financial L Member, to the Alliand preparation Plan, which Days of the drafts, with Service Co	Int and the Alliance Manager do not approve a draft Distress Service Continuity Plan submitted by an Alliance hey shall inform the Alliance Member of its reasons and be Member shall take those reasons into account in the nof a further draft Financial Distress Service Continuity high shall be resubmitted to the Client within five (5) Working the rejection of the first or subsequent (as the case may be) in this process being repeated until the Financial Distress continuity Plan is approved by the Client or referred to the solution procedure referred to at Contract Term 15.1 of Contract;
		29.5.4.	Distress S properly ending the releval further time Financial is with the dispute re	Service Continuity Plan is insufficiently detailed to be valuated, will take too long to complete or will not remedy nt Financial Distress Event, then it may either agree and period for the development and agreement of the Distress Service Continuity Plan or escalate any issues raft Financial Distress Service Continuity Plan using the solution procedure referred to at Contract Term 15.1 of Contract; and
		29.5.5.	_	he approval of the <i>Financial Distress Service Continuity</i> e <i>Client</i> and the <i>Alliance Manager</i> , the <i>Alliance Member</i>
			29.5.5.1.	on a regular basis (which shall not be less than monthly), review the <i>Financial Distress Service Continuity Plan</i> and assess whether it remains adequate and up-to-date to ensure that the continued performance in accordance with the <i>FAC-1 Contract</i> ;
			29.5.5.2.	where the Financial Distress Service Continuity Plan is not adequate or up to date, submit an updated Financial Distress Service Continuity Plan to the Client and the Alliance Manager for their approval, and the provisions of this clause shall apply to the review and approval process for the updated Financial Distress Service Continuity Plan; and
			29.5.5.3.	comply with the <i>Financial Distress Service Continuity Plan</i> (including any updated <i>Financial Distress Service Continuity Plan</i> ).
	29.6.	potential caused of subject to of its obl	Financial E or otherwise o the agree igations un	Member reasonably believes that the relevant actual or Distress Event (or the circumstance or matter which has eled to it) no longer exists, it shall notify the Client and ment of the Client, the Alliance Member may be relieved der Special Term 29 in respect of that specific actual or Distress Event only.
:	29.7.			e entitled to terminate the appointment of an <i>Alliance FAC-1 Contract</i> in accordance with <i>Contract Term</i> 14.4 if:
		29.7.1.		e Member fails to notify the Client of a Financial Distress coordance with Special Term 29.4;
		29.7.2.		the <i>Alliance Manager</i> and the relevant <i>Alliance Member</i> se a <i>Financial Distress Service Continuity Plan</i> (or any

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updated Financial Distress Service Continuity Plan) in accordance with Special Term 29.5; and/or the Alliance Member fails to comply with the terms of the Financial 29.7.3. Distress Service Continuity Plan (or any updated Financial Distress Service Continuity Plan) in accordance with Special Term 29.5. Each time that an Order and/or a Notice to Proceed to Stage 2 is executed 29.8. by the Client and an Alliance Member in accordance with the FAC-1 Contract, the warranty in Special Term 29.1 shall be deemed to be repeated by the relevant Alliance Member with reference to the circumstances existing at the time on a mutatis mutandis basis. Without prejudice to the Alliance Member's obligations and the Client's rights 29.9. and remedies under the FAC-1 Contract, if, following the occurrence of a Financial Distress Event that relates to the downgrading of the Alliance Member's credit rating below the Credit Rating Threshold, the Rating Agency reviews and reports subsequently that the credit ratings do not fall below the relevant Credit Rating Threshold, then the Alliance Member shall be relieved automatically of its obligations under Special Term 29.4 and Special Term 29.5. TERMINATION UNDER THE PUBLIC CONTRACTS REGULATIONS 2015 30. 30.1. The Client may terminate an Alliance Member's engagement under the FAC-1 Contract if any of the circumstances set out at paragraph 73(1) of the PCR 2015 apply on the following basis: 30.1.1. if the Client terminates an Alliance Member's engagement under the FAC-1 Contract by reference to the circumstances set out at paragraph 73(1)(b) of the PCR 2015 as a result of information not disclosed by the Alliance Member as at the FAC-1 Contract Commencement Date, this shall be treated as termination due to a breach of the FAC-1 Contract by the relevant Alliance Member for the purposes of Contract Term 14.4 (and subject to the provisions of such Contract Term 14.4); or 30.1.2. if the Client otherwise terminates an Alliance Member's engagement under the FAC-1 Contract by reference to the circumstances set out at regulation 73(1)(a) or regulation 73(1)(c) of the PCR 2015, this shall be treated as a termination by the Client under Contract Term 14.2. 31 CHANGE OF CONTROL An Alliance Member shall notify the Client and the Alliance Manager within 31.1. ten (10) Working Days of it: becoming aware that it may be subject to a Change of Control 31.1.1. (provided always that where to do so would contravene any Applicable Law, the Alliance Member shall notify the Client of such proposed Change of Control immediately upon it becoming permitted by Applicable Law to do so); or 31.1.2. (in any event) being subject to a Change of Control, with each of these circumstances being a "Change of Control Event". 31.2. A failure by an *Alliance Member* to notify the *Client* and the *Alliance Manager* of a Change of Control Event within the time period specified in Special Term 31.1 shall entitle the Client to terminate the engagement of that Alliance



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Member under the FAC-1 Contract in accordance with Contract Term 14.4. Where the Client receives a notification of a Change of Control Event 31.3. pursuant to Special Term 31.1: the Client shall undertake and complete its own due diligence on 31.3.1. the relevant Alliance Member to determine (acting reasonably) that, following such proposed or actual Change of Control, that Alliance Member shall continue to satisfy (without limitation) the economic and financial standing and technical and professional competency requirements that the Alliance Member, prior to the Change of Control, was required to satisfy as a precondition to being engaged under the FAC-1 Contract; and if the Client is not satisfied (in its sole discretion) that such 31.3.2. requirements will be satisfied by the relevant Alliance Member if a potential Change of Control occurs or are not satisfied by the Alliance Member following an actual Change of Control (as the context permits), the Client shall be entitled to terminate the engagement of that Alliance Member under the FAC-1 Contract in accordance with Contract Term 14.4. **FURTHER ASSURANCE** 32. Each Alliance Member undertakes at the request of the Client or the Alliance 32.1. Manager in writing (and at its own expense) to do all acts and execute all documents which may be reasonably necessary to give effect to the meaning and intention of the FAC-1 Contract, the Early Works Terms and/or the Main Works Terms (as the context requires). 33. SUSPENSION BY THE CLIENT 33.1. If the Client is entitled to terminate the engagement of an Alliance Member under the FAC-1 Contract and a Notice to Proceed to Stage 2 has not been executed by the Client and that Alliance Member in connection with the Works Package allocated to the Alliance Member as at that time, the Client may instead elect in its sole discretion to suspend the Alliance Member's entitlement to be issued a Notice to Proceed to Stage 2 in respect of a Works Package under the FAC-1 Contract by written notice from the Alliance Manager to that Alliance Member, and the Alliance Member acknowledges and agrees that it shall not have any entitlement to be issued any Notice to Proceed to Stage 2 in connection with its allocated Works Package during the period specified in such notice. 33.2. The Alliance Members acknowledge and agree that: the Client's right of suspension under Special Term 33.1 is without 33.2.1. prejudice to any right of termination which has already accrued, or subsequently accrues, to the Client under the FAC-1 Contract; and 33.2.2. any suspension under Special Term 33.1 shall not affect the relevant Alliance Member's obligation to perform its duties and obligations under any Order that has been executed by the Client and that Alliance Member prior to the prior to the date of the suspension notice issued pursuant to Special Term 33.1. 33.3. If the Client issues a suspension notice to an Alliance Member in accordance with this Special Term 33, that Alliance Member's engagement under the FAC-1 Contract shall be suspended for the period set out in the notice or such other period notified to the Alliance Member by the Alliance Manager in



		writing fr	om time to time.
3	33.4.	Without p	prejudice to the generality of <i>Special Term</i> 33.1 to <i>Special Term</i> 33.3
		33.4.1.	the Client may suspend the implementation of all or part of the FAC- 1 Programme at any time and on written notice from the Alliance Manager to the relevant Alliance Members; and
		33.4.2.	if the <i>Alliance Manager</i> does not instruct the relevant <i>Alliance Member(s)</i> to resume the performance of the relevant part(s) of the <i>FAC-1 Programme</i> that are the subject of such suspension notice in writing within six (6) months of the date of the notice, the relevant <i>Alliance Member(s)</i> may request written confirmation from the <i>Alliance Manager</i> as to whether the <i>Client</i> intends to proceed with the relevant part(s) of the <i>FAC-1 Programme</i> or otherwise individually or (as the context requires) collectively request that the <i>Client</i> terminates its (or their) engagement under the <i>FAC-1 Contract</i> ,
		_	which if, in response to a request from the relevant <i>Alliance</i> (s) pursuant to <i>Special Term</i> 33.4.2:
		(a)	the Client (or the Alliance Manager acting on its behalf) notifies the relevant Alliance Members in writing that the Client intends to resume the performance of the relevant part(s) of the FAC-1 Programme and such resumption date is known, such notice shall specify such date and the relevant Alliance Member(s) shall resume the suspect part(s) of the FAC-1 Programme on that date;
		(b)	the Client (or the Alliance Manager acting on its behalf) notifies the relevant Alliance Members in writing that the Client intends to resume the performance of the relevant part(s) of the FAC-1 Programme but does not, as at the date of the notice, know the exact date of such resumption, the notice shall specify an extended period for the suspension and the provisions of Special Term 33.4.2 shall be deemed to then apply to such further period mutatis mutandis; or
		(c)	the <i>Client</i> notifies the relevant <i>Alliance Member(s)</i> in writing that it wishes to terminate their its (or their) engagement under the <i>FAC-1 Contract</i> , such termination will take effect within thirty (30) days of the day of the notification and the provisions of <i>Contract Term</i> 14.8 shall apply to such termination,
		provided	always that:
		(i)	the Client may, during any on-going period of suspension as notified under this Special Term 33.4, terminate the engagement of the relevant Alliance Member(s) in writing, with such termination will take effect within thirty (30) days of the day of the notification and the provisions of Contract Term 14.8 shall apply to the termination;
		(ii)	the provisions of this <i>Special Term 33.4</i> shall not apply to any <i>Pre-Construction Activities</i> or any <i>Works and/or Services</i> that have been instructed as at the date of the initial suspension notice pursuant to an <i>Order</i> or a <i>Notice to Proceed to Stage 2</i> , which shall be governed solely by the provisions of the <i>Early Works Terms</i> and <i>Main Works Terms</i> respectively; and

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		(iii)	unless specified in the original notice of suspension issued by the <i>Alliance Manager</i> or in any later notice issued by the <i>Client</i> or the <i>Alliance Manager</i> under <i>Special Term</i> 33.4.2(b), no <i>Alliance Member</i> shall be entitled to any payment whatsoever from the <i>Client</i> arising out of or in connection with the suspension during or after any such period of suspension.	
34.	WAIVER & CUMULATIVE REMEDIES			
	34.1.	only by way of written notice by the <i>Party</i> giving such waiver (and any waiver expressed as being provided by the <i>Client</i> shall not be valid if proby or issued in the name of the <i>Alliance Manager</i> ) and in a manner expressly states that a waiver is intended.		
	34.2.			
	34.3.	each P	otherwise provided in the <i>FAC-1 Contract</i> , the rights and remedies or Party to the <i>FAC-1 Contract</i> are cumulative and do not exclude any or remedies provided by <i>Applicable Law</i> , in equity or otherwise.	



36.	REPUT	ATIONAL RISK
	36.1.	The Alliance Member shall take all appropriate steps to ensure that neither it
		nor any personnel and/or party employed and/or engaged by the <i>Alliance</i>
		Member (in whatever capacity) is placed in a position where, in the
		reasonable opinion of the Client the behaviour of the Alliance Member or any
		person engaged by or on behalf of it (including its Subcontractors and/or any
		Affiliates) is not in the Client's best interest or might adversely affect the
		Client's reputation (a "Reputational Risk").



	1	I			
	36.2.	The Alliance Member.			
		36.2.1.	warrants that, as at the FAC-1 Contract Commencement Date, it is not aware of any actual or potential Reputational Risk; and		
		36.2.2.	shall notify and disclose to the <i>Client</i> full particulars of any behaviour which might give rise to an actual or potential <i>Reputational Risk</i> immediately upon becoming aware of the same.		
	36.3.	The Authority may terminate the <i>Alliance Member's</i> engagement under all or part of the <i>FAC-1 Contract</i> in accordance with <i>Contract Term</i> 14.4 and/or take such other steps it deems necessary where, in the reasonable opinion of the <i>Client</i> , there is or may be an actual or potential <i>Reputational Risk</i> that is not capable of being remedied by the <i>Alliance Member</i> .			
37.	MALIC	CIOUS CODE			
	37.1.	The Alliance Member shall, at all times during its engagement under this F 1 Contract, ensure that:			
		37.1.1.	Anti-Virus	Software is installed on its Non-Client ICT System,	
		37.1.2.	such Anti-	Virus Software is used on a continuous basis to	
			37.1.2.1.	identify, detect and/or remove <i>Malicious Code</i> from its <i>Non-Client ICT System(s)</i> ,	
			37.1.2.2.	prevent the transmission of <i>Malicious Code</i> from its <i>Non-Client ICT System</i> onto any <i>Client ICT System</i> or other <i>Non-Client ICT System</i> (s) and	
			37.1.2.3.	protect (and inoculate) its Non-Client ICT System(s) and protect any Client ICT System and other Non-Client ICT System(s) against Malicious Code and	
		37.1.3.	continuous and signat	i-Virus Software is maintained and updated on a basis with all (without limitation) anti-virus definitions tures that are developed and distributed by the developer ati-Virus Software from time to time,	
		37.1.4.	developer on a subscription basis, its subscription is maintained on a continuous basis and is not permitted to lapse and		
		37.1.5.			
	37.2.	Without prejudice to the generality of Special Term 37.1, where (as an "Identifying Party")			
		37.2.1.	exposure t	ce Member becomes aware of the presence of or to Malicious Code on a Non-Client ICT System(s) or any System or	
		37.2.2.	1	becomes aware of presence of or exposure to <i>Malicious</i> its <i>Client ICT System</i> and/or any <i>Non-Client ICT</i> ,	
		(as the Identifyir	context req	CT System", the Identifying Party shall immediately notify uires) the other who, in the reasonable opinion of the as been exposed to Malicious Code (as an "Affected hich:	

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	37.2.3.	the <i>Identifying Party</i> and the <i>Affected Party</i> shall cooperate to actively minimise the effect and (as the context requires) remove from and/or protect the <i>Affected ICT System(s)</i> from such <i>Malicious Code</i> as soon as reasonably practicable upon becoming aware of its presence on the <i>Affected ICT System(s)</i> or their exposure to the <i>Malicious Code</i> ; and		
	37.2.4.	where such <i>Malicious Code</i> causes (or could cause) a loss of operational efficiency and/or loss or corruption of the <i>Client Data</i> , the <i>Identifying Party</i> and the <i>Affected Party</i> shall assist each other in order to		
		37.2.4.1.	mitigate the immediate and long-term impact of the Malicious Code on the Affected ICT System(s),	
		37.2.4.2.	minimise any actual or potential losses of operational efficiency or corruption of <i>Client Data</i> on such <i>Affected ICT System(s)</i> (including by, as the context requires, restoring any affected <i>Client Data</i> from the most recent back-ups of the <i>Client Data</i> ) and	
		37.2.4.3.	protect (and inoculate) the Affected ICT System(s) against the Malicious Code in order to restore and maintain the full security of the Affected ICT Systems and protect the Client Data from Malicious Code.	
37.3	Client's a that is in relating the relev Special of any o presence	Where the Affected ICT System that is affected by Malicious Code is the Client's and the Malicious Code has originated from a Non-Client ICT System that is in the control of and/or used by the Alliance Member (including where relating to Client Data that was under the control of the Alliance Member at the relevant time), the Alliance Member shall comply with the requirements of Special Term 37.2 at its own cost and reimburse the Client in full in respect of any costs, losses and expenses arising out of or in connection with presence and impact on and/or removal of such Malicious Code on its Affected ICT System.		

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**SCHEDULE 7** 

**FAC-1 BRIEF** 

# SCHEDULE REDACTED

#### **OFFICIAL SENSITIVE**

SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**SCHEDULE 8** 

**FAC-1 PRICES** 

# SCHEDULE REDACTED

#### **OFFICIAL SENSITIVE**

SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

#### **SCHEDULE 9**

**FAC-1 PROPOSALS** 

# SCHEDULE REDACTED

#### **OFFICIAL SENSITIVE**

SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**SCHEDULE 10** 

**PRICING RULES** 

# SCHEDULE REDACTED

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SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

#### **SCHEDULE 11**

#### **EARLY WORKS TERMS**

(INCLUDING THE FORM OF EARLY WORKS ORDER)

# SCHEDULE REDACTED

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SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

#### **SCHEDULE 12**

#### **MAIN WORKS TERMS**

(INCLUDING THE FORM OF NOTICE TO PROCEED TO STAGE 2)

# SCHEDULE REDACTED

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### **SCHEDULE 13**

### **COLLATERAL WARRANTIES & THIRD PARTY RIGHTS SCHEDULE**

# SCHEDULE REDACTED

### **OFFICIAL SENSITIVE**

SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

### **SCHEDULE 14**

### PERFORMANCE SECURITY

# SCHEDULE REDACTED

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SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

### **SCHEDULE 15**

### **DATA PROTECTION SCHEDULE**

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### **SCHEDULE 16**

### **BIM REQUIREMENTS**

# SCHEDULE REDACTED



### SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

### **CONTRACT TERMS**

1A.	STRU	STRUCTURE OF THE FAC-1 CONTRACT			
	1A.1.	Without prejudice to the generality of and notwithstanding clause 1.3 to clause 1.5 (inclusive) and subject to clause 1B, the <i>Client</i> and the <i>Alliance Members</i> acknowledge and agree that the <i>Contract Terms</i> , the <i>Early Works Terms</i> and the <i>Main Works Terms</i> (as collectively forming the <i>FAC-1 Contract</i> ) shall apply to each <i>Stage</i> of the <i>FAC-1 Programme</i> strictly on the basis set out below, with the <i>FAC-1 Contract</i> being construed accordingly:			
		1A.1.1	the Contract Terms shall apply to the provision of the Stage 1 Services by the Alliance Members;		
		1A.1.2	the Contract Terms shall apply to the provision of any Pre-Construction Services by an Alliance Member that are instructed, pursuant to an Order, by the Client (or the Alliance Manager) in connection with an Allocated Works Package of an Alliance Member in advance of any Notice to Proceed to Stage 2 being executed by the Client and the Alliance Member for that Works Package;		
		1A.1.3	the Early Works Terms and, subject to clause 1A.2, the Contract Terms shall apply to the provision of any Pre-Construction Activities by an Alliance Member that are instructed, pursuant to an Order, by the Client (or the Alliance Manager) in connection with a Works Package pursuant to clause 7, and, in the case of the Pre-Construction Activities only, subject always to the provisions of the relevant Order itself,		
		1A.1.4	in respect of Stage 2 and where a Notice to Proceed to Stage 2 is issued by the Client (or the Alliance Manager) to an Alliance Member and then executed and returned by that Alliance Member in respect of an individual Works Package in accordance with the Contract Terms:		
			1A.1.4.1. the Main Works Terms and, to the extent not inconsistent with the Main Works Terms and, subject to clause 1A.2, the Contract Terms shall apply to the design and construction of the Works Package that is the subject of the Notice to Proceed to Stage 2 (and which will be treated as also applying to any Works and/or Services previously provided by the Alliance Member specifically in relation to the relevant Works Package as at the relevant time, including pursuant to any Order(s) for Pre-Construction Services and/or Pre-Construction Activities that relate to the Works Package that has been allocated to that Alliance Member only); and		
			1A.1.4.2. the Contract Terms shall apply to the provision of any Stage 2 Services by an Alliance Member that are instructed, pursuant to an Order, by the Client (or the Alliance Manager), in connection with the FAC-1 Programme generally and not solely in connection with any Works Package allocated to that Alliance Member,		
			and in the case of the design and construction of a Works Package that is the subject of a Notice to Proceed to Stage 2, subject always to the provisions of the relevant Notice to Proceed to Stage 2 itself.		
	1A.2.	Contract	AC-1 Contract (and subject always to clause 1C), any reference to the Terms applying to any Works and/or Services that are the subject of an a Notice to Proceed to Stage 2 shall mean the application of such		

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### SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

Contract Terms on a mutatis mutandis basis to such Works and/or Services only to the extent that such application is not inconsistent with and does not conflict with the relevant Early Works Terms or Main Works Terms as referred to in the Order or Notice to Proceed to Stage 2.

1B.	PROV	ISION O	F THE WOR	KS AND/OR SERVICES		
	1B.1.	Member context	In consideration of the payments to be made by the <i>Client</i> to each <i>Alliance Member</i> pursuant to and in accordance with the <i>FAC-1 Contract</i> (and, as the context requires, the <i>Early Works Terms</i> and the <i>Main Works Terms</i> ), each <i>Alliance Member</i> undertakes to the <i>Client</i> to:			
		1B.1.1.	undertake and discharge its FAC-1 Responsibilities in connection with			
			1B.1.1.1.	Stage 1; and		
			1B.1.1.2.	Stage 2,		
			in accordance with the Contract Terms, remedying all defects in the relevant Works and/or Services provided by the Alliance Member in accordance with and in conformity in all respects with the Contract Terms; and			
		1B.1.2.	Provide the	Works in connection with:		
			1B.1.2.1.	any Pre-Construction Activities that are the subject of an executed Order in accordance with the Early Works Terms and the Contract Terms (subject to clause 1A); and		
			1B.1.2.2.	the design and construction of a Works Package that is subject to an executed Notice to Proceed to Stage 2 in accordance with the Main Works Terms and the Contract Terms (subject to clause 1A),		
			Services pro	se, remedying all defects in the relevant Works and/or ovided by the Alliance Member in accordance with and in all respects with the same.		
	1B.2.			ation that the <i>Client</i> may have under the <i>Contract Terms</i> oply to each of the <i>Early Works Terms</i> and the <i>Main Works</i>		

1C.	ORDE	ORDER OF PRECEDENCE				
	1C.1.	In the case of any error, ambiguity, inconsistency, omission, discrepancy and/or conflict as between the <i>Contract Terms</i> (as incorporating and as modified by the <i>Special Terms</i> ), the <i>Early Works Terms</i> and/or the <i>Main Works Terms</i> , the order of precedence within and as between each of them is as follows:				
		1C.1.1. (subject to clause 1C.1.3) the Contract Terms (as inconspected for the Special Terms) shall take precedence in respect of an and/or liabilities of an Alliance Member arising out of or it with the FAC-1 Responsibilities during Stage 1 and, if an Order issued under the Contract Terms, Stage 2;				
		1C.1.2.	(subject to clause 1C.1.3 and clause 1C.2) the Early Works Terms shall take precedence in respect of any obligations and/or liabilities of an Alliance Member arising out of or in connection with any Pre-Construction Activities that are the subject to an executed Order, and			



	1C.1.3.	(subject to clause 1C.3) the <i>Main Works Terms</i> shall take precedence in respect of any obligations and/or liabilities of an <i>Alliance Member</i> arising out of or in connection with a <i>Works Package</i> as from the date of the executed <i>Notice to Proceed to Stage</i> 2 in respect of that <i>Works Package</i> (and shall also take precedence over the other <i>Contract Terms</i> , and/or <i>Early Works Terms</i> in respect of any <i>Pre-Construction Services</i> and/or <i>Pre-Construction Activities</i> that have previously been the subject of an <i>Order</i> between the <i>Client</i> and that <i>Alliance Member</i> in connection with that <i>Works Package</i> only).
1C.	conflict w	se of any error, ambiguity, inconsistency, omission, discrepancy and/or within the Early Works Terms (including the application of clause 1B to arrow the Inconsistency, omission, and/or conflict shall be resolved by reference to paragraph 4 of the Order.
1C.	conflict w such <i>Ma</i> discrepar	se of any error, ambiguity, inconsistency, omission, discrepancy and/or within the Main Works Terms (including the application of clause 1B to ain Works Terms), the error, ambiguity, inconsistency, omission, and/or conflict shall be resolved by reference to paragraph 4 of the Notice to Proceed to Stage 2.

1						
1D.	BASIS	SIS OF ENGAGEMENT				
	1D.1.		ance Member ncement Date	acknowledges and agrees that, as at the FAC-1 Contract		
		1D.1.1.		n engaged to undertake and discharge the FAC-1 ities in connection with Stage 1 in accordance with the rms;		
		1D.1.2.	Works and Activities st	nent for one (1) or more Alliance Members to provide any for Services in connection with any Pre-Construction hall, if required by the Client, be instructed separately and in accordance with the Contract Terms as individual		
		1D.1.3.	any FAC-1 I by the Clien	nent for any <i>Alliance Member</i> to undertake and discharge Responsibilities in connection with <i>Stage 2</i> shall, if required of, be instructed separately pursuant to and in accordance intract Terms as individual <i>Orders</i> ; and		
		1D.1.4.	•	nent for any <i>Alliance Member</i> to provide any <i>Works and/or</i> connection with any individual <i>Works Package</i> as part of ubject to:		
			1D.1.4.2.	the completion of a Works Package Procedure; and		
			1D.1.4.3.	the execution by the Client and that Alliance Member of a Notice to Proceed to Stage 2 in connection with the Works Package pursuant to and in accordance with the Contract Terms.		
	1D.2.	Without prejudice to the generality of clause 1D.1 and clause 5.6, each Alliance Member acknowledges and agrees that:				
		1D.2.1.	an individua of reasons;	I Works Package may not proceed to Stage 2 for a number		
		1D.2.2.	the decision	n to issue a Notice to Proceed to Stage 2 to an Alliance		

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Member in respect of a Works Package is at the sole discretion of the Client and is subject to, amongst other things, governmental decisions and approvals; and

1D.2.3. an Alliance Member shall only have the entitlement to Provide the Works in connection with Stage 2 in respect of a specific Works Package when the Client and that Alliance Member have executed a Notice to Proceed to Stage 2 in relation to that Works Package in accordance with and subject to the Contract Terms

			accordance with and subject to the Contract Terms.
1.	ALLI	ANCE M	EMBERS, FAC-1 DOCUMENTS AND CORE GROUP
Alliance Members	1.1.	and ind FAC-1 respons obligation those re subject	ent, the Alliance Manager and the Alliance Members shall work together ividually in the spirit of mutual trust and cooperation for the benefit of the Programme, within the scope of their agreed roles, expertise and sibilities as stated in the FAC-1 Documents, and all of their respective ons under the Contract Terms shall be construed within the scope of oles, expertise and responsibilities, and in all matters governed by (and to) the Contract Terms they shall act reasonably and without delay expressly stated otherwise in the Contract Terms.
Definitions and interpretation	1.2.	In the F	FAC-1 Contract:
		1.2.1.	where capitalised terms and/or italicised terms are used that are not defined in the <i>Definitions</i> set out in Appendix 1, they shall have the meanings stated elsewhere in the <i>FAC-1 Documents</i> (including in the "Definitions" section of the FAC-1 Brief, in the <i>Early Works Terms</i> and/or in the <i>Main Works Terms</i> as the context requires);
		1.2.2.	words denoting the singular shall include the plural and vice versa and words denoting any gender shall include all genders;
		1.2.3.	any reference to a person includes any person, individual, company, firm, corporation, government, state or agency of a state or any undertaking;
		1.2.4.	any references to any enactment, code of practice or <i>Applicable Law</i> is to be construed as a reference to such enactment, code of practice or <i>Applicable Law</i> or such provision as the same may have been or may from time-to-time hereafter be amended, consolidated, replaced and/or re-enacted;
		1.2.5.	where an act is required to be done within a specified period after or from a specified date, the period begins immediately after that date (provided that where the relevant period includes a day that is not a Working Day, that day is excluded);
		1.2.6.	a "week" is a continuous period of seven (7) days;
		1.2.7.	a general expression shall not be limited by any more specific expression preceding or following it and references to "includes" or "including" (or any analogous terms) shall be construed without limitation in relation to any foregoing wording;
		1.2.8.	the term "inform" or "informs" means in writing;
		1.2.9.	when used in the context of an <i>Order</i> and/or a <i>Notice to Proceed to Stage 2</i> , the terms "execute", "execution" and "executed" mean signed by a duly authorised representative (or by duly authorised

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			representatives) of the <i>Client</i> (but excluding the <i>Alliance Manager</i> ) and/or the relevant <i>Alliance Member</i> (as the context requires);
		1.2.10.	unless otherwise stated, a reference to a "clause" means a clause forming part of the Contract Terms;
		1.2.11.	unless otherwise stated, references to recitals, paragraphs, annexes or schedules are to recitals, paragraphs, annexures and schedules to the FAC-1 Contract (and shall form part of the operative provisions of the FAC-1 Contract);
		1.2.12.	the headings to the sections, clauses and sub-clauses forming part of the <i>FAC-1 Contract</i> are for convenience only and do not affect the construction or interpretation of the <i>FAC-1 Contract</i> or any document referred to in or forming part of the <i>FAC-1 Contract</i> , and
		1.2.13.	notwithstanding any other provision of the FAC-1 Contract, the Alliance Manager shall have no liability to any Alliance Member that is not the Client under or in connection with the FAC-1 Contract and is named and referred to in the FAC-1 Contract in its capacity as an authorised representative of the Client only.
FAC-1 Documents	1.3.	The FAC-1 Documents are described in the FAC-1 Agreement and any Document created or amended in accordance with the Contract T binding on the Client and the Alliance Members, except that:	
		1.3.1.	unless otherwise agreed pursuant to the Contract Terms and subject to clause 13.7, no FAC-1 Document added or amended after the FAC-1 Contract Commencement Date shall add to or amend the role(s), expertise, responsibilities or other obligations of any Alliance Member who does not agree it (such agreement to be given or declined acting reasonably); and
		1.3.2.	the FAC-1 Prices and FAC-1 Proposals of an Alliance Member shall bind only the Client and that Alliance Member.
Responsibility for FAC-1 Documents	1.4.	shall be and/or co to them its relian	liance Member who prepares any one (1) or more FAC-1 Documents responsible for the consequences of any error, omission, discrepancy onflict in or as between those FAC-1 Documents and/or its contributions under or in connection with the Contract Terms, except to the extent of ce (if stated in those FAC-1 Documents) on any information provided ne or more other Alliance Members, subject always to:
		1.4.1.	Special Term 4;
		1.4.2.	the application of the Early Works Terms to any Order in respect of Pre-Construction Activities that relate to a Works Package; and
		1.4.3.	the application of the <i>Main Works Terms</i> to a <i>Works Package</i> in respect of which a <i>Notice to Proceed to Stage 2</i> has been executed pursuant to the <i>Contract Terms</i> .
Errors, omissions, discrepancies and conflicts	1.5.	All FAC-	1 Documents shall be treated as complementary and:
		1.5.1.	the Client (via the Alliance Manager) and any Alliance Member shall give Early Warning in accordance with clause 1.8 as soon as it becomes aware of any error, ambiguity, inconsistency, omission, discrepancy and/or conflict in or between the FAC-1 Documents;

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		1.5.2.	conflict canno clause 1C), t	ambiguity, inconsistency, omission, discrepancy and/or of the resolved under clause 1.5.1 (and subject always to the priority between FAC-1 Documents shall follow the out in the FAC-1 Agreement in descending order except
			1.5.2.1.	the Special Terms shall have priority over the Contract Terms; and
			1.5.2.2.	the Special Terms and the Contract Terms shall both have priority over any of the Schedules (excluding Schedule 6) referred to in the FAC-1 Agreement,
			reasonably ar	e the <i>Client</i> otherwise specifies in writing (acting nd with due regard to the nature of the error, ambiguity, omission, discrepancy and/or conflict); and
		1.5.3.	and/or conflict Terms and S Stage 2 in d design and co	error, ambiguity, inconsistency, omission, discrepancy to between the FAC-1 Documents (including the Contract special Terms) and any Order or Notice to Proceed to connection with any Pre-Construction Activities or the construction of a Works Package respectively, any such shall be resolved in accordance with clause 1C.
Core Group	1.6.	Terms a	e Core Group shall review and support the implementation of the Contractors and shall fulfil the other functions stated in the FAC-1 Documents of eed by the Client, the Alliance Manager and the Alliance Members, and:	
		1.6.1.	Agreement o	oup comprises the individuals named in the FAC-1 or in any Joining Agreement subject to changes and for alternates agreed by the Client and the Alliance d
		1.6.2.	any employee shall attend C	bove-mentioned parties shall ensure at its own cost that e who is a <i>Core Group</i> member or an agreed alternate <i>Core Group</i> meetings and fulfil the agreed functions of a nember in accordance with the <i>FAC-1 Documents</i> .
Core Group meetings and decisions	1.7.	Each me	eeting of the Co	ore Group:
		1.7.1.	Group memb at not less tha	ened by the Alliance Manager at the request of any Core er and otherwise as required by the FAC-1 Documents an five (5) Working Days' notice (unless all Core Group ee a shorter period) issued to all Core Group members enda;
		1.7.2.		ed by the <i>Alliance Manager</i> and shall deal only with the in its agenda (unless all <i>Core Group</i> members otherwise
		1.7.3.	present at tha	decisions by Consensus of all Core Group members at meeting and the Alliance Members shall comply with of the Core Group made within the scope of its agreed
Early Warning	1.8.	Works T following	erms (to the ex the issue and	e application of the <i>Early Works Terms</i> and/or the <i>Main</i> stent that they apply to one (1) or more <i>Alliance Member</i> discussion of an <i>Order</i> and/or a <i>Notice to Proceed to</i> specific <i>Works Package</i> ) the <i>Client</i> , the <i>Alliance Manager</i>



		it is awa FAC-1 F	re of any matte Programme or	nber shall give Early Warning to one another as soon as er adversely affecting or threatening the Alliance or the its own performance or the performance of another the Contract Terms and:
				party shall submit as part of its <i>Early Warning</i> (within the agreed role, expertise and responsibilities) proposals for emedying that matter; and
		1.8.2.	(5) Working a Group memb course of acti	Manager shall convene a Core Group meeting within five Days from the date of Early Warning (unless all Core pers agree a shorter period) to agree an appropriate ion (unless all Core Group members agree such course out a meeting).
Communications	1.9.	Unless otherwise notified by the <i>Client</i> or the <i>Alliance Manager</i> to the <i>Allianders</i> from time to time and in writing (but subject always to clause 1.13 notices, requests, submissions, decisions, consents, approvals, communications, agreements, opinions, instructions, certificates, submissions proposals, records, acceptances, notifications, replies and communications between the <i>Parties</i> (referred to in clause 1.9 to clause (inclusive) as "communications") in connection with:		
		1.9.1.	connection wi	ontract generally, but excluding any communications in ith any Pre-Construction Activities that are the subject of the Works and/or Services that are the subject of a ceed to Stage 2 in respect of a Works Package, shall be
			1.9.1.1.	hand delivery;
			1.9.1.2.	first class inland (or recorded delivery) post; and/or
			1.9.1.3.	electronic mail; and
		1.9.2.	.9.2. any Pre-Construction Activities that are the subject of an the Works and/or Services that are the subject of a Notice to Stage 2 in respect of a Works Package, shall be issued by issued exclusively via the Communications Software.	
	1.10.	that are required from time to time in connection with the <i>Communi Software</i> and each <i>Alliance Member</i> acknowledges and agrees that licence does (and will) not extend to any <i>Subcontractors</i> or other third engaged by an <i>Alliance Member</i> in connection with the <i>FAC-1 Programm</i> time to time, who shall be responsible for maintaining at their own c similar or equivalent software packages that they choose to use in con with the <i>FAC-1 Programme</i> (including in connection with any <i>Works Pater</i> from time to time.		time to time in connection with the Communications liance Member acknowledges and agrees that such a not extend to any Subcontractors or other third parties Member in connection with the FAC-1 Programme from It be responsible for maintaining at their own cost any oftware packages that they choose to use in connection
	1.11.			
		1.11.1.	hand delivery	,
		1.11.2.	first class inla	ind (or recorded delivery) post; and/or
		1.11.3.	electronic ma	il.
	1.12.	Subject a	always to claus	se 1.13:
		1.12.1.	a communica	tion issued via the Communications Software shall have
			•	



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effect when it is communicated by the sender to the recipient in accordance with the Communications Software, provided that any communication sent after 17:00 shall be treated as having been received at 09:00 on the first (1st) Working Day after its transmission; and any other communication shall have effect on the following basis: 1.12.2. 1.12.2.1. any communication sent by hand is deemed to be received upon delivery to the address of the recipient as set out in the FAC-1 Agreement (or such other address as notified by a Party to the other Parties from time to time and in writing); 1.12.2.2. any communication sent by first (1st) class inland post and/or recorded delivery post to the address of the recipient (determined by reference to the above) is deemed as having been received two (2) Working Days following the date of posting; 1.12.2.3. any communication sent by electronic mail on a Working Day is deemed to have been received on the day of its transmission in legible form unless outside the hours of 09:00 to 17:00 or on a day that is not a Working Day, in which case it is treated as having been received at 09:00 on the first (1st) Working Day after its transmission, provided that the recipient has previously confirmed to the sender its electronic mail address in writing; and 1.12.2.4. any other communication sent by electronic means instead of electronic mail shall be deemed to have been received when, in addition to the issue or uploading of the original communication itself, confirmation of the same is provided by the sender to the relevant recipients by one of the above-mentioned means (and in accordance with the rules of receipt referred to therein). The use of the Communications Software or any other electronic means of 1.13. communication is not an effective method of communication for: any notification by an Alliance Member of its intention to suspend 1.13.1. performance of its obligations under the FAC-1 Contract (including under the Early Works Terms and Main Works Terms); 1.13.2. any notification by an *Alliance Member* or the *Client* in relation to the actual or potential termination of the engagement of any Alliance Member(s) under the FAC-1 Contract, the Early Works Terms and/or the Main Works Terms, as the context requires; 1.13.3. any invoking by the Client or an Alliance Member of the procedures applicable under the FAC-1 Contract, the Early Works Terms and/or the Main Works Terms (or under Applicable Law) in relation to the resolution of disputes or differences and/or any notification of an actual or potential dispute; and/or 1.13.4. any agreement between the Client and the Alliance Members amending the provisions of the FAC-1 Contract or between the Client and an Alliance Member amending the Early Works Terms and/or



			Main Works Terms (as the context requires),		
		hand del address a duplica Party (or only. W	h such communication needing to be provided in writing and issued by livery or first (1st) class inland post and/or recorded delivery post to the of the relevant recipient (as referred to in clause 1.12.2), provided that ate copy of any such communication may also be sent to the receiving Parties) by electronic mail (or other electronic means) for information where such a communication is issued by one (1) or more Alliance to the Client, a copy of the same must also be issued to the Alliance of the Client.		
Stakeholders	1.14.	The Alliance Members shall engage with the Stakeholders as stated in the FAC-1 Agreement but no Alliance Member shall owe a Stakeholder a duty of care in connection with the FAC-1 Contract unless such Stakeholder is a Beneficiary of a Collateral Warranty or Third Party Rights pursuant to and in accordance with the Early Works Terms and/or Main Works Terms.			
Additional Alliance Members	1.15.	Additional Alliance Members as listed in the FAC-1 Agreement or as otherwise agreed by the Client and the Alliance Members may join the Alliance from time to time and:			
		1.15.1.	upon receipt of notice from the Alliance Manager, the Client and the then current Alliance Members shall enter into a Joining Agreement with another Additional Client;		
		1.15.2.	following execution of a Joining Agreement (save where expressly stated in the FAC-1 Agreement) an Additional Alliance Member shall be bound by and entitled to implement and enforce the terms of the FAC-1 Contract as an Alliance Member with the role(s), expertise and responsibilities stated in the Joining Agreement, and all the provisions of the FAC-1 Contract shall apply to the Additional Alliance Member as if it were separately identified in the FAC-1 Contract;		
		1.15.3.	additional and amended FAC-1 Documents may be included in a Joining Agreement if agreed by the Client and all Alliance Members in order to describe the role(s), expertise and responsibilities of each Additional Alliance Member and extend the commitments described in clause 1.3; and		
		1.15.4.	unless otherwise agreed, an Additional Alliance Member shall have no rights or obligations under the FAC-1 Contract in relation to any matter arising before the effective date of its Joining Agreement and the basis of their joining the FAC-1 Contract shall be subject to any qualifications as set out in the FAC-1 Agreement and/or the Joining Agreement.		

2.	OBJEC TIMETA	•	SUCCESS	MEASURES,	TARGETS,	INCENTIVES	AND
Objectives	2.1.	responsit	pilities and in	, within the scope conjunction with ne <i>Objectives</i> .	_	· · · · · · · · · · · · · · · · · · ·	
Improved Value	2.2.	responsit proposal:	oilities, shall s for <i>Supply</i> <i>Activiti</i> es inte	r, within the scop investigate and <i>Chain Collabora</i> nded to achieve	submit for ation and/or i	Core Group ap n connection wi	proval ith the
	2.3.	Not used					



	2.4.	Not used.			
Timetable	2.5.	achieve	Deadlines, milestones and gateways in respect of the FAC-1 Programme and achievement of the Objectives, and timescales for the performance of the Alliance Activities are stated in the Timetable.		
Updated Timetable	2.6.		The Alliance Manager shall update the Timetable for Core Group approval to reflect the agreed effects of:		
		2.6.1.	any instructed Pre-Construction Services in connection with a specific Works Package (as appropriate);		
		2.6.2.	any instructed <i>Pre-Construction Activities</i> in connection with a <i>Works Package</i> (as appropriate);		
		2.6.3.	any instructed Stage 2 Services;		
		2.6.4.	any additional FAC-1 Responsibilities of the Alliance Members that might apply from time to time;		
		2.6.5.	any time-related adjustment(s) made pursuant to clause 10.5;		
		2.6.6.	changes under clause 9.1;		
		2.6.7.	any Joining Agreements; and		
		2.6.8.	any other changes agreed in accordance with the FAC-1 Documents.		

3.	ALLI	IANCE MANAGER		
Alliance Manager	3.1.	stated i Terms	iance Manager shall act on behalf of the Client with the authority n the FAC-1 Agreement, exercising any discretion under the Contract fairly and constructively and, subject to any restrictions stated in the Agreement, shall:	
		3.1.1.	implement the Works Package Procedure under clause 5, prepare Notices to Proceed to Stage 2 in connection with any allocated Works Package(s) under clause 5 and manage and issue potential and actual Orders under clause 7;	
		3.1.2.	monitor and support achievement of the <i>Objectives</i> , monitor and support achievement of deadlines, gateways and milestones in the <i>Timetable</i> , and prepare updates of the <i>Timetable</i> for <i>Core Group</i> approval in accordance with clause 2.6;	
		3.1.3.	call, organise, chair and minute Core Group meetings under clauses 1.7 and 15.1;	
		3.1.4.	organise, support and monitor Supply Chain Collaboration, the Alliance Activities and engagement with Stakeholders under clause 1.14;	
		3.1.5.	manage payments under clause 8; and	
		3.1.6.	monitor and support <i>Risk Management</i> in accordance with the <i>Risk Register</i> and prepare updates of the <i>Risk Register</i> for <i>Core Group</i> approval in accordance with clause 9.4.	
Representation of Client	3.2.	of it in t	ent confirms that the Alliance Manager is authorised to act on behalf hose matters stated in clause 3.1 and in the FAC-1 Documents or in a greenent.	

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Employees	3.3.	Each Alliance Member shall employ for the purposes of performing and discharging its duties and obligations under the FAC-1 Contract:		
		3.3.1.	individuals with the necessary skills, qualifications and experience and shall promptly remove or replace any individual who disrupts or adversely affects the FAC-1 Programme, any Alliance Activities and/or any Pre-Construction Services (as the context requires); and	
		3.3.2.	(without prejudice to the generality of the foregoing) the Key People.	

4.	AGRE	REED WORKS PACKAGE PRICES		
Agreed Works Package Prices	4.1.	_	reed Works Package Prices for all aspects of a Works Package shall eloped and agreed:	
		4.1.1.	in accordance with this clause 4;	
		4.1.2.	in accordance with the Works Package Procedure for the relevant Works Package;	
		4.1.3.	using (as applicable) an <i>Alliance Member's FAC-1 Prices</i> to the extent that they are relevant to a <i>Works Package</i> in accordance with the <i>Works Package Procedure</i> ; and	
		4.1.4.	within any <i>Budget</i> stated by the <i>Client</i> as part of the <i>Works Package Procedure</i> for the relevant <i>Works Package</i> (as the context requires).	
Fluctuations	4.2.	Terms Packag Prices 1 2 has be	C-1 Prices shall not be subject to fluctuation under the Contract unless specified otherwise in the FAC-1 Brief or in the Works of Procedure (as the context requires). The Agreed Works Package for a Works Package in respect of which a Notice to Proceed to Stage een executed in accordance with the Contract Terms shall be subject fluctuation provisions stated in the Main Works Terms only.	
Profit and Overheads	4.3.	The Agreed Works Package Prices for a Works Package shall state separately an Alliance Member's Profit and Overheads as disclosed pursuant to, in accordance with and in such form as requested by the Alliance Manager as part of the Works Package Procedure (as the context requires).		
Other costs	4.4.	Unless otherwise stated by the Alliance Manager as part of the Work Package Procedure, the Agreed Works Package Prices shall include cos approved by the Alliance Manager as a result of Supply Chain Collaboration		

5.	ALLOCATION & ISSUE OF NOTICES TO PROCEED TO STAGE 2			
Allocated Works Packages	5.1.	The Allocation Schedule sets out the basis upon which each Works Package is (or may be) allocated to an Alliance Member under this FAC-1 Contract.		
Works Package Procedure	5.2.	Subject to prior confirmation by the <i>Client</i> the <i>Alliance Manager</i> will notify an <i>Alliance Member</i> in writing when a <i>Works Package Procedure</i> is to commence in respect of an <i>Allocated Works Package</i> , following which:		
		5.2.1. the <i>Alliance Manager</i> shall issue to the relevant <i>Alliance Member</i> the <i>Works Package Brief</i> for the <i>Works Package</i> in accordance with the <i>Works Package Procedure</i> ;		

		5.2.2.	Works Pa Package F time period notified by	ce Member shall submit to the Alliance Manager its ackage Proposals and its proposed Agreed Works Prices in response to the Works Package Brief within any d(s) stated within the Works Package Procedure (or as the Alliance Manager in writing as part of the Works Procedure);
		5.2.3.	of the Worlesser Fackage Facka	the assessment by the Alliance Manager and the Client of Research Proposals and the proposed Agreed Works Prices submitted by the Alliance Member in respect of in accordance with the Works Package Procedure (and the completion of any clarification or other stage specified orks Package Procedure or notified by the Alliance during the Works Package Procedure as being part of ess), the Alliance Manager after receipt of written on from the Client will notify the Alliance Member in either or not its submitted Works Package Proposals and torks Package Prices are acceptable to the Client; and
		5.2.4.	1	otification confirms that the submitted Works Package and Agreed Works Package Prices are:
			5.2.4.1.	accepted (and subject to clause 5.8), the Alliance Manager shall issue to the Alliance Member a Notice to Proceed to Stage 2 in relation to that Works Package pursuant to clause 5.4; or
			5.2.4.2.	not accepted, the <i>Works Package Procedure</i> shall be concluded in accordance with the provisions of the <i>Works Package Procedure</i> and the provisions of clause 5.8.4 shall apply,
		always t Works P not com Works P Alliance Alliance	hat the <i>Clie</i> ackage Pro nence and/ ackage, in v Member of Member to Works and	ccordance with the Works Package Procedure, provided int may, at its sole discretion at any time, terminate any cedure or notify the relevant Alliance Member that it shall for conclude a Works Package Procedure in respect of a which case the Alliance Manager shall notify the affected this in writing and specify the steps to be taken by that be bring the Works Package Procedure (and/or other d/or Services, as the context requires) to an orderly
Main Works Terms	5.3.	relevant clause 5 shall be referred part of a Terms, simay be a during to necessa Works F	Notice to F. 4), the term the Main Verto therein a my Works February with the Works ry to reflect ackage an	The Works Package Procedure and as stated in the Proceed to Stage 2 (when so issued in accordance with its and conditions that will apply to each Works Package Works Terms (incorporating any supporting documents and issued to the Alliance Member(s) or referred to as Package Brief) and (subject to clause 1A) the Contract to any amendments to the Main Works Amendments as an Alliance Member at the sole discretion of the Client Package Procedure as the Client determines are that any circumstances that are specific to an individual discretion.
Issue of a Notice to Proceed to Stage 2	5.4.	Followin	g:	
		5.4.1.	the compl	etion of the Works Package Procedure in respect of a



			Works Pac	ckage; and		
		5.4.2.	notification 5.2.4 conf Works Pac	that the relevant Alliance Member has received a from the Alliance Manager issued pursuant to clause irming that the Works Package Proposals and Agreed ckage Prices submitted by that Alliance Member as particles Package Procedure are acceptable to the Client,		
		to Stage Works F received	2 to the re Package, in from the A	er shall, subject to clause 5.8, issue a <i>Notice to Proceed</i> levant <i>Alliance Member</i> in connection with the relevant accorporating the relevant documents issued to and alliance <i>Manager</i> as part of and in accordance with the accordance to the provisions of clause 5.5 shall apply.		
Signature of a Notice to Proceed to Stage 2	5.5.	three (3) Stage 2 within su Alliance Member	Working E in respect uch other p Member, a of such No	r shall execute and return to the Alliance Manager within Pays from the date of receipt any Notice to Proceed to of a Works Package issued pursuant to clause 5.4 (or period as the Alliance Manager may agree with that acting reasonably) and the execution by the Alliance tice to Proceed to Stage 2 is a condition precedent to its ose of the FAC-1 Contract.		
Exclusivity in respect of Stage 1 and Stage 2	5.6.	Each <i>All</i>	Each Alliance Member acknowledges and agrees that:			
		5.6.1.	subject to clause 5.2, clause 5.7 and clause 5.8, each Allia.  Member has the exclusive right under this FAC-1 Contract undertake their respective Stage 1 Services;			
		5.6.2.	have the e be perform the FAC-1 and without instruction	et out in clause 5.6.1, no Alliance Member has or shall exclusive right to undertake any works and/or services to ned and undertaken on behalf of the Client in relation to Programme and the Client may, at its sole discretion ut incurring any liability to any Alliance Member, issue is to other third parties to carry out works and/or services in relation to the FAC-1 Programme;		
		5.6.3.	the Client	makes no guarantee to any <i>Alliance Member</i> that:		
			5.6.3.1.	it shall instruct that Alliance Member to undertake any Pre-Construction Services and/or any Pre-Construction Activities pursuant to an Order in accordance with the Contract Terms; and/or		
			5.6.3.2.	it shall issue to an Alliance Member a Notice to Proceed to Stage 2 in respect of a Works Package following the completion of any Works Package Procedure; and		
		5.6.4.	entitlement waive and in contract damages, amounts in profit, loss turnover, lo of finance, consequer basis) aris	to make any claim and irrevocably and unconditionally abandon any and all claims against the <i>Client</i> (whether t, tort or any other basis of law) in respect of any costs, expense and/or loss (including, without limitation, any n respect of loss or deferment of anticipated or actual of expectation, bid costs, loss of revenue, loss of oss of use, loss of opportunity, loss of production, costs business interruption or any similar damage or for any nitial and/or indirect losses of any other kind and on any ing out of or in connection with the <i>Client</i> exercising its er clause 5.2, 5.8 and/or clause 9.1 and/or where the		

		Client does not instruct one (1) or more Alliance Member to undertake any Pre-Construction Services and/or Pre-Construction Activities pursuant to any Order(s) and/or issue a Notice to Proceed to Stage 2 to one (1) or more Alliance Member, including in respect of any expenditure of any kind incurred by an Alliance Member in expectation of being issued a Notice to Proceed to Stage 2 in respect of any Works Package.
5.7	. Each <i>All</i>	liance Member acknowledges and agrees that if:
	5.7.1.	it is subject to one (1) or more of the circumstances set out under clause 5.8 or has received an omission notification issued to it by the <i>Alliance Manager</i> under clause 9.1;
	5.7.2.	the engagement of that Alliance Member under this FAC-1 Contract is terminated in accordance with its terms (other than pursuant to clause 14.2) and/or where the obligation of an Alliance Member (in the capacity of a "Contractor") to Provide the Works under the Early Works Terms and/or the Main Works Terms pursuant to an Order and/or a Notice to Proceed to Stage 2 between the Client and that Alliance Member (as the context requires) is terminated; or
	5.7.3.	if any other circumstance that may be identified in the Allocation Schedule applies,
	<i>Member</i> under th	ing a " <b>Reallocation Event</b> ", then any right that the relevant <i>Alliance</i> might have had in respect of the relevant <i>Works and/or Services</i> e <i>FAC-1 Contract</i> shall cease immediately as from the date on which and circumstance occurs.
5.8		prejudice to the generality and application of clause 5.2.4 and clause circumstances referred to in clause 5.7 are as set out below:
	5.8.1.	Where an <i>Alliance Member</i> has received a notification from the <i>Alliance Manager</i> issued pursuant to clause 5.2.4 confirming that its <i>Works Package Proposals</i> and <i>Agreed Works Package Prices</i> submitted by that <i>Alliance Member</i> as part of the <i>Works Package Procedure</i> are acceptable, seeks to, whether prior to or after the issue of the <i>Notice to Proceed to Stage</i> 2 for the relevant <i>Works Package</i> , amend or otherwise adjust any documents forming part of or referred to in the <i>Notice to Proceed to Stage</i> 2 (including the <i>Works Package Proposals</i> (as the context requires) and/or the relevant <i>Agreed Works Package Prices</i> that it submitted to (and were acceptable to) the <i>Alliance Manager</i> during any <i>Works Package Procedure</i> ), the <i>Client</i> may terminate the engagement of that <i>Alliance Member</i> under the <i>FAC-1 Contract</i> on ten (10) <i>Working Days</i> ' written notice and the provisions of clause 14.8 shall apply.
	5.8.2.	Where an Alliance Member fails to execute and return to the Alliance Manager a Notice to Proceed to Stage 2 issued to it in accordance with clause 5.5 within the relevant period, the Alliance Manager may (on the instructions of the Client) issue a further notice to that Alliance Member withdrawing the Notice to Proceed to Stage 2.
	5.8.3.	Where an Alliance Member declines (or fails) to submit its Works Package Proposals and Agreed Works Package Prices in

			response to a Works Package Brief, the Client may, at its sole discretion, notify that Alliance Member that it has forfeited any right of allocation in respect of any Works Package under this FAC-1 Contract and may terminate the engagement of that Alliance Member under the FAC-1 Contract on ten (10) Working Days' written notice and the provisions of clause 14.8 shall apply to such termination.
		5.8.4.	Where, as part of a Works Package Procedure, the Alliance Manager notifies an Alliance Member that its Works Package Proposals and proposed Agreed Works Package Prices are not accepted by the Client pursuant to clause 5.2.4.2, the Alliance Manager may (acting on the instruction of the Client) issue a further notice to that Alliance Member withdrawing the Works Package from the FAC-1 Programme.
		5.8.5.	Where the <i>Client</i> , at its sole discretion and for any reason, elects not to proceed with (as the context requires) the finalisation of the design for and the construction of <i>Works Package</i> that has been allocated to an <i>Alliance Member</i> pursuant to and in accordance with the <i>Works Package Procedure</i> , the <i>Alliance Manager</i> shall notify the affected <i>Alliance Member</i> in writing.
Costs in relation to each Works Package Procedure	5.9.	expressl is entitle by an <i>Ali</i>	expressly stated otherwise in the Works Package Procedure or y forming part of the Stage 1 Services for which an Alliance Member d to receive payment under the Contract Terms, any costs incurred liance Member in taking part in the Works Package Procedure shall e solely by the relevant Alliance Member.
Reallocation of Works and/or Services	5.10.	sole disc Works a	Reallocation Event occurs, the Client shall have the option, at its cretion and at any time, to seek to secure the performance of the nd/or Services that are the subject of the Reallocation Event (the nt Works and/or Services") by reference to clause 5.11.
	5.11.	Where the	ne Client determines it appropriate in the context of the Reallocation
		5.11.1.	the Client may offer to another Alliance Member, by reference to the procedure set out in the Allocation Schedule, the opportunity to undertake and complete the Relevant Works and/or Services, by reference to the appropriate provisions of this FAC-1 Contract, modified by written agreement as between the Client and that Alliance Member to reflect the circumstances of the Reallocation Event (and which may involve that Alliance Member taking part in a Works Package Procedure tailored to the Relevant Works and/or Services); and
		5.11.2.	if such Alliance Member as referred to in clause 5.11.1 declines the opportunity to undertake and complete the Relevant Works and/or Services referred to in clause 5.11.1, the Client may offer such opportunity to another Alliance Member by reference to the procedure set out in the Allocation Schedule and, if that further Alliance Member also declines such opportunity the Client may remove the Relevant Works and/or Services from the FAC-1 Programme at its sole discretion,
		5.11 doe	always that a "Reallocation Event" for the purposes of this clause is not include the event specified at clause 5.8.5 and the Client and ince Manager shall keep all remaining Alliance Members fully

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	informed of the decision of the <i>Client</i> and the outcome of such discussions with the <i>Alliance Member(s)</i> .
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6.	ALLIA	ALLIANCE ACTIVITIES, SUPPLY CHAIN COLLABORATION AND TIMETABLE			
Alliance Activities	6.1.	As from the FAC-1 Contract Commencement Date, the Alliance Members shall undertake the Alliance Activities described in and on the basis set out in the FAC-1 Agreement and the FAC-1 Brief and otherwise agreed by the Alliance Manager, working within the timescales stated in the Timetable and other timescales agreed by Alliance Members. The Alliance Members, in undertaking the Alliance Activities, shall do so in order to create Improved Value consistent with the Objectives.			
Supply Chain	6.2.	The Alliance Members shall seek to establish and develop relationships with Supply Chain members that are complementary to the relationships under the Contract Terms and that assist in the achievement of the Objectives and shall use Supply Chain Contracts that are consistent with the Main Works Terms, which may involve (without limitation) the Alliance Members (either collectively or individually) entering into one (1) or more agreements with Supply Chain members for the benefit of the FAC-1 Programme and/or any Works Package(s) on terms to be agreed as between the Client, the relevant Alliance Member(s) and the relevant Supply Chain member(s).			
Supply Chain Collaboration	6.3.	by the C Activitie include consiste scale S	in the FAC-1 Agreement or the FAC-1 Brief or as otherwise agreed Client, the Alliance Manager and the Alliance Members, the Alliance is (and, as stated in an Order, any Pre-Construction Services) shall Supply Chain Collaboration in order to achieve Improved Value and with the Objectives through more consistent, longer term, larger upply Chain Contracts and through other improved Supply Chain ments and working practices by means of:		
		6.3.1.	agreeing through the Core Group, if not already set out in the FAC-1 Brief, the basis for sharing information between the Client, the Alliance Manager and the Alliance Members and in relation to their current and proposed Supply Chain Contracts and, if not already set out in the Timetable, the timescales for each stage of Supply Chain Collaboration;		
		6.3.2.	reviewing and comparing the value offered by each Alliance Member's current and proposed Supply Chain;		
		6.3.3.	reviewing the potential for more consistent, longer term, larger scale Supply Chain Contracts and for other improved Supply Chain commitments and working practices;		
		6.3.4.	jointly re-negotiating Supply Chain Contracts or undertaking joint Supply Chain tender processes, in each case through procedures to be approved by the Core Group, to be led by one or more agreed Alliance Members and to be organised, monitored and supported by the Alliance Manager, and		
		6.3.5.	subject to approval by the <i>Client</i> of the <i>Improved Value</i> resulting from <i>Supply Chain Collaboration</i> , agreeing and entering into more consistent, longer term, larger scale <i>Supply Chain Contracts</i> and other improved <i>Supply Chain</i> commitments and working practices.		



ACTIV	TIVITIES AND STAGE 2 SERVICES		
7.1.	1	nce Manager may from time-to-time issue to an Alliance Member a equest for a proposal from that Alliance Member in connection with sion of:	
	7.1.1.	Pre-Construction Services in relation to a Works Package;	
	7.1.2.	Pre-Construction Activities in relation to a Works Package; and/or	
	7.1.3.	following the issue of the relevant Notice to Proceed to Stage 2, the Stage 2 Services,	
	Package	he <i>Client</i> intends but cannot guarantee will relate to a <i>Works</i> that is an <i>Allocated Works Package</i> of that <i>Alliance Member</i> ) as an <i>Request</i> ", with such <i>Order Request</i> setting out:	
	(a)	a draft <i>Order</i> (identifying where any sections in or documents comprising the draft <i>Order</i> are to be completed by the <i>Alliance Member</i> );	
	(b)	the relevant Works Package;	
	(c)	the nature, specification and requirements of the Client,	
	(d)	the timescale(s) within which the stated requirements of the <i>Client</i> are to be completed (by reference to the <i>Timetable</i> or otherwise);	
	(e)	any proposals from the <i>Client</i> as to the basis upon which the <i>Alliance Member</i> shall be remunerated for performing and completing the stated requirements; and	
	(f)	any other details that the <i>Client</i> considers necessary to be included as part of the <i>Order Request</i> .	
7.2.	Member proposals shall inclusion completing Activities calculate	n Order Request is issued by the Alliance Manager to an Alliance pursuant to clause 7.1, that Alliance Member shall provide its in response to the Order Request (an "Order Proposal"), which ude any costs proposed by the Alliance Member for carrying out and ing the relevant Pre-Construction Services, Pre-Construction or Stage 2 Services and the basis upon which such costs are admitted by Working Days of its receipt of the Order Request (or her date as may be agreed with the Alliance Manager, acting oly).	
7.3.	accordar (5) Work period as	g its receipt of an Order Proposal from an Alliance Member in acce with clause 7.2, the Alliance Manager shall within a further five ing Days of its receipt of the Order Proposal (or within such others the Alliance Manager may, acting reasonably and following prior tion from the Client, notify to the Alliance Member during this period)	
	7.3.1.	confirm in writing that it wishes for that Alliance Member to carry out the relevant Pre-Construction Services, Pre-Construction Activities or Stage 2 Services following which it will issue to the Alliance Member a completed Order which the Alliance Member shall sign and return to the Alliance Manager within three (3) Working Days of its receipt of the same; or	
	7.3.2.	withdraw the Order Request at no cost to the Client, following which the Alliance Member shall not be authorised and shall not perform the Pre-Construction Services, Pre-Construction Activities	



		or Stage 2 Services that were the subject of the Order Request.
7.4.	An Orde	r in respect of any:
	7.4.1.	Pre-Construction Services or Stage 2 Services shall be governed by and subject to the Contract Terms; and
	7.4.2.	Pre-Construction Activities shall be governed by and subject to the Early Works Terms and (subject to clause 1A) the Contract Terms,
7.5.	1	r shall be or constitute a <i>Notice to Proceed to Stage 2</i> or give rise to so obligations beyond its stated scope.
7.6.	Each <i>All</i>	iance Member acknowledges and agrees that:
	7.6.1.	it shall not perform (and the <i>Client</i> shall have no liability to make any payment to an <i>Alliance Member</i> in respect of) any <i>Pre-Construction Services</i> , <i>Pre-Construction Activities</i> or <i>Stage 2 Services</i> unless and until the <i>Alliance Manager</i> has with the prior confirmation of the <i>Client</i> first issued an <i>Order</i> to the <i>Alliance Member</i> in respect of the same and the <i>Alliance Manager</i> has received the <i>Order</i> executed and returned to the <i>Alliance Manager</i> by the <i>Alliance Member</i> ,
	7.6.2.	where an Alliance Member performs any Pre-Construction Services, Pre-Construction Activities or Stage 2 Services in advance of the Alliance Manager receiving such an executed Order, it shall do so at its own risk and cost; and
	7.6.3.	no amendments to the Early Works Terms shall be incorporated into an Order save where, in the Client's sole discretion, the Client determines that certain amendments (as agreed with the Alliance Member) are reasonably necessary to reflect any circumstances that are specific to the relevant Works Package and where the Alliance Member is not seeking to materially change the Early Works Terms).
7.7.	in conn	ance Member is instructed to undertake Pre-Construction Activities ection with a Works Package and that Works Package is ently reallocated to another Alliance Member in accordance with .11:
	7.7.1.	the Alliance Member instructed to undertake Pre-Construction Activities shall be required to provide a Contractor Collateral Warranty (amended to reflect the context of the Alliance Member's engagement under the Order in connection with the Pre-Construction Activities only) in favour of the Alliance Member (as a Beneficiary) that has subsequently been allocated such Works Package; and
	7.7.2.	the provision of the Contractor Collateral Warranty shall be a condition precedent to any issue of a Notice to Proceed to Stage 2 to the Alliance Member that has undertaken such Pre-Construction Activities.

8.	PAYM	ENT			
Payment – generally	8.1.	The Alliance Members acknowledge and agree that:			
		8.1.1.	an Alliance Member shall be paid for the provision of any Pre-		



			Constructi	ion Activities that are the subject of an Order pursuant to		
			and in acc	cordance with the <i>Early Works Terms</i> only; and/or		
		8.1.2.	and/or Se subject of	e Member shall be paid for the provision of any Works rvices in connection with a Works Package that is the an executed Notice to Proceed to Stage 2 pursuant to cordance with the Main Works Terms only.		
Payment – Alliance Services	8.2.	Member	Subject to the provisions of clause 8.3 to clause 8.7 (inclusive), each <i>Allia Member</i> shall have an entitlement to payment under the <i>Contract Terri</i> respect of:			
		8.2.1.	Member's	1 Services as calculated in accordance with an Alliance FAC-1 Prices (and subject to any milestone or other econditions that apply to the same as set out in the FAC-nd		
		8.2.2.	been issu	Construction Services in respect of which an Order has ed (and subject to any milestones and/or other related ons that apply to the same as set out in the relevant		
		8.2.3.	any Stage 2 Services in respect of which an Order has been is (and subject to any milestones and/or other related precondithat apply to the same as set out in the relevant Order),			
		provided always that:				
		(a)		Prices will be subject to any fluctuation provisions stated 3-1 Brief or as specified in the Works Package Procedure		
		(b)	of any Pre	payable by the <i>Client</i> to an <i>Alliance Member</i> in respect e-Construction Services and/or Stage 2 Services will be fluctuation only to the extent specified in the relevant		
	8.2A	Without prejudice to the generality of clause 4, clause 5 and clause 8, ea Alliance Member acknowledges and agrees that:				
		8.2A.1		plied with the <i>Pricing Rules</i> when preparing the <i>FAC-1</i> elation to the provision of the <i>Stage 1 Services</i> ; and		
		8.2A.2		e discretion of the <i>Client</i> , the <i>Pricing Rules</i> shall be used eloping and agreeing:		
			8.2A.2.1	a price (or price(s)) in respect of any potential Order(s) for Pre-Construction Services, Pre-Construction Activities and/or Stage 2 Services as may be required by the Client from time to time pursuant to and in accordance with clause 7; and/or		
			8.2A.2.2	the Agreed Works Package Prices for a Works Package during a Works Package Procedure pursuant to and in accordance with clause 4 and clause 5.		
Payment applications and <i>Due Dates</i>	8.3.	submit to	o the <i>Alliar</i> t of amount	nce Member shall, on or before each Assessment Date, nce Manager (addressed to the Client) applications for the under the FAC-1 Contract for the Works and/or in clause 8.2:		
		8.3.1.	with suppo	orting information as stated in the FAC-1 Brief;		
			1			



		8.3.2.	stating the	e amount the <i>Alliance Member</i> considers due to it on the and	
		8.3.3.	3. specifying the basis on which that amount is calculated.		
Payment Notices to Alliance Members	8.4.	Within seven (7) days from receipt of each application for payment made to an <i>Alliance Member</i> in accordance with clause 8.3, the <i>Alliance Manage</i> shall issue to that <i>Alliance Member</i> and the <i>Client</i> as agreed a <i>Paymen Notice</i> :			
		8.4.1.	8.4.1. stating the amount the <i>Alliance Manager</i> considers due on the <i>Date</i> ;		
		8.4.2.	calculated	I in accordance with:	
			8.4.2.1.	the FAC-1 Prices in respect of the Stage 1 Services; and/or	
			8.4.2.2.	the relevant Order in respect of any Pre-Construction Services and/or Stage 2 Services,	
			subject of	sh the value of the Works and/or Services that are the the application for payment, less the total of all amounts paid to that Alliance Member under the Contract Terms;	
		8.4.3.	specifying	the basis on which that amount is calculated.	
Payments to Alliance Members	8.5.	Subject to any Pay Less Notice issued under clause 8.7, the Client shall pay to each Alliance Member (other than the Alliance Manager) the amount stated in a Payment Notice issued pursuant to clause 8.4 by the Final Date for Payment.			
Default <i>Payment</i> <i>Notices</i>	8.6.	1		nager does not issue a Payment Notice to an Alliance noe with clause 8.4:	
		8.6.1.		ant <i>Alliance Member'</i> s application for payment under shall be treated as the <i>Payment Notice</i> ; and	
		8.6.2.	shall pay	any Pay Less Notice issued under clause 8.7, the Client the amount stated as due in the application for payment al Date for Payment.	
Pay Less Notices	8.7.	Not later than one (1) day before the <i>Final Date for Payment</i> of any amour due and if the <i>Client</i> as agreed considers a lesser amount to be due that the amount stated in an application for payment:			
		8.7.1.	to an Allia Managera the date th	ce Manager may give a notice (as a "Pay Less Notice") ance Member specifying the amount that the Alliance and the Client considers due to that Alliance Member on hat the Pay Less Notice is served and the basis on which int is calculated; and	
		8.7.2.	clause 8.7	ess Notice is issued to an Alliance Member under this , the Client shall pay to that Alliance Member the amount hat Pay Less Notice by the Final Date for Payment.	
Adjustment of notices and payments	8.8.	1		payment to an <i>Alliance Member</i> made pursuant to and this clause 8 shall:	
		8.8.1.	prevent its	s later reconsideration and adjustment; and/or	
		8.8.2.	imply or h	e evidence of approval or acceptance of any part of any	



			Stage 1 Services, Pre-Construction Services and/or Stage 2 Services provided by that Alliance Member by or on behalf of the Client.	
Suspension of performance	8.9.	If the Client as agreed fails to make any payment due to an Alliance Member in accordance with this clause 8 by the Final Date for Payment, and if the failure continues for seven (7) days after the relevant Alliance Member in given the Alliance Manager notice of its intention to suspend performance the Stage 1 Services, the Pre-Construction Services and/or the Stage Services (to the extent only that the outstanding payment relates to the same) and the grounds for the intended suspension, then:		
		8.9.1.	that Alliance Member may suspend performance of any or all of its notified obligations to the Client under the Contract Terms until the payment due is received in full; and	
		8.9.2.	where an <i>Alliance Member</i> exercises this right of suspension, the <i>Client</i> shall pay to that <i>Alliance Member</i> a reasonable amount in respect of costs and expenses reasonably incurred by it as a result of the exercise of this right.	
Interest on late payment	8.10.	Any delay in a due payment beyond the <i>Final Date for Payment</i> shall entitle an <i>Alliance Member</i> to be paid interest at the rate stated in the <i>FAC-1 Agreement</i> .		

8A.	VALID	INVOICES			
	8A.1	Each <i>Alli</i>	ance Member acknowledges and agrees that:		
		8A.1.1	it is a condition precedent to the commencement of the processes set out in clause 8.3 to clause 8.7 (inclusive) in respect of an application for payment submitted by or on behalf of an <i>Alliance Member</i> pursuant to clause 8 that such application for payment is a <i>Valid Invoice</i> (provided always that the <i>Alliance Manager</i> must act reasonably and proportionately in making a determination as to whether an invoice is a <i>Valid Invoice</i> based upon the nature and type of the purported non-compliant part(s) of the application for payment);		
		8A.1.2	where an application for payment submitted by an <i>Alliance Member</i> to the <i>Alliance Manager</i> pursuant to clause 8 is not a <i>Valid Invoice</i> , the <i>Alliance Manager</i> shall notify the <i>Alliance Member</i> of this in writing and the <i>Alliance Member</i> shall be entitled to apply for payment for the items otherwise referred to in the non-compliant application for payment as part of the application for payment that it issues to the <i>Alliance Manager</i> at the next <i>Assessment Date</i> and no earlier; and		
		8A.1.3	clause 8 shall be construed accordingly.		
	8A.2	in accord adjustme 1 Contra	ng the issue of an application for payment by the Alliance Member lance with clause 8 that is a Valid Invoice, there is subsequently any ent to the consideration due to the Alliance Member under the FAC-ct or the extent to which the Works and/or Services provided by the Member is a supply on which VAT is chargeable under Applicable n:		
		8A.2.1	if the adjustment is upward or the extent to which the supply is a supply on which the $VAT$ that is chargeable increases, then:		

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			8A.2.1.1	the Alliance Member shall issue a new Valid Invoice or an additional or revised Valid Invoice (as the case may be) to the Alliance Manager, and
			8A.2.1.2	the Client will pay to the Alliance Member an amount which is equal to any VAT or additional VAT (as the case may be) arising on and from the date of the adjustment in respect of the supply for which the Alliance Member is liable to account; or
		8A.2.2	1	stment is downward or the extent to which the supply is n which the <i>VAT</i> that is chargeable decreases, then:
			8A.2.2.1	the Alliance Member shall issue a valid VAT credit note or a revised Valid Invoice to the Alliance Manager and the Alliance Member will pay to the Client an amount which is equal to any reduction in the VAT arising in respect of the supply for which the Alliance Member is liable to account; or
			8A.2.2.2	the Client (via the Alliance Manager) and the Alliance Member may agree in writing that the Client may withhold from any further sums payable to the Alliance Member under the FAC-1 Contract an amount which is equal to any reduction in the VAT arising in respect of the supply for which the Alliance Member is liable to account.
	8A.3	If the <i>Client</i> pays an <i>Alliance Member</i> any sums under or in connection with the <i>FAC-1 Contract</i> prior to the submission of a <i>Valid Invoice</i> , such payment shall be deemed to have been paid to the <i>Alliance Member</i> on account and shall be deductible from the next payment to be made to the <i>Alliance Member</i> under the <i>FAC-1 Contract</i> .		

9.	CHAN	GE MANA	GEMENT	
Change	9.1.	Any change to:		
		9.1.1.	the scope of the Stage 1 Services (and any adjustment to the entitlement of an Alliance Member to payment in respect of the provision of the Stage 1 Services as part of its FAC-1 Prices as a consequence of the same) shall be agreed by each Alliance Member, the Client and the Alliance Manager in advance and in writing; and	
		9.1.2.	the scope of any Stage 2 Services and any Pre-Construction Services (and any adjustment to the entitlement of an Alliance Member to payment in respect of the provision of the same pursuant to the relevant Order as a consequence of the same) shall be agreed by the relevant Alliance Member, the Client and the Alliance Manager in advance and in writing,	
		1 -	always that the <i>Client</i> may, by way of a written notification issued <i>liance Manager</i> to:	
		(a)	all or any of the <i>Alliance Members</i> , omit the whole or part of the <i>Stage 1 Services</i> ; and	
		(b)	the relevant Alliance Member, omit the whole or part of any Pre-	



			Construction Services and/or Stage 2 Services in respect of which an Order has been issued to that Alliance Member,	
		following which an <i>Alliance Member's</i> entitlement to payment in respect of such omitted items shall be calculated on a prorated basis by reference to the original agreed cost of the same if originally a fixed cost or, in any other case, as determined by the <i>Alliance Manager</i> (acting reasonably and having regard to the nature, type and assumed value of the omitted items where considered as part of the overall <i>Stage 1 Services</i> , <i>Pre-Construction Services</i> and/or <i>Stage 2 Services</i> of which such omitted items form part) provided always that:		
		(i)	the provisions of clause 5.7 shall apply to any such omission; and	
		(ii)	the <i>Client</i> may not instruct another <i>Alliance Member</i> to undertake any <i>Works and/or Services</i> that have been omitted from the scope of <i>Works and/or Services</i> of another <i>Alliance Member</i> pursuant to this clause 9.1 where the omission was not notified as a result of or in connection with the relevant <i>Alliance Member's</i> failure to comply with its obligations under this <i>FAC-1 Contract</i> .	
Risk management	9.2.	The Alliance Members recognise the risks involved in the FAC-1 Programme and shall undertake Risk Management together and individually in accordance with the FAC-1 Documents in order to analyse and manage those risks using the most effective methods.		
Risk Register	9.3.	The Alliance Members shall undertake the Risk Management actions described in the Risk Register within the periods stated in the Risk Register and as otherwise agreed by the Alliance Members.		
Updated Risk Register	9.4.	The Alliance Manager shall update the Risk Register for Core Group approval at the intervals stated in the Timetable and as otherwise agreed by Alliance Members.		

10.	DUTY	OF CARE	AND PERFORMANCE OF DUTIES AND OBLIGATIONS	
Skill and care	10.1.	1	liance Member undertakes and warrants to the Client that, in ng and undertaking the:	
		10.1.1.	the Alliance Activities; and	
		10.1.2.	any Pre-Construction Services that are the subject of an Order issued pursuant to clause 7,	
		it has carried out and shall continue to carry out the same using the Standard of Care.		
Mutual duties	10.2.	Subject to clause 10.3 and clause 11, each <i>Alliance Member</i> shall owe each other a duty of care under the <i>Contract Terms</i> in respect of the <i>Alliance Activities</i> only (and such duty of care shall be determined by reference to the <i>Standard of Care</i> ).		
Specific documents	10.3.	An Alliance Member shall owe a duty of care in respect of any documents submitted by it to the Alliance Manager pursuant to and in accordance any Works Package Procedure, its FAC-1 Proposals, its FAC-1 Prices and/or pursuant to clause 7 only to the Client and not to the remaining Alliance Members.		
Performance of duties and obligations	10.4.	Without prejudice to the generality of clause 10.1, each <i>Alliance Member</i> shall, at all times, in the performance of its duties and obligations under the		



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		Contract	<i>Terms</i> , hav	e full regard to and comply with:
		10.4.1.	the Contrac	ct Terms;
		10.4.2.	intended to	ble Law (save that nothing in the Contract Terms is nor shall be construed as imposing a fitness for digation on any Alliance Member); and
		10.4.3.	reasonably	ctions issued by the <i>Alliance Manager</i> (acting) in connection with the <i>Alliance Activities</i> and any <i>Pre-</i> on Services,
		Construc Timetabl Alliance	ction Service le and any <i>Manager</i> to	and undertake the Alliance Activities and any Preses regularly and diligently and having full regard to the other dates and milestones that are notified by the the Alliance Member from time to time in writing in FAC-1 Programme.
Delay	10.5.	1		nce Member is prevented or delayed in the performance gations under the Contract Terms that is attributable to:
		10.5.1.		ment, prevention or default, whether by act or omission, ient, the Alliance Manager and/or the Programmes;
		10.5.2. an ins	1	on of the Alliance Manager under the Contract Terms;
		10.5.3.		nsion by the <i>Client</i> pursuant to <i>Special Term</i> 33.4 or an e <i>mber</i> pursuant to clause 8.9,
		and save where such events are not in any way consequent upon necessitated by any negligence, omission, default, breach of contract breach of statutory duty of the <i>Alliance Member</i> , its servants or agent any <i>Subcontractors</i> (or any tier) or by any acts or omissions of any of <i>Alliance Member</i> .		
		possible of the same (but no later than three (3 following the occurrence of the delay or prevention, best estimate of its effect and the remedial at Alliance Member believes are necessary consequences of the delay;  (b) the Alliance Member shall use all reasonable er soon as practicable, expedite the performance duties and obligations so as to complete the reasonable speed and to minimise any addincurred (or to be incurred) by the Alliance Member with such delay and to minimise any consequential		e Member shall notify the Alliance Manager as soon as the same (but no later than three (3) Working Days he occurrence of the delay or prevention), giving the ason(s) for such delay or prevention, together with its ate of its effect and the remedial action(s) that the Member believes are necessary and the cost ces of the delay;
				e Member shall use all reasonable endeavours to, as racticable, expedite the performance of the affected obligations so as to complete the same with all speed and to minimise any additional expense to be incurred) by the Alliance Member in connection elay and to minimise any consequential delays that may d by another Alliance Member arising out of or in with the same; and
		(c)		e Manager shall (acting reasonably) notify the Alliance any reasonable adjustments to:
				any of the dates and/or milestones (of any type and nature) relating to the delivery of the FAC-1 Programme or part of it (including in relation to any time periods that relate to a specific Order other than in respect of any



	Order for Pre-Construction Activities) that it agrees are a consequence of such event of prevention or delay, notifying other Alliance Members of such adjustment as appropriate; and
10.5.3.2.	the FAC-1 Prices in respect of the Stage 1 Services and/or any prices agreed with between the Alliance Manager and the Alliance Member in respect of any Orders (other than in respect of any Order for Pre-Construction Activities),
to reflect t	the impact on the <i>Alliance Member</i> of the notified delay,
or on behalf of the C shall not have any	at, save as set out in any notice of suspension issued by Client pursuant to Special Term 33.4, an Alliance Member entitlement to any payment whatsoever arising out of or any period of suspension notified under Special Term

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11.	INTELLECTUAL PROPERTY RIGHTS			
	11.1.	The Intellectual Property Rights in all Alliance Member Materials provided in connection with the Works and/or Services and the FAC-1 Programme shall remain vested in the relevant Alliance Member, but each Alliance Member grants to:		
		11.1.1. the <i>Client</i> and its nominees with full title guarantee an irrevocable, royalty-free, worldwide and non-exclusive licence in perpetuity to use, copy and reproduce its <i>Alliance Member Materials</i> for any purpose whatsoever, including but not limited to in connection with <i>FAC-1 Programme</i> (and any other project of the <i>Client</i> ) and in connection with (but without limitation) the design, construction, completion, operation, extension, maintenance, letting, management, sale, advertisement, alteration, reinstatement and repair of any part of the <i>FAC-1 Programme</i> (and any other project of the <i>Client</i> ); and		
		the other <i>Alliance Members</i> an irrevocable, royalty-free, worldwide and non-exclusive licence in perpetuity to use, copy and reproduce any of its <i>Foreground Materials</i> that are not prepared by or on behalf of that <i>Alliance Member</i> in connection with a specific <i>Works Package</i> for any purpose in connection with the <i>FAC-1 Programme</i> , including in connection with (but without limitation) the design, construction, completion, operation, extension, maintenance, letting, management, sale, advertisement, alteration, reinstatement and repair of any part of the <i>FAC-1 Programme</i> .		
	11.2.	The Intellectual Property Rights in all Client Materials shall remain vested in the Client but the Client grants to each Alliance Member and its nominees a non-exclusive and royalty-free licence to copy, use and reproduce such Client Materials for any purpose in connection with the FAC-1 Programme and its allocated Works Package (as determined in accordance with this FAC-1 Contract), provided always that such licence shall automatically terminate upon the termination of an Alliance Member's engagement under the FAC-1 Contract.		
	11.3.	The licence granted to the Client and the Alliance Members under clause		

	11.1, carries the right for the <i>Client</i> and the relevant <i>Alliance Members</i> to grant sub-licences, is transferable to third parties (including by way of an assignment and/or novation) and shall subsist notwithstanding the expiry of the <i>FAC-1 Contract</i> or termination (for any reason) of the grantor <i>Alliance Member's</i> engagement under the whole or part of the <i>FAC-1 Contract</i> , the <i>Early Works Terms</i> and/or the <i>Main Works Terms</i> .			
11.4.	The right given to the <i>Client</i> to assign, novate, transfer and/or otherwise deal with the licence given under clause 11.1, pursuant to clause 11.3 or otherwise, shall include the right for the <i>Client</i> to use such means to grant the licence to a <i>Central Government Body</i> or to any body which carries on any of the functions and/or activities that have previously been performed and/or carried on by the <i>Client</i> at any time.			
11.5.	Any change in the legal status of the <i>Client</i> which means that it ceases to be a <i>Central Government Body</i> , shall not affect the validity of the licence granted in favour of the <i>Client</i> under clause 11.1 and if the <i>Client</i> ceases to be a <i>Central Government Body</i> , the successor body to the <i>Client</i> shall be entitled to the benefit of the licence granted in clause 11.1.			
11.6.	If a licence granted in favour of the <i>Client</i> under clause 11.1 is novated as permitted by this clause 11 or there is a change in the <i>Client's</i> status pursuant to clause 11.5, the rights acquired on that novation or change of status shall not extend beyond those previously enjoyed by the <i>Client</i> .			
11.7.	Each Alliance Member unconditionally and irrevocably agrees to waive, in respect of any Alliance Member Materials in respect of which it has granted a licence in favour of the Client and/or any other Alliance Member under clause 11.1, all moral rights to which that Alliance Member may now or at any future time be entitled under the Copyright, Designs and Patents Act 1988 and/or under any other Applicable Law in respect of the relevant Alliance Member Materials, with this waiver being made in favour of the Client and extended to (as the context permits) the sub-licensees, assignees, transferees and successors in title of the Client and/or the other Alliance Members.			
11.8.	Each Alliance Member warrants and undertakes to the Client and the other Alliance Members that:			
	11.8.1.	all Alliance Member Materials are and will continue to be its own original work (or the original work of its Subcontractors, as appropriate);		
	11.8.2.	the licence granted in any Alliance Member Materials pursuant to clause 11.1 does not and will not at any time infringe the rights of any third party;		
	11.8.3.	it has not and will not infringe the rights of the <i>Client</i> or any other third party in the use of any <i>Client Materials</i> to which the licence provided by the <i>Client</i> to the <i>Alliance Member</i> pursuant to clause 11.2 applies; and		
	11.8.4.	it has obtained (and shall maintain at all times) all of the necessary licenses and consents in relation to the <i>Intellectual Property Rights</i> that are used or may be used by that <i>Alliance Member</i> or licenced to and/or by that <i>Alliance Member</i> under or in connection with <i>FAC-1 Contract</i> and will provide evidence of the same on the written request of the <i>Client</i> .		
 11.9.	An <i>Allian</i>	ce Member shall not be liable for any use by:		

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	11.9.1.	the Client or its nominees of any Alliance Member Materials; or			
	11.9.2.	another Alliance Member of its Foreground Materials,			
		for any purpose other than that for which such Alliance Member Materials were prepared by or on its behalf.			
11.10	The Client shall have no liability whatsoever to any Alliance Member or any third party whatsoever (whether in contract, tort (including negligence), for breach of duty or otherwise) for any loss or damage of whatever kind and however caused arising out of or in connection with the use of and/or reliance by an Alliance Member on any Client Materials (save for fraudulent misrepresentation) in respect of which a licence has been provided in favour of the Alliance Member pursuant to clause 11.2.				
11.1	Each Alliance Member shall indemnify the Client and its sub-licensees assignees, transferees and successors in title against, without limitation, all payments, losses, demands, claims, damages, actions, costs, legal fees, fines, financial penalties and expenses that are paid, made or incurred by the Client as a consequence of and in relation to any actual and/or alleged infringement of Intellectual Property Rights arising out of or in connection with its Alliance Member Materials and/or that Alliance Member's use of the Client Materials.				

12.	INSUR	INSURANCES			
Insurances	12.1.	Each Alliance Member shall take out the Insurances stated in the FAC-1 Agreement (including under the entry in the FAC-1 Agreement for clause 12A) and any Joining Agreement for matters governed by the Contract Terms, provided that the provisions of this clause 12.1 shall not apply to:			
		12.1.1. any Pre-Construction Activities that are the subject of an Order (the insurance requirements in respect of which will be set out in the Order and/or the Early Works Terms); and			
		12.1.2.	the duties and obligations of an <i>Alliance Member</i> under the <i>Main Works Terms</i> following the execution of a <i>Notice to Proceed to Stage 2</i> in respect of a <i>Works Package</i> (the insurance requirements in respect of which will be set out in the <i>Notice to Proceed to Stage 2</i> and/or the <i>Main Works Terms</i> ).		
Insurance terms	12.2.	All <i>Insurances</i> referred to in clause 12.1 shall be placed with insurers for those risks, with those exclusions and deductibles, and on those other terms as shall be stated in the <i>FAC-1 Agreement</i> and/or the <i>FAC-1 Brief</i> or otherwise agreed by the <i>Client</i> (or <i>Alliance Manager</i> , acting with the authority of the <i>Client</i> ) in writing and all those insurances shall be maintained for the period specified in the <i>FAC-1 Agreement</i> and no <i>Alliance Member</i> shall do anything to invalidate those <i>Insurances</i> .			
Insurance details	12.3.	Each Alliance Member shall provide to the Alliance Manager and, as the context requires, any other Alliance Member upon request copy policies or detailed certification as evidence in a satisfactory form of its Insurances.			

12A.	ADDITIONAL INSURANCE REQUIREMENTS		
Additional insurance requirements		The Alliance Members acknowledge and agree that the provisions of clause 12 shall be construed as also applying to the Insurances identified in the entry in the FAC-1 Agreement for clause 12A and the Alliance Members	

### **OFFICIAL SENSITIVE**

shall comply with any requirements in relation to such <i>Insurances</i> as specified in the <i>FAC-1 Agreement</i> .	, ,
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13.	GENE	GENERAL			
Exclusion of Partnership	13.1.	Nothing in the FAC-1 Contract shall be construed as creating a partnership, a joint venture, a contract of employment or a relationship of principal and agent between the Client and any Alliance Member or between an Alliance Member and any other Alliance Member.			
Assignment and sub- contracting	13.2.	The Client may novate, assign or transfer the FAC-1 Contract, the Early Works Terms and/or the Main Works Terms (as the context requires), in whole or in part, or any share or interest in the same without the consent of the Alliance Members and no Alliance Member may assign, novate or transfer the FAC-1 Contract, the Early Works Terms and/or the Main Works Terms (as the context requires), in whole or in part, or any share or interest in the same without the Client's prior written consent.			
Confidentiality	13.3.	Without prejudice to the generality of Special Term 13, the FAC-1 Prices and FAC-1 Proposals of an Alliance Member (as well as any prices and commercially sensitive financial information referred to in any Order) are confidential as between that Alliance Member, the Client and the Alliance Manager.			
Legal requirements	13.4.	The Alliance Members shall comply with all Applicable Laws relating to the FAC-1 Programme in the performance of their duties and obligations under or in connection with this FAC-1 Contract.			
Special Terms	13.5.	The Special Terms identified in the FAC-1 Agreement supplement and shall be construed as forming part of the Contract Terms (as the context requires and unless otherwise expressly stated).			
Third party rights	13.6.	Save as expressly stated in the FAC-1 Contract (or to give effect to any Collateral Warranty or Third Party Rights), nothing in the FAC-1 Contract confers or purports to confer on any person or party any rights pursuant to the Contracts (Rights of Third Parties) Act 1999.			
Variations	13.7.	Any amendments to the FAC-1 Contract and any of the FAC-1 Documents as agreed between the Client and the Alliance Members must be in writing and executed as a deed of variation or equivalent instrument as agreed between them (acting reasonably), provided that any amendments to an Order for Pre-Construction Activities and/or any documents forming part of the Notice to Proceed to Stage 2 in connection with a Works Package shall be agreed in writing between the Client and the relevant Alliance Member in such form as those parties may agree (acting reasonably) by reference to the Early Works Terms and Main Works Terms respectively.			

14.	TERMINATION		
Targets not achieved	14.1.	Not used.	
Termination by the Client for any reason	14.2.	The Client may terminate the whole or any part of the engagement of any Alliance Member under the FAC-1 Contract at any time on thirty (30) days' written notice for any reason and if so notified to the Alliance Member by the Alliance Manager at the Client's sole discretion and if so stated in that notice, such notice shall also constitute a valid termination notice issued by the "Client" or the "Project Manager" (as the context requires) pursuant to clause 90.7 of the Early Works Terms and/or pursuant to and in accordance with	



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		Option X11.1 of the <i>Main Works Terms</i> in respect of which an <i>Order</i> or a <i>Notice to Proceed to Stage 2</i> respectively has been issued and executed as at the date of its issue.			
Insolvency Event	14.3.	If any Alliance Member is subject to an Insolvency Event, the Client may terminate the engagement of that Alliance Member under the FAC-1 Contract immediately on written notice to that Alliance Member and if so notified to the Alliance Member by the Client, at the Client's sole discretion, such notice shall also constitute a valid termination notice issued by the "Client" or the "Project Manager" (as the context requires) pursuant to clause 90.2 of the Early Works Terms and/or pursuant to and in accordance with clause 90 (issued in connection with the relevant event set out at clause 91.1) of the Main Works Terms in respect of which an Order or a Notice to Proceed to Stage 2 respectively has been issued and executed as at the date of its issue.			
Termination generally	14.4.	If.			
		<ul> <li>14.4.1. an Alliance Member is in default under the FAC-1 Contract and does not remedy that breach within four (4) weeks from the date of a notice from the Alliance Manager notifying it of the default; or</li> <li>14.4.2. the Client is entitled to terminate the engagement of an Alliance Member under the FAC-1 Contract pursuant to and in accordance with:</li> </ul>			
			14.4.2.1.	Special Term 17 (Conflicts of Interest);	
			14.4.2.2.	Special Term 18 (Competition Law, Corrupt Gifts & Payment);	
			14.4.2.3.	Special Term 20 (Modern Slavery);	
			14.4.2.4.	Special Term 27 (Cyber Essentials Scheme);	
			14.4.2.5.	Special Term 28 (Financial Standing);	
			14.4.2.6.	Special Term 29 (Financial Distress);	
			Special Term 30.1.1 (Public Contracts Regulations 2015);		
			Special Term 31 (Change of Control);		
			14.4.2.9.	Special Term 33 (Suspension by the Client); or	
			14.4.2.10.	Clause 5.8 (Exclusivity in respect of Stage 1 and Stage 2),	
		then the <i>Client</i> may terminate the engagement of that <i>Alliance Member</i> the <i>FAC-1 Contract</i> in writing with immediate effect (save that, in respect termination under <i>Special Term</i> 33 or <i>Clause</i> 5.8, the termination shall effect within the relevant time period specified therein).			
Pre-Construction Activities and Works Packages	14.5.	Save in respect of a termination of the engagement of an <i>Alliance Member</i> under the <i>FAC-1 Contract</i> under clause 14.3 and notwithstanding any termination of the engagement of an <i>Alliance Member</i> under the <i>FAC-1 Contract</i> under this clause 14, where an <i>Order</i> for <i>Pre-Construction Activities</i> and/or a <i>Notice to Proceed to Stage 2</i> in respect of a <i>Works Package</i> has been executed by an <i>Alliance Member</i> as at the date of any termination notice issued under the <i>Contract Terms</i> , such <i>Order</i> and/or <i>Notice to Proceed to Stage 2</i> shall remain in full force and effect, subject to the termination rights in the <i>Early Works Terms</i> and/or the <i>Main Works Terms</i> respectively (and as			



		the context requires), unless the termination notice also states that the Alliance Member's obligation to Provide the Works under the Early Works Terms and/or Main Works Terms that are the subject of an Order and/or a Notice to Proceed to Stage 2 between the Client and the Alliance Member at that time is also terminated.		
Other Alliance Members	14.6.	Notwithstanding the termination of the engagement of an <i>Alliance Member</i> under the <i>FAC-1 Contract</i> for any reason, as between the <i>Client</i> and all other <i>Alliance Members</i> the <i>FAC-1 Contract</i> shall remain in full force and effect.		
Accrued rights and obligations	14.7.	Any termination of the engagement of an <i>Alliance Member</i> under this clause 14 shall not affect the mutual rights and obligations of the <i>Alliance Members</i> under any part of the <i>FAC-1 Contract</i> accrued at the date of termination.		
Effect of termination	14.8.	Where the Client terminates the engagement of an Alliance Member under the Contract Terms for a reason other than pursuant to clause 14.3:		
		14.8.1. the <i>Alliance Member</i> shall cease the performance of any activities in connection with the <i>Contract Terms</i> no later than the effective date of the termination (the " <i>Termination Date</i> ");		
		14.8.2.	minimise a	ce Member shall take all reasonable and proper steps to and mitigate any losses and/or expenses (of any kind and nitation) that it may or will incur as a consequence of the n;
		14.8.3.	as soon as reasonably practicable on or after the <i>Termination Date</i> (and as the context permits) the <i>Alliance Manager</i> shall issue a notice to the <i>Alliance Member</i> (the " <i>Termination Payment Notice</i> "), with such <i>Termination Payment Notice</i> setting out (as the " <i>Termination Sum</i> "):	
			14.8.3.1.	the value of any activities properly carried out and completed by that <i>Alliance Member</i> in accordance with such <i>Contract Terms</i> as at the <i>Termination Date</i> and in respect of which the relevant <i>Alliance Member</i> has applied for but has not yet received payment under such <i>Contract Terms</i> as at the <i>Termination Date</i> ; and
			14.8.3.2.	the amount due and payable to that Alliance Member in relation to any activities that have been provided by the Alliance Member (and for which the Alliance Member is entitled to payment under such Contract Terms) as at the Termination Date,
			less (where the termination is pursuant to clause 14.4) any sums incurred (or reasonably anticipated to as to be incurred) by the <i>Client</i> arising out of in connection with the termination;	
		14.8.4.	the Client shall pay to the Alliance Member the Termination Sum (or where the Termination Payment Notice specifies that the Termination Sum is to be made by the Alliance Member to the Client, the Alliance Member shall pay to the Client the Termination Sum) within thirty (30) days of the date of the Termination Payment Notice; and	
		14.8.5.	Sum shal Member a	ent by the Client to the Alliance Member of the Termination I be the sole and exclusive remedy of that Alliance rising out of or in connection with such termination under Contract (whether in contract, tort (including negligence)



	or any other basis of law) and the <i>Alliance Member</i> shall have no right to claim any amounts in respect of the termination above and beyond the <i>Termination Sum</i> , including (without limitation) amounts in respect of loss or deferment of anticipated or actual profit, loss of expectation, loss of revenue, loss of turnover, loss of use, loss of opportunity, loss of production, costs of finance, business interruption and/or redeployment of workforce or any similar damage or for any consequential or indirect losses of any other kind,	
	provided always that where the obligation for an <i>Alliance Member</i> to <i>Provide the Works</i> in connection with an <i>Order</i> for <i>Pre-Construction Activities</i> in accordance with the <i>Early Works Terms</i> or a <i>Works Package</i> pursuant to a <i>Notice to Proceed to Stage 2</i> is terminated, whether by reference to the <i>FAC-1 Contract</i> or otherwise, the <i>Alliance Member's</i> entitlement to any payment arising out of or in connection with such termination shall be governed exclusively by (and subject to the provisions of) the relevant <i>Early Works Terms</i> and/or <i>Main Works Terms</i> (as the context requires).	
14.9.	Where the <i>Client</i> terminates the engagement of an <i>Alliance Member</i> under the <i>FAC-1 Contract</i> pursuant to clause 14.3, that <i>Alliance Member</i> shall not be entitled to any further payment from the <i>Client</i> under or in connection with the <i>FAC-1 Contract</i> (save in respect of any amounts that have previously been the subject of an application for payment submitted pursuant to clause 8 and in respect of which the <i>Alliance Manager</i> has not issued a <i>Pay Less Notice</i> to the <i>Alliance Member</i> within the period specified in clause 8.7 for such application for payment).	

15.	PROBI	LEM-SOLVING AND DISPUTE RESOLUTION	
Notice and Core Group meeting	15.1.	As soon as it becomes aware of any dispute, the <i>Client</i> , the <i>Alliance Manager</i> or any <i>Alliance Member</i> (each a "party" and together the "parties" for the purpose of this clause 15) shall give notice to the parties involved in a dispute and to the <i>Alliance Manager</i> who shall convene a <i>Core Group</i> meeting, notifying all available information and inviting all involved parties who shall all attend and who shall make constructive proposals in seeking to achieve an agreed solution.	
Conciliation	15.2.	If any dispute is not resolved in accordance with clause 15.1 and provided that the <i>Client</i> has not by reason of that dispute exercised a right of termination under clause 14, then, if stated in the <i>FAC-1 Agreement</i> , the <i>Parties</i> involved in a dispute may refer it to conciliation in accordance with Part 1 of Appendix 4 and the <i>Conciliation Procedure</i> stated in the <i>FAC-1 Agreement</i> .	
Adjudication	15.3.	The procedures under clauses 15.1 and 15.2 are without prejudice to the rights of the <i>Client</i> or any <i>Alliance Member</i> involved in a dispute to refer it to adjudication in accordance with Part 2 of Appendix 4 and the procedure stated in the <i>FAC-1 Agreement</i> .	
Litigation	15.4.	Any dispute that is not resolved in accordance with clauses 15.2 or 15.3 may be referred by the <i>Client</i> or the <i>Alliance Member</i> involved in that dispute either to the courts of England and Wales for final determination.	
Application to Pre- Construction Activities and Stage 2	15.5.	The Client and the Alliance Members acknowledge and agree that, for the purposes of this clause 15 and the Housing Grants, Construction and Regeneration Act 1996 (as amended), any dispute as between a Client and an Alliance Member in connection with a Works Package that is the subject	

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of an Order for Pre-Construction Activities or a Notice to Proceed to Stage 2 for the design and construction of that Works Package shall be governed principally by the terms and conditions of the Early Works Terms and the Main Works Terms respectively and not the provisions of this clause 15.
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# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

#### **APPENDIX 1**

# DEFINITIONS (see clause 1.2)

**Additional Alliance Member** – an additional *Alliance Member* with which the *Client* and the *Alliance Members* enter into a *Joining Agreement* under clause 1.15;

**Adjudicator** – an individual identified as an adjudicator under the *FAC-1 Agreement*, the *Model Adjudication Procedure* and Part 2 of Appendix 4;

Affected ICT System - has the meaning given to such term in Special Term 37.

**Affiliate** – in relation to a body corporate, is any other entity which:

- directly or indirectly controls;
- is controlled by; or
- is under direct or indirect common control of,

that body corporate from time to time, with "control(s)" in this context meaning control in either of the senses defined in sections 450 and 1124 of the Corporation Tax Act 2010 (and with "controlled" being construed accordingly), and any subsidiary undertaking (as such term is defined in the Companies Act 2006) of that body corporate and/or any undertaking with a shareholding or that has an equivalent corporate relationship with any undertaking with a shareholding in that body corporate from time to time;

**Agreed Credit Rating** – the rating identified as such in the "Special Terms" section of the FAC-1 Agreement;

Agreed Works Package Prices – the prices in respect of a Works Package as proposed by an Alliance Member and as may be ascertained and agreed pursuant to any Works Package Procedure;

Alliance – the alliance created between the Alliance Members and governed by the Contract Terms;

#### Alliance Activities - means the

- the Stage 1 Services;
- (if instructed by the Client in accordance with the Contract Terms) any Stage 2 Services,

as well as any activities agreed to be performed by *Alliance Members* in accordance with the *FAC-1 Brief* generally and/or under clause 6 in order to achieve *Improved Value* consistent with the *Objectives*, including agreed *Supply Chain Collaboration*, agreed innovation and education, agreed exchanges of information, and agreed integration, adaptation and standardisation of roles, expertise and responsibilities;

**Alliance Manager** – the party named in the *FAC-1 Agreement* to fulfil the role of *Alliance Manager* (or such other party as may be notified by the *Client* to the *Alliance Members* from time to time in writing);

## Alliance Member Background Materials – all Materials:

- owned by an Alliance Member before the FAC-1 Contract Commencement Date;
- created by an Alliance Member independently of the FAC-1 Contract; and/or
- created by an Alliance Member independently of the Works and/or Services,



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

in each case which are or will be used by that *Alliance Member* on or after the *FAC-1 Contract Commencement Date* in connection with the *FAC-1 Contract* and/or the *FAC-1 Programme*;

**Alliance Member Confidential Information** – any information, however it is conveyed, that relates to the business, affairs, developments, trade secrets, know-how, personnel and suppliers of an *Alliance Member*, including *Intellectual Property Rights*, together with all information derived from the same, and any other information clearly designated as being confidential (whether or not it is marked as "confidential") or which ought reasonably to be considered to be confidential (including commercially sensitive information);

Alliance Member Materials – all Alliance Member Background Materials and/or Foreground Materials (as the context permits);

Alliance Members – the parties identified as such in the FAC-1 Agreement and any Additional Alliance Members who execute Joining Agreements;

**Allocated Works Package** – a Works Package that is stated in the Allocation Schedule as being allocated to a specific Alliance Member;

**Allocation Schedule** – the schedule identified as such in the FAC-1 Agreement in the list of FAC-1 Documents;

**Anti-Virus Software** - all software and programs of any type as developed, distributed and continuously maintained and/or updated by a reputable and industry-accepted cybersecurity and anti-virus software developer whose principal purpose is to

- detect and prevent the infection of an ICT System by Malicious Code and/or
- detect and remove Malicious Code from an ICT System and (as the context requires) inoculate that ICT System against such Malicious Code in the future;

#### Applicable Law or applicable law – is:

- any Act of Parliament or subordinate legislation, exercise of the Royal Prerogative and/or enforceable community right under the European Communities Act 1972;
- any statute, directive, regulation, rule or order made under any statute or directive and having the force
  of law (including building and fire regulations);
- any rule of equity or common law or the ruling, judgment or order of any Court; and/or
- any Consents and any other official request or requirement made by or of any Statutory Authority and all orders, rules, guidance notes, bye-laws, codes of practice and any other document with analogous and/or equivalent effect relating to any of the foregoing having binding effect,

## provided that:

- any references to Applicable Law and/or otherwise to any statute, enactment, order, regulation or other similar instrument shall be construed as a reference to such Applicable Law, statute, enactment, order, regulation or instrument as amended by any subsequent statute, enactment, order, regulation or instrument or as contained in any subsequent re-enactment of it; and
- where a capitalised term is stated as having the meaning given to the same term in any Applicable Law, the letter case used to define the term in the relevant Applicable Law shall be disregarded;



SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**Assessment Date** – the date identified as such in the FAC-1 Agreement,

## Beneficiary - means

- in respect of a Contractor Collateral Warranty and in the circumstances referred to in clause 7.7 only, an Alliance Member;
- in respect of a Contractor Collateral Warranty (and the Contractor Third Party Rights Schedule, as the
  context requires), any party with a direct operational and/or financial interest in the relevant Works
  Package as notified to the relevant Alliance Member by or on behalf of the Client in writing from time to
  time; or
- in respect of a Subcontractor Collateral Warranty, a Subsubcontractor Collateral Warranty and/or a
  Subconsultant Collateral Warranty (and Subcontractor Third Party Rights Schedule, the
  Subsubcontractor Third Party Rights Schedule and/or the Subconsultant Third Party Rights Schedule,
  as the context requires), the Client and any party as notified to the relevant Alliance Member by or on
  behalf of the Client in writing from time to time as having an interest in the Works Package,

provided always that, save in the circumstances referred to in clause 7.7 only, an *Alliance Member* shall not be required to provide or procure any such document in favour of another construction contractor or professional consultant appointed by the *Client* (including any *Alliance Member* and/or *Programme Consultant*) to provide professional services and/or works in connection with the actual design and/or physical construction of any *Works Package*;

**BIM** – building information modelling;

**BIM Requirements** – the requirements for *BIM* identified as such in the *FAC-1 Agreement* in the list of *FAC-1 Documents* and in the "*Special Terms*" section of the same;

**Budget** – the Client's monetary allowance for a Works Package as may be notified to the relevant Alliance Member(s) as part of a Works Package Procedure;

**CCS** – the Minister for the Cabinet Office as represented by Crown Commercial Service, a trading fund of the Cabinet Office, whose offices are located at 9th Floor, The Capital, Old Hall Street, Liverpool, L3 9PP;

CCS Framework – a framework with multiple Alliance Member entities across multiple lots which is known as the "Construction Works and Associated Services" framework (reference number RM6088);

**CCS Framework Agreement** – has the meaning given to such term in the "Recitals" section of the FAC-1 Agreement;

**CDM Regulations** – the Construction (Design and Management) Regulations 2015;

**Central Government Body** – a body listed in one of the following sub-categories of the "Central Government Classification of the Public Sector Classification Guide", as published and amended from time to time by the UK Office for National Statistics:

- Government department;
- non-departmental public body or Welsh Government sponsored body (advisory, executive, or tribunal);
- non-ministerial department; or
- executive agency;



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**Change of Control** – in relation to a body corporate, is where such body corporate ceases to be controlled (as defined by section 1124 of the Corporation Tax Act 2010) by the person(s) who controlled that body corporate as at the *FAC-1 Contract Commencement Date*;

Change of Control Event – has the meaning given to such term in Special Term 31.1;

**Client** – the party named in the *FAC-1 Agreement* to fulfil the role of *Client* under the *Contract Terms* (and is named as the "Client" in the *FAC-1 Agreement*);

#### Client Confidential Information – means:

- the FAC-1 Contract and anything referred to herein;
- all information disclosed by the Client to any Alliance Member in connection with the FAC-1 Contract, the Works and/or Services and/or the FAC-1 Programme;
- any information concerning the business and/or financial affairs of the Client which an Alliance Member learns due to its relationship with the Client under the FAC-1 Contract; and
- all Personal Data which an Alliance Member obtains and/or becomes aware of due to its engagement under the FAC-1 Contract,

in each case of any type and in any medium, communicated directly or indirectly, and whether disclosed before, on or after the FAC-1 Contract Commencement Date;

#### Client Data - means

- any Client Materials and/or Materials which the Alliance Member is required to generate, process, store
  or transmit pursuant to this FAC-1 Contract and/or
- any Personal Data for which the Client is the Controller,

**Client ICT System** - any ICT System used by the Client in connection with this contract which is owned by and/or licensed to the Client by a third party and which interfaces with any Non-Client ICT System and/or which is provided for use by the Client in connection with this contract (but excluding any Non-Client ICT System);

**Client Materials** – all *Materials* prepared by and/or on behalf of the *Client* and provided to any *Alliance Member* in connection with the *FAC-1 Programme* (but excluding any *Alliance Member Materials*);

**Collateral Warranties & Third Party Rights Schedule** – the schedule identified as such in the FAC-1 Agreement;

#### Collateral Warranty – means

- a Contractor Collateral Warranty;
- a Subcontractor Collateral Warranty;
- a Subsubcontractor Collateral Warranty; and/or
- a Subconsultant Collateral Warranty,

as the context requires;

Communications Software – the cloud-based software application known as "CEMAR", as developed and maintained by Client Managers Toolkit Limited (company number 05430351, whose registered office is at



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

Welland House Meteor Court, Barnett Way, Barnwood, Gloucester, England, GL4 3GG) or such other software platform as the *Alliance Manager* may notify to the *Alliance Members* in writing from time to time;

**Conciliation Procedure** – the procedure governing the work of a *Conciliator* as may be stated in the *FAC-1* Agreement and Part 1 of Appendix 4;

**Conciliator** – an individual who may be identified as a conciliator under the *FAC-1 Agreement*, the *Conciliation Procedure* and Part 1 of Appendix 4;

**Confidential Information** – the Client Confidential Information and/or the Alliance Member Confidential Information (as the context permits);

Consensus – unanimous agreement following reasoned discussion;

Consent – any and all (as the context requires) approvals, agreements (including Statutory Agreements), consents, permits, licences, qualifications, filings, exemptions, certificates and permissions (including, without limitation, all planning permissions (whether outline or full) and consents and such other matters or authorisations whatsoever (including Planning Consents), including any conditions thereof (including Planning Conditions and reserved matters) as are lawfully and necessarily required from any Statutory Authority or third party (including, without limitation, any landowner and any consents relative to any utilities and crossings of roads and/or cables (whether temporary and/or permanent)) in connection with a Works Package and/or the performance of any obligation of an Alliance Member under and pursuant to the FAC-1 Contract, the Early Works Terms and/or the Main Works Terms (as the context requires) and all Applicable Laws;

**Contract Terms** – the contract terms set out in the document annexed to the *FAC-1 Agreement* with the heading "CONTRACT TERMS" and incorporating the *Special Terms*;

**Contractor Collateral Warranty** – a document substantially the same as the template form of such document as referred to in the *FAC-1 Agreement* in the list of *FAC-1 Documents*;

**Contractor Third Party Rights Schedule** – the schedule identified as such in the *FAC-1 Agreement* in the list of *FAC-1 Documents*;

**Controller** – has the meaning given to such term in the *DPA*;

**Convictions** – other than in relation to minor road traffic offences, means any previous or pending prosecutions, convictions, cautions and binding-over orders, including any spent convictions as contemplated by section 1(1) of The Rehabilitation of Offenders Act 1974 by virtue of the exemptions specified in Part II of Schedule 1 of the Rehabilitation of Offenders Act 1974 (Exemptions) Order 1975 (SI 1975/1023) or any replacement or amendment to that Order;

**Copyright** – has the meaning given to such term in Part 1 of Chapter 1 of the Copyright, Designs and Patents Act 1988;

**Core Group** – the individuals identified in the *FAC-1 Agreement* or in a *Joining Agreement* as *Core Group* members, subject only in each case to agreement of changes and alternates in accordance with clause 1.6.1:

**Corrupt Act** – any of the following offences or practices:

- corruption, including offering, giving, receiving, or soliciting, directly or indirectly, anything of value to influence improperly the actions of any person, whether an offence under the Bribery Act 2010 or otherwise;
- fraud, including any act, omission or misrepresentation, that knowingly or recklessly misleads (or attempts to mislead) any person to obtain a financial or other benefit or to avoid any obligation;



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- the coercion (including impairing or harming, or threatening to impair or harm, directly or indirectly) of any person (or the property of any person) with the intent (or effect) of influencing the actions of any person;
- collusion and/or price fixing, including entering into any arrangement between two (2) or more persons
  or entities (including any other contractor or Subcontractor) designed to achieve an improper purpose,
  and including influencing improperly the actions of another person;
- the deliberate destruction, falsification, alteration or concealing of any evidence material to any investigation;
- the threatening, harassment or intimidation of any person with the effect or aim of preventing that (or any other) person from disclosing knowledge of matters relevant to any investigation or from pursuing any investigation;
- any act or omission intended to materially impede the exercise of any rights of audit or access to
  information (including any such rights of the Client) or the rights that any funder or any banking,
  regulatory or examining authority or other equivalent body may have in accordance with any Applicable
  Law; and/or
- money laundering,

in each case, in connection with the FAC-1 Contract or any other contract between the relevant Alliance Member and the Client and/or any other party;

**Credit Rating Threshold** – the minimum credit rating level for the *Alliance Member* as set out in in the "Special Terms" section of the FAC-1 Agreement;

**Cyber Essentials Scheme** – the "Cyber Essentials Scheme" developed by the United Kingdom government which provides a clear statement of the basic controls all organisations should implement to mitigate the risk from common internet-based threats;

**Cyber Essentials Scheme Data** – sensitive and personal information and other relevant information as referred to in the *Cyber Essentials Scheme*;

**Data Loss Event** – any event that results, or may result, in unauthorised access to Personal Data held by an *Alliance Member* under this *FAC-1 Contract* and/or actual or potential loss and/or destruction of such *Personal Data*, including any *Personal Data Breach*;

**Data Protection Laws** – the UK GDPR, the LED, the DPA (to the extent it relates to Processing of Personal Data and privacy) and all Applicable Laws about the Processing of Personal Data and privacy;

**Data Protection Impact Assessment** – an assessment by the *Controller* of the impact of the envisaged *Processing* on the protection of *Personal Data*;

**Data Protection Schedule** – the document identified as such in the FAC-1 Agreement in the list of FAC-1 Documents;

Data Subject – has the meaning given to such term in the DPA;

**Data Subject Access Request** – a request made by, or on behalf of, a *Data Subject* under the *Data Protection Laws* to access its *Personal Data*;

**Database Rights** – any rights in a "database" as such term is defined in Part 1 of Chapter 1 of the Copyright,



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Designs and Patents Act 1988;

**Definitions** – the definitions set out in this Appendix 1;

**Disclosure and Barring Service** – the body of the same name as established under the Protection of Freedoms Act 2012;

**DPA** – the Data Protection Act 2018 as amended in accordance with the Data Protection, Privacy and Electronic Communications (Amendments etc.) (EU Exit.) Regulations 2019 (as amended by SI 2020 no. 1586);

**DOTAS** – the "Disclosure of tax avoidance schemes rules" which require a promoter of tax schemes to notify Her Majesty's Revenue and Customs of notifiable arrangements or proposals and provide prescribed information on them within set time limits as contained in Part 7 of the Finance Act 2004 and in secondary legislation made under vires contained in Part 7 of the Finance Act and as extended to NICs by the National Insurance (Application of Part 7 of the Finance Act 2004) Regulations 2012 (SI 2012/1868) made under section 132A of the Social Security Administration Act 1992;

Due Date - the date identified as such in the FAC-1 Agreement;

Early Warning – early warning in accordance with clause 1.8 of the Contract Terms;

**Early Works Amendments** – the document identified as such in the FAC-1 Agreement in the list of FAC-1 Documents;

**Early Works Order** – the template Order in respect of any Pre-Construction Activities that may be instructed by the Client under the Contract Terms in the form identified as such in the FAC-1 Agreement in the list of FAC-1 Documents;

#### Early Works Supporting Documents – the:

- Early Works Amendments;
- "Contract Data" (as such term is defined in the Early Works Terms);
- "Price List" (as such term is defined in the Early Works Terms);
- "Scope" (as such term is defined in the Early Works Terms); and
- "Site Information" (as such term is defined in the Early Works Terms),

in each case, as identified in any *Order* in respect of *Pre-Construction Activities* issued by the *Client* (or the *Alliance Manager*) in accordance with the *Contract Terms* (and incorporating any documents referred to therein);

**Early Works Terms** – the Order for the relevant Pre-Construction Activities, incorporating the published form of NEC4 Engineering and Construction Short Contract (June 2017 edition, as amended in January 2019 and October 2020) and incorporating the Early Works Amendments (as an Early Works Supporting Document) and the duly agreed and populated versions of the other Early Works Supporting Documents;

**Environmental Information Regulations** – the Environmental Information Regulations 2004 and all associated guidance and/or codes of practice in relation to such regulations from time to time;

**ESP Schedule** – the schedule identified as such in the FAC-1 Agreement,

**End of Liability Date** – has the meaning given to such term in *Special Term* 35.1;



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**Environment** – all and any land, water and air, including air within any natural or man-made structure above or below ground;

**FAC-1 Agreement** – the agreement entitled "FAC-1 Agreement" and executed by the *Client* and the *Alliance Members*;

**FAC-1 Brief** – the document identified as such in the *FAC-1 Agreement* in the list of *FAC-1 Documents* describing (amongst other things):

- the scope and nature of the Alliance;
- the scope and nature of the Works Packages comprising the FAC-1 Programme;
- the Stage 1 Services;
- the Client's indicative requirements in respect of any potential Pre-Construction Services (if required by the Client and subject to the final specification and scope in respect of the same being set out in the relevant Order);
- the Client's indicative requirements in respect of any potential Stage 2 Services (if required by the Client
  and subject to the final specification and scope in respect of the same being set out in the relevant
  Order); and
- the Client's technical, management and commercial requirements, including the required approach to design, Supply Chain engagement, costing, Risk Management and programming and all other relevant procedures and expected outcomes;

FAC-1 Contract Commencement Date – the date of the FAC-1 Agreement;

**FAC-1 Contract** – the contract created by and between the *Client* and the *Alliance Members* by executing the FAC-1 Agreement and any *Joining Agreements*;

**FAC-1 Documents** – the documents identified as such in the FAC-1 Agreement, subject to addition and amendment in accordance with any *Joining Agreements* and the *Contract Terms*;

**FAC-1 Prices** – each of the documents identified as such in the *FAC-1 Agreement* describing the prices of an *Alliance Member* in response to the *FAC-1 Brief*, agreed confidentially between that *Alliance Member* and the *Client* (and to be treated as confidential by the *Alliance Manager*);

**FAC-1 Programme** – the works and/or services and/or supplies governed by the *Contract Terms*, as described in the *FAC-1 Documents*;

**FAC-1 Proposals** – each of the documents identified as such in the *FAC-1 Agreement* describing the proposals of an *Alliance Member* in response to the *FAC-1 Brief*, agreed confidentially between that *Alliance Member* and the *Client* (and to be treated as confidential by the *Alliance Manager*);

**FAC-1 Responsibilities** – the duties and obligations of an *Alliance Member* pursuant to and in accordance with the *Contract Terms*, including in respect of:

- the Stage 1 Services;
- (if subject to an Order issued pursuant to and in accordance with the Contract Terms) any Pre-Construction Services; and



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

 (if subject to an Order issued pursuant to and in accordance with the Contract Terms) any Stage 2 Services;

**FAC-1 Services Liability Cap** – is the sum identified as such in the FAC-1 Agreement;

Final Date for Payment – the date identified as such in the FAC-1 Agreement,

#### Financial Distress Event – where:

- the credit rating of the Alliance Member falls below the applicable Credit Rating Threshold (as determined by the Rating Agency);
- the Alliance Member issues a profit warning to a stock exchange or making any other public announcement about a material deterioration in its financial position or prospects;
- there is a public investigation into improper financial accounting and reporting, suspected fraud or any
  other impropriety of the Alliance Member;
- the Alliance Member has committed a material breach of covenant to its lenders;
- a Subcontractor notifying the Client that the Alliance Member has not satisfied any sums properly due
  under a specified invoice or sequences of invoices not subject to a genuine dispute; and/or
- any of the following occurs:
  - the commencement of any litigation against the Alliance Member with respect to financial indebtedness or obligations under or in connection with the FAC-1 Contract and/or any Order or Notice to Proceed to Stage 2 in respect of a Works Package issued under it;
  - the non-payment by the *Alliance Member* of any financial indebtedness; any financial indebtedness of the *Alliance Member* becoming due as a result of an event of default; and/or
  - the cancellation or suspension of any financial indebtedness in respect of the Alliance Member
    in each case which the Client reasonably believes (or would be likely to reasonably believe) could
    directly impact on the continued performance of the Alliance Member in accordance with the FAC1 Contract and/or in connection with any Works and/or Services to be provided (or being provided)
    by that Alliance Member pursuant to and in accordance with any Order and/or a Notice to Proceed
    to Stage 2 in respect of a Works Package;

**Financial Distress Service Continuity Plan** – a plan setting out how the *Alliance Member* will ensure its continued performance in accordance with the *FAC-1 Contract* in the event that a *Financial Distress Event* occurs;

**FOIA** – the Freedom of Information Act 2000 and any subordinate legislation (as defined in section 84 of the Freedom of Information Act 2000) made under or pursuant to the Freedom of Information Act 2000 from time to time, together with any guidance and/or codes of practice issued by the Information Commissioner and/or the Department for Constitutional Affairs in relation to that Act from time to time;

**Foreground Materials** – all *Materials* created by or on behalf of an *Alliance Member* specifically in connection with the *FAC-1 Programme* and/or the *FAC-1 Contract*, but excluding any *Alliance Member Background Materials*;

**General Anti-Abuse Rules** – the legislation in Part 5 of the Finance Act 2013 and any future *Applicable Laws* in relation to the counteraction of tax advantages arising from abusive arrangements to avoid *NICs*;



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

Government Buying Standards – are the standards published here: www.gov.uk/government/collections/sustainable-procurement-the-government-buying-standards-gbs (as updated from time to time);

**Greening Government Commitments** – the Government's policy to reduce its effects on the environment, the details of which are published here: www.gov.uk/government/collections/greening-government-commitments (as updated from time to time);

Halifax Abuse Principle – the principle explained in the CJEU Case C-255/02 Halifax and others;

HM Government Baseline Personnel Security Standard – the personnel security standard published here: www.gov.uk/government/publications/government-baseline-personnel-security-standard (as may be updated from time to time);

**Improved Value** – improved value consistent with the *Objectives*, which may include (if and as stated in the *Objectives*) improved cost and/or time certainty, cost and/or time savings, improved quality, improved use, the improved occupation, operation, maintenance, repair, alteration and/or demolition of one (1) or more *Works Package(s)*, improved staff and other resources, improved health and safety and other working procedures, improved *Sustainability*, improved efficiency, improved profitability and other benefits to the *Client*, the *Alliance Members*, *Users* and *Stakeholders*;

**Insolvency Event** – where a *Party* is subject to one of the following or its equivalent (in any jurisdiction):

- if the Party is an individual, it has:
  - presented an application for bankruptcy;
  - had a bankruptcy order made against it;
  - had a receiver appointed over its assets; or
  - made an arrangement with its creditors; or
- if the Party is a company or partnership, it has:
  - had a winding-up order made against it;
  - had a monitor appointed or any document is filed at court to obtain or apply for a moratorium or order is made for a moratorium to come into force;
  - had a provisional liquidator appointed to it;
  - passed a resolution for winding-up (other than in order to amalgamate or reconstruct);
  - had an administration order made against it or had an administrator appointed over it;
  - had a receiver, receiver and manager, or administrative receiver appointed over the whole or a substantial part of its underlying or assets; or
  - made an arrangement, compromise or composition with its creditors;

**Insurances** – the insurances specified in the entry for clause 12 and clause 12A in the FAC-1 Agreement,

Intellectual Property Rights – any and all:



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

- Copyright, rights related to or affording protection similar to Copyright, Database Rights, design right, patents and rights in inventions, semi-conductor topography rights, trade marks, rights in internet domain names and website addresses and other rights in trade names, designs including BIM, know-how, trade secrets and other rights in Confidential Information;
- applications for registration, and the right to apply for registration, for any of the rights referred to above that are capable of being registered in any country or jurisdiction; and
- all other rights having equivalent or similar effect in any country or jurisdiction;

ITEPA – the Income Tax (Earnings and Pensions) Act 2003;

**Joining Agreement** – an agreement executed by the *Alliance Members* with an *Additional Alliance Member* based on the form set out at Appendix 2 of this *FAC-1 Contract*,

**Key People Schedule** – is the schedule identified as such in the "Special Terms" section of the FAC-1 Agreement;

**Key Person** – is a person identified as such in the *Key People Schedule* or agreed in writing between an *Alliance Member* and the *Alliance Manager* from time to time (and "**Key People**" shall be construed accordingly);

**LED** – the Law Enforcement Directive (Directive (EU) 2016/680);

**Main Works Amendments** – the document identified as such in the FAC-1 Agreement,

#### **Main Works Supporting Documents** – the:

- Main Works Amendments;
- "Contract Data" (as defined in the Main Works Terms); and
- "Scope" (as defined in the Main Works Terms),

in each case, as identified in any *Notice to Proceed to Stage 2* issued by the *Client* (or the *Alliance Manager*) in accordance with the *Contract Terms* (and incorporating any documents referred to therein);

**Main Works Terms** – the Notice to Proceed to Stage 2, incorporating the published form of NEC4 Engineering and Construction Contract: Option A (June 2017 edition, as amended in January 2019 and October 2020) and incorporating the Main Works Amendments (as a Main Works Supporting Document) and the duly agreed and populated versions of the other Main Works Supporting Documents;

**Malicious Code** - any software program or code that is intended to destroy, interfere with, corrupt and/or detrimentally affect program files, data or other information, executable code or application software macros, whether or not its operation is immediate or delayed, and whether introduced wilfully, negligently or without knowledge of its existence;

**Materials** – all technical information, drawings, models, plans, specifications, schedules, digital models and databases (provided that the same do not contain any *Personal Data*) (including relating to *BIM*), costings, budgets, calculations, bill of quantities, estimates and valuations (except where the same relate to documents produced solely for an *Alliance Member's* own internal pricing purposes), photographs, brochures, reports, meeting notes, and any other materials, in any medium provided by or prepared on behalf of a *Party* (in each case as may be amended or replaced from time to time) in connection with the *Works and/or Services*, the *FAC-1 Contract* and/or the *FAC-1 Programme*;



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**Model Adjudication Procedure** – the procedure governing the work of an *Adjudicator* as may be stated in the *FAC-1 Agreement* and Part 2 of Appendix 4;

MSA Offence – an offence under the Modern Slavery Act 2015;

Named Employee - has the meaning given to such term in Special Term 11.1;

National Audit Office - the body of the same name as established under the National Audit Act 1983;

**N/Cs** – national insurance contributions;

**Non-Client ICT System** - any ICT System which is owned by the Alliance Member and/or licensed to the Alliance Member by a third party and which is operated by or on behalf of the Alliance Member (including any Subcontractor (and excluding any Affected ICT System).

Notifiable Credit Rating Downgrade - has the meaning given to such term at Special Term 29.2;

**Notice to Proceed to Stage 2** – a notice in substantially the form set as identified as such in the *FAC-1* Agreement in the list of *FAC-1* Documents to which (amongst other things) the agreed form of *Main Works* Supporting Documents are annexed in connection with a specific *Works Package* following its issue in accordance with the *Contract Terms*;

**Objectives** – the agreed objectives of the *Alliance* and the *FAC-1 Programme* and of the *Alliance Members* in respect of the *Alliance* and the *FAC-1 Programme* as identified in the *FAC-1 Agreement*;

Occasion of Tax Non-Compliance – any tax return of an Alliance Member submitted to a Relevant Tax Authority on or after 1 October 2012 which, on or after 1 April 2013:

- is found to be incorrect as a result of:
  - a Relevant Tax Authority successfully challenging the Alliance Member under the General Anti-Abuse Rules or the Halifax Abuse Principle or under any rules or Applicable Law having equivalent or similar legal effect; and/or
  - the failure of an avoidance scheme which that Alliance Member was involved in, and which was, or should have been, notified to the Relevant Tax Authority under DOTAS or any equivalent or similar regime; and/or
- gives rise on or after 1 April 2013 to a criminal conviction in any jurisdiction for tax related offences which
  is not spent at the FAC-1 Contract Commencement Date or to a civil penalty for fraud or evasion;

**Order** – an order issued by the *Alliance Manager* in connection with any:

- Pre-Construction Services in the form set out at Part 1 of Appendix 3;
- Stage 2 Services in the form set out at Part 1 of Appendix 3; or
- Pre-Construction Activities in the form referred to at Part 2 of Appendix 3,

as the context requires, or in such other form appropriate to the nature of the *Client's* requirement and the terms which will govern the performance of the same (as stated in clause 7):

*Order Proposal* – has the meaning given to such term in clause 7.2;

*Order Request* – has the meaning given to such term in clause 7.1;



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**Overheads** – overhead levels set in accordance with the *FAC-1 Prices* (and which shall not be modified by the *Alliance Member* in connection with any later *Works Package Procedure* unless agreed in advance and in writing by the *Alliance Manager*);

**Parent Company Guarantee** – a parent company guarantee in the form set out in the *Performance Security Schedule*;

**Party** – a party who has signed the FAC-1 Agreement or a Joining Agreement in the capacity of an Additional Alliance Member from time to time (and "Parties" shall mean each Party collectively);

Pay Less Notice – a notice issued in accordance with and as defined in clause 8.7;

**Payment Notice** – a notice specifying the amount that the *Alliance Manager* considers to be due in accordance with clause 8.4;

PCR 2015 – the Public Contracts Regulations 2015;

**Performance Bond** – a performance bond in the form set out in the *Performance Security Schedule*;

**Performance Security Schedule** – the schedule identified as such in the FAC-1 Agreement in the list of FAC-1 Documents;

Period for Retention - the period identified as such in in the "Special Terms" section of the FAC-1 Agreement,

**Personal Data** – has the meaning given to such term in the UK GDPR;

Personal Data Breach - has the meaning given such term in the UK GDPR;

**Planning Conditions** – any conditions relating to a *Planning Consent* as specified by the relevant *Statutory Authority*,

**Planning Consents** – means any consents, approvals and/or permissions in relation to planning approval for a **Works** Package;

**Pre-Construction Activities** – any early works that the *Client* instructs the *Alliance Member* to undertake pursuant to an *Order* in connection with an *Allocated Works Package* but prior to the date on which a *Notice to Proceed to Stage 2* is executed by the *Client* and the *Alliance Member* in respect of that *Allocated Works Package* (if at all);

**Pre-Construction Services** – any services that the *Client* instructs the *Alliance Member* to undertake pursuant to an *Order* in connection with an *Allocated Works Package* but prior to the date on which a *Notice to Proceed to Stage 2* is executed by the *Client* and the *Alliance Member* in respect of that *Allocated Works Package* (if at all);

**Pricing Rules** – means the rules identified as such in the FAC-1 Agreement in the list of FAC-1 Documents;

**Principal Contractor** – has the meaning given to the term "principal contractor" in regulation 2(1) of the CDM Regulations;

**Principal Designer** – has the meaning given to the term "principal designer" in regulation 2(1) of the CDM Regulations;

**Process** – has the meaning given to such term under the *Data Protection Laws* (and "**Processed**" and "**Processing**" shall be construed accordingly);



SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**Processor** – has the meaning given to such term in the DPA;

**Profit** – profit levels set in accordance with the FAC-1 Prices (and which shall not be modified by the Alliance Member in connection with any later Works Package Procedure unless agreed in advance and in writing by the Alliance Manager);

**Programme Consultants** – the parties identified as such in the "Special Terms" section of the FAC-1 Agreement or as otherwise notified by the Client to the Alliance Members from time to time in writing;

**Programme Consultant Appointment** – any appointment entered into by the *Client* with a *Programme Consultant* as notified by the *Client* to the *Alliance Members* from time to time in writing;

**Programme Focused** – is the principle applied in the consideration of any decision, outcome, solution or resolution in relation to the *FAC-1 Programme* which facilitates or encourages objectively-assessable quality and performance outcomes and (as the *FAC-1 Programme* is publicly funded) with the intent to achieve *Improved Value*;

**Prohibited Materials** – any material, good, equipment, product and/or plant (of any type and/or nature) which, in the context of its use or specification in connection with the *Works and/or Services* (whether alone or in combination with other materials, goods, products and/or plant):

- poses a hazard to the health and safety of any person who may come into contact with the Works and/or Services (whether during the construction phase of a Works Package or otherwise);
- either by itself or as a result of its use in a particular situation or in combination with other materials, goods, equipment, products and/or plant (of any type and/or nature), it would or is likely to have the effect of reducing the normal life expectancy of any other materials, goods, products and/or plant or structures in which it is incorporated or to which it is affixed;
- poses a threat to the structural stability or performance or the physical integrity of any part of the Works and/or Services or any part or component of any materials, goods, equipment, products and/or plant forming part of the Works and/or Services;
- has been prohibited for use in or otherwise does not comply with the publication "Good Practice in the Selection of Construction Materials" (published by the British Council for Offices) current at the time of use or specification;
- does not conform with British or European Standards (where appropriate) or industry codes of practice (or where no such standard exists, does not conform with a British Board of Agrément Certificate);
- is generally known in the construction industry to be deleterious, in the particular circumstances it is specified for use, to health and safety and/or durability of buildings or structures; and/or
- is specifically prohibited by the FAC-1 Contract, the Early Works Terms and/or the Main Works Terms;

**Project Brief** – the document describing the scope and nature of a specific *Works Package*, setting out the *Client's* technical, management and commercial requirements and expected outcomes in respect of that *Works Package*, including documents that will comprise or be referred to in any *Notice to Proceed to Stage 2* in respect of that *Works Package* (such as any draft "Scope" and "Contract Data" (including any documentation referred to or annexed to the same), as each such term is defined in the *Main Works Terms*), all required quality standards and warranties, including all requirements in respect of insurances and securities, including all processes and procedures for management of communication, performance, quality, design. *Supply Chain* engagement, cost, payment, time, change, risk, health and safety and all other project management processes and procedures, including the required approach to building information modelling (as appropriate), and



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

including all requirements in respect of sustainability, operation and engagement with Stakeholders and Users;

**Project Proposals** – the proposed Agreed Works Package Prices and other proposals in respect of a specific Works Package submitted by an Alliance Member as part of a Works Package Procedure in response to the Works Package Brief,

**Protective Measures** – all appropriate technical and organisational measures ensuring confidentiality, integrity, availability and resilience of systems and services, ensuring that availability of and access to *Personal Data* can be restored in a timely manner after an incident, and regularly assessing and evaluating the effectiveness of such measures adopted by it, including those outlined in the *Data Protection Schedule*;

**Provide the Works** – has the meaning given to such term in the:

- Early Works Terms in respect of any Pre-Construction Activities that are the subject of an Order, or
- Main Works Terms in respect of any Works Package that is the subject of the Notice to Proceed to Stage 2 that has been issued to and executed by the Client and the Alliance Member in accordance with the Contract Terms,

as the context requires;

**Rating Agency** – Dun & Bradstreet Limited (company number 160043 and whose registered office is at The Point, 37 North Wharf Road, London, W2 1AF) (or such other rating agency as the *Client* may specify or otherwise approve at its discretion in writing from time to time);

**Reallocation Event** – has the meaning given to such term in clause 5.7;

**Relevant Policies** – the policies identified as such in the FAC-1 Agreement;

**Relevant Requirements** – all *Applicable Laws* relating to fraud, anti-bribery and anti-corruption, including (but not limited to) the Bribery Act 2010 and section 117 of the Local Government Act 1972;

**Relevant Tax Authority** – Her Majesty's Revenue and Customs or, if applicable, a tax authority in the jurisdiction in which an *Alliance Member* is established;

**Relevant Terms** – has the meaning given to such term at clause 18.2.6;

Relevant Works and/or Services – has the meaning given to such term at clause 5.10;

**Request for Information** – any request for information under the *FOIA* or the *Environmental Information Regulations*;

**Risk Management** – a structured approach to ensure that risks are identified at the earliest opportunity, that their potential impacts are allowed for and that by agreed actions such risks and/or their impacts are eliminated, reduced, insured, shared or apportioned;

**Risk Register** – the risk register set out Schedule 3 to the *FAC-1 Agreement* and updated in accordance with clause 9.4, describing recognised risks and agreed *Risk Management* actions in relation to the *FAC-1 Programme* and *Alliance Activities*;

**Schedule** – a schedule identified as such in the FAC-1 Agreement;

**Security Aspects Letter** – the document identified as such in the "Special Terms" section of the FAC-1 Agreement;



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**Security Measures** – any and all active and passive, peremptory, contingent and other physical and procedural security and safeguarding measures, deterrents, countermeasures and precautions, designed, taken, implemented and/or intended (including software and coding solutions, as well as physical and strategic solutions) to:

- maintain the safety of persons and public and personal property;
- prevent the risk of any person being exposed to actual or potential death, personal injury or any other type of harm;
- prevent the risk of actual or potential damage or harm to public and personal property howsoever caused (including by fire);
- maintain political, national and/or international security;
- prevent the access to a location (or part thereof), persons, information and/or any electronic or information technology system by unauthorised persons or parties; and/or
- (as the context permits) facilitate the detention or imprisonment (or continued detention or imprisonment)
  of persons, in accordance with Applicable Law,

such as intruder detector systems, lighting systems, surveillance systems, natural surveillance models, physical barriers, information technology systems, communications systems, access control systems, screening arches and areas, entry systems, fire security and safety systems, reinforced glass and strategic and/or any internal or external layouts;

**SSCBA** – the Social Security Contributions and Benefits Act 1992;

Stage - each of:

- Stage 1; and
- Stage 2,

with "Stages" being construed accordingly;

**Stage 1** – the phase of the FAC-1 Programme identified as such in the FAC-1 Agreement in the "General" section of the FAC-1 Agreement,

**Stage 1 Services** – the services identified as such in the "Contract Terms" section of the FAC-1 Agreement;

**Stage 2** – the phase of the FAC-1 Programme identified as such in the FAC-1 Agreement in the "General" section of the FAC-1 Agreement,

**Stage 2 Services** – any services that, on or about the date on which a *Notice to Proceed to Stage 2* is executed by the *Client* and that *Alliance Member* in respect of that *Works Package* (if at all), the *Client* instructs the *Alliance Member* to undertake additional to the *Stage 1 Services* as part of the *Alliance* and pursuant to an *Order* in connection with the *FAC-1 Programme*;

**Stakeholders** – any one or more organisations or groups of individuals, as stated in the *FAC-1 Agreement* by reference to clause 1.14, who are not *Alliance Members* and who have an interest relating to the *FAC-1 Programme*;

**Standard of Care** – all of the reasonable skill, care, diligence and prudence to be expected of an appropriately qualified, skilled, competent and experienced professional designer that is experienced in the carrying out of



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

such activities for projects of a similar size, scope, value, character and complexity to the FAC-1 Programme and the Works Packages that are the subject of the same (including any Works Package that is the subject of an Order and/or a Notice to Proceed to Stage 2 as between the Client and an Alliance Member);

**Statutory Agreement** – each and every agreement with a *Statutory Authority*, including any agreement(s) pursuant to section 38 and/or section 278 of the Highways Act 1980 and/or pursuant to section 104 of the Water Industry Act 1991 and/or pursuant to section 106 of the Town and Country Planning Act 1990 and/or section III of the Local Government Act 1972;

**Statutory Authority** – includes (without limitation) any:

- authority or other agency empowered by Applicable Law, including planning authorities, local authorities, the Environment Agency, police, fire, health and safety agencies;
- national or local government or governmental department or legislative minister or commission, board, body, bureau, agency (whether state or supranational or a national park);
- Court or other judicial or administrative body (be it central or local); and/or
- statutory undertaker and utility providers (to the extent that such utility provider is required to and/or is
  engaged by a Party to perform any Works and/or Services in connection with the FAC-1 Programme
  (including in connection with Works Packages)),

having jurisdiction over any part of the FAC-1 Programme, any Works and/or Services forming part of the FAC-1 Programme (including any Works Package) and/or any Works Package Site, the Parties and/or performance of any other obligations of the Parties under the FAC-1 Contract, with "statutory body" or "utility" being construed accordingly (as the context permits);

**Subconsultant Collateral Warranty** – a document substantially the same as the template form of such document as referred to in the *FAC-1 Agreement* in the list of *FAC-1 Documents*;

**Subconsultant Third Party Rights Schedule** – the schedule identified as such in the FAC-1 Agreement;

**Subcontractor** – any person or organisation of any type that is appointed by one (1) or more *Alliance Members* in connection with the *FAC-1 Programme* generally and/or a *Works Package* specifically (including in relation to any *Pre-Construction Services* and/or *Pre-Construction Activities* that are the subject of an *Order* in connection with such *Works Package*), including any consultant, supplier, manufacturer and member of the Supply *Chain*;

**Subcontractor Collateral Warranty** – a document substantially the same as the template form of such document as referred to in the FAC-1 Agreement in the list of FAC-1 Documents;

**Subcontractor Third Party Rights Schedule** – the schedule identified as such in the *FAC-1 Agreement* in the list of *FAC-1 Documents*:

**Sub-Processor** – any third party appointed to *Process* any *Personal Data* on behalf of an *Alliance Member* in connection with the *FAC-1 Contract*;

**Success Measures** – the success measures in respect of achievement of the *Objectives* and the performance of the *Alliance Members* as set out Part 2 of Schedule 1 to the *FAC-1 Agreement*;

**Supply Chain** – any party or parties providing to one (1) or more *Alliance Members* works or services or supplies of goods, materials or equipment;

Supply Chain Collaboration - any activities agreed to be performed by Alliance Members under clause 6.3



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

in order to achieve *Improved Value* through more consistent, longer term, larger scale *Supply Chain Contracts* and through other improved *Supply Chain* commitments and working practices;

**Supply Chain Contract** – a contract entered into between an *Alliance Member* and any of its *Supply Chain* (and, as the context requires, the *Client*);

**Subsubcontractor Collateral Warranty** – a document substantially the same as the template form of such document as referred to in the *FAC-1 Agreement* in the list of *FAC-1 Documents*;

**Subsubcontractor Third Party Rights Schedule** – the schedule identified as such in the *FAC-1 Agreement* in the list of *FAC-1 Documents*;

**Sustainability** – measures intended to, without limitation, reduce carbon emissions, to reduce use of energy and/or natural or manmade resources, to improve waste management, to improvement employment and training opportunities and otherwise to protect or improve the condition of the Environment or the wellbeing of people (and "sustainability" shall be construed accordingly);

**Template Main Works Contract Data** – the document identified as such in the *FAC-1 Agreement* in the list of *FAC-1 Documents*;

**Template Early Works Supporting Documents** – the documents identified as such in the FAC-1 Agreement in the list of FAC-1 Documents;

Termination Date - has the meaning given to such term in clause 14.8.1;

Termination Payment Notice – has the meaning given to such term in clause 14.8.3;

**Termination Sum** – has the meaning given to such term in clause 14.8.3;

Third Party Rights - means third party rights granted in favour of a party pursuant to and in accordance with:

- the Contractor Third Party Rights Schedule;
- the Subcontractor Third Party Rights Schedule;
- the Subsubcontractor Third Party Rights Schedule; and/or
- the Consultant Third Party Rights Schedule,

as the context requires;

**Timetable** – the timetable identified as such in the *FAC-1 Agreement* and updated in accordance with clause 2.6 stating agreed deadlines, gateways and milestones in respect of the *FAC-1 Programme*, achievement of the *Objectives* and the timescales for *Alliance Activities* (and any other requirements notified by the *Alliance Manager* to the *Alliance Members* from time to time in writing);

**UK GDPR** – the General Data Protection Regulation (Regulation (EU) 2016/679) as incorporated into UK law under the UK European Union (Withdrawal) Act 2018), and amended in accordance with the Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019 (as amended by SI 2020 no. 1586);

**User** – any person or party with an interest in a Works Package;

**Valid Invoice** – an invoice in the form identified as such in the "Contract Terms" section of the FAC-1 Agreement;



# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

**Valid Cyber Essentials Certificate** – a current Cyber Essentials Scheme certificate held by an *Alliance Member*, or held within an *Alliance Member*'s parent company organisation, that has been issued by an approved accreditation body;

**Valid Cyber Essentials Plus Certificate** – a current *Cyber Essentials Scheme* "Plus" certificate held by an *Alliance Member*, or held within an *Alliance Member*'s parent company organisation, that has been issued by an approved accreditation body;

**VAT** – value added tax as referred to in the Value Added Tax Act 1994 or any tax of a similar nature that may be substituted for or levied in addition to it;

**Vesting Agreement** – a vesting agreement in the relevant form set out in the *Performance Security Schedule*;

Working Day – any day other than a Saturday or Sunday, Christmas Day or Good Friday or any other day which is a bank holiday in England and Wales under the Banking and Financial Dealings Act 1971; and

Works and/or Services – any works and/or services provided by or on behalf by an Alliance Member.

- under the FAC-1 Contract;
- under the Early Works Terms where an Order in respect of any Pre-Construction Activities has been
  executed by the Client and an Alliance Member in connection with a specific Works Package; and/or
- under the Main Works Terms where a Notice to Proceed to Stage 2 in respect of the design and
  construction of a Works Package has been executed by the Client and an Alliance Member in connection
  with a specific Works Package,

in each case, as the context requires;

Works Package – a main works package forming part of the FAC-1 Programme as described:

- generally, in the FAC-1 Brief;
- in a Works Package Brief as part of a Works Package Procedure for a Works Package; or
- as notified by the Client or the Alliance Manager to an Alliance Member in accordance with this FAC-1
  Contract,

in each case, as the context requires;

Works Package Brief – the Project Brief in respect of the relevant Works Package issued by the Alliance Manager to an individual Alliance Member as part of the Works Package Procedure;

**Works Package Procedure** – the procedure identified as such in the FAC-1 Agreement in the list of FAC-1 Documents;

Works Package Proposals – the Project Proposals in respect of a Works Package submitted by an Alliance Member to the Alliance Manager as part of a Works Package Procedure;

Works Package Site – the site or location for a Works Package as specified in the FAC-1 Brief or as notified by the Client or the Alliance Manager to an Alliance Member in accordance with this FAC-1 Contract.

# Ministry of Justice

#### OFFICIAL SENSITIVE

SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

#### **APPENDIX 2**

# FORM OF JOINING AGREEMENT (see clauses 1.15 and 14.6)

The **JOINING AGREEMENT** is made on the

202[3]

IN RELATION TO [INSERT DETAILS] (the FAC-1 Programme)

**BETWEEN** [INSERT DETAILS] (the *Additional Alliance Member*), the *Client* and the other *Alliance Member* named below in accordance with and subject to the *Contract Terms* forming part of a contract dated [INSERT DETAILS] between the *Client*, the *Alliance Member* and others (the *Contract*) (reference number [INSERT DETAILS]) in relation to the *FAC-1 Programme*.

**WHO AGREE** that words and expressions in this *Joining Agreement* shall have the same meanings as in the *FAC-1 Contract*, and that with effect from [INSERT DETAILS] the *Additional Alliance Member* shall be a party to the *FAC-1 Contract* and that the *Additional Alliance Member*'s role, expertise and responsibilities, its *Core Group* member, its agreed insurances and any additional and amended *FAC-1 Documents* shall be as follows:

1. The role, expertise and responsibilities of the Additional Alliance Member are:

#### [INSERT DETAILS]

2. The Core Group member nominated by Additional Alliance Member is:

#### [INSERT DETAILS]

3. The insurances of Additional Alliance Member are:

## [INSERT DETAILS]

4. The additional and amended Contract Terms and other FAC-1 Documents are:

## [INSERT DETAILS]

5. The provisions of the FAC-1 Contract that do and do not apply to the appointment of the Additional Alliance Member under the FAC-1 Contract are as follows:

[TO BE REVIEWED ON A CASE-BY-CASE BASIS FOLLOWING AN ASSESSMENT BY THE CLIENT OF THE INTENDED ROLES AND RESPONSIBILITIES OF THE ADDITIONAL ALLIANCE MEMBER.]

[Executed by the Client, the Additional Alliance Member and all other Alliance Members]



SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

#### **APPENDIX 3**

# FORM OF ORDER (see clause 7)

#### PART 1 – PRE-CONSTRUCTION SERVICES OR STAGE 2 SERVICES

The **Client** and the other **Alliance Member** named below in accordance with and subject to the **Contract Terms** forming part of a contract dated [INSERT DETAILS] between the **Client**, the **Alliance Member** and others (the **Contract**) (reference number [INSERT DETAILS]) in relation to the **FAC-1 Programme**.

#### AGREE under this *Order* that:

- Words and expressions used in this Order shall have the same meanings as in the Contract and the Contract Terms.
- The Alliance Member shall undertake the following [Pre-Construction Services / Stage 2 Services]:

## [INSERT DETAILS]

3. The dates for completion of those following [Pre-Construction Services / Stage 2 Services] are:

## [INSERT DETAILS]

4. The Client shall pay the Alliance Member the following amounts for those following [Pre-Construction Services / Stage 2 Services]: in accordance with the Contract Terms.

# [INSERT DETAILS]

- 5. [Optional but only for use where the Order concerns Pre-Construction Services. Delete if not used.] If a Notice to Proceed to Stage 2 is issued to and executed the Alliance Member in connection with the Works Package allocated to it pursuant to the Contract Terms, then the Pre-Construction Services shall be treated as part of the duties and obligations of the Alliance Member in respect of such Works Package as from the effective date of the Notice to Proceed to Stage 2 and shall be governed by the Main Contract Terms referred to thein.
- 6. [Other terms as required]

## [INSERT DETAILS]

Signed for and on behalf of

(Client)

Signed for and on behalf of

(Alliance Member)

by

Dated

Dated

# OFFICIAL SENSITIVE SMALL S Ministry

of Justice

# SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT

EXECUTION VERSION (JUNE 2023)

#### PART 2 – PRE-CONSTRUCTION ACTIVITIES

The form of *Order* in respect of any *Pre-Construction Activities* shall be the "Early Works Order" as identified and referred to in the *FAC-1 Agreement* in the list of *FAC-1 Documents*.



SMALL SECURE HOUSEBLOCKS PROGRAMME FAC-1 CONTRACT EXECUTION VERSION (JUNE 2023)

### **APPENDIX 4**

#### PART 1

# CONCILIATION (see clause 15.2)

- The "Conciliator" shall mean the individual named in or pursuant to the FAC-1 Agreement or otherwise an individual to be agreed between the Client and/or the relevant Alliance Members seeking conciliation within ten (10) Working Days after one has given the other(s) a written request to agree the appointment of a Conciliator.
- 2. If, at any time before reference of a dispute to litigation in accordance with the Contract Terms, the Client and/or relevant Alliance Members in dispute agree to conciliation in respect of that dispute, they shall apply jointly to the Conciliator who shall conduct the conciliation in accordance with the "Conciliation Procedure" stated in the FAC-1 Agreement current at the date of the relevant application.
- 3. Any written agreement signed by the Client and/or the relevant Alliance Members in dispute, which records the terms of any settlement reached during the conciliation, shall be final and binding and they shall comply with that settlement in accordance with its terms. If any of them fails to do so, then the other(s) shall be entitled to take legal proceedings to secure such compliance.

#### PART 2

# ADJUDICATION (see clause 15.3)

- The "Adjudicator" shall mean the individual named in or pursuant to the FAC-1 Agreement or otherwise an individual to be appointed in accordance with the model adjudication procedure stated in the FAC-1 Agreement and current at the date of the relevant notice of adjudication (the "Model Adjudication Procedure") and the following provisions shall override the Model Adjudication Procedure in the event of any conflict.
- The Client and any Alliance Member has the right to refer a dispute for adjudication by giving notice at
  any time of its intention to do so. The notice shall be given and the adjudication shall be conducted
  under the Model Adjudication Procedure.
- 3. The *Adjudicator* shall be appointed and the dispute referred to him or her within seven (7) days following the giving of a notice by the *Client* or relevant *Alliance Member* (each referred to below as a "party" and together the "parties") under paragraph 2 above.
- 4. The Adjudicator shall reach their decision within twenty-eight (28) days following the date of referral, or any longer period agreed by the relevant parties in dispute after the dispute has been referred. The Adjudicator may extend the period of twenty-eight (28) days by up to fourteen (14) days with the consent of the party who referred the dispute.
- 5. The Adjudicator shall act impartially and may take the initiative in ascertaining the facts and the law.
- The Adjudicator may correct its decision so as to remove a clerical or typographical error arising by accident or omission within five (5) days following the date of communicating its decision to the parties in dispute.
- The Adjudicator's decision shall be binding until the dispute is finally determined by legal proceedings or by agreement.



- 8. The Adjudicator shall be required to give reasons for its decision.
- 9. Without prejudice to their rights under the *Contract Terms*, the *Client* and the relevant *Alliance Members* (as the context requires) in dispute shall comply with any decision of the *Adjudicator*. If any of them fails to do so, then the other(s) shall be entitled to take legal proceedings to secure such compliance pending final determination of the difference or dispute.